

This is the accepted version of the article:

Soler M., Huertas C.S., Lechuga L.M.. Label-free plasmonic biosensors for point-of-care diagnostics: a review. *Expert Review of Molecular Diagnostics*, (2019). 19. : 71 - .
10.1080/14737159.2019.1554435.

Available at:

<https://dx.doi.org/10.1080/14737159.2019.1554435>

1 **Label-free Plasmonic Biosensors for Point-of-Care Diagnostics: a**
2 **review**

3 Maria Soler^{1*}, Cesar S. Huertas^{1,2}, Laura M. Lechuga¹

4
5 ¹ *Nanobiosensors and Bioanalytical Applications Group, Catalan Institute of Nanoscience*
6 *and Nanotechnology (ICN2), CSIC, BIST and CIBER-BBN, Campus UAB, Ed-ICN2, 08193*
7 *Bellaterra, Barcelona, Spain.*

8
9 ² *Present address: School of Engineering, RMIT University, Melbourne 3001, Australia*

10
11 * Corresponding author: Maria Soler; maria.soler@icn2.cat

12

13 Authors Biographies:

14 Maria Soler is a senior scientist at ICN2 in Barcelona (Spain). She obtained her PhD in
15 2015 working in the same Institute, specializing in nanoplasmonic biosensors for point-
16 of-care diagnostics. After a postdoctoral stage in the Ecole Polytechnique Federale de
17 Lausanne (EPFL, Switzerland), she is now leading the research line for the
18 development of new optical biosensors for therapy and diagnostics applications.

19

20 Cesar S. Huertas obtained his PhD at the ICN2, where he introduced and established a
21 novel research line for the analysis of genomic and epigenomic markers using
22 nanophotonic biosensors. Now, he works as a postdoctoral fellow at the RMIT in
23 Melbourne (Australia), where he has a leading position in the development of
24 diagnostics and prognostics applications for microfluidics-integrated optical
25 biosensors.

26

27 Laura M. Lechuga is full professor of the Spanish National Research Council (CSIC)
28 and head of the Nanobiosensors and Bioanalytical Applications Group at ICN2. The
29 principal focus of her research is the technological development of photonic
30 (nanoplasmonic and silicon-based) biosensors, their integration in portable lab-on-a-
31 chip platforms and their application for clinical and environmental diagnostics.

32

33

1 **Abstract**

2

3 **Introduction:** Optical biosensors and particularly those based on nanoplasmonics
4 technology have emerged in the last decades as a potential solution for disease
5 diagnostics and therapy follow-up at the point-of-care. These biosensor platforms could
6 overcome some of the challenges faced in conventional diagnosis techniques offering
7 label-free assays with immediate results and employing small and user-friendly
8 devices.

9

10 **Areas covered:** In this review, we will provide a critical overview of the recent
11 advances in the development of nanoplasmonic biosensors for point-of-care
12 diagnostics. We focus on those systems with demonstrated capabilities for integration
13 in portable platforms, highlighting some of the most relevant diagnostics applications
14 targeting proteins, nucleic acids, and cells as disease biomarkers.

15

16 **Expert Commentary:** Despite the attractive features of label-free nanoplasmonic
17 sensors in terms of miniaturization and analytical robustness, the route towards an
18 effective clinical implementation necessarily involve the integration of fully automated
19 microfluidic systems for sample processing and analysis, and the optimization of
20 surface biofunctionalization procedures. Along with that, the development of
21 multiplexed sensors for high-throughput analysis and including specific neoantigens
22 and novel biomarkers in detection panels, will provide the means for delivering a
23 powerful analytical technology for an accurate and improved medical diagnosis.

24

25

26

27

28

29

30 **Keywords:**

31 optical biosensors; point of care diagnostics; nanoplasmonics; clinical applications;
32 lab-on-a-chip integration; portable devices.

33

34

35

36

37

38

1 **1. Exploiting light for better diagnostics**

2 Photonic biosensors are systems that seize different light-based phenomena for the fast
3 detection and quantification of clinical biomarkers (i.e. molecules or pathogens which
4 presence or quantity is an indicator of the onset of a disease). Fundamentally, an optical
5 biosensor consists of specific bioreceptor in close contact with a physical transducer,
6 which translates the capture of an analyte in a measurable variation of a light property,
7 e.g. refractive index, wavelength, resonance, or intensity. Optical sensing can employ
8 various physical transduction methods, such as interferometers [1], resonators [2],
9 gratings [3], or plasmonic [4]. The plasmonic based sensors are probably the best
10 known and most widely employed. The Surface Plasmon Resonance (SPR) biosensor
11 is considered the landmark in optical and plasmonic biosensors. Since the introduction
12 of the SPR biosensing principle more than three decades ago, these optical biosensors
13 have spread astonishingly, being commercialized by a high number of companies
14 worldwide and routinely used in the pharmaceutical industry and research laboratories
15 for the study of any type of biomolecular interactions [5]. SPR biosensors are able to
16 detect, monitor, and quantify molecules attaching to the sensor surface by measuring
17 the change of the refractive index (RI) produced at its immediate vicinity, thus skipping
18 the need of amplification steps or molecular labeling. Note that the detection principle
19 and operation modalities of SPR biosensors are described in Section 2.1.

20
21 Certainly, the capability for label-free and real-time molecular analysis is the major
22 strength of SPR biosensors. They can provide direct quantification of a diversity of
23 analytes in a few minutes, in a non-invasive manner and without interferences from
24 tags and labels, extremely reducing the consumption of reagents, and even offering to
25 retrieve kinetic information from the biomolecular interaction under study. These
26 features could overcome some of the challenges faced by the traditional diagnosis
27 methods currently performed at hospitals, such as microbiology culture, enzyme-linked
28 immunosorbent assays (ELISA), or quantitative polymerase chain reaction (qPCR)
29 tests. In addition, plasmonic biosensors offer advantages over other biosensing methods
30 as the predominant electrochemical ones such as a high robustness to external
31 electromagnetic interferences and stability in aggressive environments. This has been
32 vastly demonstrated with the number of exponential publications reporting new and
33 valuable applications for SPR biosensors, including not only early disease diagnosis,
34 but also therapy monitoring, drug discovery, or food and environmental control [5,6].
35 However, despite its long-term presence in the market and its demonstrated
36 applicability, the conventional SPR biosensor has not yet reached the clinical field
37 expectations. According to the World Health Organization, the ideal diagnostic system
38 should be Affordable, Sensitive and Specific to biological agents, User-friendly,
39 Equipment-free, and Deployable to the point of care (i.e. ASSURED criteria) [7]. The
40 actual research in plasmonics, nanotechnology, and bioengineering are upgrading the
41 SPR-based sensors in order to achieve the envisioned ultra-sensitive point-of-care
42 optical biosensor able to accomplish the ASSURED criteria

43
44 In this article, we review the latest advances in plasmonic sensor platforms and their
45 implementation as medical instruments. In particular, we will discuss how the
46 incorporation of the nanotechnology, or the integration in today's devices like
47 smartphones, can provide new opportunities for building miniaturized and portable
48 biosensors, easy to use, and with outstanding sensitivities. The main challenges and
49 limitations of plasmonic biosensors are also highlighted, as well as emerging strategies
50 and the near-future perspectives. Finally, a revision of some of the more interesting

1 biomedical applications will be provided, focusing on novel strategies offering timely
2 and highly precise diagnosis of prevailing diseases, such as cancer, immunological
3 disorders, or pathogenic infections.

4 5 **2. Overview of nanoplasmonic technologies for label-free biosensing**

6
7 Driven by the need of point-of-care (POC) biosensors to improve and promote
8 healthcare worldwide, research in plasmonics has mainly focused on the automation
9 and integration of SPR biosensors as well as the development of sophisticated optical
10 transducers based on metallic nanostructures (i.e. nanoplasmonics) that enhance the
11 sensing capabilities and facilitate its miniaturization. Likewise, the study and
12 optimization of surface biofunctionalization strategies has been a key factor for their
13 real clinical application, providing the necessary sensitivity and selectivity for an
14 accurate label-free analysis. In this section, we will briefly describe the most employed
15 detection methods in refractometric nanoplasmonic sensing, and the surface chemistry
16 procedures for correctly attaching specific biorecognition elements (e.g. antibodies,
17 proteins, DNA strands, aptamers, or molecularly imprinted polymers (MIPs) to the
18 plasmonic sensor surface.

19 20 **2.1 Nanoplasmonic-based detection methods**

21
22 SPR refers to the collective oscillation of free electrons of a metal (e.g. gold, silver in
23 visible frequencies) at the interface with a dielectric, which propagates along the
24 surface as an electromagnetic resonance. This resonance exhibits an electromagnetic
25 field that evanescently penetrates into the adjacent dielectric medium and serves as a
26 sensing probe, extremely sensitive to changes in the RI like those caused by
27 biomolecular interactions. For SPR excitation, an incident light needs to be coupled to
28 a thin layer of metal – typically 50 nm of gold – obeying certain conditions, such as
29 polarization, angle, and wavelength. For efficient light coupling, usually a prism-based
30 scheme is employed (i.e. Kretschmann configuration) although other methods such as
31 waveguide coupling, diffraction grating, or optical fibers can also be used (see Figure
32 1a) [4,8].

