# Large intrinsic energy bandgaps in annealed nanotube-derived graphene nanoribbons

T. Shimizu<sup>1</sup>, J. Haruyama<sup>1\*</sup>, D. C. Marcano<sup>2</sup>, D. V. Kosinkin<sup>2</sup>, J. M. Tour<sup>2\*</sup>, K. Hirose<sup>3</sup> and K. Suenaga<sup>3</sup>

The usefulness of graphene for electronics has been limited because it does not have an energy bandgap. Although graphene nanoribbons have non-zero bandgaps, lithographic fabrication methods introduce defects that decouple the bandgap from electronic properties, compromising performance. Here we report direct measurements of a large intrinsic energy bandgap of  $\sim$ 50 meV in nanoribbons (width,  $\sim$ 100 nm) fabricated by high-temperature hydrogen-annealing of unzipped carbon nanotubes. The thermal energy required to promote a charge to the conduction band (the activation energy) is measured to be seven times greater than in lithographically defined nanoribbons, and is close to the width of the voltage range over which differential conductance is zero (the transport gap). This similarity suggests that the activation energy is in fact the intrinsic energy bandgap. High-resolution transmission electron and Raman microscopy, in combination with an absence of hopping conductance and stochastic charging effects, suggest a low defect density.

key goal of research into graphene, a two-dimensional carbon sheet<sup>1,2</sup>, is to introduce an energy bandgap to enable its use in conventional semiconductor device operations, because pristine graphene is a zero-bandgap material. At least two approaches have been used for the introduction of such a bandgap: the formation of few-layer graphenes<sup>3–5</sup> and the formation of graphene-nanoribbon structures<sup>6–12</sup>.

A non-zero bandgap can be induced in graphene by breaking the inversion symmetry of the AB-stack in bi- or trilayer graphenes<sup>3-5</sup>, but it is very difficult to control the exact number of layers during fabrication. Alternatively, quasi-one-dimensional confinement of the carriers in graphene nanoribbons induces an energy gap in the single-particle spectrum<sup>13–17</sup>. However, as it is difficult to fabricate graphene nanoribbons using lithographic methods without producing damage (disorder, defects), most of the experimental results reported to date are for disordered graphene nanoribbons<sup>6-8</sup>, except for one group<sup>18-20</sup>. Disorder-induced phenomena (such as hopping conductance, stochastic single electron charging effects in series-coupled quantum dots introduced by defects) obstruct observation of the intrinsic physical properties of graphene nanoribbons<sup>6-8</sup>. Theoretical models to explain the observed disorderinduced gaps have been reported<sup>9-12</sup>, such as the stochastic single electron charging effect, Anderson localization due to edge disorder and the contribution of edge irregularity. These energy gaps are not, however, the same as intrinsic energy bandgaps in defect-free graphene nanoribbons.

Reference 6 reports transport gaps that are inversely proportional to the width of lithographically formed disordered graphene nanoribbons at the charge neutrality point. However, these transport gaps were not attributed to the intrinsic energy bandgap or to simple quasi-one-dimensional confinement of the carriers but rather to edge disorder that introduced localized states, causing one-dimensional nearest-neighbour hopping at high temperatures and variable-range hopping at low temperatures. Therefore, the formation of damage-free graphene nanoribbons is key to revealing the intrinsic physical properties (energy bandgaps) of graphene nanoribbons and applying them to bandgap-tuned electronic devices.

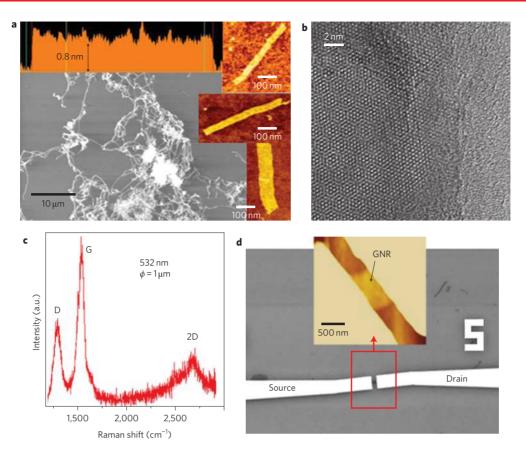
#### Sample fabrication and characterization

Graphene nanoribbons were prepared through the oxidization and longitudinal unzipping of multiwalled carbon nanotubes. This was achieved by suspending them in concentrated sulphuric acid, followed by treatment with  $\text{KMnO}_4^{21,22}$ . The acid and  $\text{KMnO}_4$  were removed as described elsewhere<sup>21,22</sup>. Figure 1a (main panel) shows a field-emission scanning electron microscope (SEM) image of an ensemble of the as-grown graphene nanoribbons, which are entangled with one another and not sufficiently exfoliated. The present air-blowing method realized individual graphene nanoribbons forming single-layered rectangular structures (Fig. 1a, insets) (see Methods).

