

## Large Hadron Collider momentum calibration and accuracy

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### Abstract

As a result of the excellent quality of the Large Hadron Collider (LHC) experimental detectors and the accurate calibration of the luminosity at the LHC, uncertainties on the LHC beam energy may contribute significantly to the measurement errors on certain observables unless the relative uncertainty is well below 1%. Direct measurements of the beam energy using the revolution frequency difference of proton and lead beams combined with the magnetic model errors are used to provide the energy uncertainty of the LHC beams. Above injection energy the relative uncertainty on the beam energy is determined to be  $\pm 0.1\%$ . The energy values as reconstructed and distributed online to the LHC experiments do not require any correction above injection energy. At injection a correction of  $+0.31 \sim \text{GeV}/c$  must be applied to the online energy values

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# Large Hadron Collider momentum calibration and accuracy

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As a result of the excellent quality of the Large Hadron Collider (LHC) experimental detectors and the accurate calibration of the luminosity at the LHC, uncertainties on the LHC beam energy may contribute significantly to the measurement errors on certain observables unless the relative uncertainty is well below 1%. Direct measurements of the beam energy using the revolution frequency difference of proton and lead beams combined with the magnetic model errors are used to provide the energy uncertainty of the LHC beams. Above injection energy the relative uncertainty on the beam energy is determined to be  $\pm 0.1\%$ . The energy values as reconstructed and distributed online to the LHC experiments do not require any correction above injection energy. At injection a correction of  $+0.31$  GeV/c must be applied to the online energy values.

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## I. INTRODUCTION

Operation of the Large Hadron Collider (LHC) between 2009 and 2016 saw an impressive progression of the peak and integrated luminosity delivered to the LHC experiments. In total close to  $30 \text{ fb}^{-1}$  were delivered at 3.5 TeV/c and 4 TeV/c, while around  $45 \text{ fb}^{-1}$  were delivered at 6.5 TeV/c. The LHC experiments have measured the integrated luminosity of the different dataset with a few percent precision using the Van de Meer scan method [1–5]. This allows for very precise production cross section measurements of heavy particles such as W, Z bosons and top quark pairs. In this case the uncertainty on the beam energy becomes an important uncertainty when comparing the measured values to the theoretical prediction which is energy dependent. The beam energy uncertainty also plays an important role in elastic scattering measurements carried out by the TOTEM [6] and ATLAS Collaborations [7]. At the time of writing of this document the LHC experiments have expressed the wish to know the LHC beam energy with an accuracy much better than 1%.

The 12 year beam energy calibration programme of LEP, formerly installed in the LHC tunnel, was extremely successful in providing accurate beam energy measurements between 40 GeV/c and 100 GeV/c [8, 9]. Although resonant depolarization, the workhorse of LEP energy calibration, is not available at the LHC, the experience gained on LEP is also relevant for LHC energy calibration. Based on the LEP and SPS experience with energy calibration first estimates for the expectations at the LHC were described in a note [10].

This document begins with a brief description of the main ingredients to the energy of a storage ring. The knowledge and the results of the LHC dipole field model is discussed in details. Effects leading to time dependence of the LHC beam momentum are then presented.

TABLE I: LHC operation energies with beam mode and corresponding year.

Momentum (GeV/c)	Beam mode	Year
1380	pp	2011 2103
2510	pp	2015
3500	pp PbPb	2010, 2011
4000	pp pPb	2012, 2013, 2016
6370	PbPb	2015
6500	pp pPb	2015 2016

A direct calibration technique based on the comparison of proton and ion beams is discussed in details. Beam momentum measurements at 450 GeV/c, 4 TeV/c and 6.5 TeV/c are presented together with their measurement errors. Finally the corrections to the energy values as reconstructed and distributed online to the LHC experiments are presented together with their uncertainties.

## II. LHC OPERATION ENERGIES

Between 2009 and 2016, the main LHC proton-proton (pp) production runs were performed at beam energies of 3.5 TeV/c (2010 and 2011), 4 TeV/c (2012) and 6.5 TeV/c (2015 and 2016). Lead-lead (PbPb) collisions were delivered at 3.5 TeV/c (2010 and 2011) and 6.37 TeV/c (2015). Proton-lead (pPb) collisions were delivered at 4 TeV/c (2013 and 2016) and 6.5 TeV/c (2016). For lead beams the momenta must be multiplied by the ion charge  $Z$ , where  $Z$  equals 82 because the ions are fully stripped. The lead isotope used at LHC is  $\text{Pb}_{208}$ . Special proton reference runs were delivered at 1.38 TeV/c and 2.51 TeV/c, they correspond to proton center-of-mass energies equivalent to the average nucleon-nucleon center-of-mass energy of the PbPb runs at 3.5 TeV/c and 6.37 TeV/c, respectively pPb runs at 4 TeV/c. Table I presents an overview of the operating energies of the LHC.

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### III. BEAM MOMENTUM AND MAGNETIC FIELDS

In a storage ring like the LHC the beam momentum  $P$  of each ring is defined by the integral of the bending field  $B$  along the closed orbit of each beam

$$P = \frac{Ze}{2\pi} \oint B(s) ds = Z \times 47.7 [\text{MeV}/c/\text{Tm}] \oint B(s) ds, \quad (1)$$

where  $Ze$  is the particle charge,  $Z = 1$  for protons and  $Z = 82$  for  $\text{Pb}^{82+}$  lead ions.  $s$  is the longitudinal coordinate along the beam orbit. The contributions of the different magnet multipoles to the beam momentum can be decomposed into 3 main terms,

$$P = P_d + \Delta P_q + \Delta P_\epsilon. \quad (2)$$

Here  $P_d$  is the contribution of the dipoles and  $\Delta P_q$  is the correction to the energy due the quadrupoles. Other elements, for example horizontal orbit correctors used for beam steering, can give additional small contributions  $\Delta P_\epsilon$  to the momentum.  $P_d$  depends on the integrated dipole field  $(BL)_d$  and accounts usually for almost 100% of the beam energy since the dipoles define the nominal momentum,

$$P_d = \frac{e}{2\pi} (BL)_d. \quad (3)$$

The relative energy change  $\Delta P_q/P$  can be expressed in terms of orbit length  $C$  or alternatively RF frequency  $f_{RF}$

$$\frac{\Delta P_q}{P} = \frac{1}{\alpha} \frac{C - C_c}{C} = -\frac{1}{\alpha} \frac{f_{RF} - f_{RFc}}{f_{RF}}. \quad (4)$$

It is a function of the momentum compaction factor  $\alpha$ ,  $\alpha \simeq 3.2 \cdot 10^{-4}$  for the LHC lattice (valid at all energies), of the central orbit length (circumference)  $C_c$  or central RF frequency  $f_{RFc}$ . The central orbit length (or central RF frequency) correspond to the orbit where the beam is centered on average in the quadrupoles; on this orbit  $\Delta P_q$  vanishes. In general  $\Delta P_q/P$  does not account for more than a per-mill of the bending field integral. For a perfectly aligned machine the definition of the central frequency  $f_{RFc}$  (and of the central orbit length) is unambiguous. It corresponds to the RF frequency (or orbit length) for which the beam is centered in *all* quadrupoles. In a real machine with misaligned magnets the beam is travelling on a closed orbit that is not centered in each quadrupole. In such a case the central frequency corresponds to the RF frequency for which the beam is centered *on average* in the quadrupoles.

