

[Orthopaedic Surgery]

Knee Articular Cartilage Repair and Restoration Techniques: A Review of the Literature

Dustin L. Richter, MD,^{*†} Robert C. Schenck Jr, MD,[†] Daniel C. Wascher, MD,[†] and Gehron Treme, MD[†]

Context: Isolated chondral and osteochondral defects of the knee are a difficult clinical challenge, particularly in younger patients for whom alternatives such as partial or total knee arthroplasty are rarely advised. Numerous surgical techniques have been developed to address focal cartilage defects. Cartilage treatment strategies are characterized as palliation (eg, chondroplasty and debridement), repair (eg, drilling and microfracture [MF]), or restoration (eg, autologous chondrocyte implantation [ACI], osteochondral autograft [OAT], and osteochondral allograft [OCA]).

Evidence Acquisition: PubMed was searched for treatment articles using the keywords *knee*, *articular cartilage*, and *osteochondral defect*, with a focus on articles published in the past 5 years.

Study Design: Clinical review.

Level of Evidence: Level 4.

Results: In general, smaller lesions (<2 cm²) are best treated with MF or OAT. Furthermore, OAT shows trends toward greater longevity and durability as well as improved outcomes in high-demand patients. Intermediate-size lesions (2-4 cm²) have shown fairly equivalent treatment results using either OAT or ACI options. For larger lesions (>4 cm²), ACI or OCA have shown the best results, with OCA being an option for large osteochondritis dissecans lesions and posttraumatic defects.

Conclusion: These techniques may improve patient outcomes, though no single technique can reproduce normal hyaline cartilage.

Keywords: knee; articular cartilage; osteochondral defect; microfracture; mosaicplasty; autologous chondrocyte implantation; osteochondral autograft transfer; cartilage restoration

Focal chondral defects occur in up to two-thirds of patients undergoing knee arthroscopy.¹¹ Symptomatic lesions may cause pain, locking, catching, swelling, and functional impairment. Their complaints may be worse than those with anterior cruciate ligament-deficient knees, and quality of life may be affected to the same extent as in patients scheduled for knee replacement.²² Isolated chondral and osteochondral defects of the knee are a difficult clinical challenge, particularly in younger patients for whom alternatives such as partial or total knee arthroplasty are rarely advised (Figure 1, a and b).

The infrequent healing associated with cartilage defects typically leads to the production of type I collagen and fibrocartilaginous tissue as opposed to normal hyaline cartilage.

This fibrous repair tissue has diminished resiliency, less stiffness, poor wear characteristics, and a predilection for advancing arthritis.¹⁰ The “Holy Grail” for treatment of focal articular cartilage lesions is a method that restores organized hyaline cartilage through a practical, minimally invasive approach with minimal morbidity not only perioperatively but also over an extended period of time.⁴⁵

Numerous surgical techniques have been developed to address focal cartilage defects. Cartilage treatment strategies can be characterized as palliation (eg, chondroplasty and debridement), repair (eg, drilling and microfracture [MF]), or restoration (eg, autologous chondrocyte implantation [ACI], osteochondral autograft transfer [OAT], and osteochondral

From the [†]Department of Orthopaedics, University of New Mexico Health Sciences Center, Albuquerque, New Mexico

^{*}Address correspondence to Dustin L. Richter, MD, Department of Orthopaedics, University of New Mexico Health Sciences Center, MSC 10 5600, Albuquerque, NM 87131 (email: dustin.richter1818@gmail.com).

The authors report no potential conflicts of interest in the development and publication of this article.

