

# Large-scale unsupervised discovery of excitatory morphological cell types in mouse visual cortex

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## Abstract

Neurons in the neocortex exhibit astonishing morphological diversity which is critical for properly wiring neural circuits and giving neurons their functional properties. The extent to which the morphological diversity of excitatory neurons forms a continuum or is built from distinct clusters of cell types remains an open question. Here we took a data-driven approach using graph-based machine learning methods to obtain a low-dimensional morphological “bar code” describing more than 30,000 excitatory neurons in mouse visual areas V1, AL and RL that were reconstructed from a millimeter scale serial-section electron microscopy volume. We found a set of principles that captured the morphological diversity of the dendrites of excitatory neurons. First, their morphologies varied with respect to three major axes: soma depth, total apical and basal skeletal length. Second, neurons in layer 2/3 showed a strong trend of a decreasing width of their dendritic arbor and a smaller tuft with increasing cortical depth. Third, in layer 4, atufted neurons were primarily located in the primary visual cortex, while tufted neurons were more abundant in higher visual areas. Fourth, we discovered layer 4 neurons in V1 on the border to layer 5 which showed a tendency towards avoiding deeper layers with their dendrites. In summary, excitatory neurons exhibited a substantial degree of dendritic morphological variation, both within and across cortical layers, but this variation mostly formed a continuum, with only a few notable exceptions in deeper layers.

## 37 **1 Introduction**

38 Neurons have incredibly complex and diverse shapes. Ever since Ramon y Cajal, neuroanatomists have studied their mor-  
39 phology [19] and have classified them into different types. From a computational point of view, their dendritic morphology  
40 constrains which inputs a neuron receives, how these inputs are integrated and, thus, which computations the neuron and the  
41 circuit it is part can learn to perform.

42 Less than 15% of neocortical neurons are inhibitory, yet they are morphologically the most diverse and can be classified  
43 reliably into well-defined subtypes [2, 7]. The vast majority of cortical neurons are excitatory. Excitatory cells can be divided  
44 into spiny stellate and pyramidal cells [16]. Although pyramidal cells have a very stereotypical dendritic morphology, they  
45 do exhibit a large degree of morphological diversity. Recent studies subdivide them into 10–20 cell types using manual  
46 classification (e.g. Markram et al. [14]) or clustering algorithms applied to dendritic morphological features [6, 10, 15].

47 Existing studies of excitatory morphologies have revealed a number of consistent patterns, such as the well-known thick-  
48 tufted pyramidal cells of layer 5 [8, 6, 10, 14, 15]. However, a commonly agreed-upon morphological taxonomy of excitatory  
49 neuron types is yet to be established. For instance, Markram et al. [14] describe two types of thick-tufted pyramidal cells  
50 based on the location of the bifurcation point of the apical dendrite (early vs. late). Later studies suggest that these form two  
51 ends of a continuous spectrum [10, 6]. Other authors even observe that morphological features overall do not form isolated  
52 clusters and suggest an organization into families with more continuous variation within families [20]. There are two main  
53 limitations of previous morphological characterizations: First, many rely on relatively small numbers of reconstructed neurons  
54 used to assess the morphological landscape. Second they represent the dendritic morphology using summary statistics such as  
55 point counts, segment lengths, volumes, density profiles (so-called morphometrics; [15, 21, 13]) or graph-based topological  
56 measures [9]. These features were handcrafted by humans and may not capture all crucial axes of variation.

57 We here take a data-driven approach using a recently developed unsupervised representation learning approach [24] to  
58 extract a morphological feature representation directly from the dendritic skeleton. We apply this approach to a large-scale  
59 anatomical dataset [1] to obtain a low-dimensional vector embedding (“bar code”) of more than 30,000 neurons in mouse  
60 visual areas V1, AL and RL. Our analysis suggests that excitatory neurons’ morphologies form a continuum, with notable  
61 exceptions such as layer 5 thick-tufted cells, and vary with respect to three major axes: soma depth, total apical and total  
62 basal skeletal length. Moreover, we found a number of novel morphological features in the upper layers: Neurons in layer 2/3  
63 showed a strong trend of a decreasing width of their dendritic arbor and a smaller tuft with increasing cortical depth. In layer 4,  
64 morphologies showed area-specific variation: atufted neurons were primarily located in the primary visual cortex, while tufted  
65 neurons were more abundant in higher visual areas. Finally, layer 4 neurons in V1 on the border to layer 5 showed a tendency  
66 towards avoiding layer 5 with their dendrites.

## 2 Results

### 2.1 Self-supervised learning of embeddings for 30,000 excitatory neurons from visual cortex

Our goal was to perform a large-scale census of the dendritic morphologies of excitatory neurons without prescribing a-priori which morphological features to use. Therefore, we used machine learning techniques [24] to learn the features directly from the neuronal morphology.

Our starting point was a  $1.3 \times 0.87 \times 0.82 \text{ mm}^3$  volume of tissue from the visual cortex of an adult P75–87 mouse, which has been densely reconstructed using serial section electron microscopy [1]. This volume has been segmented into individual cells, including non-neuronal types and more than 54,000 neurons whose soma was located within the volume. From these detailed reconstructions we extracted each neuron’s dendritic tree and represented it as a skeleton (Fig. 1B): each neuron’s dendritic morphology was represented as a graph, where each node had a location in 3d space. This means we focused on the location and branching patterns of the dendritic tree, not fine-grained details of spines or synapses, or any subcellular structures (see companion paper; Elabbady et al. [4]).

Our next step was to embed these graphs into a vector space that defined a measure of similarity, such that similar morphologies were mapped onto nearby points in embedding space (Fig. 1B). To do so, we employed a recently developed self-supervised learning method called GraphDINO [24] that learns semantic representations of graphs without relying on manual annotations. The idea of this method is to generate two “views” of the same input by applying random identity-preserving transformations such as rotations around the vertical axis, slightly perturbing node locations or dropping sub-branches (Fig. 1B, top and bottom). Then both views are encoded using a neural network. The neural network is trained to map both views onto similar vector embeddings. For model training, the data was split into training, validation and test data to ensure that the model did not overfit. The model outputs a 32-dimensional vector for each neuron that captures the morphological features of the neuron’s dendritic tree. Thus, each neuron is represented as a point in this 32-dimensional vector space (Fig. 1C).

At this stage, we performed another quality control step: Using the learned embeddings as a similarity metric between neurons, we clustered the neurons into 100 clusters and manually inspected the resulting clusters. We found a non-negligible fraction of neurons whose apical dendrite left the volume or was lost during tracing (see Methods for details). We used these clusters as examples for broken neurons and trained a classifier to predict whether a neuron has reconstruction errors.

We then removed all neurons from the dataset that were classified as erroneous. Also, at this point we removed all interneurons from the dataset since we focused on excitatory neurons in this paper. We were left with 31,313 excitatory neurons, which form the basis of the following analyses.