33
34 In prism-coupled systems, the SPR phenomenon is characterized by the appearance of
35 an intensity dip in the reflected light, which is monitored to track biomolecular
36 interactions occurring at the sensor surface. For that, three operation modes are
37 commonly employed: angle, wavelength, or intensity interrogation. For angular
38 interrogation, the SPR is excited with a monochromatic light and the incident angle is
39 continuously scanned over a certain range. The reflected light shows the SPR dip that
40 will shift upon a change of the RI, providing real-time sensorgrams with a signal
41 increase for the analyte capture and signal decrease for detachment or dissociation. On
42 the other hand, in wavelength interrogation, the SPR system employs a polarized
43 broadband light source and a spectrometer to analyze the reflected light (i.e. SPR
44 spectroscopy). The spectrum shows the dip located at the specific SPR wavelength
45 (λ_{SPR}), which will also vary directly proportional to the number of molecules attaching
46 to the surface. Both techniques are widely employed, and offer high sensitivities (limit
47 of detection of $10^{-6} - 10^{-5}$ refractive index units, RIU) [5]. They can also be fully
48 automatized and integrated in relatively compact systems as bench-top instruments, so
49 a number of commercial devices are already available. Finally, intensity measurements
50 are performed at a fixed incident angle and wavelength of the light source, with the RI

1 variations being monitored as changes of the SPR dip intensity, for example with a
2 CCD camera. This is the general principle employed for SPR imaging (SPRi) [9]. The
3 main advantage of such plasmonic imaging systems is the possibility to visualize the
4 whole SPR chip, therefore it allows for real-time detection in a multiplexed array
5 format. However, it also suffers from important limitations in terms of noise
6 background and resolution. Overall, the robustness and large versatility of SPR
7 biosensor keeps motivating researchers to miniaturize and integrate it in small and
8 portable platforms for POC applications. Some examples are underlined in Section 3.

9
10 In a parallel effort, with the progress of nanotechnology in the last decade, the SPR
11 biosensor has evolved by incorporating novel metallic nanostructures. Nanoplasmonic
12 structures can be precisely fabricated with an excellent control of size and shape,
13 including nanodisks, nanorods, nanopillars, nanoholes, nanoslits, nanostars,
14 nanopyramids, etc. The coupling of light to plasmonic nanostructures smaller than the
15 wavelength generates a non-propagating collective oscillation of the free electrons that
16 results in a significantly confined electromagnetic field (i.e. localized surface plasmon
17 resonance, LSPR) [10]. The LSPR resonance is characterized by its extinction
18 wavelength peak (maximum light absorption and scattering), which can be spectrally
19 monitored to detect RI changes occurring at the surface of the nanoparticles. The
20 superiority of LSPR sensing is primarily explained as a consequence of both a simpler
21 coupling of the light and the new operation modalities that facilitate device
22 miniaturization or enable a high-resolution analysis (Figure 1b) [11]. For high
23 nanostructure densities, extinction measurements are the easiest way. In this case, light
24 is normally shed on the nanoplasmonic sensor and the transmitted light is analyzed with
25 a spectrometer, a CCD camera or a CMOS sensor. The acquired LSPR peak can
26 therefore be monitored through wavelength displacements or changes in the peak
27 intensity. This modality offers advantages for POC biosensors, such as the elimination
28 of optical components for light coupling and the use of low-cost and tiny light sources
29 (e.g. light-emitting diodes, LEDs), which maximize its capabilities for multiplexing and
30 high-throughput analysis. On the other hand, the LSPR principle has also demonstrated
31 a significant enhancement of the analytical sensitivity, even achieving single-molecule
32 detection. For that, either dark-field (DF) or total internal reflection (TIR) microscopies
33 are employed. However, both of them are difficult of being integrated in portable
34 devices for clinical applications. Finally, nanoplasmonic sensors can also be
35 incorporated into traditional prism-coupled systems working in wavelength
36 interrogation. This approach not only offers benefits in terms of robustness and
37 versatility, but also its nanostructured surface provides interesting opportunities for
38 selective functionalization and sensitivity improvement.

39 **2.2 Surface functionalization strategies for sensitive and specific detection** 40 **of biomarkers**

41
42
43 One of the main challenges in label-free nanoplasmonic biosensing is to assure the high
44 sensitivity and specificity for the detection of the biomarker of interest directly in a real
45 sample. Clinical samples are usually body fluids like blood, serum or plasma, urine, or
46 saliva that contain large amounts of different compounds and with a large variability
47 among individuals. The selective capture and quantification of minute amounts of the
48 target molecule contained in such complex matrices, without any amplification or
49 secondary step, can become an arduous task in the development of a functional
50 plasmonic biosensor.

1
2 The surface of the sensor needs to be previously functionalized to attach the specific
3 bioreceptor for selective analyte capture while preventing non-specific adsorptions of
4 other molecules present in the complex sample matrix [12]. The most employed
5 biorecognition elements are antibodies, nucleic acids, or cell membrane receptors.
6 These biomolecules show an extraordinary affinity and specificity towards their
7 corresponding antigen, ligand, or complementary oligonucleotide strand, and most of
8 them are commercially available. Alternatively, the use of aptamers – single-stranded
9 nucleotide chains that specifically bind proteins via secondary-structure formation –
10 has emerged in the recent years as an attractive strategy, showing affinities comparable
11 to antibodies, although they are still not available for most of the biomarkers [13–15].
12 Another approach employs the so-called molecularly imprinted polymers (MIPs). This
13 methodology is based on preparing affinity polymers with specific binding sites
14 modeled with the proper size, shape, and orientation of functional groups for selective
15 capture of the target molecules. Although still not widely employed, the MIPs could
16 offer interesting advantages in terms of robustness and affordability [16].

17
18 The immobilization of the bioreceptor onto the metal transducer is not advised to be
19 done by simple physical adsorption as in the case of ELISA plates. This strategy implies
20 some drawbacks for label-free detection, such as low reproducibility, false positive
21 signals due to non-specific binding, or even denaturation or unfolding of the biological
22 receptors. An optimum immobilization must consider the packing density and
23 orientation, the activity and stability during the analysis time, and, in the case of
24 nanostructured substrates, the selective tethering solely onto the active sensing areas.
25 In addition, since the sensing field of nanoplasmonic devices rapidly decays into the
26 dielectric medium, it is important to immobilize the receptors relatively close to the
27 surface (< 100 nm). The basic methodology for surface functionalization is to
28 chemically modify the substrate with certain organic molecules carrying one or more
29 reactive groups. For gold surfaces, the thiol (-SH) chemistry is the most popular and
30 efficient procedure. Alkane chain molecules with a thiol group at one end are known to
31 firmly attach to gold by chemisorption, and due to hydrophobic and electrostatic
32 interactions between the carbon chains, they spontaneously assemble forming a well-
33 ordered chemical matrix (i.e. self-assembled monolayer, SAM) (Figure 2a) [17]. The
34 other end of the molecules is available to covalently bind proteins, peptides, or
35 oligonucleotides through different functional groups (e.g. COOH, NH₂, etc.). Detailed
36 examples of these procedures are explained below. An improved version of the
37 conventional SAM strategy incorporates polyethylene glycol (PEG) monomers or
38 oligomers within the carbon chain. Such molecules are highly hydrophilic, so that they
39 attract water molecules to the chemical matrix that will help repelling proteins or other
40 compounds present in the sample [18]. The antifouling character of these PEGylated
41 SAMs has demonstrated to be very useful for minimizing nonspecific adsorptions.
42 Nanoplasmonic substrates offer further benefits in this regard, allowing for site-
43 selective surface modification (Figure 2b). Due to the combination of different
44 materials (e.g. gold particles on a glass substrate), it is possible to functionalize
45 specifically the active areas via thiol chemistry and coat the substrate with an inert
46 blocking agent (e.g. polymers, silanes). This strategy assures that target biointeractions
47 occur only at the sensing spots. Another advantage of the nanostructured surfaces has
48 been the easy implementation of more sophisticated functionalization methodologies,
49 like the supported lipid bilayers (SLB). The formation of planar lipid bilayers on solid
50 substrates (e.g. glass) has been exploited in bioengineering as artificial cell membranes,

1 for the study of cell proteins, interactions and signaling, mainly using fluorescent
2 techniques. The transfer to label-free plasmonic sensors has not been straightforward,
3 since these lipid membranes are not stable on metals like gold. However, the use of
4 low-density nanoparticle arrays made on glass substrates has demonstrated to mimic
5 the conventional surfaces and provide enough stability for the formation of SLB (Figure
6 2c). This approach has demonstrated to be very useful for the analysis of membrane
7 proteins in a biomimetic environment, and it could boost the development of new
8 therapies and diagnosis [19].

