

# Landslide prediction capability by comparison of frequency ratio, fuzzy gamma and landslide index method

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This study presented the prediction capability of three methods including the frequency ratio (FR), fuzzy gamma (FG) and landslide index method (LIM) to produce landslide-prone areas in the Sari-Kiasar watershed, Mazandaran Province of Iran. In the first step, 105 landslide locations were selected and were randomly divided into two groups of 75% (78 locations) and 25% (27 locations) as training and testing datasets. Then the 17 landslide conditioning factors including land use/land cover, Differential Vegetation Index (DVI), lithology and distance from faults, elevation, slope aspect, slope angle, tangential curvature, profile curvature and plane curvature, distance from drainage, rainfall, Stream Power Index, Sediment Transport Index and temperature, and distance from road, density of settlement were considered for the proposed modelling approach. Finally, by applying the training dataset, three landslide susceptibility maps were constructed by using the FR, FG and LIM methods. The prediction capability of the performed model was evaluated by the area under the receiver operating curve or AUC for both training (success rate) and testing (prediction rate) datasets. The results showed that the AUC for success rate of FR, FG and LIM models was 82.04%, 81.08% and 73.61% and for prediction rate was 82.72%, 79.09% and 65.45%, respectively. The results showed that the FR model has a higher prediction accuracy than the FG and LIM methods. This study revealed that the most important factors in landslide occurrence are rainfall, slope and vegetation. The result of the present study can be possibly useful for land use planning and watershed management.

Keywords. Landslide susceptibility; FR; fuzzy gamma; LIM; Sari-Kiasar.

# 1. Introduction

Landslides are one of the common natural hazards in hilly and mountainous areas. All through the world, landslides have negative effects on human life and financial movement (Pourghasemi et al. 2012a, b: Pirasteh and Li 2016; Ye et al. 2016; Li et al. 2017; Pirasteh et al. 2017). Population growth and the improvement of fundamental structures and lines (water, power, gas, oil, etc.) in

unsafe areas bring the expanding effect of natural disasters in developing and developed nations (Rosenfeld 1994). Landslides cause damage to natural resources, development projects, human life and property. According to the Iranian Landslide working Party (2007), about 187 people were killed and losses were estimated at about 12,700 dollars. Because of the complex nature of natural hazards, e.g., landslides, current scientific innovations are not able to predict these events completely. The dominant part of local and regional authorities and land use organisers are compelled to elude landslide susceptibility maps (LSM). Extensive mapping on a substantial scale of a number of landslides perhaps is not possible because of the remoteness of the area and the constraint on spending, and this is a debatable issue. The number of landslides have been increased due to the climate change, deforestation, unplanned urbanisation, etc. (Yusof et al. 2011; Pourghasemi et al. 2012a, b; Pirasteh et al. 2017). Thus, one of the most important actions of reduction of landslide hazards is the identification of landslide-prone areas through LSM by different methods.

Many researchers have used GIS and remote sensing for the identification of landslide-prone areas (Farrokhnia et al. 2011; Pirasteh and Li 2016). Methods of landslide hazard modelling are divided into two main categories: qualitative methods (landslide inventories and heuristic analysis) and quantitative methods (statistical analysis, probabilistic prediction analysis and deterministic analysis) (Glade and Crozier 2005). Many landslide studies are carried out by using GIS, and some of these studies have applied probabilistic models such as frequency ratio (FR), fuzzy logic (Akgun and Bulut 2007; Akgun and Turk 2010; Pradhan et al. 2010; Yalcin et al. 2011; Tien Bui et al. 2012; Ozdemir and Altural 2013; Park et al. 2013; Regmi et al. 2013; Hong et al. 2015; Khosravi et al. 2016a; Pirasteh and Li 2017a, b), landslide index method (LIM) approach (Ozdemir and Turoglu 2007; Ruff and Czurda 2008; Santos 2013) and machine learning models (Hong et al. 2015; Pham et al. 2017). The bivariate models benefit from some advantages such as (i) they are simpler than the machine learning models, (ii) do not use the expert knowledge which is the source of uncertainty and bias, (iii) have a reasonable accuracy and sometimes more than the machine learning models (Khosravi et al. 2016a, b; Rahmati and Pourghasemi 2017) and (iv) relationships between landslide occurrences

and each class of each factor can be determined easily.

The aim of the present study is the preparation of LSM by using FR, an ensemble of FR with fuzzy gamma (FG) and LIM at the Sari-Kiasar watershed in Iran as a hotspot area in a landslide occurrence.

# 2. Description of the study area

The Sari-Kiasar watershed has an area of about  $800 \text{ km}^2$  and is located in the upper east of Alborz mountains in northern Iran, south of Sari city and lies between  $36^{\circ}09'56''-36^{\circ}29'24''N$  and  $53^{\circ}0'27'' 53^{\circ}24'18''$ E (figure 1). The elevation of the study area varies from 97 in the plain to 1670 m above m.s.l. on mountain area. The main units of lithology in this region consist of limestone, dolomite, shale, siltstone, sandstone, marl, tuff, conglomerate, anhydrite, salt and their different combination (tables 1 and 3). The land use/land cover (LULC) of the study area is including 10 units (tables 2 and 3). The normal temperature in the study area is from 12.41° to 14.48°C. The mean annual precipitation in the study area varies between 339 mm in lowlands and 607 mm over the highlands (Meteorological Organization of Mazandaran Province 2013) (table 3).

# 3. Data preparation

#### 3.1 Landslide inventory map

The existing landslide inventory map is very essential for studying the spatial relationship between the landslide occurrence and the conditioning factors (Pourghasemi et al. 2013). In the present study, the landslide inventory map was recorded and mapped by extensive field survey and utilising 1:25,000 scale aerial photographs (Geological Survey of Iran). Finally 105 landslides have been recognised which occurred from the past up to 2016. Then, landslide locations are divided into two parts randomly. Of that 78 locations, 75% for training the models or model building and the remaining (25%) were utilised for validation of the purposes (Hong et al. 2015; Vakhshoori and Zare 2016). Based on the movement typology, utilising a streamlined order of Varnes (1978), the landslides were grouped into rotational or translational slides (71 bodies) and complex slides (34 bodies) (figure 2).



