# LAC: Introducing Latency-Aware Caching in Information-Centric Networks

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Abstract-Latency-minimization is recognized as one of the pillars of 5G network architecture design. Information-Centric Networking (ICN) appears a promising candidate technology for building an agile communication model that reduces latency through in-network caching. However, no proposal has developed so far latency-aware cache management mechanisms for ICN. In the paper, we investigate the role of latency awareness on data delivery performance in ICN and introduce LAC, a new simple, yet very effective, Latency-Aware Cache management policy. The designed mechanism leverages in a distributed fashion local latency observations to decide whether to store an object in a network cache. The farther the object, latency-wise, the more favorable the caching decision. By means of simulations, show that LAC outperforms state of the art proposals and results in a reduction of the content mean delivery time and standard deviation by up to 50%, along with a very fast convergence to these figures.

### I. INTRODUCTION

Latency minimization, or building for virtual zero latency as commonly referred to, is one of the pillars of 5G network architecture design and is currently fostering important research work in this space. Inserting cache memories across the communication data path between different processing elements has been already demonstrated to be a reliable way of improving performance by localizing - especially popular content at network edge and so reducing retrieval latency.

Besides other advantageous architectural choices, the introduction of in-network caching as a native building block of the network design makes Information Centric Networking (ICN) [11], a promising 5G network technology. In a nutshell, every ICN router potentially manages a cache of previously requested objects in order to improve object delivery by reducing retrieval path length for frequently requested content. In fact, if content is locally available in the cache, the router sends it back directly to the requester, otherwise it forwards the request (or Interest) for the object to the next hop according to name-based routing criteria. When the requested object comes back, it is stored in the local cache before sending it back to the requester. Given cache size limitations, a replacement policy is put in place to evict previously stored objects for accommodating the newly available ones. To this aim, various classical cache replacement policies, not specifically ICN-based exist: to cite a few, Least-Recently-Used (LRU), Least-Frequently-Used (LFU), First-In-First-Out (FIFO) and Random (RND) [9]. Within the panoply of cache management policies proposed in the literature, very few exploit object retrieval latency to orchestrate cache decisions, while requiring transport protocol modifications [20] or involving additional computational complexity [23], without significant caching performance increase.

Clearly, the constraints imposed by ICN in terms of high speed packet processing exclude every complex cache management policy. Therefore, we focus in this paper on a simple, hence feasible, cache management policy leveraging not only the objects replacement, but the cache insertion criterion, that we define based on monitored object latency.

The cache management mechanism we propose in this paper, LAC, lies upon the following principle: every time an object is received from the network, it is stored into the cache with a probability proportional to its recently observed retrieval latency. As such, it is an add-on laying on top of any cache replacement policy and feeding it at a regulated pace. In this way, LAC implicitly prioritizes long-to-retrieve objects, instead of caching every object regardless. The underlying trade off such caching mechanism tackles is between a limited cache size and delivery time minimization. As caching intrinsically aims to relieve the fallouts of network distance or traffic congestion, it must be aware of both delay factors to efficiently handle the cache size / delivery time tradeoff. Data retrieval latency is a simple, locally measurable and consistent metric for revealing either haul distance or traffic congestion. More precisely, the contribution of this paper is threefold :

- We design LAC, a randomized dynamic cache management policy leveraging in-network retrieval latency for cache insertion. The locally monitored metric is the time elapsing at a given node between request forwarding and corresponding packet reception.
- We provide a preliminary analysis of LAC to prove its superior performance over a symmetric p-LRU (probabilistic LRU) policy using the same probability *p* for Move-To-Front (MTF) operation in case of hit and miss events.
- We evaluate LAC performance by means of packet level simulations carried out with our ICN simulator CCNPL-Sim (http://systemx.enst.fr/ccnpl-sim).

The rest of the paper is structured in the following way. We review the state of the art and perceived limitations in §II. The problem formulation of latency-aware caching is reported in §III. Sec. §IV gathers analytical results, while performance evaluation of our proposal is in §V. Finally, Sec.VI concludes the paper, by giving a glimpse on future activities.

