ARTICLE OPEN Large-grain MBE-grown GaSe on GaAs with a Mexican hatlike valence band dispersion

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Atomically thin GaSe has been predicted to have a non-parabolic, Mexican hat-like valence band structure due to the shift of the valence band maximum (VBM) near the Γ point which is expected to give rise to novel, unique properties such as tunable magnetism, high effective mass suppressing direct tunneling in scaled transistors, and an improved thermoelectric figure of merit. However, the synthesis of atomically thin GaSe remains challenging. Here, we report on the growth of atomically thin GaSe by molecular beam epitaxy (MBE) and demonstrate the high quality of the resulting van der Waals epitaxial films. The full valence band structure of nominal bilayer GaSe is revealed by photoemission electron momentum microscopy (*k*-PEEM), confirming the presence of a distorted valence band near the Γ point. Our results open the way to demonstrating interesting new physical phenomena based on MBE-grown GaSe films and atomically thin monochalcogenides in general.

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INTRODUCTION

Atomically thin two-dimensional (2D) materials have recently attracted great interest due to distinct electrical and optical properties, different from those of their bulk counterparts.¹ Gallium selenide (GaSe) belongs to the semiconducting 2D family and has a layered structure exhibiting in-plane covalent bonding and weak van der Waals interlayer interaction. In the unit cell, two Ga atoms are sandwiched by two planes of Se atoms, forming a monolayer with Se-Ga-Ga-Se stacking, Fig. 1a. Similarly to other 2D materials, the in-plane geometry has a honeycomb-like hexagonal structure. Bulk GaSe has a direct band-gap of ~ 2.1 eV and has been widely investigated for its superior second harmonic generation and photoresponse properties.^{4,5} In the monolayer limit, GaSe exhibits a direct-to-indirect band gap transition, and therefore shows an opposite trend to the thickness dependence in most transition metal dichalcogenides.^{6,7} As the number of layers is decreased below seven layers, the shape of the valence band close to its maximum at the Γ point undergoes a change and develops a Mexican hat or caldera-like inverted plateau^{6,8,9} as shown in Fig. 1b.

This dispersion should give rise to a sharp van Hove singularity in the density of states resulting in the possibility of a ferromagnetic phase transition with hole doping in the monolayer limit.^{7,10} The high density of states at the valence band edge could also indicate the presence of a high thermoelectric power factor in this material¹¹ while the flat valence band would imply a high hole effective mass, resulting in the suppression of direct tunneling in scaled transistors.¹²

Although the synthesis of GaSe by molecular beam epitaxy (MBE) can be traced back to early 1990's, ^{13–16} the atomically thin nature of GaSe has been much less studied, and the studies of electronic properties were mostly based on the bulk material.¹⁷ Here, we address the main difficulties related to the growth of

atomically thin and high-quality GaSe. First is the random orientation of as-grown films, which can take place during the growth. Although µm-sized flakes have been synthesized by chemical vapor deposition (CVD), further investigation of the films using transmission electron microscopy (TEM) shows that resulting films have random grain orientations.⁸ The film morphology and uniformity are also difficult to control. Spiral and island growth modes were commonly reported for epitaxial GaSe films grown by MBE,^{15,18-20} making it more difficult to control the film thickness, especially in the atomically thin limit. While oscillations in reflection high energy electron diffraction (RHEED) patterns during the growth of GaSe on mica were used to determine the thickness, indicating that layer-by-layer growth could be achieved,²¹ the morphology evolution, particularly in atomically thin layers was not addressed at all. Device characterization is also still based on bulk GaSe. Overall, it is still challenging to develop a reliable way for synthesizing atomically thin GaSe films for further characterization with the aim of understanding the peculiar electronic properties of this material.

Here, we have used MBE with a two-step growth approach to synthesize high-quality GaSe with a µm-scale grain size as seen from the uniformity of the work function and angular dependence of the valence band structure. As-grown films can be delaminated from the growth substrate and used for further studies, for example in scanning transmission electron microscopy (STEM). We have further investigated the electronic properties of nominal bilayer GaSe films by photoemission electron momentum microscopy (*k*-PEEM) and confirmed the Mexican-hat shaped dispersion in the upper valence band.²⁰ These results demonstrate that MBE-grown GaSe films can be an ideal platform for investigation of new physics in atomically thin monochalcogenides.