Figure 1. The study area location in Iran and landslide inventory map of the Sari-Kiasar watershed (yellow points are landslide points for validation of the model and the white points are for training).

#### 3.2 Landslide conditioning factors

There are no universal guidelines regarding the selection of factors in landslide susceptibility mapping (Ayalew et al. 2005). The selection of conditioning factors needs to take the characteristics of the study area and data availability into account (Khosravi et al. 2016a). Based on the literature review, the study area condition and data availability (Althuwaynee et al. 2014; Hong et al. 2015; Sahin et al. 2015; Barrile et al. 2016), 17 conditioning factors have been identified which are practical and applicable for the study area including: geological factors (lithology, distance from faults, LULC) and Differential Vegetation Index (DVI)), geomorphological factors (elevation, slope aspect, slope angle, tangential curvature, profile curvature and plane curvature), hydrological factors (distance from drainage, rainfall, Stream Power Index (SPI), Sediment Transport Index (STI) and temperature), and anthropogenic factors (distance from road, density of settlement).

The distance from drainage, SPI, STI, elevation, slope aspect, slope angle, curvature, profile curvature and plane curvature have been derived from the digital elevation model (DEM), using ArcGIS10.1 and SAGA GIS 2 software. The DEM was downloaded from the ASTER Global DEM (https://gdex.cr.usgs.gov/gdex/) with  $30 \times 30$  m pixel size. The remaining landslide conditioning factors after preparation were changed to the raster format with  $30 \times 30$  m in pixel size (figure 3).

## 3.2.1 Geomorphological factors

The geomorphological factors applied in this study including elevation, slope angle, slope aspect, curvature, profile curvature and plane curvature have been constructed directly from the DEMs (figure 3).

Elevation: The elevation is one of the important factors that has been utilised in landslide susceptibility analysis (Cevik and Topal 2003; Caniani *et al.* 2008; Raghuvanshi *et al.* 2015). The elevation of the study area varies from 97 m in the plain to 1670 m above m.s.l. on mountain zones. For the present study, the elevation map has been constructed and divided into seven classes includes lower than 100, 100–300, 300–600, 600–900, 900–1100, 1100–1300 and >1300 m (Raghuvanshi *et al.* 2015) (figure 3a).

**Slope**: The slope angle is the primary factor impacting slope instability (Saha *et al.* 2002; Yalcin 2008; Raghuvanshi *et al.* 2015). As the slope

Table I Proper	mag paul for many approx	and air car		
		Geological		
Age		code	Formation	Lithology
Cenozoic	Quaternary	S		Alluvium
	Pliocene	$PlQ_{cs}$		Conglomerate, sandstone, siltstone, silty marl
	Pliocene	PlQmc		Silty marl, conglomerate, alteration of marl and conglomerate
	Pliocene	$\operatorname{Plc}$		Light grey to cream massive conglomerate
	Pliocene	Plmc		Alternations of dark red marl and silty marl with conglomerate
	Miocene	Mm2	Upper red equivalent	Grey-red marls; locally with conglomerate alternations
	Miocene	Mmsl	Upper red equivalent	Marl, calcareous sandstone and siltstone, silty marl
	Miocene	Mms	Upper red equivalent	Grey-red marls; thin-medium bedded sandstone and calcareous, sandstone
				intercalations
	Miocene	Mg	Qom equivalent	Gypsum, gypsiferous marl, grey-green marl
	Miocene	Mm1	Qom equivalent	Homogeneous ochre coloured marls
	Miocene	OMmg	Qom equivalent	Marl, silty marl, gypsum, sandy limestone, locally minor andesitic lava
	Oligocene	Λ	Qom equivalent	Basaltic volcanic rocks
	Palaeocene	$\mathrm{Pems}$		Alternation of marl, siltstone and limestone
Mesozoic	Cretaceous	$\operatorname{Pelm}$		Alternation of grey limestone and marl
	Cretaceous	Pel		Medium-thick bedded cream–grey limestone and sandy limestone; locally thin marl
				layers
	Cretaceous	$\operatorname{Pemls}$		Silty marl, marl, sandy–marly limestone, calcareous sandstone, conglomerate
	Cretaceous	K2pems		Grey-blue marly-silty sandstones, marl intercalations
	Cretaceous	$\mathrm{Km2}$		Grey-blue marly-silty sandstones, marl intercalations
	Cretaceous	Klm2		Cream-light green-grey glauconitic marly limestone, calcareous marl and marl
	Cretaceous	Kml2		Marl, silty marl, calcareous marl, marly limestone
	Jurassic	Jk1		Undivided
	Jurassic	JI2	Lar	Thick bedded to massive light grey-cream cherty limestone and dolomitic
			I	allocation
	Jurassic	J11	Lar	Medium bedded dark grey ammonite bearing marl, calcareous marl and marly limestone
	Jurassic	J.d	Dalichai	Light green-cream ammonite bearing marl. calcareous marl and marly limestone
	Terassic	Tr3Js	Shemshak	Shale, sandstone, siltstone, claystone, quartzite sandstone, conglomerate; coal
				bearing
$\operatorname{Palaeozoic}$	Permian	$\mathbf{Pr}$	Ruteh	Medium-thick bedded dark grey fusulina limestone, shale intercalations specially
				lowwards
	Carbonipher	CPmsl		Variegated red-brown-grey silty marl, calcareous sandstone, shales, thin-medium
	Carbonipher	Cm	Mobarak	bedded hinestone, mary innestone Thin-medium to thick bedded dark grey limestone

Table 1. Geological data of the study area.

Table 2. Land cover types of the study area.

Land cover code	Description	$\begin{array}{c} \mathrm{Area} \\ \mathrm{(km}^2) \end{array}$
0	Trees and garden plants	3.15
F1	Dense forest lands	548.773
IO	Blended agriculture and gardens	7.121
F2	Semi-dense forest lands	1.5
I1	Irrigated agriculture	44.305
U1	Residential area	1.586
OI	Garden blend and agriculture	47.906
FO	Blended forests and orchards	71.766
DF	Dry land	73.935

angle increases, shear stress in the soil or other un-consolidated material generally increases (Ahmed 2009). The slope degree in the Sari-Kiasar watershed varies from 0 to 79°. For the present study, the slope angle was subdivided into 7 classes:  $0-6^{\circ}$ ,  $6-12^{\circ}$ ,  $12-18^{\circ}$ ,  $18-24^{\circ}$ ,  $24-30^{\circ}$ ,  $30-40^{\circ}$  and higher than  $40^{\circ}$  (Althuwaynee *et al.* 2014) (figure 3b).