### II. RELATED WORK

In the context of ICN research, previous work have considered the enhancement of cache mechanisms with the aim of reducing caching redundancy over a delivery path. We can distinguish two categories of related work: those leveraging content placement (e.g. [25], [16]) as opposed to those proposing caching mechanisms based on selective insertion/replacement in cache (e.g. [20], [1], [10], [17]). The first class of approaches has a limited applicability to controlled environments like a CDN (Content Delivery Network), where topology and content catalog are know a priori. Either [25] and [16] deals with video streaming in ICN and orchestrate caching and scheduling of requests to caches in order to create a cluster of caches with a certain number of guaranteed replicas ([16]). Unlike these approaches, our work belongs to the second class of caching solutions and aims at defining a decentralized caching solution that automatically adapts to changes in content popularity, network variations etc. by leveraging content insertion/replacement operations in cache. We share the same objective as [1], where authors propose a congestion-aware caching mechanism for ICN based on estimation of local congestion, of popularity and of position w.r.t. the bottleneck. The congestion estimate in this work does not allow to differentiate content items in terms of latency like in our work. A similar consideration holds for other related approaches: the ProbCache work in [20], which utilizes the same cache probability for every content item at a given node and the cooperative caching mechanism in [10]- [17] exploiting overall popularity and distance-to-server. Clearly, the rationale behind is the same, but the distance-to-server metric does not reflects the differences in terms of latency, distance to bottleneck on a per-flow basis that our approach takes into account. Beyond ICN, caching literature is vast [19] and our review here does not attempt to be exhaustive, while rather to position our contribution w.r.t. closest classical caching approaches. Starobinski et al. [22] and later Jelenković et al. [12] describe a cache management mechanisms to optimize the storage of variable size documents. In their work, the whole Move-To-Front rule is symmetric, i.e. applied in both hit and miss events (as for LRU,LFU etc.) while our approach, instead, may be denoted as asymmetric, since it restricts the stochastic decision of MTF to cache miss events, leaving object replacement subject to deterministic LRU.

Furthermore, Starobinski *et al.* model only focuses on a single cache, while assuming that every object is associated with a fixed retrieval cost, just like an intrinsic property. However, in a network of caches, an object's retrieval cost may vary considerably, depending on its current location and on network congestion.

### III. PROBLEM FORMULATION AND DESIGN CHOICES

The problem of improving end-user delivery performance can be formulated as the minimization of the overall average delivery time  $\mathbb{E}[T]$  for all users in the network and over all requested objects.

$$\begin{cases}
\min \sum_{u \in \mathcal{U}} \sum_{k \in \mathcal{K}} \sum_{r \in \mathcal{R}_{u,k}} q_{k,u} p_{k,r,u} \mathbb{E}[\mathbf{T}_{k,r,u}] \\
\sum_{k} q_{k,u} = 1, \quad \forall u
\end{cases}$$
(1)

$$\sum_{r} p_{k,r,u} = 1, \qquad \forall k,r \tag{2}$$

$$0 \le q_{k,u} \le 1 \qquad \forall k, u \tag{3}$$

$$0 \le p_{k,r,u} \le 1 \qquad \forall k,r,u \tag{4}$$

where  $q_{k,u}$  is the normalized request rate of object k from user u (namely, the popularity function at user u), and  $p_{k,r,u}$  is the probability to download object k from route r and  $\mathbb{E}[T_{k,r,u}]$  is the average latency to retrieve object k on route r. The set of routes available at user u is identified by  $\mathcal{R}_{u,k}$ .

Using the Lagrangian of the problem and imposing the Karush-Kuhn-Tucker (KKT) optimality conditions it is easy to show that for some constants  $c_1$ ,  $c_2$ .

$$p_{k,r,u} = \frac{c_1}{q_{k,u}\mathbb{E}[\mathbf{T}_{k,r,u}] + c_2}$$
(5)

In this paper we look for a distributed algorithm that tries to minimize this objective by obtaining  $p_{k,r,u}$  without any coordination among the nodes and no signaling. The optimal objective expressed in (2]) can be heuristically generalized to every node n in the network by substituting  $q_{k,u}$  with the local residual popularity  $q_k(n)$  at node n and with  $\mathbb{E}[T_{k,r,u}]$  the local virtual residual round trip time for object k on route r, denoted by  $\mathbb{E}[\text{VRTT}_{k,r}]$ . Hence we set the probability to store an object k at a given node n, proportional to the popularity and latency locally observed at noted n. It is left to future work to prove that this distributed heuristic is actually optimal. The intuition behind eq.(5) is that user u downloads an object kfrom a remote path r inversely proportional to its popularity and retrieval latency. A globally optimal strategy performed in each node would heuristically prefer to cache locally popular content and with high retrieval latency.

