

Knot Floer homology detects fibred knots

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An important step in [5] uses JSJ theory [3, 4] to deduce some topological information about the knot complement when the knot Floer homology is monic, see [5, Sect. 6]. The version of JSJ theory cited there is from [1]. However, as pointed out by Kronheimer, the definition of “product regions” in [1] is not the one we want. In this note, we will provide the necessary background on JSJ theory following [3]. Some arguments in [5] will then be modified.

We first briefly explain the mistake in [5]. In [5, Sect. 6], we need a submanifold of M , such that every product annulus or product disk can be homotoped into this submanifold. The existence of such submanifold is well-known in JSJ theory, but the version of JSJ theory cited from [1] does not provide such existence result. In fact, the definition of “product regions” there [1, Definition 3.1] requires that every component of the product region contains a component of the suture. This condition is very restrictive and was ignored by the author in [5].

In this note we will use the standard JSJ theory to get the existence of such submanifold (called the characteristic pair), and prove that a large part of this submanifold is a product submanifold. This will be sufficient for our purpose.

Definition 1 An n -manifold pair is a pair (M, T) where M is an n -manifold and T is an $(n - 1)$ -manifold contained in ∂M . A 3-manifold pair (M, T) is *irreducible* if M is irreducible and T is incompressible. An irreducible 3-manifold pair (M, T) is *Haken* if each component of M contains an incompressible surface.

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Definition 2 [3, p. 10] A compact 3-manifold pair (S, T) is called an *I-pair* if S is an *I*-bundle over a compact surface, and T is the corresponding ∂I -bundle. A compact 3-manifold pair (S, T) is called an *S^1 -pair* if S is a Seifert fibred manifold and T is a union of Seifert fibres in some Seifert fibration. A *Seifert pair* is a compact 3-manifold pair (S, T) , each component of which is an *I*-pair or an *S^1 -pair*.

Definition 3 [3, p. 138] A *characteristic pair* for a compact, irreducible 3-manifold pair (M, T) is a perfectly-embedded Seifert pair $(\Sigma, \Phi) \subset (M, \text{int}(T))$ such that if f is any essential, nondegenerate map of an arbitrary Seifert pair (S, T) into (M, T) , f is homotopic, as a map of pairs, to a map f' such that $f'(S) \subset \Sigma$ and $f'(T) \subset \Phi$.

The definition of a perfectly-embedded pair can be found in [3, p. 4]. We note that the definition requires that $\Sigma \cap \partial M = \Phi$, so Σ is disjoint from $\partial M - T$.

The main result in JSJ theory is the following theorem due to Jaco–Shalen [3, p. 138] and Johannson [4].

Theorem 4 (Characteristic Pair Theorem) *Every Haken 3-manifold pair (M, T) has a characteristic pair. This characteristic pair is unique up to ambient isotopy relative to $(\partial M - \text{int}(T))$.*

Definition 5 Let (M, γ) be a sutured manifold. A 3-manifold pair $(P, Q) \subset (M, R(\gamma))$ is a *product pair* if $P = F \times [0, 1]$, $Q = F \times \{0, 1\}$ for some compact surface F , and $F \times 0 \subset R_-(\gamma)$, $F \times 1 \subset R_+(\gamma)$. We also require that $P \cap A = \emptyset$ or A for any annular component A of γ . A product pair is *gapless* if no component of its exterior is a product pair.

Definition 6 Suppose (M, γ) is a taut sutured manifold, (Σ, Φ) is the characteristic pair for $(M, R(\gamma))$. The *characteristic product pair* for M is the union of all components of (Σ, Φ) which are product pairs. A *maximal product pair* for M is a gapless product pair (P, Q) such that it contains the characteristic product pair, and if $(P', Q') \supset (P, Q)$ is another gapless product pair, then there is an ambient isotopy relative to γ that takes (P', Q') to (P, Q) .

Although the uniqueness of maximal product pairs is not guaranteed by the definition, the existence is obvious. In fact, if there is an infinite ascending chain of gapless product pairs

$$(P_0, Q_0) \subset \cdots \subset (P_i, Q_i) \subset (P_{i+1}, Q_{i+1}) \subset \cdots,$$

such that $(P_i, Q_i) \neq (P_{i+1}, Q_{i+1})$ up to ambient isotopy relative to γ , then we get a contradiction by Haken's Finiteness Theorem [2, Theorem III.20].