## IV. LHC MAIN MAGNET FIELD

### A. LHC main dipole design

The LHC dipoles are electromagnets, where the main part of the magnetic field is given by a high intensity

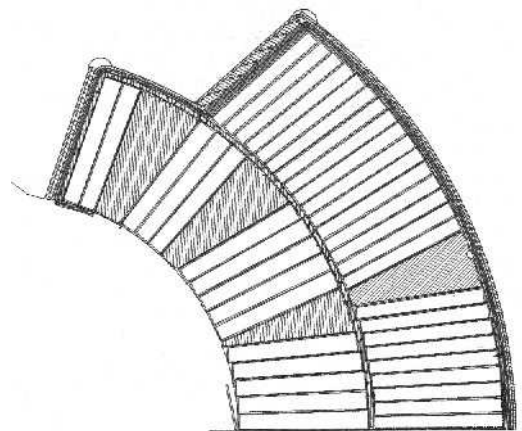
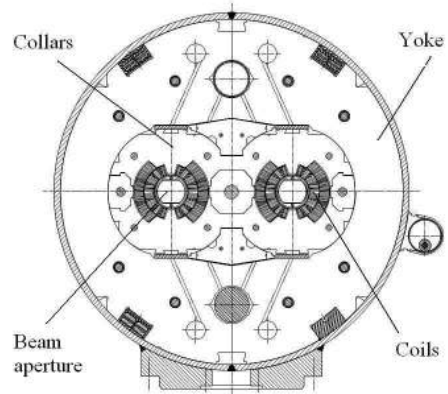


FIG. 1: LHC dipole cross-section (top) and detail of one quarter of the coil (bottom).

current [11]. The magnet cross-section, and the detail of the coil are shown in Fig. 1. The radius of the magnet aperture is 28 mm, and the coil is made with two layers of a 15.4-mm-width superconducting cable. There are 15 turns in the inner layer and 25 turns in the outer layer. The cables have different cross-sections, but carry the same current, that is 11.85 kA at nominal field for a total of 474 kA-turns. The nominal field in the bore is 8.3 T; most of it is given by the current lines, plus a second order effect ( $\sim 18\%$ ) given by iron, placed at 98 mm from the centre of the aperture.

### B. Magnetic measurements

Magnetic measurements of the LHC main dipoles have been performed using the rotating coils method [11, 12]. For the main field in a dipole, this technique has an absolute accuracy of 0.1% and a relative precision of 0.01%. The LHC dipoles have been measured in different assembly stages and operational conditions [13]:

- All the dipoles have been measured at room tem-

perature after the collaring (coils in the collars);

- All the dipoles have been measured at room temperature after the cold mass assembly (collared coil in the iron yoke with the stainless steel shell, i.e., what is shown in Fig. 1 top);
- 200 out of a total of 1232 dipoles have been measured in operational conditions at 1.9 K, with a cycle as in the LHC, over the entire range of operational currents from injection (0.53 T) to high field (8.33 T).

The transfer functions used in the LHC control system to convert a desired beam momentum into magnet currents is based on the measurements at 1.9 K for the magnets measured in superconducting state and on the measurement performed at room temperature for the remaining magnets, corrected for a small shift between room temperature and operational temperature.

In the following we will discuss the main mechanisms ruling the field accuracy, presenting also the results of the magnetic measurements.

### C. A simplified model

The magnetic field is given by the product of the current times the  $1/r$  term of the Biot-Savart law. The current is known with an accuracy of a few parts per million [14, 15], therefore its contribution to the error in the field is negligible. The error in the accuracy of the magnetic field is given by the precision of the positioning of the current lines. We first consider a very simplified model, in which the coil is lumped in four current lines at  $30^\circ$ , as in a Helmholtz coil-like configuration (see Fig. 2 top).

The field will be given by

$$B = 4 \frac{\mu_0 I_H}{2\pi r_H} \sin \frac{\pi}{3} \quad (5)$$

where the current intensity  $I_H$  is the total number of ampere-turns and for the current line position we can use the average of  $1/r$

$$\frac{1}{r_H} = \frac{1}{2} \left( \frac{1}{r} + \frac{1}{r+2w} \right) = \frac{w+r}{r(w+2r)} \quad (6)$$

where  $r=28$  mm is the magnet aperture radius, and  $w=15.4$  mm the width of the layer. The above average gives  $r_H=38$  mm, and with this very rough model one already obtains  $B=8.7$  T, not far from the 8.3 T value. The sensitivity of the magnetic field accuracy, given by the precision in positioning the current line, is

$$\frac{\Delta B}{B} = \frac{\Delta r_H}{r_H}. \quad (7)$$

Since the tolerances for the current line positioning are  $\pm 0.05$  mm, the relative accuracy on  $B$  is  $\pm 0.13\%$ . The contribution in the uncertainty of the angle is a second order effect with respect to the radial part.

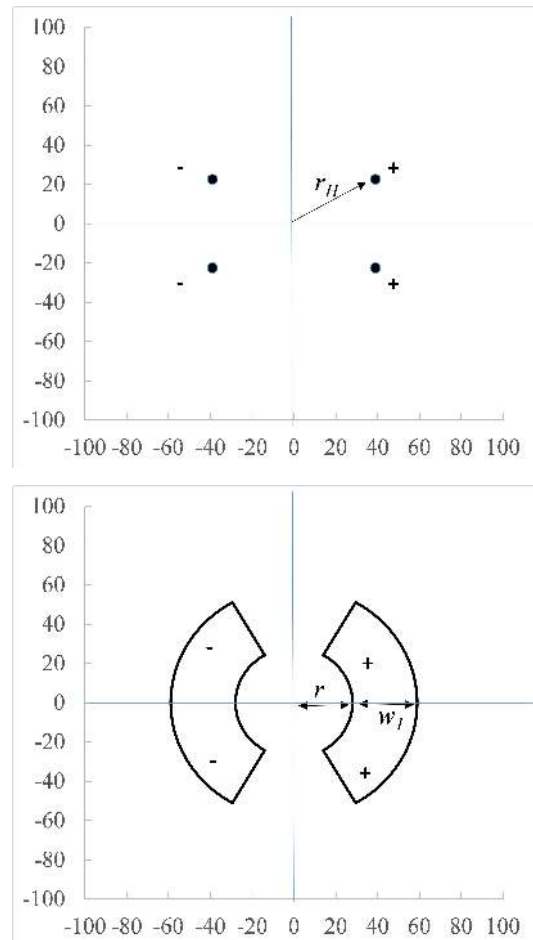


FIG. 2: A magnet given by a Helmholtz like coil (top) and a sector coil (bottom).

### D. A more detailed model

We now consider a more realistic model (see Fig. 2 bottom), where the coil is a circular sector of width  $w_1$ , piling up  $N_1$  trapezoidal cables with mid thickness  $t_1$ . The coil has a pole angle given by

$$\alpha_1 = \frac{2N_1 t_1}{\pi(r + w_1/2)}, \quad (8)$$

and the field is given by

$$B = \frac{2\mu_0}{\pi} j_1 w_1 \sin \alpha_1 \quad (9)$$

where  $j_1$  is the current density in the cable. The above expression can be written as

$$\begin{aligned} B &= \frac{2\mu_0}{\pi} j_1 w_1 \frac{2N_1 t_1}{\pi(r + w_1/2)} \frac{\sin \alpha_1}{\alpha_1} \\ &= \frac{4\mu_0 I N_1}{\pi^2} \frac{1}{r + w_1/2} \frac{\sin \alpha_1}{\alpha_1} \end{aligned} \quad (10)$$

The ampere-turns are known with a high accuracy, of the order of 0.1%. The radial part brings a similar contribution as in the previous case,  $\pm 0.05/(28+15.4) = \pm 0.12\%$ .

The coil pole angle is placed with an accuracy of 0.05 mm as well, corresponding to a 0.12% accuracy in  $\alpha_1$ , and 0.05% in  $\sin(\alpha_1)/\alpha_1$ . A quadratic sum of the contributions yields the final error estimate of 0.13%, as for the Helmholtz-like coil. One can complicate the model, accounting for wedges used to optimized field quality (one has four wedges in the LHC dipole coils, see Fig. 1), ending up with the same results.

