#### **CRITICAL REVIEW**



# Lab-scale photobioreactor systems: principles, applications, and scalability

Philipp Benner<sup>1</sup> · Lisa Meier<sup>2</sup> · Annika Pfeffer<sup>2</sup> · Konstantin Krüger<sup>2</sup> · José Enrique Oropeza Vargas<sup>2</sup> · Dirk Weuster-Botz<sup>1,3</sup>

Received: 22 October 2021 / Accepted: 14 February 2022 / Published online: 18 March 2022 © The Author(s) 2022

#### Abstract

Phototrophic microorganisms that convert carbon dioxide are being explored for their capacity to solve different environmental issues and produce bioactive compounds for human therapeutics and as food additives. Full-scale phototrophic cultivation of microalgae and cyanobacteria can be done in open ponds or closed photobioreactor systems, which have a broad range of volumes. This review focuses on laboratory-scale photobioreactors and their different designs. Illuminated microtiter plates and microfluidic devices offer an option for automated high-throughput studies with microalgae. Illuminated shake flasks are used for simple uncontrolled batch studies. The application of illuminated bubble column reactors strongly emphasizes homogenous gas distribution, while illuminated flat plate bioreactors offer high and uniform light input. Illuminated stirredtank bioreactors facilitate the application of very well-defined reaction conditions. Closed tubular photobioreactors as well as open photobioreactors like small-scale raceway ponds and thin-layer cascades are applied as scale-down models of the respective large-scale bioreactors. A few other less common designs such as illuminated plastic bags or aquarium tanks are also used mainly because of their relatively low cost, but up-scaling of these designs is challenging with additional lightdriven issues. Finally, this review covers recommendations on the criteria for photobioreactor selection and operation while up-scaling of phototrophic bioprocesses with microalgae or cyanobacteria.

Keywords Photobioreactor · Microalgae · Lab-scale reactors · Scale-up

# Introduction

After the earlier 'green trend' in the 1980s, bio-based chemicals, such as oleochemicals, gained increasing attention as a sustainable alternative to fossil fuel-based products [1]. The EU Commission identified the chemical industry as one of the closest industries to the EU Green Deal, due to its impact on the end-use sector. The Commission intends to present a chemical strategy for sustainability to protect citizens and

the environment from hazardous chemicals, as well as to promote innovation for the development of safe and sustainable alternatives. The priority is to develop new technologies without directly or indirectly using valuable land for plants, food, or fossil fuels [2]. Biotechnological methods can pave the way to more sustainable chemical products of renewable resources [3]. Photosynthetic microorganisms such as microalgae and cyanobacteria have become subjects of interest recently. Converting carbon dioxide provides an environmental advantage over heterotrophic organisms [4], as  $CO_2$  sequestration reduces the increase of global warming [5]. Phototrophic microorganisms may become cell factories for the biological synthesis of bioactive compounds. In a study, supported by the European Union, 33 microalgae strains were analyzed as new potential production hosts for active compounds for human therapeutics [6]. Some species of microalgae, particularly brown algae, have also been in the spotlight of food production, thanks to their nutritional value. These organisms contain complex polysaccharides, minerals, proteins, and vitamins as well as

Dirk Weuster-Botz dirk.weuster-botz@tum.de

Department of Energy and Process Engineering, Chair of Biochemical Engineering, Technical University of Munich, TUM School of Engineering and Design, Boltzmannstraße 15, 85748 Garching, Germany

<sup>&</sup>lt;sup>2</sup> Technical University of Munich, TUM School of Engineering and Design, Boltzmannstraße 15, 85748 Garching, Germany

<sup>&</sup>lt;sup>3</sup> Technical University of Munich, TUM-AlgaeTec Center, 85521 Taufkirchen, Germany

diverse phytochemicals, which have interesting therapeutic properties [7]. Bioactives with antioxidative, anti-inflammatory, immunomodulatory, antihypertensive, anticancer, and anticoagulant effects were found in red algae and Spir*ulina* [8–10]. With the increasing demand for environmentally friendly alternatives, the development of biofuel and biolubricants has become a common research topic. Lipids produced from microalgae or other microorganisms are the basis for this production [11-13]. While plants like rapeseed are nowadays used for lipid production, microalgae seem to be a promising alternative as they do not compete with the food and grow fast [14, 15]. In wastewater treatment applications, mixotrophic microalgae can also be implemented as they use different organic and inorganic substances that would be considered a contaminant otherwise, for their growth [16]. The application of mixotrophic microalgae in combination with wastewater treatment are reviewed in [19]. Aquatic plants and microalgae are also used for phytoremediation, absorbing pollutants such as nitrogen and phosphorus, degrading organic matter, and accumulate heavy metals in their biomass [17, 18].

On an industrial scale, microalgae are usually cultivated in open-pond systems due to the low operational cost; however, the ponds offer an insufficient control of reaction conditions as well as possible contamination from harmful microorganisms [19]. In comparison, closed photobioreactor systems usually offer a higher yield of biomass and product as well as higher photosynthetic efficiency and lower water loss thanks to the controlled environment, but are much more expensive [20].

Ideally, photobioreactors would have a perfect mixing of substrates with little hydrodynamic shear stress for the cells, no dead volume, sufficient gas–liquid mass transfer for  $CO_2$  absorption and  $O_2$  release, and, in the case of photobioreactors, each microalgae cell would have access to optimal light absorption in any position inside the bioreactor [21]. However, in reality, ideal photobioreactor conditions are impossible to achieve, so different designs of closed photobioreactors are available, each with different techniques to come as close as possible to these ideal conditions to ensure optimal growth and production during the process [19]. In this review, the focus will be on laboratory-scale photobioreactors, which range in volume from a few liters [22] to microliters [23] and are characterized by their use in research instead of the production of consumer goods.

One of the crucial requirements for a photobioreactor is to provide enough light to allow for the growth of the microalgae or cyanobacteria culture. Low light intensities do not deliver enough energy for optimal growth and high light intensities lead to photoinhibition of the algae lightharvesting system [24, 25]. The quantity of light that is emitted by the light source is not the same as what gets absorbed by the photosystems of the cells. Thus, there are different state variables to measure light quantity in the photobioreactor. One is the light intensity. It represents the luminous flux in  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> that is irradiated from the light source to the surface of the reactor. There, on the surface, it is also referred to as incident photon flux density. Incident light intensity is easy to measure, can be compared between all different kinds of reactors, and is a basic light parameter mentioned in almost all the literature. Unfortunately, the informative value of the incident photon flux density is limited because light gets attenuated over distance in the suspension as the growing cells absorb the light and shade each other in the culture. Light attenuation should be taken into account. For example, Pfaffinger et al. rely on the mean light intensity that can be calculated depending on the layer thickness of the suspension and biomass concentration of the culture [26].

While on an industrial scale the sun often illuminates the photobioreactors, lab-scale photobioreactors are illuminated by artificial light. It is possible to reach higher biomass or product concentration with the light of a certain wavelength: white, red, and blue light have shown to be sufficient for laboratory-scale cultivation [27, 28]. But because industrialscale cultivation usually takes place under light-limited conditions, which allow for good process control, growth behavior under a light limitation is also investigated in laboratory photobioreactors [29, 30]. Additional fixtures, that channel the light inside the photobioreactor can enhance light distribution and are easy to scale up by just increasing their number for higher volumes [31, 32]. An alternative to engineering the illumination efficiency of the photobioreactor is to engineer the light-harvesting system of the cultivated microorganism [33]. This can be via genetic modification by reducing chlorophyll b synthesis or red-shifting of the light-harvesting system, for example [34, 35]. Those methods are quite new and still under development, but they have already led to promising results regarding enhanced algae growth under high light intensity or the light of a certain wavelength [36–38].

Scaling-up photobioreactor processes present higher complexity compared to conventional reactors, as the heterogeneity of light intensity and availability is a critical factor [39]. Transfer from laboratory to large-scale cultivation of microalgae processes requires careful planning [40, 41]. Besides the crucial light supply, the optimization of state variables such as pH, temperature, CO<sub>2</sub> supply and O<sub>2</sub> release, inoculum concentration in batch processes and nutrient composition is also required before any scale-up can be considered [39, 42]. Before cultivating at the production scale, the cultivation method needs to be chosen wisely by weighing the different cultivation systems against each other [40]. Scaling factors must be considered from the beginning of the production system and the equipment must be designed accordingly [41]. Lab-scale experiments considering these state variables are imperative to pursue a successful scale-up [39–42].

Morschett et al. already published a mini review on micro photobioreactors that focuses mainly on microtiter plates, while other reactor types are marginally covered [43]. This review's claim is to integrate the new insights on microtiter plate cultivation of photosynthetic microorganisms since then and combine them with more detailed information on other laboratory size photobioreactors, to evaluate their advantages and disadvantages in scientific application. Beyond that, this review highlights the potentials of scale-up microalgae cultivation processes based on data generated with the laboratory photobioreactors.

Regarding the scale-up and its concept to a successful industrial application similar review papers also exist [29, 44, 45]. Pruvost et al. discuss parameters to consider when designing and operating microalgal cultivation systems and how appropriate engineering rules can support optimal system design and operation. Moreover, in their review, the focus lies on explaining the influencing parameters to better understand the interrelationship. In previous reviews, the focus is often on the various types of reactors used in industry, their advantages, and disadvantages, and how to handle them. It is often emphasized that the technology transfer from small scale to large scale is essential, but not how and according to which rules this is best approached [39, 46]. On the other hand, most published studies regarding photobioreactors at the laboratory scale only have a very restricted theoretical outlook on scaling up [22, 29, 47]. The technology for the large-scale cultivation of phototrophic microorganisms already exists. Being feasible on this scale is more critical and depends largely on the correct choice of the state variables and the right technology transfer from the laboratory to the industrial scale [48, 49]. The different lab-scale photobioreactors can simulate large-scale conditions in different ways, which is the reason why numerous different reactors exist, all with their special advantages and disadvantages. This review intends to summarize which type of laboratory-scale photobioreactor can simulate large-scale conditions, to what degree, and to give recommendations about which reactor might be used for pre-scale-up experiments. The section on scale-up is intended to provide guidelines based on the existing problems that should be considered during a scale-up.

#### Lab-scale photobioreactor systems

#### **Microfluidic photobioreactors**

A recent option for studying microalgae are illuminated microfluidic photobioreactors. In these chips, several

culture compartments are connected with a fluidic channel, to allow the run-in of microalgae as well as nutrients. Usually built with polydimethylsiloxane (PDSM) layers, in which single colonies are trapped in an array, the dimension of each layer is around 2-3 cm long, 7-8 cm wide and 3 mm thick. This, in turn, allows for the preparation of high-throughput experiments using these chips, since several different conditions can be studied at once in a small space. One of these studies, for optimization, examines light cycle and light intensity variability in microalgae cultivation. Thanks to the flexibility of construction through layers, the devices can be adjusted with an additional light-blocking layer, light-dark cycle control layer or light intensity control layer [23]. The devices can also be modified to allow a specific flow of substrate into the growth chamber with the use of valves, which in turn can be used to screen lipid accumulation through different concentrations of a stress-inducing agent [50]. Substrate solutions with defined pH can be used although pH measurement within the chip is not reported.

A steady liquid flow is normally used during the illuminated cultivation of microalgae, but during initial inoculation, unequal flow conditions are used for diffusive mass transport into the cultivation chambers. During this process, air bubbles may cause a slight disruption of flow rates that have to be accounted for [51]. A polydimethylsiloxane membrane of 80–100  $\mu$ m beneath the droplet allows the permeation of water so that its volume remains stable. This enables storage in the chip for up to 33 days [52].

The compact size of the chips allows experiments to take place near or directly under measurement devices such as a microscope. However, dead microalgae cells cannot be distinguished from living cells.

Mutant colonies of phototrophic cyanobacteria can also be studied using illuminated microfluidic photobioreactors. Thanks to high throughput experiments, different mutant strains can be studied under the same conditions at once, which allows researchers to find the most productive colony under the presented conditions. In these cases, the screening substances are added to the microfluidic chip through the feed flow. The waste from the chip is then captured and analyzed to determine the concentration of the product of interest [53].

According to the experimental requirements, the microfluidic layers present different custom-made designs, which may lead to difficulties in experiment replication. Some of these layers can also be made from different materials according to the needs of the experiment, i.e. photosensitive epoxy for a light intensity control layer [23]. However, molds are usually used to create layers all together, which allows for many chips to be used during these experiments.

#### Shaken photobioreactors

Two low-volume cultivation devices for photosynthetic microorganisms are illuminated microtiter plates and shake flasks. Both are standard laboratory equipment and the low volume of these cultivation devices allows for parallelized batch experiments in an incubator controlling solely the temperature. Illuminated shake flasks with microalgae are shown in Fig. 1.

Microtiter plates are available in different sizes, forms and built out of different materials [43, 54]. What they all share is their structure, which combines 12, 24, 48, or even 96 wells to a whole device. Each illuminated well operates as a single mini photobioreactor. The plate itself is placed in an incubator, for which a defined temperature and rotating velocity are set. That is why those two state variables are equal for the whole plate, while media characteristics like initial pH, nutrient concentrations, or microalgae strains can vary between single wells [55]. In the heated incubator, water evaporates out of the open wells, which is why covering has proven useful and several different covering materials exist [56]. Microtiter plates with 48 or



Fig. 1 Shake flasks with 50–100 mL microalgae suspension inside a shaking incubator. A LED-panel is placed on top of the transparent incubator cover. An alternative is the individual illumination of shake flasks from the bottom up by individual LED-panels (not shown)

96 wells offer more space for differentiation of cultivation conditions and are used for screening, toxicity tests, and media optimization [54, 57]. The low cultivation volume of the single wells (<4000  $\mu$ L) results in a relatively low cost for the media components.

In microtiter plate systems, light distribution does not play a major role in microalgae growth. Light path lengths in the suspension are short and orbital shaking takes care of adequate cell distribution in the medium. The addition of beads can additionally be helpful if the cells tend towards agglomeration [58]. Recently, most publications relied on fluorescent lamps or LED illumination with light intensities of a few hundred  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> [58–60].

The major advantage of microtiter plates is their size, which allows for parallel cultivation under different conditions and easy automatization of online measurements. For most microtiter plate systems described in the literature, control of the light intensity, temperature,  $CO_2$  in the gas phase and mixing are possible [54, 61]. Others also allow for online biomass measurements [58, 60] or pigment ratio analysis via detecting absorption spectra [62]. One major drawback of low volume cultivation is that light attenuation is not comparable to an industrial scale photobioreactor. For this reason, microtiter plate experiments are usually followed by illuminated shake flask and small laboratory photobioreactor cultivations [43, 56]. Another drawback is that continuous sampling is not possible due to the low volume [60, 62, 63].

Illuminated shake flasks are another common laboratory-type photobioreactor. As microtiter plates, they are most often shaken on an orbital shaker, which can be in a heated incubator that provides equal temperatures for several shake flasks. Because of the mass transfer resistance of the shake flask closure and the gas–liquid mass transfer within the flask, shake flasks in general have a nonideal gas exchange [64]. The limited availability of carbon dioxide for the phototrophic microorganisms in suspension can be counteracted to a certain degree by additional  $CO_2$ addition [65].