Slope aspect: The aspect is viewed as a pertinent factor for precariousness since it controls some climatic parameters, e.g., moisture and soil temperature due to solar radiation (Yilmaz 2009; Conforti *et al.* 2013). The amount of rainfall on a slope may also vary depending on its aspect (Raghuvanshi *et al.* 2015). This study produced thematic maps including slope aspect. The slope aspect map is divided into nine classes which incorporate with flat class, north, south, east, and west. These classes are based on the principle directions (Shahabi *et al.* 2014) (figure 3d).

**Curvature**: The surface curvature at a point is the curvature of a line formed by the intersection of the surface with a plane with a specific orientation, passing through this point (Evans 1979; Zevenbergen and Thorne 1987; Wilson and Gallant 2000). The curvature or slope shape has three categories: concave (negative values), convex (positive values) and flat (zero value). Stocking (1972) expressed that convex slopes are more stable than concave slopes, because runoff disperses equally and easily down the convex slopes. Concave slopes, which have a tendency to hold moisture, are prone to landslides (Sujatha *et al.* 2012).

**Plane curvature**: The plane curvature is the curvature in a horizontal plane (Crosby 2006). The plane curvature is positive for cells with

convex contours and negative for cells with concave contours (Crosby 2006). In particular, the plane curvature controls the convergence or divergence of water in the direction of landslide motion (Carson and Kirkby 1972). In the study area, plane curvature was subdivided into three classes: lower than -0.01, -0.01 to 0.006 and higher than 0.006(Ozdemir and Turoglu 2007) (figure 31) and both the concave and convex slopes highlight a fairly homogeneous distribution while the 55.76% of the Sari-Kiasar catchment falls in the flat area.

**Profile curvature**: The profile curvature is the curvature of the surface in the direction of the steepest slope (in the vertical plane of a flow line) (Ayalew *et al.* 2004). The profile curvature affects the flow velocity of water draining the surface and influences the erosion and deposition (Zevenbergen and Thorne 1987). For the present study, the profile curvature was divided into three classes: lower than -0.01, -0.01 to 0.006 and higher than 0.006 (Ozdemir and Turoglu 2007) (figure 3k).

**General curvature**: The general curvature (also called total curvature or standard curvature) combines both the profile and plane curvatures (Zevenbergen and Thorne 1987). The general curvature can be positive or convex (indicating peaks), negative or concave (indicating valleys) or zero (indicating flat surface) (Zevenbergen and Thorne 1987). For the present study, the general curvature was divided into three classes: lower than -0.01, -0.01 to 0.006 and higher than 0.006 (Ozdemir and Turoglu 2007) (figure 3j).

# 3.2.2 Geological factors

**Lithology**: This factor shows the physical characteristics of the rock. Different lithological units have a different infiltration. The 28 distinctive lithological units in the study area were digitised from 1:250,000 scale geology maps arranged by the Geological Survey of Iran (GSI) (figure 3q). The main units of lithology in this region consist of limestone, dolomite, shale, siltstone, sandstone, marl, tuff, conglomerate, anhydrite, salt and their different combinations.

**Distance from fault**: Discontinuity of rock and soil as a fault is the principal factor affecting landslides (Wu *et al.* 2014; Raghuvanshi *et al.* 2015). More distance from the fault has the lower landslide occurrences probability (Shahabi *et al.* 2014). In the present study, the distance from the

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Table 3.	The results	of $FR$ ,	$FG(\gamma =$	= 0.975)	and LIM	models for	$r \ spatial$	relationship	between	factors
and land	slide.									

		Landslide	$\mathbf{FR}$		Fuzzy
Class	Class%	(%)	value	Wi	membership
Elevation (m	)				
<100	0.01	0.000	0.00	0.00	0.00
100-300	9.78	22.890	2.34	0.85	1.00
300-600	36.83	56.620	1.53	0.42	0.65
600-900	34.96	13.250	0.38	-0.96	0.16
900-1100	11.32	0.000	0.00	0.00	0.00
1100-1300	4.71	3.610	0.76	-0.27	0.32
>1300	2.36	0.000	0.00	0.00	0.00
~					
Slope aspect	10.00	10.040			0.4
Flat	10.30	10.840	1.05	0.05	0.47
North	18.39	13.250	0.72	-0.32	0.19
Northeast	5.41	3.610	0.66	-0.4	0.14
East	15.79	12.040	0.76	-0.27	0.22
Southeast	5.49	8.430	1.53	0.42	0.89
South	14.49	24.090	1.66	0.5	1.00
Southwest	5.02	6.020	1.19	0.18	0.59
West	17.89	18.070	1.01	0.01	0.44
Northwest	7.17	3.610	0.50	-0.68	0.00
SPI					
>10	35.50	28.916	0.81	-0.2	0.003
10-20	13.85	12.048	0.87	-0.13	0.04
20-30	7.14	13.253	1.86	0.62	0.74
30-40	4.42	3.614	0.82	-0.2	0.006
40-50	3.25	7.229	2.23	0.8	1.00
>50	35.85	34.940	0.97	-0.02	0.11
Distance from	n drainage (m)				
0 - 100	15.92	30.120	1.89	0.63	1.00
100 - 200	12.60	18.070	1.43	0.36	0.75
200 - 400	22.18	26.500	1.19	0.17	0.62
400-700	21.98	18.070	0.82	-0.19	0.43
700 - 1000	11.55	6.020	0.52	-0.65	0.27
1000 - 1500	9.69	1.200	0.12	-2.08	0.06
>1500	6.05	0.000	0.00	0.00	0.00
Dist. faults (	m)				
0-200	27.71	25.300	0.91	-9.1	0.30
200-400	21.09	24.090	1.14	13.5	0.66
400-600	15.88	13.250	0.83	-18.1	0.17
600-1000	18.64	25.300	1.35	30.5	1.00
>1000	16.71	12.040	0.72	-32.7	0.00
Slope degree					
0-6	25.98	25.30	0.97	-0.02	0.600
6 - 12	18.83	19.27	1.02	0.02	0.725
12–18	20.27	20.48	1.01	0.01	0.700
18 - 24	14.86	16.86	1.13	0.12	1.000
24 - 30	9.88	7.22	0.73	-0.31	0.000
30 - 40	7.96	8.43	1.06	0.05	0.825
>40	2.18	2.41	1.1	0.09	0.925

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Table 3. (Continued.)

