

## L-Edge X-ray Absorption Spectroscopy of Dilute Systems Relevant to Metalloproteins Using an X-ray Free-Electron Laser

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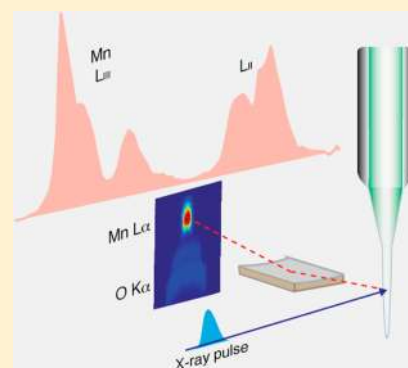
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### Supporting Information

**ABSTRACT:** L-edge spectroscopy of 3d transition metals provides important electronic structure information and has been used in many fields. However, the use of this method for studying dilute aqueous systems, such as metalloenzymes, has not been prevalent because of severe radiation damage and the lack of suitable detection systems. Here we present spectra from a dilute Mn aqueous solution using a high-transmission zone-plate spectrometer at the Linac Coherent Light Source (LCLS). The spectrometer has been optimized for discriminating the Mn L-edge signal from the overwhelming O K-edge background that arises from water and protein itself, and the ultrashort LCLS X-ray pulses can outrun X-ray induced damage. We show that the deviations of the partial-fluorescence yield-detected spectra from the true absorption can be well modeled using the state-dependence of the fluorescence yield, and discuss implications for the application of our concept to biological samples.



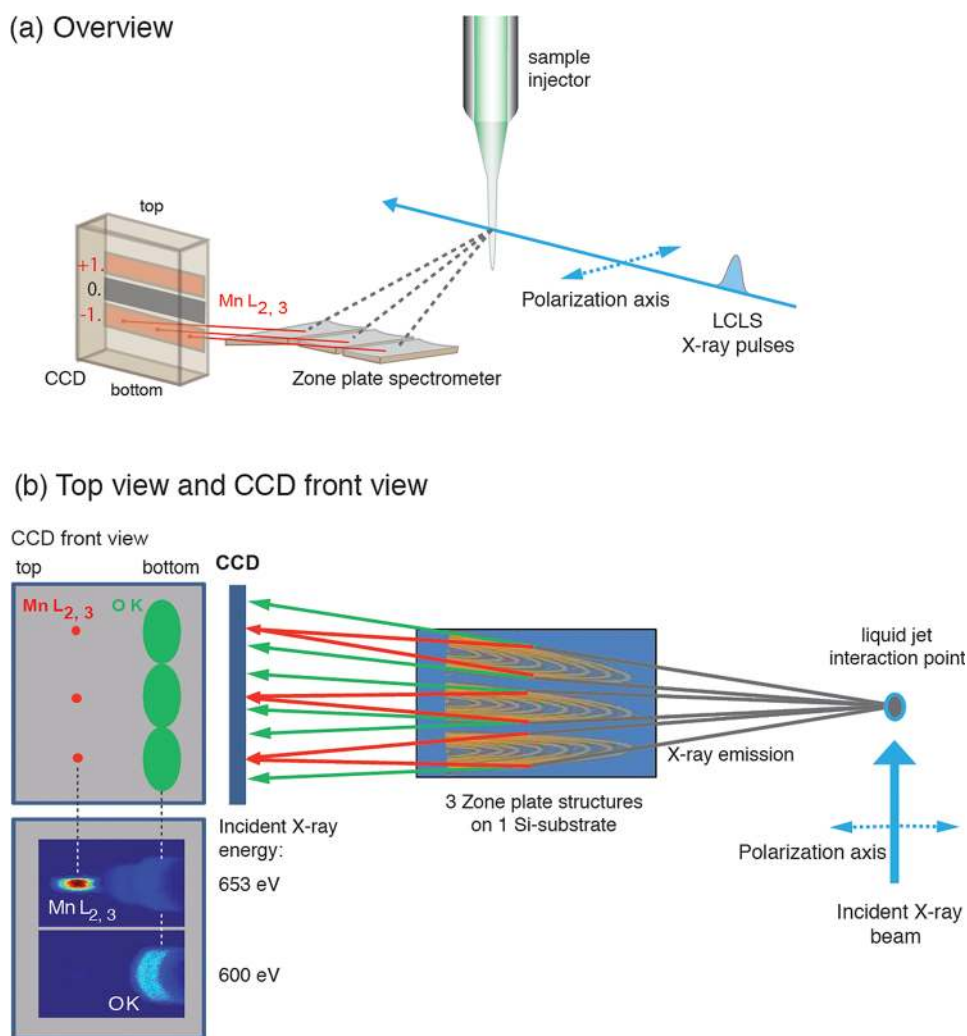
**SECTION:** Spectroscopy, Photochemistry, and Excited States