DOI: 10.1177/1941738115611350

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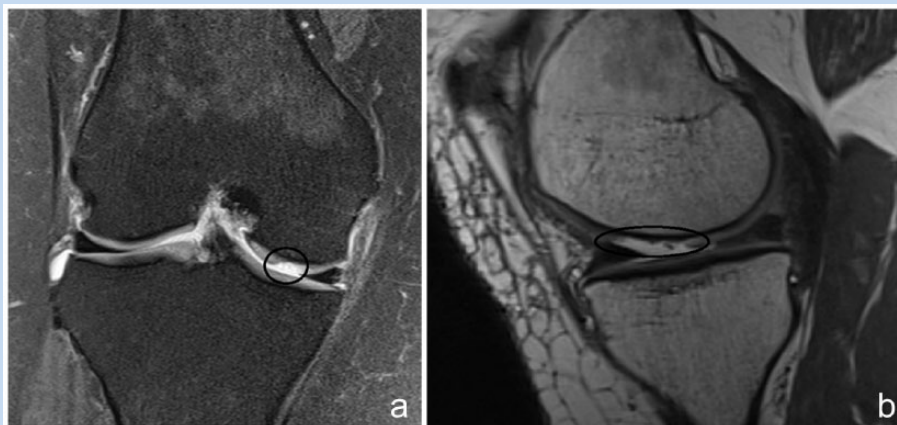


Figure 1. (a) Coronal magnetic resonance image (MRI) demonstrating a medial femoral condyle osteochondral defect. (b) Sagittal MRI of osteochondral defect involving the weightbearing portion of the medial femoral condyle.

Table 1. Surgical procedure based on size of osteochondral lesion

Lesion Size, cm ²	Indicated Procedure
<2	Microfracture OAT ^a
2-4	OAT ACI
>4	ACI OCA ^b

ACI, autologous chondrocyte implantation; OAT, osteochondral autograft transfer; OCA, osteochondral allograft.

^aHigher-demand patients.

^bBone loss/deformity.

allograft [OCA]).³⁴ The large number of surgical options for chondral defects are evidence of the difficulty in replicating hyaline cartilage function (Table 1).

MICROFRACTURE

Microfracture is a marrow stimulation technique considered the first-line treatment given its minimally invasive nature, technical ease, limited surgical morbidity, and relatively low cost (Figures 1 and 2).⁵²

At a mean 7-year follow-up, 80% of patients rated themselves as improved after MF, with patients younger than 35 years showing the most improvement.⁵⁰ The mean size of chondral defect was 2.8 cm². Of 25 National Football League players who underwent MF for treatment of full-thickness chondral lesions, three-fourths were able to return to football the following season for an average of almost 5 additional seasons.⁵¹ Biopsies

after MF have noted that approximately 10% had hyaline cartilage, with the majority having predominantly fibrocartilage.²⁵ Lesions less than 4 cm² were likely to respond better to MF in the first 2 years. Systematic reviews have similarly demonstrated clear improvement in knee function at 24 months after MF but inconclusive durability and treatment failure beyond 5 years.^{16,37}

AUTOLOGOUS MATRIX-INDUCED CHONDROGENESIS

Autologous matrix-induced chondrogenesis (AMIC) combines MF surgery with the application of a bilayer collagen membrane that physically stabilizes the clot and may guide and enhance marrow-derived repair. A multicenter, randomized controlled trial compared BST-CarGel (Piramal Life Sciences, Bio-Orthopaedic Division) treatment with MF alone in the repair of cartilage lesions in the knee.⁴⁹ At 12 months, BST-CarGel treatment resulted in greater lesion filling and superior repair tissue quality compared with MF treatment alone; however, clinical symptoms were equivalent between groups.

OSTEOCHONDRAL AUTOGRAFT TRANSFER

With the OAT technique, defects can be filled immediately with mature, hyaline articular cartilage.^{20,33} The area to be treated should not exceed 4 cm², and donor site morbidity can be a concern.²⁰ Perpendicular access to the cartilage surface, either arthroscopically or via a mini-open technique, is critical to allow the donor plug to be flush to re-create the normal articular contour and contact pressures (Figure 3).^{12,26,40}

At up to 10 years postoperatively, good or excellent results were obtained in approximately 90% of patients undergoing femoral condyle or tibial plateau mosaicplasty.²⁰ Outcomes vary greatly depending on age, sex, and size of the lesion, with increased failure rates in patients older than 40 years, women, and defect size greater than 3 cm².⁴⁷