A high-resolution transmission electron microscope (HRTEM) image (Fig. 1b) of the as-grown graphene nanoribbon shows the presence of a clear hexagonal graphene lattice with few defects (because of its non-lithographic formation)<sup>18</sup>. Some areas of the graphene nanoribbon were oxidized because the sample had not yet undergone an annealing process (see Methods). Moreover, both zigzag-edged<sup>18–20</sup> and armchair-edged structures, both with little disorder, were observed in some areas of the as-grown nanoribbons.

The biggest problem with the oxidative chemical unzipping method is that the graphene nanoribbons are initially oxidized. To entirely remove the oxygen from the bulk and edges of the nanoribbons and also effectively dope charge carriers, we found that three annealing steps were necessary (see Methods). The first step comprised annealing at 800 °C immediately after dispersion of the nanoribbons on the substrate, to remove the bulk of the oxygenation. The second step was carried out at 750 °C in a H<sub>2</sub> atmosphere to produce edge termination and charge carrier doping (before creating the marking patterns for electron-beam lithography as were necessary for fabricating the field-effect transistor (FET) electrodes). In the third step, annealing was conducted at 300 °C to clean the surface immediately before the formation of the electrodes.

<sup>&</sup>lt;sup>1</sup>Faculty of Science and Engineering, Aoyama Gakuin University, 5-10-1 Fuchinobe, Sagamihara, Kanagawa 252-5258, Japan, <sup>2</sup>Department of Chemistry and Mechanical Engineering and Materials Science, Rice University, 6100 Main Street, Houston, Texas 77005, USA, <sup>3</sup>Nanotube Research Center, National Institute of Advanced Industrial Science and Technology (AIST), AIST Central 5, Tsukuba, 305-8565, Japan. \*e-mail: J-haru@ee.aoyama.ac.jp; tour@rice.edu



**Figure 1 | Characterization of graphene nanoribbons. a**, Field-emission SEM image of an ensemble of the as-grown nanoribbons, which were dispersed on a silicon oxide substrate in a water droplet containing a suspension of nanoribbons. The nanoribbons are entangled and not sufficiently exfoliated. Insets (right): atomic force microscopy images of individual nanoribbons spread by applying a strong air flow to the droplet (see Methods). Inset (left): height of the nanoribbon measured along the longitudinal direction. The thickness of  $\sim$ 0.8 nm indicates a single-layer nanoribbon. **b**, HRTEM image of the as-grown nanoribbon (no annealing; see Methods), showing a clear hexagonal lattice of graphene with few defects and some oxidized parts. Annealing (see Methods) strongly enhances the quality of the nanoribbons and also results in carrier doping. **c**, Typical Raman spectrum of an annealed bilayer nanoribbon taken with a laser excitation of 532 nm and 0.14 mW incident power at room temperature. The width of the nanoribbon is ~100 nm and the G/D band ratio is as high as ~2.2. **d**, FET fabricated using a nanoribbon end-bonded by two metal electrodes, which eliminates single-electron charging effects (see Supplementary Section 1). The thickness of the SiO<sub>2</sub> layer on the gold/titanium backgate electrode was 300 nm, and the spacing of source and drain electrodes was 500 nm in all samples.

The Raman spectrum for the bilayer graphene nanoribbon annealed using these processes shows a high-intensity G band at ~1,580 cm<sup>-1</sup>, a low-intensity D band at ~1,350 cm<sup>-1</sup>, and a lowintensity 2D band at  $\sim$ 2,700 cm<sup>-1</sup> (Fig. 1c). The peak positions are in good agreement with those from previous reports, and the peak ratio of the G/D bands is as high as  $\sim$ 2.2. This strongly suggests that the present graphene nanoribbon is of high quality. The D-band peak, which is less intense or absent in high-quality graphene, is present only because of residual edge disorder (for example, residual oxygen atoms, missing carbon atoms, and so on), as mentioned in the discussion. In contrast, the peak widths are broader than those in conventional graphenes, in particular for the 2D band. Because the diameter of the laser beam used for measurements is 1 µm, and the width of each graphene nanoribbon is  $\sim 100$  nm, the spectra are affected by the nanoribbon edge structures, so the observed small and broad 2D band could be due to the large number of these edge structures in the graphene nanoribbon.

Both ends of a nanoribbon were bonded to the electrodes of a FET structure (Fig. 1d) to form ohmic contacts at the interface of the metal electrodes and the nanoribbon, in a similar process to that carried out in carbon nanoubes<sup>27</sup> to suppress the single-electron charging effect (see Supplementary Section 1).