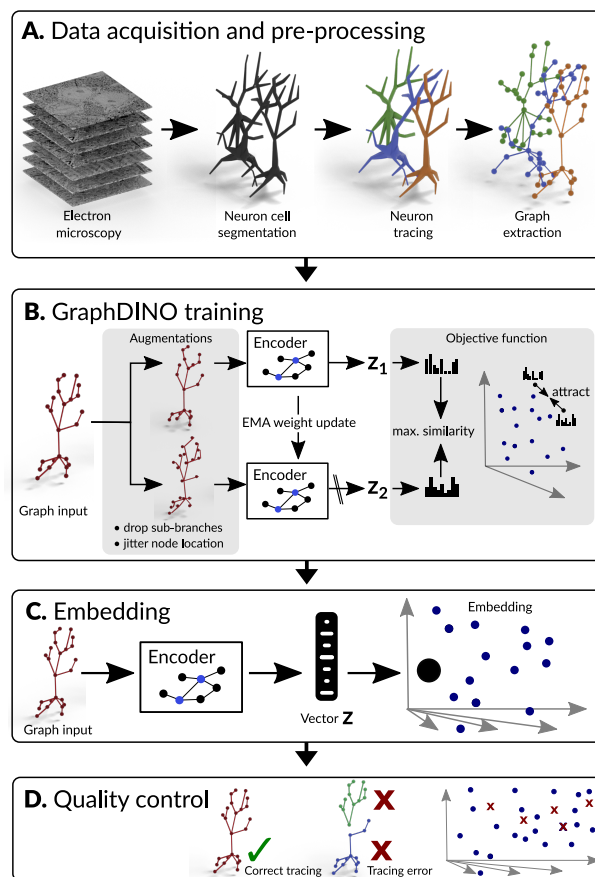


Figure 1: Pipeline to generate vector embeddings for large scale datasets that capture the morphological features of the neurons’ dendritic trees. **A** Imaging of brain volume via electron microscopy and subsequent segmentation and tracing to render 3D meshes of individual neurons that are used for skeletonization. **B** Self-supervised learning of low dimensional vector embeddings  $z_1, z_2$  that capture the essence of the 3D morphology of individual neurons using GraphDINO. Two augmented “views” of the neuron are input into the network, where the weights of one encoder (bottom) are an exponential moving average (EMA) of the other encoder (top). The objective is to maximize the similarity between the vector embeddings of both views. Vector embeddings of similar neurons are close to each other in latent space. **C** An individual neuron is represented by its vector embedding as a point in the 32-dimensional vector space. **D** Quality control to remove neurons with tracing errors.

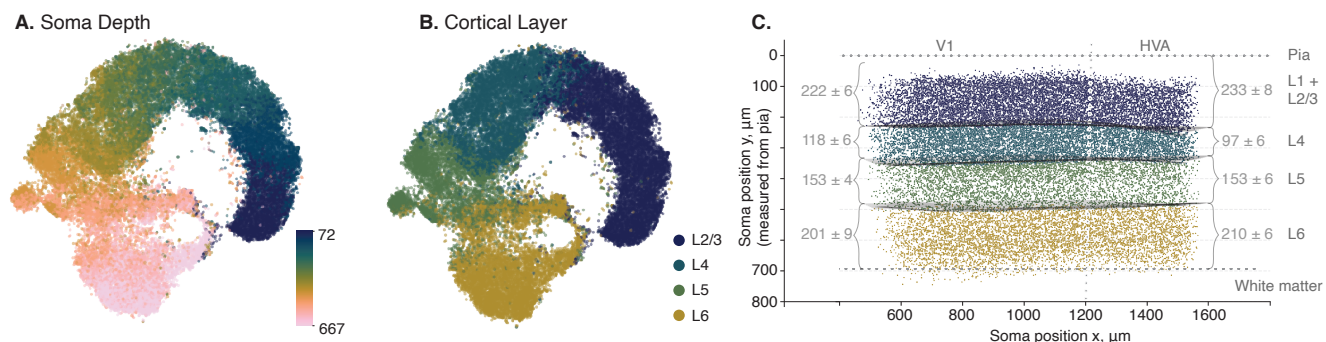


Figure 2: **Visualization of soma depths and cortical layer assignments of excitatory neuronal morphologies showing mostly a continuum with distinct clusters only in deeper layers.** **A.** t-SNE embedding (perplexity 300) of the vector embeddings of excitatory neuronal morphologies colored by the respective soma depth of the neurons relative to the pia ( $n = 33,997$ ). **B.** t-SNE embedding colored by cortical layer assignments as predicted by a cross-validated classifier trained on the morphological embeddings as features and a subset of manually labeled excitatory neurons ( $n = 922$ ). **C.** Cross-section of the brain volume depicting soma positions of neurons colored by their assigned cortical layer. Cortical layer thicknesses for primary visual cortex (V1) (left) and higher visual areas (HVA) (right) given as mean  $\pm$  standard deviation.

## 2.2 Dendritic morphologies form mostly a continuum with distinct clusters only in deeper layers

We computed the vector embeddings of all excitatory neurons in our volume, which spanned the mouse visual areas V1, RL and AL. Dendritic morphology followed mostly a continuum that tracked the cortical depth of the soma from the pia, in counter-clockwise direction in Fig. 2A from layer 2/3 to layer 6. Note that the soma location within the cortex was not provided to the model, but the soma was centered on the origin of the coordinate system. Cells were mostly organized along a continuum and only a few distinct clusters were visible in the deeper layers. Therefore we decided against a clustering-based approach as many previous studies [6, 15, 10, 14] and instead investigated the major axes of variation within the morphological embedding space.

Since cortical layers are anatomically well established, we separated cells by cortical layer to study the morphological rules of organization. We determined the layer boundaries by training a classifier using our 32-dimensional embeddings and a set of 922 neurons manually assigned to layers by experts (Fig. 2B,C). As expected, the inferred layer boundaries indicated that layer 4 was approximately 20% thicker in V1 than in higher visual areas RL and AL (Fig. 2C; mean  $\pm$  SD:  $118 \pm 6 \mu\text{m}$  in V1 vs.  $97 \pm 6 \mu\text{m}$  in HVA), the difference being compensated for by layers 2/3 and 6 each being approximately  $10 \mu\text{m}$  thinner. In the following we proceed by assigning neurons to layers based on their soma location relative to these inferred boundaries.

To visualize the main axes of morphological variation within each layer, we performed nonlinear dimensionality reduction using t-distributed stochastic neighbor embedding (t-SNE; [22]) and identified a number of morphological features that formed major axes of variation within the two-dimensional space (Fig. 4).

What do these axes of variation in the two-dimensional t-SNE embeddings mean in human-interpretable terms? To answer this question, we looked for morphological metrics that form gradients within the t-SNE embedding space. Based on visual inspection, we found the following six morphological metrics to account well for a large fraction of the dendritic morphological diversity in our dataset (see Fig. 3 for an illustration): (1) depth of the soma relative to the pia, (2) height of the cell, (3) total length of the apical dendrites, (4) width of the apical dendritic tree, (5) total length of the basal dendrites, (6) the location of the basal dendritic tree relative to the soma (“basal bias”).