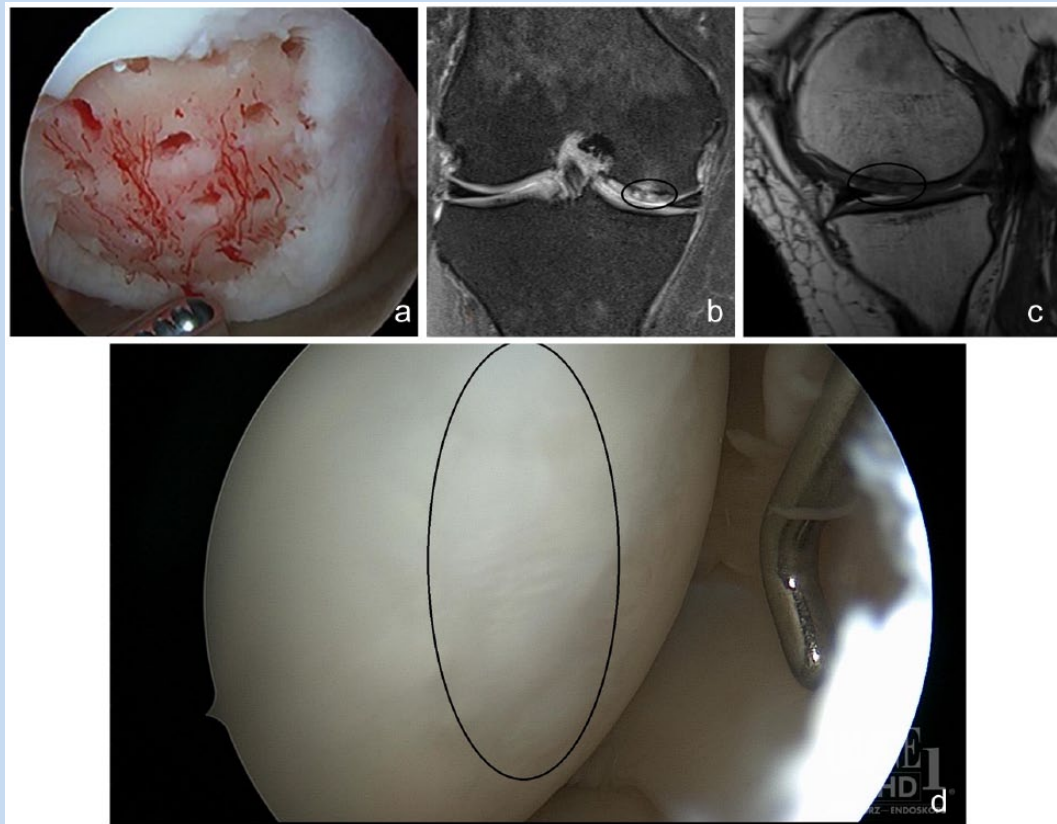


Figure 2. (a) Arthroscopic view after microfracture treatment of the medial femoral condyle in patient from Figure 1. (b, c) Follow-up coronal and sagittal magnetic resonance images 1 year after microfracture showing filling of osteochondral defect. (d) Second-look arthroscopy 1 year after microfracture demonstrating filling of previous defect with reparative tissue.



Figure 3. (a) Arthroscopic view of cylindrical sizer used to characterize defect and pattern for osteochondral autograft plug transfer. (b) First of 2 plugs sunk flush with the surrounding articular cartilage. (c) Two plugs have been transferred to fill the osteochondral defect.

AUTOLOGOUS CHONDROCYTE IMPLANTATION

Autologous chondrocyte implantation is most useful for younger patients who have single defects larger than 2 cm².^{4,43} Disadvantages

include the need for 2 stages and an open arthrotomy, expense, and a significant rate of reoperation for graft hypertrophy, specifically with first-generation ACI treatments. Second- (porcine membrane) and third-generation (matrix-associated) ACI treatments have not been approved in the United States at this time.

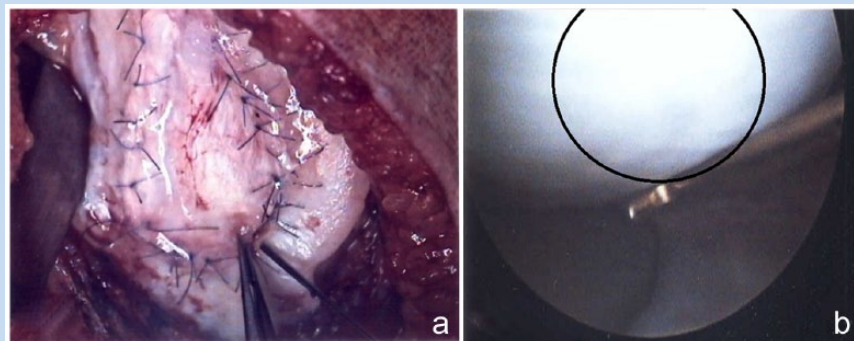


Figure 4. (a) Arthroscopic view of autologous chondrocyte implantation (ACI) with sutured patch. (b) Second-look arthroscopy 1 year after ACI demonstrating filling of defect with reparative tissue.

