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Citation	Yan, Chao et al. "Large Eddy Simulation of Flow and Scalar Transport in a Vegetated Channel." <i>Environmental Fluid Mechanics</i> 17.3 (2017): 497–519.
As Published	http://dx.doi.org/10.1007/s10652-016-9503-y
Publisher	Springer Netherlands
Version	Author's final manuscript
Citable link	http://hdl.handle.net/1721.1/109771
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1 **Large eddy simulation of flow and scalar transport**
2 **in a vegetated channel**

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6 Received: date / Accepted: date

7 **Abstract** Predicting flow and mass transport in vegetated regions has a broad
8 range of applications in ecology and engineering practice. This paper presents
9 large eddy simulation (LES) of turbulent flow and scalar transport within a
10 fully developed open-channel with submerged vegetation. To properly repre-
11 sent the scalar transport, an additional diffusivity was introduced within the
12 canopy to account for the contribution of stem wakes, which were not resolved
13 by the LES, to turbulent diffusion. The LES produced good agreement with
14 the velocity and concentration fields measured in a flume experiment. The sim-
15 ulation revealed a secondary flow distributed symmetrically about the channel
16 centerline, which differed significantly from the circulation in a bare channel.
17 **The secondary circulation accelerated the vertical spread of the plume both**
18 **within and above the canopy layer.** Quadrant analysis was used to identify
19 the form and shape of canopy-scale turbulent structures within and above the
20 vegetation canopy. Within the canopy, sweep events contributed more to mo-
21 mentum transfer than ejection events, whereas the opposite occurred above the
22 canopy. **The coherent structures were similar to those observed in terrestrial**
23 **canopies, but smaller in scale due to the constraint of the water surface.**

The work was supported by National Natural Science Foundation of China under Grants No. 11132005 and 11322221, and by US National Science Foundation Grant No. AGS-1005480. The authors would also like to thank Tsinghua National Laboratory for Information Science and Technology for the support in parallel computing.

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1 **Keywords** Vegetation canopy · Large eddy simulation · Turbulence
2 structures · Secondary flow · Scalar transport

3 **1 Introduction**

4 Vegetation is a fundamental component of aquatic ecosystems. By removing
5 nutrients from and releasing oxygen to the water column, vegetation improves
6 water quality [1,2]. Vegetation can locally reduce bed shear stress [3], sta-
7 bilizing the sediment and promoting carbon sequestration [4]. In the coastal
8 zone, seagrasses provide habitat for economically important shellfish, such as
9 clams and mussels [5,6]. Recognizing its positive ecological function, efforts
10 to restore aquatic vegetation have increased [7]. Many of the ecological pro-
11 cesses mediated by vegetation involve the transport of scalars. For example,
12 scalar transport between a submerged meadow and surrounding open water
13 may influence the overall rate of nutrient uptake by a meadow, the capture of
14 particulates within a meadow, or the recruitment of larvae to a meadow. The
15 transport of pollen between meadows can increase genetic diversity, which has
16 been shown to enhance meadow recovery after disturbance [8]. Despite the
17 ecological importance of scalar transport in regions of submerged vegetation,
18 only a relatively few studies have examined it [9,10]. Scalar transport within
19 vegetated flows is complex, because it is dependent on processes at several
20 scales, from individual blades to vegetation heterogeneity at the meadow and
21 landscape scales [11]. A deeper understanding of relevant dispersion processes
22 is needed to achieve a complete description of the ecological services provided
23 by vegetation.

24 The enhanced flow resistance provided by a canopy shapes the velocity
25 profile and the turbulence structure. For a submerged canopy, there are three
26 distinct regions [12]. In the lower canopy, von Karman vortex streets shed
27 by individual plant elements contribute to turbulent diffusion (e.g. Lightbody
28 and Nepf [11]). In the upper canopy and extending some distance above the
29 canopy, there is a mixing-layer within which Kelvin-Helmholtz (KH) vortices
30 dominate the mass and momentum exchange between the canopy and the
31 overflow (e.g. Ghisalberti and Nepf [13,14], Raupach et al. [15]). Finally, if the
32 water depth is sufficient, above the canopy, the mixing layer profile transitions
33 to a turbulent boundary layer (e.g. Nepf and Vivoni [16]).

34 Numerical simulations have been widely utilized to investigate vegetated
35 channel flows, including direct numerical simulation (DNS), Reynolds-averaged
36 Navier-Stokes (RANS), and large eddy simulation (LES). DNS solves the full
37 Navier-Stokes equations for all scales of fluid motion, but is computationally
38 demanding and only applicable to flow at relatively low Reynolds number.
39 RANS solves only the time-averaged governing equations, with the effects of
40 turbulence accounted for by a turbulence closure. In LES, the large-scale tur-
41 bulent motions are fully resolved, with the effect of small-scale motions repre-
42 sented by subgrid-scale models. López and García [17] performed RANS simu-
43 lations of flow through submerged vegetation using a two-equation turbulence

closure. They added an extra production term to reflect the stem-scale turbulence produced in the plant wakes. Using the Tanino and Nepf [18] model to represent stem-scale turbulence, King et al. [19] introduced an improved two-equation $k - \varepsilon$ model, which outperformed traditional $k - \varepsilon$ models in predicting turbulent kinetic energy (TKE) within the canopy layer. They suggested that their model could be a foundation for predicting scalar dispersion within dense vegetation canopies, an option that we explore in the current study. Okamoto and Nezu [20] performed LES of a vegetated open-channel flow under six different ratios of water depth to the canopy height. They found that the sweep and ejection motions associated with the KH vortices dominate the momentum and mass transport in the upper canopy, consistent with previous experimental observations [13, 14].

The goal of the present work is to further explore the effects of vegetation on flow and scalar transport in a fully-developed channel flow using LES. LES was chosen because, unlike RANS, it can explicitly represent the KH coherent structures that dominate scalar exchange at the top of the canopy [13, 14, 20]. In addition, flow in a rectangular channel generates secondary circulations that can affect scalar transport [21], and which cannot be reproduced by RANS models that use an isotropic eddy viscosity [22]. Importantly, the LES model used in the current study contains a new turbulent diffusivity term, based on Tanino and Nepf [18], that represents the contribution of stem-generated turbulence to turbulent diffusivity within the canopy. Section 2 describes the numerical and physical models. Section 3 compares the mean flow, turbulence statistics, and scalar concentration fields from the numerical simulations to measured data. Finally, Section 4 provides the conclusions and main findings.

2 The Governing Equations and Numerical Implementation

2.1 Numerical Model and Discretization Method

LES directly solves the larger scales of turbulent motion, called the resolved scales, which are separated from the sub-grid scales by a spatial filter. Application of a spatial filter to the incompressible Navier-Stokes equations yields:

$$\frac{\partial \tilde{u}_i}{\partial x_i} = 0 \quad (1)$$

$$\frac{\partial \tilde{u}_i}{\partial t} + \frac{\partial(\tilde{u}_i \tilde{u}_j)}{\partial x_j} = -\frac{1}{\rho} \left(\frac{dP^*}{dx_1} \delta_{i1} + \frac{\partial \tilde{p}}{\partial x_i} \right) + \frac{\partial}{\partial x_j} \left(v \frac{\partial \tilde{u}_i}{\partial x_j} + \tau_{ij} \right) + F_{Di} \quad (2)$$

in which the tilde indicates the filtered variables; ρ (998.2 kg m^{-3}) and ν ($1.004 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$) are the fluid density and kinematic viscosity, respectively; \tilde{u}_i ($\tilde{u}_1 = u$, $\tilde{u}_2 = v$, $\tilde{u}_3 = w$) represents the filtered velocity component in the x_i ($x_1 = x$, $x_2 = y$, $x_3 = z$) direction, respectively; \tilde{p} is the filtered pressure; and dP^*/dx_1 denotes the externally imposed streamwise pressure gradient that is adjusted at every time step to maintain a constant flow rate. **The subgrid-scale**

1 (SGS) stress tensor τ_{ij} is defined below. The body force term F_{Di} represents
 2 the drag exerted by vegetation, which is parameterized as the product of the
 3 drag coefficient C_D , the frontal area density a , and mean streamwise current
 4 speed:

$$F_{Di} = -\frac{1}{2}C_D a |U| \tilde{u} \quad (3)$$

5 in which U is the magnitude of the velocity. The passive scalar transport
 6 equation is solved as well:

$$\frac{\partial \tilde{c}}{\partial t} + \frac{\partial(\tilde{u}_i \tilde{c})}{\partial x_i} = \frac{\partial}{\partial x_i} \left(\tau_{ci} + \frac{v}{Sc} \frac{\partial \tilde{c}}{\partial x_i} + D_t \frac{\partial \tilde{c}}{\partial x_i} \right) + S \quad (4)$$