### E. The impact of the iron

In the LHC dipoles, the iron is placed at  $R_I=98$  mm distance from the centre, over the whole circumference excluding about  $\pm 45^\circ$  in the direction of the magnet centre (see Fig. 1 top). Moreover, at fields larger than 2 T (so above injection energy) the iron starts saturating in the regions closer to the aperture. Excluding these two effects, an analytical estimate for the main field increase due to the iron using the image currents is given by

$$\zeta = \frac{\Delta B}{B} = \frac{r(r+w_1)}{R_I^2}, \quad (11)$$

and for the LHC dipole coil one obtains a main field increase of 17%. From this approximate expression it is possible to estimate the uncertainty on this quantity due to the geometrical tolerances

$$\begin{aligned} \frac{\Delta\zeta}{\zeta} &= \frac{\Delta r}{r} + \frac{\Delta(r+w_1)}{r+w_1} + 2\frac{\Delta R_I}{R_I} \\ &= \frac{0.05}{28} + \frac{0.05}{59} + 2\frac{0.1}{98} \simeq 0.5\%, \end{aligned} \quad (12)$$

giving an error on the main field of the order of 0.08%, still below the errors related to the coil positioning. The spread of the measured transfer functions among magnets with the same cross-section type is 0.045% (one standard deviation), so 99.7% of the magnets are within the  $\pm 0.13\%$  range as expected by these simplified analyses.

### F. The geometric component: model and measurements

Having discussed the two main mechanisms ruling the magnetic field accuracy in this type of design, we now turn to a comparison between the most accurate modelling and the magnetic measurements of the dipolar component in the transverse plane. The LHC dipoles were constructed with three successive iterations of the coil geometry. Here we recall the results relative to the comparison of a model [16] using the detailed layout of the superconducting strands to the measurements of the so-called geometric component of the transfer function (TF) [17]. The geometric TF is defined as the value of the magnetic field divided by the current at 5 kA where the superconducting magnet is in a linear regime. This corresponds to a momentum of 2.9 TeV/c. The difference for the three fine tunings of the coil cross-section is

TABLE II: Measured and modelled transfer function (TF) for the three LHC dipole cross-section types.

Cross-section type	Measured TF (T/kA)	Model TF (T/kA)	Difference
1	0.70798	0.70743	-0.08%
2	0.70759	0.70734	-0.04%
3	0.70720	0.70735	0.02%

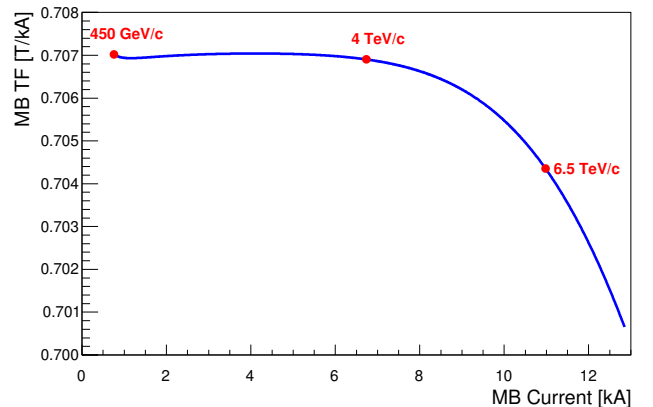


FIG. 3: Transfer function of the LHC main dipole magnets as a function of the circuit current.

within 0.1% in all cases, see Table II, in agreement with the expected accuracy of the measuring system. Therefore the accuracy of the estimate of the magnetic field in the 3 TeV/c region is 0.1%. The spread of the measured transfer function among the magnets with the same cross-section is 0.045% (one standard deviation), so 99.7% of the magnets are within the  $\pm 0.13\%$  range.

### G. Nonlinearities at 450 GeV/c and 7 TeV/c

At 7 TeV/c the main field is 8.33 T and close to the coil the iron is well above the saturation value. This means that the iron is a nonlinear element: it stops acting like a mirror for the current lines and it progressively becomes transparent for higher field. This corresponds to a decrease of the ratio main field/current of the order of 1%, i.e. roughly from 0.707 to 0.700 T/kA (see Fig. 3). This component can be modelled through finite element models. For the LHC dipoles there is a discrepancy between model and measurement of 0.15%, i.e. instead of having at 11.85 kA a field decrease of 0.78%, the measurements yield only 0.62% [17]. This discrepancy is due to a limited knowledge of the B-H curve of the iron. Since the magnetic measurement precision is 0.01%, values at 11.85 kA will have the same accuracy as at 5 kA, i.e. 0.1%. In this way one can bypass the problem of the limited knowledge of the iron. For this reason in the LHC field model, operational currents of the dipoles are computed using the magnetic measurements.



At 450 GeV/c, the magnetic field is affected by magnetization currents to shield the superconductor against the change of field during the ramp. Whereas the impact on high order multipoles (like the sextupolar component) is relatively large [18], for the main component the effect is well within 0.1% [19]. According to the model the magnetization should reduce the field/current by 0.05%, and the measurement gives an increase of 0.01% (see Fig. 3). If the magnetic measurements are used at 450 GeV/c, the accuracy will be similar to the 3 TeV/c case, i.e. 0.1%. Figure 3 presents the measured transfer function.

## H. Magnetic length

Since for the energy it is the integrated field that is relevant, the accuracy of the active length of the magnet, that is called magnetic length, must also be estimated. The LHC dipoles have a magnetic length of 14.36 m at room temperature and 14.31 m at 1.9 K. The change corresponds to the thermal contraction of the active part of the magnet. The construction tolerances are of the order of few mm, so well below 0.1%. The measurement precision is also of the order of few mm, so the magnetic length gives a negligible additional contribution to the accuracy of the integrated field.

## I. Conclusion on magnetic modelling

Summarizing, the accuracy on the integrated field in the LHC dipole is  $\pm 0.1\%$  over the entire energy range from 450 GeV/c to 7 TeV/c. This value is obtained from magnetic measurements. Today the measurements at room temperature and the sampling at 1.9 K are used in the LHC control system to set the LHC currents at each energy step. Construction tolerances, as shown with simplified models, give a field accuracy on the individual magnet at 3 TeV/c equivalent energy of  $\pm 0.13\%$ . The comparison between model and measurements confirm the 0.1% accuracy that can be obtained independently with both methods at 3 TeV/c, measurements providing a slightly more accurate result. The model at 7 TeV/c or at 450 GeV/c is less accurate due to the nonlinear effects.

The accuracy of the magnetic model can be tested by reconstructing the bare machine transverse tunes in the vertical and the horizontal planes. The bare tunes correspond to the tune values obtained by deconvoluting all corrections applied to bring the tunes to their nominal values. Figure 4 presents the evolution of the bare tunes between injection and 6.5 TeV/c. The maximum tune deviation is  $\simeq 0.14$  at injection and  $\simeq 0.1$  at 6.5 TeV/c. This corresponds to relative errors of 0.24% at injection and 0.17% at 6.5 TeV/c which agrees well with the expectations for both dipole and quadrupole field error for the LHC.

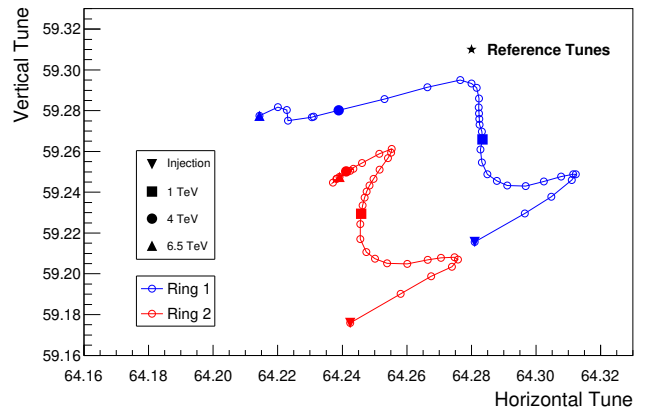


FIG. 4: Evolution of the bare machine tunes (before any correction) along the cycle from injection to 6.5 TeV/c at constant optics.

## V. TIME DEPENDENCE OF THE ENERGY

The energy of the LHC beams may vary with time due to changes of the dipole field, changes of the orbit corrector settings and geological effects that influence the circumference.

### A. Power converters

The LHC main dipole power converters are regularly calibrated against a precision current source. The current error does not exceed 10 parts per million over a time span a few months between calibrations [15].

