

# Lattice-based Revocable (Hierarchical) IBE with Decryption Key Exposure Resistance\*

<sup>†</sup>Shuichi Katsumata      <sup>‡</sup>Takahiro Matsuda      <sup>§</sup>Atsushi Takayasu

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## Abstract

*Revocable* identity-based encryption (RIBE) is an extension of IBE that supports a key revocation mechanism, which is an indispensable feature for practical cryptographic schemes. Due to this extra feature, RIBE is often required to satisfy a strong security notion unique to the revocation setting called *decryption key exposure resistance* (DKER). Additionally, *hierarchal* IBE (HIBE) is another orthogonal extension of IBE that supports key delegation functionalities allowing for scalable deployments of cryptographic schemes. So far, R(H)IBE constructions with DKER are only known from bilinear maps, where all constructions rely heavily on the so-called *key re-randomization* property to achieve the DKER and/or hierarchal feature. Since lattice-based schemes seem to be inherently ill-fit with the key re-randomization property, no construction of lattice-based R(H)IBE schemes with DKER are known.

In this paper, we propose the first lattice-based RHIBE scheme with DKER *without* relying on the key re-randomization property, departing from all the previously known methods. We start our work by providing a generic construction of RIBE schemes with DKER, which uses as building blocks any two-level standard HIBE scheme and (weak) RIBE scheme *without* DKER. Based on previous lattice-based RIBE constructions *without* DKER, our result implies the first lattice-based RIBE scheme *with* DKER. Then, building on top of our generic construction, we construct the first lattice-based RHIBE scheme with DKER, by further exploiting the algebraic structure of lattices. To this end, we prepare a new tool called the *level conversion keys*, which enables us to achieve the hierarchal feature without relying on the key re-randomization property. In this full version, we give the formal proofs of our proposed schemes.

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<sup>†</sup>National Institute of Advanced Industrial Science and Technology (AIST), Japan. During a part of this work, the author belonged to the University of Tokyo, Japan. The author is supported by a JSPS Fellowship for Young Scientists.

<sup>‡</sup>National Institute of Advanced Industrial Science and Technology (AIST), Japan.

<sup>§</sup>National Institute of Information and Communications Technology, Japan. During a part of this work, the author belonged to the University of Tokyo, Japan, and National Institute of Advanced Industrial Science and Technology (AIST), Japan. e-mail: takayasu@nict.go.jp

# 1 Introduction

*Identity-based encryption* (IBE) is an advanced form of public key encryption, where an arbitrary string can be used as user’s public keys. One extension of IBE is *hierarchical IBE* (HIBE), which further supports a key delegation functionality; an attractive feature for scalable deployments of IBE. However, as opposed to ordinary public key encryption, (H)IBE does not support a key/user revocation mechanism due to the absence of the public key infrastructures and there are no trivial ways to drive malicious users out from an ordinary (H)IBE system. Therefore, adding a key revocation mechanism to (H)IBE is considered to be one of the important research themes when considering practical deployments of (H)IBE. For instance, Boneh and Franklin [BF03] proposed a method for adding a simple revocation mechanism to any IBE system. However, the bottleneck of their proposal was its efficiency. The number of keys generated for every time period was proportional to the number of all users in the IBE system and the scheme did not scale if the number of users became too large. Since then, constructing an (H)IBE scheme with a scalable revocation mechanism has been a sought-after goal. Below, we refer to (H)IBE that allows for such a scalable revocation mechanism as *revocable (H)IBE*.