Uncontrolled shake flasks differ from large-scale photobioreactors in many characteristics, while initial medium concentrations are the same [64]. As is the case for microtiter plates, an external gas dispersion in the liquid phase is not vital for shake flask cultivation. Gas–liquid mass transfer of  $CO_2$  is influenced by agitation rate, liquid volume and shake flask geometry. Stronger agitation leads to better  $CO_2$ supply for the cultured microalgae but can damage them [66]. Enrichment of  $CO_2$  in the incubator atmosphere ranging from 0.04 to 1% (v/v)  $CO_2$  is known to contribute to higher biomass concentrations, even at light intensities, leading to decreased growth rates without  $CO_2$  addition [65, 67]. In general, shake flasks without baffles are suited for most applications, but those with baffles are used as well [68–71].

Illuminated shake flasks are commonly used for medium optimization [69, 72] and screening for industrially promising microalgae [34, 73, 74]. In one incubator, several different strain-medium combinations can be evaluated, while temperature and illumination variability is only possible with different incubators. As is the case for microtiter plates, illumination is accomplished with LED or fluorescent lamps that illuminate the whole shaker from above, which is the cheaper option, or from below [24, 75, 76]. In general, the biomass of photosynthetically active microalgae and cyanobacteria in shake flasks tends to be higher under higher light intensities in the range of 50  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> to at least a few hundred  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> [24, 77]. But since light limitation conditions are preferred in industrial-scale production, phototrophic shake flask cultivations are often performed under non-ideal light intensities [30, 67]. Light intensity cannot only affect the growth of cultivated organisms, but required product composition, like lipid content, can affect it as well. Chang et al. compared the batch process performance of red microalgae Porphyridium purpureum in a 1 L illuminated shake flask with a 50 L aquarium tank photobioreactor. While light intensity and the temperature had a similar impact on biomass concentrations in both cases, arachidonic acid amounted to 40% of the fatty acid content in the 50 L aquarium tank photobioreactor, compared to only 20% in the shake flask [77].

Temperature control is easy to manage by adjusting the incubator temperature. But without in-line measurements, fluctuations within a few degrees Celsius are possible due to the heat produced by the illumination system. Automation of process control in shake flasks is technically possible [78], but so far it is not used for batch studies with phototrophic microorganisms. Therefore, manual sampling is the method of choice to check progression of state variables like pH, and the concentrations of dissolved oxygen, dissolved CO<sub>2</sub>, biomass, and products [79]. One solution for the control of varying pH within die growth media is to use self-buffering medium [54].

In the up-scaling of bioprocesses from the illuminated shaken bioreactor systems, more controlled laboratory scale photobioreactors are used with active dispersion of the gas phase inside the reactor, e.g. illuminated bubble column, flat-plate (gas lift) or stirred-tank photobioreactors [80–88].

#### **Bubble column photobioreactors**

Usually, the bubble column photobioreactor has a cylindrical form (Fig. 2). If the bubble column photobioreactor is separated into two parts representing one with aeration and one without, these reactors are operated as gas lift or airlift photobioreactors [83, 89, 90]. While bubble column photobioreactors are commonly used in research, they can also serve as pre-culture devices [91, 92]. Usually, static spargers are



Fig. 2 Bubble column photobioreactor with 200 mL working volume, defined gas flow and pH electrode in an illuminated incubator [109]

applied to disperse the gas phase (air and carbon dioxide) at the bottom of the bubble column photobioreactor [93]. Many sparger variants are used, shaped like rings [94] or plates [95] with orifices, nozzles [96] or evenly spread silica air diffusers [97], which work like porous solids. To control the speed and size of the gas bubbles dispersed in the microalgae suspension, compressors and gas flow meters are combined with controllers [85, 94, 98, 99]. The gas flow rate is usually controlled between 0.1 and 1 vvm [84, 93, 100]. The air dispersed at the bottom can have an atmospheric composition [101] or sterilized air can be enriched with carbon dioxide [84, 100]. One method is to mix ambient air with pure carbon dioxide in the needed proportions controlled by a mass flow controller [85, 95].

Bubble column photobioreactors with diameters of 7–24 cm are illuminated by fluorescent tubes [83, 95, 102] or LED lamps [84, 85, 96]. Light sources are distributed around the cylindrical bioreactor to achieve a homogenous illumination [83, 93–95]. The incident light intensity decreases from the surface to the middle of the cylindrical bubble column filled with the microalgae suspension which can lead to light-limited microalgae growth in the center and photo inhibiting effects close to the surface [96]. Hence, an alternative is internal illumination of bubble column photobioreactors using evenly distributed light tubes parallel to the axis

inside the reactor [103] or floating wireless light emitters suspended in the photobioreactor [104]. Heining et al. compared bubble columns with a diameter of 5 cm with external and internal illumination. Using the same relative incident light intensity per reactor volume, a more homogenous illumination of the suspension was obtained with internal illumination [104]. Incident light intensities usually applied with bubble column photobioreactors are between 15 and 220 µmol m<sup>-2</sup> s<sup>-1</sup> [96, 100, 102].

Bubble column photobioreactors are usually made of transparent material if external illumination is applied. Typical are plastics like polyvinyl chloride [105] or plexiglass [90, 94, 96] and glass [84, 93, 102]. Both plastics are cheap [106]. Glass is a very resistant material and is the only one that allows thermal sterilization. If the illumination is internal, the photobioreactor can be made of untransparent material due to the direct contact of the lamps to the cultivation. Therefore, a stainless steel reactor can be used [99]. Furthermore, there are different reactor sizes reported depending on which experiments were done. Common working volumes are between 1 and 10 L [83–85, 89, 100, 102, 107] but also greater volumes of up to 28 L were operated with internal illumination [97, 105, 108]. The smallest column described contains a culture volume of 450 mL [98].

Often, experiments are done with different conditions to compare the settings of parameters [83, 93]. Parallelization could be a way to examine these at once. An incubator with 16 parallel columns has been reported testing different light intensities [109], but the gas flow settings can also be individually adjusted.

Temperature is controlled by transparent double jackets around the bubble column [95] or the bubble column photobioreactor is placed in an incubator [109]. Another possibility is the use of stainless steel tubes as a heat exchanger inside the bubble column [96]. On-line measurements of temperature, pH and DO by sterilizable electrodes are used for process control [93, 107, 108]. Also, light irradiance can be measured with quantum sensors [96]. Many bubble column reactors are equipped with valves for sampling [102, 105]. Some of them can be flushed with water to ensure representative samples [105].

Gassing of bubble column photobioreactors can lead to shear stress for the microalgae cells in suspension, which may cause interferences of the cell functions or even cell death if microalgae without cell walls are cultivated. Increasing the aeration rate leads to rises in the shear rate [103]. Furthermore, the shear rate depends on the configuration of the bubble column [110, 111].

### Flat plate photobioreactors

Flat plate photobioreactors represent a common reactor type in medium-scale studies of microalgae. Made of two joined

plates with a small and constant distance between them, they offer a large surface for light radiation and therefore light usage efficiency is high and dark zones can be avoided in the microalgae suspension. In different approaches, the flat plates are placed vertically, horizontally or at certain angles. Like bubble column photobioreactors, flat plates can be operated as airlift photobioreactors with separated areas, one of which is aerated [112–114].

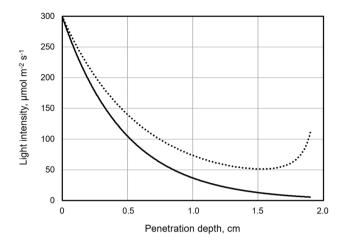
Air is distributed via spargers [112, 115–119], tubes [113, 120] or membranes [121] for mixing and carbon dioxide supply. In some works, additional mixing tools were used. Vogel and Bergmann used static mixers [122]. Huang et al. improved the mixing performance of a photobioreactor with inclined baffles [112] and Wang et al. compared the usage of inclined baffles to horizontal baffles resulting in a better mixture and light distribution with inclined baffles [123]. With gas flow rates from 0.1 to 1 vvm, there is no difference to bubble columns [81, 86, 114, 119, 120].

Cultivations in flat plate photobioreactors with gas mixtures containing ambient [101, 117] or higher carbon dioxide concentrations [116, 121, 122, 124] have been reported. Cordara et al. mixed carbon dioxide and nitrogen with a gas mixing system [82]. The addition of  $CO_2$  can be used to control the pH in photobioreactors because  $CO_2$  consumption by the microalgae results in a pH increase (e.g. [26, 47, 91, 125–127]). Besides, the supply of carbon dioxide, humidification of the inlet gas phase can be applied to reduce evaporation [71, 120].

LED lights are commonly used for the illumination of flat panel photobioreactors [82, 86, 91, 116, 117, 120, 124, 128–130], but other light sources like OLEDs [71], sodium vapor lamps [122], fluorescents [112], halogen lamps [131] and neon lamps [113] have been used, too. The illumination of the thin reactors is mostly provided from one side [82, 91, 113, 121, 122, 128–130] but flat plate photobioreactors with two-sided lighting have been implemented as well [112, 116]. For the reflection of light, Delavari Amrei et al. operated with mirrors on the other side of the reactor instead of using a second light source [132]. Many operations in flat plate photobioreactors are done with light intensities between 80 and 400  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> [101, 115, 118, 122].

Comparing light distribution in flat panel photobioreactors with cylindrical bubble column photobioreactors illuminated from outside shows that light attenuation by the microalgae in suspension is much more pronounced in flat panel reactors because light attenuation in the cylindrical bubble column reactors is compensated by the geometrical effect of light focusing towards the cylinder axis (Fig. 3). These effects are discussed in detail by Jacobi et al. [133].

Many researchers investigated the effect of light intensity on phototrophic microorganisms. The light intensities can be varied to identify the optimum for the growth and product formation of microalgae [82, 86, 91, 128, 129]. Furthermore,



**Fig. 3** Modeling of light attenuation in the microalgae suspension. Exemplary comparison between a flat PBR (solid line) e.g. flat plate PBR [91] and a cylindrical PBR (dotted line) e.g. bubble column PBR ([83]). Light attenuation in the cylindrical reactor is influenced by the focusing effect [133]. Process parameters for both calculations were set to: incident light intensity  $I_0$ =300 µmol m<sup>-2</sup> s<sup>-1</sup>, optical density OD=3.0, absorption coefficient 70 L m<sup>-1</sup>, layer thickness flat PBR 2 cm/radius cylindrical PBR 2 cm

changes in the light intensities during the cultivation have been made [47, 114]. For scale-up, the physical dynamic simulation of day and night cycles of the sunlight should be considered. Therefore, Wolf et al. simulated day and night by the dynamic modification of the incident light between 0 and 1850  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> to mimic a Mediterranean summer day in June [47]. Most works deployed a simplified constant illumination with 12 h daily [81, 118, 131] but light cycles with a ratio of 14 h light and 10 h dark have been reported as well [101]. Three different light/dark cycles (24 h: 0 h, 16 h: 8 h, 12 h: 12 h) have been investigated for wastewater treatment with *Chlorella vulgaris*. Both biomass productivity and removal of nitrogen and phosphate increased with higher light/dark ratios [117].

To gain wavelength spectra similar to the sun, often white LEDs are utilized. Light intensity is identified within 400–750 nm [47], from 400 to 800 nm [91] or from 400 to 700 nm [134]. But some experiments are performed only with red–orange light because of its high impact on growth [82]. In flat-panel photobioreactors, Wagner et al. investigated the effects of red, green, and blue light as well as their dichromatic combinations on the growth and photo conversion energy of *Chlamydomonas reinhardtii* [121]. It was evidenced that a combination of red and blue lights with a ratio of 90 to 10 leads to optimized biomass production at low incident light intensity but with an increase of light intensity, white light was identified as more effective.

For temperature control, transparent double jackets [101, 114] are used as heat exchangers or the flat plate photobioreactors are placed in water baths [122, 135].

Small flat plate photobioreactors can be put in temperature-controlled incubators [71, 86, 121]. Furthermore, direct electric elements have been reported, too, representing automated on- and off-turning radiating heaters [115] or Peltier elements [124, 129].

The pH, DO and temperature are often measured online with sterilizable electrodes [82, 115, 122, 128, 129]. In some flat plate photobioreactors, the measurement of the optical density is applied during the process [82, 128]. Furthermore, the chlorophyll content may be identified online via fluorescence measurements [71]. The remaining light intensities after passing the microalgae suspension in flat panel photobioreactors can be measured online with light meters [123] or spectroradiometers [91, 131]. The emission spectrum can be measured with a diode array detector [121].

Samples for off-line analytics are sucked via tubes out of the culture medium [82, 101, 122]. Other methods reported are via needles inside the plates reaching the liquid phase connected to valves and with sterile syringes [121] or seals containing a port for sampling [71].

Reactors can have sizes ranging from a few hundred milliliters to deciliters [86, 117, 122, 124, 129, 130]. Many reactors are commercially available with different volumes [81, 82, 91, 122]. Krujatz et al. described in their experiments a flat plate photobioreactor with the smallest known capacity of 15 mL so far [71]. Frames of stainless steel are normally used to hold the transparent plates [121, 124]. Disposable flat plate photobioreactors are available as well [135]. They are made of cheap polyvinyl chloride and need no metal frame.

Typical materials for the plates of flat plate photobioreactors are plastics like plexiglass [71, 101, 117, 130], polyvinyl chloride [122] or polycarbonate [115] and glass [118, 121, 124, 136]. Polycarbonate is transparent like the other materials and provides a high glassing temperature [106]. The choice of materials is also important to prevent biofilm formation. Melo et al. compared different materials in pretests resulting in a low adhesion of C. vulgaris on stainless steel and a high adhesion on polyvinyl chloride [137]. Comparing two flat-plate photobioreactors, one with rough and one with smooth polyvinyl chloride surfaces, resulted in a 20% higher amount of biomass in the reactor with a rough surface. Gassing of flat plate photobioreactors can lead to shear stress for the microalgae cells in suspension. High shear forces can lead to cell death if microalgae without cell walls are cultivated [138], but low shear forces lead to a lack of mixing and biofilm formation [130]. Belohlav et al. presented the biofilm formation dependence of C. vulgaris on the flow rate and shear stress leading to a nearly fourfold increase of biofilm by reducing the flow rate about four times and the shear stress nine times [130].

#### Stirred-tank photobioreactors

Standard lab-scale stirred tank bioreactors made of glass can be used to cultivate microalgae algae if an external or internal illumination device is installed in addition (Fig. 4). The diameter of the stirred-tank photobioreactor with external illumination should be small enough to ensure sufficient photon flux densities in the middle of the reactor to reduce light limitation in the microalgae suspension. These photobioreactors offer a highly controlled environment for the microalgae with perfect mixing, as well as good heat and mass transfer thanks to their broad use and optimization, with the disadvantages of high differences in the local energy dissipation (shear stress) [87]. The use of shear protecting additives for microalgae cells sensitive to shear stress, like dinoflagellate, might be required for an optimal cultivation, and have been used successfully in 2 L stirred-tank photobioreactors [139]. Another example is the red algae species Agardhiella subulata which, while not showing a significant change in biomass production rates even with high impeller speeds, adopted a compact spherical shape during cultivation [140].



Fig. 4 Stirred-tank photobioreactor on a liter-scale with external illumination

The light source of this type of photobioreactors has been the subject of experimentation. Optical fiber has been used both to distribute solar light into an indoor stirred-tank reactor coupled with an internal artificial light source for the night and cloudy days [141]. Furthermore, optical fibers have been used as an internal light source to investigate the flashing light effects on microalgae [142]. Red light emitted by LED has also been tested in wastewater treatment with stirred tank photobioreactors, since the low energy of this light enhances photosynthetic efficiency and is barely absorbed by water molecules [143]. Due to the ample understanding and energetic optimization of stirred-tank bioreactors, online measurements of oxygen concentration at constant incident light intensity can be used in conjunction of a model to accurately estimate the biomass concentration, average light intensity in the reactor and photosynthetic efficiency. Temperature and pH are measured online and controlled in stirred-tank photo bioreactors by applying standard probes and controllers [88].