### B. Orbit corrector magnets

The horizontal orbit corrector magnets are used primarily to correct the closed orbit of the two beams, but when they are shifted systematically in one direction, their combined field can affect the beam energy [9]. At the LHC the horizontal correctors are used at injection to match the energy of each ring with the energy of the beam extracted from the SPS. Typical corrections are at the level of  $\pm 0.03\%$  and the differences between the rings never exceed 0.02%. This correction is only applied at injection and not propagated to high energy. The energy correction at injection is re-adjusted typically twice per year using the horizontal orbit correctors. At high energy the relative correction due to correctors does not vary by more than  $\pm 0.01\%$ .

### C. Tides and geology

The circumference of the LHC is oscillating periodically due to Earth tides [20]. The peak-to-peak relative

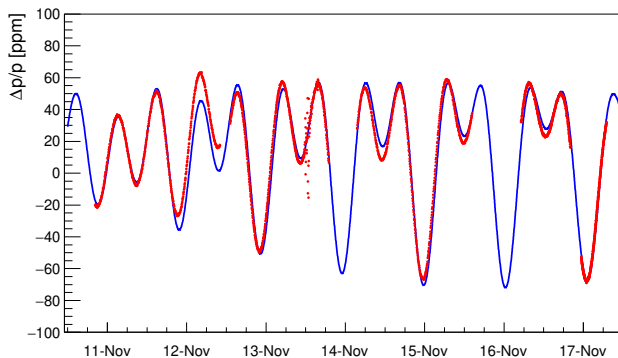


FIG. 5: Predicted (blue line) and observed (red point) tidal energy variations of the LHC ring in November 2016 during long consecutive fills at 4 TeV/c spanning almost an entire week. The outliers that can be observed around midday November 13<sup>th</sup> are radial oscillations of the ring induced by the surface waves from a magnitude 7.8 Earthquake in New-Zealand.

energy swing due to tides reaches 0.014% which corresponds to a RF frequency swing (to maintain the beam in the center) of 17 Hz. The associated circumference swing is 1.1 mm. An example is given in Fig. 5 for a one week time interval of the 2016 LHC run. The prediction is obtained from former LEP measurements [21, 22].

A radial feedback loop corrects the tidal effects by adapting the RF frequency to maintain the beams centered on average in the beam position monitors. This loop is used during ramp and squeeze, it ensures that at the start of the stable colliding beam periods the effect of the tides is essentially zeroed. Tidal effects that occur during the colliding beam periods are compensated by the LHC shift crews or by the radial feedback. Tidal energy shifts, besides being rather small, also tend to average out over the duration of a run.

In addition to periodic tides the ring is also subject to slower seasonal circumference changes that were already observed while LEP was still installed in the LHC tunnel [9, 21, 22]. At the LHC the radial feedback in use during operation compensates automatically such changes. Figure 6 displays the RF frequency adjustments that were made by the radial feedback to maintain the proton beams centered. The data of Fig. 6 has been corrected for the tidal effects. The total frequency swing due to slow geological effects is 35 Hz, which corresponds to a circumference change of 2 mm. If the beam position would not be adjusted by the feedback, the associated relative energy variation would be 0.026%.

In summary the contributions of energy variations over the year and other smaller corrections to the beam energy remain very small, a value of 0.03% can be considered as a conservative upper limit for the relative energy changes over a run above injection energy. Due to the corrections of the radial feedback and the averaging of the tidal effect over time, we associate a relative systematic error of

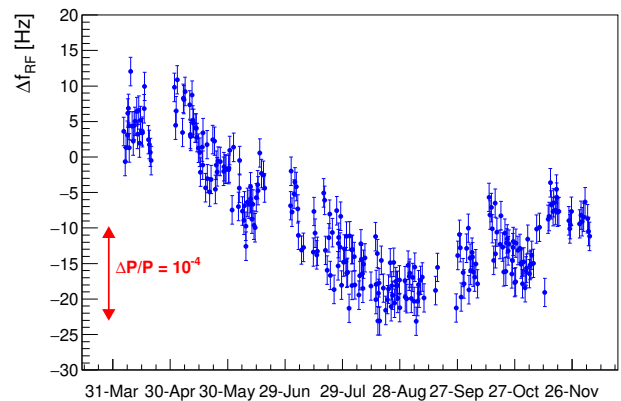


FIG. 6: RF frequency changes required to center the beam in the BPMs at 4 TeV/c along the 2012 LHC run. The full range corresponds to a circumference change of 2 mm.

0.005% on the energy due to residual geological effects.

#### D. Machine reproducibility

The stability of the LHC dipole field at high energy can only be assessed indirectly since no continuous measurement is available. The reproducibility of the LHC machine transverse tunes over time during the pp run is at the level of  $\delta Q \approx \pm 0.002$ . For integer tunes of 64 (horizontal plane) and 59 (vertical plane), this yields a dipole field stability of better than 0.003% for a natural (uncorrected) chromaticity of  $\approx -90$  in both planes. Such a good stability at high field is not surprising since the magnets are operated by definition at a stable temperature of 1.9 K, and are cycled in a systematic way before each injection to ensure the highest possible machine reproducibility [23].

## VI. ENERGY DIFFERENCE BETWEEN RINGS AND CENTRE-OF-MASS ENERGY

The main contributions to possible energy differences between the 2 rings are:

- differences in the integrated dipole field along the path of the beams,
- differences in the average beam position in the quadrupoles,
- differences in the horizontal orbit corrector settings.

The differences in integrated dipole field expected from the magnet measurements are smaller than 0.01%. Such a difference may be estimated with beam data from the

corrections that have to be applied to the trim quadrupoles to set the transverse tunes to their nominal values as presented in Fig. 4. While the observed difference is at the level of 0.01%, this method only provides a rough estimate since differences in gradient errors of the quadrupoles between the two rings are also a source of tune trim differences. The two other sources of energy differences (radial position and correctors) may also affect the tune trims, but with a different sensitivity (natural versus total chromaticity). There are no indications that contradict the estimate of 0.01% for the relative energy difference obtained from the magnet measurements.

Taking into account the observed systematic differences between ring 1 and ring 2, and the very small offsets in the radial position of the two proton beams in pp operation, the maximum difference in average radius is estimated to  $\pm 3$  Hz. This corresponds to a relative energy difference of  $\pm 0.002\%$ .

Energy offsets due to the orbit correctors can be determined directly from the settings of the orbit correctors. Above injection energy a conservative upper limit for the difference is 0.01%.

In summary, adding together all contributions, an upper limit for the relative energy difference between the 2 rings can be set to  $\pm 0.02\%$ .

At LEP the center-of-mass energy could differ significantly from one IP to the other due to the large synchrotron radiation loss and the unequal distribution of RF voltage around the machine circumference. This effect is negligible at the LHC due to the very small energy loss of only 6.7 KeV per turn even at 7 TeV/c, the energy loss being proportional to the fourth power of the beam energy.

Given that the energy differences between the two rings are very small compared to the measurement uncertainties and that there are no local energy shifts at the IPs, the centre-of-mass energy equals twice the beam energy. The relative errors on centre-of-mass and beam energy are identical because the energy uncertainties of the two rings are fully correlated.

## VII. DIRECT BEAM ENERGY MEASUREMENTS

No measurement device in the form of a reference magnet with magnetic probes or a spectrometer system like at LEP is available at the LHC to determine the energy of the machine during operation. It is however possible to use a technique based on the comparison of RF frequency of two particle species injected into the same magnetic cycle. This technique was first used for precise energy calibration at LEP with protons and positrons at 20 GeV/c [24]. Such calibrations were performed at the SPS in 1991 using proton and oxygen ions at 270 GeV/c [25] and in 2002 using proton and partially stripped  $\text{Pb}^{53+}$  lead ions at 450 GeV/c [26].

### A. RF frequency of protons and ions

The revolution frequency (respectively the speed) differs for lead ions and protons circulating in the LHC due to the different ratio of charge over rest mass. Since frequencies can be measured with excellent accuracy, this difference between protons and ions can be used to determine the momentum of the beams.

The speed  $\beta c$  of a particle is related to the revolution frequency  $f_{rev}$  and the RF frequency  $f_{RF}$  by

$$\beta c = C f_{rev} = \frac{C f_{RF}}{h} \quad (13)$$

where  $h$  is the harmonic number of the RF system ( $h = 35640$  for the LHC).  $C$  is the machine circumference. To determine the speed  $\beta$  and therefore the particle momentum, both the machine circumference and the revolution (or RF) frequency must be known.