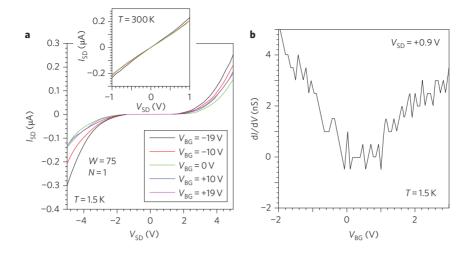
This is supported by the tunnel barrier layers being very thin or even absent.

#### Electronic transport of wide graphene nanoribbon FETs

To explore their electrical properties, graphene nanoribbons with different widths (*W*), both monolayer and bilayer (N = 1, 2), were selected for FET formation (see Supplementary Section 11). The abovementioned annealing process significantly improved the conductivity of the FETs, resulting in ohmic behaviour at room temperature (Fig. 2a, inset). However, at T = 1.5 K it results in nonlinear behaviour, with a strong zero-bias ( $G_0$ ) anomaly (Fig. 2, main panel) that is at least 10 times greater than that found in lithographically formed graphene nanoribbons of the same length<sup>6</sup>. The  $G_0$  anomaly is not attributable to a single-electron charging effect, as mentioned in relation to Fig. 5 (see Supplementary Section 2).

The transport gap of  $\Delta V_{BG} = 1$  V, which is the width of the backgate voltage region for which differential conductivity (dI/dV) is zero, is observed around the graphene charge neutrality (Dirac) point (Fig. 2b). Applying a backgate voltage ( $V_{BG}$ ) can shift the Fermi level in graphene nanoribbons. When the Fermi level is located between the bottom of the conduction band and the top of the valence band (that is, around the charge neutrality point), the conductance disappears, resulting in a transport gap.

### ARTICLES



**Figure 2** | Electronic characteristics of a graphene-nanoribbon FET. a, Typical drain current  $(I_{SD})$  versus drain voltage  $(V_{SD})$  relationship for a sample (W = 75 nm and N = 1) at room temperature (inset) and T = 1.5 K (main panel), revealing a strong zero-bias  $(G_0)$  anomaly with a voltage width of  $\Delta V_{SD} \approx \pm V$ , even at a backgate voltage  $(V_{BG})$  of  $\pm 20 \text{ V}$ .  $\Delta V_{SD}$  was determined from the  $V_{SD}$  range for differential conductance  $(dI_{SD}/dV_{SD}) \approx 0$ . The temperature dependence of the  $G_0$  anomaly does not follow the formula for single-electron charging effect<sup>26,27</sup>. This is consistent with end-bonding of the nanoribbon. **b**, Differential conductance  $(dI_{SD}/dV_{SD})$  as a function of  $V_{BG}$  at T = 1.5 K for the sample in **a**. A transport gap of the backgate voltage of  $\Delta V_{BG} \approx 1 \text{ V}$  is observed. At higher  $V_{SD}$ , the gap becomes ambiguous (Fig. 3). In contrast, at lower  $V_{SD}$ , the same gap was observed in most cases, although it also becomes ambiguous due to insulating behaviour at values of  $V_{BG}$  outside the gap. The energy estimated from this in the single-particle energy spectrum,  $\Delta_m$ , is ~70 meV, which is smaller than that for lithographically formed nanoribbons (~100 meV) with the same W.

Conventionally, this gap can correspond to an energy in the singleparticle energy spectrum<sup>17</sup> given by

$$\Delta_{\rm m} = \hbar \, \nu_{\rm F} \sqrt{(2\pi C_{\rm BG} \Delta V_{\rm BG}/|e|)}$$

where  $v_{\rm F} = 10^6 \text{ m s}^{-1}$  is the Fermi velocity of graphene<sup>6</sup> and  $C_{\rm BG}$  is the capacitive coupling of the graphene nanoribbon to the backgate electrode. This is because the applied  $V_{BG}$  modulates electronic states of the graphene nanoribbons via the silicon substrate, so electronic charging in the substrate ( $Q_{BG} = C_{BG} \Delta V_{BG}$ ) gives rise to the true energy values for transport gaps. When  $C_{BG}$  is taken to be 690 aF  $\mu$ m<sup>-2</sup>, which is the same as that in lithographically formed graphene nanoribbons<sup>6</sup>,  $\Delta_m$  in the present nanoribbons is estimated to be  $\sim$ 70 meV. This value is smaller than that of lithographically formed nanoribbons (~100 meV)<sup>6</sup>, and is believed to be appropriate through comparison with theoretical predictions averaged over many configurations of edge disorder<sup>13</sup>. Thus, the small  $\Delta_{\rm m}$  value for the present graphene nanoribbon strongly suggests that the degree of edge disorder is less than that in lithographically formed nanoribbons. This supports the conclusion that the present non-lithographic formation of graphene nanoribbons, with annealing, results in a very small amount of disorder<sup>22</sup>.