Recent developments of X-ray free electron lasers (XFELs) open up opportunities for studying the chemical and structural dynamics of a wide variety of systems beyond what is possible at synchrotron radiation (SR) sources. Ultrabright femtosecond X-ray pulses allow data collection before the onset of radiation damage, enabling studies under functional

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**Figure 1.** Schematic depiction of the experimental setup with the high-transmission X-ray spectrometer showing (a) overview and (b) top view with a front view of the CCD camera. (b) Left: CCD front view with schematic depiction of Mn L-fluorescence focused at the top of the CCD (left in the figure), and O K-fluorescence defocused at the bottom of the CCD (right in the figure) and the measured CCD images depicting the fluorescence of a solid MnO sample as diffracted off one zone plate taken at an incident photon energy below (600 eV) and above (653 eV) the Mn L-edge (intensity encoded in color: red high, blue low). The axis of linear polarization of the incident beam is indicated.

conditions. This is particularly beneficial for biological materials and samples in aqueous solution, e.g., to follow chemical dynamics during catalytic reactions and electron transfer processes. The data collection of biological systems are traditionally carried out under cryogenic temperature at SR sources by trapping intermediate states to minimize chemical relaxation, and to avoid fast X-ray-induced radiation damage at room temperature. The “probe-before-destroy” approach with femtosecond (fs) pulses at XFELs has been demonstrated for structural determination by serial X-ray diffraction of microcrystals<sup>1–3</sup> as well as X-ray emission spectroscopy of Photosystem II (PS II)<sup>4,5</sup> using hard X-rays.

To probe the chemical interactions of 3d transition metal catalysts in metalloenzymes and bioinspired materials, metal K-edge spectroscopy ( $1s \rightarrow np$  transitions in the hard X-ray energy range) has been widely used at SR sources (for example, ref 6 for Mn X-ray absorption spectroscopy (XAS) in PS II). The preference of K-edge over L-edge spectroscopy ( $2p \rightarrow 3d$  transitions in the soft X-ray energy range) is mainly due to the advantages arising from 2 orders of magnitude smaller absorption cross sections at the K-edges. This reduces radiation

damage, guarantees inherent bulk sensitivity due to the larger penetration depth, and often results in comparatively simpler experimental setups compared to L-edge spectroscopy experiments that typically require an ultrahigh vacuum environment. Despite the experimental difficulties, it is highly desirable to use L-edge spectroscopy for studying metal catalysts, as (i) it provides significantly higher resolution since the natural line widths at the  $L_2$  and  $L_3$  edges are approximately one-fourth of those at the K-edge, and (ii) the frontier orbitals are directly accessible under dipole selection rules ( $2p \rightarrow 3d$  transitions), while only  $s \rightarrow p$  transitions are allowed at the K-edge (note that the  $1s \rightarrow 3d$  transitions are quadrupole-allowed at the K-edge and are – together with dipole transitions to hybridized states that have gained some p-character, visible as a pre-edge feature in the K-edge spectra). Direct probing of the 3d electronic structure at higher resolution gives greater chemical sensitivity to, e.g., oxidation states, symmetry, and covalency of the complex studied.<sup>7–11</sup> Furthermore, (iii) the experimental L-edge data can be complemented by theoretical calculations of the 3d electronic structure using the crystal-field multiplet

approach<sup>11</sup> and recently developed state-of-the-art *ab initio* treatments based on quantum-chemical methods.<sup>12–14</sup>