The momentum and machine circumference may be determined simultaneously by measuring the revolution frequency for two particles with different charge over mass ratio provided that they are injected into exactly the same magnetic machine and on the same orbits. The speed  $\beta_p c$  of the proton beam is related to its momentum  $P$  and its rest mass  $m_p$  by the well known relation

$$\beta_p^2 = \frac{P^2}{P^2 + (m_p c)^2}. \quad (14)$$

An ion with charge  $Ze$ , injected into the same magnetic machine and on the same orbit than the proton beam has a momentum  $P_i = ZP$ . The speed  $\beta_i c$  of the ions is

$$\beta_i^2 = \frac{P^2}{P^2 + (m_i c/Z)^2} \quad (15)$$

with  $m_i$  the ion rest mass. The proton beam momentum  $P$  may be expressed in terms of the proton and ion parameters as

$$P = m_p c \sqrt{\frac{\kappa^2 \mu^2 - 1}{1 - \kappa^2}} \quad (16)$$

with

$$\kappa = \beta_i / \beta_p = f_{RF}^i / f_{RF}^p \quad (17)$$

and

$$\mu = \frac{m_i}{Z m_p}. \quad (18)$$

For the fully stripped lead ions with  $Z=82$  and atomic mass of 208 available at the LHC the value of  $\mu$  is 2.517. At high energy Eq. (16) can be approximated by

$$P \cong m_p c \sqrt{\frac{f_{RF}^p}{2|\Delta f_{RF}|} (\mu^2 - 1)} \quad (19)$$

where  $\Delta f_{RF} = f_{RF}^p - f_{RF}^i$  is the RF frequency difference between the proton and ion beams.



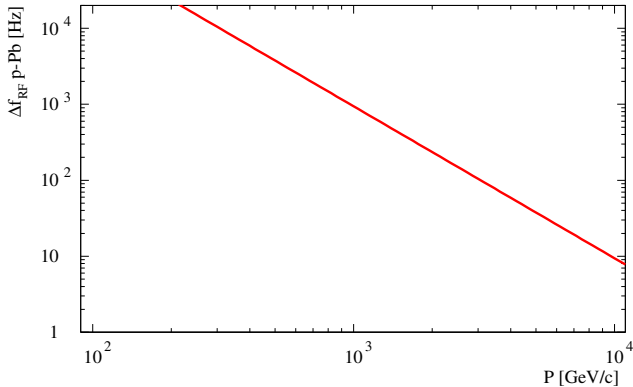


FIG. 7: Expected central RF frequency difference between proton and Pb<sup>82+</sup> beams as a function of the beam momentum at the LHC.

The measurement error on  $P$  is dominated by the accuracy of the RF frequency determination since all other parameters entering Eq. (16) are known with high accuracy. The measurement error  $\sigma_P$  on  $P$  is dominated by the term

$$\frac{\sigma_P}{P} \simeq \frac{\sqrt{\sigma_{f_{RF}^p}^2 + \sigma_{f_{RF}^i}^2}}{2 \Delta f_{RF}} \quad (20)$$

with  $\sigma_{f_{RF}^p}$  and  $\sigma_{f_{RF}^i}$  the measurement errors on the RF frequencies of the proton and ion beams.

The frequency difference  $\Delta f_{RF}$  between the beams follows from Eq. (19)

$$\Delta f_{RF} \simeq \left(\frac{m_p c}{P}\right)^2 \frac{f_{RF}^p}{2} (\mu^2 - 1) \quad (21)$$

and it scales quadratically with  $\mu$ . The dependence on  $1/P^2$  makes the measurement increasingly difficult as the momentum increases since the speed differences vanish.

The frequency difference  $\Delta f_{RF}$  is shown as a function of the LHC proton momentum  $P$  in Fig. 7, it shrinks by more than 2 orders of magnitude between 450 GeV/c and 7 TeV/c. Frequency difference values are presented for selected LHC beam energies in Table III.

An accurate calibration at the level of 0.1–1% in the range of 3.5–7 TeV/c requires a measurement of the radial offset between proton and lead beams at the level of 1–10  $\mu\text{m}$  (Table III). This is a very challenging requirement since the LHC ring is not stable to this level on the time scale of a few hours as discussed in the previous section. Due to the limited accuracy of the tidal prediction (at the level of a few percent) and to the presence of other slow ground movements, it is not possible to accurately predict  $C_c$  variations to better than  $\approx 50\mu\text{m}$  from one fill to another with a time span of few hours between two fills. A direct measurement of the radial position of the beams is necessary, requiring

TABLE III: This table presents a list of variables that are of interest for a few relevant proton momentum values ( $P$ , left column). The second column from the left corresponds to the RF frequency difference  $\Delta f_{RF}$  between a proton and a Pb<sup>82+</sup> beam. The third and fourth columns indicate the accuracy  $\sigma_{\Delta f}$  on  $\Delta f_{RF}$  and  $\sigma_R$  on the mean machine radius required to achieve a 0.1% accuracy for the energy measurement.

Momentum $P$ (GeV/c)	$\Delta f_{RF}$ (Hz)	$\sigma_{\Delta f}$ (Hz)	$\sigma_R$ ( $\mu\text{m}$ )
450	4650	9.1	96
4000	58.85	0.12	1.3
6500	22.99	0.046	0.49
7000	19.22	0.039	0.41

well calibrated Beam Position Monitors (BPMs) or more complicated measurement techniques [22].

It is challenging to perform accurate measurements as long as the proton and lead beams are injected and ramped in separate machine cycles as it was done up to 2011, with either pp or PbPb runs. The situation changed significantly in 2013 and 2016 with the mixed proton-lead runs, where both particle types are present at the same time in the LHC, albeit in separate rings. The frequency offsets can be measured at the same time for protons and ions, cancelling out effects from geological deformations of the tunnel and from certain BPM errors.

## B. Proton lead beam operation

Mixed operation mode with protons circulating in LHC ring 1 and lead beams in LHC ring 2 (and vice-versa) was first demonstrated in 2011 [27]. First collisions were delivered in this mode at 4 TeV/c in September 2012 [28]. A four week long run took place in January 2013, the data used for the beam energy measurement at 4 TeV/c was collected parasitically during this period. Another run at 6.5 TeV/c took place in November 2016. Roughly one half of each run was operated with protons in ring 1 and lead in ring 2, and the other half in the reverse configuration with protons in ring 2 and lead in ring 1.

Operation mixing proton and ions requires special RF manipulations. The evolution of the RF frequency in the ramp and on the high energy flat top is shown in Fig. 8. During injection and ramp, the RF systems of the two beams are de-coupled, each beam is operated at the frequency corresponding to a centered beam orbit. The RF frequencies follow the momentum increase during the ramp. On the high energy flat top the RF frequencies of the two beams are forced to a common frequency (typically the average frequency of protons and lead ions), and the beams are re-synchronized to ensure that the bunches collide at the experimental interaction points. After the RF manipulations, the two beams move off-center radially since the common frequency does not

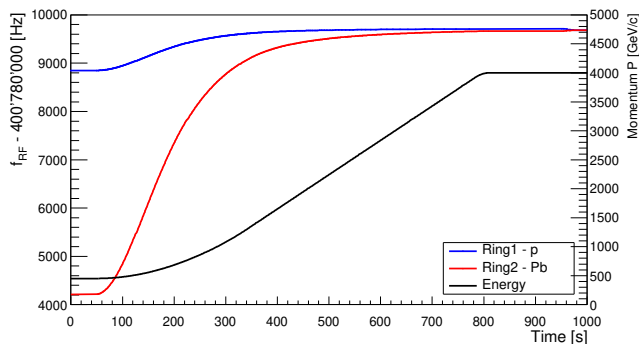


FIG. 8: Evolution of the proton and lead ion RF frequencies during an energy ramp to 4 TeV/c. The frequencies are locked together at 4 TeV/c (around time 960).

match the frequency required to center the beams in the quadrupoles. The beams are brought into collision in the experimental interaction regions to deliver collisions for the experiments under those conditions. As a consequence of the off-center orbits, the energy of the proton and lead beams differs by 0.046% at 4 TeV/c due to the frequency difference of around 59 Hz. At 6.5 TeV/c the energy difference is 0.018%. The momentum of the proton beam is always higher than the momentum of the lead beam. The center of mass energy is however not affected because of the energy change is anti-symmetric for the two beams.