#### **Tubular photobioreactors**

These photobioreactors usually consist of two parts interconnected by pumps: the solar receiver and the airlift system. Most of the photosynthetic reaction occurs in the solar receiver, a long tube installed in varying geometries with the diameter of tubes used in this part usually being optimized for the best sunlight capture by the microalgae suspension pumped through the tube while reducing the area needed for the tube's installation. The airlift system, also called the bubble column, is where the excess oxygen accumulated through the metabolism of the cells is taken outside of the reactor. Usually,  $CO_2$  is also injected at the end of the airlift system/ beginning of the solar receiver to the increase residence time of  $CO_2$  in the solar receiver section. A heat exchanger can also be included in this part to have better control of the cultivation temperature [144]. Laboratory scale tubular photobioreactors can also be simplified versions of this model, such as having just a solar receiver part with a simplified pumping system. This reduces costs and properly simulates a complete tubular photobioreactor [145]. The design can also be scaled up to industrial capacities easily thanks to their modular construction method [146].

The usual volume for a tubular photobioreactor on a lab scale is between 1 and 3 L and, depending on the experiment type, a fluorescent lamp might be used for the illumination of the culture. Several designs for tubular bioreactors have been developed to enable efficient light capture, the most common being serpentine bioreactors, manifold bioreactors, which connect tubes of the solar receiver at both ends to two manifolds responsible for gas exchange and distribution, and helical bioreactors which consist of small flexible tubes that surround a frame structure [147].

Vertical and horizontal tubular photobioreactors are the two main orientations of tubes used most of the time on the laboratory scale, and both can be operated continuously, semi-continuously or discontinuously. Temperature, pH and oxygen concentration can be measured in different points of the tube depending on the type of experiment, while liquid and gas flow rates are measured at the  $CO_2$  injection point [148].

Different challenges present themselves for this type of photobioreactor, including uniform illumination of the culture [149], low oxygen partial pressure needed for optimal growth [150], and cardinal positioning of the reactor depending on the latitude for higher light interception [151]. A tubular photobioreactor is also relatively expensive to build and sustain, particularly when it comes to mixing and cooling. One of the main challenges for the cultivation in tubular photobioreactors is the maintenance of high concentrations of CO<sub>2</sub> after the injection point. The growth rate and productivity of the microalgae cells increase proportionally to the CO<sub>2</sub> concentration in the solution. Furthermore, lipid concentration inside the microalgae also increases proportionally to the CO<sub>2</sub> concentration, which could be important to produce biofuels through microalgae [152].

To increment the availability of  $CO_2$  and nutrients for microalgae cells across the tubes' length, different methods are being considered. The addition of a porous tube (dialysis tube) in between the cultivation tube in which these substrates flow in opposite directions has been tested (tube-intube configuration). This, in turn, also removed excess oxygen produced by the cells [145]. Another option for higher  $CO_2$  concentration in the tubular photobioreactor is the use of nanofibers or other materials in the solution that absorbs more  $CO_2$  than water so that the  $CO_2$  transfer into the liquid phase across the bioreactor length can resemble the injection point. However, the nanofibers must be replaced after a couple of days to keep the optimal distribution of  $CO_2$ throughout the reactor, since biomass adsorption can block the  $CO_2$  release [153].

New designs of tubular photobioreactors have been experimented on at a lab scale, i.e. the Fibonacci-type bioreactor, which reaches a higher light utilization efficacy. This tubular photobioreactor has both a vertical and horizontal orientation of tubes and reached 1.4 increased solar radiation interception while also preserving the required pH, temperature and oxygen concentration levels for *Spirulina platensis* [154].

#### Other photobioreactor designs

All photobioreactors are composed of three fundamental phases, the liquid phase in which the nutrients are delivered, the solid phase, i.e. the microalgae cells, and the gas phase for  $CO_2$  fixation and  $O_2$  removal. A light radiation field is

on top of the phases and is needed for the photosynthetic process. This basic building blueprint allows for flexibility when designing new models of photobioreactors, which can be constructed according to the needs of the experiment [155].

A possible alternative for microalgae cultivation with reduced cost and inherent sterility is the use of polyethylene bags. These bags have a wide volume range and are usually hanged vertically to increase the sunlight capture. An aeration system can also be added to the bags to increase biomass yield [156]. The bags can also be placed on platforms on the ocean, which facilitates mixing by wave movement and temperature control of the culture, resulting in higher yields of microalgae biomass and intracellular products. Nevertheless, these bags usually suffer from insufficient mixing, which can lead to reduced yields at higher volumes of culture and reduced microalgae biomass and product concentration [157].

Microalgae can also be cultivated in relatively simple containers, such as aquarium tanks illuminated from the top. These containers are capable of being a somewhat improvised photobioreactor once a pump is included for improved aeration and mixing. However, it is important to note that the growth rate from microalgae in these aquarium tanks was lower in comparison to hanging bags [156].

A cheaper alternative to closed photobioreactors is open ponds operated as small-scale raceway ponds in the laboratory with paddle wheels for mixing. While these open photobioreactors are usually built with industrial capacities in mind, cultivations of microalgae in lab-scale raceway ponds illuminated from the top are most often applied to get a better understanding of growth and product formation in a down scaled photobioreactor [22].

Thin-layer cascade photobioreactors are another type of open photobioreactors illuminated from the top, where the microalgae suspension is channelled on raceways with adjustable angle. Gravitational force moves the cell suspension downwards. The suspension is collected in a retention tank and circulated by a centrifugal pump. The coordination of volume flow and angle lead to a very thin adjustable layer thickness of 0.5-1 cm. Thin-layer cascade photobioreactors are built with a single-channel or double-channel and are often used inside greenhouses [158]. A typical lab-scale thin-layer cascade photobioreactor has a surface area of 8 m<sup>2</sup>, which corresponds to 65 L working volume and is set to a layer thickness of approximately 0.6 cm. The design enables dynamic climate simulations with respect to light, air temperature and air humidity [159]. Complete vertical mixing can be achieved by a sufficient length of the raceway [160] or via the retention tanks, provided a relatively short cycle time is given for the specified reactor [161]. A comparable design introduced the addition of mixing rods, which would increase mixing and minimize cell sedimentation [162]. LED panels are fixed with a distance of ~ 25 cm above the microalgae suspension layer and can be combined with natural sunlight. Temperature and pH can be monitored in the suspension inside the retention tank. While pH is adjusted by mass-controlled addition of  $CO_2$  in the retention tank, the suspension temperature is controlled by the surroundings. Typically, DO is not measured online. Due to the large surface to volume ratio and good mixing, oxygen inhibition will not be observed in the suspension. The evaporation due to the large surface area can be automatically compensated with water. Thin-layer cascade photobioreactors are able to achieve the highest biomass densities described in the literature [159, 163].

# Scalability of photobioreactor systems

Upscaling in bioreactor systems aims to transfer the laboratory scale process performance to an industrial scale system with a higher total volume and capacity, and to specify the reactor design criteria accordingly [155, 164]. Although various types of photobioreactors, including bubble column, airlift, flat-plate, stirred-tank, tubular or other reactors have been developed so far, there is no standard design of an optimal photobioreactor for a scale-up approach.

Scaling up from a laboratory scale to a commercial unit is challenging due to the difficulty in assessing the various factors that influence the scale-up process during cultivation. Most large-scale phototrophic cultivations give a lower yield than expected from the laboratory [41, 126]. Phototrophic microorganism growth mainly requires light, inorganic carbon dioxide, water, and inorganic nutrients under suitable growth conditions, regarding pH and temperature. All of those are closely interrelated, making the design for a photobioreactor more complicated. For the scalability of photobioreactors, an appropriate setting between various factors must be carefully considered, such as light intensity, light-dark frequencies, light distribution, hydrodynamics, and environment (nutrients, pH, and temperature). Light availability for each cell might often be the most important factor for scaling up in photobioreactors [165–167].

According to Gudin and Chaumont, the geometry of the bioreactor plays a decisive role in the hydrodynamic stress, type of pump utilized, morphology of algal cells, physiological conditions of microalgae, and other properties [168]. Even though there are state variables that require standard equipment (e.g. pH) for process control, there are additionally bottleneck state variables that often cause an unsuccessful application and still limit the industrial use of photobioreactors [29, 39].

Before recommendations are made on the criteria for reactor selection and process operation during scale-up, the main problems are briefly listed in advance (Table 1). Photobioreactors have to consider light-specific factors in addition to the classical scale-up problems that also exist in heterotrophic reactor systems. In the cultivation of phototrophic microorganisms, scale-up challenges are often related to light availability in the reactor with production rates mainly dependent on it. These problems also relate to the coming recommendations and are described in more detail in the following sections. Please note that each reactor design has different challenges of varying scope.

# Laboratory-scale cultivation should already consider the large-scale systems in terms of reactor type, climatic, operating conditions and nutrients

Often, laboratory-scale experiments are performed with optimized strains, synthetic media, and under sterile conditions [126, 169, 170]. The upscale strategy often contains a switch from an indoor to an outdoor environment [41, 169]. This results in new problems and challenges that could not be encountered on a laboratory scale, i.e. contamination and insufficient light supply [171]. Upscaling of phototrophic processes is essentially based on surface area rather than volume, unlike heterotrophic fermentation [125, 126].

One important approach for the large-scale consideration in lab-scale experiments is to keep the ratio of surface area to volume constant, which can be achieved by maintaining geometric similarities within the scale-up [46, 126]. Flat panels and tubular photobioreactors have been established as two of the most promising lab-scale types for the transfer to a larger scale system, primarily because they have similar and large surface-volume ratios in various sizes [172–174]. The industrially established standard photobioreactors are open raceway ponds illuminated with natural sunlight [40, 175]. Open raceway ponds with a depth of 20-30 cm provide high area productivity and are therefore useful for some applications [176, 177]. Even if raceway ponds for the cultivation of microalgae typically show an insufficient mass transfer, the use of these ponds is considered more viable in largescale systems due to their large surface, simple construction,

able 1 Challenges during a cale-up of photobioreactors	General scale-up problems	Specific microalgae-related challenges
	Change in local reaction conditions Shear stress vs. mass transfer Contamination Biofilm formation	Homogeneous light availability Dynamics (light, temperature) Light intensity (photoinhibition/-limitation) Temperature (min./max. extrema)

Tal sca low-cost operation, and relatively low shear stress for the microalgae cultivated [20, 126, 178]. Closed photobioreactor systems, on the other hand, have the advantage of a more controllable design of the layer thickness and show less contamination with unwanted algae and other microorganisms [179].

To keep the ratio of surface area to volume constant, process transfer to a larger scale is very often performed by increasing the number of standard units of the photobioreactor, which is also supported by Janssen et al. [180]. Their study concluded that a scale-up of tubular photobioreactors can only be done by increasing its standard units, as the reactor type is limited in size due to oxygen accumulation in the non-aerated tubes. Koller et al. used this approach of scaling up by increasing the units for flat plate reactors to keep the surface-to-volume ratio constant during scale-up. The upscale included 10 flat-plate bioreactor modules with a surface area of 2 m<sup>2</sup>, a depth of 22 mm, and a working volume of 30-45 L each. Their process was evaluated using process performance data from 1.8 L geometrically similar laboratory-scale LED-illuminated photobioreactors with a surface area of 0.09  $\text{m}^2$  and a depth of 20 mm [126]. For the whole approach of scaling up by increasing the units ("numbering-up"), the costs of operating several smaller units must be weighed against their benefits in the individual application.

In terms of light supply, artificial lighting can be advantageous, especially for laboratory-scale experiments [27, 28]. Validation of strains and parameters in preparation for upscaling is often done under artificial light with the possibility to adjust it to a specific wavelength, intensity, or other properties [81, 181]. For artificial light source selection, light-emitting diodes (LEDs) are preferred, because they are more energy efficient than conventional lighting and provide better performance and operational control [182]. From an economic perspective, the artificial light supply in upscaling leads to additional costs. An experiment with purple phototrophic bacteria (PPB) estimated the lighting costs using artificial LEDs to be \$1.9 per kg of PPB biomass (assuming a maximum empirical value of 59 g COD/kWh for biomass energy yield) [183]. This often leads to the use of sunlight during an industrial scale-up, as it is a free source of light. For testing the outdoor lighting conditions for industrial reactors without an artificial light supply, it can be advantageous to simulate the lighting conditions of the sun beforehand in the laboratory. Leonardi et al. present a methodology to reproduce the solar lighting conditions of a vertical bubble column reactor located in Santa Fe, Argentina, with a programmable LED module attached to a commercial laboratory reactor [184]. This approach can be beneficial to simulate the conditions of a scale-up supplied with sunlight. Here, the outdoor and indoor average volumetric rates of photon absorption were similar. Comparisons

were made regarding the distribution and average volumetric absorption rates of PAR (photosynthetically active radiation) photons for different scenarios. Another approach for climate simulation is presented by Apel et al., for phototrophic cultivation conducted at the TUM AlgaeTec Center [159]. The Center consists of three glass halls with a pitched roof, air conditioning for the dynamic controlling of temperature and air humidity, and LED lamps. The microalgae are powered by both solar and LED radiation to save energy and use the most natural spectrum of sunlight. In contrast to other climate simulation light sources for microalgae, the TUM AlgaeTec dynamic light simulation shows an accurate spectral match over the whole PAR wavelength range. Ogbonna et al. present another example of a scalable, internally illuminated stirred tank photobioreactor. The light input coefficient-and thus, the productivity-was kept constant using a device that collects sunlight and distributes it via optical fibers in the reactor. It was equipped with a light tracking sensor so that the lenses rotate with the position of the sun. This makes it possible to use sunlight for photosynthetic cell cultivation in indoor photobioreactors [141].

One aspect to design more economically feasible microalgae processes is to use nutrients from wastewater and flue gas as  $CO_2$  source [185]. Effects on important state variables for growth and product formation by the microalgae caused by the wastewater should be analyzed beforehand in lab scale PBR [143]. Dual-purpose microalgae bioprocesses that produce lipids or other products while treating wastewater are more likely to become economically viable [186].

# Robust microalgae should be selected beforehand to avoid scale-up failure by contamination

As open systems, like raceway ponds, are among the most widely used large-scale photobioreactors, the use of microalgae with a competitive advantage to avoid contamination is a critical factor. Algal cultures are found in only a few applications of monocultures, whereas all mass microalgal production systems inevitably contain multiple non-target microorganisms (contaminants) [187]. Assuming that a lab-scale (100 mL) culture takes about 5–10 days to grow enough to be pre-culture in the next step [103], it takes at least 1 month to go from a 10 mL stock culture to having enough inoculum for a 10 m<sup>3</sup> culture (assuming a scale-up factor of 10). Having these many steps and time required, not only adds to the cost but also increases the risk of contamination.

Microalgae that grow under extreme conditions can be applied to exclude competing organisms. Growing species with highly selective environmental requirements, such as *Dunaliella salina* (high salinity) or *Arthrospira platensis* (high alkalinity), is one option to reduce the risk of contamination [188–190]. A detailed assessment of the screening and selection of algal species and strains suitable for largescale commercial culture can be found in [190].