9
10 Once the chemical matrix is formed on the sensor substrate, the biorecognition elements
11 are to be immobilized. In the case of nucleic acids (i.e., DNA probes or aptamers), the
12 versatility of DNA and RNA artificial synthesis allows the direct incorporation of the
13 desired functional groups at the end of the sequence. Therefore, capture probes can be
14 designed for any particular surface chemistry. Yet, smart considerations need to be
15 taken, such as controlling the pH and ionic strength of the buffer, or adding a vertical
16 spacer to the bottom-end of the probe to facilitate verticality and target accessibility
17 (Figure 2d) [20]. Far more complex can result the immobilization of proteins, and
18 especially antibodies. The particular structure of antibodies, with the antigen binding
19 sites exclusively located on the Fab regions, makes the orientation control essential to
20 maximize capture efficiency and sensitivity. Besides, since these molecules are
21 biologically produced, they are relatively weak and can lose their recognition activity
22 under aggressive conditions (e.g., heat, pH, etc.). Most commonly employed strategies
23 for antibody immobilization consist in either covalent binding to a SAM through a
24 crosslinker or using affinity molecules as intermediates. Covalent binding usually
25 exploits functional groups in the antibodies, like amine (-NH₂) groups of terminal lysine
26 residues or the carbohydrate moieties in the Fc region. Amine groups are easily
27 accessible and can readily react with carboxylic-functional SAM via carbodiimide
28 chemistry (i.e. EDC/NHS), but this strategy results in random orientation of the
29 antibodies (Figure 2e). Instead, carbohydrate chains can provide a better control of the
30 orientation, although it requires a partial oxidation process to activate them and it might
31 risk antibodies integrity and activity. On the other hand, the prime example of affinity-
32 mediated immobilization employs the biotin/streptavidin system. Biotinylated
33 antibodies –with the biotin tag ideally conjugated to the carbohydrate groups – bind
34 with an extreme affinity to streptavidin molecules, which have been previously attached
35 onto the sensor surface (Figure 2f). This method provides a highly stable and oriented
36 layer of antibodies. Another approach makes use of affinity proteins like Protein A or
37 G, which are produced in bacteria and naturally capture antibodies through their Fc
38 region, therefore in an oriented manner (Figure 2g). With the advances in
39 bioengineering and molecular chemistry, other immobilization strategies have been
40 proposed (e.g. recombinant antibody fragments with histidine or cysteine tags,
41 calixarenes, DNA-mediated coupling, etc.). As this is out of the scope of this article,
42 we refer to other specialized reviews for more details [21–23].

43
44 Finally, it is worth mentioning that the surface functionalization procedure must
45 optimize the receptor density to minimize possible steric hindrance issues, for example
46 when capturing large analytes. Additional blocking steps with proteins or hydrophilic
47 polymers should also be considered to avoid non-specific adsorptions. Also, it must
48 ensure stability and reproducibility over long periods, and the biosensor chip packaging
49 and transport. Altogether, the sensor biofunctionalization is a key factor and crucial
50 challenge for the development of label-free plasmonic biosensors and its application to

1 the biomedical field. Despite the extensive research and the myriad of strategies
2 developed over the years, it is undoubtedly a main limitation to be solved for the final
3 implementation of optical POC biosensors as medical instruments.

4 5 **3. Integration in portable devices for user-friendly, equipment-free, and** 6 **deployable POC diagnostics**

7 In order to integrate plasmonic sensors into user-friendly, automated, and portable
8 instruments for POC applications, the engineering of two main modules are critical:
9 microfluidics and optical components. Here, we will provide a brief overview of the
10 current state-of-the-art in terms of integration, showing some examples of the latest
11 advances in the field.

12
13 Microfluidic systems intended for point-of-care plasmonic devices must employ simple
14 and ideally automated operational principles, be compatible with light pathways (i.e.
15 optically transparent), be fabricated with low-cost and scalable techniques, and should
16 enhance the biosensing performance. The latter can be attempted by ensuring an
17 efficient sample delivery, minimizing reagent and sample consumption, and enabling
18 high-throughput and multiplexed analyses. Conventional microfluidics are usually
19 fabricated as multilayered polymeric devices with input and transport channels – of
20 several micrometers of size – and an output to a waste reservoir [24]. These systems
21 generally are operated with the help of syringe or peristaltic pumps that provide a
22 continuous and regular flow of the sample over the sensor. The simplicity of such
23 design allows for including multiple channels, which can be further controlled with
24 pneumatic or mechanic valves, for parallel multiplexed analysis. In this regard, Chen
25 *et al.* developed a microfluidic patterning technique with 10 segments of 6 collocating
26 parallel detection spots for the detection of inflammatory cytokines in serum (Figure
27 3a) [25]. Acimovic *et al.* reported an LSPR-based multiplexed detection platform with
28 up to 32 sensing sites on a single sensor [26]. In their latest article, this system has been
29 employed for the direct detection of different cancer biomarkers in human serum,
30 proving the potential for disease diagnostics [27]. However, these biosensors still
31 require bulky equipment (e.g. microscopes, spectrometers, etc.) not appropriate for
32 POC settings. Another microfluidic approach to improve the biosensing performance
33 is to exploit the nanoplasmonic structures for fluid manipulation. It is the case of flow-
34 through schemes utilizing plasmonic nanoapertures as nanochannels, which has been
35 employed for capturing pathogens specifically around the detection hot spots [28].
36 Finally, on the road towards full automation of microfluidics, numerous strategies are
37 continuously developing including microreactors, droplet-based techniques, digital
38 microfluidics, etc [29–31]. Although the integration of these advanced fluid-control
39 methodologies with plasmonic biosensors does not seem to be easy, on-going research
40 and future perspectives can anticipate an enormous boost of lab-on-a-chip POC
41 diagnostics with the synergy of both technologies.

42
43 On the other hand, the miniaturization and integration of all optical components is
44 essential for building compact and portable sensing devices. The use of light emitting
45 diodes (LEDs) for illumination and complementary metal-oxide semiconductors
46 (CMOS) detectors have allowed the development of small footprint devices and even
47 handheld biosensors that could be deployed to the point of care. Tokel *et al.* have
48 fabricated a portable SPR platform by integrating the plasmonic sensor with
49 microfluidics, LEDs and CMOS detector that was able to detect different bacteria (*E.*

1 *coli* and *S. aureus*) with sensitivities in the order of 10^5 cells/mL [32]. Cetin *et al.*
2 presented a handheld device based on plasmonic nanohole arrays, also using dual-LED
3 illumination and a CMOS detector in transmission configuration[33]. Later, Coskun *et al.*
4 demonstrated the applicability of the device for label-free detection of proteins with
5 an integrated microfluidic system (Figure 3b) [34]. A similar nanoplasmonic device has
6 been recently employed by Gomez-Cruz *et al.* for bacteria detection, achieving a limit
7 of detection of 100 cells/mL [28]. Current steps in this field are seeking further
8 integration taking advantage of our daily optical devices, like smartphones. Guner *et al.*
9 mounted a SPRi platform by attaching an accessory that includes LED illumination
10 and the nanoplasmonic sensor chip to the camera of a smartphone, which was used for
11 intensity interrogation [35]. The plasmonic surface was fabricated by coating a Blu-ray
12 storage disk with metals (silver and gold), resulting in a grating-coupling SPR sensor
13 thanks to the periodic corrugations of the disk. Wang *et al.* developed a standalone
14 smartphone-based system for LSPR sensing. In this case, they employed the LED
15 source from the smartphone flashlight and the CMOS detector from the camera [36].
16 The plasmonic sensor chip was fabricated also taking advantage of the gratings of a
17 compact disk. This platform was tested for the detection of human cardiac troponin I
18 (cTnI), a biomarker for myocardial infarction, achieving limits of detection comparable
19 to conventional benchtop SPR systems (approximately 50 ng/mL).

20
21 With no doubts, nanoplasmonic biosensors demonstrate remarkable capabilities for
22 miniaturization and integration in compact lab-on-a-chip systems. Nevertheless, the
23 real implementation of such devices for POC diagnostics critically requires the
24 development and optimization of clinically relevant biomedical applications that move
25 beyond the current proof-of-concept tests.

26 27 28 **4. Bioanalytical applications for improved medical diagnostics**

29 The simplicity, robustness, and versatility of SPR and LSPR biosensors have
30 encouraged their use for novel biomedical assays that enable a more accurate, early,
31 and informative diagnosis of human diseases in a non-invasive manner (e.g. without
32 surgery). Plasmonic-based analysis can target almost any type of biomolecular marker,
33 including proteins and peptides, nucleic acids, and cells, covering therefore a vast range
34 of applications. In this section, we will describe some of the most relevant and recent
35 studies with clinical prospective performed with nanoplasmonic biosensors. Figures 4
36 and 5 illustrate some of these applications.