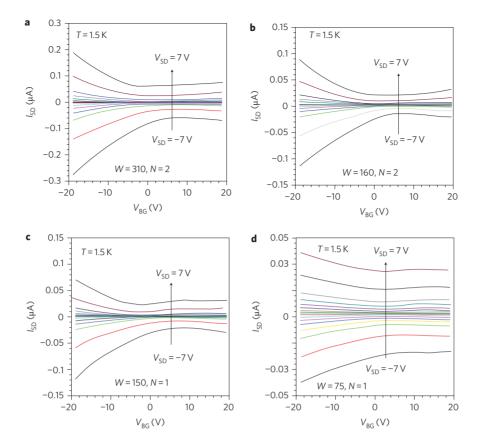
When the  $\Delta_{\rm m}$  of 70 meV is normalized by the number of carbon atoms per W ( $W/a_0 = 75$  nm/0.142 nm, where  $a_0$  is the carbon– carbon bond length), one obtains  $\Delta_{\rm m}^0 = 11.3$  eV. This is three times smaller than the value of  $\Delta_{\rm m}^0 = 36.3$  eV found in lithographically formed graphene nanoribbons, and is close to that of disorderfree nanoribbons. This result suggests a strong intrinsic contribution of quasi-one-dimensional confinement of the carriers to the observed transport gap<sup>13–15,17</sup> in the present nanoribbons.

A typical drain current ( $I_{\rm SD}$ ) versus  $V_{\rm BG}$  relationship for four different samples (Fig. 3) reveals a drain voltage ( $V_{\rm SD}$ ) dependence, with (i) p-type semiconducting behaviour at high  $V_{\rm SD}$  (>>1 V; the transport gap of Fig. 2b disappears), (ii) slight ambipolar behaviour in the middle of the  $V_{\rm SD}$  range (~1 V; this corresponds to Fig. 2b) and (ii) predominantly insulating behaviour at very low  $V_{\rm SD}$ (<<1 V; approximately maintaining the same transport gap as in Fig. 2b). The  $I_{\rm SD}$  on-off ratio<sup>24</sup> also exhibits a strong dependence on  $V_{\rm SD}$ . Such a dependence is highly sensitive to annealing conditions (see Methods and Supplementary Section 3), and can be interpreted as being due to edge reconstruction<sup>25,28</sup> and carrier doping to the edge (edge effect) in the high  $V_{\rm SD}$  region (Supplementary Section 7).

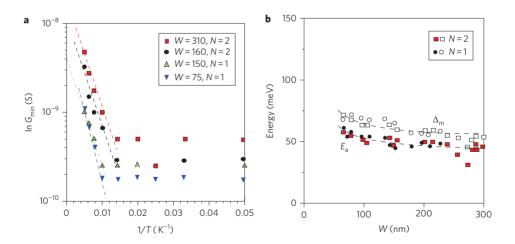
In typical Arrhenius plots for minimum differential conductance  $(G_{\min})$  versus temperature in the three samples (Fig. 4a; with the exception of the W = 75 nm sample in Fig. 3d), an activation energy  $(E_a)$  value of ~50 meV is obtained from a linear fit for high temperatures (Fig. 4a, dotted lines). The proportional relationship of  $E_a$  versus 1/W is very weak in the region of large W (>100 nm), and  $E_a$  is independent of N (Supplementary Section 12). This is more obvious in Fig. 4b.

In a graphene nanoribbon with W = 75 nm, the value of  $E_a$  increases slightly to ~55 meV (Fig. 4a,b). Remarkably, this value of ~55 meV is approximately seven times greater than those in lithographically formed graphene nanoribbons with the same width of 75 nm (ref. 6), and is quite close to the abovementioned  $\Delta_m$  value of ~70 meV. This trend was common for other samples (Fig. 4b). Moreover,  $G_{\min}$  becomes largely independent of temperature, and no signature of variable-range hopping is detected below  $T \approx 100-60$  K in all samples (that is,  $G_{\min} = \exp(A)$ , where A is a constant). Because the constant  $G_{\min}$  values are extremely small below T = 100-60 K, the present graphene nanoribbons can be insulating. Such characteristics are significantly different from those of lithographically formed nanoribbons<sup>6</sup>.