7 in which \tilde{c} denotes the filtered concentration, S is the scalar source term,
 8 τ_{ci} is the SGS scalar flux, and $Sc (= v/D)$ is the Schmidt number. Because
 9 the stem-scale turbulence is not resolved by the LES, a turbulent diffusivity
 10 D_t is introduced in Eq. (4) to reflect the effect of stem wake turbulence on
 11 mass transport. Tanino and Nepf [18] measured stem-wake turbulence and its
 12 contribution to turbulent diffusion in a model emergent canopy consisting of
 13 rigid circular cylinders of diameter d . For a sparse canopy, as is considered in
 14 this study, stem-scale eddies exist throughout the canopy, so that Eqs. (2.12)
 15 and (2.15) in Tanino and Nepf [18] reduce to:

$$\sqrt{k_t} = 1.1 U_p \left[C_D \frac{\phi}{(1-\phi)\pi/2} \right]^{1/3} \quad (5)$$

16

$$D_t = \alpha \sqrt{k_t} d \quad (6)$$

17 in which U_p is the mean velocity within the canopy, and ϕ is the solid volume
 18 fraction occupied by the canopy elements. For lateral diffusivity, Tanino and
 19 Nepf [18] reported α equal to 4.5. Within an array of vertical circular cylinders,
 20 the diffusivity is anisotropic, with $D_z/D_y \approx 0.26$ for $\phi = 0.05$ (based on data
 21 in Nepf et al. [23]), which is comparable to our value (Table 1), so that we
 22 estimate $\alpha = 1.2$ for vertical diffusivity.

23 The subgrid-scale (SGS) stress tensor $\tau_{ij} = \tilde{u}_i \tilde{u}_j - \widetilde{u_i u_j}$ captures the effect
 24 of the subgrid scales on the resolved scales, and it is modelled in terms of
 25 resolved velocity field using the Lagrangian dynamic model, i.e.

$$\tau_{ij} = 2\nu_t \widetilde{S}_{ij} + \frac{1}{3} \tau_{kk} \delta_{ij} \quad (7a)$$

26

$$\nu_t = (C_s \Delta)^2 \sqrt{2 \widetilde{S}_{ij} S_{ij}} \quad (7b)$$

27 in which $\Delta = \sqrt[3]{\Delta x \Delta y \Delta z}$ is the filter width, $\widetilde{S}_{ij} = (\partial \tilde{u}_i / \partial x_j + \partial \tilde{u}_j / \partial x_i) / 2$ is
 28 the resolved strain-rate tensor, ν_t is the SGS eddy viscosity, and C_s is the sub-
 29 grid model coefficient. The value of C_s is determined dynamically by invoking
 30 the Lagrangian dynamic procedure [24], which applies well in predicting flow
 31 over both aquatic canopies [25] and terrestrial canopies [26].

1 The SGS scalar flux $\tau_{ci} = \widetilde{u_i c} - \widetilde{u_i} \widetilde{c}$ is modelled based on the gradient-
 2 diffusion hypothesis, which relates the turbulent scalar flux to the mean gra-
 3 dient of the concentration as:

$$\tau_{ci} = \frac{v_t}{Sc_t} \frac{\partial \widetilde{c}}{\partial x_i} \quad (8)$$

4 Observations suggest that the turbulent Schmidt number $Sc_t = 0.47$ in canopy
 5 flows with shallow submergence [13]. Although experimental results and RANS
 6 simulations suggest that an eddy-diffusivity tensor is more appropriate to de-
 7 scribe the turbulent diffusion [27,28], previous studies have demonstrated that
 8 this standard gradient-diffusion model is valid in the framework of LES when
 9 dealing with cases in which the model fails to accurately predict passive scalar
 10 transport in RANS [29].

11 The spatial terms in the Navier-Stokes equations (1) and (2) are discretized
 12 using a cell-centered finite volume method (FVM) in semi-discrete form. Time
 13 integration is performed by Runge-Kutta scheme with fourth-order temporal
 14 accuracy. Further details about the numerical methods and validation can be
 15 found in Yan et al. [30]. Henceforth, tilde symbols used to denote resolved
 16 variables is ignored to simplify the notation.

17 2.2 Simulation set-up

18 The simulation recreates the laboratory experiment described in Ghisalberti
 19 and Nepf [13,31], which used a model canopy consisting of circular cylinders
 20 of height $h = 13.8$ cm and diameter $d = 0.64$ cm, and with canopy density a
 21 $= 8.0$ m⁻¹. Since the roughness density $\lambda = ah = 1.1 \gg 0.1$, this represents
 22 a dense canopy [32], for which the shear-layer turbulence could not penetrate
 23 to the bed. The canopy extended across the entire flume width ($w_f = 38$ cm),
 24 and the water depth was $H = 46.7$ cm. The measured drag coefficient was C_D
 25 $= 0.66$ (Ghisalberti and Nepf [31], Table 1). In the experiment of Ghisalberti
 26 and Nepf [13], neutrally buoyant dye was continuously injected from twelve
 27 needles spaced 3.5 cm apart in the lateral direction at the top of the canopy.

28 The streamwise length of the computational domain L_x was 4.0 m, chosen
 29 to be large enough to encompass a wide range of spatial scales. The computa-
 30 tional domain, shown in Fig. 1, was discretized evenly in the streamwise and
 31 spanwise directions. The grids were uniformly distributed laterally in order
 32 to create a scalar source distribution identical to the experiment. The mesh
 33 was locally refined near the bed and at the top of canopy layer to resolve the
 34 steep variation in mean flow and turbulence statistics in these regions. Table
 35 1 tabulates the main parameters of the LES.

36 Periodic boundary conditions were imposed in the streamwise direction to
 37 simulate an infinite array, and a frictionless rigid lid condition was used at the
 38 water surface. A no-slip boundary condition was applied at the bed and side
 39 walls using the Obukhov wall function with a roughness length $z_0 = 0.001h$
 40 [33]. For the scalar modeling, zero-gradient (no-flux) boundary conditions were

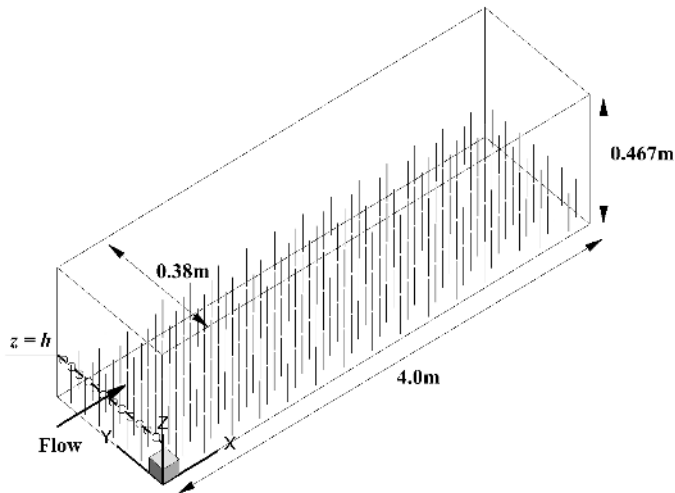


Fig. 1 Schematic of the computation domain and associated coordinate system. Circles indicate the scalar point sources. In the experiment, the canopy consisted of vertical circular cylinders, which are conceptually represented in the figure. However, within the LES, the canopy was represented as a distributed drag and the cylinders were not resolved.

Table 1 Experimental and computational conditions

Case	Canopy height h (cm)	Water depth H (cm)	U_{bulk} (cm s^{-1})	C_D	ϕ	a (m^{-1})	L_x (m)	w_f (cm)
R8	13.8	46.7	5.7	0.66	0.04	8.0	4.0	38.0

1 applied to the bottom, side walls and free surface, while convective boundary
 2 conditions were imposed at the outlet. To examine the effect of the channel as-
 3 pect ratio, additional simulations were conducted with width-to-height ratios
 4 $w_f/H = 2, 5, 10$, and ∞ by varying the width of the channel w_f while main-
 5 taining the depth of submergence $H/h (= 3.38)$. The infinitely wide channel
 6 was simulated by using spanwise periodic boundary conditions, and the size
 7 of the computation domain was the same as the validated case. Note that
 8 this range of aspect ratios is consistent with typical values in field-scale open-
 9 channels, which can vary from an order of 1 for conveyance canals [34] to an
 10 order of 10 or more for fluvial systems [35]. The bulk velocity was the same
 11 for all the simulations. For all aspect ratios, the mass injection was created
 12 using twelve scalar sources evenly distributed across the channel width at the
 13 top of the canopy ($z = h$), see Fig. 1.