However, contamination is inevitable and requires constant propagation of the seed culture, plus proper monitoring to keep the culture of choice dominant. One important recommendation is that potential microalgae strains should be tested under outdoor conditions at an early stage of the strain selection and evaluation process. It could be advantageous to cultivate different species with optimal growth at different temperatures in climates with large variations in outdoor temperature and solar radiation [191].

Another approach to avoid contamination is genetic engineering by making the microalgae more resistant against contamination [192, 193]. Even if phototrophic genetically modified organisms have a high potential [194], in most of the application markets for microalgae (i.e. food processing), genetic engineering is not widely accepted [179].

To prevent contamination, another approach concerns the interaction of different organisms, given by Fulbright et al.. Their study observed complex bacterial communities in algal cultures. As a result of the different scales of photobioreactor systems with different microalgae, the study recommends investigating research on the bacterial functions in algae cultures, as it is critical for successful large-scale algae cultivation. Bacteria that are harmful to algal growth must be identified, tracked, and minimized [169]. On the other hand, a probiotic culture supplement of bacterial communities can promote algal growth and stability [169, 195].

Alternatively, other strategies have been implemented for reducing negative process effects by contamination. Early harvesting or the use of chemical, biological and physical treatments are often applied to reduce or prevent the negative impact of contamination [20]. Applied methods are also filtration, UV, and the chemical pretreatment of the water source in the cultivation [194].

# Light-dependent microalgae growth kinetics should be the basis for model-based scale-up

One of the main challenges in upscaling is the light-shading and the reduced growth rate of phototrophs related to light limitation. Specifically, the light and energy per cell is a critical factor [49]. The concept of areal density accounting for the self-shading effect was first introduced by Soeder in 1980. High areal biomass densities imply low productivities because of significant light limitation and a high contribution of respiration and endogenous biomass consumption [196]. When biomass densities are too low, the light intensity can go above a critical value and the growth can be inhibited by the damaging effects of radiation (photoinhibition or photo-oxidation) and the light will be wasted as fluorescence first and then as heat [155, 196].

The kinetic data for scale-up can easily be determined in closed flat-plate gas-lift photobioreactors at known liquid layer thickness in the lab. Initially, the light absorption of the individual microalgae strain in the suspension has to be measured applying a suitable physical model, which can be Lambert's law. Assuming turbulent liquid flow, a mean photon flux density can thus be determined as a function of the algal concentration, the layer thickness of the liquid, and the light intensity at the surface of the suspension. Usually, batch processes at different constant incident light intensities are applied for estimating the growth rate of microalgae. In the exponential growth phase at constant incident light intensity, the mean photon flux densities are additionally averaged as a function of process time resulting in the mean integral photon flux density. The exponential growth rates are plotted against the mean integral photon flux densities to identify the model parameters of a kinetic approach to describe light saturation and light inhibition kinetics (Fig. 5). In addition, model parameters describing the effect of temperature on microalgae growth can be identified by performing similar batch studies at different temperatures [91, 125–127].

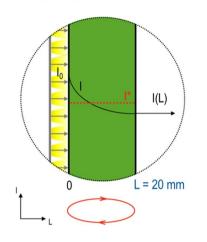
Growth kinetics identified with flat-plate gas-lift reactors can also be used with completely different photobioreactors if a defined liquid layer thickness can be assured, as was shown in the example of thin-layer cascade photobioreactors (Fig. 5) [26]. However, to be able to identify realistic growth kinetics, a fixed day and night cycle should be applied at the lab scale, otherwise the growth rates of microalgae are significantly underestimated. In addition, increasing the salinity as a function of process time is necessary for the closed lab-scale photobioreactors to simulate the evaporation of open photobioreactors [47]. Coupling mass balances with the kinetics of microalgae growth and product formation enables the simulation of batch and continuous phototrophic production processes with microalgae under dynamic diurnal variations of incident light and temperature in thin-layer cascade photobioreactors [197].

The optimal areal density and volumetric productivity of microalgae in suspension are influenced by the incident light intensity and the light path in the suspension (layer thickness). Degen et al. found, for example, that a reduction in the light path from 30 to 15 mm increased biomass productivity of C. vulgaris 2.5-fold in a flat-panel photobioreactor [198]. These results are consistent with those of Hu, et al. [172]. In a light-limited system, the shorter the light path, the higher the optimal cell density. Since a reduction of light path length from 30 to 15 mm, reduces the culture volume by half, a more than twofold increase in volumetric productivity results in an even greater increase in area productivity. In a few cases, the light does not penetrate more than a few centimeters into a dense culture of microalgal cells. There, the density of the microalgae plays a dominant factor in photosynthetic

Fig. 5 Growth kinetics identified with closed flat plate gas-lift reactors illuminated from one side (left) can be used with completely different photobioreactors like open thinlayer cascade photobioreactors illuminated from the top (right) independent of the liquid layer thickness (e.g. 20 mm with the flat plate photobioreactor, and 6 mm with the thin-layer cascade photobioreactor, respectively) if mixing is high enough (turbulent flow). Io incident photon flux density, I light attenuation in the microalgae suspension, I\* mean photon flux density, L layer thickness [25]

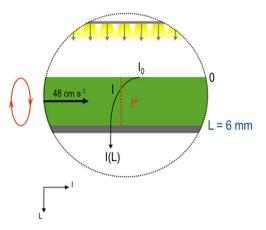


Flat-plate gas-lift reactor (0.1 m<sup>2</sup>)





Open thin-layer cascade reactor (8 m<sup>2</sup>)



productivity. The light intensity decreases almost exponentially with the distance from the irradiated side of the reactor [199]. Lambert's law states that the loss of light intensity, as it propagates through a medium, is linear to the total intensity and path length [200]. To achieve high cell densities, the thickness of the reactor should be as small as possible [46]. In an upscale approach of outdoor raceway ponds, Grobbelaar describes euphotic depths (depth at which 1% of surface irradiance is present) which exceed the cultivation depth, result in wasted light energy and thus lower productivity. To achieve the best productivity, they recommended concentrations in the raceway ponds with Spirulina areal densities of about 75 g cell dry weight m<sup>-2</sup> [41]. Grobbelaar et al. used a model to describe the production of green algae and showed a maximal productivity of Scenedesmus obliguus and Coe*lastrum sphaericum* at an areal density of  $38-41 \text{ g m}^{-2}$ [201]. This implies that achieving the best productivity requires tight control of areal biomass densities over a narrow optimal interval that should be determined for each species, strain, site, and season.

# The scale-up factor should be adjusted to the possible level of photoinhibition during cell expansion

To minimize photoinhibition immediately after inoculation, the scale-up factor should be carefully selected. A factor of 10 was recommended as a successful transfer factor to go from a lab-scale to the next larger scale photobioreactor. Giving an example, the process can be made from shaken flasks with 100 mL to medium size reactors (1-10 L), followed by a transfer to photobioreactors on a pilot-scale (10-100 L) and an upscale to an industrial cultivation system up to 1 m<sup>3</sup> [48, 132, 202]. For some very light-sensitive species such as strains of the cyanobacteria, the scale-up factor might be reduced to minimize photoinhibition after inoculation [48]. In some papers, the factor is further reduced [22] or increased [103, 170], depending on the exact process parameters, as the factor must be adjusted to the system.

# Active temperature control should be avoided by operating conditions and the selection of robust microalgae

A critical factor is a fast-rising temperature in thin microalgae suspensions due to the illumination especially in non-controlled outdoor photobioreactors [155, 202]. The increase in temperature influences the metabolism and growth of the microalgae and thus has an impact on their overall productivity [48]. In outdoor systems without any cooling concepts, temperatures reach a level that may be 10-30 °C higher than the ambient temperature in the summer [20]. Water evaporation in open photobioreactors will reduce temperature increase at high solar irradiation with decreasing layer thickness of the microalgae suspension, making thin-layer cascade photobioreactors advantageous compared to raceway ponds (1-3 °C higher than the ambient temperature in the summer) [203]. The optimal temperature range for the growth and product formation of microalgae depends on their type. However, robust types can tolerate a water temperature between 16 and 35 °C [47, 204–206]. For large open cultivation volumes, many authors consider temperature control not economically feasible [190, 199, 207]. Here, suitable approaches for temperature challenges and control need to be tested and adapted to the laboratory-scale reactor.

Even production processes for high-value products like  $\beta$ -carotene, lutein, astaxanthin and others need to be very cost-efficient to be economically feasible. Therefore, open pond photo bioreactors are often preferred [208]. In a large-scale production of astaxanthin with *Haematococcus* spec., temperature control was applied by spraying cold water directly onto the tubular photo bioreactor to keep the temperature of the microalgae suspension below 32 °C [209]. One approach to mitigate day-night temperature fluctuations in open-pond photobioreactors in a cost effective way is to pump the microalgae suspension into a 1.5 m deep canal at night to reduce heat transfer to the surrounding [210].

One approach for the adaptation of microalgae cultivation processes to annual environmental temperature variations is to cultivate a "summer strain" and a "winter strain" [211]. It is highly recommended to select a strain that can grow well under the temperature conditions prevailing in the culture system at the production facility site, as the temperature cannot be controlled in large flow-through ponds [48]. In temperate regions, cultivation systems can also be placed in greenhouses to affect the environment around the reactor [159]. Although these approaches seem efficient, they can increase the capital and operating costs and negatively impact the environmental footprint through excessive energy and water use. Shading to maintain the temperature of a whole photobioreactor needs to be weighed up against a decrease in light utilization. A relatively new approach investigated the integration of photobioreactor technology in building facades. This integration offers various benefits in terms of thermal management of both reactors and buildings. Energy exchanges between the building and the reactor itself can be designed to cool or warm each subsystem [212].

# Flashing light effects should be considered if positive effects on microalgae process performance has been observed

Flashing light effects have been shown to improve the efficiency of algal cultures under certain conditions by supporting the dynamic process of photosynthesis and lead to an increase in biomass formation. Several investigations have been done to understand the effect of fluctuating light on cell physiology [213-216]. In 1932, Emerson and Arnold first found that microalgae cells can exhibit the same maximum rates of oxygen production and carbon dioxide uptake under a sequence of short light flashes as under continuous light [217]. However, the frequency of the flashing light between light to dark was later shown to be a decisive factor. If the frequency is not optimized, a decrease in biomass formation can occur [218]. It was also shown that the biomass yield and growth rate were strongly affected by the light fraction (amount of the time the microalgae cells are exposed to the light divided by the total flashing light time) [176]. Therefore, the combination of different frequencies (10-100 Hz) and light fractions (0.1–1) [176], respectively 1, 5 and 10 Hz and light fractions of 0.1 and 0.33 have been studied [218].

Besides flashing light illumination by LEDs, an indirect periodic flashing light can be induced by the hydrodynamic transport of microalgae in photobioreactors [199]. Thus, algal cells are induced to cycle repeatedly between the welllit surface or peripheral area and the dimly lit inner area of the photobioreactor. Especially photoinhibition under high irradiance can be prevented [181, 198]. Degen et al. compared microalgae growth in two flat-panel photobioreactors with identical volume and shape and single-sided illumination. One has alternating horizontal baffles to the front and to the back, which lead to circulating fluid and therefore to a defined mixing pattern within the subdivided chambers. This setup effectively simulated a regular flashing light. The reactor without baffle results in the chaotic light-dark movement for the microalgae. The volumetric biomass productivity was shown to be about 1.7-fold higher in the flat panel with horizontal baffles [198]. Abu-Ghosh et al. combined the flashing light with continuous lighting in an experiment with D. salina and demonstrated a successful method that can theoretically be applied in large-scale cultivation [219]. Nevertheless, the occurrence of a flashing light effect has not been unequivocally proven yet in the pilot or production scale [41, 180, 181].

### An optimum balance between shear stress and mixing should be identified

Mixing in photobioreactor systems guarantees that all cells are equally exposed to the light and promote gas–liquid mass transfer. It is important that the technology implemented on the lab scale for mixing results in a similar volumetric power input or maximum local energy dissipation rate compared to the large scale. Other than in heterotrophic cultivations, excessive mixing may damage the cells and result in culture collapse if the microalgae are susceptible to the shear force [220].

Finding a balance where mixing and aeration are sufficient for optimal microalgae growth without compromising cell integrity is essential. To reduce mechanical mixing, aeration is used on one hand for lowering the shear stress during mixing [80, 103], and on the other hand for providing gas-liquid mass transfer during the cultivation of phototrophs. The aeration rate needs to be optimized for maintaining an optimum dissolved carbon dioxide (DCD) and dissolved oxygen (DO) balance. Ding et al. reported a volumetric air flow rate of 0.2 vvm as an optimal rate for C. vulgaris and 0.3 vvm for Chlorella protothecoides in a 50 L cylindrical air-lift photobioreactor [103]. If a bubble column photobioreactor is used, Khoo et al. concluded that the combination of hydrodynamic effects and mass transfer played a significant role in the cultivation. They recommended an optimal compressed air aeration rate of 0.16 vvm suitable for the microalgae C. vulgaris [221].

Shear stress can also be induced by the pump components in the system [80]. Mixing by pumping is efficient but can also cause high shear forces due to fluid circulation, micro-vortexing, and mechanical stress. Mechanical stress is particularly damaging within the pump itself, with shear stress due to friction between cells and the pump walls and internal surface (i.e. impeller surfaces of a centrifugal pump) [222, 223]. Wang and Lan compared a centrifugal pump and an airlift pump in a tubular photobioreactor in which the culture is agitated with air. Here, the stress on the cells is induced by the shear association with the fluid flow and the stress when the air bubbles burst at the fluid surface [80]. It was shown that laboratory-scale cultures of C. vulgaris and Scenedesmus dimorphus circulated with an airlift pump, had higher effective growth rates than with a centrifugal pump. For both C. vulgaris and S. dimorphus, the centrifugal pump caused a reduction in cell growth, while the airlift pump had a negligible effect [224]. On the other hand, there are also contradictory study results, showing that airlift pumps are much less efficient at mixing than centrifugal pumps [225].

To reduce the damaging shear stress, an option is to choose a less shear sensitive microalgae, as shear sensitivity varies between microalgae species and depends on several factors such as cell size, presence of flagella morphology, and the presence and composition of the cell wall [80]. Wang and Lan compared the shear tolerance of different publications, showing that shear tolerance is greatest in green algae, followed by cyanobacteria, haptophytes, red algae, and diatoms. Dinoflagellates are the most sensitive species [80].

# The wall shear stress should be aligned to prevent biofilm formation

Koller et al. described that during an upscale of a batch process from laboratory scale flat-panel gas-lift reactors (1.8 L, 0.09 m<sup>2</sup>) with *Scenedesmus* strains to the geometrically equivalent pilot scale (300 L, 14 m<sup>2</sup>), a biofilm formation was observed solely at the pilot scale [126]. Biofilms most likely affected the reduction in biomass concentration in suspension at the pilot scale compared to the lab scale [159].