In this paper we design an heuristic based on the following criterion. Thus our general formulation of the probability that the caching decision  $d_i$  is true i.e. the probability to cache the  $i^{th}$  requested object considering all encountered object retrieval latencies, is

$$\mathbb{P}\left[d_i = true\right] \propto \min\left(\frac{\left(\Delta T_i\right)^{\beta}}{\left(f\left((\Delta T_j)_{j=1,2,\dots,i}\right)\right)^{\gamma}}, 1\right) \quad (6)$$

where  $\Delta T_j$  is the retrieval latency of the  $j^{th}$  requested object, f might be, for example, either a mean, the median or a maximum function,  $\beta$  and  $\gamma$  are intensity parameters,  $\propto$ means "is proportional to". The object retrieval latency and the probability of caching it are, hereby, made proportional. Note that the caching decision may cumulatively depend on another fixed or dynamic factors (such as the outcome of another random experiment). In the following section we analyze the performance of the proposed caching system and compare it to mechanisms existing in the literature under a dynamic workload.

### IV. MODEL

The dynamics of the system are complex to capture in a simple model due to the tight coupling between delivery performance and caching functions: delivery performance is certainly affected by network conditions, while clearly network load is a result of caching performance and vice-versa.

In this section, we first introduce modeling assumptions (Sec.IV-A), then proceed in two steps: (i) we tackle the single cache case, developing analytically some performance bounds, (ii) we leverage such analysis to provide an insight on the network of caches case.

### A. Assumptions

The purpose of this model is to identify the added value of the latency-aware stochastic decision in outperforming existing alternatives. In this context, we consider the smallest set of assumptions to have a simple and feasible analytical representation.

• Zipf-like popularity: We assume that object popularity follows a generalized Zipf law. Thus let q(k) be the popularity object with rank k:  $q(k) = \frac{c}{k^{\alpha}}$  with  $\frac{1}{c} = \sum_{i} i^{-\alpha}$ 

and  $\alpha > 0$ . This assumption is widely accepted in the literature [4] [18].

- Poisson requests: We assume that clients request objects according to a Poisson distribution with rate λ, similarly to [5]
- Independent Reference Model: Temporal correlation between object requests, though neglected here like in [22] and [8], is foreseen in future extensions of this work.
- LRU replacement policy: We focus on the widely adopted LRU replacement policy whose common implementation consists in moving the most recently served object to the front of a list. This allows to study Move-To-Front algorithm as an LRU scheme [12].
- Same object size: For the sake of simplicity, we assume that, like in [7], all retrieved objects have the same size. The model will later be improved to encompass more fine-grained features such as variable object size. We aim to calculate two metrics that, we think, give an insight of a caching system asymptotic behavior: the steady-state miss probability and mean delivery time per popularity rank.

Refer to Table I the notation used throughout the paper.

x	Local cache size in number of objects
$\lambda_k$	Request rate of rank-k objects. Under Poisson arrivals, $\lambda_k =$
	$\lambda q(k)$
$\varphi_{k,\tau}$	Probability of receiving at least one request for a rank-k
, í	object during $\tau$ seconds
$\pi_{k,t}$	Local cache miss probability for rank- $k$ objects at time $t$
$p_{k,t}$	Probability of a positive caching decision for object rank $k$
	at time t
$\tau_x$	Characteristic Time threshold for filling a cache of size $x$
$\tau_x$	Characteristic Time threshold for filling a cache of size $x$

TABLE I: Notation.

### B. LAC in the single cache model

In this section, we analyze the latency-aware mechanism proposed in this paper by computing its performance, expressed in terms of the cache miss probability. The analysis starts from the computation of LAC steady-state per object k miss probability,  $\pi_k$ ,  $\forall k$ . LAC is referred to as  $p_i^{asym}$ -LRU as opposed to systems where either the insertion is determined by a constant probability p or insertion/replacement operations are symmetrically driven by the same probability. Indeed, recall that LAC asymmetry stems from the fact that the insertion is probabilistically determined on a per-object basis by the monitored residual latency, while using LRU replacement.

**Proposition 1.** In a LRU cache with insertion probability  $p_{k,t}$ , the move to front probability at time t, during the time window  $\tau$ , for object k is given by

$$F_k(t,\tau) \triangleq \left( \left( 1 - \pi_{k,t} \right) + \pi_{k,t} p_{k,t} \right) \varphi_{k,\tau} \tag{7}$$

$$= (1 - (1 - p_{k,t})\pi_{k,t})\varphi_{k,\tau}$$
(8)

being  $\varphi_{k,\tau}$  be the probability of receiving at least one request for a rank-k object during  $\tau$  seconds.