As mentioned in relation to the transport gap, the fact that the  $\Delta_{\rm m}$  values are smaller than those in lithographically formed nanoribbons suggests a smaller level of edge disorder in the present graphene nanoribbons. The  $E_{\rm a}$  values observed in lithographically formed nanoribbons were well below  $\Delta_{\rm m}$  (near 10%) and were therefore attributed to one-dimensional nearest-neighbour hopping through edge disorder<sup>6</sup>. In this regard, the value of  $E_{\rm a}$  for the present nanoribbons, being seven times greater than those in lithographically formed nanoribbons and close to the value of  $\Delta_{\rm m}$  (~80%), suggests that activation does not originate from one-dimensional nearest-neighbour hopping, but instead from an intrinsic energy bandgap within the nanoribbons. As the temperature decreases, this activation via bandgap rapidly decreases, and electron transport becomes insulating because of the absence of



**Figure 3** | Source-drain current versus backgate voltage. a-d,  $I_{DS}$  versus  $V_{BG}$  in four different nanoribbon FETs.  $V_{SD}$  was changed in steps of 1 V. A significant increase in  $I_{SD}$  in the  $-V_{BG}$  region suggests hole-dominant transport. The ratio of  $I_{SD}$  at  $V_{BG} = -20$  V to the minimum  $I_{SD}$  strongly depends on  $V_{SD}$ , and becomes large for large absolute values of  $V_{SD}$ . This suggests the appearance of different states driven by high  $V_{SD}$  (such as edge reconstruction; Supplementary Section 7). The transport gap and slight ambipolar feature shown in Fig. 2b remain at low  $V_{SD}$  (~1 V) in **d**. (*W*, width (nm); *N*, number of layers.).



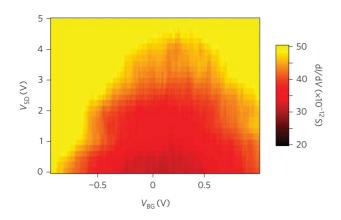
**Figure 4 | Temperature dependence of minimum conductance around the charge neutrality point and activation energy. a**, Arrhenius plot for minimum conductance ( $G_{min}$ ) versus temperature of the samples shown in Fig. 3.  $G_{min}$  represents the values at the charge neutrality point ( $V_{BG} \approx 0-2$  V). An  $E_a$  of ~50 meV was obtained from the dotted lines, and  $G_{min} \propto \exp(-E_a/2k_BT)$  at high temperatures is mostly independent of W and N, except for the W = 75 nm sample, where  $E_a$  increases slightly to ~55 meV. This value is seven times greater than those in lithographically formed nanoribbons and is close to the  $\Delta_m$  value (~80%). **b**,  $E_a$  and  $\Delta_m$  values as a function of W for two different values of N. The trend in **a** is more evident.

both edge disorder and variable-range hopping at low temperatures ( $<\sim$ 100–60 K). All of these interpretations are qualitatively consistent with a low defect density in the studied nanoribbons.

Moreover, the signature of the single-electron charging effect<sup>26,27</sup> cannot be observed in any measurements in the present graphene nanoribbons. Single-electron spectroscopy measurements (for

example, the so-called Coulomb diamond) comprise a very effective method with which to detect any small defects in nanomaterials (Supplementary Section 1)<sup>26,27</sup>. When very small defects exist in a sample, they act as quantum dots with the charging energies of single electrons. Where there are many defects, they resemble quantum dots coupled in series. These quantum dots lead to the

## ARTICLES



**Figure 5** | **Single-electron spectroscopy—half part of a Coulomb diamond measured at** *T* = **1.5** K. The colours represent differential conductance.  $\Delta V_{BG}$ is 5 mV. The transport gap is represented by the region of  $20 \times 10^{-12}$  S. No stochastic Coulomb diamonds can be observed inside the transport gap (Supplementary Section 1). A transport gap only exists around the charge neutrality point. The measured sample, with *W* = 80 nm and *N* = 1, is different from that in Figs 2 and 3.

so-called stochastic Coulomb diamond, in which the Coulomb diamonds are very large and non-uniform, and a series of such Coulomb diamonds can overlap. Previous reports of electronic transport in lithographically formed graphene nanoribbons have shown this stochastic Coulomb diamond effect<sup>6–8</sup>. In contrast, in examinations of the present nanoribbons, no indication of such stochastic diamonds inside the transport gaps is observed (Fig. 5). Only a transport gap (as in Figs 2b and 3) is seen around the charge neutrality point. This result strongly supports the conclusion that there is only a small number of defects between the two electrodes in these graphene nanoribbons.

The results also suggest that the observed  $E_a$  values are intrinsic bandgaps in the present graphene nanoribbons, in contrast to the case for lithographically formed nanoribbons, where the single-electron charging energy accounts for 60% of the  $E_a$  value<sup>6</sup>. This means that the large  $\Delta V_{\rm SD}$  (Fig. 2a) can also be attributed to the intrinsic energy bandgap (Supplementary Section 2).

Away from the charge neutrality point (out of the transport gap),  $I_{\rm SD}$  oscillation is seen to occur with  $V_{\rm BG}$  period ( $\Delta_{\rm osc}$ ), as large as  $\sim$ 5–6 V ( $I_{\rm SD}$  oscillation: Supplementary Section 13). This suggests the presence of discrete energy levels in the present graphene nanoribbons and supports the absence of defect-induced levels.