37 38 **4.1 Analysis of Proteins and Peptides**

39
40 Circulating proteins are the gold standard biomarkers for disease detection and
41 identification in most *in vitro* diagnosis techniques. The overexpression, deregulation,
42 or simply the appearance of certain proteins in human tissues and fluids is closely
43 related to a malfunctioning of cells, organs, or inflammation processes. Therefore, the
44 rapid and precise quantification of these biomolecules is a key factor not only for
45 detecting a particular disorder but also for determining the stage and prognosis of a
46 disease. Furthermore, a POC biosensor able to easily monitor the levels of proteins can
47 be extremely effective for the evaluation of therapies and monitoring the post-treatment
48 progress. Nonetheless, plasmonic biosensors still face important challenges, such as the

1 high sensitivity required for detecting minute amounts of proteins and to quantify them
2 directly in complex clinical samples.

3
4 As the paramount disease in our days, the majority of the applications focus on the early
5 diagnosis of cancer, and some works have already demonstrated feasibility for clinical
6 studies. Ertuk *et al.* have developed a SPR biosensor able to detect the prostate specific
7 antigen (PSA) – a biomarker for prostate cancer – in human serum, achieving an
8 outstanding limit of detection (91 pg/mL) [37]. The platform was further tested with
9 clinical samples from prostate cancer patients showing an excellent accuracy. Sahu *et al.*
10 employed a SPR biosensor for quantification of specific proteins involved in tumor
11 genesis – Rac1 and Rac1b –. By analyzing clinical samples from different healthy
12 individuals and cancer patients before and after treatment, they demonstrated that the
13 monitoring of these proteins could be validated as a biomarker for non-small cell lung
14 cancer diagnosis [38]. In another work, Soler *et al.* proposed a nanoplasmonic biosensor
15 for the detection of novel tumor autoantibodies in serum for diagnosis of colorectal
16 cancer at early stages, which could reduce the necessity of colonoscopies and be
17 implemented as POC testing for population screening [39] (Figure 4a). Inflammatory
18 processes are also a major disorder that affects most of the population and might be
19 caused by numerous malignancies. Here, determining the deregulation of different
20 cytokines in blood can be utilized for diagnosis. Chen *et al.* demonstrated a multiplexed
21 detection and quantification of cytokines in serum using a microfluidics-integrated
22 LSPR biosensor that employs less than 1 μ L of sample and completes the assay in 40
23 minutes [25]. Chronic conditions, autoimmune disorders, or neurodegenerative
24 diseases could also benefit from nanoplasmonic POC devices. For example, a
25 plasmonic sensor was developed for quantifying gluten peptides in the urine of celiac
26 patients as therapy follow-up test [40]. In recent works, SPR-based biosensors have
27 also been used for diagnosis of Alzheimer disease, targeting fibrinogen or Tau protein
28 [41,42]. In addition, these studies have further improved the understanding of this
29 neurodegenerative disease, enabling simpler and clear comparison of analysis results.

31 **4.2 Analysis of Nucleic acids**

32
33 New molecular insights in biology research have placed nucleic acids (NA) in the front
34 line as competitive biomarkers for early diagnosis, prognosis and therapy efficacy
35 assessment for complex diseases [43,44]. The origin of many diseases and, especially
36 cancer, has been primarily linked to genetic mutations that accumulate stepwise, and
37 trigger a network of processes responsible for carcinogenesis [45]. However, in recent
38 years, epigenetics has also attracted the field of diagnosis, being highlighted as a
39 promising alternative for early cancer prediction. The study of epigenetic mechanisms,
40 such as DNA methylation, microRNAs or the regulation of mRNAs, has contributed to
41 gain a comprehensive knowledge of the different pathways taken by cancer cells for
42 their outliving and proliferation over normal cells [46]. Most epigenetic changes occur
43 in early stages and prior to histopathological changes, constituting outstanding
44 biomarkers for cancer diagnosis and risk assessment [47]. In addition, the specific
45 reversion of these routes represents a promising solution for cancer therapy and patient
46 follow-up, promoting the development of personalized medicine. Frequent monitoring
47 of genetic and epigenetics alterations is thus requested for an effective patient treatment
48 plan.

49
50 Plasmonic and nanoplasmonic biosensors have emerged as promising platforms for

1 advanced nucleic acids detection [48]. However, challenges arise from the employment
2 of NA as biomarkers, such as low concentration and relatively small size in most of the
3 cases, as well as sequence similarities, which in some cases are close to the mismatch
4 level [49,50]. SPR biosensors have been developed for the detection of single point-
5 mutations in non-amplified human genomic DNA, reaching sometimes the attomolar
6 concentrations [51]. Also, an LSPR biosensor for single nucleotide mismatch detection
7 relevant to KRAS-related pathologies has been developed based on the rapid DNA
8 hybridization process in binary solution [52]. They identified single-point mutations by
9 the different kinetics between perfect matching sequences compared to mismatched
10 ones. Other methodology benefits of the use of surface immobilized peptide nucleic
11 acid (PNA) probes to improve the selectivity of the hybridization reaction with the
12 target complementary sequence [53]. Additionally, a PNA-based nanoplasmonic
13 biosensor has been also employed for the detection of not only tumor-specific
14 mutations, but also epigenetic marks of circulating DNA of PIK3CA gene [54]. Several
15 plasmonic biosensors have been developed for the accurate detection of DNA-methyl
16 groups, involving different approaches for the specific detection of these particular
17 epigenetic marks, such as bisulfite conversion [55], or DNA methyl-specific antibodies
18 [56,57] (Figure 4b). The study of mRNA has been barely exploited through plasmonic
19 biosensors for diagnostic purposes, probably due to the long RNA sequences and the
20 similarity between mRNA isoforms that critically complicate the differentiation
21 between the isoforms [49]. In order to solve this problem, Huertas *et al.* incorporated a
22 fragmentation process to adapt the mRNA length to the biosensor convenience and
23 standardize the detection procedure [20]. The amplification-free methodology
24 performed an isoform-specific, accurate and efficient analysis of the alternative splicing
25 alterations in HeLa cells for different genes.

26
27 Other epigenetic biomarkers extensively studied by plasmonic sensors are microRNAs.
28 These short and single-stranded RNAs constitute a complex network of cellular
29 regulation and an excellent source of valuable information regarding cancer diagnosis.
30 Expression levels of specific miRNAs have been correlated with the outcome of serious
31 diseases, such as heart diseases and various types of cancers [58]. Due to their small
32 size, they are difficult to amplify through conventional methods and their homologous
33 sequences can distort the analysis with false positive signals. In order to achieve wider
34 dynamic ranges and appropriate sensitivity levels, some recent plasmonic approaches
35 have made use of amplification steps by employing different strategies such as gold-
36 nanorods [59] and gold nanoparticles [60], or specially designed probes to promote a
37 better target capture [61]. They have shown fast time to results and, in most cases,
38 LODs in the low pM and fM concentrations. In contrast, Joshi *et al.* quantified miRNAs
39 at the attomolar concentration without the need of signal amplification by a LSPR
40 biosensor based on highly sensitive gold nanoprisms [62]. They demonstrated an
41 ultrasensitive detection of miRNA-10b in purified exosomes isolated from patients with
42 pancreatic cancer or chronic pancreatitis in complex media.

43 44 **4.3 Analysis of Cells and Pathogens**

45
46 Using plasmonic biosensors for the direct capture and detection of whole cells and
47 pathogens in human fluids is inherently a challenge due to the large size of such analytes
48 and the issues related to their fluidic mass transport, but it is also a must for the
49 implementation of POC biosensors in infections diagnosis. Infections are usually
50 caused by the invasion of a pathogenic organism (e.g. bacteria, virus), that rapidly

1 multiply and produce toxins, triggering the immune system reaction. The consequences
2 can vary from a simple fever, stomachache or headache, to fatal outputs, as in the case
3 of sepsis. Moreover, pathogen infections can be easily transmitted among individuals,
4 spreading to whole populations and becoming epidemics. Therefore, the sensitive,
5 selective, and early detection of pathogens is crucial to defeat the significant burden of
6 infectious diseases worldwide. Numerous articles in the literature report the application
7 of plasmonic biosensors for detection of virus or bacteria [63]. For example, Inci *et al.*
8 demonstrated the direct detection of intact viruses (HIV) from unprocessed blood with
9 a nanoplasmonic biosensor [64] (Figure 5a). A multiplexed nanoplasmonic biosensor
10 has been developed for the rapid diagnosis of two common sexually transmitted
11 infections (*C. trachomatis* and *N. gonorrhoeae*) in urine samples [65]. And Yoo *et al.*
12 also developed a LSPR biosensor for multiplexed bacteria detection that could identify
13 up to four different species (*L. acidophilus*, *S. typhimurium*, *P. aeruginosa*, and *E. coli*)
14 in a single assay [66].