### C. Frequency offset measurements

The determination of the beam energy is based on the radial offset of the orbits at the end of the RF manipulations at 4 TeV/c and 6.5 TeV/c (phase 3 of Section VII B). The beams are forced on a common frequency that is on average 29(11) Hz too low for the protons and 29(11) Hz too high for the lead ions at 4(6.5) TeV/c. The proton beam moves radially outward while the lead beam moves radially inward. To determine the beam energy, the radial offsets measured by the 520 dual plane BPMs of each ring are converted into equivalent RF frequency shifts. Figure 9 displays the orbit shift of the two beams when they are forced to the common frequency during the RF manipulation at 4 TeV/c. The typical mean radial change is 0.3 mm per beam, a 1 Hz frequency change shifting the beam radially by 10.6  $\mu\text{m}$ . Systematic errors on the radial position determination due to BPM scale and offset errors are the limiting factor for the measurement accuracy.

The relative momentum offset of the beam due to its radial shift can be estimated from the BPM readings in the horizontal (bending) plane using the following equa-

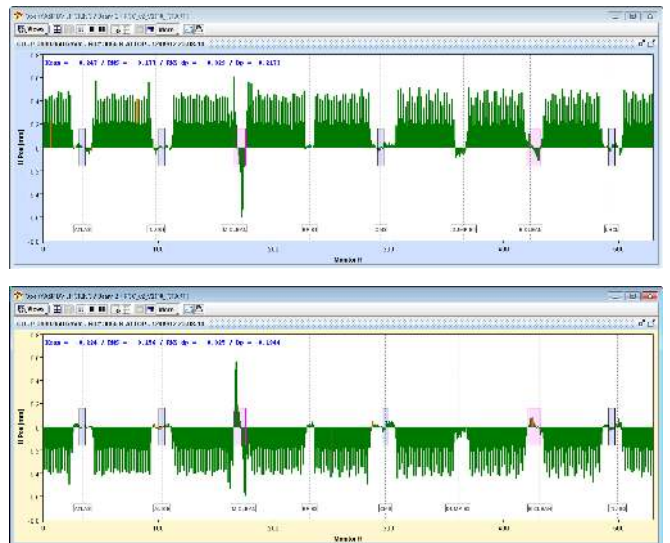


FIG. 9: Beam position change for the protons in ring 1 (top) and lead ions in ring 2 (bottom) between start and end of the RF manipulations at 4 TeV/c. For both plots the horizontal axis represents the BPM index, while the vertical axis is the horizontal (radial) beam position measured at each BPM in mm. The position shift is modulated by the horizontal dispersion  $D_{x,i}$  at each BPM.

tion,

$$\frac{\Delta P}{P} = \frac{\sum_{i=1}^N D_{x,i} x_i}{\sum_{i=1}^N D_{x,i}^2} \quad (22)$$

where  $i$  labels the BPMs and  $N$  is the total number of BPMs.  $D_{x,i}$  is the horizontal dispersion at the BPM with index  $i$  and  $x_i$  is the measured horizontal beam position at the same BPM. The momentum offset is converted into a RF frequency offset with the momentum compaction factor  $\alpha_c$

$$\Delta f_{RF} = -\frac{f_{RF}}{\alpha_c} \frac{\Delta P}{P} = -\frac{f_{RF}}{\alpha_c} \frac{\sum_{i=1}^N D_{x,i} x_i}{\sum_{i=1}^N D_{x,i}^2}. \quad (23)$$

For the LHC  $\alpha_c = 3.2 \times 10^{-4}$ .

The main issue for the accuracy of the measurements of the frequency offset is coming from possible systematic offsets between the center of the BPMs and the center of the quadrupoles. A mean systematic alignment offset will lead to an error on the reconstructed frequency offset, limiting the accuracy when for example a single configuration with protons in ring 1 and lead ions in ring 2 is used. For a constant measurement offset of the BPMs, it is possible to cancel the systematic error by inverting the beams in the rings, i.e. by measuring in the configuration

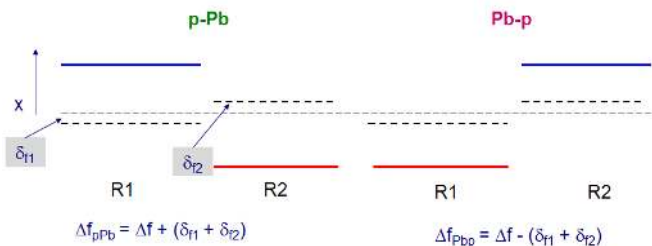


FIG. 10: Principle of the cancellation of systematic errors on the radial position by inversion of the protons and lead ions in the two rings.

with protons in ring 1 and lead ions in ring 2 (pPb) as well as in the reverse configuration with protons in ring 2 and lead ions in ring 1 (Pbp).

If  $\delta_{f1}$  ( $\delta_{f2}$ ) is the systematic frequency error that is introduced by a center offset of the BPMs in ring 1 (ring 2), see Fig. 10, the measured frequency difference  $\Delta f_{pPb}$  between proton and lead in pPb configuration is given by

$$\Delta f_{pPb} = \Delta f_{RF} + (\delta_{f1} + \delta_{f2}), \quad (24)$$

while in Pbp configuration the measurement yields

$$\Delta f_{Pbp} = \Delta f_{RF} - (\delta_{f1} + \delta_{f2}). \quad (25)$$

$\Delta f_{RF}$  represents the true frequency offset between protons and lead ions. It is assumed that the BPMs are only sensitive to the beam charge and do not change their characteristics when the beams are inverted. The real frequency is obtained by averaging the measurements taken in the two configurations since the systematic errors have exactly the opposite sign

$$\Delta f_{RF} = \frac{1}{2}(\Delta f_{pPb} + \Delta f_{Pbp}). \quad (26)$$

The potential of removing or at least reducing drastically the systematic error from center offsets of BPMs and quadrupoles makes the mixed mode with proton and lead ions attractive for the energy measurement.

## VIII. ENERGY MEASUREMENTS WITH PROTON-LEAD BEAMS

The frequency difference between proton and lead beams is reconstructed with two methods. The first method (A) determines the difference before beam cogging, when both beams are approximately centered radially and operated with different RF frequencies. In that case the dominant part of the frequency difference is encoded in the RF frequencies of the two rings. A correction must be applied to account for possible radial offsets of the two beams with respect to the mean center of the BPMs. The second method (B) determines the frequency difference after cogging when both beams are operated at the same RF frequency, but offset radially, see Fig. 9.

The systematic errors are different for the two methods. For method A for example the scale of the BPMs is less important than for method B. Systematic offsets of the BPMs play however a similar role in both cases, since the frequency differences of the beams are in both cases obtained with respect to the centered BPM readings.

The measurements presented in this section were obtained parasitically to regular machine operation. No machine time could be dedicated to special studies due to the short length of the proton lead runs and the extremely dense experimental program. The results presented for the 2013 run at 4 TeV/c and 450 GeV/c are revised results of an already published CERN report [29].

### A. Energy measurement at 4 TeV/c

Since the frequency shifts are obtained from the radial beam position measured by BPMs the calibration of the BPM scale is important to obtain accurate measurements, in particular after RF cogging. The BPM scale correction was determined at 4 TeV/c with controlled RF frequency trims of 10 Hz over a range of  $\pm 40$  Hz around the central orbit. The calibrations were performed in 3 fills during the proton-lead operation period. In all cases the response of the BPMs was perfectly linear. The calibrations were reproducible and yielded a BPM scale correction factor of  $C_1 = 1.020 \pm 0.001$  for ring 1 and  $C_2 = 1.023 \pm 0.003$  for ring 2.  $C_{1(2)}$  are the scale corrections to be applied to the BPM data, they correspond to the ratio of frequency change reconstructed by the BPMs with respect to the true frequency change. The fact that  $C_{1(2)}$  are larger than 1 implies that the BPMs overestimate the radial orbit offsets.