		Landslide	$\mathbf{FR}$		Fuzzy		
Class	Class%	(%)	value	Wi	membership		
Lithology co	de	× /					
Cm	0.10	0.00	0.00	0.00	0.00		
CPmsl	0.18	1.20	6.74	1.9	1.00		
Jd	0.06	0.00	0.00	0.00	0.00		
JKl	0.31	0.00	0.00	0.00	0.00		
Jl1	0.24	0.00	0.00	0.00	0.00		
Jl2	0.28	0.00	0.00	0.00	0.00		
K2Pems	0.33	0.00	0.00	0.00	0.00		
Klm2	4.90	2.41	0.49	-0.7	0.07		
Km2	1.61	1.20	0.75	-0.29	0.11		
Kml2	2.50	1.20	0.48	-0.72	0.07		
Mg	0.04	0.00	0.00	0.00	0.00		
Mm1	0.64	2.41	3.78	1.32	0.56		
Mm2	5.52	0.00	0.00	0.00	0.00		
Mms	4.76	2.41	0.51	-0.68	0.07		
Mmsl23	57.99	45.78	0.79	-0.23	0.11		
OMmg1	0.53	0.00	0.00	0.00	0.00		
Pel	4.46	6.02	1.35	0.3	0.2		
Pelm	1.02	0.00	0.00	0.00	0.00		
Pemls	3.13	0.00	0.00	0.00	0.00		
Pems	0.58	0.00	0.00	0.00	0.00		
Plc	0.54	0.00	0.00	0.00	0.00		
Plmc	0.12	0.00	0.00	0.00	0.00		
PlQcs	4.26	20.48	4.81	1.57	0.71		
PlQmc	0.65	0.00	0.00	0.00	0.00		
Pr	0.18	0.00	0.00	0.00	0.00		
Q	4.79	16.87	3.52	1.26	0.52		
TR3Js	0.13	0.00	0.00	0.00	0.00		
V	0.15	0.00	0.00	0.00	0.00		
DVI							
<30	7.57	24.10	3.18	1.15	0.88		
30-60	11.12	39.76	3.57	1.27	1.00		
60-90	71.35	33.73	0.47	-0.75	0.07		
>90	9.95	2.41	0.24	-1.4	0.00		
Settlement density							
Very low	73.6	39.760	0.54	-0.61	0.16		
Low	18.3	38.550	2.10	0.74	0.64		
Mod. low	5.57	18.070	3.24	1.17	1.00		
Moderate	1.82	3.610	1.98	0.68	0.61		
Mod. high	0.51	0.000	0.00	0.00	0.00		
High	0.03	0.000	0.00	0.00	0.00		
Very high	0.00	0.000	0.00	0.00	0.00		
Land use							
DF	9 16	21.680	2.36	0.86	0.38		
FO	8.97	7 230	0.80	-0.21	0.13		
I1	5 53	8 430	1.52	0.42	0.10		
IO	0.89	3 610	4 05	1 4	0.65		
0	0.39	2.410	6.18	1.1	1 00		
- F1	68.5	31,320	0.45	-0.78	0.07		
OI	5.99	25.300	4.22	1.44	0.68		
	-	-					

Table 3. (	Continued.)
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		Landslide	$\mathbf{FR}$		Fuzzy
Class	Class%	(%)	value	Wi	membership
U1	0.19	0.000	0.00	0.00	0.00
F2	0.18	0.000	0.00	0.00	0.00
Plane curv.					
< -0.01	21.89	20.48	0.94	-0.06	0.11
-0.01 - 0.006	55.76	59.03	1.06	0.05	1.00
>0.006	22.35	20.48	0.92	0.00	-0.02
General curv.					
< -0.01	45.2	42.17	0.93	-0.07	0.53
-0.01 - 0.006	10.3	7.229	0.70	-0.35	0.00
>0.006	44.4	50.602	1.14	0.13	1.00
<b>Temp.</b> (°C)					
<13.2	1.43	0.00	0.00	0.00	0.00
13.2 - 13.6	5.56	2.41	0.43	-0.84	0.30
13.6 - 14	36.72	25.30	0.69	-0.37	0.48
14 - 14.4	48.47	61.44	1.26	0.23	0.88
14.4 - 14.8	7.57	10.84	1.43	0.35	1.00
Dist. from road	d (m)				
0 - 100	14.0	27.71	1.97	0.68	0.91
100 - 200	10.7	19.27	1.79	0.58	0.81
200-300	7.87	16.86	2.14	0.76	1.00
300 - 400	10.4	14.45	1.38	0.32	0.59
400 - 500	7.20	7.23	1.00	0.00	0.38
>500	49.6	14.45	0.29	-1.23	0.00
Rainfall (mm)					
<400	2.25	0.00	0.00	0.00	0.00
400 - 450	7.93	4.82	0.61	-0.49	0.24
450 - 500	28.22	8.43	0.30	-1.29	0.12
500 - 550	38.73	28.91	0.75	0.00	0.29
550-600	22.00	56.62	2.57	0.94	1.00
>600	0.86	1.20	1.39	0.33	0.54
STI					
0-10	53.1	50.60	0.95	-0.05	0.61
10-20	16.3	21.68	1.32	0.28	0.85
20-30	7.73	12.05	1.56	0.44	1.00
30-40	4.76	6.02	1.27	0.23	0.81
40-50	3.30	1.20	0.36	-1	0.23
50-60	2.42	0.00	0.00	0.00	0.00
60-70	1.83	2.41	1.31	0.27	0.84
70-80	1.41	1.20	0.85	-0.15	0.55
>00	9.00	4.82	0.55	-0.03	0.34
Profile curv.	44.0	F9 01	1.00	0.10	1.00
< - 0.01	44.2	53.01	1.20	0.18	1.00
-0.01-0.006	11	6.02	0.54	-0.61	0.00
>0.006	44.7	40.96	0.92	-0.08	0.57

fault map was constructed and divided into seven classes including 0-200, 200-400, 400-600, 600-1000 and higher than 1000 m (figure 3g).