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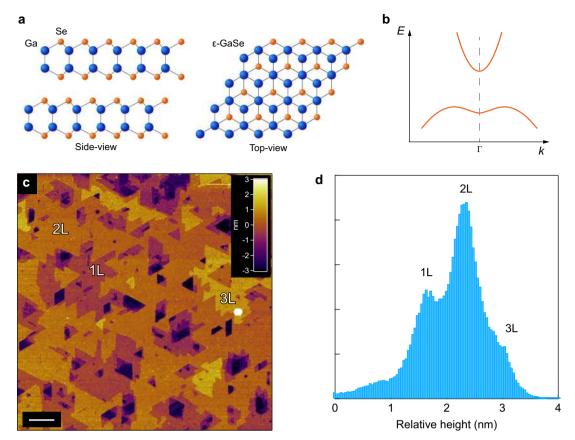


Fig. 1 Structure of atomically thin GaSe. **a** Schematic drawing of the structure of ε-GaSe. **b** Simplified schematic drawing of the band structure of monolayer GaSe showing the unusual valence band dispersion. Only the top of the valence and bottom of the conduction band are shown. **c** AFM image of ultrathin GaSe grown on the surface of GaAs using MBE. The scale bar is 500 nm long. Labels 1L, 2L, and 3L refer to monolayer, bilayer, and trilayer regions of the film. **d** Height histogram based on the AFM image in (**c**)

RESULTS AND DISCUSSION

Growth of atomically thin GaSe films

Atomically thin GaSe films were grown on GaAs(111)B substrates by MBE. Supplementary Figures 1a and 1b show the in situ RHEED patterns along two different azimuths of GaAs during growth, with more details on in-situ growth monitoring available in Supplementary Note 1. We first removed native oxides from the GaAs surface using atomic hydrogen at 300 °C, resulting in a smooth epi-ready surface without further surface roughening which was critical for the deposition of high-quality films (See Supplementary Figure 2).^{22,23} In order to optimize the growth conditions, we have introduced a two-step growth approach.¹⁹ First, a step at a lower growth temperature and with a short growth time was carried out in order to facilitate nucleation on the substrate, leading to a uniform thickness coverage in the second growth phase later on. After the low-temperature growth step, growth at a higher temperature was conducted during the second growth phase in order to increase the mobility of adatoms, boosting the domain size in the lateral direction (see Supplementary Figure 3 and Supplementary Note 2 for more details). In this way, a highly oriented film can be obtained with a uniformly distributed nominal thickness. The high temperature close to the surface desorption regime significantly reduces the growth rate allowing for easier control of the film thickness by the growth time (see Supplementary Note 2). The morphology examined by atomic force microscopy (AFM) shows sub-µm-scale triangular grains of GaSe with well-aligned edges, implying a high degree of order in lattice orientation and demonstrating the high quality of as-grown films (Fig. 1c). By further examining the thickness variance in the histogram, we can determine the interlayer spacing c/2 of ~0.8 nm. We estimate that over 70% of the surface is covered by a film that is two layers thick (Fig. 1d). Similar results in terms of morphology control can be reproduced using hydrogen-passivated H-Si(111) (Supplementary Figure 4 and Supplementary Note 3). This shows that the MBE technique is promising for the growth of atomically thin GaSe with controllable thickness and that it can be extended to other growth substrates.

Lattice structure of atomically thin GaSe resolved by STEM

We fist examine the morphology of as-grown GaSe films using scanning transmission electron microscopy (STEM). An energydispersive X-ray spectroscopy (EDAX) cross-sectional analysis of ~8 layer thick GaSe on GaAs(111)B is shown on Fig. 2a, b. Despite the fact that Ga atoms are present in both GaSe and GaAs, we can still differentiate between the films by selecting different elements in the EDAX mapping. The results indicate a uniform distribution of Ga and Se in the GaSe films. There is no indication of interdiffusion at the interface as seen in the Se and As elemental distribution map (Fig. 2b), consistent with the van der Waals epitaxial growth mechanism. Our results clearly show the sharp interface without indication of inter-diffusion which is in line with the reported in situ XPS experiments¹⁶ and a recent study of MBEgrown GaSe on graphene.^{17,19} In a high-magnification crosssectional STEM image, Fig. 2c, we have measured an interlayer spacing c/2 of ~0.8 nm, which is consistent with the height measurements obtained using an AFM. Figure 2d shows an STEM image of a suspended multilayer GaSe membrane. The crystalline lattice can be clearly resolved, belonging to the ε -GaSe polytype