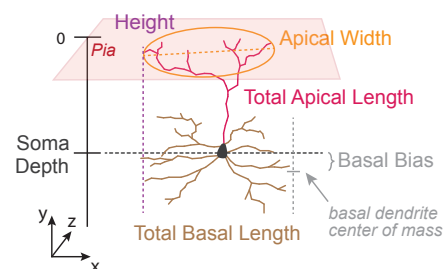


Figure 3: **Schematic of morphometric descriptors computed from neuronal skeletons and their labeled compartments.** **SOMA DEPTH.** Depth of the centroid of the soma relative to the pia. **HEIGHT.** Extent of the cell in y-axis. **TOTAL APICAL LENGTH.** Total length of the skeletal branches of the apical dendrites. **APICAL WIDTH.** Maximum extent of the apical dendritic tree in the xz-plane. **TOTAL BASAL LENGTH.** Total length of the skeletal branches of the basal dendrites. **BASAL BIAS.** Depth in y-axis of center of mass of basal dendrites relative to the soma.

### 149 **2.3 Layer 2/3: Width and length of apical dendrites decrease with depth**

150 We start with layer 2/3 (L2/3) where we found a continuum of dendritic morphologies that formed a gradient from superficial  
151 to deep, with deeper neurons (in terms of soma depth) becoming thinner and less tufted (Fig. 4A1, A4). The strongest  
152 predictors of the embeddings were the depth of the soma relative to the pia and the total height of the cell ( $R^2 > 0.9$ ; Fig. 4B).  
153 These two metrics were also strongly correlated (Spearman's rank correlation coefficient,  $\rho = 0.93$ ; Fig. 4C), since nearly all  
154 L2/3 cells had an apical dendritic tree that reached to the pial surface (see example morphologies in Fig. 4A, top). L2/3 cells  
155 also varied in terms of their degree of tuftedness: both total length and width of their apical tuft decreased with the depth of  
156 the soma relative to the pia (Fig. 4A2, A4). L2/3 cells also varied along a third axis: the skeletal length of their basal dendrites  
157 (Fig. 4A3), but this property was not strongly correlated with either soma depth or shape of the apical dendrites (Fig. 4C).

### 158 **2.4 Layer 4: Small or no tufts and some cells' basal dendrites avoid layer 5**

159 The dendritic morphology of layer 4 (L4) was again mostly a continuum and appeared to be a continuation of the trends  
160 from L2/3: The skeletal length of the apical dendrites was shorter, on average, than that of most L2/3 cells (Fig. 4A3) and  
161 approximately 20% of the cells were untufted. Within L4 the total apical skeletal length was not correlated with the depth of  
162 the soma ( $\rho = 0.0$ ; Fig. 4C), suggesting that it forms an independent axis of variation. There was also quite some variability  
163 in terms of the total length of the basal dendritic tree, but – as in L2/3 – it was not correlated with any of the other properties.

164 Our data-driven embeddings revealed another axis of variation that had previously not been considered important: the  
165 location of the basal dendritic tree relative to the soma (“basal bias”; Fig. 3). We found that many L4 cells avoided reaching  
166 into L5 with their dendrites (Fig. 4A2). As a result, the depth of the basal dendrites was anticorrelated with the depth of the  
167 soma ( $\rho = -0.29$ ; Fig. 4A2 and Fig. 4C). We will come back to this observation later.

### 168 **2.5 Layer 5: Thick-tufted cells stand out**

169 Layer 5 (L5) showed a less uniformly distributed latent space than L2/3 or L4. Most distinct was the cluster of well-known  
170 thick-tufted pyramidal tract (PT) cells [8, 6, 10, 14, 15] on the bottom right (Fig. 4A4, green points). These cells accounted  
171 for approximately 17% of the cells within L5 (based on a classifier trained on a smaller, manually annotated subset of the data;  
172 see methods). They were restricted almost exclusively to the deeper half of L5 (Fig. 4A1, A4, inset bottom right). They have  
173 the longest skeleton for all three dendritic compartments: apical, basal and oblique.

174 Another morphologically distinct type of cell was apparent: the near-projecting (NP) cells [11, 6] with their long and  
175 sparse basal dendrites (Fig. 4A4, inset bottom left). These cells accounted for approximately 4% of the cells within L5. They  
176 tended to send their dendrites deeper (relative to the soma), had little or no obliques and tended to have small or no apical  
177 tufts. However, the dendritic morphology of this cell type appeared to represent the extreme of a continuum rather than being  
178 clearly distinct from other L5 cells.

179 The remaining roughly 80% of the cells within L5 varied continuously in terms of the skeletal length of the different  
180 dendritic compartments. While there was a correlation between apical and basal skeletal length (apical vs. basal:  $\rho = 0.41$ ;  
181 Fig. 4C), there was also a significant degree of diversity. Within this group there was no strong correlation of morphological  
182 features with the location of the soma within L5 (depth vs. apical length  $\rho = 0.19$ , depth vs. basal  $\rho = 0.06$ ; Fig. 4C).

183 In upper L5 we found a group of cells that resembled the L4 cells whose dendrites avoid L5 (Fig. 4A4, top-left of the  
184 embedding). This type of cell was restricted to the uppermost portion of L5 and morphologically resembled L4 cells by being  
185 mostly atufted. We refer to these cells as displaced L4 cells. The presence of these cells suggests there are no precise laminar  
186 boundaries based on morphological features of neurons, but instead different layers blend into one another, a finding also  
187 observed by other authors [15, 4].

### 188 **2.6 Layer 6: Long and narrow, oblique and inverted pyramidal neurons**

189 Dendritic morphology in layer 6 (L6) also formed a continuum with a large degree of morphological diversity. The dominant  
190 feature of L6 was the large variety of cell heights ( $R^2 > 0.9$ ; Fig. 4B). Overall, the height of a cell was not strongly correlated  
191 with its soma's location within L6 ( $\rho = -0.13$ ; Fig. 4C). Unlike other layers, where the apical dendrites usually reach all the  
192 way up to layer 1, many cells in L6 had shorter apical dendrites. However, due to tracing errors, our analysis overestimates  
193 the number of such short cells. We therefore manually inspected 183 putative untufted early-terminating neurons within L6  
194 and found that, among those, 45 % were incompletely traced, whereas 55 % were true untufted cells whose apical dendrite  
195 terminated clearly below L1.