To prevent the biofilm formation beforehand, sufficient wall shear stress disrupts the stability of the biofilm or reduces its formation by a considerable amount. Belohlav et al. could reduce the amount of microalgae cells (C. vulgaris) fixed in the biofilm from 70 to 19% by increasing the flow rate of the microalgae suspension by 71% (from 45 to  $77 \text{ Lmin}^{-1}$ ) in a tubular photobioreactor. Here, the microalgae formed a thin layer of biofilm on the transparent walls, which reduces the irradiation of the microalgae in suspension. The shear force on the wall to reduce the biofilm must be balanced against the critical value of the shear force for the respective microalgae. Up to a wall shear stress of 6 Pa, the stability of the biofilm was not disturbed. Lower values of critical wall shear stress are sufficient to prevent the formation of a biofilm. However, if the biofilm has already formed in the cultivation system, the value to disturb the biofilm stability must reach several tens of Pa. Therefore, avoiding the biofilm at an early stage is more beneficial, as the degradation is associated with high shear stress for the microalgae [130].

## Conclusion

Ideally, the laboratory photobioreactor would be able to exactly simulate the cultivation conditions of the projected industrial-scale photobioreactor. Thus, it always depends on the geometry of the larger scale reactor, which of the various laboratory-scale reactors is adequate to achieve useful results. Cost and the specific parameter's requirements for the cultivation of certain microalgae must also be considered when choosing lab-scale photobioreactors.

Illuminated microtiter plates are an ideal cultivation system for high throughput batch experiments at low cell densities if operated in an incubator with  $CO_2$  controlled atmosphere. They combine low material and application cost with the best possibilities for parallelization and automation

of measurements if no pH control is necessary. Microalgae productivity and growth behavior can be like other lab-scale photobioreactors to a certain degree, but sometimes they also differ significantly.

Illuminated shake flasks offer easy handling, low-cost batch cultivation in incubators with a controlled  $CO_2$  atmosphere and in comparison, to microtiter plates, their volume enables intermittent manual sampling over a few days of cultivation and for first investigations of product concentrations. Even though automation of measurements is possible, it is usually not applied as it is for microtiter plates. Meaningfully operated shake flasks may show growth and product formation comparable to other lab-scale photobioreactors to a certain degree.

When experimenting with greater volumes in the lab, different kinds of closed photobioreactors are available, each with its advantages and disadvantages, but all of them can be operated in batch and continuous mode and the state variables (e.g. gassing, pH, T, ...) can be controlled online. Illuminated bubble columns provide a soft and homogeneous mixing, with good aeration. Due to their simple design, they are an often-used photobioreactor in the laboratory. Scale-up into industrial sizes is challenging due to the light distribution caused by the radial geometry. If the lighting is from the outside, no light reaches the center on an industrial scale, and the internal lighting does not work to use sunlight. Numbering-up of small columns instead of geometrically similar scale-up will be the remaining but costly option.

Illuminated flat plate photobioreactors are easy to build and cheap devices with simple geometry. Due to their large surface area and a short light path through the reactor, the light supply to the microalgae suspension is one of the most effective and defined so far. Furthermore, full control of state variables can be achieved with standard lab equipment. Scalability is simple by increasing the size to an economically viable 'standard' configuration keeping the surface-to-volume ratio constant followed by numbering-up. But due to their biofilm formation tendency, shear forces must be raised usually during operation, or special installations have to be applied.

Illuminated tubular photobioreactors are specifically built to increase the surface-to-volume ratio of the reactors, which increases the available light for the cells. These reactors can also be simplified to either simulate the solar receiver or the airlift system when experimenting on a laboratory scale to greatly reduce costs. However, uniform light capture,  $CO_2$ availability,  $O_2$  enrichment and nutrient distribution across the length of the tube are challenges when applying these photobioreactors on a large scale. Due to their biofilm formation tendency, shear forces must be raised as well, or special installations have to be applied.

Illuminated stirred tank bioreactors are well-studied equipment in bioprocess engineering and therefore easy to set up for studies with microalgae under controlled reaction conditions in the lab. However, they expose the microalgae to high local energy dissipation rates at the stirrers and some microalgae species may show no growth in illuminated stirred-tank photobioreactors due to shear stress. Scale-up into industrial sizes is not feasible because a constant surface-to-volume ratio can only be realized by numbering up.

Some other niche photobioreactor designs, such as illuminated and aerated plastic bags or aquarium tanks, are cheaper and may be interesting alternatives to traditional photobioreactor designs but more experimentation might be required and proper control of state variables may be more challenging. Numbering-up may be an option for scale-up due to the simple design.

The upscaling of photobioreactors involves challenges that also exist for conventional bioreactors. A key difference is the specific light-driven issues such as light availability, self-shading, photoinhibition, or light-emitted heat. This makes a model-based approach combining light-dependent microalgae growth kinetics with the physics of light transport in suspensions and fluid dynamics most promising for the scale-up of phototrophic production processes with microalgae. Therefore, the type of lab-scale photobioreactor used for the identification of the light-dependent microalgae growth and product formation kinetics can be different from the projected industrial-scale photobioreactor.

Acknowledgements The authors would like to thank Rose Jacobs (Language Center, TUM), Ludwig Gredmaier (Biochemical Engineering, TUM), Franziska Glasl (Center for Key Competences, TUM), and Claudia Sterzer (Library, TUM) for their support in academic writing, collaborative scientific editing, virtual teamwork and digital collaboration, as well as literature research, respectively. The support of Philipp Benner of the TUM Graduate School (Technical University of Munich, Germany) is acknowledged as well.

Author contributions PB, LM, AP, KK, JEOV and DW-B contributed to the conception and design of the review paper; LM, AP, and KK, analyzed the literature with respect to scalability, bubble column and flat plate photobioreactors, and shaken photobioreactors, respectively; JEOV compiled the literature data about microfluidic, stirred tank, tubular and other photobioreactors; PB coordinated the literature research and evaluation; PB and DW-B designed the figures; PB, LM, AP, KK, JEOV, and DW-B wrote the manuscript. All the authors contributed to the critical revision and final approval of the manuscript.

Funding Open Access funding enabled and organized by Projekt DEAL.

Availability of data and material Not applicable.

Code availability Not applicable.

#### Declarations

**Conflict of interest** Dirk Weuster-Botz is Editor-in-Chief of Bioprocess and Biosystems Engineering. One of the Associate Editors of the journal was assigned to assume responsibility for overseeing peer review.

Ethics approval Not applicable.

Consent to participate Not applicable.

Consent for publication Not applicable.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

# References

- Salimon J, Salih N, Yousif E (2012) Industrial development and applications of plant oils and their biobased oleochemicals. Arab J Chem 5:135–145. https://doi.org/10.1016/j.arabjc.2010. 08.007
- European Commission (2019) A European Green Deal. https:// ec.europa.eu/info/strategy/priorities-2019-2024/european-greendeal\_en. Accessed 10 Jun 2021
- Burk MJ (2010) Sustainable production of industrial chemicals from sugars. Int Sugar J 112:6
- Bilanovic D, Andargatchew A, Kroeger T, Shelef G (2009) Freshwater and marine microalgae sequestering of CO<sub>2</sub> at different C and N concentrations—response surface methodology analysis. Energy Convers Manag 50:262–267. https://doi.org/10. 1016/j.enconman.2008.09.024
- Kumar K, Dasgupta CN, Nayak B et al (2011) Development of suitable photobioreactors for CO<sub>2</sub> sequestration addressing global warming using green algae and cyanobacteria. Bioresour Technol 102:4945–4953. https://doi.org/10.1016/j.biortech.2011. 01.054
- de Vera C, Díaz Crespín G, Hernández Daranas A et al (2018) Marine microalgae: promising source for new bioactive compounds. Mar Drugs 16:317. https://doi.org/10.3390/md16090317
- Afonso NC, Catarino MD, Silva AMS, Cardoso SM (2019) Brown macroalgae as valuable food ingredients. Antioxidants 8:365. https://doi.org/10.3390/antiox8090365
- Øverland M, Mydland LT, Skrede A (2019) Marine macroalgae as sources of protein and bioactive compounds in feed for monogastric animals. J Sci Food Agric 99:13–24. https://doi.org/ 10.1002/jsfa.9143
- Venkatraman KL, Mehta A (2019) Health benefits and pharmacological effects of *Porphyra* species. Plant Foods Hum Nutr 74:10–17. https://doi.org/10.1007/s11130-018-0707-9
- Wu Q, Liu L, Miron A et al (2016) The antioxidant, immunomodulatory, and anti-inflammatory activities of Spirulina: an overview. Arch Toxicol 90:1817–1840. https://doi.org/10.1007/ s00204-016-1744-5

- Kumari N, Singh RK (2019) Biofuel and co-products from algae solvent extraction. J Environ Manag 247:196–204. https://doi. org/10.1016/j.jenvman.2019.06.042
- Venkata Subhash G, Venkata Mohan S (2014) Lipid accumulation for biodiesel production by oleaginous fungus Aspergillus awamori: influence of critical factors. Fuel 116:509–515. https:// doi.org/10.1016/j.fuel.2013.08.035
- Friedlander J, Tsakraklides V, Kamineni A et al (2016) Engineering of a high lipid producing Yarrowia lipolytica strain. Biotechnol Biofuels 9:77. https://doi.org/10.1186/s13068-016-0492-3
- Viccaro M, Cozzi M, Rocchi B, Romano S (2019) Conservation agriculture to promote inland biofuel production in Italy: an economic assessment of rapeseed straight vegetable oil as a self-supply agricultural biofuel. J Clean Prod 217:153–161. https://doi.org/10.1016/j.jclepro.2019.01.251
- Alam F, Date A, Rasjidin R et al (2012) Biofuel from algae—is it a viable alternative? Procedia Eng 49:221–227. https://doi. org/10.1016/j.proeng.2012.10.131
- Abdel-Raouf N, Al-Homaidan AA, Ibraheem IBM (2012) Microalgae and wastewater treatment. Saudi J Biol Sci 19:257– 275. https://doi.org/10.1016/j.sjbs.2012.04.005
- Narayanan M, Prabhakaran M, Natarajan D et al (2021) Phycoremediation potential of *Chlorella* sp. on the polluted Thirumanimutharu river water. Chemosphere 277:130246. https:// doi.org/10.1016/j.chemosphere.2021.130246
- Gil-Izquierdo A, Pedreño MA, Montoro-García S et al (2021) A sustainable approach by using microalgae to minimize the eutrophication process of Mar Menor lagoon. Sci Total Environ 758:143613. https://doi.org/10.1016/j.scitotenv.2020.143613
- Wasanasathian A, Peng C-A (2007) Algal photobioreactor for production of lutein and zeaxanthin. In: Yang ST (ed) Bioprocessing for value-added products from renewable resources. Elsevier, pp 491–505
- Wang B, Lan CQ, Horsman M (2012) Closed photobioreactors for production of microalgal biomasses. Biotechnol Adv 30:904– 912. https://doi.org/10.1016/j.biotechadv.2012.01.019
- Nielsen J, Villadsen J (1994) Ideal bioreactors. In: Nielsen J, Villadsen J (eds) Bioreaction engineering principles. Springer, Boston, pp 343–413
- Bellou S, Aggelis G (2013) Biochemical activities in *Chlorella* sp. and Nannochloropsis salina during lipid and sugar synthesis in a lab-scale open pond simulating reactor. J Biotechnol 164:318–329. https://doi.org/10.1016/j.jbiotec.2013.01.010
- Kim HS, Weiss TL, Thapa HR et al (2014) A microfluidic photobioreactor array demonstrating high-throughput screening for microalgal oil production. Lab Chip 14:1415. https://doi.org/10. 1039/c3lc51396c
- Nedbal J, Gao L, Suhling K (2020) Bottom-illuminated orbital shaker for microalgae cultivation. HardwareX 8:e00143. https:// doi.org/10.1016/j.ohx.2020.e00143
- Carvalho AP, Silva SO, Baptista JM, Malcata FX (2011) Light requirements in microalgal photobioreactors: an overview of biophotonic aspects. Appl Microbiol Biotechnol 89:1275–1288. https://doi.org/10.1007/s00253-010-3047-8
- Pfaffinger CE, Severin TS, Apel AC et al (2019) Light-dependent growth kinetics enable scale-up of well-mixed phototrophic bioprocesses in different types of photobioreactors. J Biotechnol 297:41–48. https://doi.org/10.1016/j.jbiotec.2019.03.003
- Silva LML, Santiago AF, Silva GA et al (2019) Optimization and scale-up of an LED-illuminated microalgal photobioreactor for wastewater treatment. Water Sci Technol 80:2352–2361. https:// doi.org/10.2166/wst.2020.058
- Wang C-Y, Fu C-C, Liu Y-C (2007) Effects of using light-emitting diodes on the cultivation of Spirulina platensis. Biochem Eng J 37:21–25. https://doi.org/10.1016/j.bej.2007.03.004

- 29. Pruvost J, Le Borgne F, Artu A et al (2016) Industrial photobioreactors and scale-up concepts. In: Legrand J (ed) Advances in chemical engineering. Elsevier, pp 257–310
- Clark RL, McGinley LL, Purdy HM et al (2018) Light-optimized growth of cyanobacterial cultures: growth phases and productivity of biomass and secreted molecules in light-limited batch growth. Metab Eng 47:230–242. https://doi.org/10.1016/j. ymben.2018.03.017
- Cornet J-F, Dussap C-G (2009) A simple and reliable formula for assessment of maximum volumetric productivities in photobioreactors. Biotechnol Prog 25:424-435. https://doi.org/10.1002/ btpr.138
- 32. Sun Y, Yu G, Xiao G et al (2021) Enhancing CO<sub>2</sub> photo-biochemical conversion in a newly-designed attached photobioreactor characterized by stacked horizontal planar waveguide modules. Sci Total Environ 760:144041. https://doi.org/10.1016/j. scitotenv.2020.144041
- Luan G, Lu X (2018) Tailoring cyanobacterial cell factory for improved industrial properties. Biotechnol Adv 36:430–442. https://doi.org/10.1016/j.biotechadv.2018.01.005
- Negi S, Perrine Z, Friedland N et al (2020) Light regulation of light-harvesting antenna size substantially enhances photosynthetic efficiency and biomass yield in green algae. Plant J 103:584–603. https://doi.org/10.1111/tpj.14751
- 35. Litvín R, Bína D, Herbstová M et al (2019) Red-shifted lightharvesting system of freshwater eukaryotic alga Trachydiscus minutus (Eustigmatophyta, Stramenopila). Photosynth Res 142:137–151. https://doi.org/10.1007/s11120-019-00662-5
- 36. Friedland N, Negi S, Vinogradova-Shah T et al (2019) Finetuning the photosynthetic light harvesting apparatus for improved photosynthetic efficiency and biomass yield. Sci Rep 9:13028. https://doi.org/10.1038/s41598-019-49545-8
- Kolodny Y, Zer H, Propper M et al (2021) Marine cyanobacteria tune energy transfer efficiency in their light-harvesting antennae by modifying pigment coupling. FEBS J 288:980–994. https:// doi.org/10.1111/febs.15371
- Vecchi V, Barera S, Bassi R, Dall'Osto L (2020) Potential and challenges of improving photosynthesis in algae. Plants 9:67. https://doi.org/10.3390/plants9010067
- da Silva TL, Reis A (2015) Scale-up problems for the large scale production of algae. In: Das D (ed) Algal biorefinery: an integrated approach. Springer International Publishing, Cham, pp 125–149
- Rawat I, Ranjith Kumar R, Mutanda T, Bux F (2013) Biodiesel from microalgae: a critical evaluation from laboratory to large scale production. Appl Energy 103:444–467. https://doi.org/10. 1016/j.apenergy.2012.10.004
- Grobbelaar JU (2009) From laboratory to commercial production: a case study of a Spirulina (Arthrospira) facility in Musina, South Africa. J Appl Phycol 21:523–527. https://doi.org/10. 1007/s10811-008-9378-5
- Singh J, Gu S (2010) Commercialization potential of microalgae for biofuels production. Renew Sustain Energy Rev 14:2596– 2610. https://doi.org/10.1016/j.rser.2010.06.014
- Morschett H, Loomba V, Huber G et al (2018) Laboratory-scale photobiotechnology-current trends and future perspectives. FEMS Microbiol Lett. https://doi.org/10.1093/femsle/fnx238
- Pruvost J, Cornet J-F, Pilon L (2016) Large-scale production of algal biomass: photobioreactors. In: Bux F, Chisti Y (eds) Algae biotechnology. Springer International Publishing, Cham, pp 41–66
- Tredici MR (2003) Mass production of microalgae: photobioreactors. In: Richmond A (ed) Handbook of microalgal culture. Wiley, pp 178–214