The first revocable IBE (RIBE) scheme was proposed by Boldyreva et al. [BGK08]. RIBE requires three types of keys: a *secret key*, a *key update*, and a *decryption key*. As in IBE, each user is issued a secret key that is associated with his identity. However, in order to achieve the key revocation mechanism, each user’s secret key itself does not allow them to decrypt ciphertexts. To allow the users to decrypt, the key generation center (KGC) broadcasts *key updates* for every time period through a public channel. Roughly, the key update incorporates public information of the users that are currently allowed in the system. Specifically, although the key update is meaningless information to revoked users, it allows non-revoked users to combine with their secret keys to derive a *decryption key*, which effectively enables them to properly decrypt ciphertexts. To achieve a scalable revocation mechanism, Boldyreva et al. utilized a subset cover framework called the complete subtree (CS) method [NNL01], so that the size of the key update sent by the KGC in each time period will be logarithmic in the number of system users. The work of Boldyreva et al. [BGK08] attracted numerous followup works [LV09, SE13, ISW17, LLP17, WES17] and their RIBE construction was also extended to revocable *hierarchical IBE* (RHIBE) which simultaneously supports scalable key revocation and key delegation functionalities [RLPL15, ESY16, SE16, LP18].

Considering that RIBE and RHIBE were introduced by envisioning the real-world use of (H)IBE systems, their security definitions should take into account as many realistic threats and attack scenarios as possible. For example, leakage of decryption keys due to social/cyber attacks or unexpected human errors are common incidents in practice. Motivated by this, Seo and Emura [SE13, SE16] introduced a security notion unique to R(H)IBE called *decryption key exposure resistance* (DKER). Roughly speaking, this security notion guarantees that an exposure of a user’s decryption key at some time period will not compromise the confidentiality of ciphertexts that are encrypted for different time periods — a clearly desirable security guarantee in practice. After the introduction of the new security notion DKER, it has quickly become one of the default security requirements for R(H)IBE and attracted many followup works concerning R(H)IBE schemes with DKER [ISW17, LLP17, WES17, RLPL15, ESY16, LP18, SE16, MLC<sup>+</sup>15, PLL15]. So far constructions of R(H)IBE schemes with DKER are all based on bilinear or multilinear maps.

**State of Affairs of Lattice-based R(H)IBE.** Lattice-based cryptography has been paid much attention in the last decade, however, construction of R(H)IBE schemes with DKER has been rather elusive. In 2012, Chen et al. [CLL<sup>+</sup>12] proposed the first lattice-based RIBE scheme

without DKER; a work before the now default security notion of DKER was formalized by Seo and Emura [SE13], building on top of the standard IBE constructions of [ABB10, CHKP12]. The only followup work was done recently by Takayasu and Watanabe [TW17] who partially solved the problem of achieving RIBE with DKER by proposing a variant of [CLL<sup>+</sup>12]. Unfortunately, their scheme only satisfies *bounded* DKER, a strictly weaker notion than DKER, which only allows a bounded number of decryption keys to be leaked. Therefore, constructing an RIBE scheme with (unbounded) DKER based on lattices still remains an unsolved problem. This is in sharp contrast with the bilinear map setting where many constructions are known [SE13, ISW17, LLP17, WES17, RLPL15, ESY16, LP18, SE16]. Moreover, extending the RIBE scheme of Chen et al. [CLL<sup>+</sup>12] to the hierarchal setting seems to be highly non-trivial since no construction of lattice-based RHIBEs are known regardless of the scheme being DKER or not.

One of the main reasons why constructing R(H)IBE schemes with DKER in the lattice-setting has been difficult is because the algebraic structure of lattices seems to be ill-fit with the so-called *key re-randomization* property. So far, all RIBE schemes [SE13, ISW17, LLP17, WES17, MLC<sup>+</sup>15, PLL15] and RHIBE schemes with DKER [RLPL15, ESY16, LP18, SE16] are based on number theoretical assumptions, e.g., bilinear maps and multilinear maps, which all rely heavily on this key re-randomization property. At a high level, this is the property with which each user can re-randomize their key so that the re-randomized key is distributed identically to (or at least statistically close to) a key generated using a fresh randomness. In essence, this is the central property that enables DKER. Furthermore, this property is also heavily utilized when generating the children’s secret keys for fixed randomness without using any secret information, hence, achieving the hierarchal feature. However, unfortunately, due to the difference in the algebraic structure of bilinear, multilinear maps and lattices, we are currently unaware of any way of achieving the key re-randomization property from lattices.<sup>1</sup> Therefore, to construct lattice-based R(H)IBE schemes with DKER, it seems that we must deviate from prior methodologies and develop new techniques.