#### Origin of large bandgaps in wide nanoribbons

We next discuss the origins of the large bandgap values observed. In previous theoretical reports about graphene nanoribbons, widths of less than ~10 nm were used<sup>13–17</sup>, but we have used larger widths of  $W \approx 100$  nm. In spite of this, large  $E_a$  values were determined. We consider two factors influencing this observation: (i) there is quantum confinement of electrons into quasi-one-dimensional space, in which enhanced electron–electron interactions remain due to a large mean free path; (ii) the effective width of the present graphene nanoribbons is much smaller (of the order 10 nm). The second factor does not appear to be dominant based on our analysis (Supplementary Section 10).

The first factor is taken to be the dominant reason for the observation of large bandgap values. It is known that the origins of bandgaps vary in low-disorder graphene nanoribbons with different types of homogeneous edges (Supplementary Section 4)<sup>13–16</sup>. The bandgaps of graphene nanoribbons with armchair edges originate mainly from quantum confinement of electrons into

quasi-one-dimensional space. In contrast, in graphene nanoribbons with zigzag-shaped edges, the bandgaps arise from a staggered sublattice potential due to spin-ordered states at the edges (that is, spin gaps), as a peculiar case. Because the zigzag edge states localize within a few nanometres of the edge, showing an exponential decay towards the centre of the nanoribbons, and the present nanoribbons are as wide as  $\sim 100$  nm, the possibility that zigzag graphene nanoribbons make a major contribution to the observed large bandgaps is minimized (Supplementary Section 6). It is probable, therefore, that the observation of such large bandgaps corresponds to major effects arising from the presence of armchair-edged graphene nanoribbons. Quantum confinement of electrons into quasi-one-dimensional space and enhanced electron–electron interactions thus become very important.

Reference 13 has reported that the electron-electron interaction enlarges the self-energy correction in the first-principles calculation based on many-body perturbation theory (the GW approximation), leading to large bandgap values. Following this approach, reference 13 also calculated bandgap values of 3 eV for a W = 1 nm armchairedged graphene nanoribbon with hydrogen passivation. Based on this value and the conventional inverse proportional relationship between the bandgap and W, the bandgap can be estimated to be  $\sim$ 30 meV for  $W \approx 100$  nm in an armchair-edged nanoribbon. This value is in approximate agreement with the observed bandgap of  $\sim$ 50 meV for  $W \approx 75$  nm if it is considered that the relationship of bandgap versus 1/W becomes weaker in large W regions. Moreover, because our graphene nanoribbons are also hydrogenpassivated, our data tend to fit the theory of reference 13.

As mentioned above, graphene nanoribbons must be onedimensional if the theory of reference 13 is to be applied. It might therefore seem that the present nanoribbons, with  $W \approx 100$  nm, are too large and no longer one-dimensional. However, the strength of quantum confinement in the one-dimensional regime and the electron-electron interaction are determined by comparison of the mean free path  $l_a$  with the size of the one-dimensional space (that is, the W value of the graphene nanoribbons). When  $l_{\rho}$  is on the order of 100 nm, which is comparable to the W values of the present nanoribbons ( $W \approx 75-350$  nm), the nanoribbons are within one-dimensional charge transport regimes and provide strong one-dimensional electron-electron interaction, resulting in large bandgaps. In fact, we have confirmed the  $l_e$  value over 100 nm due to low defects (Supplementary Section 5). As the nanoribbon length decreased, the resistivity decreased linearly. The resistivity saturated at lengths shorter than  $\sim 100-300$  nm and became only slightly dependent on a change in length, showing a near constant value of quantum resistance  $(h/4e^2)$ . This suggests  $l_a \approx 100-300$  nm. Therefore, the present graphene nanoribbons are one-dimensional and, therefore, the large bandgaps are reasonable. When the W values become much larger than  $l_{e}$ , this correction will disappear and the bandgaps will also disappear.

The present graphene nanoribbons still have a small number of residual defects. As discussed earlier, edge disorder enhances bandgaps by means of many mechanisms (such as localization)<sup>9</sup>. Although the contribution from the localization effect becomes weaker in large-*W* nanoribbons, and is sensitive to the degree of disorder, it will nonetheless make a contribution to the present large bandgaps. In fact, the observed  $l_e$  value and the small influence of the localization effect are in good agreement with theoretical calculations<sup>9,10</sup>. Reference 9 reports the correlation of  $l_e$  values with the number of defects on each edge ( $P \times L/a$ , where *P* is defect probability, *L* is edge length and a = 2.46 Å is the lattice parameter) of graphene nanoribbons with four different types of disorder. The observed  $l_e$  values of ~100–300 nm in our nanoribbons correspond to the low-defect edge for Klein defects or single missing hexagon defects, with *P* as low as ~2.5%.