Results of 23 patients at 39-month follow-up showed good or excellent clinical results in 70% of cases (femoral condylar defects had greater rates of healing, nearly 90%) (Figure 4, a and b).⁴ Almost 3 of 4 biopsies had hyaline-like appearance, but the repair tissue is not identical to hyaline cartilage, and fibrocartilage was found in some.⁴⁴ Durable clinical results have been shown up to 11 years after ACI treatment.⁴² First-generation ACI for large (mean, 5.33 cm²), full-thickness, symptomatic chondral defects of the knee showed significant improvements in pain relief and functional outcome measures at 8-year follow-up.³

In patients with at least 1 previously failed non-ACI treatment for an osteochondritis dissecans knee lesion, significant reduction in pain and improved function was noted for up to 4 years after ACI, despite the complexity and severity of these lesions.⁸ However, treatment failure occurred in 1 of 5 patients in this series. ACI after failed microfracture is associated with a significantly greater failure rate and inferior clinical outcome when compared with ACI as a first-line treatment.⁴¹

In a series of more than 200 patients treated with ACI for larger lesions (mean defect size, 8.4 ± 5.5 cm²), ACI provided durable outcomes with a survivorship of 71% at 10 years and improved function in 75% of patients.³⁶ A history of prior marrow stimulation as well as the treatment of very large defects was associated with an increased risk of failure. Magnetic resonance imaging (MRI) is used to evaluate the cartilage preoperatively and at final follow-up using the Magnetic Resonance Observation of Cartilage Repair Tissue (MOCART) score.³² In 23 patients (mean age, 30.5 ± 8.2 years) with full-thickness chondral lesions of the distal femur, ACI resulted in a substantial improvement in all clinical outcome parameters at 1 year and a mean 9.9 years postoperatively.³⁸ Younger patients with a shorter duration of preoperative symptoms and smaller defect sizes benefitted the most. MRI findings confirmed complete defect filling in one-half of patients at final follow-up.

COMPARISON OF TECHNIQUES

A comparison of the cartilage repair and restoration techniques is outlined in Table 2.

Microfracture Versus Osteochondral Autograft Transfer

In a retrospective study, patients treated with MF or OAT mosaicplasty for symptomatic articular cartilage defects of the femoral condyles or trochlea had similar clinical outcomes at intermediate-term follow-up (up to 5 years). However, patients treated with OAT mosaicplasty maintained a superior level of athletic activity compared with those treated with MF.²⁸ The OAT group had better clinical scores, more normal-appearing cartilage on visual assessment, and a subjectively greater percentage of hyaline cartilage histologically, with more than 90% of athletes able to return to their preinjury level of sport compared with only 50% in the MF group. Clinical outcomes of MF were worse in lesions larger than 2 cm², but there was no association between clinical outcomes and lesion size when treated with OAT.¹⁸ No significant differences at long-term follow-up were seen between patients treated with MF or OAT mosaicplasty in patient-reported outcomes, muscle strength, or radiological outcome.⁵⁴

Microfracture Versus Autologous Chondrocyte Implantation

At 2 years after surgery, there was little difference between the ACI and MF groups; the Short Form (SF)-36 physical component was the only score that revealed a difference that was significantly better for the MF group, possibly related to ACI being a 2-stage procedure.²⁵ Second-look arthroscopy performed in three-fourths of the participants 2 years after surgery showed no difference using the International Cartilage Repair Society (ICRS) grading system.⁵ Similarly, biopsy specimens revealed no significant difference in hyaline cartilage or fibrocartilage in the repair tissues. Treatment failure at 5 years occurred in 1 of 4 patients in both groups.²⁴