Even at cryogenic temperatures, biological spectroscopy in the soft X-ray regime is hampered mainly by severe radiation damage caused by radical diffusion taking place in the picosecond time regime.<sup>15,16</sup> Radiation damage can naturally be avoided if the sample is replaced faster than the rate of damage by circulating or injecting the sample into the X-ray interaction region. This also allows for probing the system at room temperature in its natural environment by preventing dehydration and therefore guaranteeing catalytic turnover. A drawback in this case is the necessity of fast sample replacement, requiring large quantities of protein samples. In this report, we describe our approach to biological L-edge XAS at free-electron lasers with a newly developed high-transmission energy-discriminating spectrometer using a reflection zone-plate. We discuss how the ‘probe-before-destroy’ approach using the ultrashort fs X-ray pulses of XFELs can overcome radiation damage guaranteeing radiation-damage free detection of L-edge XAS. The design of the spectrometer is optimized for the collection of transition metal L-edge spectra from dilute systems that are relevant to metalloenzymes in biology. The spectrometer described here is designed for Mn L-edge XAS with the aim of using it for data collection from Mn-containing metalloenzymes such as PS II, Mn catalase,<sup>17,18</sup> and MnFe ribonucleotide reductase,<sup>19,20</sup> by injecting solution samples into the X-ray interaction point. However, we emphasize that the idea of the reflection zone-plate spectrometer is quite general and is applicable to other dilute metalloenzyme systems containing metals such as Fe, Cu, Mo, and others.

The most direct way of measuring XAS is to perform a transmission measurement, and numerous approaches for collecting transmission XAS of liquid samples at soft X-ray energy have been introduced recently.<sup>16,21–24</sup> Due to the low concentration ( $\sim$  mM regime) of biological samples in solution, however, transmission measurements are impossible as the sample would be either too thin for appreciable solute absorption at acceptable solvent transmission or too thick with unacceptable solvent transmission at appreciable solute absorption. An alternative way is to collect Auger electrons or fluorescence photons resulting from the core-hole decay while scanning through the absorption edge to indirectly measure the absorption cross section. Auger electrons represent, by far, the dominant decay channel in the soft X-ray regime and measuring the Auger electron yield (or the inelastically scattered background via partial or total electron-yield detection) can provide the true absorption cross section. Although electron detection for biological samples in solution has been employed,<sup>25</sup> the surface sensitivity of electron-detected XAS (1 nm) complicates the analysis. Furthermore, for time-resolved experiments at XFELs, electrons suffer from space-charge effects due to strong X-ray or optical fields.<sup>26,27</sup>

Fluorescence-detected 3d transition metal L-edge XAS is bulk-sensitive with a probing depth on the order of 1  $\mu$ m. However, total-fluorescence yield (TFY) detection without discrimination of solute and solvent signals often suffers from severe artifacts that render the collected signal different from the true absorption cross section, e.g., state-dependent decay probabilities<sup>28</sup> and concentration effects.<sup>29–31</sup> These influences might be small at low concentrations, and TFY L-edge XAS of biological samples in solution in the mM regime at SR sources have been reported.<sup>32–35</sup> In the case of Mn in biological samples like PS II, an additional challenge arises from the

presence of an overwhelming oxygen K $\alpha$  fluorescence signal, i.e., detecting Mn L-fluorescence at  $\sim$ 640 eV from 4 Mn atoms out of the dominant O K-fluorescence at  $\sim$ 525 eV from  $\sim$ 25000 O-atoms in the protein of  $\sim$ 350 kDa, in addition to the overwhelming 55 molar oxygen from water. Therefore, collecting partial fluorescence yield (PFY)-detected XAS instead of TFY by energy-discriminating other fluorescence signals is mandatory. So far, and to the best of our knowledge, the only approach for separating the Mn L- and the O K-fluorescence for PFY XAS was done with a superconducting solid state detector with 20 eV resolution<sup>36</sup> (see Supporting Information Figure S1). This detector, however, is not suitable for our aims to measure XAS at an XFEL, because it operates in single-photon counting mode with low repetition rates. Thus, it would be swamped by the excess O K-fluorescence photons which arrive within the short (fs) length of the XFEL pulse.

Our approach to Mn L-edge PFY XAS at XFELs is to use a high-transmission spectrometer optimized for discrimination of Mn L- and O K-fluorescence. A schematic depiction of the experimental setup is shown in Figure 1. With its bulk sensitivity and the absence of space-charge effects, PFY detection enables time-resolved X-ray spectroscopy of biological samples at XFELs.