14 The instantaneous flow quantities, such as velocity component u_i , are de-
 15 composed into three components,

$$u_i(x, y, z, t) = \langle \overline{u_i} \rangle(y, z) + u_i'(x, y, z, t) + \overline{u_i}''(x, y, z) \quad (9)$$

1 in which the overbar denotes a time average, the angle bracket denotes a
 2 streamwise average, and the temporal and spatial fluctuations are denoted by
 3 a single prime and a double prime, respectively. Applying this double-averaging
 4 method to the momentum equation yields the following [36],

$$\frac{\partial(\langle \overline{u_i} \rangle \langle \overline{u_j} \rangle)}{\partial x_j} = -\frac{1}{\rho} \left(\frac{dP^*}{dx_1} \delta_{i1} + \frac{\partial \langle \overline{p} \rangle}{\partial x_i} \right) + \frac{\partial \chi_{ij}}{\partial x_j} + F_{Di} \quad (10)$$

5 in which the total shear stress χ_{ij} consists of the spatial average of Reynolds
 6 and viscous stresses, together with the dispersive stress due to spatially aver-
 7 aged velocity field differs from local temporal means,

$$\chi_{ij} = -\langle \overline{u_i'' u_j''} \rangle - \langle \overline{u_i' u_j'} \rangle + \nu \frac{\partial \langle \overline{u_i} \rangle}{\partial x_j} \quad (11)$$

8 The velocity moments were extracted at three lateral locations correspond-
 9 ing to the acoustic Doppler velocimetry (ADV) measurements of Ghisalberti
 10 and Nepf [13,31], and then averaged to produce vertical profiles of turbu-
 11 lence statistics. For comparison to the experiment, the scalar concentration
 12 was extracted at the same six streamwise cross-sections as reported in the
 13 experiment.

14 3 Results and Discussion

15 3.1 Mean Flow and Turbulence Statistics

16 In Fig. 2, the vertical profiles of turbulence statistics are compared to exper-
 17 imental data [31]. The simulation using a periodic sidewall condition, which
 18 does not generate the secondary circulation, is included in Fig. 2 for com-
 19 parison. In each subplot, the simulation with no-slip sidewalls and periodic
 20 sidewalls are plotted with solid and dashed curves, respectively. The simu-
 21 lated velocity profile agreed well with the measurements, with slightly better
 22 agreement from the simulation with no-slip sidewalls (solid line, Fig. 2a). Im-
 23 portantly, the no-slip sidewall simulation correctly captured the reduction of
 24 velocity magnitude when approaching the free surface, which is associated with
 25 the secondary circulation in the channel, the dynamics of which are discussed
 26 in Sect. 3.3. The no-slip sidewall model also predicted the vertical distribution
 27 of Reynolds stress (RS) within the canopy and very close to the water surface,
 28 specifically capturing the region of $\overline{u'w'} = 0$ near the surface, which is associ-
 29 ated with the secondary circulation. The periodic sidewall simulation (dashed
 30 line) did not capture the peak RS or the region of $\overline{u'w'} = 0$ near the sur-
 31 face. Both simulations underpredicted the near-bed velocity, which was likely
 32 due to the choice of z_0 , which was not calibrated. The predicted turbulent
 33 kinetic energy (TKE) obtained by both simulations with the additional stem-
 34 scale TKE Eq.(5) was in good agreement with experimental measurements,
 35 especially within the canopy layer, indicating that the addition of Eq.(5) cor-
 36 rectly accounted for the missing stem-scale TKE in the canopy. **To better**

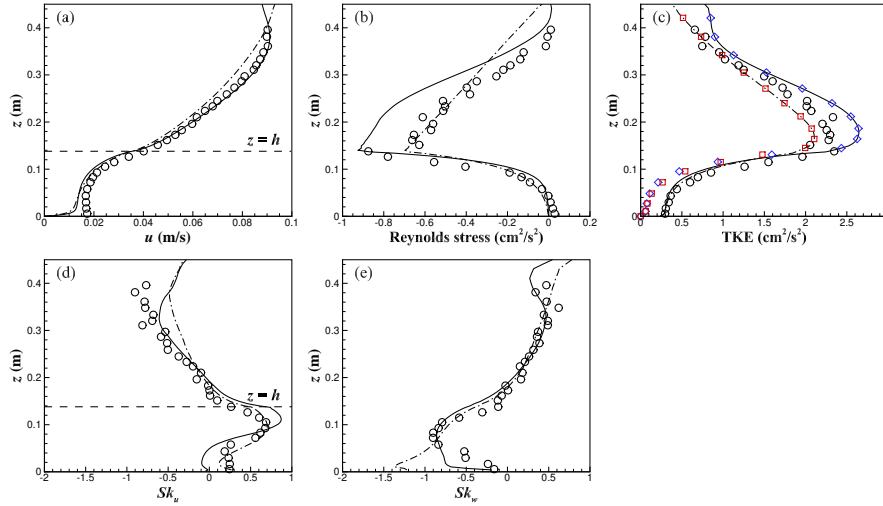


Fig. 2 Vertical profiles of time and lateral averaged velocity and turbulence statistics (a) Streamwise velocity; (b) Reynolds stress; (c) Turbulence kinetic energy (TKE) with the stem-scale TKE Eq.(5) included; (d) Skewness of streamwise velocity; (e) Skewness of vertical velocity. Solid and dashed lines respectively represent simulations with no-slip sidewall and spanwise-periodic conditions. Opened circles from Ghisalberti’s flume measurement. In the subfigure 2c, opened diamonds and opened squares represent the computed TKE without the stem-scale TKE Eq.(5) included under sidewall condition and periodic lateral condition respectively.

1 illustrate the contribution of the stem-scale TKE, the computed TKE from
 2 both simulations without the addition of Eq.(5) have also been included in
 3 Fig. 2c. According to our calculation, the stem-wake turbulence from Eq.(5)
 4 contributed approximately 36% of the total TKE in the lower canopy.

5 3.2 Coherent Structures

6 Coherent structures play a central role in the vertical turbulent transfer of
 7 mass and momentum between the canopy and overflow [13,31]. Hence, a proper
 8 characterization of coherent structures is important in modeling canopy flows.

9 3.2.1 Quadrant analysis

10 Quadrant analysis has been used to describe the structure of coherent struc-
 11 tures in canopy flows (see Finnigan [36]), and it is used here to explore
 12 whether the LES appropriately captured the impact of coherent structures on
 13 momentum transport. Quadrant analysis (QA) categorizes the instantaneous
 14 Reynolds stress ($u'w'$) into four quadrants [37]:

- 15 – Quadrant 1 (Q1): outward interaction ($u' > 0$ and $w' > 0$)
- 16 – Quadrant 2 (Q2): ejection ($u' < 0$ and $w' > 0$)

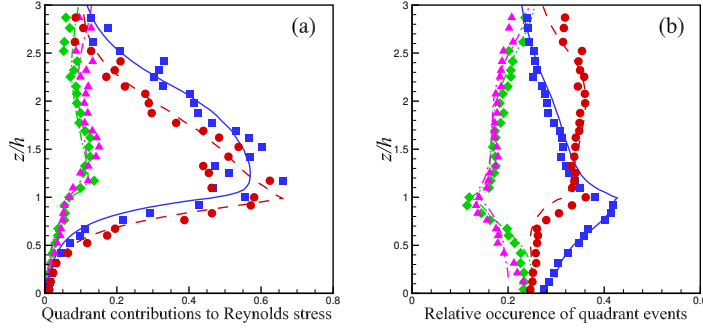


Fig. 3 Vertical distributions of (a) the contribution of each of the four quadrants to Reynolds stress, and (b) the relative frequency of events in each quadrant. Dashed double dotted line (Q1), solid line (Q2), dashed dotted line (Q3), and dashed line (Q4) from LES with no-slip sidewalls; filled diamonds (Q1), filled squares (Q2), filled triangles (Q3), and filled circles (Q4) from the experiment of Ghisalberti and Nepf [31].

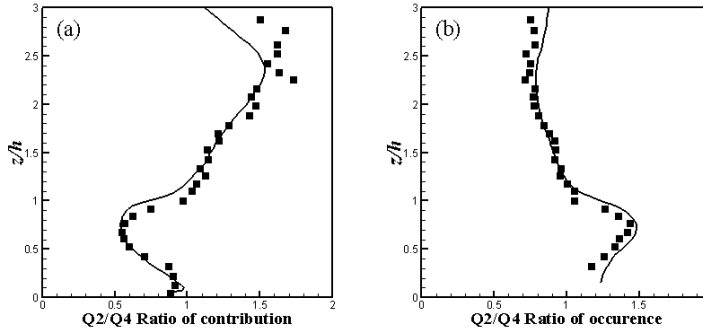


Fig. 4 (a) Ratio of contributions to Reynolds shear stress from Q2 events to that from Q4 events; (b) Ratio of number of Q2 events to that of Q4 events. Solid line, present LES; squares, experiment of Ghisalberti and Nepf [31].