- Posten C (2009) Design principles of photo-bioreactors for cultivation of microalgae. Eng Life Sci 9:165–177. https://doi.org/ 10.1002/elsc.200900003
- Wolf L, Cummings T, Müller K et al (2021) Production of β-carotene with Dunaliella salina CCAP19/18 at physically simulated outdoor conditions. Eng Life Sci 21:115–125. https://doi. org/10.1002/elsc.202000044
- Borowitzka MA, Vonshak A (2017) Scaling up microalgal cultures to commercial scale. Eur J Phycol 52:407–418. https://doi. org/10.1080/09670262.2017.1365177
- Richmond A (2008) Handbook of microalgal culture: biotechnology and applied phycology. Wiley, New York
- Holcomb RE, Mason LJ, Reardon KF et al (2011) Culturing and investigation of stress-induced lipid accumulation in microalgae using a microfluidic device. Anal Bioanal Chem 400:245–253. https://doi.org/10.1007/s00216-011-4710-3
- Westerwalbesloh C, Brehl C, Weber S et al (2019) A microfluidic photobioreactor for simultaneous observation and cultivation of single microalgal cells or cell aggregates. PLoS One 14:e0216093. https://doi.org/10.1371/journal.pone.0216093
- Dewan A, Kim J, McLean RH et al (2012) Growth kinetics of microalgae in microfluidic static droplet arrays. Biotechnol Bioeng 109:2987–2996. https://doi.org/10.1002/bit.24568
- Hammar P, Angermayr SA, Sjostrom SL et al (2015) Singlecell screening of photosynthetic growth and lactate production by cyanobacteria. Biotechnol Biofuels 8:193. https://doi.org/10. 1186/s13068-015-0380-2
- Ojo EO, Auta H, Baganz F, Lye GJ (2015) Design and parallelisation of a miniature photobioreactor platform for microalgal culture evaluation and optimisation. Biochem Eng J 103:93–102. https://doi.org/10.1016/j.bej.2015.07.006
- 55. Kosa G, Vuoristo KS, Horn SJ et al (2018) Assessment of the scalability of a microtiter plate system for screening of oleaginous microorganisms. Appl Microbiol Biotechnol 102:4915– 4925. https://doi.org/10.1007/s00253-018-8920-x
- Morschett H, Schiprowski D, Rohde J et al (2017) Comparative evaluation of phototrophic microtiter plate cultivation against laboratory-scale photobioreactors. Bioprocess Biosyst Eng 40:663–673. https://doi.org/10.1007/s00449-016-1731-5
- Glauch L, Escher BI (2020) The combined algae test for the evaluation of mixture toxicity in environmental samples. Environ Toxicol Chem 39:2496–2508. https://doi.org/10.1002/etc.4873
- Tillich UM, Wolter N, Schulze K et al (2014) High-throughput cultivation and screening platform for unicellular phototrophs. BMC Microbiol 14:239. https://doi.org/10.1186/ s12866-014-0239-x
- Le Page G, Gunnarsson L, Snape J, Tyler CR (2020) Development and application of a microplate assay for toxicity testing on aquatic cyanobacteria. Environ Toxicol Chem 39:705–720. https://doi.org/10.1002/etc.4657
- Morschett H, Schiprowski D, Müller C et al (2017) Design and validation of a parallelized micro-photobioreactor enabling phototrophic bioprocess development at elevated throughput. Biotechnol Bioeng 114:122–131. https://doi.org/10.1002/bit.26051
- 61. Heo J, Cho D-H, Ramanan R et al (2015) PhotoBiobox: a tablet sized, low-cost, high throughput photobioreactor for microalgal screening and culture optimization for growth, lipid content and CO<sub>2</sub> sequestration. Biochem Eng J 103:193–197. https://doi.org/ 10.1016/j.bej.2015.07.013
- Aburai N, Kazama H, Tsuruoka A et al (2018) Development of a whole-cell-based screening method for a carotenoid assay using aerial microalgae. J Biotechnol 268:6–11. https://doi.org/ 10.1016/j.jbiotec.2017.12.025
- 63. Morschett H, Wiechert W, Oldiges M (2016) Automation of a Nile red staining assay enables high throughput quantification of

microalgal lipid production. Microb Cell Fact 15:34. https://doi. org/10.1186/s12934-016-0433-7

- Büchs J (2001) Introduction to advantages and problems of shaken cultures. Biochem Eng J 7:91–98. https://doi.org/10.1016/ S1369-703X(00)00106-6
- 65. Wu K, Ying K, Liu L et al (2020) High irradiance compensated with CO<sub>2</sub> enhances the efficiency of Haematococcus lacustris growth. Biotechnol Rep 26:e00444. https://doi.org/10.1016/j. btre.2020.e00444
- 66. Garcia-Ochoa F, Gomez E, Alcon A, Santos VE (2013) The effect of hydrodynamic stress on the growth of Xanthomonas campestris cultures in a stirred and sparged tank bioreactor. Bioprocess Biosyst Eng 36:911–925. https://doi.org/10.1007/ s00449-012-0825-y
- Hoschek A, Bühler B, Schmid A (2019) Stabilization and scaleup of photosynthesis-driven ω-hydroxylation of nonanoic acid methyl ester by two-liquid phase whole-cell biocatalysis. Biotechnol Bioeng 116:1887–1900. https://doi.org/10.1002/bit. 27006
- Wang Q, Yu Z, Wei D (2020) High-yield production of biomass, protein and pigments by mixotrophic *Chlorella pyrenoidosa* through the bioconversion of high ammonium in wastewater. Bioresour Technol 313:123499. https://doi.org/10.1016/j.biort ech.2020.123499
- Nayak M, Thirunavoukkarasu M, Mohanty RC (2016) Cultivation of freshwater microalga *Scenedesmus* sp. using a low-cost inorganic fertilizer for enhanced biomass and lipid yield. J Gen Appl Microbiol 62:7–13. https://doi.org/10.2323/jgam.62.7
- Hoschek A, Toepel J, Hochkeppel A et al (2019) Light-dependent and aeration-independent gram-scale hydroxylation of cyclohexane to cyclohexanol by CYP450 harboring *Synechocystis* sp. PCC 6803. Biotechnol J 14:e1800724. https://doi.org/10.1002/ biot.201800724
- Krujatz F, Fehse K, Jahnel M et al (2016) MicrOLED-photobioreactor: design and characterization of a milliliter-scale flatpanel-airlift-photobioreactor with optical process monitoring. Algal Res 18:225–234. https://doi.org/10.1016/j.algal.2016.06. 018
- Flórez-Miranda L, Cañizares-Villanueva RO, Melchy-Antonio O et al (2017) Two stage heterotrophy/photoinduction culture of Scenedesmus incrassatulus: potential for lutein production. J Biotechnol 262:67–74. https://doi.org/10.1016/j.jbiotec.2017.09. 002
- Doppler P, Kornpointner C, Halbwirth H et al (2021) Tetraedron minimum, first reported member of hydrodictyaceae to accumulate secondary carotenoids. Life (Basel). https://doi.org/10.3390/ life11020107
- Lauersen KJ, Wichmann J, Baier T et al (2018) Phototrophic production of heterologous diterpenoids and a hydroxy-functionalized derivative from *Chlamydomonas reinhardtii*. Metab Eng 49:116–127. https://doi.org/10.1016/j.ymben.2018.07.005
- Socher ML, Lenk F, Geipel K et al (2014) Phototrophic growth of Arthrospira platensis in a respiration activity monitoring system for shake flasks (RAMOS<sup>®</sup>). Eng Life Sci 14:658–666. https:// doi.org/10.1002/elsc.201300156
- Rodolfi L, Chini Zittelli G, Bassi N et al (2009) Microalgae for oil: Strain selection, induction of lipid synthesis and outdoor mass cultivation in a low-cost photobioreactor. Biotechnol Bioeng 102:100–112. https://doi.org/10.1002/bit.22033
- 77. Chang J, Le K, Song X et al (2017) Scale-up cultivation enhanced arachidonic acid accumulation by red microalgae Porphyridium purpureum. Bioprocess Biosyst Eng 40:1763–1773. https://doi. org/10.1007/s00449-017-1831-x
- 78. Bruder S, Reifenrath M, Thomik T et al (2016) Parallelised online biomass monitoring in shake flasks enables efficient strain

and carbon source dependent growth characterisation of *Saccharomyces cerevisiae*. Microb Cell Fact 15:127. https://doi.org/10. 1186/s12934-016-0526-3

- Link H, Weuster-Botz D (2011) Medium formulation and development. In: Moo-Young M (ed) Comprehensive biotechnology. Elsevier, pp 119–134
- Wang C, Lan CQ (2018) Effects of shear stress on microalgae—a review. Biotechnol Adv 36:986–1002. https://doi.org/10.1016/j. biotechadv.2018.03.001
- Klein BC, Bastos RG, Filho RM, Maciel MRW (2021) Effect of light, CO<sub>2</sub> and nitrate concentration on *Chlorella vulgaris* growth and composition in a flat-plate photobioreactor. Braz J Chem Eng. https://doi.org/10.1007/s43153-021-00100-x
- Cordara A, Re A, Pagliano C et al (2018) Analysis of the light intensity dependence of the growth of *Synechocystis* and of the light distribution in a photobioreactor energized by 635 nm light. PeerJ 6:e5256. https://doi.org/10.7717/peerj.5256
- Gayathri S, Rajasree SRR, Suman TY et al (2020) Induction of β, ε-carotene-3, 3'-diol (lutein) production in green algae Chlorella salina with airlift photobioreactor: interaction of different aeration and light-related strategies. Biomass Conv Bioref. https:// doi.org/10.1007/s13399-019-00580-5
- Maroneze MM, Siqueira SF, Vendruscolo RG et al (2016) The role of photoperiods on photobioreactors—a potential strategy to reduce costs. Bioresour Technol 219:493–499. https://doi.org/ 10.1016/j.biortech.2016.08.003
- 85. Saldarriaga LF, Almenglo F, Ramírez M, Cantero D (2020) Kinetic characterization and modeling of a microalgae consortium isolated from landfill leachate under a high CO<sub>2</sub> concentration in a bubble column photobioreactor. Electron J Biotechnol 44:47–57. https://doi.org/10.1016/j.ejbt.2020.01.006
- Morales-Sánchez D, Schulze PSC, Kiron V, Wijffels RH (2020) Production of carbohydrates, lipids and polyunsaturated fatty acids (PUFA) by the polar marine microalga *Chlamydomonas malina* RCC2488. Algal Res 50:102016. https://doi.org/10. 1016/j.algal.2020.102016
- Deniz I (2020) Scaling-up of *Haematococcus pluvialis* production in stirred tank photobioreactor. Bioresour Technol 310:123434. https://doi.org/10.1016/j.biortech.2020.123434
- Li J, Xu NS, Su WW (2003) Online estimation of stirred-tank microalgal photobioreactor cultures based on dissolved oxygen measurement. Biochem Eng J 14:51–65. https://doi.org/10.1016/ S1369-703X(02)00135-3
- Banerjee S, Dasgupta S, Das D, Atta A (2020) Influence of photobioreactor configuration on microalgal biomass production. Bioprocess Biosyst Eng 43:1487–1497. https://doi.org/10.1007/ s00449-020-02342-4
- Xu Z, Baicheng Z, Yiping Z et al (2002) A simple and low-cost airlift photobioreactor for microalgal mass culture. Biotech Lett 24:1767–1771. https://doi.org/10.1023/A:1020648919331
- Koller AP, Wolf L, Weuster-Botz D (2017) Reaction engineering analysis of *Scenedesmus ovalternus* in a flat-plate gas-lift photobioreactor. Bioresour Technol 225:165–174. https://doi.org/10. 1016/j.biortech.2016.11.025
- 92. Weise T, Grewe C, Pfaff M (2020) Experimental and modelbased analysis to optimize microalgal biomass productivity in a pilot-scale tubular photobioreactor. Front Bioeng Biotechnol 8:453. https://doi.org/10.3389/fbioe.2020.00453
- 93. Madhubalaji CK, Sarat Chandra T, Chauhan VS et al (2020) Chlorella vulgaris cultivation in airlift photobioreactor with transparent draft tube: effect of hydrodynamics, light and carbon dioxide on biochemical profile particularly ω-6/ω-3 fatty acid ratio. J Food Sci Technol 57:866–876. https://doi.org/10.1007/ s13197-019-04118-5

- Sabri LS, Sultan AJ, Al-Dahhan MH (2018) Mapping of microalgae culturing via radioactive particle tracking. Chem Eng Sci 192:739–758. https://doi.org/10.1016/j.ces.2018.08.012
- Ranjbar R, Inoue R, Shiraishi H et al (2008) High efficiency production of astaxanthin by autotrophic cultivation of *Haematococcus pluvialis* in a bubble column photobioreactor. Biochem Eng J 39:575–580. https://doi.org/10.1016/j.bej.2007.11.010
- López-Rosales L, García-Camacho F, Sánchez-Mirón A et al (2016) Pilot-scale bubble column photobioreactor culture of a marine dinoflagellate microalga illuminated with light emission diodes. Bioresour Technol 216:845–855. https://doi.org/10. 1016/j.biortech.2016.06.027
- Pegallapati AK, Arudchelvam Y, Nirmalakhandan N (2012) Energy-efficient photobioreactor configuration for algal biomass production. Bioresour Technol 126:266–273. https://doi.org/10. 1016/j.biortech.2012.08.090
- Mohsenpour SF, Willoughby N (2013) Luminescent photobioreactor design for improved algal growth and photosynthetic pigment production through spectral conversion of light. Bioresour Technol 142:147–153. https://doi.org/10.1016/j.biortech.2013. 05.024
- Shaikh A, Taha MM, Al-Dahhan MH (2021) Phase distribution in Fischer–Tropsch mimicked slurry bubble column via computed tomography. Chem Eng Sci 231:116278. https://doi.org/ 10.1016/j.ces.2020.116278
- Jin X, Gong S, Chen Z et al (2021) Potential microalgal strains for converting flue gas CO<sub>2</sub> into biomass. J Appl Phycol 33:47– 55. https://doi.org/10.1007/s10811-020-02147-8
- Carbone DA, Olivieri G, Pollio A, Melkonian M (2020) Comparison of Galdieria growth and photosynthetic activity in different culture systems. AMB Expr 10:170. https://doi.org/10.1186/ s13568-020-01110-7
- 102. Hobuss CB, Rosales PF, Venzke D et al (2011) Cultivation of algae in photobioreator and obtention of biodiesel. Rev Bras Farmacogn 21:361–364. https://doi.org/10.1590/S0102-695X2 011005000062
- 103. Ding N, Li C, Wang T et al (2021) Evaluation of an enclosed airlift photobioreactor (ALPBR) for biomass and lipid biosynthesis of microalgal cells grown under fluid-induced shear stress. Biotechnol Biotechnol Equip 35:139–149. https://doi.org/10.1080/ 13102818.2020.1856717
- 104. Heining M, Sutor A, Stute SC et al (2015) Internal illumination of photobioreactors via wireless light emitters: a proof of concept. J Appl Phycol 27:59–66. https://doi.org/10.1007/ s10811-014-0290-x
- Hincapie E, Stuart BJ (2015) Design, construction, and validation of an internally lit air-lift photobioreactor for growing algae. Front Energy Res. https://doi.org/10.3389/fenrg.2014.00065
- Crawford RJ, Martin PJ (2020) Plastics engineering. Elsevier Science & Technology, Saint Louis
- López-Rosales L, Sánchez-Mirón A, Contreras-Gómez A et al (2019) Characterization of bubble column photobioreactors for shear-sensitive microalgae culture. Bioresour Technol 275:1–9. https://doi.org/10.1016/j.biortech.2018.12.009
- Mubarak M, Shaija A, Prashanth P (2019) Bubble column photobioreactor for Chlorella pyrenoidosa cultivation and validating gas hold up and volumetric mass transfer coefficient. Energy Sour Part A Recovery Util Environ Eff. https://doi.org/10.1080/ 15567036.2019.1680769
- Havel J, Franco-Lara E, Weuster-Botz D (2008) A parallel bubble column system for the cultivation of phototrophic microorganisms. Biotechnol Lett 30:1197–1200. https://doi.org/10.1007/ s10529-008-9680-y
- 110. Esperança MN, Mendes CE, Rodriguez GY et al (2020) Sparger design as key parameter to define shear conditions in pneumatic

bioreactors. Biochem Eng J 157:107529. https://doi.org/10. 1016/j.bej.2020.107529