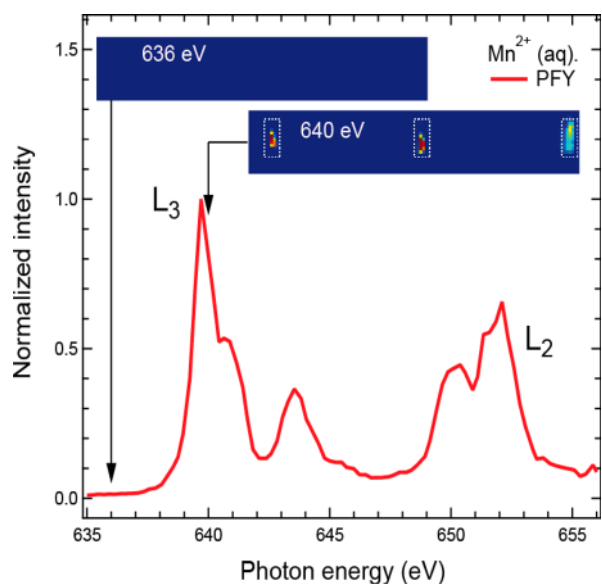
We used a detection scheme with a single optical element based on total reflection zone plates (RZPs), optimized for high-transmission PFY XAS. The spectrometer has high transmission due to its ability to disperse and focus the fluorescence signal in one optical element with a large acceptance (solid) angle. It consists of three zone-plates on a single Si substrate (Figure 1)<sup>37</sup> and was designed to select the Mn L-edge fluorescence at 640 eV with a bandwidth of 20 eV (fwhm), sufficient to separate the Mn L- from the O K-edge fluorescence at  $\sim$ 525 eV. The three reflection zone plates are placed at 90 mm from the sample jet to collect the maximum possible solid angle of the fluorescence. The spectrometer is optimized such that the negative first order diffracted light is used to record the X-ray spectra (Figure 1a). O K- and Mn L-edge fluorescence are focused to different focal planes along the ray and dispersed to different vertical positions at the detection plane (Figure 1b). By placing the CCD detector at the appropriate focus position, the Mn L-edge fluorescence can be efficiently separated from the O K-edge fluorescence. A test measurement on solid MnO performed at the BESSYII SR source with incident photon energies below and above the Mn L-edges, shown in Figure 1b, confirmed the feasibility of this concept.

Our concept provides a solid angle larger by 2 orders of magnitude compared to more conventional high-resolution X-ray spectrometers with grazing-incidence gratings in Rowland geometry (ref 38 and references therein). The spectrometer was designed for an optimum of both tangential angular acceptance and diffraction efficiency at the working wavelength of 1.94 nm (640 eV). Choosing the negative first order (Figure 1a) allows for optimized grazing incidence geometry with incidence angles of 1.5° to 3.9° and corresponding diffraction angles of 1.18° to 0.96°. The depth of profile was chosen to be 17 nm, thus resulting in a maximum diffraction efficiency of 16% for the middle of the zone plate. Compared to the normally chosen positive first order, the use of the negative first order provides several times higher integral diffraction efficiency for the Mn fluorescence (for details, see Figure S2 and Supporting Information). As the horizontal aperture of a single RZP is limited by its smallest useful structures (about 80

nm in our spectrometer), we used three separate RZPs on one substrate in order to maximize the solid angle.

To quantify RZP misalignment tolerances and to develop a fast and reliable adjustment strategy, we conducted extensive ray tracing simulations utilizing the 'Ray' code (for details, see Supporting Information, Figure S3).<sup>39</sup> In short, the RZPs are prealigned *ex situ* with respect to the optical axis of the spectrometer using visible laser light. Alignment of the whole spectrometer, including the RZPs and the detector with respect to the source of fluorescence, is done *in situ* by manipulating the three translational degrees of freedom (horizontal and vertical displacement of the RZP and RZP-source distance). We used an iKon-L 936 X-ray CCD (Andor Ltd.) as a 2D detector to ensure high quantum yield and single photon sensitivity.