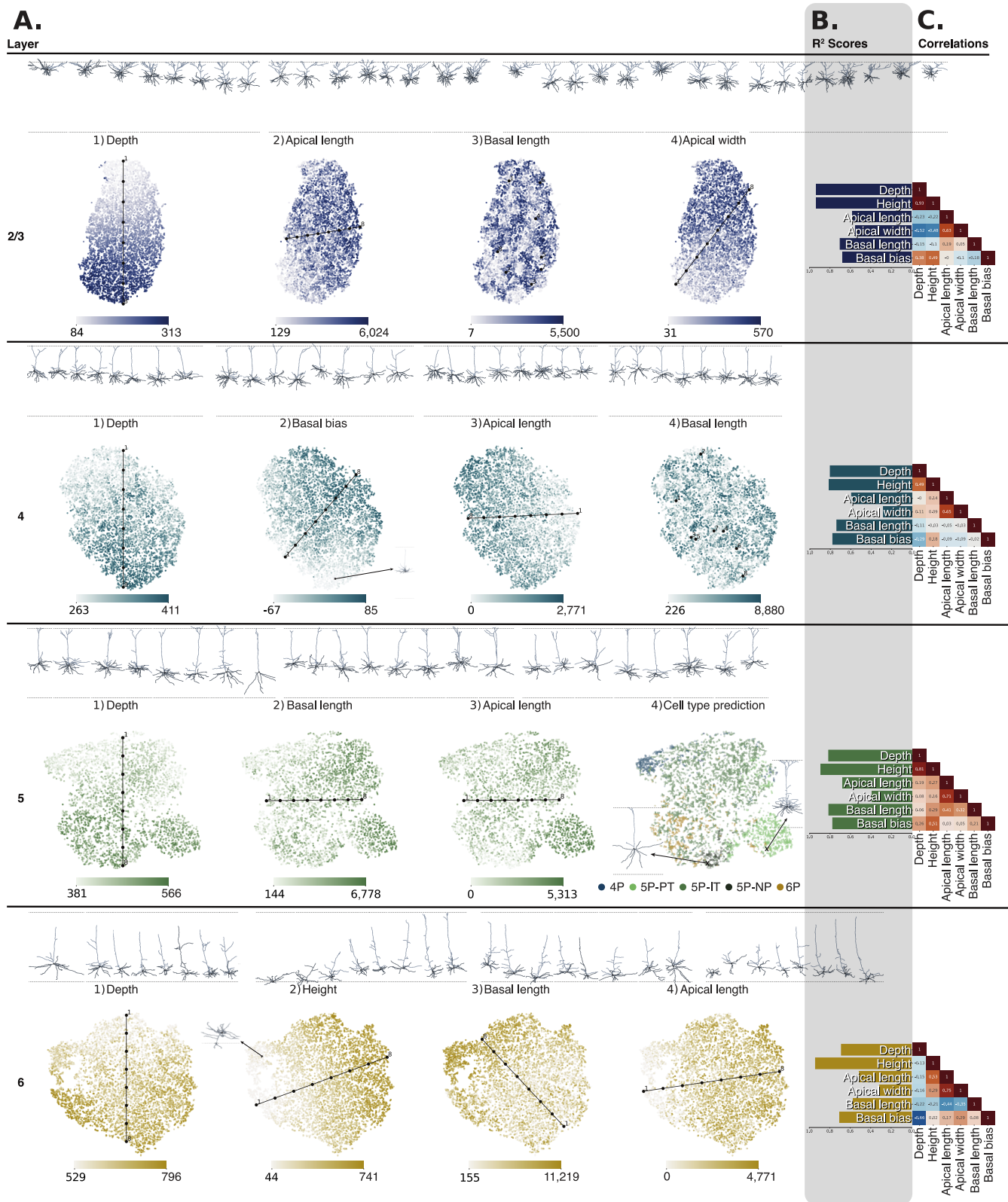


Figure 4: **t-SNE visualization of vector embeddings per cortical layer reveal axis of variation in neuronal morphologies.** **A.** t-SNE embeddings per layer colored by percentiles of various morphometric descriptors with example neuronal morphologies along the axis of variation displayed above the embedding. **B.** R<sup>2</sup> scores of the six morphometric descriptors (see Fig. 3) per layer showing the strength as predictors of the 32d embeddings. ... (continued on next page)

Figure 4: (continued from previous page) ... **C.** Spearman's rank correlation coefficient between morphometric descriptors per layer. **Layer 2/3** (blue) Continuum of dendritic morphologies with thinner and less tufted neurons in increasing distance to the pia. **Layer 4** (turquoise) Continuation of L2/3 trends with shorter apical dendrites and more untufted cells. Many cells avoid reaching dendrites into L5 (basal bias). **Layer 5** (green) Clustering of thick-tufted PT and NP cells. Upper L5 cells resemble L4 cells that avoid reaching into L5, indicating too strict laminar borders. **Layer 6** (orange) Continuum with a large morphological diversity e.g. in cell heights, and existence of horizontal and inverted pyramidal neurons.

196 As described previously [6, 15], the dendritic tree of L6 cells was narrower than in the layers above. Also consistent with  
 197 previous work, we also found a substantial number of horizontal and inverted pyramidal neurons, where the apical dendrite  
 198 points sideways or downwards (Fig. 4A2, inset top left).

## 199 2.7 Pyramidal neurons are less tufted in V1 than in higher visual areas

200 After our layer-wise survey of excitatory neurons' morphological features, we next asked whether there are inter-areal differ-  
 201 ences between primary visual cortex (V1) and higher visual areas (HVAs). The total length the apical dendrites of neurons  
 202 in V1 was significantly shorter than for neurons in HVA (Fig. 5A): For L2/3, neurons in V1 had on average 16% shorter  
 203 apical branches than in HVA (mean  $\pm$  SD:  $1,423 \pm 440 \mu\text{m}$  in V1 vs.  $1,688 \pm 554 \mu\text{m}$  in HVA; *t-test*:  $p < 0.0025$ , Cohen's  
 204  $d = 0.53$ ). Similarly, in L4, neurons in V1 had on average 16% shorter apical branches than L4 neurons in HVA ( $851 \pm 264 \mu\text{m}$   
 205 vs.  $1,019 \pm 313 \mu\text{m}$ ;  $p < 0.0025$ ,  $d = 0.58$ ). In L5, neurons in V1 had on average 14% shorter apical branches than L5 neu-  
 206 rons in HVA ( $1,326 \pm 661 \mu\text{m}$  vs.  $1,549 \pm 745 \mu\text{m}$ ;  $p < 0.0025$ ,  $d = 0.32$ ). While the trend continued in L6, the difference  
 207 in apical length between V1 and HVA neurons was smaller. There was only a 4% increase in apical length in HVA compared  
 208 to V1 ( $1,112 \pm 383 \mu\text{m}$  vs.  $1,159 \pm 397 \mu\text{m}$ ;  $p < 0.0025$ ,  $d = 0.12$ ). For this analysis, only neurons with identified apical  
 209 dendrites were taken into account (see companion paper; Celii et al., in preparation).

210 Upon closer inspection, we observed that L4 contained substantially more untufted neurons than higher visual areas RL  
 211 and AL (Fig. 5A). We clustered each layer's morphological embeddings into 15 clusters using a Gaussian Mixture Model  
 212 and looked for clusters that were restricted to particular brain areas. Clusters that were clearly confined to V1 or HVAs were  
 213 primarily found in L4. When classifying (manually, at the cluster-level) L4 neurons into untufted, small tufted and tufted,  
 214 we observed that untufted neurons were almost exclusively located in V1, while tufted neurons were more frequent in HVAs  
 215 (Fig. 5C).