- 1 – Quadrant 3 (Q3): inward interaction ($u' < 0$ and $w' < 0$)
- 2 – Quadrant 4 (Q4): sweep ($u' > 0$ and $w' < 0$)

3 The absolute value of the contribution to momentum flux made by the
4 Q th quadrant $|\overline{u'w'_Q}/\overline{u'w'}|$ is shown in Fig. 3a, which compares the simulated
5 values (solid lines) with the experimental data (R8 in Ghisalberti and Nepf
6 [31], shown with solid symbols). The simulation produced good agreement
7 with measurements both within and above the canopy layer. The model also
8 reproduced the relative frequency of each event type (Fig. 3b). From these two
9 figures, we can see that in the upper canopy and extending to the water surface,
10 Q2 ejection events and Q4 sweep events were the dominant contributors to
11 turbulent momentum transfer. Figure 3 shows that the turbulent momentum
12 flux did not penetrate to the bed, which is consistent with the high canopy
13 density ($ah = 1.1$). Both figures confirm that the LES correctly captured the
1 influence of the coherent structures on the turbulence field.

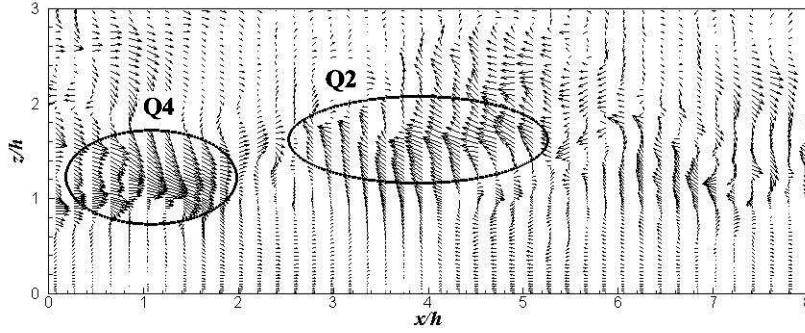


Fig. 5 Instantaneous snapshot of the fluctuating component of the velocity vector of (u', w') in an (x, z) plane along the centerline of the vegetated channel. A sweep event (Q4) and ejection event (Q2) are circled and labeled.

Figure 4 depicts the ratio of contributions to Reynolds shear stress from Q2 events to that from Q4 events and their frequency of occurrence, which were found to collapse with the experimental measurements of Ghisalberti and Nepf [31]. Within the upper canopy layer, the less frequent sweep events contribute more to momentum transfer than ejection events, whereas the opposite situation occurs from the canopy interface up to the free surface.

Figure 5 displays an instantaneous snapshot of the fluctuating velocity vector of (u', w') in an (x, z) plane along the centerline of the vegetated channel, from which we can observe that alternating Q2 (ejection) events and Q4 (sweep) events were the dominant forms of coherent structures at and just above the canopy interface ($z/h = 1$). The coherent motions reached almost to the water surface, but decayed rapidly with distance into the canopy, making little contribution below $z/h = 0.6$ (based on the average of several instantaneous snapshots). Similarly, the Reynolds stress profile (Fig. 2b and 3a), shows that turbulent stress decayed quickly toward zero below $z/h = 0.6$, reflecting the limited sweep penetration into the canopy. The penetration of individual structures (Fig. 5) and their impact on Reynolds stress (Fig. 2) were both consistent with the penetration scale $\delta_e/h = 0.23/C_D ah = 0.4$ (e.g. Nepf et al. [38]), which predicts the distance from the top of the canopy to which the shear-layer coherent structures can penetrate.

3.2.2 Two-point velocity correlation analysis

Two-point velocity correlation analysis was performed to explore the spatial characteristics of the canopy-scale coherent structures. The zero-time-lag, two-point space correlations of the velocity fluctuation components are defined as,

$$R_{ij}(x - L_x/2, y, z, z_{ref}) = \frac{\overline{u'_i(x, y, z)u'_j(0.0, z_{ref})}}{\overline{u'^2_i(x, y, z)}^{1/2} \overline{u'^2_j(0, 0, z_{ref})}^{1/2}} \quad (12)$$

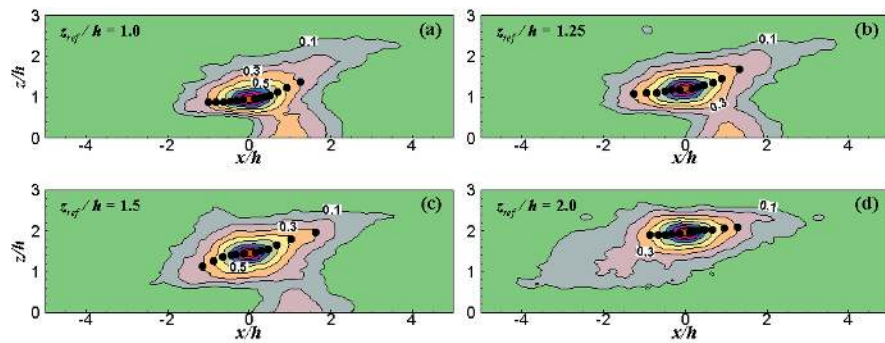


Fig. 6 Contours of streamwise velocity autocorrelations R_{11} in the longitudinal centerplane, with reference points varying in the vertical direction (a) $z_{ref}/h = 1.0$; (b) $z_{ref}/h = 1.25$; (c) $z_{ref}/h = 1.5$; (d) $z_{ref}/h = 2.0$. The black dots represent the locus farthest away from the maximum correlation at each contour level of R_{11} from 0.3 to 1.0.

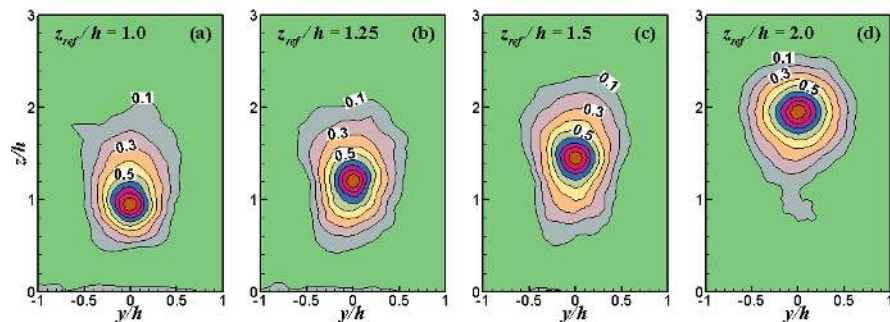


Fig. 7 Contours of streamwise velocity autocorrelations R_{11} in the $y - z$ cross-section. See the caption of Fig. 6.

2 in which L_x denotes the streamwise length of the computational domain, and
 3 the reference point $(0,0,z_{ref})$ was located in the middle of the horizontal plane
 4 of the computational domain with varying vertical heights z_{ref} ($z_{ref}/h = 1.0$,
 5 1.25 , 1.5 , 2.0). For clarity, the origin of the coordinate system has been shifted
 6 horizontally to the center point of the bottom wall. The correlation tensor R_{ij}
 7 is a function of the streamwise separation $(x - L_x/2)$, lateral coordinate y ,
 8 vertical coordinate z and z_{ref} .

9 The streamwise autocorrelation R_{11} is a standard indicator characterizing
 10 the shape and size of coherent structures. Figures 6 and 7 plot the contours of
 11 R_{11} for four different reference heights in the longitudinal $(x - z)$ and cross-
 12 channel $(y - z)$ centerplanes of the computational domain, respectively. The
 13 streamwise velocity component had a high degree of correlation ($R_{11} > 0.3$)
 14 within a tilted elliptical region, indicating the presence of inclined elongated
 15 turbulent structures above the canopy (Fig. 6). This structure extended approx-
 16 imately $2.5h$ in the streamwise direction and $1.0h$ in the vertical direction,
 1 with an average inclination angle decreasing from 18.9° at $z_{ref}/h=1.0$ to 5.2°

2 at $z_{ref}/h=2.0$, as shown in Fig. 6. The angle of inclination was determined
 3 by a least-squares method using the points farthest away from the maximum
 4 correlation at each contour level from 0.3 to 1.0 [39]. As the reference height
 5 increased, the streamwise correlation length associated with $R_{11} = 0.3$ first
 6 increased, but then decreased after a certain height between $1.5h$ and $2.0h$.
 7 In the channel cross-section ($y - z$), the strongly correlated region of R_{11} was
 8 concentrated in a roughly circular area around $y = 0$ with a lateral extent
 9 of h (Fig. 7), which was less than the channel width. This is consistent with
 10 Ghisalberti and Nepf [13], who observed multiple structures across the channel
 11 of lateral scale comparable to the canopy height h .

12 The shape of the inclined structure is consistent with that observed in
 13 terrestrial canopies [36], but the size in the aquatic canopy modeled here is
 14 smaller in the vertical and streamwise directions, which is mostly likely due to
 15 the free surface constraining the scale and orientation of coherent structures.
 16 Specifically, for a terrestrial canopy, Finnigan [36], reported that the stream-
 17 wise, lateral and vertical extents of this strongly-correlated region ($R_{11} > 0.3$)
 18 are roughly $6h$, h and $3h$ respectively.