The exterior of a maximal product pair is also a sutured manifold. By definition the exterior does not contain essential product annuli or essential product disks.

Now we are ready to modify the arguments in [5]. The next theorem is a reformulation of [5, Theorem 6.2]. The proof is not changed though.

Theorem 6.2' *Suppose (M, γ) is an irreducible balanced sutured manifold, γ has only one component, and (M, γ) is vertically prime. Let \mathcal{E} be the subgroup of $H_1(M)$ spanned by the first homologies of product annuli in M . If $\widehat{HFS}(M, \gamma) \cong \mathbb{Z}$, then $\mathcal{E} = H_1(M)$.*

Corollary 7 *In the last theorem, suppose (Π, Ψ) is the characteristic product pair for M , then the map*

$$i_*: H_1(\Pi) \rightarrow H_1(M)$$

is surjective.

Proof We recall that such an M is a homology product [5, Proposition 3.1].

Suppose (Σ, Φ) is the characteristic pair for $(M, R(\gamma))$, then any product annulus can be homotoped into (Σ, Φ) without crossing γ . Let $\Phi_+ = (\Phi \cap R_+(\gamma)) \subset \text{int}(R_+(\gamma))$. Theorem 6.2' implies that the map $H_1(\Phi_+) \rightarrow H_1(R_+(\gamma))$ is surjective, so $\partial\Phi_+$ consists of separating circles in $R_+(\gamma)$. If a component $(\mathcal{S}, \mathcal{T})$ of (Σ, Φ) is an S^1 -pair, then $\mathcal{T} \cap R_+(\gamma)$ consists of annuli by definition. We conclude that each annulus is null-homologous in $H_1(R_+(\gamma))$.

Suppose a product annulus A contributes to $H_1(M)$ nontrivially, and it can be homotoped into a component (σ, φ) of (Σ, Φ) . Given the result from the last paragraph, this (σ, φ) cannot be an S^1 -pair. It is neither a twisted I -bundle since the two components of ∂A are contained in different components of $R(\gamma)$. So (σ, φ) must be a trivial I -bundle, and the two components of φ lie in different components of $R(\gamma)$. In other words, (σ, φ) is a product pair. Now our desired result follows from Theorem 6.2'. □

The following proof of the main theorem in [5] is only slightly changed. Basically we use “maximal product pair” here instead of the wrong notion “characteristic product region” in [5].

Proof of [5, Theorem 1.1] Suppose (M, γ) is the sutured manifold obtained by cutting open $Y - \text{int}(\text{Nd}(K))$ along F , $(\mathcal{P}, \mathcal{Q})$ is a maximal product pair for M . We need to show that M is a product. By [5, Proposition 3.1], M is a homology product. Moreover, by [5, Theorem 4.1], we can assume M is vertically prime.

If M is not a product, then $M - \mathcal{P}$ is nonempty. Thus there exist some product annuli in (M, γ) , which split off \mathcal{P} from M . Let (M', γ') be the remaining sutured manifold. By definition $(\mathcal{P}, \mathcal{Q})$ contains the characteristic product pair for M . Corollary 7 then implies that the map $H_1(\mathcal{P}) \rightarrow H_1(M)$ is surjective. So $R_{\pm}(\gamma')$ are planar surfaces, and $M' \cap \mathcal{P}$ consists of separating product annuli in M . Since we assume that M is vertically prime, M' must be connected. (See the first paragraph in the proof of [5, Theorem 5.1].) Moreover, M' is also vertically prime. By [5, Theorem 5.1], $\widehat{HFS}(M', \gamma') \cong \mathbb{Z}$.

We add some product 1-handles to M' to get a new sutured manifold (M'', γ'') with γ'' connected. By [5, Proposition 2.9], $\widehat{HFS}(M'', \gamma'') \cong \mathbb{Z}$. It is easy to see that M'' is also vertically prime. [5, Proposition 3.1] shows that M'' is a homology product.

Let H be one of the product 1-handles added to M' such that H connects two different components of γ' . By Theorem 6.2', there is at least one product annulus A in M'' , such that A cannot be homotoped to be disjoint from the cocore of H . Isotope A if necessary, we find that at least one component of $A \cap M'$ is an essential product disk in M' , a contradiction to the assumption that $(\mathcal{P}, \mathcal{Q})$ is a maximal product pair. □

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