- 111. López-Rosales L, García-Camacho F, Sánchez-Mirón A et al (2017) Modeling shear-sensitive dinoflagellate microalgae growth in bubble column photobioreactors. Bioresour Technol 245:250–257. https://doi.org/10.1016/j.biortech.2017.08.161
- 112. Huang J, Feng F, Wan M et al (2015) Improving performance of flat-plate photobioreactors by installation of novel internal mixers optimized with computational fluid dynamics. Bioresour Technol 182:151–159. https://doi.org/10.1016/j.biortech.2015.01.067
- 113. Reyna-Velarde R, Cristiani-Urbina E, Hernández-Melchor DJ et al (2010) Hydrodynamic and mass transfer characterization of a flat-panel airlift photobioreactor with high light path. Chem Eng Process 49:97–103. https://doi.org/10.1016/j.cep.2009.11. 014
- 114. Yu G, Li Y, Shen G et al (2009) A novel method using CFD to optimize the inner structure parameters of flat photobioreactors. J Appl Phycol 21:719. https://doi.org/10.1007/s10811-009-9407-z
- 115. Li J, Stamato M, Velliou E et al (2015) Design and characterization of a scalable airlift flat panel photobioreactor for microalgae cultivation. J Appl Phycol 27:75–86. https://doi.org/10.1007/ s10811-014-0335-1
- 116. Sung M-G, Shin W-S, Kim W et al (2014) Effect of shear stress on the growth of continuous culture of synechocystis PCC 6803 in a flat-panel photobioreactor. Korean J Chem Eng 31:1233–1236. https://doi.org/10.1007/s11814-014-0029-z
- 117. Azizi S, Bayat B, Tayebati H et al (2021) Nitrate and phosphate removal from treated wastewater by *Chlorella vulgaris* under various light regimes within membrane flat plate photobioreactor. Environ Prog Sustain Energy 40:e13519. https://doi.org/ 10.1002/ep.13519
- 118. Rambhiya SJ, Magar CS, Deodhar MA (2021) Using seawaterbased Na<sub>2</sub>CO<sub>3</sub> medium for scrubbing the CO<sub>2</sub> released from Bio-CNG plant for enhanced biomass production of Pseudanabaena limnetica. SN Appl Sci 3:276. https://doi.org/10.1007/ s42452-021-04271-7
- 119. Quiroz-Arita C, Blaylock ML, Gharagozloo PE et al (2020) Pilot-scale open-channel raceways and flat-panel photobioreactors maintain well-mixed conditions under a wide range of mixing energy inputs. Biotechnol Bioeng 117:959–969. https:// doi.org/10.1002/bit.27268
- 120. Su CM, Hsueh HT, Tseng CM et al (2017) Effects of nutrient availability on the biomass production and CO<sub>2</sub> fixation in a flat plate photobioreactor. Aerosol Air Qual Res 17:1887– 1897. https://doi.org/10.4209/aaqr.2016.09.0386
- 121. Wagner I, Steinweg C, Posten C (2016) Mono- and dichromatic LED illumination leads to enhanced growth and energy conversion for high-efficiency cultivation of microalgae for application in space. Biotechnol J 11:1060–1071. https://doi.org/10. 1002/biot.201500357
- 122. Vogel V, Bergmann P (2017) Culture of *Spirogyra* sp. in a flatpanel airlift photobioreactor. 3 Biotech 8:6. https://doi.org/10. 1007/s13205-017-1026-9
- 123. Wang L, Wang Q, Zhao R et al (2021) Novel flat-plate photobioreactor with inclined baffles and internal structure optimization to improve light regime performance. ACS Sustain Chem Eng 9:1550–1558. https://doi.org/10.1021/acssuschem eng.0c06109
- 124. Norsker NH, Michiels M, Slegers PM et al (2019) Productivity of Nannochloropsis oceanica in an industrial closely spaced flat panel photobioreactor. Algal Res 43:101632. https://doi.org/10. 1016/j.algal.2019.101632
- 125. Pfaffinger CE, Schöne D, Trunz S et al (2016) Model-based optimization of microalgae areal productivity in flat-plate gaslift photobioreactors. Algal Res 20:153–163. https://doi.org/10. 1016/j.algal.2016.10.002

- 126. Koller AP, Wolf L, Brück T, Weuster-Botz D (2018) Studies on the scale-up of biomass production with *Scenedesmus* spp. in flat-plate gas-lift photobioreactors. Bioprocess Biosyst Eng 41:213–220. https://doi.org/10.1007/s00449-017-1859-y
- 127. Koller AP, Löwe H, Schmid V et al (2017) Model-supported phototrophic growth studies with Scenedesmus obtusiusculus in a flat-plate photobioreactor. Biotechnol Bioeng 114:308–320. https://doi.org/10.1002/bit.26072
- Nastiti KD, Rahman A (2021) Multiobjective optimization of synechocytis culture in flat-plate photobioreactor toward optimal growth and exergy. J Phys Conf Ser 1858:012038. https://doi.org/ 10.1088/1742-6596/1858/1/012038
- 129. Trivedi J, Atray N, Agrawal D (2021) Enhanced biomass production of *Scenedesmus obliquus* in a flat-panel photobioreactor, grown in photoautotrophic mode. Biofuels 12:53–59. https://doi. org/10.1080/17597269.2018.1448634
- Belohlav V, Zakova T, Jirout T, Kratky L (2020) Effect of hydrodynamics on the formation and removal of microalgal biofilm in photobioreactors. Biosyst Eng 200:315–327. https://doi.org/10. 1016/j.biosystemseng.2020.10.014
- 131. Vadiveloo A, NavidR M, Alghamedi R et al (2016) Sustainable cultivation of microalgae by an insulated glazed glass plate photobioreactor. Biotechnol J 11:363–374. https://doi.org/10.1002/ biot.201500358
- 132. Delavari Amrei H, Khoobkar Z (2021) Using phycocyanin as spectral converter on the growth parameters and lipid content of the green microalga *Chlorella* sp. in a double layer flat panel photobioreactor. Appl Biochem Biotechnol 193:940–951. https://doi.org/10.1007/s12010-020-03479-4
- 133. Jacobi A, Steinweg C, Sastre RR, Posten C (2012) Advanced photobioreactor LED illumination system: scale-down approach to study microalgal growth kinetics. Eng Life Sci. https://doi.org/10.1002/elsc.201200004
- 134. Schädler T, Caballero Cerbon D, de Oliveira L et al (2019) Production of lipids with Microchloropsis salina in open thin-layer cascade photobioreactors. Bioresour Technol 289:121682. https://doi.org/10.1016/j.biortech.2019.121682
- Bergmann P, Ripplinger P, Beyer L, Trösch W (2013) Disposable flat panel airlift photobioreactors. Chem Ing Tech 85:202–205. https://doi.org/10.1002/cite.201200132
- 136. Jiang W, Levasseur W, Casalinho J et al (2021) Shear stress computation in a millimeter thin flat panel photobioreactor: numerical design validated by experiments. Biotechnol Appl Biochem 68:60–70. https://doi.org/10.1002/bab.1894
- 137. Melo M, Fernandes S, Caetano N, Borges MT (2018) Chlorella vulgaris (SAG 211-12) biofilm formation capacity and proposal of a rotating flat plate photobioreactor for more sustainable biomass production. J Appl Phycol 30:887–899. https:// doi.org/10.1007/s10811-017-1290-4
- 138. Leupold M, Hindersin S, Gust G et al (2013) Influence of mixing and shear stress on *Chlorella vulgaris*, *Scenedesmus obliquus*, and *Chlamydomonas reinhardtii*. J Appl Phycol 25:485–495. https://doi.org/10.1007/s10811-012-9882-5
- Camacho FG, Rodríguez JJG, Mirón AS et al (2011) Photobioreactor scale-up for a shear-sensitive dinoflagellate microalga. Process Biochem 46:936–944. https://doi.org/10.1016/j.procb io.2011.01.005
- 140. Huang Y, Rorrer GL (2003) Cultivation of microplantlets derived from the marine red alga agardhiella subulata in a stirred tank photobioreactor. Biotechnol Prog 19:418–427. https://doi.org/10.1021/bp020123i
- 141. Ogbonna JC, Soejima T, Tanaka H (1999) An integrated solar and artificial light system for internal illumination of photobioreactors. J Biotechnol 70:289–297. https://doi.org/10.1016/ S0168-1656(99)00081-4

- 142. Xue S, Zhang Q, Wu X et al (2013) A novel photobioreactor structure using optical fibers as inner light source to fulfill flashing light effects of microalgae. Bioresour Technol 138:141–147. https://doi.org/10.1016/j.biortech.2013.03.156
- 143. Mohammed K, Ahammad SZ, Sallis PJ, Mota CR (2014) Energy-efficient stirred-tank photobioreactors for simultaneous carbon capture and municipal wastewater treatment. Water Sci Technol 69:2106–2112. https://doi.org/10.2166/wst.2014. 123
- 144. Molina E, Fernández J, Acién FG, Chisti Y (2001) Tubular photobioreactor design for algal cultures. J Biotechnol 92:113– 131. https://doi.org/10.1016/S0168-1656(01)00353-4
- Ojanen S, Tyystjärvi E, Holmberg H, Ahtila P (2015) Porous membrane as a means of gas and nutrient exchange in a tubular photobioreactor. J Appl Phycol 27:1169–1175. https://doi.org/ 10.1007/s10811-014-0397-0
- Torzillo G, Chini Zittelli G (2015) Tubular photobioreactors. pp 187–212
- 147. Zittelli GC, Biondi N, Rodolfi L, Tredici MR (2013) Photobioreactors for mass production of microalgae. In: Richmond A, Hu Q (eds) Handbook of microalgal culture. Wiley, Oxford, pp 225–266
- Solimeno A, Acíen FG, García J (2017) Mechanistic model for design, analysis, operation and control of microalgae cultures: calibration and application to tubular photobioreactors. Algal Res 21:236–246. https://doi.org/10.1016/j.algal.2016.11.023
- 149. Giannelli L, Torzillo G (2012) Hydrogen production with the microalga *Chlamydomonas reinhardtii* grown in a compact tubular photobioreactor immersed in a scattering light nanoparticle suspension. Int J Hydrogen Energy 37:16951–16961. https://doi. org/10.1016/j.ijhydene.2012.08.103
- Torzillo G, Pushparaj B, Masojidek J, Vonshak A (2003) Biological constraints in algal biotechnology. Biotechnol Bioprocess Eng 8:338–348. https://doi.org/10.1007/BF02949277
- Cuaresma M, Janssen M, Vílchez C, Wijffels RH (2011) Horizontal or vertical photobioreactors? How to improve microalgae photosynthetic efficiency. Bioresour Technol 102:5129–5137. https://doi.org/10.1016/j.biortech.2011.01.078
- 152. Moraes L, Rosa GM, Cara IM et al (2020) Bioprocess strategies for enhancing the outdoor production of Nannochloropsis gaditana: an evaluation of the effects of pH on culture performance in tubular photobioreactors. Bioprocess Biosyst Eng 43:1823–1832. https://doi.org/10.1007/s00449-020-02373-x
- Comitre AA, da Vaz SB, Costa JAV, de Morais MG (2021) Renewal of nanofibers in *Chlorella fusca* microalgae cultivation to increase CO<sub>2</sub> fixation. Bioresour Technol 321:124452. https:// doi.org/10.1016/j.biortech.2020.124452
- Díaz JP, Inostroza C, Acién Fernández FG (2019) Fibonacci-type tubular photobioreactor for the production of microalgae. Process Biochem 86:1–8. https://doi.org/10.1016/j.procbio.2019.08.008
- Huang Q, Jiang F, Wang L, Yang C (2017) Design of photobioreactors for mass cultivation of photosynthetic organisms. Engineering 3:318–329. https://doi.org/10.1016/J.ENG.2017.03.020
- 156. Kobayashi N, Barnes A, Jensen T et al (2015) Comparison of biomass and lipid production under ambient carbon dioxide vigorous aeration and 3% carbon dioxide condition among the lead candidate Chlorella strains screened by various photobioreactor scales. Bioresour Technol 198:246–255. https://doi.org/10. 1016/j.biortech.2015.08.124
- Martínez-Jerónimo F, Espinosa-Chávez F (1994) A laboratoryscale system for mass culture of freshwater microalgae in polyethylene bags. J Appl Phycol 6:423–425. https://doi.org/10.1007/ BF02182159
- 158. Morillas-España A, Lafarga T, Gómez-Serrano C et al (2020) Year-long production of Scenedesmus almeriensis in pilot-scale

raceway and thin-layer cascade photobioreactors. Algal Res 51:102069. https://doi.org/10.1016/j.algal.2020.102069