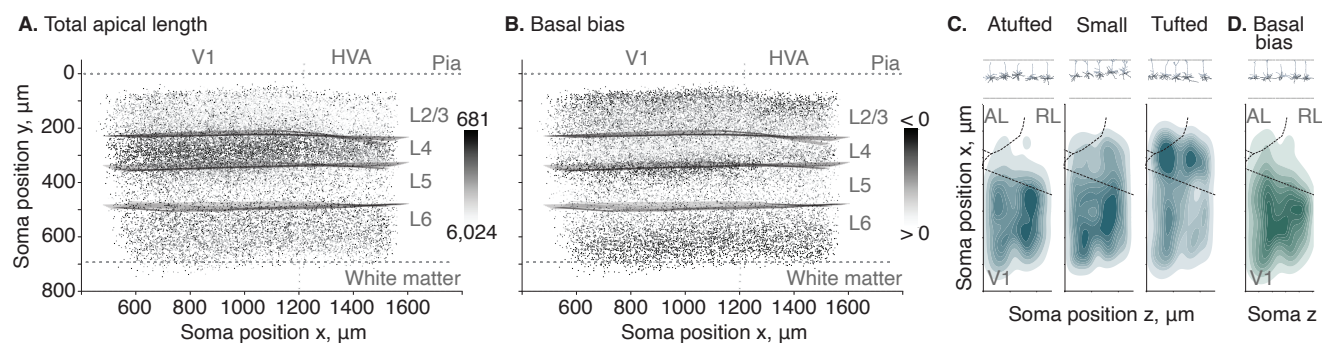


Figure 5: **Inter-areal differences between primary visual cortex (V1) and higher visual areas (HVAs).** **A.** Side view showing apical skeletal length, color-coded by percentiles (dark=short, bright=long). Projection from the side orthogonal to the V1/HVA border after a 14 degree rotation around y-axis (vertical dashed line); top: pia; bottom: white matter. **B.** Side view showing basal bias (as in A) (dark=negative basal bias: center of mass of basal dendrites is above the soma; bright=positive basal bias: center of mass of basal dendrites is below soma). **C.** Top view showing density of untufted (left), small tufted (middle) and tufted (right) L4 cells. Atufted neurons are mostly confined to V1, while tufted neurons are more abundant in HVA. Dashed lines: area borders between primary visual cortex (V1), anterolateral area (AL) and rostromedial area (RL), estimated from reversal of the retinotopic map measured using functional imaging. **D.** Top view (as in C) showing horizontal distribution of L4 cells whose dendrites avoid reaching into L5 and who are mostly located in V1.

## 2.8 Layer 4 cells avoiding layer 5 are located primarily in primary visual cortex

The second area difference we observed was related to the novel morphological cell type in L4 we described above whose dendrites avoid reaching into L5. On the one hand, these cells were located in a very narrow strip of around 50  $\mu\text{m}$  around the L4–L5 border (Fig. 5B). On the other hand, these cells were also untufted and almost exclusively found in V1 (Fig. 5D).

## 3 Discussion

In summary, our data-driven unsupervised learning approach identified the known morphological features of excitatory cortical neurons' dendrites and enabled us to make four novel observations: (1) Superficial L2/3 neurons are wider than deep ones; (2) L4 neurons in V1 are less tufted than those in HVAs; (3) we discovered an untufted L4 cell type that is specific to V1 whose basal dendrites avoid reaching into L5; (4) excitatory cortical neurons form mostly a continuum with respect to dendritic morphology, with some notable exceptions.

First, our finding that superficial L2/3 neurons are wider than deeper ones is clearly visible in the data both qualitatively and quantitatively. A similar observation has been made recently in concurrent work [23].

Second, the trend of deeper neurons being less tufted continues into L4 where a substantial number of cells are completely untufted. Here we see a differentiation with respect to brain areas: completely untufted cells are mostly restricted to V1 while HVA neurons in L4 tend to be more tufted. Why would V1 neurons be less tufted than those in higher visual areas? V1 – as the first cortical area for visual information processing – and L4 – as the input layer, in particular – might be less modulated by feedback connections than other layers and higher visual areas. Therefore, these neurons might sample the feedback input in L1 less than other neurons.

Third, we found that some neurons in L4 of V1 avoid reaching into L5 with their dendrites. To our knowledge, this morphological pattern has not been described before in the visual cortex. Retrospectively, it can be observed in Gouwens and colleagues' data: their spiny m-types 4 and 5, which are small- or untufted L4 neurons, show a positive basal bias (assuming their “basal bias  $y$ ” describes the same property; Gouwens et al. [6]; Suppl. Fig. 15). What function could this avoiding L5 have? Similarly to the nonexistent tuft of these neurons, avoiding L5 could support these neurons in focusing on the thalamic input (which targets primarily L4) and, thus, represent and distribute the feedforward drive within the local circuit. It is therefore tempting to speculate that these untufted, L5-avoiding L4 neurons might be precursors of spiny stellate cells, which do not exist in the mouse visual cortex, but only in somewhat more developed sensory areas like barrel cortex or in cat and primate V1.

Fourth, except from the well-known L5 thick-tufted pyramidal-tract (PT) neurons that form a cluster in L5, our data and methods suggest that excitatory neurons in the mouse visual cortex form mostly a continuum with respect to dendritic morphology. This result does not rule out the possibility that there are in fact distinct types; it simply suggests that features beyond dendritic morphology need to be taken into account to clearly identify them. It is also not guaranteed that our data-driven method identifies all relevant morphological features. Every method has (implicit or explicit) inductive biases. We tried to stay clear of explicit human-defined features, but by choosing a graph-based input representation we provide different inductive biases than, for instance, a voxel-based representation or one based on point clouds. However, the fact that we could reconcile known morphological features, discover novel ones and achieve excellent classification accuracy on an annotated subset of the data suggests that our learned embeddings indeed contain a rich and expressive representation of a neuron's dendritic morphology.

Our observation that morphologies formed mostly a continuum is in line with a recent study in motor cortex examining the relationship between transcriptomic and morphological cell types [20]. These authors found a substantial degree of (continuous) morphological variation within transcriptomically defined cell types. Moreover, they found that morphological and transcriptomic features correlated, suggesting a more fine-grained organization of neurons into a relatively small number of distinct and broad “families,” each of which exhibits substantial continuous variation among its family members. Our analysis support this notion: excitatory cells can be mostly separated by layers into roughly a handful of families, each of which contains a substantial degree of variation in terms of morphology, which might also co-vary with other modalities.



## 260 4 Methods

### 261 4.1 Dataset

262 The dataset consists of a  $1.3 \times 0.87 \times 0.82 \text{ mm}^3$  volume of tissue from the visual cortex of an adult P75-87 mouse, which has  
263 been densely reconstructed using serial section electron microscopy (EM) [1]. We here use the subvolume 65, which covers  
264 approximately  $1.3 \times 0.56 \times 0.82 \text{ mm}^3$ . It covers all layers of cortex and spans primary visual cortex (V1) and two higher  
265 visual areas, anterolateral area (AL) and rostrolateral area (RL). We refer to the original paper on the dataset [1] for details on  
266 the identification and morphological reconstruction of individual neurons.

### 267 4.2 Skeletonization and cell compartment label assignment

268 The skeletons representing the neuronal morphologies were constructed from the neuronal meshes. First, the meshes of the  
269 somas from all neurites were identified using a soma detection algorithm [25]. Each neurite submesh was then skeletonized  
270 using a custom skeletonization algorithm (companion paper; Celii et al., in preparation) which employs a first pass surface  
271 skeletonization method using the MeshParty library [3], and then larger sections of the neurite submeshes are reskeletonized  
272 using the CGAL Triangulated Surface Mesh Simplification package [25] to ensure the skeleton is localized at the center of the  
273 mesh for these larger sections. The surface skeletons and centered skeletons are stitched together for each neurite. All neurite  
274 skeletons are stitched together at the centers of all soma meshes to which they border, forming a complete neuron skeleton. If  
275 there are more than one soma in the graph, all paths between soma nodes are eliminated with optimal cuts. For further details  
276 see companion paper (Celii et al., in preparation).