As a proof-of-principle of detecting Mn L-edge PFY XAS from an aqueous biological system, we measured solvated  $\text{Mn}^{2+}$  from an aqueous solution of 500 mM  $\text{MnCl}_2$  at LCLS. An electrospun liquid jet<sup>40</sup> was used to deliver the sample at the X-ray intersection point, with a flow rate of  $\sim 0.2 \mu\text{L}/\text{min}$  ( $\sim 5 \mu\text{m}$  diameter). Representative CCD images and the integrated spectrum are shown in Figure 2.

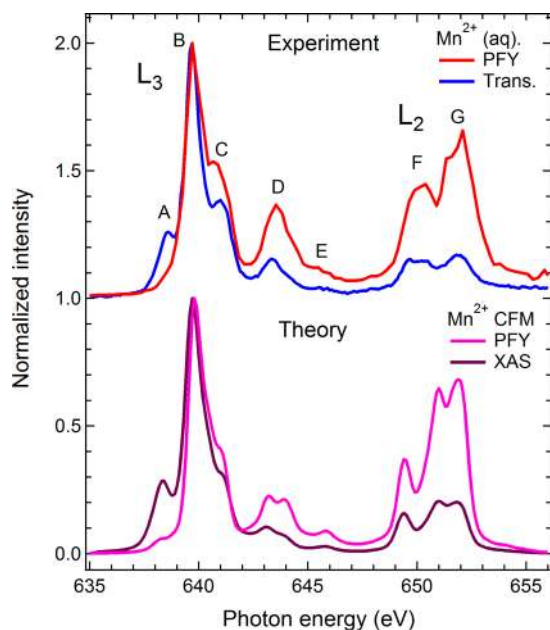


**Figure 2.** Mn L-edge partial fluorescence yield (PFY) X-ray absorption spectrum (red line) of  $\text{MnCl}_2$  (500 mM) aqueous solution measured with the PFY detector. The panels above the spectrum depict CCD images in the region of the Mn L-edge fluorescence taken below (at 636 eV) and above (at 640 eV) the Mn  $L_3$ -absorption edge (intensity encoded in color: red high, blue low). The dashed lines mark the three areas on the CCD with Mn L-edge fluorescence from the three zone plates. Photon energies were calibrated at the maximum of the  $L_3$  edge to 639.7 eV<sup>41</sup> with a reference spectrum of solid MnO (see Supporting Information, Figure S4).

The monochromator of the SXR instrument at LCLS<sup>42</sup> was scanned from 634.5 to 658.5 eV in steps of 0.24 eV, and the CCD images were acquired with an acquisition time of 10 s per step, yielding a total measurement time of 17 min for the spectrum. The three areas on the CCD comprising the Mn L-fluorescence as diffracted from the three RZPs can easily be identified by comparing the CCD images taken at incident photon energies below and above the Mn  $L_3$  absorption edge (dashed areas in Figure 2). The PFY  $\text{Mn}^{2+}$  (aq.) L-edge XAS was obtained by plotting the integrated intensity of these three

areas versus the incident photon energy. The average count rate in the integrated area was approximately 2000 counts per pixel (per 10 s) at the maximum of the  $L_3$  absorption (639.7 eV) with single pixels recording up to 20 000 counts (per 10 s). The conversion factor is about 50 counts/photon for the Andor CCD. The measurement was virtually background-free as the combined counts arising from both dark noise counts and stray light, which arises from remaining O K-fluorescence, were less than 20 counts per pixel (on average), <1% of the average signal. In Figure 2, this background has been subtracted, and the data were normalized to the maximum intensity. This measurement demonstrates that the fluorescence signal from 3d transition metal atoms can be separated from ligand and solvent signals with unprecedented efficiency with the concept presented here. We also obtained two additional spectra under slightly varied experimental conditions (see Figure S5) to confirm the reproducibility of this approach. From an extrapolation of the present count rates to measurements on PS II at a 100 times lower concentration, we expect count rates of 20 counts per pixel (on average) compared to an expected background of 20 counts per pixel. Based on these numbers, we expect a collection time of about 30–36 h for a spectrum from PS II. This demonstrates the feasibility of biological spectroscopy at low concentrations with the current zone-plate design, and shows that significant reductions in total signal levels or signal-to-background levels expected from alternative lower solid angle detection schemes or lower energy discrimination detectors would render the experiments unfeasible.