15
16 Cell detection can also be utilized for cancer diagnostics. Quantification and analysis
17 of circulating tumor cells (CTCs) is a new type of liquid biopsy that can be employed
18 for metastasis diagnostic (Figure 5b). As an example, Mousavi *et al.* used a gold
19 nanoslit SPR biosensor for the detection of CTCs from whole blood [67]. However,
20 they required a pre-concentration and separation step with magnetic nanoparticles in
21 order to be able to achieve 13 cells/mL of detection limit. To improve the biosensing
22 application for rare cell detection, nanoplasmonics definitely needs to be combined with
23 advanced and more sophisticated microfluidic systems, which could enable control and
24 manipulation of cells, separating and trapping them according to the size, shape, or
25 other physiological features. Finally, another interesting application for cancer
26 diagnostics addresses the detection and analysis of cell exosomes. Exosomes are
27 extracellular vesicles that the cells shed to body fluids for communication and signaling
28 purposes. Since they carry the same proteomic and genomic information than the cell
29 source, tumor exosomes can be a valuable biomarker for early diagnosis while
30 providing accurate insights into cancer characteristics without the need of surgery. Im
31 *et al.* reported a microfluidics-integrated nanohole-based biosensor for detecting and
32 profiling exosomes in ovarian cancer samples [68]. Recently, Yang *et al.* have
33 employed a similar nanoplasmonic system for profiling specific pancreatic cancer
34 exosomes over 100 clinical samples [69]. This study showed the importance and
35 significance of exosomes detection for the early cancer diagnosis.

36 37 **5. Conclusions**

38
39 As this review reflects, optical biosensors and especially those based on plasmonics
40 nanotechnology demonstrate a strong potential to become the next-generation
41 diagnostic tools. By exploiting the ultimate light-matter interactions, we can fabricate
42 highly sensitive detection platforms that enable real-time and label-free analysis of
43 almost any type of molecule. Furthermore, thanks to the progress of nanotechnology,
44 the miniaturization and integration of plasmonic biosensors is now a reality, illustrated
45 with numerous portable devices or sensor accessories that directly work with the
46 common smartphone components. The exceptional versatility of nanoplasmonics has
47 also motivated the development of a myriad of biomedical applications. Nanoplasmonic
48 biosensors can be used for a simple and rapid quantification of circulating protein and
49 nucleic acid biomarkers, for the evaluation and follow-up of therapies and treatments,
50 for the discovery and establishment of new and more accurate

1 disease indicators, and for the rapid detection of pathogens in human fluids. The
2 implementation of such assays in small and user-friendly platforms for point-of-care
3 analysis will significantly improve healthcare and life quality of the population around
4 the world.

5 6 7 **5. Expert commentary** 8

9 Plasmonic and nanoplasmonic biosensors are today a relatively mature technology,
10 with demonstrated applicability in diagnostics and potential for integration into small
11 and portable devices. But the definitive boost and admission in the clinical field seems
12 to be more complicated than expected. The main challenges and limitations in this
13 regard are closely related to the automation of the whole testing procedure - including
14 sample preparation and analysis -, and the quality assurance. Medical instruments for
15 point-of-care diagnostics are employed by clinical staff or even directly by the patients
16 rather than trained laboratory personnel, therefore they need to be extremely simple to
17 use, rapid, with a high degree of automation, and not requiring complex sample
18 manipulation procedures. The analysis must be highly accurate, without false-positives
19 or false-negatives, and sensitive enough to detect and identify a disease at the early
20 stages. To meet these demands, the full development of optical POC biosensors
21 urgently requires a multidisciplinary vision and synergy between different areas.
22

23 We are almost reaching out the limits for optical detection sensitivity in terms of
24 plasmonic transducers. A myriad of different nanostructures, composites, and
25 arrangements can be manufactured nowadays with the highest precision and
26 outstanding sensitivities. Thereby, the focus is to be placed in combining this innovative
27 photonics nanotechnology with advanced microfluidic systems – already widely
28 employed in other fields – and further focused in bioanalytical applications that truly
29 defeat conventional techniques, enabling multiplexed, label-free, and real-time assays.
30 Introducing new bioreceptors and optimal surface functionalization strategies could
31 enhance the biosensor performance and maximize sensitivity, selectivity, and
32 reproducibility of the assays. Importantly, sample preparation and processing is
33 nowadays one of the limiting factors for achieving functional POC biosensors.
34 Employing automated microfluidics components that include separation membranes,
35 pre-concentration chambers, or micro-reactors might facilitate the direct analysis of
36 crude samples (e.g. blood). On the other hand, the miniaturization and integration of
37 nanoplasmonic transducers with low cost and common optical components, like LEDs
38 and CMOS detectors, has proven to be feasible, even working directly with the
39 smartphone flashlight and camera. Unfortunately, most of the publications only
40 demonstrate the feasibility as a proof-of-concept at laboratory level. A more
41 comprehensive use of this technology for biomedical applications extending further to
42 relevant clinical problems may be the imminent steps for the fully implementation of
43 the so-called next-generation POC biosensors.
44

45 Fortunately, though, nanoplasmonic biosensors are already filling the biomedical field
46 with new insights and prospects for an improved disease diagnosis. The versatility,
47 simplicity, and robustness of plasmonic sensing together with their label-free and real-
48 time capabilities have motivated the investigation of new bioanalytical strategies to
49 provide a more accurate, informative, and timely diagnosis. Novel protein biomarkers
50 are tested with SPR or LSPR biosensors for both determining molecular affinities and

1 evaluating their relevance as disease indicators in clinical studies. Others take
2 advantage of the potential of plasmonic sensors for POC testing and suggest new
3 strategies detecting peptides or proteins directly in urine or saliva for therapy follow-
4 up. In the field of genomics, the innovation can be groundbreaking. The direct and
5 label-free detection of circulating DNA or RNA markers without pre-amplification
6 steps or even the analysis of complex genomic and epigenomic pathways in a simple
7 and rapid manner are pushing forward new diagnosis routes able to identify the disease
8 onset before the appearance of physiological disorders. Furthermore, a clearer
9 understanding of the cause (e.g. mutations, deregulations in gene translation pathways,
10 etc.) can notably facilitate the development of new and more personalized therapies
11 against malignant diseases. Finally, plasmonic biosensor capabilities also enable the
12 direct detection and quantification of whole cell entities. This is of great importance for
13 offering rapid and multiplexed biosensors that detect and identify a pathogenic
14 infection in a few minutes, without the need of long time-consuming microbiology
15 cultures or specialized genomic extraction and detection tests. One can imagine the
16 breakthrough and healthcare promotion worldwide if being able to rapidly detect and
17 stop transmission of infections like HIV and other sexually transmitted diseases, Ebola
18 or Zika viruses, tuberculosis, malaria, etc.

19
20 To our opinion, these ambitious goals are not that far. Optical biosensors have emerged
21 as a powerful tool with the intrinsic benefits of light-based technologies: an extreme
22 speed, robustness, tunability, and integration in miniaturized devices. The intensive
23 research in the area will soon accomplish the strict demands for clinical diagnostics,
24 and start delivering small and simple devices able to detect diseases in a few minutes,
25 providing accurate prognosis or treatment evaluation, and all of it at the point of care.

26 27 28 **6. Five-years view**

29
30 Given the accelerated progress of nanophotonics in the last years, it is adventurous to
31 predict the state-of-the-art in optical biosensors at five-year view. With the existent
32 technologies, the next steps may be directed to demonstrate the multiplexing and high-
33 throughput potential of nanoplasmonic sensors. The label-free and real-time analysis of
34 numerous biomarkers in several samples simultaneously will be a key breakthrough for
35 POC diagnostics. Along with that, including more specific biomarkers and novel
36 diagnosis strategies based on genomic or cell analysis, could provide the means for
37 detecting a disease at early stages and facilitate the administration of more personalized
38 and efficient therapies, aiming in the route to a real precision medicine.

39
40 On the other hand, the new trends investigating innovative nanostructured materials
41 (e.g. dielectric semiconductors like Si or Ge) with electromagnetic features that mimic
42 those of conventional plasmonic metals could afford better performances. These
43 dielectric nanostructures could offer important advantages for POC testing, such as
44 direct integration in CMOS detectors, and even improve the biosensing performance
45 with narrower resonant peaks that enhance the signal-to-noise ratio. One other aspect
46 that could invade the biosensor field is the machine learning methodology.
47 Implementing smarter algorithms that learn from the acquired data and that are able to
48 make accurate decisions, could greatly help in the diagnosis process and motivate the
49 development of novel systems that enable an *in situ* evaluation and regulation of
50 treatments and therapies.