Land use/land cover: It has additionally been demonstrated that land uses assume a vital role in the instability of slope in a few regions (Koukis



Figure 2. Example of landslides detected by multiple field survey and aerial photographs in the study area.

and Ziourkas 1991; Yalcin 2008). The land that is barren and sparsely vegetated is prone to weathering, erosion and slope instability (Raghuvanshi et al. 2015). Regarding land use and vegetation can be partitioned into 10 units, including dry lands (DF-73.355 km<sup>2</sup>), dense forest lands (F1-548.773 km<sup>2</sup>), semi-dense forest lands (F2-1.5 km<sup>2</sup>), blended forests and orchards (FO-71.766 km<sup>2</sup>), irrigated agriculture (I1-44.305 km<sup>2</sup>), blended agriculture and gardens (IO-7.121), trees and garden plants (O-3.15 km<sup>2</sup>), garden blend and agriculture (OI-47.906 km<sup>2</sup>) and residential area (U1-1.586 km<sup>2</sup>) (figure 3n).

**Divergence vegetation index**: Distinctive densities, classes and distributions of green plants may turn into predominant factors in landslide events (Chou *et al.* 2009). The diversity of vegetation in the study area was obtained using Landsat images and the use of DVI reiterated the important role played by vegetation in retaining soil moisture and holding soil together because of which they play an imperative role in preventing landslide occurrence. For the present study, the DVI was subdivided by expert opinion based on the density of vegetation in the study area into four classes: <30, 30-60, 60-90 and >90 (figure 3c).

# 3.2.3 Hydrological factors

Hydrological factors used in the present study are temperature, rainfall, distance from drainage, SPI and STI (figure 3).

**Temperature**: Increasing the air temperature can have contrasting consequences on the slope stability. A higher air temperature will expand evapotranspiration on vegetated slopes (Crozier 2010; Senatore *et al.* 2011), producing positive effects on slope stability, through a reduction of the antecedent water conditions (Comegna *et al.* 2013). The average annual temperature over a long period of time (30 yr) in the Sari-Kiasar watershed is from 12.41 to 14.48°C (Meteorological Organization of Mazandaran Province 2013). For the present study, the temperature was subdivided into five classes: lower than 13.2°C, 13.2–13.6°C, 13.6–14°C, 14– 14.4°C and 14.4–14.8°C (figure 3e).

**Rainfall**: The rainfall accelerates landslide occurrences by increasing the weight of soil mass and reducing the strength (Cascini *et al.* 2011; Lee and Chi 2011) as precipitation is the primary factor to trigger a landslide (Wu *et al.* 2014). Most landslides have the immediate association with precipitation in the Mazandaran province (Geological Survey of Iran 2013). The mean annual precipitation of 25 yr from 1990 to 2015 for five rain-gauge stations was selected and due to the lower RMSE of the proposed interpolation, the rainfall map was produced by using IDW interpolation method. For the present study, the rainfall was subdivided into six classes includes lower



Figure 3. Landslide contributing-factor layers produced for the study area: (a) elevation, (b) slope angle, (c) DVI, (d) aspect, (e) temperature, (f) rainfall, (g) distance from fault, (h) distance from road, (i) distance from drainage, (j) curvature, (k) profile curvature, (l) plane curvature, (m) settlement density, (n) land use, (o) STI, (p) SPI and (q) lithology.



Figure 3. (Continued.)



Figure 3. (Continued.)

(q)

Mg Mm1

53°6'E

Kilometers

7

than 400, 400–450, 450–500, 500–550, 550–600 and higher than 600 mm (Eshghabad *et al.* 2012) (figure 3f).

**Distance from drainage**: The distance from the drainage can impact on the stability of slopes as water action on the slopes and erosion of the groundmass are more near rivers (Pham *et al.* 2017) or by saturating the lower part of the material in a hill-slope (Yalcin 2008). The study area was divided into five different buffer ranges including 0–100, 100–200, 200–400, 400–700, 700–1000, 1000–1500 and greater than 1500 m (Akgun and Turk 2010; Pourghasemi *et al.* 2013; Hong *et al.* 2015) (figure 3i).

**Stream Power Index**: This conditioning factor measures the eroding power of water (Wilson and Gallant 2000; Althuwaynee *et al.* 2012). A direct relationship of SPI with slope failures shows the more SPI value and the highest landslide occurrence probability (Akgun and Turk 2010; Althuwaynee *et al.* 2014). This index was derived from DEM using equation (1) (Moore *et al.* 1991):

$$SPI = A \times \tan \beta. \tag{1}$$

In which A is the slope upstream area to a drainage point  $(m/m^2)$  and  $\beta$  is the slope angle at that point (radian).

As the specific catchment area and gradient increase, the amount of water contributed by upslope areas and the velocity of the water flow increase; hence, the SPI and slope-erosion risk will be increased (Moore *et al.* 1991). For the present study, the SPI value was subdivided by expert opinion into six classes: 0–10, 10–20, 20–30, 30–40, 40–50 and higher than 50 (Akgun and Turk 2010; Pourghasemi *et al.* 2012a, b, 2013) (figure 3p).

Sediment Transport Index: The STI is another index frequently used to demonstrate the land flow erosive power (Renard *et al.* 1997; Pourghasemi *et al.* 2012a, b). The STI factor in the Universal Soil Loss Equation is a measure of the sediment transport capacity of the overland flow (Moore and Wilson 1992; Pourghasemi *et al.* 2012a, b, 2013) and is calculated as follows:

STI = 
$$\left(\frac{A}{22.13}\right)^{0.6} \times \left(\frac{\sin(\beta)}{0.0896}\right)^{1.3}$$
, (2)

where A is the specific catchment area and  $\beta$  is the slope gradient.