**Our Contributions.** In this paper, we propose the first lattice-based R(H)IBE scheme with DKER secure under the learning with errors (LWE) assumption. The techniques used in this work highly depart from previous works that rely on the key re-randomization property for achieving DKER and the key delegation functionality. Specifically, we show a generic construction of an RIBE scheme with DKER from any two-level standard HIBE scheme and RIBE scheme without DKER, thus bypassing the necessity of the key re-randomization property. Then, building on top of the idea of our generic construction, we further exploit the algebraic structure of lattices to construct an RHIBE scheme with DKER. We provide a brief summary of our work below and refer the detailed technical overview to Section 2.

Our first contribution is a generic construction of RIBE *with* DKER from any RIBE *without* DKER and two-level HIBE. The new tools we introduce to circumvent the necessity of the key re-randomization property are called *leveled ciphertexts* and *leveled decryption keys*. At a high level, each “level” for the leveled ciphertexts and decryption keys is associated to the RIBE scheme without DKER and the two-level HIBE scheme, respectively; one level is responsible for achieving the revocation mechanism and the other is responsible for the key re-randomization mechanism. Therefore, informally, our leveled structure allows for a *partial* key re-randomization mechanism. Using the lattice-based RIBE scheme without DKER of Chen et al. [CLL<sup>+</sup>12] and any lattice-based HIBE scheme, e.g., [ABB10, CHKP12], our result implies the first lattice-based

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<sup>1</sup>A knowledgeable reader familiar with lattice-based cryptography may wonder why the existing RIBE schemes [CLL<sup>+</sup>12, TW17] cannot be easily modified to support the property by using short trapdoor bases. We provide detailed discussions on why this simple modification is insufficient in Section 2.

RIBE scheme with DKER. Furthermore, since any IBE schemes can be converted to an HIBE scheme [DG17] (in the selective-identity model) and any RIBE scheme without DKER implies an IBE scheme, our result also implies a generic conversion of any RIBE scheme without DKER into an RIBE scheme with DKER.

Our second contribution is the construction of the first lattice-based RHIBE scheme with DKER. It is built on top of the idea of our generic construction and further exploits the algebraic structure unique to lattices. Namely, to achieve the key delegation functionality, i.e., hierarchal feature, we additionally introduce a tool called *level conversion keys*. In essence, this tool enables a user to convert his (secret) decryption key to a (public) key update for users of different hierarchal levels. In other words, the level conversion key allows one to delegate his key to its children without re-randomizing his key. Although the idea is simple, the concrete machinery to blend the level conversion keys securely into the construction is rather contrived and we refer the details to Section 2.

Finally, we state some side contributions worth highlighting in our paper. Firstly, we re-formalize the syntax and security definitions for R(H)IBE. For instance, since previous security definitions [BGK08, SE13, SE14, SE16] had some ambiguity (e.g. in some cases it is not clear when the values such as secret keys and key updates are generated during the security game), it was up to the readers to interpret the definitions and the proofs. Therefore, in our work we provide a refined security definition for R(H)IBE which in particular is a more rigorous and explicit treatment than the previous definitions. Secondly, we provide a formal treatment on an implicit argument that has been frequently adopted in the R(H)IBE literature. In particular, we introduce a simple yet handy “strategy-dividing lemma”, which helps us simplify the security proofs for R(H)IBE schemes in general. For the details, see Section 4.

In this full version, we show the following additional contents which were not included in the preliminary version of the paper [KMT19];

- the formal proof of the strategy-dividing lemma (Lemma 8),
- the formal proof of the security of our proposed generic construction of RIBE with DKER (Theorem 1),
- the formal proofs of the correctness and the security of our proposed lattice-based RHIBE scheme with DKER including the parameter selection (Lemma 11 and Theorem 2).

The additional contents will help the reader better understand our results and techniques in depth.