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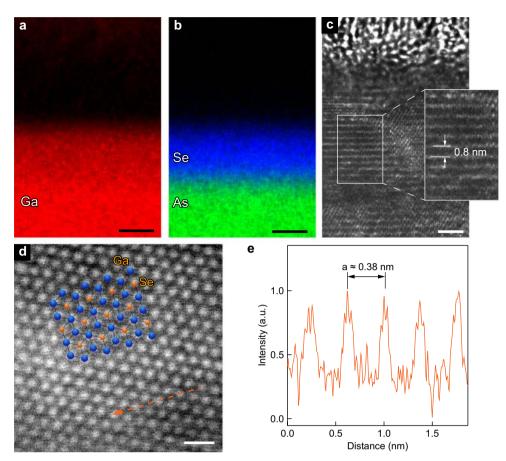


Fig. 2 GaSe film morphology examined using STEM. **a** EDAX Ga elemental map. **b** EDAX elemental map of As and Se. **c** Cross-sectional STEM image of GaSe. Inset shows a zoomed-in region from the outlined rectangle with the extracted out-of-plane lattice constant c = 0.8 nm. Scale bar: 2 nm. **d** STEM image with the schematic of ε -GaSe lattices with 2 H (A + B) stacking. Scale bar: 0.5 nm. **e** Intensity profile along the dashed line in **d**, showing the in-plane lattice constant a = 0.38 nm

with the 2 H (A + B) stacking feature, which is also predicted to be the stable polytype in bulk.^{6,8,24,25} The in-plane lattice constant *a* of GaSe was measured to be ~ 0.38 nm based on the intensity profile shown in Fig. 2e. As expected, the modulation of GaSe lattice constants by the substrate cannot be detected, as confirmed by our RHEED patterns.

Electronic properties of as-grown nominal bilayer GaSe

We now turn our attention to the electronic properties of the nominally bilayer GaSe investigated by k-PEEM. We first performed the in situ work-function mapping and µm-scale XPS measurements to demonstrate the high quality and uniformity of the region of interest (see Supplementary Figure 5 and Supplementary Note 4). Sets of k-PEEM images were acquired at different binding energies $E_{\rm B}$ with respect to the Fermi level $E_{\rm F} = 0$ eV in the valence band region of $E_{\rm B} = 2.0-6.7 \text{ eV}$ (Fig. 3a), revealing the full valence band structure of atomically thin GaSe films. A clear hexagonal symmetry is shown at different energies highlighting the high degree of crystalline alignment. We can distinguish in the k-PEEM images the reciprocal space high-symmetry points Γ , K, and M. The energy dispersion in reciprocal space along high symmetry directions Г-K and Г-M can then be obtained and is shown in Fig. 3b. We plot the second-derivative of the energy dispersion in Fig. 3c in order to enhance the contrast for better visibility. The VBM globally located at the Γ point can be observed to be 0.88 ± 0.06 eV below the Fermi level $E_{\rm F}$, demonstrating that the as-grown GaSe film is unintentionally p-doped, assuming the band gap of ~2.4 eV for bilayer GaSe.²⁶ In bulk GaSe, natural defects such as vacancies, stacking faults and unavoidable impurities such as Si are known to result in *p*-type doping,²⁷ leading to the $E_{\rm F}$ — $E_{\rm VBM}$ value of 0.5 to 0.3 eV,²⁸ where $E_{\rm VBM}$ is the energy of the VBM. This indicates that our MBE-grown GaSe is somewhat less *p*-doped than those from earlier studies. This cannot be solely explained by the band-alignment with the *p*-doped GaAs substrate (see Supplementary Note 4). Therefore, we speculate that our MBE-grown film contains a lower concentration of vacancies or impurities associated with *p*-type doping than the bulk counterparts or *n*-type GaSe grown on epitaxial graphene.²⁰