This empirical formula resembles the Universal Soil Loss Equation and thus can be used to depict locations of potential erosion risk (Moore and Burch 1986). STI has been derived from DEM using the SAGA 2.2 software. For the present study, the STI value was subdivided into nine classes: 0–10, 10–20, 20–30, 30–40, 40–50, 50–60, 60–70, 70–80 and >80 (Pourghasemi *et al.* 2012a, b, 2013) (figure 30).

#### 3.2.4 Anthropogenic factors

Some anthropogenic factors that is used in the current study are the distance from road and density of settlement (figure 3).

**Distance from road**: Excavation of roads creates instability in the surrounding rock mass and ground slope (Chang and Wan 2014), thus, the more the distance from the road, the lower landslide occurrences (Yalcin 2008). After producing this layer in ArcGIS10.1 using Multi-ring buffer command, it is divided into 0–100, 100–200, 200–300, 300–400, 400–500 and >500 m (Pourghasemi *et al.* 2013) (figure 3h).

**Density of settlement**: Human movement, such as road excavation and cutting a slope to build a house, is the primary component influencing the landslide occurrences (Wu *et al.* 2014). It is expected that if the alternate conditions are provided for the event of landslides, construction factor will be enhanced susceptibility to landslides. For the present study, the density of settlement was subdivided into seven classes including very low, low, moderately low, moderate, moderately high, high and very high (figure 3m).

#### 4. Methodology

In the present study, FR, FG and LIMs were applied into the landslide susceptibility mapping at the Sari-Kiasar watershed. The main assumption of the present study is to model the possible occurrence of a landslide in the future using the same conditions of a past landslide (Lee and Pradhan 2006). This approach provides the steps in the flowchart given in figure 4.

#### 4.1 Frequency ratio

FR model was applied in order to evaluate the landslide susceptibility of the case study based on the observed spatial relationship between landslide



Figure 4. Flowchart of the proposed approach.

occurrences and conditioning factors. The FR is the ratio of the probability of the presence to the absence of landslide occurrence (Lee and Pradhan 2007; Yilmaz 2009). The advantages of this model are that it can be simply implemented and its result is completely easy to comprehend (Yalcin *et al.* 2011; Ozdemir and Altural 2013). FR is expressed in equation (3), and landslide susceptibility index (LSI) was calculated by sum of each factor's ratio value using the following equation (equation 4) (Lee and Sambath 2006; Lee and Pradhan 2007; Park *et al.* 2013; Hong *et al.* 2015; Khosravi *et al.* 2016a):

$$FR = \left[ N_{\text{pix}} \left( SX_i \right) \middle/ \sum_{i=1}^{m} SX_i \right] \\ \left/ \left[ N_{\text{pix}} \left( X_j \right) Big / \sum_{j=1}^{n} N_{\text{pix}} \left( X_j \right) \right], \quad (3)$$

$$LSI = \sum_{j=1} FR \tag{4}$$

where  $N_{\text{pix}}(SX_i)$  is the number of pixels with landslides within class *i* of factor variable *X*,  $N_{\text{pix}}(X_j)$  is the number of pixels within factor variable  $X_j$ , *m* is the number of classes in the parameter variable  $X_i$  and *n* is the number of factors that were selected for modelling in the study area (Regmi *et al.* 2013; Jaafari *et al.* 2014). In FR, the ratio is that of the area where the landslide occurred to the total area. Thus, a value of 1 is an average value or threshold (Akgun and Bulut 2007). A value of lower than 1 shows a lower correlation, whereas values higher than 1 mean a higher correlation (Akgun and Bulut 2007; Park *et al.* 2013).

#### 4.2 Ensemble of FG method with FR

Fuzzy logic is an attractive method as it is straightforward to understand and implement (Zadeh 1965; An *et al.* 1991). It can be used with data from any measurement scale and the weighting of evidence can be controlled by the expert. The fuzzy logic method allows for more flexible combinations of weighted maps and can be readily implemented with a GIS modelling language (Ilanloo 2011). The idea of fuzzy logic (Zadeh 1965) is to consider the spatial objects on a map as members of a set. In classical set theory, an object is a member of a set if it has a membership value of 1, or not a member if it has the membership value of 0. In this study, fuzzy membership values have been assigned based on FR model (table 3). Each factor is standardised between 1 and 0 to produce fuzzy membership values. A variety of operators can be employed to combine the membership values when two or more maps with fuzzy membership functions for the same set are available, including fuzzy AND, fuzzy OR, fuzzy algebraic product, fuzzy algebraic sum and FG operator (An et al. 1991). In the current study, FG operator was applied for combining the fuzzy membership functions as its prediction capability was proved in the landslide susceptibility mapping (Ramesh et al. 2016).

For fuzzy analysis, at first, the weights of FR were standardised (Lefteri and Robert 1997) and then have been used as an input data for fuzzy analysis. Given at least two maps with fuzzy membership functions,  $\mu_A(x)$ ,  $\mu_B(x)$ , ...,  $\mu_N(x)$ , for the similar set, a variety of operators, e.g., FG can be utilised to consolidate the membership values as follows (equation 5) (An *et al.* 1991; Chung and Fabbri 2001; Eastman 2003):

Fuzzy [GAMMA] : 
$$\mu_{\gamma}(x)$$
  
=  $[\mu_{\text{SUM}}(x)^{\gamma}] \times [\mu_{\text{PRODUCT}}(x)]^{1-\gamma}$ . (5)

Gamma fuzzy operator is the general method of augmentation and expansion operators. This operator, by choice of the gamma value, expanding and diminishing parameters joined in the meantime and accomplishing the values in the output. Subsequent from the flexible compatibility, there are rising or falling propensities of fuzzy multiplication and  $\gamma$  is variable between zero and one. In this method for the fuzzy 'GAMMA' operator, the  $\gamma$  value of 0.9, 0.95 and 0.975 was assigned for producing a landslide susceptibility index (table 3).