**Related Works.** Boldyreva et al. [BGK08] proposed the first RIBE scheme that achieved selective-identity security from bilinear maps, and Libert and Vergnaud [LV09] extended their results to the adaptive setting. The first lattice-based RIBE scheme was proposed by Chen et al. [CLL<sup>+</sup>12], and the first RHIBE scheme was proposed by Seo and Emura [SE14] based on bilinear maps. Recently, Chang et al. [CKKS18] proposed an RIBE scheme in the random oracle model from codes with rank metric and Hu et al. [HLCL18] proposed an RIBE scheme from the CDH assumption without pairing.

After Seo and Emura [SE13] introduced the security notion of DKER along with the first proposal of bilinear map-based RIBE scheme with DKER, several improvements and variants have been proposed from bilinear maps [ISW17, LLP17, WES17] and multilinear maps [MLC<sup>+</sup>15, PLL15]. Takayasu and Watanabe [TW17] proposed a lattice-based RIBE scheme with *bounded* DKER; a strictly weaker notion than DKER. Ma and Lin [ML19] recently proposed a generic construction of RIBE without DKER from IBE, and a generic construction of RIBE with DKER from 2-level HIBE.

The notion of RHIBE was first formalized by Seo and Emura [SE14]. However, the security definition did not capture *collusion resistance*. Subsequently, Seo and Emura [SE16] revised the security definition to capture collusion resistance (which they called insider security). Furthermore, they introduced the notion of DKER in the non-hierarchical RIBE setting. Several RHIBE schemes from bilinear maps [RLPL15, ESY16, SE16, LP18] have been proposed in this model. We call RHIBE only when a scheme satisfies collusion resistance (i.e., insider security). In this paper, we further establish the security definition by making the behaviors of an adversary and the challenger more rigorous and explicit than the ones adopted in previous works. Furthermore, we introduce a stronger definition of DKER than Seo and Emura’s definition.

The revocation mechanism we study in this paper is sometimes referred to as indirect revocation. A direct revocation mechanism does not require key updates and has been discussed for attribute-based encryption [AI09a, AI09b] and predicate encryption [NMS12]. Recently, Ling et al. proposed the first lattice-based directly revocable predicate encryption scheme [LNWZ17] and its server-aided variant [LNWZ18]. Finally, there is a variant of RIBE named server-aided RIBE [QDLL15, CDLQ16, NWZ16] where most of the computation of the users are delegated to an untrusted server.

**Roadmap.** In Section 2, we provide an overview of our constructions. In Section 3, we recall basic tools for lattice-based cryptography. In Section 4, we introduce formal definitions for RHIBE. In Section 5, we show a generic construction of RIBE with DKER. Finally, in Section 6, we show our main result concerning the first lattice-based RHIBE scheme with DKER.

**Notations.** Before diving into the technical details, we prepare some notations. Let  $\mathbb{N}$  be the set of all natural numbers. For non-negative integers  $n, n' \in \mathbb{N}$  with  $n \leq n'$ , we define  $[n, n'] := \{n, n+1, \dots, n'\}$ , and we extend the definition for  $n > n'$  by  $[n, n'] = \emptyset$ . For notational convenience, for  $n \in \mathbb{N}$ , we define  $[n] := [1, n]$ . Throughout the paper,  $\lambda \in \mathbb{N}$  denotes the security parameter.

As usual in the literature of (R)HIBE, an identity  $\text{ID}$  of a user at level  $\ell$  in the hierarchy in an RHIBE scheme is expressed as a length- $\ell$  vector  $\text{ID} = (\text{id}_1, \dots, \text{id}_\ell)$ . Here, let  $|\text{ID}|$  denote the length of  $\text{ID}$ , i.e.,  $|\text{ID}| = \ell$ . In order not to mix up with an identity  $\text{ID} = (\text{id}_1, \text{id}_2, \dots)$  treated in an RHIBE scheme and its element  $\text{id}_i$ , we sometimes call the former a *hierarchical identity* and the latter an *element identity*. We refer to the set of all element identities as the *element identity space* and denote it by  $\mathcal{ID}$ . We assume the element identity space is determined only by the security parameter  $\lambda$ . Thus, for example, the space to which level- $\ell$  identities belong is expressed as  $(\mathcal{ID})^\ell$ . For notational convenience, for  $\ell \in \mathbb{N}$  we define  $(\mathcal{ID})^{\leq \ell} := \bigcup_{i \in [\ell]} (\mathcal{ID})^i$ , and the hierarchal identity space  $\mathcal{ID}_h := (\mathcal{ID})^{\leq L}$ , where  $L$  denotes the maximum depth of the hierarchy. We denote by “kgc” the special hierarchical identity for the level-0 user, i.e., the key generation center (KGC).