277 The highest probability axon subgraph is determined and all other non-soma nodes are labeled as dendrites. A final  
278 heuristic algorithm classifies subgraphs of dendritic nodes according to neuroscience compartment rules, such as apical trunks  
279 generally projecting from the top half of somas and with a general upward trajectory and obliques as projections off the apical  
280 trunks at an approximate 90 degree angle. For further details on the compartment label assignment please see companion  
281 paper (Celii et al., in preparation).

### 282 4.3 Coordinate transformations

283 The EM volume is not perfectly aligned. First, the pial surface is not a horizontal plane parallel to the  $(x, z)$  axis, but instead  
284 slightly tilted. Second, the thickness of the cortex varies across the volume such that the distance from pia to white matter  
285 is not constant. Without any pre-processing, an unsupervised learning algorithm would pick up these differences and, for  
286 instance, find differences of layer 6 neurons across the volume simply because in some parts of the volume they tend to be  
287 located deeper than in others and their apical dendrites that reach to layer 1 tend to be larger. Using *relative* coordinates  
288 can solve such issues if pia and white matter correspond to planes (approximately) parallel to the  $(x, z)$  plane. To transform  
289 our coordinate system in such standardized coordinates, we first applied a rotation about the  $z$ -axis of 3.5 degrees. This  
290 transformation removes the systemic rotation with respect to the native axes (Fig. A.1B). To standardize measurements across  
291 depth ( $y$  axis) and to account for differential thickness of the cortex, we estimated the best linear fit for both pial surface  
292 and white matter boundary by using a set of manually placed points. Then for each  $(x, z)$  coordinate, the  $y$  coordinate was  
293 normalized such that the pia's  $z$  coordinate corresponds to the average depth of the pia and the same for the white matter.  
294 This transformation results in an approximation of the volume where pia and white matter boundary are horizontal planes  
295 orthogonal to the  $y$  axis and parallel to the  $(x, z)$  plane. Fig. A.1C shows example neurons before and after normalization. All  
296 training and subsequent analysis were performed on this pre-processed data.

### 297 4.4 Expert cell type labels

298 For a subset of the neurons in the volume experts labeled neurons according the following cell types: layer 2/3 and 4 pyra-  
299 midal neurons, layer 5 near-projecting (NP), extra-telencephalic (ET) and inter-telencephalic (IT) neurons, layer 6 inter-  
300 telencephalic (IT) and cortico-thalamic (CT) neurons, Martinotti cells (MC), basket cells (BC), bipolar cells (BPC) and  
301 neurogliaform cells (NGC). Cell types were assigned based on visual inspection of individual cells taking into account mor-  
302 phology, synapses and connectivity, nucleus features and their  $(x, y, z)$  location. All neurons were taken from one  $100 \mu\text{m}$   
303 column in the primary visual cortex (see companion paper, Schneider-Mizell et al., in preparation). We did not use neurons  
304 with expert labels to train GRAPHDINO, but used them only for evaluation.

## 305 4.5 Morphological feature learning using GRAPHDINO

306 For learning morphological features in an unsupervised, purely data-driven way, we use a recently developed machine learning  
307 method called GRAPHDINO [24]. GRAPHDINO maps the skeleton graph of a neuron onto a 32-dimensional feature vector,  
308 which we colloquially refer to as the neuron’s “bar code.” For training GRAPHDINO, each neuron’s skeleton is represented  
309 as an undirected graph  $G = (V, E)$ .  $V$  is the set of nodes  $\{v_i\}_{i=1}^N$  and  $E$  the set of undirected edges  $E = \{e_{ij} = (v_i, v_j)\}$   
310 that connect two nodes  $v_i, v_j$ . Each node has a feature vector attached to it that holds the 3D Cartesian coordinate of the node,  
311 relative to the soma of the neuron, which has the coordinate  $(0, 0, 0)$ , i.e. is at the origin of the coordinate system. Because  
312 axons are not well reconstructed in the data yet, we focus on the dendritic skeleton only and remove segments labeled as axon.  
313 We train GRAPHDINO on a subset of the dataset, retaining 5,179 neurons for validation and 2,941 neurons for testing.

314 GRAPHDINO is trained by generating two “views” of the same input graph by applying random identity-preserving  
315 transformations (described below). These two views are both encoded by the same neural network. The training objective  
316 is to maximize the similarity between the embeddings of these two views. To obtain the two views of one input graph, we  
317 subsampled the graph, randomly rotated it around the y-axis (orthogonal to pia), dropped subbranches and perturbed node  
318 locations. When subsampling the graph, we randomly dropped all but 200 nodes, always retaining the branching points.  
319 Rotations around the y-axis were uniformly distributed around the circle. During subbranch deletion we removed  $n = 5$   
320 subbranches. For node location jittering we used  $\sigma = 1$ . In addition the entire graph was randomly translated with  $\sigma = 1$ . For  
321 further details on the augmentation strategies, see Weis et al. [24].

322 The GRAPHATTENTION network architecture we used had seven GRAPHATTENTION layers with four attention heads  
323 each. The dimensionality of the latent representation  $z$  was set to 32 and the dimensionality of the projection  $p$  was 5,000.  
324 All other architecture details are as described in the original paper [24]. For training we used the Adam optimizer [12] with a  
325 batch size of 128 for 50,000 iterations. The learning rate increased linearly to  $10^{-3}$  during the first 1,000 iterations and then  
326 decayed using a cosine schedule with a decay rate of 0.5.

## 327 4.6 Morphological clustering

328 For qualitative inspection of the data and the analyses in Fig. 5C+D we clustered the neurons using the learned bar code of each  
329 neuron’s morphological features. We fit a Gaussian Mixture model (GMM) with diagonal covariance matrix using scipy [17]  
330 on the whole dataset as well as per cortical layer using 60 clusters and 15 clusters, respectively. As we found no evidence that  
331 these clusters (or any other clustering with fewer or more clusters) represent distinct cell types, we do not use this clustering  
332 to define cell types, but rather think of them as modes or representing groups of neurons with similar morphological features.

## 333 4.7 Data quality control steps

334 The dataset was generated by automatic segmentation of EM images and subsequent automatic processing into skeletons. As  
335 a consequence, not all cells were reconstructed perfectly. There was a significant fraction of wrongly merged or incompletely  
336 segmented cells. We used a combination of our learned GRAPHDINO embeddings and supervised classifiers trained on a  
337 subset of the neurons ( $n = 1011$ ) which were manually proofread and annotated by experts (see Sec. 4.4 and companion  
338 paper, Schneider-Mitzell et al., in preparation). Our quality control pipeline was as follows: First, we computed *GraphDINO*  
339 embeddings on the full dataset of 54,192 neurons (including both excitatory and inhibitory neurons). Next, we removed  
340 neurons which were close to the boundaries of the volume, as these neurons were only partly reconstructed. After this step  
341 we were left with 43,666 neurons. Within this dataset we identified neurons which were incorrectly reconstructed using  
342 a supervised classifier described in the next section, reducing the dataset to 37,362 neurons. Subsequently, we identified  
343 interneurons using a supervised classifier described in the next section, reducing the dataset to 33,997 excitatory neurons.  
344 Finally, on this dataset we manually proofread around 480 atufted neurons. As a result, we identified and removed another  
345 set of 2,684 neurons whose reconstructions were incomplete, leaving us with a final sample size of 31,313 putative excitatory  
346 and correctly reconstructed neurons for our main analyses.