- 159. Apel AC, Pfaffinger CE, Basedahl N et al (2017) Open thin-layer cascade reactors for saline microalgae production evaluated in a physically simulated Mediterranean summer climate. Algal Res 25:381–390. https://doi.org/10.1016/j.algal.2017.06.004
- 160. Severin TS, Apel AC, Brück T, Weuster-Botz D (2018) Investigation of vertical mixing in thin-layer cascade reactors using computational fluid dynamics. Chem Eng Res Des 132:436–444. https://doi.org/10.1016/j.cherd.2018.01.036
- 161. Severin TS, Plamauer S, Apel AC et al (2017) Rapid salinity measurements for fluid flow characterisation using minimal invasive sensors. Chem Eng Sci 166:161–167. https://doi.org/ 10.1016/j.ces.2017.03.014
- 162. Tan CH, Tan X, Ho S-H et al (2020) Conceptual design of a hybrid thin layer cascade photobioreactor for microalgal biodiesel synthesis. Int J Energy Res 44:9757–9771. https://doi. org/10.1002/er.5699
- 163. Schädler T, Neumann-Cip A-C, Wieland K et al (2020) Highdensity microalgae cultivation in open thin-layer cascade photobioreactors with water recycling. Appl Sci 10:3883. https://doi. org/10.3390/app10113883
- 164. Coker AK (2001) Chapter thirteen—scale-up in reactor design. In: Coker AK (ed) Modeling of chemical kinetics and reactor design. Gulf Professional Publishing, Woburn, pp 1034–1081
- 165. Ali H, Solsvik J, Wagner JL et al (2019) CFD and kinetic-based modeling to optimize the sparger design of a large-scale photobioreactor for scaling up of biofuel production. Biotechnol Bioeng 116:2200–2211. https://doi.org/10.1002/bit.27010
- 166. Díaz JP, Inostroza C, Acién FG (2021) Scale-up of a fibonaccitype photobioreactor for the production of *Dunaliella salina*. Appl Biochem Biotechnol 193:188–204. https://doi.org/10.1007/ s12010-020-03410-x
- 167. Sforza E, Bertucco A, Morosinotto T, Giacometti GM (2012) Photobioreactors for microalgal growth and oil production with Nannochloropsis salina: from lab-scale experiments to largescale design. Chem Eng Res Des 90:1151–1158. https://doi.org/ 10.1016/j.cherd.2011.12.002
- Gudin C, Chaumont D (1991) Cell fragility—the key problem of microalgae mass production in closed photobioreactors. Bioresour Technol 38:145–151. https://doi.org/10.1016/0960-8524(91) 90146-B
- 169. Fulbright SP, Robbins-Pianka A, Berg-Lyons D et al (2018) Bacterial community changes in an industrial algae production system. Algal Res 31:147–156. https://doi.org/10.1016/j.algal. 2017.09.010
- Kamravamanesh D, Kiesenhofer D, Fluch S et al (2019) Scale-up challenges and requirement of technology-transfer for cyanobacterial poly (3-hydroxybutyrate) production in industrial scale. Int J Biobased Plast 1:60–71. https://doi.org/10.1080/24759651. 2019.1688604
- Burlew JS (1953) Algal culture from laboratory to pilot plant. AIBS Bull 3:11–11. https://doi.org/10.1093/aibsbulletin/3.5.11
- 172. Hu Q, Guterman H, Richmond A (1996) A flat inclined modular photobioreactor for outdoor mass cultivation of photoautotrophs. Biotechnol Bioeng 51:51–60. https://doi.org/10.1002/(SICI) 1097-0290(19960705)51:1%3c51::AID-BIT6%3e3.0.CO;2-#
- 173. Yan C, Wang Z, Wu X et al (2020) Outdoor cultivation of *Chlorella* sp. in an improved thin-film flat-plate photobioreactor in desertification areas. J Biosci Bioeng 129:619–623. https://doi.org/10.1016/j.jbiosc.2019.12.007
- 174. Ugwu CU, Ogbonna JC, Tanaka H (2002) Improvement of mass transfer characteristics and productivities of inclined tubular photobioreactors by installation of internal static mixers. Appl

Microbiol Biotechnol 58:600-607. https://doi.org/10.1007/ s00253-002-0940-9

- 175. Radmann EM, Reinehr CO, Costa JAV (2007) Optimization of the repeated batch cultivation of microalga Spirulina platensis in open raceway ponds. Aquaculture 265:118–126. https://doi.org/ 10.1016/j.aquaculture.2007.02.001
- 176. Barbosa MJ, Janssen M, Ham N et al (2003) Microalgae cultivation in air-lift reactors: modeling biomass yield and growth rate as a function of mixing frequency. Biotechnol Bioeng 82:170– 179. https://doi.org/10.1002/bit.10563
- 177. Doucha J, Lívanský K (2014) High density outdoor microalgal culture. In: Bajpai R, Prokop A, Zappi M (eds) Algal biorefineries. Springer, Dordrecht, pp 147–173
- 178. Jorquera O, Kiperstok A, Sales EA et al (2010) Comparative energy life-cycle analyses of microalgal biomass production in open ponds and photobioreactors. Bioresour Technol 101:1406– 1413. https://doi.org/10.1016/j.biortech.2009.09.038
- Pulz O, Scheibenbogen K, Groß W (2008) Biotechnology with cyanobacteria and microalgae. In: Rehm H-J, Reed G (eds) Biotechnology. Wiley-VCH Verlag GmbH, Weinheim, pp 105–136
- Janssen M, Tramper J, Mur LR, Wijffels RH (2003) Enclosed outdoor photobioreactors: light regime, photosynthetic efficiency, scale-up, and future prospects. Biotechnol Bioeng 81:193–210. https://doi.org/10.1002/bit.10468
- Terry KL (1986) Photosynthesis in modulated light: quantitative dependence of photosynthetic enhancement on flashing rate. Biotechnol Bioeng 28:988–995. https://doi.org/10.1002/bit.26028 0709
- Pattison PM, Tsao JY, Brainard GC, Bugbee B (2018) LEDs for photons, physiology and food. Nature 563:493–500. https://doi. org/10.1038/s41586-018-0706-x
- Capson-Tojo G, Batstone DJ, Grassino M et al (2020) Purple phototrophic bacteria for resource recovery: challenges and opportunities. Biotechnol Adv 43:107567. https://doi.org/10. 1016/j.biotechadv.2020.107567
- 184. Leonardi RJ, Ibañez MV, Osella EN, Heinrich JM (2021) Laboratory-scale reproduction of lighting conditions for an outdoor vertical column photobioreactor: theoretical fundamentals and operation of a programmable LED module. Algal Res 55:102227. https://doi.org/10.1016/j.algal.2021.102227
- Pittman JK, Dean AP, Osundeko O (2011) The potential of sustainable algal biofuel production using wastewater resources. Biores Technol 102:17–25. https://doi.org/10.1016/j.biortech. 2010.06.035
- 186. Olguín EJ (2012) Dual purpose microalgae–bacteria-based systems that treat wastewater and produce biodiesel and chemical products within a biorefinery. Biotechnol Adv 30:1031–1046. https://doi.org/10.1016/j.biotechadv.2012.05.001
- 187. Park Y, Je K-W, Lee K et al (2008) Growth promotion of Chlorella ellipsoidea by co-inoculation with *Brevundimonas* sp. isolated from the microalga. Hydrobiologia 598:219–228. https:// doi.org/10.1007/s10750-007-9152-8
- Lafarga T (2019) Effect of microalgal biomass incorporation into foods: nutritional and sensorial attributes of the end products. Algal Res 41:101566. https://doi.org/10.1016/j.algal.2019. 101566
- Garrido-Cardenas JA, Manzano-Agugliaro F, Acien-Fernandez FG, Molina-Grima E (2018) Microalgae research worldwide. Algal Res 35:50–60. https://doi.org/10.1016/j.algal.2018.08.005
- Borowitzka MA (2013) Species and strain selection. In: Borowitzka MA, Moheimani NR (eds) Algae for biofuels and energy. Springer, Dordrecht, pp 77–89
- 191. Hindersin S, Leupold M, Kerner M, Hanelt D (2013) Irradiance optimization of outdoor microalgal cultures using solar tracked

photobioreactors. Bioprocess Biosyst Eng 36:345–355. https:// doi.org/10.1007/s00449-012-0790-5

- 192. Varela Villarreal J, Burgués C, Rösch C (2020) Acceptability of genetically engineered algae biofuels in Europe: opinions of experts and stakeholders. Biotechnol Biofuels 13:92. https://doi. org/10.1186/s13068-020-01730-y
- 193. Jeamton W, Dulsawat S, Tanticharoen M et al (2017) Overcoming intrinsic restriction enzyme barriers enhances transformation efficiency in arthrospira platensis C1. Plant Cell Physiol 58:822–830. https://doi.org/10.1093/pcp/pcx016
- Carney LT, Lane TW (2014) Parasites in algae mass culture. Front Microbiol. https://doi.org/10.3389/fmicb.2014.00278
- 195. Lian J, Schimmel P, Sanchez-Garcia S et al (2021) Different co-occurring bacteria enhance or decrease the growth of the microalga *Nannochloropsis* sp. CCAP211/78. Microb Biotechnol 14:1159–1170. https://doi.org/10.1111/1751-7915.13784
- 196. Soeder CJ (1980) Massive cultivation of microalgae: results and prospects. Hydrobiologia 72:197–209. https://doi.org/10.1007/ BF00016247
- 197. Schädler T, Thurn A-L, Brück T, Weuster-Botz D (2021) Continuous production of lipids with microchloropsis salina in open thin-layer cascade photobioreactors on a pilot scale. Energies 14:500. https://doi.org/10.3390/en14020500
- Degen J, Uebele A, Retze A et al (2001) A novel airlift photobioreactor with baffles for improved light utilization through the flashing light effect. J Biotechnol 92:89–94. https://doi.org/10. 1016/S0168-1656(01)00350-9
- 199. Richmond A (2004) Principles for attaining maximal microalgal productivity in photobioreactors: an overview. In: Ang PO (ed) Asian pacific phycology in the 21st century: prospects and challenges. Springer, Dordrecht, pp 33–37
- 200. Beer A (1852) Bestimmung der Absorption des rothen Lichts in farbigen Flüssigkeiten. Ann Phys Chem 162:78–88. https://doi. org/10.1002/andp.18521620505
- Grobbelaar JU, Soeder CJ, Stengel E (1990) Modeling algal productivity in large outdoor cultures and waste treatment systems. Biomass 21:297–314. https://doi.org/10.1016/0144-4565(90) 90079-Y
- Scott SA, Davey MP, Dennis JS et al (2010) Biodiesel from algae: challenges and prospects. Curr Opin Biotechnol 21:277– 286. https://doi.org/10.1016/j.copbio.2010.03.005
- 203. Apel AC, Weuster-Botz D (2015) Engineering solutions for open microalgae mass cultivation and realistic indoor simulation of outdoor environments. Bioprocess Biosyst Eng 38:995–1008. https://doi.org/10.1007/s00449-015-1363-1
- 204. Singh SP, Singh P (2015) Effect of temperature and light on the growth of algae species: a review. Renew Sustain Energy Rev 50:431–444. https://doi.org/10.1016/j.rser.2015.05.024
- 205. Bezerra RP, Matsudo MC, Sato S et al (2012) Effects of photobioreactor configuration, nitrogen source and light intensity on the fed-batch cultivation of Arthrospira (Spirulina) platensis. Bioenergetic aspects. Biomass Bioenergy 37:309–317. https:// doi.org/10.1016/j.biombioe.2011.11.007
- Chisti Y (2007) Biodiesel from microalgae. Biotechnol Adv 25:294–306. https://doi.org/10.1016/j.biotechadv.2007.02.001
- 207. Brennan L, Owende P (2010) Biofuels from microalgae—a review of technologies for production, processing, and extractions of biofuels and co-products. Renew Sustain Energy Rev 14:557–577. https://doi.org/10.1016/j.rser.2009.10.009
- Borowitzka MA (2013) High-value products from microalgae—their development and commercialisation. J Appl Phycol 25:743–756. https://doi.org/10.1007/s10811-013-9983-9
- 209. Boussiba S, Vonshak A, Cohen Z, Richmond A (2000) Procedure for large-scale production of astaxanthin from Haematococcus
- 210. Crowe B, Attalah S, Agrawal S et al (2012) A comparison of Nannochloropsis salina growth performance in two outdoor pond designs: conventional raceways versus the ARID

pond with superior temperature management. Int J Chem Eng 2012:e920608. https://doi.org/10.1155/2012/920608

- Borowitzka MA, Moheimani NR (2013) Open pond culture systems. In: Borowitzka MA, Moheimani NR (eds) Algae for biofuels and energy. Springer, Dordrecht, pp 133–152
- 212. Pruvost J, Gouic B, Lépine O et al (2015) Microalgae culture in building-integrated photobioreactors: biomass production modelling and energetic analysis. Chem Eng J. https://doi.org/ 10.1016/j.cej.2015.08.118
- 213. Takache H, Pruvost J, Marec H (2015) Investigation of light/dark cycles effects on the photosynthetic growth of *Chlamydomonas reinhardtii* in conditions representative of photobioreactor cultivation. Algal Res 8:192–204. https://doi.org/10.1016/j.algal. 2015.02.009
- Kok B (1956) Photosynthesis in flashing light. Biochem Biophys Acta 21:245–258. https://doi.org/10.1016/0006-3002(56) 90004-X
- Liao Q, Li L, Chen R, Zhu X (2014) A novel photobioreactor generating the light/dark cycle to improve microalgae cultivation. Bioresour Technol 161:186–191. https://doi.org/10.1016/j.biort ech.2014.02.119
- Vejrazka C, Janssen M, Streefland M, Wijffels RH (2011) Photosynthetic efficiency of *Chlamydomonas reinhardtii* in flashing light. Biotechnol Bioeng 108:2905–2913. https://doi.org/10. 1002/bit.23270
- Emerson R, Arnold W (1932) A separation of the reactions in photosynthesis by means of intermittent light. J Gen Physiol 15:391–420. https://doi.org/10.1085/jgp.15.4.391
- 218. Sforza E, Simionato D, Giacometti GM et al (2012) Adjusted light and dark cycles can optimize photosynthetic efficiency in algae growing in photobioreactors. PLoS One 7:e38975. https:// doi.org/10.1371/journal.pone.0038975
- Abu-Ghosh S, Fixler D, Dubinsky Z, Iluz D (2015) Continuous background light significantly increases flashing-light enhancement of photosynthesis and growth of microalgae. Bioresour Technol 187:144–148. https://doi.org/10.1016/j.biortech.2015. 03.119
- Rodríguez JJG, Mirón AS, Camacho FG et al (2009) Causes of shear sensitivity of the toxic dinoflagellate *Protoceratium reticulatum*. Biotechnol Progress 25:792–800. https://doi.org/10.1002/ btpr.161
- 221. Khoo CG, Lam MK, Lee KT (2016) Pilot-scale semi-continuous cultivation of microalgae *Chlorella vulgaris* in bubble column photobioreactor (BC-PBR): hydrodynamics and gas–liquid mass transfer study. Algal Res 15:65–76. https://doi.org/10.1016/j. algal.2016.02.001
- 222. Michels MHA, van der Goot AJ, Vermuë MH, Wijffels RH (2016) Cultivation of shear stress sensitive and tolerant microalgal species in a tubular photobioreactor equipped with a centrifugal pump. J Appl Phycol 28:53–62. https://doi.org/10.1007/ s10811-015-0559-8
- 223. Koller M (2015) Design of closed photobioreactors for algal cultivation. In: Prokop A, Bajpai RK, Zappi ME (eds) Algal biorefineries. Springer International Publishing, Cham, pp 133–186
- 224. Scarsella M, Torzillo G, Cicci A et al (2012) Mechanical stress tolerance of two microalgae. Process Biochem 47:1603–1611. https://doi.org/10.1016/j.procbio.2011.07.002
- 225. Norsker N-H, Barbosa MJ, Vermuë MH, Wijffels RH (2011) Microalgal production—a close look at the economics. Biotechnol Adv 29:24–27. https://doi.org/10.1016/j.biotechadv.2010.08. 005

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.