Table 2. Comparison of cartilage repair and restoration techniques

	Site of Lesion	No. of Knees	Mean Age, y	Median Follow-up (Range), y	Mean Lesion Size (Range), cm ²	Outcomes
MF vs OAT						
Knych et al ²⁶	Femoral condyle, trochlea	MF = 48 OAT = 48	MF = 32.5 OAT = 29.7	4.4 (2.0-10.0)	MF = 2.6 (1.0-6.3) OAT = 2.7 (1.0-6.3)	OAT: superior activity/level No difference in PROM
Gudas et al ¹⁸	Femoral condyle	MF = 29 OAT = 28	MF = 24.3 OAT = 24.6	3.1 (3.0-3.2)	MF = 2.8 (1.0-4.0) OAT = 2.8 (1.0-4.0)	OAT: higher PROM and ICRS scores postop, greater % hyaline cartilage Achieved preinjury level of activity: OAT (93%), MF (52%)
Ulstein et al ⁵⁴	Femoral condyle, trochlea	MF = 11 OAT = 14	MF = 31.7 OAT = 32.7	9.8 (4.9-11.4)	MF = 2.6 (2.0-5.2) OAT = 3.0 (2.0-6.0)	No difference in PROM, muscle strength, or radiological outcome
MF vs ACI						
Knutson et al ²⁵	Femoral condyle	MF = 40 ACI = 40	MF = 31.1 ACI = 33.3	2 ^a	MF = 4.5 ^b ACI = 5.1 ^b	MF: better PROM at 2 years than ACI No histologic difference in specimens at second look Failure rate: MF (2.5%) vs ACI (5%) Improved PROM for younger patients (<30 y) in both groups
Knutson et al ²⁴	Femoral condyle	MF = 40 ACI = 40	MF = 31.1 ACI = 33.3	5 ^a	MF = 4.5 ^b ACI = 5.1 ^b	No difference in PROM Failure rate: MF (23%) vs ACI (23%) 1/3 patients in each group with radiographic OA
Kon et al ²⁷	Femoral condyle, trochlea	MF = 20 *ACI = 21	MF = 26.5 *ACI = 23.7	7.6 (4.0 - 11.0)	MF = 1.9 ^b ACI = 2.1 ^{b,c}	Return to competition: MF (80%), ACI (86%) Median RTP (mo): MF (8), ACI (12.5) ACI more stable results long term
OAT vs ACI						
Horas et al ²³	Femoral condyle	OAT = 20 ACI = 20	OAT = 35.4 ACI = 31.4	2 ^a	OAT = 3.6 (3.2-5.6) ACI = 3.9 (3.2-5.6)	No difference in PROM except for Lysholm scores higher in OAT ACI defects → fibrocartilage
Bentley et al ¹	Femoral condyle, trochlea, patella	OAT = 42 ACI = 58	OAT = 31.6 ACI = 30.9	1.6 (1.0-2.2)	4.7 (1.0-12.0) (not delineated between OAT and ACI)	Excellent/good PROM: OAT (69%) vs ACI (88%) All patella OATs failed Arthroscopic good/excellent ICRS grades at 1 yr: ACI (82%), OAT (34%) Proud plug placement and nonstandard rehab protocol
Bentley et al ²	Femoral condyle, trochlea, patella	OAT = 42 ACI = 58	OAT = 31.6 ACI = 30.9	Minimum, 10 (10-12) ^e	OAT = 4.0(1.0-20.0) ACI = 4.4(1.0-10.5)	Failure rate: OAT (55%) vs ACI (17%) Steep decline in OAT outcomes after 2 y Final outcomes better in ACI
Comparison of the 3 techniques						
Lim et al ³¹	Femoral condyle	MF = 30 OAT = 22 ACI = 18	MF = 32.9 OAT = 30.4 ACI = 25.1	MF = 6.7 OAT = 5.8 ACI = 5.2	MF = 2.8(1.2-3.6) OAT = 2.8(1.0-4.0) ACI = 2.8 (1.5-3.8)	80% good or excellent ICRS results in all 3 groups at second look arthroscopy No difference in PROM or postoperative MRI grades

ACI, autologous chondrocyte implantation; MF, microfracture; OA, osteoarthritis; OAT, osteochondral autograft transfer; PROM, patient-reported outcome measures; RTP, return to play.