## 347 4.8 Supervised classifiers

348 To identify reconstruction errors and interneurons, we used a subset of the dataset ( $n = 1011$ ) that was manually proofread and  
349 annotated with cell type labels by experts (see Sec. 4.4 and companion paper, Schneider-Mitzell et al., in preparation). Based  
350 on these and additional neurons we identified, we trained classifiers to detect segmentation errors, inhibitory cells and cortical  
351 layer membership using our learned 32-dimensional bar codes of the neurons’ skeletons (see Sec. 4.5). In our subsequent

352 analysis, we focused on neurons that were identified as complete and excitatory by our classifier and used the inferred cortical  
353 layer labels to perform layer-specific analyses.

354 For all classifiers, we use ten-fold cross-validation on a grid search to find the best hyperparameters. We test logistic  
355 regression with the following hyperparameters: type of regularization (none, L1, L2 or elastic net), regularization weight  
356 ( $C \in 0.5, 1, 3, 5, 10, 20, 30$ ) and whether to use class weights that are inversely proportional to class frequencies or no weights.  
357 In addition, we test support vector machines with the following hyperparameters: type of kernel (Linear, RBF or polynomial),  
358 L2 regularization weight ( $C \in 0.5, 1, 3, 5, 10, 20, 30$ ) and degree of polynomial ( $d \in 2, 3, 5, 7, 10, 20$  for the polynomial kernel  
359 and whether to use class weights or no weights. After having determined the optimal hyperparameters using cross-validation,  
360 we retrained the classifier using the optimal hyperparameters on its entire training set.

361 **Removal of fragmented neurons.** To remove fragmented neurons prior to analysis, we trained a classifier to differentiate  
362 between the manually proofread neurons from all layers ( $n = 1011$ ) and fragmented cells ( $n = 240$ ). We identified fragmented  
363 cells using our clustering of the bar codes of the whole dataset ( $n = 43,666$ ) into 25 clusters per layer and manually identifying  
364 clusters that contained fragmented cells (2–3 clusters per layer). We then sampled 60 fragmented cells per layer as training  
365 data for our classifier.

366 We trained a support vector machine (SVM) using cross-validation as described above. Its cross-validated accuracy was  
367 95%. The best hyperparameters were: polynomial kernel of degree 4 and  $C = 3$ . We used those hyperparameters to retrain on  
368 the full training set of 1,251 neurons. Using this classifier, we inferred whether a neuron is fragmented for the entire dataset  
369 ( $n = 43,666$ ). We then removed cells predicted to be fragmented ( $n = 6,304$ ) from subsequent analyses.

370 To validate the classification into fragmented and whole cells, we manually inspected ten neurons that were not in “frag-  
371 mented” clusters before classification, but were flagged as fragmented by the classifier. Nine out of the ten had missing  
372 segments due to segmentation errors or due to apical dendrites leaving the volume.

373 **Removal of inhibitory neurons.** Analogously, we trained a classifier to predict whether a neuron was excitatory or in-  
374 hibitory by using the manually proofread and annotated neurons ( $n = 1,011$ ) (Sec. 4.4). As input features to the classifier we  
375 used our learned bar codes and additionally two morphometric features: synaptic density on apical shafts and spine density.  
376 These two features have been shown to separate excitatory from inhibitory neurons well in previous work (see companion  
377 paper, Celii et al., in preparation). The annotated dataset contained 922 excitatory and 89 inhibitory neurons.

378 We trained a logistic regression. Its cross-validated accuracy was 92%. The best hyperparameters were: L2 regularization  
379 ( $C = 5$ ) and using class weights. We used those hyperparameters to retrain on the full training set of 1,011 neurons. Using  
380 this classifier, we inferred whether a neuron was excitatory or inhibitory for the entire dataset after removing fragmented cells  
381 ( $n = 37,362$ ). We then removed all inhibitory cells from subsequent analyses.

382 **Inference of cortical layers.** To determine cortical layer labels for the entire dataset, we followed a two-stage procedure.  
383 First, we inferred the layer of each neuron using a trained classifier. Then we determined anatomical layer boundaries based  
384 on the optimal cortical depth that separates adjacent layers.

385 We first trained a support vector machine classifier for excitatory cells on the 922 manually annotated excitatory neurons  
386 by pooling the cell type labels per layer. Its cross-validated balanced accuracy was 89%. The best hyperparameters were:  
387 polynomial kernel of degree 5,  $C = 3$ . Using this classifier, we inferred the cortical layer of all excitatory neurons ( $n =$   
388 33,997; Fig. 2).

389 The spatial distribution of inferred layer assignments was overall well confined to their respective layers. As expected,  
390 there was some spatial overlap of labels at the boundaries, since layer boundaries are not sharp. We nevertheless opted for  
391 assigned neurons to layers based on their anatomical location rather than their inferred label. To do so, we determined the  
392 optimal piecewise linear function that separates two consecutive layers. At the end, the layer assignments were purely based  
393 on the soma depth of each neuron relative to the inferred layer boundaries – not on the classifier output.

394 **Inference of coarse cell type labels.** In Fig. ?? we show cell type labels for layer 5. These were determined by training  
395 a support vector machine classifying cell type labels for excitatory cells using the 922 manually annotated neurons. The  
396 cross-validated balanced accuracy of this classifier was 85%. The best hyperparameters were: polynomial kernel of degree 2,  
397  $C = 20$ , using class weights. Using this classifier, we inferred cell type labels for all excitatory neurons ( $n=33,997$ ).

## 398 **4.9 Manual validation of apical skeletons**

399 We found a significant fraction of atufted neurons across layers 4–6. To determine the extent to which these cells are actually  
400 atufted or an artifact of incomplete reconstructions, we manually inspected ca. 480 neurons in Neuroglancer [5] with respect  
401 to the validity of their apical termination. During manual inspection, we annotated neurons’ reconstruction as “naturally  
402 terminating,” “out-of-bounds,” “reconstruction issue” or “unsegmented region.” Reconstruction issues are the case where the  
403 EM slice was segmented correctly, but the tracing missed to connect two parts of the same neuron. Unsegmented regions are  
404 the cases where one or multiple EM images or parts thereof were not segmented correctly and therefore the neuron could not  
405 be traced correctly. In addition, we classified the neurons as either “atufted,” “small tufted” or “tufted,” both before validation  
406 and after correcting reconstruction errors.

407 For layer 4, we inspected 120 atufted. Of those, 64% had missing segments on their apical dendrites and 36% had a natural  
408 termination. Note, however, that 74% of the neurons had a consistent tuft before and after validation. Even though parts of  
409 the apical dendrite were missing, qualitatively the degree of tuftedness did not change. For atufted neurons this means that  
410 their apical dendrite merely terminated early, but this reconstruction error did not change anything about their classification  
411 as atufted. In layer 4, neurons with a natural termination ended more superficially than neurons with missing segments. We  
412 therefore excluded L4 neurons from the analysis whose apicals ended more than 154 micrometers below the pia to exclude  
413 neurons with reconstruction errors from our analysis. This threshold was selected such that the F1-score was maximized,  
414 i.e. retaining as many atufted neurons with natural termination, while removing as many neurons with missing segments as  
415 possible. The threshold was computed on the 120 validated neurons. This process excluded 660 neurons from layer 4.