The characteristic time ("Che") approximation [7] states that for a sufficiently large cache, the object eviction time is well approximated by a unique constant  $\tau_x$ , being x the cache size. Under this approximation, hence, the miss process for a cache under stochastic caching decision,  $F_k(t, \tau_x) =$  $1 - \mathbb{P}_{k,t}[\sharp MTF > x] = (1 - (1 - p_{k,t})\pi_{k,t})\varphi_{k,\tau_x}$ .  $\sharp MTF$ denotes the number of distinct objects moved to the cache front. Upon the assumption that every object gets eventually cached at least once over time. Under this approximation  $F_k(t, \tau_x) \approx 1 - \pi_{k,t}$  which implies  $\pi_{k,t} \approx \frac{1 - \varphi_{k,\tau_x}}{1 - \varphi_{k,\tau_x}(1 - p_{k,t})}$  and generalizes what obtained in [15] and [2]. for any inter-arrival time distributions of the request process. If we assume that  $p_{k,t}$  and  $\varphi_{k,\tau}$  are both ergodic

$$\mathbb{E}[\pi_k] = \int_0^1 \frac{1 - \varphi_{k,\tau_x}}{1 - \varphi_{k,\tau_x}(1 - u)} d\mathbb{P}[p_k \le u] = 1 - \int_0^1 \mathbb{P}[p_k > u] \frac{(1 - \varphi_{k,\tau_x})\varphi_{k,\tau_x}}{(1 - \varphi_{k,\tau_x}(1 - u))^2} du$$
(9)

If we restrict to a discrete set of positive caching decision probabilities,

$$\mathbb{E}[\pi_k] = \sum_u \mathbb{P}[p_k = u] \frac{1 - \varphi_{k,\tau_x}}{1 - \varphi_{k,\tau_x}(1 - u)}.$$
 (10)

$$\tau_x$$
 is the root of  $\sum_k (1 - \pi_k) = x$  (11)

That holds from the Che approximation. Note that  $\varphi_{k,\tau_x} \triangleq 1 - e^{-\lambda_k \tau_x}$  under Poisson object arrivals. Note that Eq.(10) might not be computationally tractable. However the following theorem shows that values of  $p_k$  can be replaced by its mean.

**Theorem 1.** If positive caching decision probabilities  $p_k$  and popularity ranks are deemed independent, and assuming Poisson object arrivals,

$$\mathbb{E}[\pi_k] = \frac{e^{-\lambda_k \tau_x}}{1 - (1 - e^{-\lambda_k \tau_x})(1 - \mathbb{E}[p])}.$$
(12)

*Proof:* The miss probabilities are a convex function of the caching decision probabilities as

$$\frac{\partial^2 \pi_{k,t}}{\partial p_{k,t}^2} \equiv \frac{2\left(e^{\lambda_k \mathbb{E}[\tau_x(p)]} - 1\right)^2}{\left(1 + \left(e^{\lambda_k \mathbb{E}[\tau_x(p)]} - 1\right)p_{k,t}\right)^3} \ge 0$$

By Jensen's inequality,

$$\pi_k \ge \frac{e^{-\lambda_k \mathbb{E}[\tau_x(p)]}}{1 - (1 - e^{-\lambda_k \mathbb{E}[\tau_x(p)]})(1 - \mathbb{E}[p])}.$$
 (13)

A proof that also  $\tau_x(p_{k,t})$  is convex follows by computing  $\frac{\partial^2}{\partial p_{k,t}^2} \tau_x(p_{k,t})$  from the first derivative obtained in [15] Appendix A, which applies the implicit function theorem over Eq.(11).  $\frac{\partial^2}{\partial p_{k,t}^2} \tau_x(p_{k,t})$  is composed of positive terms expect one  $\frac{\partial^2}{\partial \tau_x \partial p_{k,t}} I(\tau_x, p_{k,t})$  that is negative  $\forall k \leq (\lambda \frac{c}{\log(2)} \tau_x)^{\frac{1}{\alpha}}$  and surely positive for any sufficiently large number of popularity ranks. Hence, by invoking Jensen's inequality once more,  $\mathbb{E}[\tau_x(p)] \geq \tau_x(\mathbb{E}[p])$ . As  $\frac{\partial \pi_{k,t}}{\partial \tau_x} \equiv \frac{-\lambda_k p_{k,t} e^{\lambda_k \tau_x}}{(1+(e^{\lambda_k \tau_x}-1)p_{k,t})^2} \leq 0, \tau_x$  tends to counterbalance inequality (13), giving

$$\pi_k \sim \frac{e^{-\lambda_k \tau_x}}{1 - (1 - e^{-\lambda_k \tau_x})(1 - \mathbb{E}[p])}$$

This result is important because it states that caching based on a random p with values  $p_{k,t}$  ends up in a steady-state miss probability similar to the one obtained directly using a constant positive decision probability  $\overline{p} = \mathbb{E}[p]$ . Fig.1 depicts this miss probability over popularity rank as a function of the decision probability. It gives a first intuition that keeping  $\overline{p}$  very small decreases drastically the miss probability of high popularity ranks. The number of beneficiary ranks being limited by the cache size (set to 8 files in this instance). However, the drawback of a constant and small  $\overline{p}$  is that it

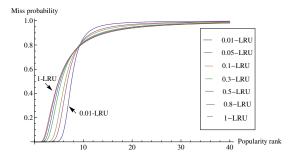


Fig. 1:  $\pi_k$  increases with  $\overline{p}$  for the most popular objects.

postpones considerably the time popular objects are first stored in the cache. LRU+LCP suffers from this phenomenon because the expected time to enter the cache is  $\frac{1}{\lambda_k \overline{p}}$ . Consequently, the overall object delivery time converges slowly.