19 3.3 Secondary Circulation

20 Flow in rectangular channels produces turbulence-induced secondary circula-
 21 tion [40], which has a notable influence on the transport of momentum and
 22 mass [41]. Figure 8 shows the contours of the streamwise velocity averaged
 23 both temporally and in the streamwise dimension. The magnitude of the lat-
 24 eral and vertical velocity (not shown) was an order of magnitude smaller than
 25 the streamwise velocity. The secondary circulation that developed in the veg-
 26 etated channel with no-slip sidewalls is visualized by the streamlines shown
 27 in Fig. 9a. Four large and two small secondary cells were distributed sym-
 28 metrically across the centerline. For comparison, the streamlines computed
 29 in the same channel without vegetation are shown in Fig. 9b, which shows
 30 that the presence of submerged vegetation modified the distribution of the
 31 secondary cells. Unlike the unvegetated channel (Fig. 9b), no vortices were de-
 32 tected close to the lower corners of the vegetated channel, indicating vegetation
 33 drag damped these corner cells.

34 The secondary circulation transports momentum and thus distorts the con-
 35 tours of streamwise velocity. For example, the upward flow at the centerline
 36 and near the bed (Fig. 9a) brings lower velocity upward, bowing the contours
 37 of $u = 0.01 \text{ m s}^{-1}$ and 0.02 m s^{-1} upward at the center of the channel (Fig.
 38 8). Near the free surface, circulation carries fluid downward at mid-channel
 39 (Fig. 9a), so that the streamwise velocity attains its maximum value below
 40 the surface (Fig. 8). It is important to note that these secondary motions do
 41 not occur in the spanwise-periodic channel flow (data not shown). Because the
 42 LES with no-slip sidewalls correctly captures the secondary flow, it can also
 43 reproduce the lateral variation in velocity and turbulence measured in the real
 44 channel (Fig. 10). The simulation using the no-slip sidewalls is in reasonable

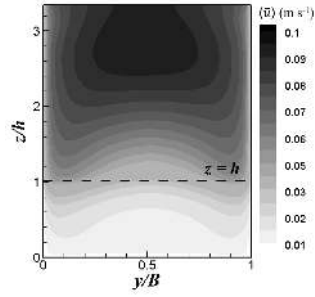


Fig. 8 Contours of mean streamwise velocity $\langle \bar{u} \rangle$ in the $y-z$ plane.

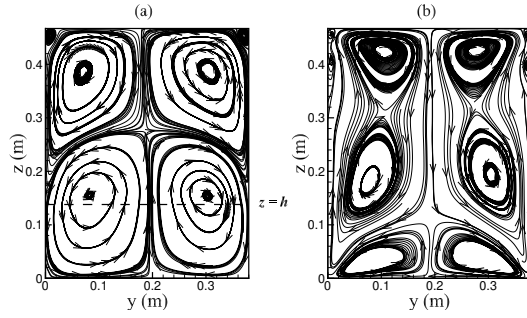


Fig. 9 Secondary flow streamlines computed from LES with no-slip sidewalls in (a) the vegetated open-channel (Table 1); (b) a smooth open-channel with the same dimensions.

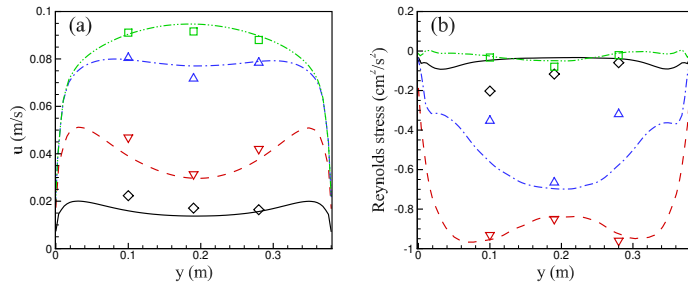


Fig. 10 Lateral profiles of mean flow and turbulence statistics at four different heights $z/h = 0.5, 1.0, 2.0, 3.0$. (a) Mean streamwise velocity; (b) Reynolds stress. Solid line ($0.5h$), dashed line ($1.0h$), dashed dotted line ($2.0h$), and dashed double dotted line ($3.0h$) from LES with no-slip sidewalls; diamonds ($0.5h$), triangles down ($1.0h$), triangles up ($2.0h$), squares ($3.0h$) from Ghisalberti's flume measurement.

2 agreement with flume data provided by Ghisalberti (personal communication)
 3 from the experiment described in Ghisalberti and Nepf [13]. In the lower part
 4 of the channel, specifically $z/h \leq 2.0$ [diamonds and triangles], the streamwise
 5 velocity (u) has its maximum value near the side walls, while at $z/h = 3.0$
 1 [squares], u peaks in the center (Fig. 10a). These variations can be attributed

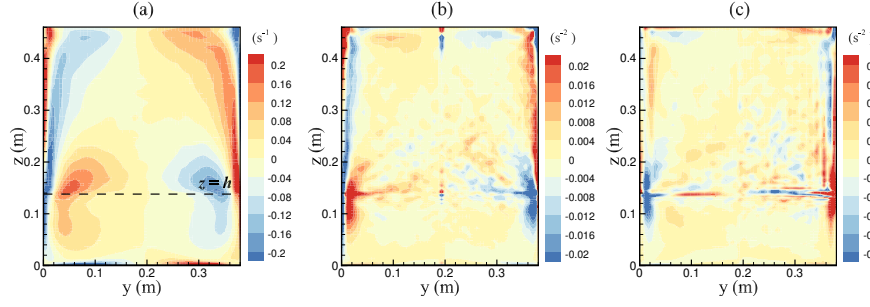


Fig. 11 Contours of (a) mean streamwise vorticity; (b) production by normal stress differences (the second term on the RHS of Eq. 14); (c) production by secondary shear stress (the third term on the RHS of Eq. 14).

2 to the presence of secondary flow in the cross-plane, as described above. Sim-
 3 ilarly, the LES with no-slip sidewalls correctly captures the lateral variations
 4 in Reynolds stress (Fig. 10b).

5 The formation of the secondary flow can be understood through the mean
 6 streamwise vorticity [41–43]:

$$\langle \overline{\omega_x} \rangle = \partial \langle \overline{w} \rangle / \partial y - \partial \langle \overline{v} \rangle / \partial z \quad (13)$$

7 The equation for $\langle \overline{\omega_x} \rangle$ is derived from the vertical and spanwise momentum
 8 equation by eliminating the pressure term,

$$\begin{aligned} \langle \overline{v} \rangle \frac{\partial \langle \overline{\omega_x} \rangle}{\partial y} + \langle \overline{w} \rangle \frac{\partial \langle \overline{\omega_x} \rangle}{\partial z} = & v \left(\frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \langle \overline{\omega_x} \rangle + \frac{\partial^2}{\partial y \partial z} \left(\langle \overline{v'^2} \rangle - \langle \overline{w'^2} \rangle \right) \\ & + \left(\frac{\partial^2}{\partial z^2} - \frac{\partial^2}{\partial y^2} \right) \langle \overline{v'w'} \rangle + \frac{\partial^2}{\partial y \partial z} \left(\langle \overline{v''^2} \rangle - \langle \overline{w''^2} \rangle \right) \\ & + \left(\frac{\partial^2}{\partial z^2} - \frac{\partial^2}{\partial y^2} \right) \langle \overline{v''w''} \rangle + \left(\frac{\partial \langle \overline{F_{Dy}} \rangle}{\partial z} - \frac{\partial \langle \overline{F_{Dz}} \rangle}{\partial y} \right) \end{aligned} \quad (14)$$

9 The two terms on the left-hand-side (LHS) represent the convection of stream-
 10 wise vorticity, and the first term on the right-hand-side (RHS) stands for the
 11 viscous diffusion of streamwise vorticity. The remaining terms generate or
 12 dampen the secondary circulation. **Given that the ratio of the flume width to**
 13 **the diameter of the cylinder is approximately 60, the stem-scale structures in**
 14 **the wake of each vegetation element were assumed to have a minor effect upon**
 15 **the flume-width-scale secondary structures.**

16 The secondary flow above the canopy layer was generated only by turbu-
 17 lent stress fluctuations, i.e. the normal stress differences $\langle \overline{v'^2} \rangle - \langle \overline{w'^2} \rangle$ and
 18 the secondary shear stress $\langle \overline{v'w'} \rangle$. The contours of mean streamwise vortic-
 19 ity and the production terms associated with the normal stress differences
 20 and secondary shear stress all display an antisymmetric distribution about
 1 the channel centerline (Fig. 11a-c). The vorticity $\langle \overline{\omega_x} \rangle$, was mainly produced

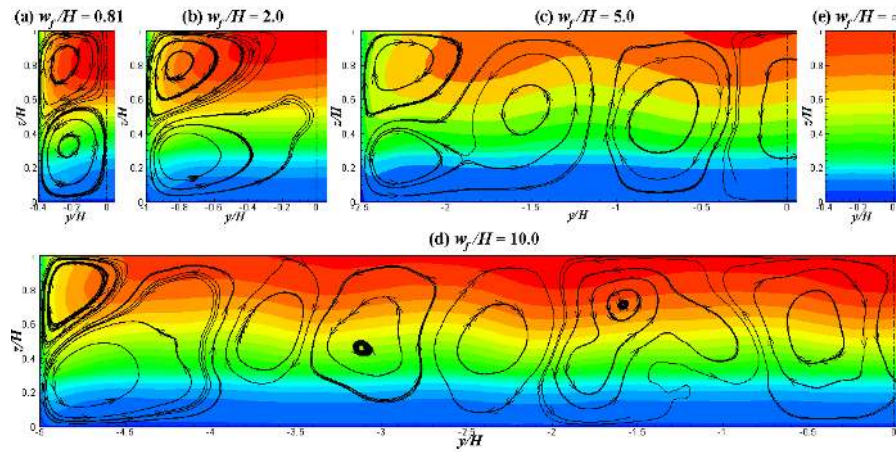


Fig. 12 LES computed secondary flow streamlines along with contours of mean streamwise velocity for all cases. Note that only half of the channel width is shown, with $y/H=0$ at the centerline.