^aFollow-up range not listed in original reference or all patients evaluated at a single time (eg, 2 years postoperative).

^bLesion size range not listed in original reference.

^cSecond generation ACI (not approved for use in the United States).



Figure 5. Anteroposterior radiograph of a lateral unicompartmental osteochondral allograft.

Second- and Third-Generation Autologous Chondrocyte Implantation

Forty-one professional or semiprofessional male soccer players were treated for full-thickness osteochondral defects over a 6-year period with either second-generation arthroscopic ACI (Hyalograft C) or MF.²⁷ More than 80% of participants in both groups returned to competition. MF allowed a faster recovery but presented a greater clinical deterioration over time, whereas ACI offered more durable clinical results.

A randomized clinical trial comparing first-generation ACI with a periosteal patch to third-generation matrix-associated ACI (MACI) showed improvement in clinical outcome measures at 12 months, but there was no difference between the 2 techniques.⁵⁵

Osteochondral Autograft Transfer Versus Autologous Chondrocyte Implantation

In a prospective trial comparing ACI with OAT, no significant difference in patient-reported outcomes at 2 years was seen, with the exception of improved Lysholm scores in the OAT group during the first 24 months.²⁵ A larger trial of 100 patients randomized to either ACI or OAT demonstrated no significant difference between the groups at early follow-up.¹ At 10 years, 17% in the ACI group had a failed repair and 55% in the mosaicplasty group failed.² Surgical placement of a proud OAT graft explained the higher failure rate of the OAT cohort.

Microfracture Versus Osteochondral Autograft Transfer Versus Autologous Chondrocyte Implantation

In a prospective study with minimum 3-year follow-up, all 3 procedures (MF, OAT, and ACI) showed improvement in functional scores, with no differences between groups.³¹ Arthroscopy at 1 year showed excellent or good results in 80% of patients, regardless of technique. MF appeared to be a reasonable option as a first-line therapy given its ease and significant affordability relative to ACI or OAT.

A recent systematic review found 13 studies (917 subjects) that met the study inclusion criteria.²¹ Most of these were young patients undergoing cartilage repair or restoration with a long preoperative duration of knee symptoms with multiple previous surgical procedures (range, 0-13). The defects were moderately sized (range, 1.9-6.2 cm²) and primarily located on the medial femoral condyle. All surgical techniques demonstrated improvement in comparison with the preoperative status. There was no clear benefit of ACI versus MF in short-term (<5 years) outcomes; however, clinical outcomes after MF deteriorated after 1.5 to 2 years. ACI and OAT demonstrated equivalent short-term clinical outcomes, although there was more rapid improvement after OAT. ACI yielded the greatest proportion of complications, with graft hypertrophy occurring in 22% of first-generation treatments, 5% of second-generation treatment, and 7% of third-generation (MACI) treatment. Arthrofibrosis occurred in 17% of OAT cases, 2.5% of ACI cases, and 0.4% of MF cases. Younger patients with a shorter preoperative duration of symptoms and fewer prior surgical procedures had the best outcomes after both ACI and MF. A defect size of >4 cm² was the only factor predictive of better outcomes when ACI was compared with other techniques.

OSTEOCHONDRAL ALLOGRAFT TRANSPLANTATION

If a cartilage defect is too large for an autograft or a patient has failed a cartilage repair procedure, then a fresh OCA transplantation (mega-OAT) may be used (Figure 5).⁴⁶ OCA transplantation is a single-stage technique for large osteochondral defects, in the setting of extensive subchondral bone loss.¹⁵ Chondrocyte viability directly correlates with the clinical success of OCA transplantation.³⁹ Fresh OCAs stored at physiologic temperature have the highest level of chondrocyte viability.⁶

One hundred twenty-two patients (129 knees) underwent OCA of the femoral condyle with graft failure defined as revision or conversion to arthroplasty.³⁰ Survivorship was 82% at 10 years, 74% at 15 years, and 66% at 20 years. Forty-seven percent of patients underwent reoperations, and 24% failed at a mean 7.2 years. Patients older than 30 years at time of surgery and with 2 or more previous surgeries were associated with allograft failure.