In the following, we address the deviations in the experimental PFY detected L-edge XAS from the true absorption cross-section. We confirmed using simulations that self-absorption does not influence the PFY spectrum in the 500 mM Mn solution sample (Supporting Information Figure S6), and therefore such influence will not affect biological samples with much lower metal concentration. Like TFY, PFY L-edge XAS of 3d transition metals has been shown to deviate from the true absorption coefficient due to state-dependent fluorescence yields.<sup>28,43–45</sup> In order to apply PFY-detected XAS collected with XFEL pulses for the analysis of chemical states in dilute biological samples, it is important to address the influence of state-dependent fluorescence yield on the PFY spectrum. In Figure 3 (top panel), we compare the Mn L-edge PFY spectrum from Figure 2 with a measurement of the true absorption cross section of  $\text{Mn}^{2+}$  (aq.) as obtained in transmission mode at BESSYII. We note that peak A is strongly suppressed in the PFY spectrum, while peaks C–G are enhanced compared to the transmission measurement (both spectra are normalized to one at peak B). In the bottom panel of Figure 3, we show the theoretical spectra evaluated with ligand-field theory<sup>11</sup> for a  $\text{Mn}^{2+}$  ion in a hexa-aqua complex in cubic symmetry ( ${}^6A_1$  state, crystal field parameter  $10Dq = 1.2$  eV) excluding (XAS) and including (PFY) state dependent X-ray emission cross sections. State-dependent fluorescence yield is an intrinsic atomic property of the system under investigation and thus represents an intrinsic property of PFY XAS measurements.<sup>28,45</sup> Polarization effects are taken into account in the calculated spectra<sup>28</sup> in accordance with the experimental conditions, i.e., the axis of linear polarization of the incident radiation was parallel to the scattering plane (image plane in Figure 1b) defined by the propagation direction of the incident radiation and the optical axis of the spectrometer that is at an angle of  $90^\circ$  (Figure 1). An overall excellent agreement is obtained, with only small deviations apparent in peaks D and G.



**Figure 3.** Top: Mn L-edge partial fluorescence yield spectrum (PFY, red, same as in Figure 2) and X-ray absorption spectrum measured in transmission mode (Trans., blue) of  $\text{Mn}^{2+}$  (aq.). Bottom: Calculated Mn L-edge PFY (pink) and X-ray absorption (XAS, violet) spectra of  $\text{Mn}^{2+}$  as calculated with the crystal-field multiplet approach (taken from ref 28). State-dependent fluorescence and polarization effects were taken into account for the calculated PFY spectrum (see text). All spectra are normalized to one at the maximum.

In particular, the suppression of A and the enhancement of C–G, when going from PFY to the true absorption cross section, is quantitatively reproduced. We thus conclude that the PFY Mn L-edge XAS measured at LCLS can be quantitatively described, taking into account the intrinsic state-dependent fluorescent yield.

The comparison of measured and calculated spectra in Figure 3 reveals an additional important aspect. The LCLS PFY experiment was done at a flux of  $10^{11}$  photons per pulse for  $\sim 100$  fs pulses, while the transmission measurement at BESSYII was performed at a photon flux of approximately  $10^8$  photons/s (corresponding to  $3 \times 10^5$  per pulse) with a pulse duration of 100 ps. The beam diameter on the sample was  $200$  (vertical)  $\mu\text{m} \times 20$  (horizontal)  $\mu\text{m}$  at LCLS and it was  $100 \mu\text{m}$  at BESSYII (round spot, fwhm). The photon power density was thus several orders of magnitude higher at LCLS than at BESSYII. Since the deviations of the PFY Mn L-edge spectrum from the true absorption cross section is quantitatively captured by taking state-dependent fluorescence yield into account, sequential ionization/excitation or other unwanted processes due to the ultrabright femtosecond X-ray pulses at LCLS do not seem to significantly contribute to the spectrum under the flux used in our experiment.