In order to have a finer look at the upper valence band features of bilayer GaSe, we performed k-PEEM measurements at a higher analyzer energy resolution of ~ 100 meV and focused on the topmost upper valence band (Γ_1^+) near the Γ point.¹² The acquired band structures and the second-derivative band structures are shown in Fig. 4a, b, respectively. We have observed another subband emerging underneath the topmost band with an energy difference of ~0.2 eV. This feature cannot be explained by the spin-orbit splitting of the topmost band since the predicted value is in the range of only a few meV.^{12,29} It is more likely that the subband is arising from the mixed signals of the Γ_1^+ band of exposed monolayer GaSe in the examined region, since the energy difference is in line with theoretical calculations.^{6,12} It is worth noting that the second topmost band, (Γ_3^{-}) lies below the Γ $_{1}^{+}$ bands with an energy difference of ~ 1.1 eV at the Γ point, a value which is also comparable with the reported calculations for both the monolayer and bilayer cases making further distinction

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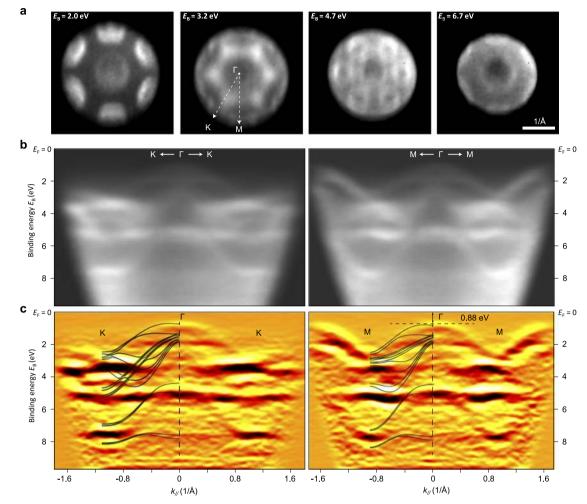


Fig. 3 *k*-PEEM images and electronic band-structure of as-grown nominal bilayer GaSe. **a** *k*-PEEM images acquired at different binding energies $E_{\rm B}$ (with respect to Fermi level $E_{\rm F} = 0$ eV) from the near-Fermi-level energy towards deep valence-band. **b** Electronic band structures along high-symmetry Γ to K (left) and Γ to M (right) directions. **c** Second-derivative electronic structures with enhanced contrast. The dashed line represents the VBM, which is ~0.88 eV below the Fermi level $E_{\rm F}$. The calculated band structures are presented as black curves¹²

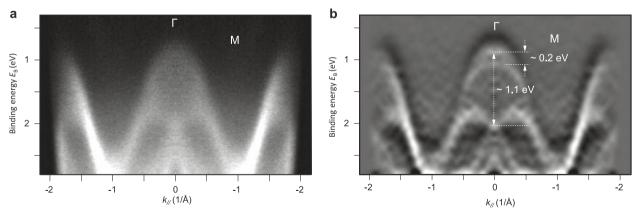


Fig. 4 High-resolution electronic band-structure near the Γ point of nominal bilayer GaSe. **a** Electronic structure near the Γ point (**b**) secondderivative electronic structure from (**a**). Binding energy E_B is with respect to the Fermi level $E_F = 0$ eV

difficult.^{6,30} While the topmost valence band is the result of hybridization mainly arising from the Se p_z orbital, the shapes and the spin splitting in the upper valence bands are the result of mixed p_{xr} p_{yr} and s orbital hybridizations.^{9,29,31} The spin–orbit interaction of the valence bands in bilayer GaSe is expected to

impart unique optical properties such as 2D spin-dependent excitation and decay by emission of linearly polarized light.³¹

The *k*-PEEM image at the VBM illustrates the constant-energy cross-section of the inverted band structure surrounding the Γ point, Fig. 5a. Assuming an isotropic band dispersion near the

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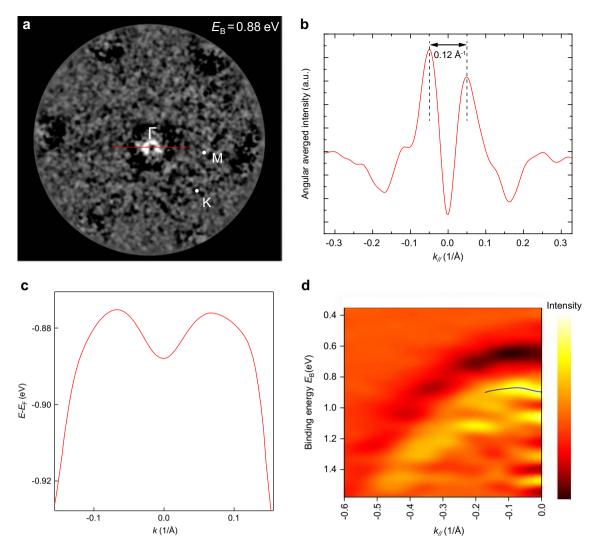