A study on 60 patients with femoral condylar allografts showed 95% graft survival at 5 years and 85% at 10 years.¹⁷ Unfortunately, limited data are available on the use of OCA

transplantation in an athletic population. In a recent study with 2.5-year follow-up, nearly 80% had returned to sport at the preinjury level, with all collegiate and professional athletes able to return to play.²⁹ Risk factors for not returning to sport were older than 25 years and preoperative symptoms for longer than 12 months.

STEM CELLS, CARTILAGE REGENERATION, AND GENE THERAPY

The treatment options discussed thus far result in the formation of predominantly fibrocartilage. The limited capacity of damaged cartilage to regenerate and the potential morbidity associated with implanting or transferring bone and cartilage make cartilage regeneration an attractive alternative.⁵³ The future of managing cartilage defects lies in providing biologic solutions through cartilage regeneration and tissue engineering.

Growth factors (eg, bone morphogenetic protein 2 [BMP-2] and fibroblast growth factor 2 [FGF-2]) are active molecules that can stimulate cell growth, enhance chondrogenesis, and augment the management of cartilage defects. Platelet-rich plasma (PRP) injections, which contain many of these growth factors, have shown promise as a possible solution to promote cartilage healing, improve clinical function, and decrease pain associated with cartilage lesions and osteoarthritis.^{7,9,48}

Other cartilage repair options are based on the concept that chondrocytes from morselized or particulated cartilage can migrate to form new hyaline-like repair tissue that integrates with surrounding tissue. Particulated juvenile articular cartilage (PJAC; DeNovo NT Natural Tissue Graft; Zimmer) consists of allograft articular cartilage from donors younger than 13 years.¹⁴ A prospective study of 25 patients with 2-year follow-up (mean

lesion size, $2.7 \pm 0.8 \text{ cm}^2$) demonstrated clinical outcome scores that were significantly greater than baseline scores, and there were no failures.¹³ Tissue samples taken at follow-up showed a mixture of hyaline and fibrocartilage with excellent integration of the transplanted tissue with the surrounding native articular cartilage.

Another treatment under investigation is gene therapy: using biologic factors to suppress cytokines (eg, tumor necrosis factors and interleukins). This has been useful in the management of rheumatoid arthritis.³⁵ In the case of osteoarthritis, only transforming growth factor (TGF)- β 1 has been studied in the clinical setting; its potential application for focal osteochondral defects has not been determined.¹⁹

SUMMARY

In general, smaller lesions ($<2 \text{ cm}^2$) are best treated with MF or OAT. OAT shows trends toward greater longevity and durability as well as improved outcomes in high-demand patients. Intermediate-size lesions ($2\text{--}4 \text{ cm}^2$) have shown fairly equivalent treatment results using either OAT or ACI treatment options. For larger lesions ($>4 \text{ cm}^2$), ACI or OCA have shown the best results, with OCA being an option for bone defects seen with large osteochondritis dissecans lesions. Each patient's treatment should be individualized taking into account lesion size, age, efficacy of treatment, patient preference, and cost (ie, ACI is a 2-stage procedure that is significantly more expensive and requires a longer rehabilitation period than OAT).

The "Holy Grail" for treatment of focal articular cartilage lesions has yet to be realized, as no single technique can reproduce normal hyaline cartilage.⁴⁵ The variety and depth of emerging technologies have the potential to revolutionize the field of cartilage repair and regeneration over the next decade.



Clinical Recommendations

SORT: Strength of Recommendation Taxonomy

A: consistent, good-quality patient-oriented evidence

B: inconsistent or limited-quality patient-oriented evidence

C: consensus, disease-oriented evidence, usual practice, expert opinion, or case series

Clinical Recommendation	SORT Evidence Rating
Improved treatment outcomes for osteochondral lesions are seen in full-thickness lesions in patients younger than 40 years. ^{21,31,34}	A
Each patient's treatment should be individualized taking into account lesion size, age, efficacy of treatment, patient preference, and cost. ⁵	C
For lesions $<4 \text{ cm}^2$, OAT demonstrates greater durability and improved outcomes in high-demand athletic patients. ^{18,28}	A

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