## C. $p_i^{sym}$ -LRU, an analytical lower bound to $p_i^{asym}$ -LRU

Providing a closed-form expression for  $p_i^{asym}$ -LRU's miss probability and its Characteristic Time  $\tau_x^{asym}$  is hard. Instead, we demonstrate its superiority over the analytically tractable  $p_i^{sym}$ -LRU mechanism. With some loss of generality,  $\alpha$  is assumed greater than one. Let us consider the symmetric mechanism  $p_i^{sym}$ -LRU where the MTF probabilities are conditioned by the same probability  $p_{k,t}$ . By contrast in  $p_i^{asym}$ -LRU the MTF decision is taken in case of miss only.

**Theorem 2.**  $p_i^{sym}$ -*LRU steady-state miss probability*  $\pi_k^{sym} = \exp\{-\frac{x^{\alpha}}{k^{\alpha}\Gamma(1-\frac{1}{\alpha})^{\alpha}}\}$  under the assumption that the mean positive caching decision probability,  $\overline{p}$ , is the same for all popularity ranks i.e.  $\overline{p} = \sum_u \mathbb{P}[p_k = u] u, \forall k$ .

*Proof:* Let  $\sharp k$  denote the number of times a rank-k object is moved to the cache front. The mean number of distinct objects moved to the front of the LRU cache over time is

$$\sum_{k} \mathbb{E}\left[\mathbb{1}_{\{\sharp k > 0\}}\right] = \sum_{k} 1 - e^{-\lambda_{k}\tau \overline{p}} \approx \int_{1}^{+\infty} (1 - e^{-\lambda \frac{c}{v^{\alpha}}\overline{p}}) dv$$
$$= (\lambda \tau c \overline{p})^{\frac{1}{\alpha}} \Gamma\left(1 - \frac{1}{\alpha}\right)$$

In virtue of Lemma 5 of [12]. Hence, the power of alphamagnified mean number of distinct objects moved to the front of the LRU cache over time

$$x^{\alpha} = \lambda \tau_x^{sym} c \overline{p} \Gamma \left( 1 - \frac{1}{\alpha} \right)^{\alpha} \Rightarrow \tau_x^{sym} \approx \frac{x^{\alpha}}{\lambda c \overline{p} \Gamma \left( 1 - \frac{1}{\alpha} \right)^{\alpha}}$$

The rest follow by using the exponential inter-arrival distribution for an object with rank k.

The closed-form expression of Theorem 2 is intrinsically the same as LRU's in [5]. This observation yields the next corollary.

**Corollary 2.1.** If decision probabilities and popularity ranks are deemed independent,  $\pi_k^{sym} \xrightarrow{L^1} \pi_k^{LRU}$  i.e.  $p_i^{sym}$ -LRU behaves in first-order mean like LRU.

 $p_i^{asym}$ -LRU consequently outperforms  $p_i^{sym}$ -LRU thanks to its convergence to the Least Frequently Used replacement policy [15]. This leads to the next theorem.

**Theorem 3.** Let  $\eta_{mechanism}$  be the number of most popular objects "permanently" accommodated thanks to a caching mechanism,  $\exists \mu \geq 1 : \eta_{p_i^{asym}LRU} \geq \mu \eta_{p_i^{sym}LRU}$ 

i.e.  $p_i^{asym}$ -LRU allows to accommodate "permanently"  $\mu$ -times more of the most popular objects than  $p_i^{sym}$ .