#### 4.3 Landslide index method

The LIM method has been introduced by Van Westen (1997) for landslide susceptibility mapping. This method requires important selected parameters for classification. Therefore, it can imply their classification into relevant classes, and finally to overlaying the landslide inventory map with each conditioning factor (Van Westen 1997; Ruff and Czurda 2008). Weight values for each class of each factor are calculated as the natural logarithm of landslide density of the class divided by the total landslide density of the study area (Van Westen 1997; Ozdemir and Turoglu 2007; Safari and Moghimi 2009; Yalcin *et al.* 2011; Nezhadali *et al.* 2013; Santos 2013). In this study, the *Wi* values for each class of each conditioning factor map were obtained quantitatively using the following formula (Van Westen 1997; Ozdemir and Turoglu 2007):

$$\ln Wi = \ln \left( \frac{\text{Densclas}}{\text{Densmap}} \right)$$
$$= \ln \left( \frac{N \text{pix}(\text{Si}) / N \text{pix}(\text{Ni})}{\sum N \text{pix}(\text{Si}) / \sum N \text{pix}(\text{Ni})} \right), \quad (6)$$

where Wi (the weight given to a certain conditioning factor class, e.g., a rock type, or a slope class), Densclas is the landslide density within the conditioning factor class, Densmap is the landslide density within entire map, Npix(Si)is number of pixels, which contain landslides, in a certain conditioning factor class, Npix(Ni) is the total number of pixels in a certain conditioning factor class.

#### 4.4 Model validation

In this study, 75% of landslide locations were used for model building and the remaining 25% of landslide locations or testing datasets, which were not used in the training phase, were used to evaluate the prediction capability of the models. The receiver operating curve (ROC) is one of the most popular techniques to evaluate the model's efficiency and area under the ROC curves (AUC) can calculate to model accuracy quantitatively (Metz 1978; Morrison 2005). In fact, the AUC represents the predicted value of the system through the description of its ability to correctly estimate the events that have occurred (landslides) and the events that have not occurred (no landslides). The ideal model has the most area under the curve and AUC values vary from 0.5 to 1 (Chung and Fabbri 2003; Lee and Dan 2005; Pradhan and Lee 2010). The model with higher AUC is considered to have the better performance and its classifications are as follows (0.90-1.0 excellent, 0.80-0.90)good, 0.70-0.80 fair, 0.60-0.70 poor and 0.50-0.60

# 5. Results and discussion

This study has analysed the relationship between 17 conditioning factors and landslide occurrence and the FR ratio, fuzzy membership and Wi values were calculated. The weight of each class in all 17 factors in landslides is shown in table 3.

#### 5.1 Frequency ratio

The FR method was produced using the weights of each class of each conditioning factor. For elevation, the high FR was observed in 100–300 m class (FR = 2.34) (table 3). The possible causes for the concentration of the landslide occurrences in this class can be correlated with the residential area, road and mine excavation, and areas with scattered forest at this class. For elevation higher than 300 m due to the dense vegetation and far from roads and residential areas, landslide occurrences have been reduced. In the case of slope angle, high FR belongs to  $18-24^{\circ}$  class (FR = 1.13), it showed that by increasing the slope angle to 24°, the FR of landslide occurrences generally increases. At slope higher than 24° due to less water infiltration, landslides occurrence reduced. The results of the aspect revealed that the concentration of landslides in the slope, facing towards the south and southwest directions may be related to the higher slope in the south and southwest direction areas. The result of FR for plane curvature showed a similar landslide distribution both on concave and convex slopes. The landslide index showed a value of 20.48% for both the concave and convex slopes. The relationship between landslides and profile and general curvature maps indicated a similar landslide distribution both on concave and convex slopes as well. In the profile curvature and general curvature, the landslide index depicted a value of 53.01%and 42.17% for concave slopes and 40.96% and 50.6% for convex slopes, respectively. In particular, it was observed that a complex and flow-type landslide falls on concave slopes in the profile curvature and on convex slopes in the general curvature as well.

In the case of lithology, 4 units had the highest FR of occurrence of landslides in the case study including: Q (alluvium), CPmsl (variegated red-brown-grey silty marl, calcareous sandstone, shales, thin-medium bedded limestone, marly limestone), Mm1 (homogeneous ochre coloured marls), PlQcs (conglomerate, sandstone, siltstone, silty marl). Less resistance lithology units such as alluvium, marl, marlstones, sandstones and conglomerate are very susceptible to landslides.

In LULC, the high frequency of landslides was observed in the garden blend with agriculture (OI) class that prone to landslide occurrences. The main reason is that the other factor controls the landslide occurrences in the study area which is more stronger than LULC, such as slope angle (18-24°), elevation (100–300 m), moderate vegetation cover and lithology (Q, CPmsl, Mm1 and PlQcs) at these classes. The frequency of STI and SPI factor classes shows that these factors have a positive impact on the occurrence of landslides as, the more the SPI and STI, the higher is the probability of landslide occurrences. There is not much of a difference between the maximum and minimum temperature in this study area; therefore, temperature as a factor has not been included in preparing landslide susceptibility mapping. Landslide occurrences were observed when rainfall is in the range of 550–600 mm (FR = 2.57). The result of the FR method showed that more rainfall is associated with higher landslide occurrence. According to the vegetation factor in the present study, the DVI showed that with increasing dense vegetation, the frequency of landslides generally decreases. As a general rule, the greater the distance from roads, rivers and faults, the less the landslide FR. In the study area, the distance from the road and the river confirms this issue, but there is no significant relationship between the frequency of landslides and classes of the distance from the fault factor. Most landslides occur near the road (0-300 m) and river (0-100 m). Three classes of very low, low and moderate to low cover 96.47% of the total area and in this portion 95.37% of landslide occurrence and high and very high classes cover 3.53% of the total areas. The high frequency of landslides in this region occurs near the settlement and the more the settlement density, the higher landslide occurrences probability (figure 5a).

# $5.2 \ FG \ method$

Fuzzy membership values were calculated according to the pixel values tabulated in table 3. In the case of fuzzy 'GAMMA' operator, the three common  $\gamma$  values of 0.9, 0.95 and 0.975 were



Figure 5. The landslide susceptibility map of the study area of FR, FG operator ( $\gamma = 0.975$ ) and LIM models.

assigned for producing a landslide susceptibility index. At first, the weights from FR in each class of factor were standardised by using the fuzzy membership tool and then have been used as an input data to fuzzy analysis. In this study, fuzzy membership values have been assigned based on the FR model (table 3). In each factor, higher to lower FR was standardised between 1 and 0 to produce the fuzzy membership values. For instance, in elevation factor the FRs for seven classes are 0, 2.34, 1.53, 0.38, 0, 0.76 and 0, respectively, and are given in table 3 and in the fuzzy membership column they are 0, 1, 0.65, 0.16, 0, 0.32 and 0, respectively. Among the  $\gamma$  values, the  $\gamma$  value of 0.975 was shown to be the best result for producing a landslide susceptibility index. The maps resulting from the FG model were shown in figure 5(b).