## ARTICLES

Reference 10 reports the correlation of *P* and W with bandgaps and local density of states in armchair-edged graphene nanoribbons under the localization effect, with a calculated presence of high local density of states in the bulk of the armchair-edged nanoribbons (P = 5% and W = 74 nm). This supports a small contribution of the localization effect in the present nanoribbons with  $P \approx 2.5\%$ and W > 75 nm. Furthermore, a bandgap of ~25 meV was calculated for an armchair-edged nanoribbon with P = 5% and W =74 nm. This suggests that the contribution of the localization effect to bandgaps in the present nanoribbons is ~10 meV, at most.

#### Conclusions

Low-defect graphene nanoribbons, formed by unzipping of carbon nanotubes followed by annealing, are promising materials for bandgap engineering and applications in conventional semiconductor device operations. Further study is anticipated regarding correlation with edge states (Supplementary Section 8) and also the formation of narrow graphene nanoribbons ( $W < \sim 10$  nm) by applying the present unzipping method to single-walled carbon nanotubes<sup>21</sup>.

#### Methods

**Spreading the as-grown nanoribbons (Supplementary Video).** To diminish the entanglement of the as-grown nanoribbons (Fig. 1a, main panel) and form sufficient rectangular structures of individual nanoribbons on the SiO<sub>2</sub> substrate (Fig. 1a, right insets), an air gun or conventional heat dryer was used. Air flow was thus applied to the water droplet containing the as-grown nanoribbons, changing directions every 30 s, 50 times. The strength of the air flow was tuned by controlling the distance between the droplet and the air gun. In this way, the droplet was moved around on the substrate and the entanglement of as-grown nanoribbons caused to diminish. Subsequently, the surface was dried at 300 °C in high vacuum (10<sup>-6</sup> torr).

**TEM observations.** Only the HRTEM image of the as-grown graphene nanoribbon before annealing is shown in Fig. 1b, because it is extremely difficult to carry out HRTEM observations on FET structures fabricated on silicon substrates. Moreover, because the available TEM grid is not stable at high temperatures (for example, 800 °C), changes in the edge structure cannot be observed by HRTEM after high-temperature annealing. (See Supplementary Section 9 for more details.)

**Annealing.** The first step was to anneal the sample at 800 °C for 15 h under high vacuum ( $10^{-6}$  torr) to reduce the bulk of the oxygen immediately after dispersion of nanoribbons on the substrate. In the second step, before forming the marking patterns required for electron-beam lithography in the fabrication of the FET electrodes, annealing was carried out at 750 °C for 30 min in a hydrogen atmosphere with pre-annealing at 750 °C for 20 min (in high vacuum,  $10^{-6}$  torr) to terminate edges and any residual dope charge carriers. A final annealing at 300 °C for 30 min (in high vacuum,  $10^{-6}$  torr) was performed for surface cleaning immediately before patterning of the FET electrodes (gold/titanium) by electron-beam lithography on a highly doped silicon substrate. (See Supplementary Sections 3 and 7 for more details.)

## Received 14 October 2010; accepted 12 November 2010; published online 19 December 2010

#### References

- 1. Novoselov, K. S. *et al.* Electric field effect in atomically thin carbon films. *Science* **306**, 666–669 (2004).
- Geim, A. K. & Novoselov, K. S. The rise of graphene, *Nature Mater.* 6, 183–191 (2007).
- Zhao, Y. et al. Symmetry breaking of the zero energy Landau level in bilayer graphene. Phys. Rev. Lett. 104, 066801 (2010).
- 4. Zhang, Y. *et al.* Direct observation of a widely tunable bandgap in bilayer graphene. *Nature* **459**, 820–823 (2009).
- Craciun, M. F. *et al.* Trilayer graphene is a semimetal with a gate-tunable band overlap. *Nature Nanotech.*4, 383–388 (2009).
- Han, M. Y. et al. Electron transport in disordered graphene nanoribbons. Phys. Rev. Lett. 104, 056801 (2010).
- Han, M. Y. et al. Energy band-gap engineering of graphene nanoribbons. Phys. Rev. Lett. 98, 206805 (2007).