*Proof: (optional)* Let the miss probabilities of all "permanently" stored objects admit a sufficiently small value  $\epsilon$  as upper bound. Then,  $\eta_{p_i^{sym}LRU} = \frac{x}{\Gamma(1-\frac{1}{\alpha})(-\log \epsilon)^{\frac{1}{\alpha}}}$  and  $\eta_{p_i^{asym}LRU} = \left(\frac{\lambda c \tau_x^{asym}}{\log(1+\frac{\pi}{\mathbb{E}[p]}(\frac{1}{\epsilon}-1))}\right)^{\frac{1}{\alpha}}$ . Since a first-order Taylor series expansion of  $\epsilon$  for  $p_i^{asym}$ -LRU, when  $\mathbb{E}[p] \to 0$ , yields  $\eta_{p_i^{asym}LRU} \approx \frac{x}{\Gamma(1-\frac{1}{\alpha})\mathbb{E}[p]\log(1+\frac{1}{\mathbb{E}[p]}(\frac{1}{\epsilon}-1))^{\frac{1}{\alpha}}}$ ,

$$\lim_{\mathbb{E}[p] \to 0} \frac{\eta_{p_i^{asym_{LRU}}}}{\eta_{p_i^{sym_{LRU}}}} \geq (-\log \epsilon)^{\frac{1}{\alpha}} > 1$$

Let  $LAasym \triangleq LRU$  equipped for latency-aware stochastic caching decision (presented in this paper) and  $LAsym \triangleq$ LRU modified for latency-aware stochastic MTF decision (Starobinski-Tse-Jelenković-Radovanović's).

### **Corollary 3.1.** As a mere special case of Theorem 3, $\exists \mu \geq 1 : \eta_{p_{i}^{LAasym}} \geq \mu \eta_{p_{i}^{LAsym}}.$

This typically means that the performance of LRU caches equipped with latency-aware stochastic caching decision can exceed beyond a given factor  $\mu$  that of  $p_i^{sym}$ -LRU, then LRU studied analytically and extensively in previous works [5].

### D. Network of caches

The analytical characterization of the dynamics of a network of caches, even in a broader scope than ICN, is an active research topic [3] [21] [13] and some closed-form results have been presented, but only for networks of LRU caches [5].

Leaving for future work a thorough analytical characterization of network dynamics under LAC, we explain here the entanglement between latency-aware caching and network performance we need to take into account.

Let focus on a single path, where in-network caching is enabled at each node. As in [5], [6], we may denote with VRTT<sub>k</sub>, the Virtual Round Trip Time (VRTT) for any packet of object k and at a given user which we assume to be the first node of this path towards the repository. VRTT<sub>k</sub> is defined as the weighted sum of user-to-node i round trip time, R(i) times the probability for node i to be the first hitting cache for the request sent by the user,

$$\operatorname{VRTT}_{k} = \sum_{i} R(i) \prod_{j < i} p_{k}(j) (1 - p_{k}(i))$$

 $p_k(i)$  being the miss probability for packets of object k at node i. Now, at every intermediate node l along the path, the measured residual latency can be defined as:  $RVRTT_k(l) = \sum_{i\geq l} R(i) \prod_{j < i} p_k(j)(1 - p_k(i))$  Over time, the expectation of the Residual Virtual Round Trip Time for a rank-k object at node n,  $\mathbb{E}[RVRTT_k(n)]$  represent the mean cost of all routes to a permanent copy of the object departing from n.

Hence, for the caching node n, the probability of a positive LAC decision for rank k at each discrete decision instant t results to be proportional to the monitored average Residual Round Trip Time,

Round Trip Time,  $p_{k,t}(n) \propto \min(\frac{(\text{RVRTT}_{k,t}(n))^{\beta}}{(f^{(n)}(t-1))^{\gamma}}, 1), t > 1$ . Beyond the normalization of the probability to 1, the specific function we have selected accounts for a normalization of the monitored metric over a function,  $f^{(n)}$  which is meant to indicate the overall latency cache *n* welcomes. We have had successful experience with the instance  $f^{(n)} \triangleq \mathbb{E}(\cdot)$ , namely the average  $\sum \mathbb{E}[RVRTT_k(n)]\mathbb{E}[p_k(n)]$ 

$$f^{(n)}(t) \sim_{t \to +\infty} \frac{\sum_{k} \mathbb{E}[n V H T_k(n)] \mathbb{E}[p_k(n)]}{\sum_{k} \mathbb{E}[p_k(n)]}$$

#### V. PERFORMANCE EVALUATION

We implement and test LAC by means of simulations carried out with the packet-level NDN simulator CCNPL-Sim (https://code.google.com/p/ccnpl-sim/). We evaluate (i) single cache topologies, then (ii) networks of caches topologies with a single content server on the top and three intermediate layers of caches and a client layer at the bottom. LAC, our latency-aware LRU denoted as *LAasym* is tested against two other fully distributed caching management mechanisms: LRU+Leave-Copy-Probabilistically and LRU [14] [24]. By fully distributed, we mean mechanisms that do not require the exchange of any specific signalling between caches.

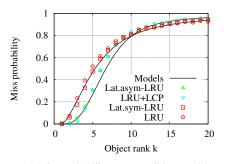
### A. Single cache topology

The following results are achieved in a simulated ICN with a single caching node between the object consumers and the publishing server and with the following parameters.