2 along the sidewalls between the top of the canopy to the upper corner, with an
 3 intense region of production coincident with the top of the canopy (Fig. 11).
 4 This implies that the canopy height controlled the position of the secondary
 5 circulation. In addition, the distribution of $\langle \overline{\omega_x} \rangle$ was positively correlated with
 6 the production term associated with normal stress differences, and negatively
 7 correlated with the production term associated with secondary shear stress,
 8 except near the water surface where the opposite correlation exists. This indi-
 9 cates that the secondary shear stress generated the streamwise vorticity near
 10 the free surface, while the normal stress differences were the main contribution
 11 to vorticity generation in the rest of the channel, and specifically near the top
 12 of the canopy, where the canopy roughness and shear-layer were the source of
 13 local turbulence intensity driving the gradients in normal stress. Within the
 14 canopy layer, dispersive normal stress differences $\langle \overline{v''^2} \rangle - \langle \overline{w''^2} \rangle$ and dispersive
 15 shear stress $\langle \overline{v''w''} \rangle$, arise from spatial variation in the time-averaged velocity.
 16 However, since the canopy was represented as a distributed drag in the LES
 17 simulation, these dispersive terms were absent in the model and thus cannot
 18 be the source of secondary circulation within the simulation. The last term on
 19 the RHS serves as a destruction term associated with the streamwise compo-
 20 nent of the curl of vegetation drag vector that acts to inhibits the formation
 21 of secondary circulations at the lower corners, as seen in Fig. 9.

22 The channel aspect ratio has a significant influence on the structure of the
 23 secondary flow. Figure 12 depicts the secondary flow streamlines for all simu-
 24 lated cases. For the narrowest channel, the corner vortices filled the channel
 25 half-width, with vortex width roughly equal to $0.4h$. In this case, the vortex
 26 width is constrained by the channel width. In all other channels of finite
 27 width, the upper corner vortex was between $0.5h$ and $0.6h$, irrespective of the
 1 aspect ratio (Fig. 12b, c and d). In addition, for the wider channels (w_f/H

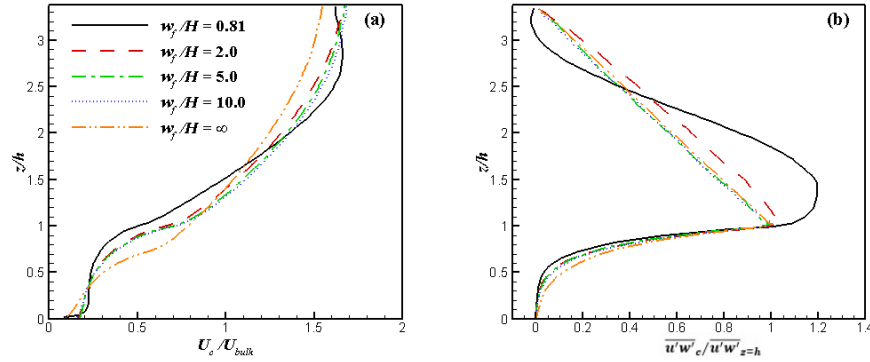


Fig. 13 Vertical profiles of (a) channel centerline velocity U_c and (b) channel centerline Reynolds stress $\overline{u'w'_c}$. The velocity was normalized by the cross-sectionally averaged velocity, U_{bulk} . The Reynolds stress was normalized by its value at the canopy top. The vertical distance z is normalized by the canopy height h .

2 = 5 and 10), multiple secondary circulation cells appeared across the channel.
 3 The width of these secondary cells was roughly equal to H . This multicellular
 4 secondary-current flow pattern was consistent with that observed in wide
 5 open-channel flows (e.g. Nezu et al. [44] and Culbertson [45]). Note that no
 6 secondary motions occurred in the spanwise-periodic channel flow (Fig. 12e).
 7 The impact of the secondary circulations on the streamwise velocity was
 8 diminished as the channel aspect ratio increased and the ratio of circulation
 9 length-scale to channel width decreased. Specifically, the impact on the time-
 10 averaged centerline velocity is shown in Fig. 13a. For the narrowest channel
 11 (solid black curve), the maximum centerline velocity occurred below the water
 12 surface, which is a classic signature of the impact of secondary circulation
 13 on velocity distribution. However, as the channel widened, this feature disap-
 14 peared, and the maximum centerline velocity occurred at the water surface
 15 for all channels wider than $w_f/H \geq 2$. In addition, the change in secondary
 16 circulation also impacted the velocity in the upper canopy ($z/h = 0.5$ to 1).
 17 Specifically, the streamwise velocity in the upper canopy was the highest for
 18 the infinitely wide canopy ($w_f/H = \infty$) where no secondary circulations were
 19 present, and the streamwise velocity was the lowest for $w_f/H = 0.81$, where
 20 the secondary circulations had the strongest impact. For the $w_f/H = 10$ chan-
 21 nel, the secondary circulation was directed downward at the centerline, which
 22 could have enhanced velocity in the upper canopy, but, in fact the velocity in
 23 the upper canopy was identical to the $w_f/H = 2$ and 5, where the secondary
 24 circulations were directed upward and neutral at the centerline, respectively.
 25 This comparison suggests that the secondary circulation was not directly res-
 26 ponsible for the upper canopy velocity. Instead, the upper canopy velocity was
 27 determined by the turbulent momentum flux at the top of the canopy, which
 28 was dominated by the coherent structures identified in Fig. 6 and Fig. 7. The
 1 lower velocity in the upper canopy for the channels with secondary circulation

2 suggests that the secondary circulations can interfere with the shear-layer co-
 3 herent structures, making them less effective in vertical momentum exchange.
 4 The profiles of Reynolds stress support this interpretation, because the chan-
 5 nel without the secondary circulations exhibited the largest penetration of
 6 Reynolds stress into the canopy (Fig. 13b).

7 3.4 Scalar Transport

8 Figure 14 compares the simulated and measured vertical profiles of mean scalar
 9 concentration at six streamwise positions. The concentration has been aver-
 10 aged over the lateral direction and is normalized by the maximum concen-
 11 tration at location 1. The solid and dashed lines respectively represent LES
 12 simulations with and without the stem-wake turbulent diffusivity (Eq. 6). The
 13 inclusion of the stem-wake diffusivity yielded better agreement with the ex-
 14 perimental data of Ghisalberti and Nepf [13], especially near the bed and in
 15 the near field (see Fig. 14a), which demonstrates the importance of including
 16 the contribution of the unresolved stem-scale turbulence for scalar transport
 17 modeling. In the far field, the computed concentration became less sensitive
 18 to the inclusion of the stem-wake diffusion model. In the near field, before
 19 the plume spreads over the flow depth, the near-bed turbulent diffusion was
 20 dominated by stem-scale turbulence, whereas the transport of scalar in the far
 21 field (once the plume has spread over the flow depth) was determined by the
 22 LES resolved coherent structures.