Fig. 5 Inverted band structure of the top-most valence band. **a** 2nd derivative-filtered *k*-PEEM image at VBM ($E_B = 0.88 \text{ eV}$). The dotted line indicates the basis for the intensity profile analysis around the Γ point in (**b**). **b** Angular averaged intensity profile around the Γ point k = 0 Å⁻¹ through the dotted line in (**a**). **c** Dispersion at the top of the valence band reconstructed along the three equivalent M- Γ -M' directions. **d** Angular average *k*-PEEM intensity map around the Γ point of the topmost valence band. The solid line indicates the trace of intensity maxima of the topmost valence band structure using energy-distribution curve analysis

VBM, angular averaged intensity profile around the Γ point shows a valley with a dip at Γ with a diameter of ~ 0.12 Å⁻¹, calculated based on the average separation of the two peaks, Fig. 5b. Here, we show the dispersion relationship for the top of the valence band centered on the Γ point obtained by averaging $E_{\rm B}$ vs. k slices of the k-PEEM image stack along the three equivalent M- Γ -M' directions and fitting the resulting constant-k intensity curves with a Gaussian function.

The topmost valence band traced with the intensity maximum curves clearly shows the VBM located away from the Γ point (Fig. 5c). The multi-peak analysis of the energy dispersion curves of the upper valence band near the Γ point by Gaussian peak fitting allows a systematic tracing of the dispersion relationship. It reveals the non-parabolic, inverted band structure (solid curve in Fig. 5d), as predicted for monolayer to few-layer thick GaSe. We estimate the depth of the valley at Γ to be 0.012 eV and the radius of the inverted structure in the *k*-space, k_0 (Γ to the VBM) to be 0.068 Å⁻¹. Due to the unique band structure, the effective mass can vary dramatically over a short range of *k*-space (see Supplementary Figure 6 and Supplementary Note 5). After polynomial approximation of the dispersion curve in the range of the inverted region

in the *k*-space, the *k*-dependent effective mass can be calculated from the inverse of the curvature $(1/m^*=1/\hbar^2 \times d^2 E/dk^2)$ as shown in Supplementary Figure 6. This calculation gives the positive hole effective mass value near Γ that rapidly increases until the Van Hove singularity point at ~0.03 Å⁻¹ where it changes its sign as predicted. The hole effective mass value of ~0.6 m_o at Γ lies in a reasonable range considering predicted effective mass values of 0.54 m_o and 0.9 m_o , respectively for monolayer and bilayer β -GaSe.⁹ We thus expect that the nominal bilayer GaSe presented here can serve as an ideal material platform for further explorations of novel electronic properties arising from its inverted band structure.

In conclusion, we have used MBE to grow atomically thin GaSe films. The high quality of the films at micro to nanoscale was revealed by different characterization techniques including STEM, PEEM, and AFM. We report the measurement of the electronic band structure of nominal bilayer GaSe films obtained using *k*-PEEM. At the atomically thin limit, GaSe exhibits an inverted band dispersion at the Γ point, leading to the shift of the VBM away from the Γ point. The results shed light not only on the growth of

monochalcogenides but also on new potential applications based on their unusual valence band dispersion.

METHODS

MBE setup and material growth

The growth was carried out in an Omicron MBE with $\sim 10^{-10}$ mbar base pressure. Cleaved $1 \times 1 \text{ cm}^2$ GaAs(111)B substrates were outgassed up to 500 °C for at least 30 min prior to growth, and the native oxides were removed by atomic H source at 300 °C for 30 min. Hydrogen molecules were dissociated by a tungsten filament with Joule heating at 70 W and were introduced into the chamber via a leak valve with a pressure of $3 \times$ 10⁻⁷ mbar. The growth was first conducted at 450 °C for 5 min and paused by closing the mechanical shutters. The temperature was then ramped up to 530 °C with the ramping rate of 10 °C/min and the growth resumed for another 30 min by opening the shutters. The temperature above 400 °C was monitored using a pyrometer during the entire growth process. The Ga cell was operated at 910 °C with a Se/Ga ratio ~12 calibrated using a quartz crystal microbalance. A RHEED camera (STAIB Co.) was used to monitor the growth in-situ. Nominal bilayer GaSe samples were grown at the optimized conditions at least six times to check the reproducibility and material characterization. As-grown films were imaged and their uniformity checked using an AFM (Asylum Research Cypher) operating in ac mode.