- Cache sizes are equal to 80KBytes.
- The Poisson process for generating content requests is characterized by a rate of 1 object/s
- Objects are requested over a catalog of 20,000 items, according to a Zipf-like popularity distribution of parameter α = 1.7. This value of α has been demonstrated realistic [18]. Each file is 10KBytes size.
- The two FIFO links from the consumers up to the content publisher have a capacity of 200Kbps and of 30Kbps, respectively.
- Each object conveyed through these links has an average size of 10KBytes, that we also take as fixed packet size.

About LAC parameters, caches are equipped for latency-aware stochastic caching decision, with  $\beta = \gamma = 5$  to stress the rejection of quickly delivered objects. The function f is the mean latency of all ever-cached objects. We report the related charts in Fig.2. The load  $\rho$  of the 30Kbps downlink equals 0.56 when the cache is ruled by LRU, 0.58 under LASym, 0.41 under LRU+LCP and 0.37 under LAC (LAasym).

A first observation we draw from the plots in Fig.2 is that our LAC proposal, *LAasym* converges to the same steady state as LRU+LCP, which approximates the optimal LFU behavior. Note that it this is true in static and hierarchical network of caches with no regeneration (no user requests from intermediate nodes), in general LAC is based on temporal measurements of residual latency, so adapting over time based on the sensed variations in terms of experienced latency. Secondly, we observe how much *LAasym* latency-aware technique reduces both delivery time mean and standard deviation. It is striking to see how quickly they converge, compared to classical LRU+LCP. The constant decision probability used in LRU+LCP is, indeed, the average of all latency-aware decision probabilities (p = 0.1) and this impacts negatively either the convergence either the system reactivity to temporal variations of latency, as opposed to our LAC proposal. Finally, we observe that LAsym and LRU miss probability curves coincide in steady state as predicted in [12]. A symmetric filtering of objects to put in and to remove from the cache has the only effect of slowing down convergence while not modifying the dynamics of the underlying Markov chain.



14 14 LRU LRU+LĊP Lat.asym-LRU Lat.asym-LRU 12 12 Delivery time [s] Delivery time [s] 10 10 8 8 6 6 4 4 2 2 0 0 5 10 15 5 10 15 20 0 20 0 Object rank k Object rank k

(a) Miss probability curves validate models.

(b) Delivery time w.r.t content rank overview (vs (c) Delivery time w.r.t content rank overview (vs LRU) LRU+LCP)

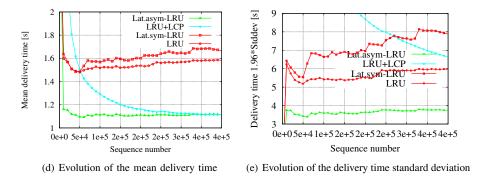
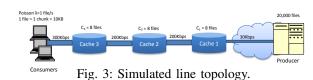


Fig. 2: Single cache topology simulation: LAasym decreases LRU delivery time by 30% and outperforms LRU+LCP on convergence.

B. Network of caches



1) Line topology network: We now consider the setting in Fig.3, with three caching nodes in-line between the users and the publishing server. The set of parameters we consider is the same as before for what concerns cache sizes, request process and popularity. The four links from the consumers up to the publisher have capacities equal to 300Kbps, 200Kbps, 200Kbps and 30Kbps respectively. Under LRU+LCP, p = 0.1and corresponds to the lowest mean latency-aware caching decision probability, Cache 3's. Related results are reported in Fig.4. The resulting link load  $\rho$  on downlinks from the repository to the users is respectively : (0.5, 0.01, 0.03, 0.27)under LRU, (0.27, 0.02, 0.02, 0.27) under LRU+LCP and (0.22, 0.04, 0.06, 0.27) under our LAC proposal, LAasym. Clearly, the expensive traffic to the publisher decreases significantly with LAasym, while very little increase can be oberserved on the other links. The tremendous gain in delivery time (50% of LRU's) can be appreciated in both its first and second moments. Such a delivery time standard deviation decrease plays a central role in stabilizing customers quality of experience.

2) Tree topology network: The next results are those achieved in the ICN setting in Fig.5, spanning a binary tree topology whose seven caching nodes are spread over three network levels, between the users and the repository (publishing server). In such configuration,

- Cache sizes are 8MBytes.
- Poisson object request rate at the user is 1 object/s.
- Object popularity follow a Zipf(1.7) distribution.
- Object size is taken equal to 1 MB.
- Downlink capacities from the users up to the repository are 30Mbps-capable, except the last one toward the repository, which is 9Mbps.
- Each packet has an average size of 10KBytes, making every object equal to 100 packets in size.