1 **Key issues:**

- 2 • Plasmonic and nanoplasmonic biosensors offer label-free and real-time
3 detection of clinical biomarkers with high sensitivity and reliability.
4 • Optical transducers based on metallic nanostructures enable simple and low-
5 cost detection methods and allow for sensor miniaturization.
6 • Plasmonic biosensors can be implemented in handheld portable systems or
7 directly employ common smartphone components.
8 • The versatility of nanoplasmonic sensing has motivated the development of
9 numerous bioanalytical applications targeting proteins, nucleic acids, and cells
10 directly in body fluids.
11 • Point-of-care biosensors could facilitate an early, accurate and more
12 informative disease diagnosis.
13 • Next-generation plasmonic biosensors involve full automation and
14 multiplexing for high-throughput analysis in real time.
15

16 **Abbreviations:**

- 17
18 CCD: charge-coupled device
19 CMOS: complementary metal-oxide sensor
20 CTC: circulating tumor cells
21 DF: dark field
22 ELISA: enzyme-linked immunosorbent assay
23 LED: light emitting diode
24 LOD: limit of detection
25 LSPR: localized surface plasmon resonance
26 MIP: molecularly imprinted polymer
27 PEG: polyethylene glycol
28 POC: point of care
29 qPCR: quantitative polymerase chain reaction
30 RI: refractive index
31 RIU: refractive index unit
32 SAM: self-assembled monolayer
33 SLB: supported lipid bilayer
34 SPR: surface plasmon resonance
35 TIR: total internal reflection
36

37 **Acknowledgements:**

38
39 The ICN2 is funded by the CERCA programme / Generalitat de Catalunya. The
40 ICN2 is supported by the Severo Ochoa programme of the Spanish Ministry of
41 Economy, Industry and Competitiveness (MINECO, grant no. SEV-2013-0295).
42

43 **Declaration of Interest:**

44 The authors declare no conflict of interest.

1

2 **References**

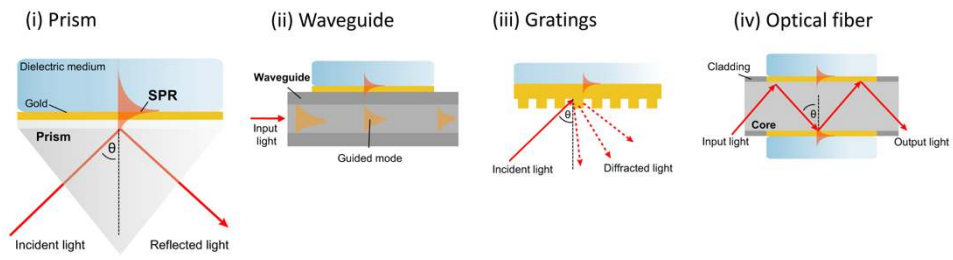
- 3 [1] Kozma P, Kehl F, Ehrentreich-Förster E, et al. Integrated planar optical
4 waveguide interferometer biosensors: A comparative review. *Biosens.*
5 *Bioelectron.* 2014;58:287–307.
- 6 [2] Wade JH, Bailey RC. Applications of Optical Microcavity Resonators in
7 Analytical Chemistry. *Annu. Rev. Anal. Chem.* 2016;9:1–25.
- 8 [3] Chiavaioli F, Baldini F, Tombelli S, et al. Biosensing with optical fiber
9 gratings. *Nanophotonics.* 2017;6:663–679.
- 10 [4] Hill RT. Plasmonic biosensors. *Wiley Interdiscip. Rev. Nanomedicine*
11 *Nanobiotechnology.* 2015;7:152–168.
- 12 [5] Nguyen H, Park J, Kang S, et al. Surface Plasmon Resonance: A Versatile
13 Technique for Biosensor Applications. *Sensors.* 2015;15:10481–10510.
- 14 [6] Masson J-F. Surface Plasmon Resonance Clinical Biosensors for Medical
15 Diagnostics. *ACS Sensors.* 2017;2:16–30.
- 16 [7] St John A, Price CP. Existing and Emerging Technologies for Point-of-Care
17 Testing. *Clin. Biochem. Rev.* 2014;35:155–167.
- 18 [8] Homola J, Yee SS, Gauglitz G. Surface plasmon resonance sensors: review.
19 *Sensors Actuators B Chem.* 1999;54:3–15.
- 20 [9] Wong CL, Olivo M. Surface Plasmon Resonance Imaging Sensors: A Review.
21 *Plasmonics.* 2014;9:809–824.
- 22 [10] Unser S, Bruzas I, He J, et al. Localized Surface Plasmon Resonance
23 Biosensing: Current Challenges and Approaches. *Sensors.* 2015;15:15684–
24 15716.
- 25 [11] Lopez GA, Estevez M-C, Soler M, et al. Recent advances in nanoplasmonic
26 biosensors: applications and lab-on-a-chip integration. *Nanobiosensors*
27 *Bioanal. Appl. Gr.* 2017;6:8193.
- 28 [12] Oliverio M, Perotto S, Messina GC, et al. Chemical Functionalization of
29 Plasmonic Surface Biosensors: A Tutorial Review on Issues, Strategies, and
30 Costs. *ACS Appl. Mater. Interfaces.* 2017;9:29394–29411.
- 31 [13] Zhou W, Jimmy Huang P-J, Ding J, et al. Aptamer-based biosensors for
32 biomedical diagnostics. *Analyst.* 2014;139:2627.
- 33 [14] Wang J, Zhou HS. Aptamer-Based Au Nanoparticles-Enhanced Surface
34 Plasmon Resonance Detection of Small Molecules. *Anal. Chem.*
35 2008;80:7174–7178.
- 36 [15] Yang X, Wang Y, Wang K, et al. DNA aptamer-based surface plasmon
37 resonance sensing of human C-reactive protein. *RSC Adv.* 2014;4:30934–
38 30937.
- 39 [16] Selvolini G, Marrazza G. MIP-Based Sensors: Promising New Tools for
40 Cancer Biomarker Determination. *Sensors (Basel).* 2017;17.
- 41 [17] Ulman A. Formation and Structure of Self-Assembled Monolayers. 1996;
- 42 [18] Goddard JM, Hotchkiss JH. Polymer surface modification for the attachment of
43 bioactive compounds. *Prog. Polym. Sci.* 2007;32:698–725.
- 44 [19] Jonsson MP, Dahlin AB, Höök F. Nanoplasmonic Sensing Combined with
45 Artificial Cell Membranes. *Nanoplasmonic Sensors.* New York, NY: Springer
46 New York; 2012. p. 59–82.
- 47 [20] Huertas CS, Carrascosa LG, Bonnal S, et al. Quantitative evaluation of
48 alternatively spliced mRNA isoforms by label-free real-time plasmonic
49 sensing. *Biosens. Bioelectron.* 2016;78:118–125.

- 1 [21] Moran KLM, Lemass D, O’Kennedy R. Surface Plasmon Resonance–Based
2 Immunoassays: Approaches, Performance, and Applications. *Handb.*
3 *Immunoass. Technol.* 2018;129–156.
- 4 [22] Vashist SK, Luong JHT. Antibody Immobilization and Surface
5 Functionalization Chemistries for Immunodiagnosics. *Handb. Immunoass.*
6 *Technol.* 2018;19–46.
- 7 [23] Welch NG, Scoble JA, Muir BW, et al. Orientation and characterization of
8 immobilized antibodies for improved immunoassays (Review). *Biointerphases.*
9 2017;12:02D301.
- 10 [24] Wang D-S, Fan S-K. Microfluidic Surface Plasmon Resonance Sensors: From
11 Principles to Point-of-Care Applications. *Sensors.* 2016;16:1175.
- 12 [25] Chen P, Chung MT, McHugh W, et al. Multiplex Serum Cytokine
13 Immunoassay Using Nanoplasmonic Biosensor Microarrays. *ACS Nano.*
14 2015;9:4173–4181.
- 15 [26] Acímović SS, Ortega MA, Sanz V, et al. LSPR Chip for Parallel, Rapid, and
16 Sensitive Detection of Cancer Markers in Serum. *J. Am. Chem. Soc.*
17 2004;126:9.
- 18 [27] Yavas O, Acimovic SS, Garcia-Guirado J, et al. Self-calibrating on-a-chip
19 LSPR sensing for quantitative and multiplexed detection of cancer markers in
20 human serum. 2018;3:1376–1384.
- 21 [28] Gomez-Cruz J, Nair S, Manjarrez-Hernandez A, et al. Cost-effective flow-
22 through nanohole array-based biosensing platform for the label-free detection
23 of uropathogenic *E. coli* in real time. *Biosens. Bioelectron.* 2018;106:105–110.
- 24 [29] Becker H, Gärtner C. *Microfluidics-Enabled Diagnostic Systems: Markets,*
25 *Challenges, and Examples.* Humana Press, New York, NY; 2017. p. 3–21.
- 26 [30] Millington D, Norton S, Singh R, et al. Digital microfluidics comes of age:
27 high-throughput screening to bedside diagnostic testing for genetic disorders in
28 newborns. *Expert Rev. Mol. Diagn.* 2018;1–12.
- 29 [31] Zhang Y, Nguyen N-T. Magnetic digital microfluidics – a review. *Lab Chip.*
30 2017;17:994–1008.
- 31 [32] Tokel O, Yildiz UH, Inci F, et al. Portable Microfluidic Integrated Plasmonic
32 Platform for Pathogen Detection.
- 33 [33] Cetin AE, Coskun AF, Galarreta BC, et al. Handheld high-throughput
34 plasmonic biosensor using computational on-chip imaging. *Light Sci. Appl.*
35 2014;3:e122–e122.
- 36 [34] Coskun AF, Cetin AE, Galarreta BC, et al. Lensfree optofluidic plasmonic
37 sensor for real-time and label-free monitoring of molecular binding events over
38 a wide field-of-view. *Sci. Rep.* 2015;4:6789.
- 39 [35] Guner H, Ozgur E, Kokturk G, et al. A smartphone based surface plasmon
40 resonance imaging (SPRi) platform for on-site biodetection. *Sensors Actuators*
41 *B Chem.* 2017;239:571–577.
- 42 [36] Wang X, Chang T-W, Lin G, et al. Self-Referenced Smartphone-Based
43 Nanoplasmonic Imaging Platform for Colorimetric Biochemical Sensing.
- 44 [37] Ertürk G, Özen H, Tümer MA, et al. Microcontact imprinting based surface
45 plasmon resonance (SPR) biosensor for real-time and ultrasensitive detection
46 of prostate specific antigen (PSA) from clinical samples. *Sensors Actuators B*
47 *Chem.* 2016;224:823–832.
- 48 [38] Sahu V, Gupta A, Kumar R, et al. Quantification of Rac1 and Rac1b in serum
49 of non small cell lung cancer by label free real time assay. *Clin. Chim. Acta.*
50 2016;460:231–235.