The bunch current is important for the orbit reconstruction because of a dependance of the BPM electronics accuracy on this beam parameter. The sensitivity is due to the principle of the Wide Band Time Normalization electronics [30]. To assess the influence on the measurement of the radial orbits and reconstructed frequencies, bunches were scraped on collimators at injection during stable conditions. The apparent change of the radial orbit position due to intensity systematics was then assessed. For ring 1 the radial position measurement was stable down to  $\approx 6 \times 10^9$  charges per bunch, while for ring 2 systematic effects become visible around  $\approx 10^{10}$  charges. This difference is explained by the presence of (unused) intensity measurement electronics in the ring 1 BPM acquisition chain, affecting the electronics systematic errors in 2013. This intensity measurement electronics was removed in 2014 and does not affect the 2016 data. While this systematic effect does not impact the measurement of the protons due to the higher bunch charge, the lead ion bunch measurements in pPb configuration with lead in ring 2 are in a region where the systematic errors may reach 0.5 Hz according to the scraping tests. This will be considered later for the systematic errors.

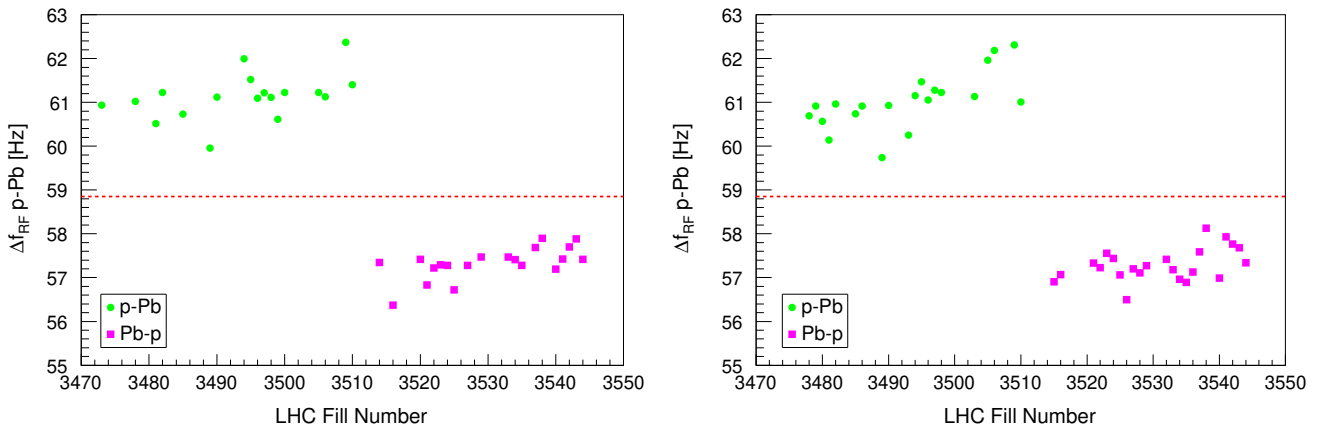


FIG. 11: Time dependence of the frequency difference  $\Delta f_{RF}$  of protons and lead ions at 4 TeV/c as a function of the LHC fill number in 2013. For the figure on the left, the frequency is reconstructed after the RF cogging (method B), for the figure on the right before RF cogging (method A). The red dotted line is the frequency difference corresponding to an energy of 4 TeV/c.

The frequency difference between protons and lead ions is extracted from orbits acquired at a standard time corresponding to the end of the ramp for method A (before frequency cogging) and to the end of the cogging for method B (Section VII B). For each beam the frequency offset with respect to the center of the BPMs is determined using Eq. (23). For method A the measured RF frequency differences are corrected for residual radial offsets. For method B the frequencies are reconstructed from the orbit differences. In both cases the orbit shifts are corrected for the BPM scale calibration factors  $C_{1(2)}$ .

The reconstructed frequency difference  $\Delta f_{RF}$  is shown for all fills where the lead beam intensity was above  $6 \times 10^9$  charges per bunch in Fig. 11. The results of the two methods agree within 0.1 Hz, consistent with the statistical uncertainties. The offset of  $\approx 4$  Hz between the data for the two beam directions reflects the systematic error on the frequency offset described in Section VII C. The 4 Hz difference is equivalent to a radial offset  $\simeq 40 \mu\text{m}$ . To obtain an accurate result the data of the two periods must be averaged.

To evaluate systematic effects the data was analysed using different cuts and sub-samples of the BPMs. Different BPM samples were considered by selecting only BPMs with large horizontal dispersion, selecting only BPMs in half of the ring or varying the cuts on bunch length or bunch intensity. A systematic error of  $\pm 0.25$  Hz is assigned to the choice of BPM selection. Since the lead ion bunch intensities lie in a range where BPM systematic error have a small impact, a systematic error of  $\pm 0.25$  Hz was assigned to this effect. A systematic error of 0.5% is assigned to the scale calibration, which results in a 0.3 Hz systematic error on the frequency for method B, and less than 0.1 Hz for method A. The total systematic error is obtained from the quadratic sum of all contributions, yielding a final error of  $\pm 0.4$  Hz for method A. The result for the frequency difference between protons and

lead ions at 4 TeV/c for method A, which has slightly smaller systematic errors, is

$$\Delta f_{RF,4TeV} = 59.16 \pm 0.16 \text{ (stat)} \pm 0.4 \text{ (syst)} \text{ Hz} . \quad (27)$$

This result can be converted into a beam momentum of

$$\begin{aligned} P_{4TeV} &= 3990 \pm 5 \text{ (stat)} \pm 14 \text{ (syst)} \text{ GeV/c} \\ &= 3990 \pm 15 \text{ GeV/c} \end{aligned} \quad (28)$$

where the total error is obtained from the quadratic sum of the statistical and of the systematic error. The value is in excellent agreement with the 4 TeV/c expected from the magnetic model.

## B. Energy measurement at 6.5 TeV/c

The frequency difference of proton and lead beams was measured at 6.5 TeV/c during the 2016 LHC run. The frequency measurement was again performed at top energy before beam cogging, with both beams approximately centered at different RF frequencies (method A). The measurement was repeated after cogging with both beams at the same RF frequency, but offset radially (method B). The scale of the BPMs was determined to be  $1.025 \pm 0.004$ , consistent with the 2013 run results at 4 TeV/c. The results for both methods are presented in Fig. 12 for all fills where the beams consisted of trains with 100 ns bunch spacing. The two methods again yield consistent results. The systematic shift between pPb and PbPb configurations has the same trend than at 4 TeV/c, but with a smaller offset, possibly due to the modifications to machine and electronics during the long shutdown in 2013 and 2014. The overall BPM quality and reproducibility was improved significantly with better cooling and temperature regulation of the acquisition electronics.