In conclusion, we have shown how PFY-detected 3d transition metal L-edge XAS from Mn aqueous solution samples can be collected with the ultrabright femtosecond X-ray pulses at the XFEL, by discriminating the solute from the solvent fluorescence with a novel fluorescence-yield detector with high efficiency. We expect that this approach will be suitable for collecting XAS of dilute solvated species in the mM range efficiently at XFELs. We also demonstrated how state-dependent fluorescence yield causes the PFY-detected XAS to deviate from the true absorption cross section for the model

case of  $\text{Mn}^{2+}$  ions in aqueous solution, and discussed implications for the application of our concept to biological samples. We expect that the approach presented here will enable us to gain insights into the chemical interactions of 3d transition metal catalysts in metalloenzymes and biomolecules, and to pave the way for their investigation with time-resolved L-edge spectroscopy at XFELs.

## EXPERIMENTAL SECTION

**Materials.**  $\text{MnCl}_2$  was purchased from Sigma-Aldrich and used without further purification.  $\text{Mn}^{\text{II}}\text{Cl}_2$ , 500 mM Mn, was dissolved in a glycerol/water (35%, w/v) mixture. Thin solid  $\text{MnO}_x$  films with various thicknesses (10, 20, and 50 nm  $\text{MnO}_x$  coated on Si wafer) were purchased from Luxel Corporation (Friday Harbor, WA, USA).

**Data Collection and Analysis.** The PFY measurements were performed at the SXR instrument at the LCLS.<sup>42,46</sup> The  $\text{MnCl}_2$  aqueous solution samples (500 mM) were injected into the X-ray beam in the liquid jet end station (LJE) (for details, see the work of Kunnus et al.<sup>38</sup>) by means of an electrohydrodynamic-focused liquid jet of several micrometers in diameter in the cone-jet mode.<sup>40</sup> X-ray pulses of  $\sim 100$  fs pulse length at a repetition rate of 120 Hz were used for the data collection. The SXR monochromator was scanned from 634.5 to 658.5 eV using a bandwidth of 300 meV.<sup>42</sup> The X-ray flux was estimated to be  $1.3 \times 10^{10}$  photons per pulse on the sample (using 1 mJ per pulse before the beamline, and an overall beamline transmittance including the monochromator and the Kirkpatrick-Baez (KB) optics of about 0.2%), and the KB optics were bent to produce a beam size of  $200$  (v)  $\times 20$  (h)  $\mu\text{m}^2$  at the sample. The Mn fluorescence signals on the three areas of the CCD camera (iKon-L 936, Andor Ltd., UK) were integrated, background-corrected, and normalized to the total fluorescence signal (extracted from the CCD images utilizing the zero-order diffraction of the zone plates).

The transmission measurements of aqueous  $\text{Mn}^{\text{II}}\text{Cl}_2$  solutions (1000 mM) were carried out at the synchrotron radiation facility BESSYII of Helmholtz-Zentrum Berlin, Berlin, Germany, at the dipole beamline PM3 in single bunch operation mode with the specialized sample holder optimized for soft X-ray transmission measurements of liquid samples described in more detail in Schreck et al.<sup>24</sup> The coordination number of  $\text{Mn}^{2+}$  ion in water at  $\sim 1000$  mM concentration is close to six, with the hexa-aqua complex  $[\text{Mn}(\text{H}_2\text{O})_6]^{2+}$  as the dominant entity.<sup>47,48</sup>

The total electron yield (TEY) measurements of thin solid  $\text{MnO}_x$  films (see Supporting Information) were performed at the Stanford Synchrotron Radiation Light source (SSRL) at the SLAC National Accelerator Laboratory, Stanford, USA at beamline 10.1. The wiggler beamline is equipped with a spherical grating monochromator which was operated at 0.2 eV resolution. The TEY was collected by the sample drain current and normalized by a freshly evaporated gold grid intercepting the beam upstream.

## ASSOCIATED CONTENT

### Supporting Information

Spectra from a superconducting tunnel junction detector (Figure S1), the simulation of the diffraction efficiency of the  $-1$  and  $+1$  order of the zone plate (Figure S2), the design parameters and optimization of the zone plate (Figure S3), the Mn L-edge spectra using different detection methods (Figure S4), the  $\text{MnCl}_2$  spectra collected at LCLS under different

conditions (S5), and the effects of saturation on the spectra (Figure S6). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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