Material transfer and STEM sample preparation

The cross-sectional lamella for STEM was prepared by standard focused ion beam. A thick amorphous carbon layer was deposited on the as-grown samples to protect the films before the focused ion beam cross-sectioning. For the preparation of suspended GaSe films on TEM grids, we introduced the electrochemical delamination method.^{32,33} The as-grown films were first coated with PMMA. Direct current voltage of -5 V was applied to the PMMA/GaSe/GaAs acting as the cathode thanks to the high conductivity of heavily p^{++} -doped—GaAs substrates. A platinum wire was used as the anode in an aqueous solution of K₂S₂O₈ (0.05 mM) used as the electrolyte. H₂ bubbles formed at the GaSe/GaAs interface and the delamination took place. After transferring the floating film onto the TEM grid, PMMA was dissolved in acetone.

STEM microscopy and analysis

The STEM experiments were performed using a FEI Titan Themis 300 double Cs corrected microscope at a 80 kV acceleration voltage to avoid specimen damage induced by the beam. The scanning probe had a 28 mrad semiconvergence angle which allows achieving a resolution close to 1 Å. The data was acquired under annular dark field conditions using an annular detector with a semicollection angle between 40 and 200 mrad.

Photoemission electron momentum microscopy

In order to avoid charging effects during the PEEM experiment, we used Zn-doped GaAs(111)B (Semiconductor Wafer Inc.) with doping concentration of 2×10^{18} – 5×10^{19} cm⁻³ as a substrate. The µm-scale PEEM, *k*-PEEM, and XPS measurements of GaSe were performed on the same area with a NanoESCA Mkl spectro-microscope (Scienta Omicron) in ultra-high vacuum $(2 \times 10^{-10} \text{ mbar})$ and room temperature conditions.^{34,35} For threshold secondary electron PEEM imaging and k-PEEM band structure imaging, the ultraviolet (UV) illumination was provided by a vacuum UV helium cold cathode lamp (hv = 21, 2 eV) at an off-normal angle of 65°. The excitation photon energy of 21.2 eV ensures efficient photoemission of valence band electrons due to the high cross-section values for the main valence band states of Ga 4p, Ga 4s, Se 4p, and Se 4s at the photon energy of the helium lamp.

For the same area k-PEEM and XPS measurements, a field aperture located at the first intermediate image plane in the PEEM column was closed in order to select a region of $\sim 20 \,\mu\text{m}$ in diameter for simultaneous µm-scale electronic band structure imaging and chemical analysis. For k-PEEM, pass energies of 50 and 25 eV with an entrance slit size of 1 mm were used resulting in analyzer resolution of 200 and 100 meV, respectively. For the core-level XPS analysis, pass energy of 50 eV for entrance slit size of 2 mm was used providing an analyzer resolution of 400 meV.

Work-function mapping was performed by fitting the onset of the secondary electron emission signal in the spectrum at each image pixel of the PEEM image series, thereby providing a local value of the work

function. The values were shifted by 0.98 eV compensating for the Schottky effect.³⁶ The dispersion relationship for the top valence band structure centered on the Γ point ($k_{I/I} = 0 \text{ Å}^{-1}$) was obtained by first averaging the E_{bin} vs. k slices of the k-PEEM image stack along the three equivalent MGM' directions. Then, each constant-k intensity curve was fitted with a Gaussian peak yielding a peak position which was used to trace the dispersion relationship in the range of the inverted structure ($E_{\rm B}$ = 0.86–0.91 eV; $k_{//} = 0-0.15 \text{ Å}^{-1}$).

Data availability

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The data that support the findings of this study are available from the corresponding author on reasonable request.

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AUTHOR CONTRIBUTIONS

M.W.C. performed MBE growth, H.K. performed PEEM, k-PEEM, and XPS analysis, D.O. performed TEM analysis. A. Kuc performed theoretical calculations which were supervised by T.H. O.R. worked on PEEM and k-PEEM measurements, A.K. supervised the project. M.W.C., H.K. and A.K. wrote the manuscript. All authors discussed the results

ADDITIONAL INFORMATION

Supplementary information accompanies the paper on the npj 2D Materials and Applications website (https://doi.org/10.1038/s41699-017-0047-x).

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