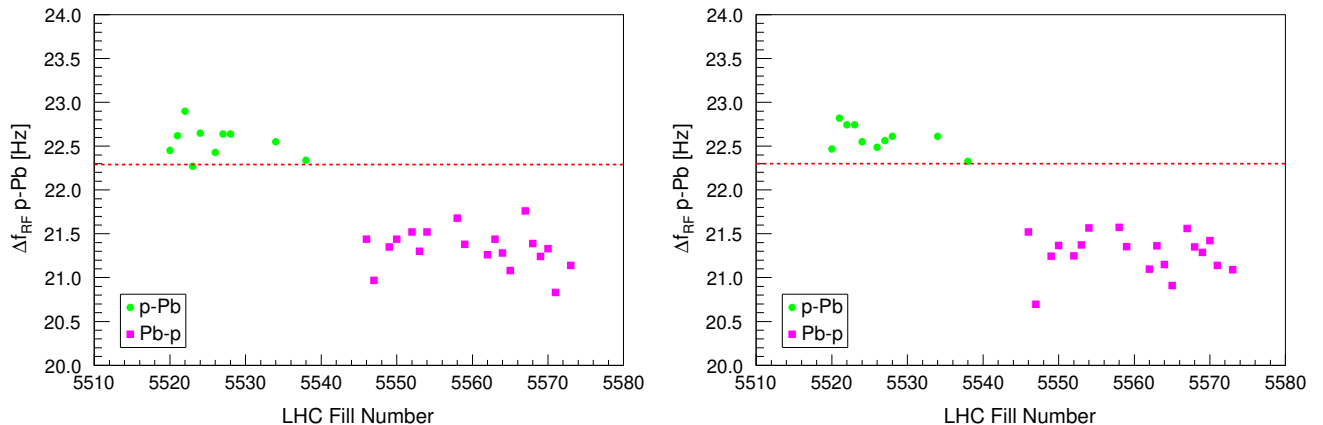


FIG. 12: Time dependence of the frequency difference  $\Delta f_{RF}$  of protons and lead ions at 6.5 TeV/c as a function of the LHC fill number in 2016. For the figure on the left, the frequency is reconstructed after the RF cogging (method B), for the figure on the right before RF cogging (method A). The red dotted line is the frequency difference corresponding to an energy of 6.5 TeV/c.

The systematic error assigned to the BPM selection is  $\pm 0.25$  Hz, identical to the 4 TeV/c value. The effect of a scale uncertainty of 0.5% is only 0.05 Hz due to the small radial offsets. Due to the higher ion bunch intensity, the effect of the intensity does not exceed  $\pm 0.12$  Hz. The total systematic error is estimated to  $\pm 0.28$  Hz.

The results of the two measurement methods agree with an average frequency of

$$\Delta f_{RF,6.5TeV} = 21.95 \pm 0.12 \text{ (stat)} \pm 0.28 \text{ (syst)} \text{ Hz} \quad (29)$$

This results in an energy of

$$\begin{aligned} P_{6.5TeV} &= 6551 \pm 17 \text{ (stat)} \pm 41 \text{ (syst)} \text{ GeV}/c \\ &= 6551 \pm 45 \text{ GeV}/c \end{aligned} \quad (30)$$

This value is consistent with the magnetic model of the LHC, but the accuracy of 0.7% is again significantly larger than the uncertainty on the LHC magnetic model.

### C. Energy measurement at Injection

The injection energy was measured with proton and lead beams in 2013 and 2016. At that moment of the cycle the RF systems of the two rings are still uncoupled as described in Section VII B and the beams are operated with a large RF frequency difference. The frequency offset between protons and lead ions is obtained from the recorded RF frequencies for each ring, corrected for the radial offset measured by the BPMs which corresponds to method A described in the previous sections. In general the beams are well centered in this phase, and the corrections from the orbit measurements are small, typically less than  $\pm 10$  Hz, compared to the total frequency difference of 4.6 kHz. The BPM scale calibration uncertainty at injection is therefore estimated to be 0.5 Hz.

Figure 13 presents the evolution of the proton and lead ion RF frequency difference as a function of the fill number at 450 GeV for the two runs. In each period the orbits and corrector settings were stable or were compensated for. Contrary to the situation at higher energy, the offset of the measurements between the pPb and Pb-p periods is small. This difference can be explained by the absolute orbit at injection which differs from the orbit at high energy. In addition the corrector settings are not identical which also influences the mean position of the beams in the BPMs of the two rings.

Assuming conservatively a total systematic error of  $\pm 1$  Hz on the averaged frequency difference at injection, the result for the frequency difference between protons and lead ions at 450 GeV for 2013 is

$$\Delta f_{RF,inj} = 4643.7 \pm 0.2 \text{ (stat)} \pm 1.0 \text{ (syst)} \text{ Hz} \quad (31)$$

while for 2016 the value is

$$\Delta f_{RF,inj} = 4643.6 \pm 0.1 \text{ (stat)} \pm 1.0 \text{ (syst)} \text{ Hz} . \quad (32)$$

The values of both runs are in excellent agreement which is also visible in Fig. 13. The systematic error of 1 Hz is considered conservatively to be fully correlated between the two runs.

The combined result can be converted into a beam momentum at injection of

$$P_{inj} = 450.31 \pm 0.01 \text{ (stat)} \pm 0.07 \text{ (syst)} \text{ GeV}/c \quad (33)$$

A contribution to the systematic error from the orbit corrector magnets of 0.05 GeV was added in quadrature to the uncertainty arising from the frequency difference. This contribution is not negligible at injection due to the much smaller relative uncertainty on the beam energy.



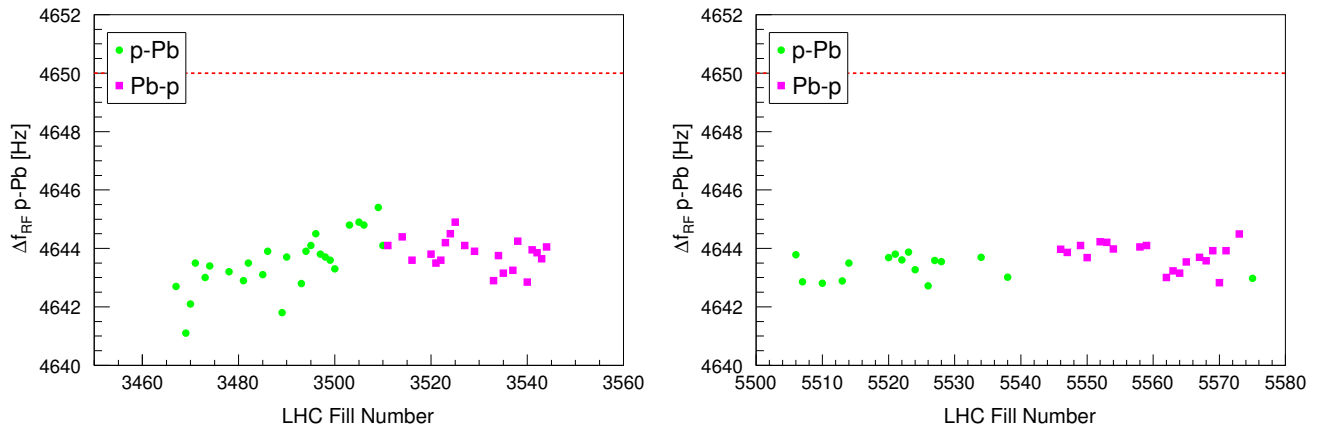


FIG. 13: Time dependence of the frequency difference  $\Delta f_{RF}$  of protons and lead ions as a function of the LHC fill number in 2013 (left) and 2016 (right). The red dotted line is the frequency difference corresponding to an energy of 450.0 GeV/c.

TABLE IV: Table of relative errors to the LHC beam energy above injection energy.

Contribution	Error (%)
PC calibration	0.001
Slow radial changes	0.005
Earth tides	0.005
Orbit correctors	0.03
Transfer function	0.1
Sum	0.1

## IX. SUMMARY

Table IV presents a summary of the errors on the LHC beam energy above injection energy. The relative error is 0.1%. As discussed in the previous sections of this document, the uncertainty on the transfer function dominates all other contributions. The energy values do not require corrections, i.e. the nominal values as reconstructed and distributed online to the experiments are applicable.

At injection energy the relative uncertainty on the beam energy is only 0.024% and the central value is shifted with respect to the nominal energy setting of 450 GeV/c by +0.31 GeV/c. The measured energy at injection is in excellent agreement with the magnetic model

and its estimated uncertainty.

The uncertainties of the energies for ring 1 and ring 2 are fully correlated.

For mixed proton and lead operation the energies of the two beams differ systematically during physics data taking, by 0.046% at 4 TeV/c and by 0.018% at 6.5 TeV/c. Due to the antisymmetry of the energy shifts (upwards for protons, downward for lead ions) the center of mass energy is not affected by this effect.

The direct measurement of the beam energy using proton and lead ion provide an accurate calibration at injection where the accuracy of the magnetic model is also confirmed. The direct measurements at 4 TeV/c and 6.5 TeV.c are in good agreement with the magnetic model, but the accuracy is roughly a factor four to seven worse than the estimated error of the magnetic model.

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