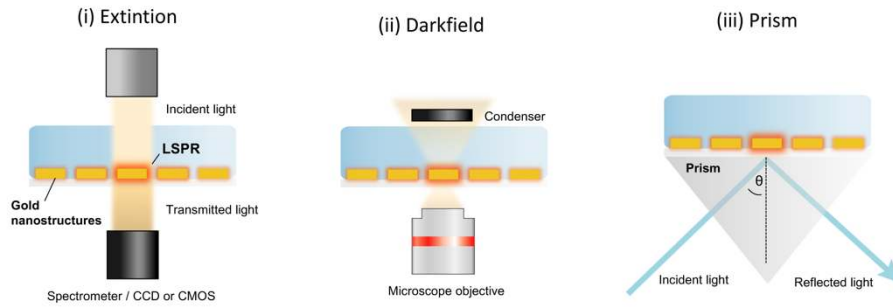
- 1 [39] Soler M, Estevez M-C, Villar-Vazquez R, et al. Label-free nanoplasmonic
2 sensing of tumor-associated autoantibodies for early diagnosis of colorectal
3 cancer. *Anal. Chim. Acta.* 2016;930.
- 4 [40] Soler M, Estevez M-C, Moreno MDL, et al. Label-free SPR detection of gluten
5 peptides in urine for non-invasive celiac disease follow-up. *Biosens.*
6 *Bioelectron.* 2016;79.
- 7 [41] Kim J, Kim S, Tai Nguyen T, et al. Label-Free Quantitative Immunoassay of
8 Fibrinogen in Alzheimer Disease Patient Plasma Using Fiber Optical Surface
9 Plasmon Resonance.
- 10 [42] Shekhar S, Kumar R, Rai N, et al. Estimation of Tau and Phosphorylated
11 Tau181 in Serum of Alzheimer's Disease and Mild Cognitive Impairment
12 Patients. Garg P, editor. *PLoS One.* 2016;11:e0159099.
- 13 [43] Schwarzenbach H, Hoon DSB, Pantel K. Cell-free nucleic acids as biomarkers
14 in cancer patients. *Nat Rev Cancer.* 2011;11:426–437.
- 15 [44] del Sol A, Balling R, Hood L, et al. Diseases as network perturbations. *Curr.*
16 *Opin. Biotechnol.* 2010;21:566–571.
- 17 [45] Ortmann CA, Kent DG, Nangalia J, et al. Effect of Mutation Order on
18 Myeloproliferative Neoplasms. *N. Engl. J. Med.* 2015;372:601–612.
- 19 [46] Chatterjee SK, Zetter BR. Cancer biomarkers: knowing the present and
20 predicting the future. *Futur. Oncol.* 2005;1:37–50.
- 21 [47] Veenstra TD, Conrads TP, Hood BL, et al. Biomarkers: mining the biofluid
22 proteome. *Mol. Cell. Proteomics.* 2005;4:409–418.
- 23 [48] Bellassai N, Spoto G. Biosensors for liquid biopsy: circulating nucleic acids to
24 diagnose and treat cancer. *Anal. Bioanal. Chem.* 2016;408:7255–7264.
- 25 [49] Carrascosa LG, Huertas CS, Lechuga LM. Prospects of optical biosensors for
26 emerging label-free RNA analysis. *TrAC - Trends Anal. Chem. Elsevier;* 2016.
27 p. 177–189.
- 28 [50] Chang K, Deng S, Chen M. Novel biosensing methodologies for improving the
29 detection of single nucleotide polymorphism. *Biosens. Bioelectron.*
30 2015;66:297–307.
- 31 [51] D'Agata R, Breveglieri G, Zanolini LM, et al. Direct Detection of Point
32 Mutations in Nonamplified Human Genomic DNA. *Anal. Chem.*
33 2011;83:8711–8717.
- 34 [52] Rapisarda A, Giambianco N, Marletta G. Kinetic discrimination of DNA
35 single-base mutations by localized surface plasmon resonance. *J. Colloid*
36 *Interface Sci.* 2017;487:141–148.
- 37 [53] Bertucci A, Manicardi A, Candiani A, et al. Detection of unamplified genomic
38 DNA by a PNA-based microstructured optical fiber (MOF) Bragg-grating
39 optofluidic system. *Biosens. Bioelectron.* 2015;63:248–254.
- 40 [54] Nguyen AH, Sim SJ. Nanoplasmonic biosensor: Detection and amplification of
41 dual bio-signatures of circulating tumor DNA. *Biosens. Bioelectron.*
42 2015;67:443–449.
- 43 [55] Shiddiky MJA, Sina AAI, Carrascosa LG, et al. Methylsorb: A simple method
44 for quantifying DNA methylation using DNA-gold affinity interactions. *8th Int.*
45 *Conf. Electr. Comput. Eng. Adv. Technol. a Better Tomorrow, ICECE 2014.*
46 2015. p. 17–20.
- 47 [56] Kurita R, Yanagisawa H, Yoshioka K, et al. On-Chip Sequence-Specific
48 Immunochemical Epigenomic Analysis Utilizing Outward-Turned Cytosine in
49 a DNA Bulge with Handheld Surface Plasmon Resonance Equipment. *Anal.*
50 *Chem.* 2015;87:11581–11586.

- 1 [57] Huertas CS, Aviñó A, Kurachi C, et al. Label-free DNA-methylation detection
2 by direct ds-DNA fragment screening using poly-purine hairpins. *Biosens.*
3 *Bioelectron.* 2018;120:47–54.
- 4 [58] Šípová H, Zhang S, Dudley AM, et al. Surface plasmon resonance biosensor
5 for rapid label-free detection of microribonucleic acid at subfemtomole level.
6 *Anal. Chem.* 2010;82:10110–10115.
- 7 [59] Hao K, He Y, Lu H, et al. High-sensitive surface plasmon resonance
8 microRNA biosensor based on streptavidin functionalized gold nanorods-
9 assisted signal amplification. *Anal. Chim. Acta.* 2017;954:114–120.
- 10 [60] Wang Q, Li Q, Yang X, et al. Graphene oxide-gold nanoparticles hybrids-
11 based surface plasmon resonance for sensitive detection of microRNA.
12 *Biosens. Bioelectron.* 2016;77:1001–1007.
- 13 [61] Aviñó A, Huertas CS, Lechuga LM, et al. Sensitive and label-free detection of
14 miRNA-145 by triplex formation. *Anal. Bioanal. Chem.* 2016;408:885–893.
- 15 [62] Joshi GK, Deitz-McElyea S, Liyanage T, et al. Label-Free Nanoplasmonic-
16 Based Short Noncoding RNA Sensing at Attomolar Concentrations Allows for
17 Quantitative and Highly Specific Assay of MicroRNA-10b in Biological Fluids
18 and Circulating Exosomes. *ACS Nano.* 2015;9:11075–11089.
- 19 [63] Yoo SM, Lee SY. Optical Biosensors for the Detection of Pathogenic
20 Microorganisms. *Trends Biotechnol.* 2016;34:7–25.
- 21 [64] Inci F, Tokel O, Wang S, et al. Nanoplasmonic Quantitative Detection of Intact
22 Viruses from Unprocessed Whole Blood. *ACS Nano.* 2013;7:4733–4745.
- 23 [65] Soler M, Belushkin A, Cavallini A, et al. Multiplexed nanoplasmonic biosensor
24 for one-step simultaneous detection of *Chlamydia trachomatis* and *Neisseria*
25 *gonorrhoeae* in urine. *Biosens. Bioelectron.* 2017;94.
- 26 [66] Yoo SM, Kim D-K, Lee SY. Aptamer-functionalized localized surface
27 plasmon resonance sensor for the multiplexed detection of different bacterial
28 species. *Talanta.* 2015;132:112–117.
- 29 [67] Mousavi M, Chen H-Y, Hou H-S, et al. Label-Free Detection of Rare Cell in
30 Human Blood Using Gold Nano Slit Surface Plasmon Resonance. *Biosensors.*
31 2015;5:98–117.
- 32 [68] Im H, Shao H, Il Park Y, et al. Label-free detection and molecular profiling of
33 exosomes with a nano-plasmonic sensor. 2014;
- 34 [69] Yang KS, Im H, Hong S, et al. Multiparametric plasma EV profiling facilitates
35 diagnosis of pancreatic malignancy. *Sci. Transl. Med.* 2017;9.
- 36