23 3.4.1 Characteristics of scalar dispersion plume

24 The vertical growth rate of the laterally-averaged concentration plume can be
 25 quantified by two dispersion parameters, i.e. the mean height of the plume z_m ,

$$z_m(x) = \frac{\int_0^H z \langle \overline{C} \rangle_y(x, z) dz}{\int_0^H \langle \overline{C} \rangle_y(x, z) dz} \quad (15)$$

26 and its standard deviation in the vertical direction σ_z ,

$$\sigma_z(x) = \frac{\int_0^H (z - z_m)^2 \langle \overline{C} \rangle_y(x, z) dz}{\int_0^H \langle \overline{C} \rangle_y(x, z) dz} \quad (16)$$

27 The angle bracket with a subscript y indicates a laterally-averaging opera-
 28 tion. Because we considered a continuous release, these parameters are only
 29 functions of distance x from the source and not of time. Figure 15 plots the
 30 streamwise variations of z_m and σ_z , normalized by the canopy height h . The
 31 numerical simulations were consistent with the flume measurements. Between
 32 the source and $x/h = 5$, z_m was approximately constant, as expected for a
 33 plume evolving without influence from any boundaries. Beyond this point,
 1 after the plume had reached the no-flux boundary at the bed, z_m increased

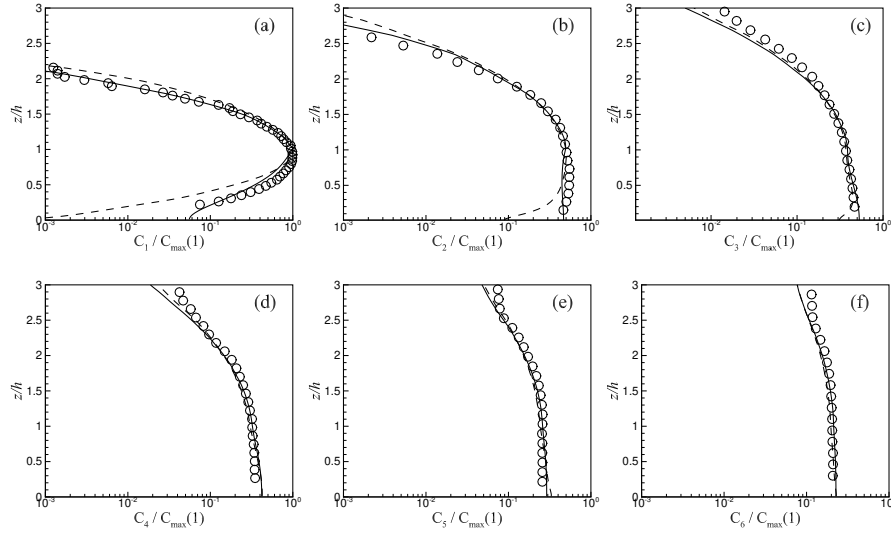


Fig. 14 Comparison of normalized mean concentration profiles from LES simulation and experimental data. (a) $x = 19$ cm; (b) $x = 54$ cm; (c) $x = 92$ cm; (d) $x = 150$ cm; (e) $x = 250$ cm; (f) $x = 380$ cm. Solid and dashed lines, respectively, indicate simulation results obtained with and without turbulent diffusivity model (Eq. 6). Symbols are experimental measurements [13].

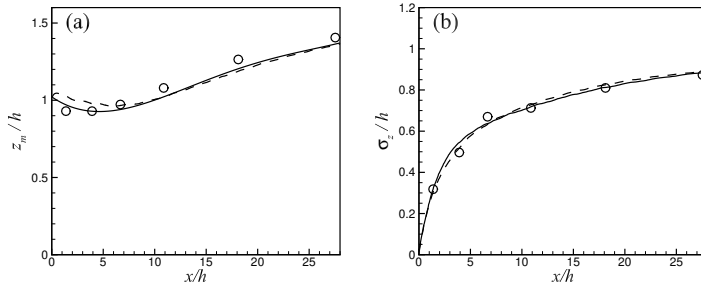


Fig. 15 Comparisons of streamwise variations of (a) z_m/h and (b) σ_z/h between LES results and experimental data. For legend, see caption of Fig. 14.

2 with x . The LES with stem-wake diffusivity matched the data more closely
 3 in the near-field ($x/h < 5$), but in the far field, the models with and without
 4 stem-wake diffusivity converged (Fig. 15), because once the plume scale was
 5 comparable to the coherent shear-layer structures and the secondary circula-
 6 tion cells (e.g. Fig. 5), these large structures dominate the scalar transport.
 1 Both models provide good predictions of σ_z (Fig. 15b).

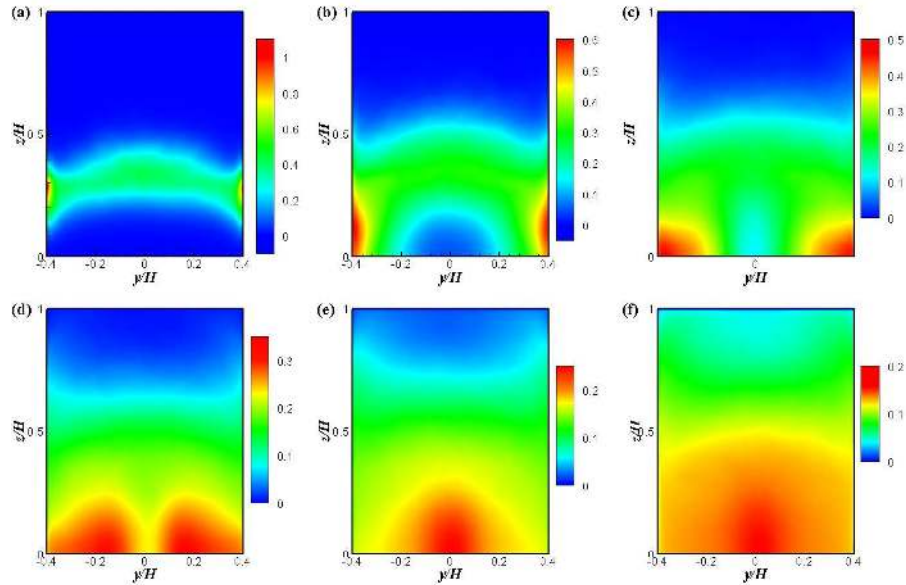


Fig. 16 Contours of normalized, time-mean scalar concentration on six crossplanes along the streamwise direction from (a) to (f): (a) $x = 19$ cm; (b) $x = 54$ cm; (c) $x = 92$ cm; (d) $x = 150$ cm; (e) $x = 250$ cm; (f) $x = 380$ cm. The vertical and lateral coordinates are normalized by the water depth H .

2 3.4.2 Effects of secondary flow on scalar dispersion

3 Secondary circulations can be an important mechanism of scalar transport in
4 fluvial systems [21]. Similarly, the secondary circulation within the vegetated
5 channel impacted the distribution and mixing of scalar. Figure 16 plots the
6 contours of time-averaged concentration at six crossplanes along the stream-
7 wise direction for the original channel ($w_f/H = 0.81$). The location of the
8 concentration maxima moved with the secondary circulation. Although the
9 scalar was discharged from sources uniformly distributed in the lateral direc-
10 tion, the secondary circulation distorted the tracer distribution. At the top of
11 the canopy, the vertical velocity associated with the secondary circulation was
12 upward at the channel centerline and downward near the walls. This caused the
13 maximum concentration to be deflected upward at the centerline and down-
14 ward at the walls. This distortion is clearly seen in the concentration contours
15 near the source (Fig. 16a). Farther from the source, the advection of tracer
16 associated with the secondary circulation within the canopy (Fig. 8b and c)
17 produced maximum concentrations at the bottom corners (Fig. 16b and c), and
18 eventually the maximum concentration was advected to the center at the bed
19 (Fig. 16d). That is, the maximum concentration within the canopy traced out
20 the trajectory imposed by the secondary circulation. Similarly, as the tracer
1 entered the pair of cells above the canopy, the contours of scalar concentration
2 were bent upward at the sidewalls by the secondary circulation in this region.

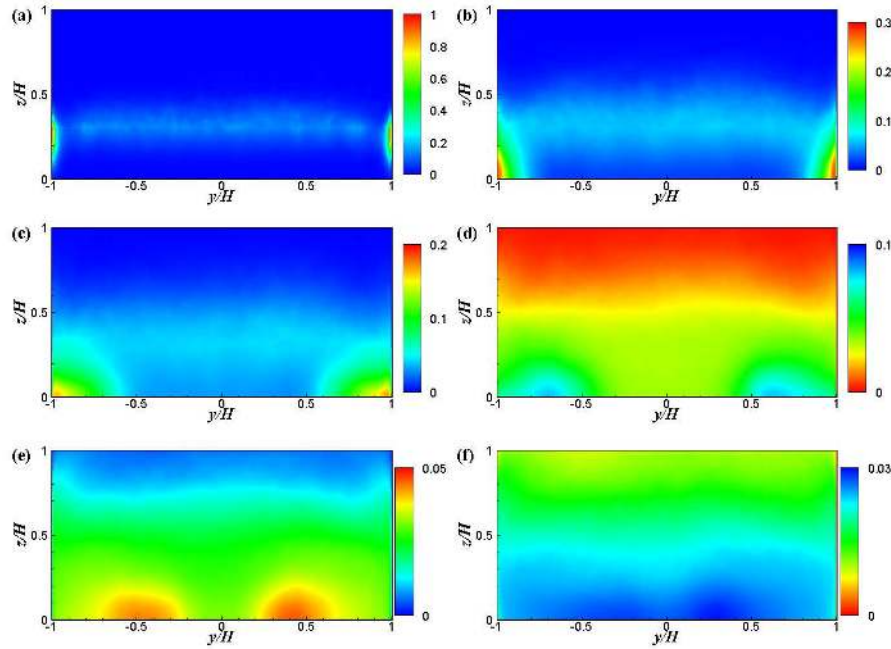


Fig. 17 Contours of normalized, time-mean scalar concentration on six crossplanes along the streamwise direction of the channel of $w_f/H = 2.0$. See the caption of 16.