416 For layer 5, we inspected 176 neurons with early-terminating apical dendrites. Of those, 59 showed a natural apical  
417 termination, while 117 had reconstruction issues or left the volume. We found no clear metric like the depth of the apical to  
418 exclude neurons with unnatural terminations. Therefore, we excluded neurons based on their cluster membership from further  
419 analysis if the cluster contained more than 50% of neurons with unnatural terminations. Of the 15 clusters, we excluded 4,  
420 corresponding to 1,258 out of 5,858 L5 neurons.

421 For layer 6, we inspected 183 neurons with early terminating apicals. Of those, 100 showed a natural apical termination,  
422 while 83 had reconstruction issues or left the volume. Due to the slant of the volume, long, narrow L6 cells near the volume  
423 boundary had a high likelihood of leaving the boundary with their apical dendrite. Therefore, we excluded all L6 neurons  
424 whose apical dendrite leaves the volume ( $n = 766$ ) prior to our analysis. We considered a neuron as leaving the volume if the  
425 most superficial point of its apical tree was within a few micrometers of the volume boundary.

426 Overall, we excluded 2,684 neurons as a result of this manual validation step, resulting in a final sample size of 31,313  
427 neurons analyzed in Figs. 4+5.

## 428 **4.10 Cortical area boundaries**

429 Cortical area boundaries were manually drawn from retinotopic maps of visual cortex taken before EM imaging. For further  
430 details see companion paper [1].

## 431 **4.11 Dimensionality reduction**

432 For visualization of the learned embeddings, we reduced the dimensionality of the 32d embedding vector to 2d using t-  
433 distributed stochastic neighbor embedding (t-SNE; [22]) using the openTSNE package [18] with cosine distance and a per-  
434 plexity of 30 for t-SNE plots for individual cortical layers and a perplexity of 300 for the whole dataset.

## 435 **4.12 Morphometric descriptors**

436 We computed morphometrics based on the neuronal skeletons for analysis of the learned latent space. Morphometrics are not  
437 used for learning the morphological vector embeddings. We computed morphometrics based on compartment labels: soma,  
438 apical dendrites, basal dendrites and oblique dendrites (Sec. 4.2). They are visualized in Fig. 3. TOTAL APICAL LENGTH  
439 is defined as the total length of all segments of the skeletons that are classified as apical dendrites. TOTAL BASAL LENGTH  
440 is computed analogously. DEPTH refers to the depth of the soma centroid relative to the pia after volume normalization  
441 (Sec. 4.3), where pia depth is equal to zero. HEIGHT is the absolute difference between the highest and the lowest skeleton  
442 node of a neuron in y-direction. APICAL WIDTH refers to the widest extent of apical dendrites in the xz-plane. BASAL BIAS  
443 describes the difference between the soma depth and the center of mass of the basal dendrites along the y-axis.

## 4.13 Statistics

Apical lengths in Sec. 2.7 were compared between V1 and HVA per laminar layer with four independent two-tailed Student's t-tests. The single-test significance level of 0.01 was corrected for multiple tests using Bonferroni correction to 0.0025. Only neurons that had any nodes labeled as apical were taken into account for this analysis. In L2/3 6, 760 neurons were taken into account from V1 and 3, 436 from HVA; for L4  $n = 5$ , 217 (V1) and  $n = 2$ , 534; for L5  $n = 3$ , 708 (V1) and  $n = 1$ , 924; and for L6  $n = 3$ , 959 (V1) and  $n = 2$ , 618.

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548 **A Appendix**

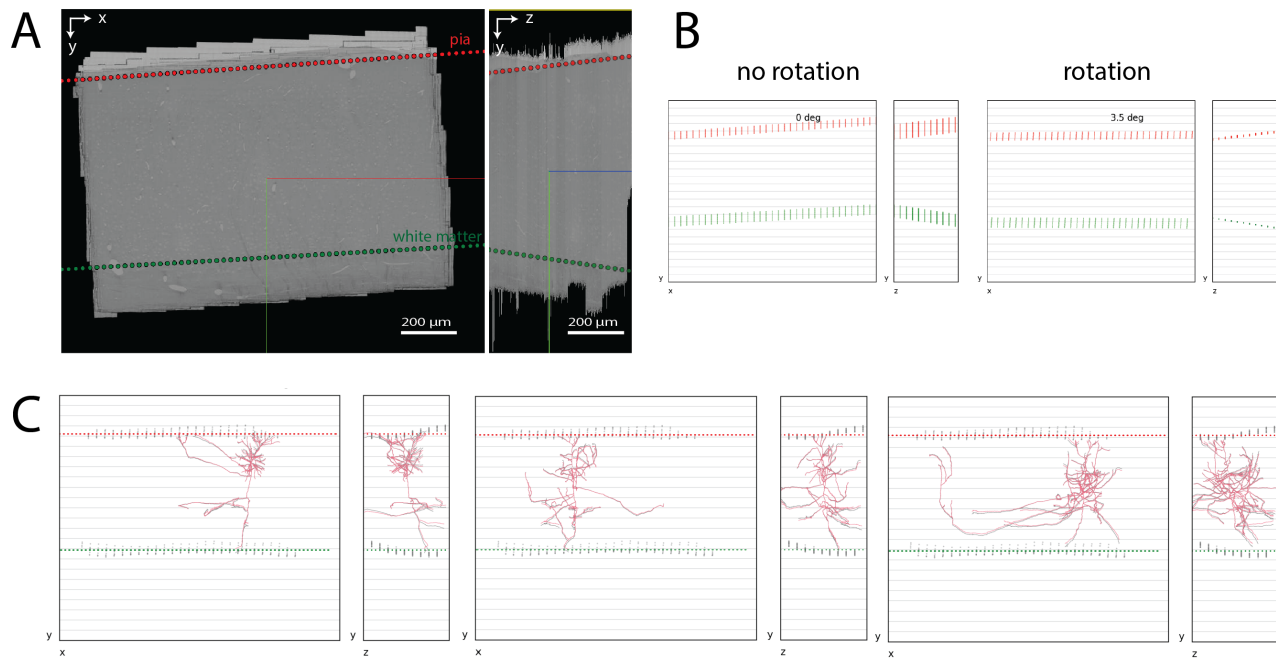


Figure A.1: Volume Pre-processing. A. x-y (left) and y-z (right) 2D cross-sectional views of the EM volume as seen in Neuroglancer. Red scatter points - linear model of pia, Green scatter points - linear model of L6 - white matter boundary. B. Pia and white matter boundary models shown with (right) and without (left) rotating the volume by 3.5 degrees about the z-axis. C. Three example excitatory neuronal skeletons shown from two 2D projections (x-y) and (y-z) after rotation and depth normalization to the mean pia and white matter depths. Red scatter points - pia model after normalization. Green scatter points - white matter boundary after normalization. Gray shadow - pia, white matter and neuronal skeleton after rotation but before normalization.