- Stampfe, C. et al. Energy gaps in etched graphene nanoribbons. Phys. Rev. Lett. 102, 056403 (2009).
- Cresti, A. & Roche, S. Edge-disorder-dependent transport length scales in graphene nanoribbons: from Klein defects to the superlattice limit. *Phys. Rev. B* 79, 233404 (2009).
- 10. Evaldsson, M. , *et al.* Edge-disorder-induced Anderson localization and conduction gap in graphene nanoribbons. *Phys. Rev. B* **78**, 161407(R) (2008).
- 11. Mucciolo, E. R. *et al.* Conductance quantization and transport gaps in disordered graphene nanoribbons. *Phys. Rev. B* **79**, 075407 (2009).
- Lherbier, A. *et al.* Transport length scales in disordered graphene-based materials: strong localization regimes and dimensionality effects. *Phys. Rev. Lett.* 100, 036803 (2008).
- 13. Yang, L. et al. Quasiparticle energies and band gaps in graphene nanoribbons. *Phys. Rev. Lett.* **99**, 186801 (2007).
- 14. Son, Y-W., Cohen, M. L. & Louie, S. G. Energy gaps in graphene nanoribbons. *Phys. Rev. Lett.* 97, 216803 (2006).
- Son, Y-W., Cohen, M. L. & Louie, S. G. Half-metallic graphene nanoribbons. Nature 444, 347–349 (2006).
- Nakada, K., Fujita, M., Dresselhaus, G. & Dresselhaus, M. S. Edge state in graphene ribbons: nanometer size effect and edge shape dependence. *Phys. Rev. B* 54, 17954–17961 (1996).
- 17. CastroNeto, H. et al. The electronic properties of graphene. Rev. Mod. Phys. 81, 109–163 (2009).
- 18. Jiao, L. *et al.* Facile synthesis of high-quality graphene nanoribbons. *Nature Nanotech.* **5**, 321–325 (2010).
- 19. Li, X. *et al.* Chemically derived, ultrasmooth graphene nanoribbon semiconductors. *Science* **319**, 1229–1232 (2008).
- Wang, X. et al. Room-temperature all-semiconducting sub-10-nm graphene nanoribbon field-effect transistors. Phys. Rev. Lett. 100, 206803 (2008).
- Kosynkin, D. V. et al. Longitudinal unzipping of carbon nanotubes to form graphene nanoribbons. Nature 458, 872–877 (2009).
- 22. Higginbotham, A. L. *et al.* Low-defect graphene oxide nanoribbons from multiwalled carbon nanotubes. *ACS Nano* **4**, 2059–2069 (2010).
- 23. Takesue, I. et al. Superconductivity in entirely end-bonded multiwalled carbon nanotubes. Phys. Rev. Lett. 96, 057001 (2006).
- 24. Bail, J. et al. Graphene nanomesh. Nature Nanotech. 5, 190-194 (2010).
- Girit, C. O. et al. Graphene at the edge: stability and dynamics. Science 323, 1705–1708 (2009).
- Grabert, H. & Devoret, M. H. (eds) Single Charge Tunneling, NATO ASI Series B, Vol. 294 (Plenum, 1991).
- 27. Haruyama, J. *et al.* Coulomb blockade related to a localization effect in a single tunnel-junction carbon nanotubes system. *Phys. Rev. B* **63**, 073406 (2001).
- 28. Jia, X. M. *et al.* Controlled formation of sharp zigzag and armchair edges in graphitic nanoribbons. *Science* **323**, 1701–1705 (2009).
- Niimi, Y. *et al.* Scanning tunneling microscopy and spectroscopy of the electronic local density of states of graphite surface near monoatomic step edges. *Phys. Rev. B* 73, 085421 (2006).
- Cervenka, J., Katsnelson, M. I. & Flipse, C. F. J. Room-temperature ferromagnetism in graphite driven by two-dimensional networks of point defects. *Nature Phys.* 5, 840–844 (2009).
- Rangel, N. L., Sotelo, J. C. & Seminario, J. M. Mechanism of carbon nanotubes unzipping into graphene ribbons. J. Chem. Phys. 131, 031105 (2009).

#### Acknowledgements

The authors thank S. Tarucha, M. Yamamoto, T. Otsuka, Y. Iye, S. Katsumoto, T. Osada, H. Fukuyama, T. Ando, A. Endo, Y. Hashimoto, N. Miyazaki, Y. Yagi, H. Kodama, A. Sawabe, X.M. Jia, M.S. Dresselhaus, M.H. Han, J. Kohno and P. Kim for technical support, fruitful discussions and encouragement. The work at Aoyama Gakuin was partly supported by a Grant-in-aid for Scientific Research and a High-Technology Research Center Project for private universities in MEXT. The work at Rice University was supported by the AFOSR (FA9550-09-1-0581), the Alliance for Nanohealth, the AFRL through the University Technology Corporation (09-S568-064-01-C1) and the Office of Naval Research Multidisciplinary Research Program of the University Research Initiative (MURI) program.

#### Author contributions

J.H., J.M.T. and K.S conceived and designed the experiments. T.S., D.C.M., D.V.K., and K.H. performed the experiments. J.H. analysed the data. J.H. and J.M.T. co-wrote the paper.

#### Additional information

The authors declare no competing financial interests. Supplementary information accompanies this paper at www.nature.com/naturenanotechnology. Reprints and permission information is available online at http://npg.nature.com/reprintsandpermissions/. Correspondence and requests for materials should be addressed to J.H. and J.M.T.