#### 5.3 Landslide index method

Wi values were calculated according to the pixel values tabulated in table 3. Comparing the result of this model with the FR method showed a high degree of correlation; in general, the class with the highest weight and lowest weight was similar in both models with little difference. In the case of elevation, landslide mostly occurred in the elevation range of 100–300 m (Wi = 0.85). For the slope angles  $18-24^{\circ}$ , the Wi value was 0.12, which illustrated that the more the slope angle, the higher the susceptibility to landslide occurrence. Also, the impact of the aspect was evaluated as a contributor to landslide occurrence. For the slope aspect, Wi value was highest (0.5) for the class of south. The highest Wi values for rainfall classes belonged to the area of 550–600 mm precipitation with a ratio of 0.94. For SPI and STI, the Wi values were highest 0.8 and 0.44 for the classes of 40–50 and 20–30, respectively. For LULC, the highest Wi value of 1.44 was achieved for the garden blend and agriculture (OI) class which amplified the landslide occurrences. The most effective class of distance from the river was 100 m with a Wivalue of 0.63. The geological layers revealed that the most slide events in the study area occurred in the Q, CPmsl, Mm1 and PlQcs units with Wivalues of 1.26, 1.9, 1.32 and 1.57, respectively. In the case of distance from roads, the higher Wi values 0.68, 0.58 and 0.76 were found to be between 0and 100, 100 and 200 and 200 and 300 m, respectively. For DVI, the higher Wi values 1.15 and 1.27 were found to be between 0 and 30 and 30 and 60 classes, respectively, and the result shows that by



Figure 6. The success and prediction curve of FR, FG operator ( $\gamma = 0.975$ ) and LIM by ROC curve.

increasing the dense vegetation, the frequency of landslides generally decreases. Also, results demonstrated that there is a negative correlation between landslide occurrence and temperature and distance from the fault, thus, these conditioning factors were omitted. The final values of pixels change between 0 (no susceptibility) and 4.17 (high susceptibility) (figure 5c).

In particular, the coefficient that belongs to the conditioning factors 'precipitation, slope and vegetation' has a stronger effect on the development of landslides than any other conditioning factors in the region of the Sari-Kiasar watershed.

The classification of the final map into different susceptibility classes is very difficult. The landslide susceptibility map was obtained and the

Table 4. Results of validation of output maps by of FR, FG operator ( $\gamma = 0.975$ ) and LIM models.

	AUC (%)				
Model	Success rate	Prediction rate			
FR	82.04	82.72			
FG 0.9	74.71	74.45			
$FG \ 0.95$	77.69	76.87			
FG 0.975	81.08	79.09			
LIM	71.63	65.45			



Figure 7. FR plots of six landslide susceptibility zones of the three models.

study area was divided into six classes of landslide susceptibility: lacking, very low, low, medium, high and very high (figure 6).

#### 5.4 Validation and comparison of LSM

In this study, the success and prediction of ROC curve and AUC have been used for the model validation process. The success rate has been constructed using the training dataset (75%) and it cannot be used to show a model prediction capability (Khosravi *et al.* 2016a, b). Success rate results of the models are shown in figure 6(a), which indicate a higher prediction capability for the FR model (82.04%) followed by FG 0.975 (81.08%) and LIM model (73.61%). The prediction rate has been constructed using the testing dataset (25%). The AUC values for prediction rate curves are also shown in figure 6(b). The AUC of the models for the testing phase is 82.72%, 79.09% and 65.45% for FR, FG (0.975) and LIM methods, respectively (figure 6b and table 4). The results also showed that the FR model has higher prediction accuracy than that of Landslide index and FG model to landslide prediction in the Sari-Kiasar watershed.

For further comparison of the models, a frequency curve (percentage of landslide occurred in each zone divided by the zone area percentage) of the three models was plotted in figure 7. Theoretically, the frequency of the occurrence of landslides of different zones should increase from lacking and very low to very high susceptible zone, where the rate of increase is much higher for the classes of high and very high susceptible zones (Pradhan and Lee 2010). Based on this point, figure 7 shows that the FR, FG and LIM methods will differentiate the high and very high classes from the other classes.

#### 6. Conclusion

The main aim of the current research is the prediction capability of three models, namely FR, FG and LIM for determination of the landslideprone areas in Sari-Kiasar watershed. In the first step, 105 landslide locations have been recognised and divided into two categories randomly of 78 locations for modelling (75%) and 27 locations for model validation (25%). In the next step, 17 landslide conditioning factors including geological factors (land use, DVI, lithology and distance from faults), geomorphological (elevation, slope aspect, slope angle, tangential curvature, profile curvature and plane curvature), hydrological (distance from drainage, rainfall, SPI, STI and temperature) and anthropogenic factors (distance from road, density of settlement) were prepared and mapped to the detection of spatial relationship between landslide occurrence and conditioning factors in the study area. A reliable and accurate susceptibility map depends on the inclusion and proper determination of the role of these conditioning factors. Of the 17 conditioning factors, the temperature has been omitted because there is no significant relationship between landslide occurrences with this factor. The most important conditioning factors are 'precipitation, slope and vegetation' which have a stronger effect on the landslide occurrences in the region of the Sari-Kiasar watershed. LSM were constructed by using FR, FG and LIM on the training dataset. Finally, the prediction capability of the performed model has been evaluated on the testing dataset by using the area under the ROC curve. The results show that the AUC for success rate of FR, FG 0.975 and LIM models were 82.04%, 81.08% and 73.61% and for prediction rate were 82.72%, 79.09% and 65.45%, respectively. The results show that the FR model has higher prediction accuracy than that of LIM and FG models. The LSM produced for the Sari-Kiasar watershed allow the identification of the most problematic areas and can predict future landslide locations where events have not been occurred previously; however, the high and very high susceptible zones should be examined in a larger scale study. The result of the current study has shown a great deal of importance for land use planning and watershed management.

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