3.4.3 Effects of the channel aspect ratio

As the channel aspect ratio w_f/H increased, the number of secondary circulation across the channel also increased, with neighboring cells rotating in opposite directions (Fig. 12). The presence of multiple secondary circulations enhanced the scalar mixing within the central zone of the open-channel, while near the sidewalls, the scalar was transported in a manner similar to the validated case, i.e. the corner secondary cells advected the maximum concentration from the canopy interface to the lower corners (Fig. 17). For the spanwise periodic channel, there are no secondary cells to distort the evolving tracer cloud, and the scalar plume spreads vertically in a uniform fashion across the channel. Note that to represent the infinitely wide channel, the side boundaries were assigned convective boundary conditions for the scalar modeling, which allowed the scalar to leave the observed domain. As a result, the scalar distribution is non-uniform in the lateral direction. channel (see Fig. 18).

Figure 19 shows the vertical profiles of laterally-averaged concentration from simulated cases with different channel aspect ratios, normalized by the average value of the cross-section at each downstream location. The vertical spread of the tracer plume was the slowest for the spanwise periodic channel (dashed-dot curve in Fig. 19) which contained no secondary circulations, indicating that the secondary circulation enhanced the vertical transport of scalar in the other channels. In the near field, the narrowest channel exhibited the

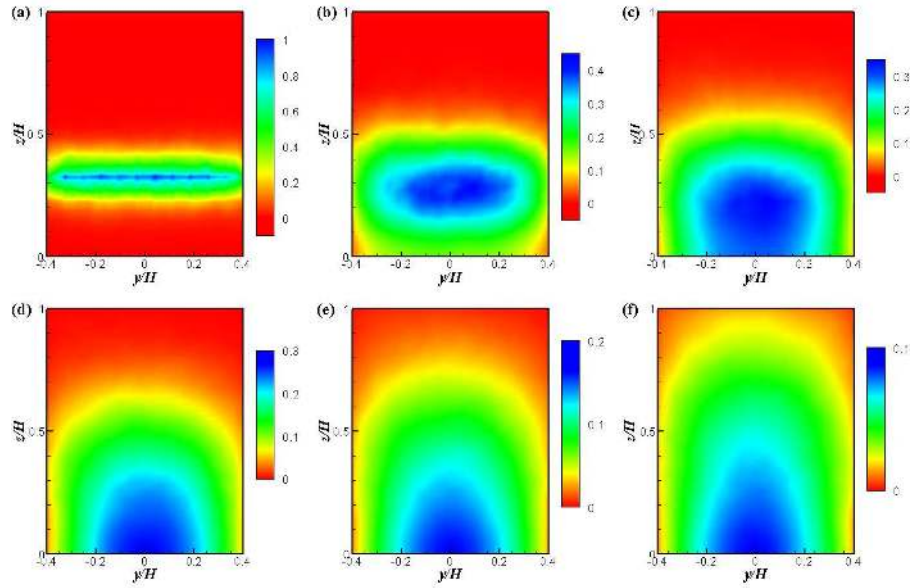


Fig. 18 Contours of normalized, time-mean scalar concentration on six crossplanes along the streamwise direction for the spanwise periodic channel. See the caption of 16.

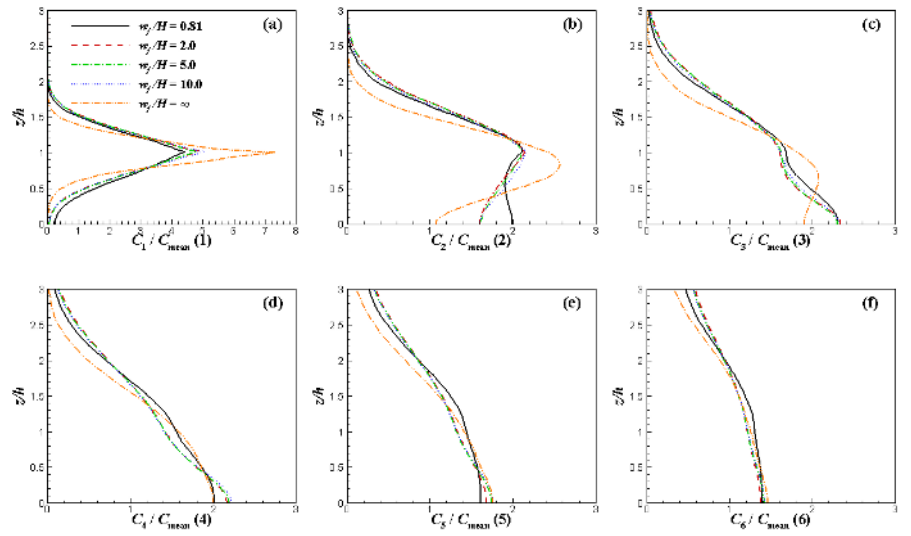


Fig. 19 Comparison of normalized, time-mean concentration profiles for simulated cases with different channel aspect ratios. (a) $x = 19$ cm; (b) $x = 54$ cm; (c) $x = 92$ cm; (d) $x = 150$ cm; (e) $x = 250$ cm; (f) $x = 380$ cm.

4 fastest vertical spread of tracer, because the corner vortices extended across
 5 the channel, efficiently enhancing the scalar transport within the canopy layer.
 6 In the far field, once the plume had reached the bed, the concentration profiles
 7 for all open-channels collapsed with each other at all downstream locations.

8 Finally, also note that the secondary cells particularly enhanced the down-
 9 ward spread of tracer into the canopy. For example, Fig. 19b shows that the
 10 tracer spread downward much faster within the channels of finite width. In
 11 addition, the narrowest channel (solid line in Fig. 19b) developed a secondary
 12 concentration peak within the canopy. This feature is non-Fickian, and can
 13 be attributed to the fact that the secondary structures controlling the verti-
 14 cal migration of tracer were comparable in size to the plume, creating what
 15 appeared to be counter-gradient fluxes and generating a local peak below the
 16 injection site.

17 4 Conclusion

18 In this paper, LES was used to predict the turbulence structure and the trans-
 19 port of a passive scalar in an open channel with submerged vegetation. For
 20 simplicity, the vegetation was represented by a distributed drag force propor-
 21 tional to the canopy density and local, resolved velocity. In the scalar transport
 22 equation, the effect of stem-scale eddies, which were not directly resolved by
 23 the LES, was introduced by adding the scalar diffusivity model proposed and
 24 validated by Tanino and Nepf [18]. The model performance was evaluated
 25 by comparing the simulation to flume data from Ghisalberti and Nepf [13].
 26 Satisfactory agreements were found between LES and measurements of tur-
 27 bulence statistics and mean concentration, which demonstrated that the LES
 28 correctly captured the coherent structures formed at the canopy interface and
 29 their impact on momentum and scalar transport. Importantly, the inclusion of
 30 stem-wake TKE and diffusivity was required to match the TKE levels and tur-
 31 bulent scalar transport in the lower canopy layer. By extension, the LES model
 32 presented here applies equally to mass transport within and above terrestrial
 33 plant canopies.

34 Quadrant analysis and instantaneous velocity maps showed that Q2 ejec-
 35 tion events and Q4 sweep events were the dominant types of coherent struc-
 36 tures within a vegetated channel. Sweeps (Q4) carried most of the momentum
 37 flux into the upper canopy layer, whereas ejections (Q2) dominated above the
 38 canopy. **Statistical space correlation revealed an inclined elongated coherent**
 39 **structure lying above the vegetation canopy, with an extent of $2.5h$, h and**
 40 **h in the streamwise, lateral and vertical directions respectively. The free sur-**
 41 **face and side walls affected the extension and orientation of this structure, as**
 42 **the size and inclination angle were smaller than that observed in terrestrial**
 43 **vegetation canopies.**

1 The study also revealed important shifts in the secondary circulation com-
 2 monly found in the open channel flow. **In the channel of narrow aspect ra-**
 3 **tio ($w_f/H = 0.81$), the vegetated channel produced a secondary flow struc-**

4 ture composed of four counter-rotating vortices and two corner vortices, which
5 differed from the well-known eight-vortex pattern observed in a square duct
6 bounded by four solid walls. The position of the secondary cells was linked
7 to the canopy height, because the elevated turbulence intensity at the top of
8 the canopy provokes the generation of streamwise vorticity via gradients in
9 the normal turbulent stresses. Importantly, the secondary flow was shown to
10 cause lateral and vertical transport of the scalar plume, which enhanced the
11 vertical mass flux within the vegetated channel.

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