Caches are equipped for LAC decision, with  $\beta = \gamma = 3$ , with the function f remaining equal to the mean latency of all ever-cached objects. Cache 4 is on the first layer (the closest to the consumers), Cache 8 on the second layer and Cache 10 on the third (the farthest to the users). LRU+LCP's p = 0.03. That corresponds to LAasym's mean latency-aware caching decision probability.

We report the related charts in Fig.6. The observed link load  $\rho$  on downlinks from the repository to the users is respectively: (0.7, 0.31, 0.18, 0.6) under LRU, (0.7, 0.07, 0.33, 0.6) under LRU+LCP and (0.7, 0.12, 0.23, 0.6) under *LAasym*. Again, our LAC mechanisms allows to lower maximum and average link load over the network.

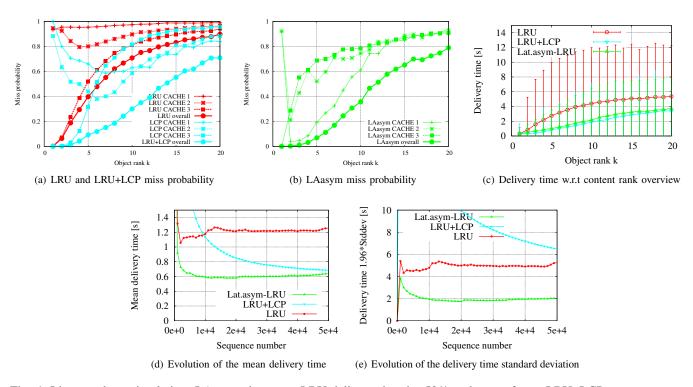


Fig. 4: Line topology simulation: LAasym decreases LRU delivery time by 50% and outperforms LRU+LCP on convergence.

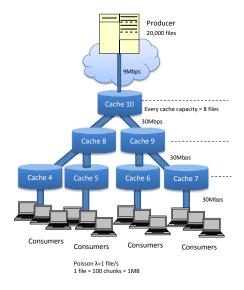


Fig. 5: Simulated tree topology

Finally, we observe as a general rule that implementing LAasym decreases the overall cache miss probability i.e. the probability that all solicited caches fail to serve the requested object. It also decreases and stabilizes the overall object delivery time. Indeed, the mean delivery time and the 95% confidence interval around the average, both decrease by up to 50%.

Note also that this overall improvement is not achieved to the detriment of the convergence speed, unlike LRU+LCP. The latter, indeed, exhibits tremendously slow convergence and extremely high delivery time standard deviation.

### VI. CONCLUSION AND FUTURE WORK

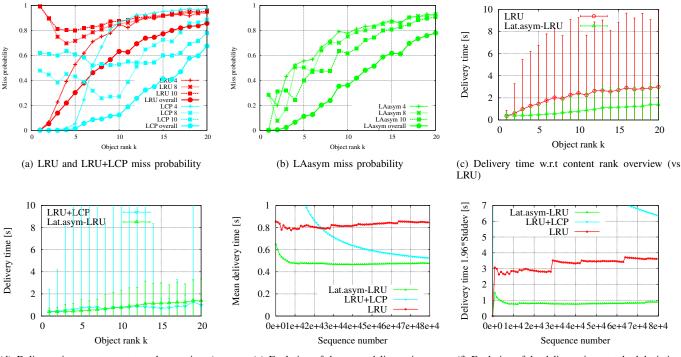
In the paper, we showed the benefits of leveraging latency for caching decisions in ICN and proposed LAC, a latencyaware cache management policy that bases cache insertion decisions on measurements of residual latency over time on a per-object basis. While keeping the same low complexity as standard LRU with probabilistic cache insertion, it provides a finer-granular differentiation of content in terms of expected residual latency. Two main advantages have been demonstrated: (i) superior performance in terms of realized delivery time at the end-user plus maximum and average link load reduction, when compared to classical LRU and probabilistic caching approaches; (ii) faster convergence w.r.t. probabilistic caching approaches along with reduced standard deviation.

We leave for future work a thorough characterization of LAC dynamics, especially in a network of caches, where the coupling with hop-by-hop forwarding may be addressed through a joint optimization.

The sensitivity to variations in network conditions and routing will also be investigated to highlight the benefit in terms of self-adaptiveness of a measurement-based approach w.r.t. classical latency-insensitive approaches.

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(d) Delivery time w.r.t content rank overview (vs LRU+LCP)

(e) Evolution of the mean delivery time

(f) Evolution of the delivery time standard deviation

Fig. 6: Tree topology simulation: LAasym decreases LRU delivery time by 30% and outperforms LRU+LCP on convergence.

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