A SPR biosensing methods

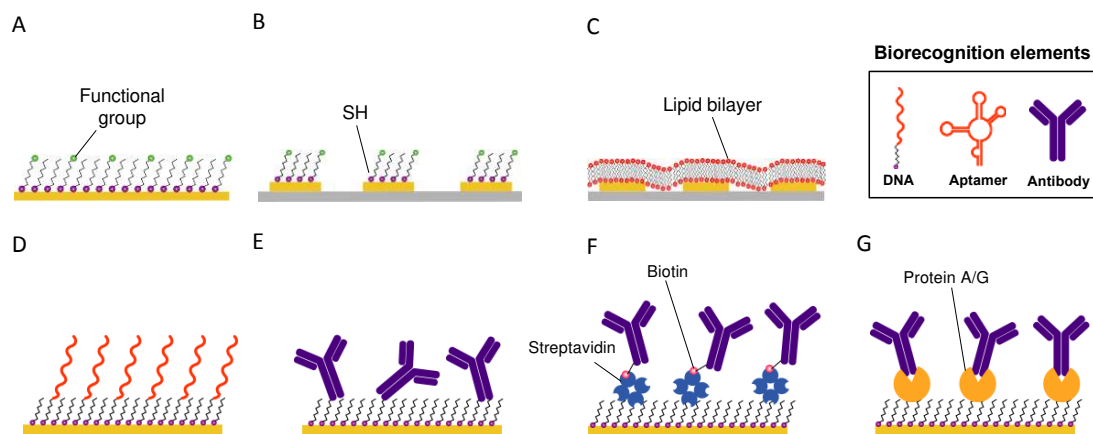


B LSPR biosensing methods



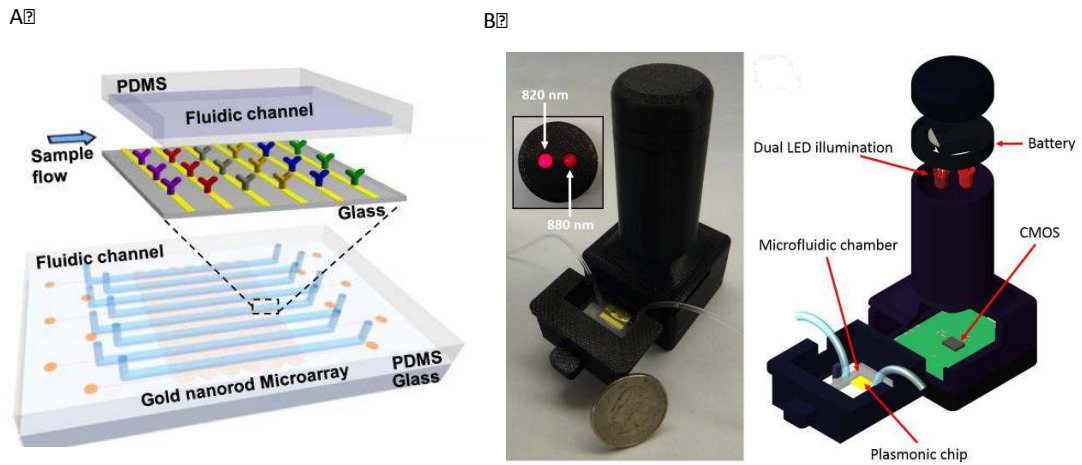
1
2
3
4
5
6
7

Figure 1. Illustrations of the different plasmonic and nanoplasmonic biosensor schemes: **(A)** Surface Plasmon Resonance (SPR) biosensor in prism-coupling configuration, waveguide, grating, and optical fiber, respectively and **(B)** localized SPR (LSPR) biosensor through extinction measurement, darkfield microscopy and prism-coupling scheme, respectively. All schemes included in the figure are original work made by the authors.



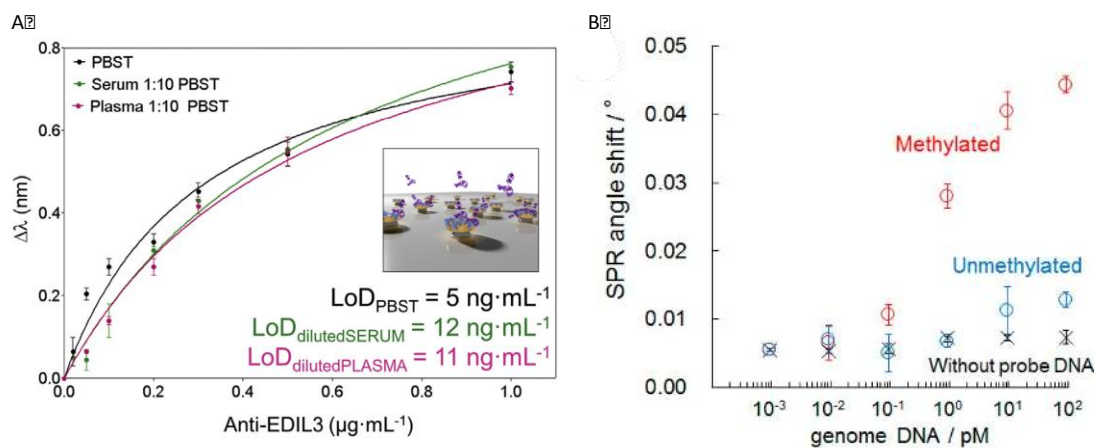
1
2 **Figure 2.** Schematics of different surface functionalization strategies: (A) Functional
3 alkanethiol self-assembled monolayer (SAM) on gold; (B) Site-selective SAM formation on
4 gold nanostructured surface; (C) Supported lipid bilayer (SLB) on gold nanostructured surface;
5 (D) DNA probe immobilized on a SAM; (E) antibodies immobilized on a SAM by covalent
6 binding; (F) antibodies immobilized on a SAM by biotin-streptavidin interaction; (G)
7 antibodies immobilized on a SAM by Protein A/G interaction. Inset illustrates the structure of
8 common biorecognition elements: DNA probe, aptamer, and antibody. All schemes included
9 in the figure are original work made by the authors.

10

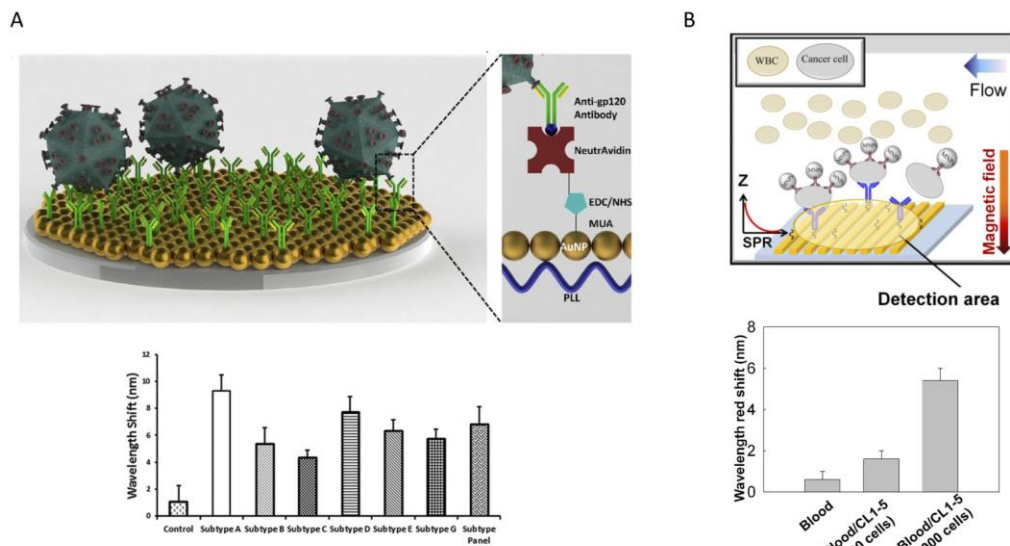


1
2
3
4
5
6
7

Figure 3. Examples of nanoplasmonic biosensors integrated in lab-on-a-chip and portable devices: **(A)** Multichannel microfluidics for multiplexed analysis (adapted with permission from [25] – Copyright 2015, American Chemical Society). **(B)** Handheld nanohole array biosensor for protein detection (adapted with permission from [34] – Creative Commons License Deed).



1
 2 **Figure 4.** Examples of biomedical applications of nanoplasmonic biosensors: (A) Detection of
 3 tumor-associated autoantibodies for colorectal cancer diagnosis (adapted from [41], Copyright
 4 (2016), with permission from Elsevier). (B) Analysis of DNA methylation (adapted with
 5 permission from [56] – Copyright 2015 American Chemical Society).



1
2
3
4
5
6

Figure 5. Examples of biomedical applications of nanoplasmonic biosensors: (A) Direct detection of intact viruses from blood (adapted with permission from [64] – Copyright 2013 American Chemical Society). (B) Detection of circulating tumor cells (CTCs) from blood (adapted with permission from [67] – Creative Commons License).