Like an ordinary vector, we consider a prefix of hierarchical identities. For example, for a level- $\ell$  hierarchical identity  $\text{ID} = (\text{id}_1, \dots, \text{id}_\ell)$  and  $t \leq \ell$ ,  $\text{ID}_{[t]}$  represents the length- $t$  prefix of  $\text{ID}$ , i.e.,  $\text{ID}_{[t]} = (\text{id}_1, \dots, \text{id}_t)$ . We denote by “pa( $\text{ID}$ )” the identity of its parent (i.e. the direct ancestor), namely, if  $\text{ID} \in (\mathcal{ID})^\ell$ , then  $\text{pa}(\text{ID}) := \text{ID}_{[\ell-1]} = (\text{id}_1, \dots, \text{id}_{\ell-1})$ , and  $\text{pa}(\text{ID})$  for a level-1 identity  $\text{ID} \in \mathcal{ID}$  is defined to be kgc. For a level- $\ell$  hierarchical identity  $\text{ID} = (\text{id}_1, \dots, \text{id}_\ell)$  and an element identity  $\text{id}_{\ell+1}$ ,  $\text{ID} \parallel \text{id}_{\ell+1}$  represents a level- $(\ell+1)$  hierarchical identity such that  $\text{ID} \parallel \text{id}_{\ell+1} = (\text{id}_1, \dots, \text{id}_\ell, \text{id}_{\ell+1})$ . We denote by “prefix( $\text{ID}$ )” the set consisting of itself and all of its ancestors, namely,  $\text{prefix}(\text{ID}) := \{\text{ID}_{[1]}, \text{ID}_{[2]}, \dots, \text{ID}_{[|\text{ID}|]} = \text{ID}\}$ . Also, for  $\text{ID} \in (\mathcal{ID})^\ell$ , we denote by “ $\text{ID} \parallel \mathcal{ID}$ ” the subset of  $(\mathcal{ID})^{\ell+1}$  that contains all the members who have  $\text{ID}$  as its parent.

We treat vectors in their column form. For a vector  $\mathbf{v} \in \mathbb{R}^n$ , denote  $\|\mathbf{v}\|$  as the standard Euclidean norm. For a matrix  $\mathbf{R} \in \mathbb{R}^{n \times n}$ , denote  $\|\mathbf{R}\|_{\text{cs}}$  as the longest column of the Gram-

Schmidt orthogonalization of  $\mathbf{R}$  and denote  $\|\mathbf{R}\|_2$  as the largest singular value. We denote  $\mathbf{I}_m$  as the  $m \times m$  identity matrix and  $\mathbf{0}_{n \times m}$  as the  $n \times m$  zero matrix. We sometimes simply write  $\mathbf{0}_n$  to denote the (column) zero vectors.

## 2 Technical Overview

In this section, we provide the technical overview of our results. In order to make the lattice-based RHIBE overview easier to follow, we present the details of our generic construction of RIBE with DKER using lattice terminologies. The general idea presented below translates naturally to our generic construction. To this end, we first prepare two standard hash functions used in lattice-based cryptography: one for the users  $\text{ID} \in \mathcal{ID}_{\mathbf{h}} = \mathcal{ID}^{\leq L}$ , where each element identity space is defined by  $\mathcal{ID} = \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ , and another for the time period<sup>2</sup>  $\mathbf{t} \in \mathcal{T} \subset \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ . In particular, for a user  $\text{ID} = (\text{id}_1, \dots, \text{id}_\ell) \in (\mathbb{Z}_q^n \setminus \{\mathbf{0}_n\})^{\leq L}$  and time period  $\mathbf{t} \in \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$  we use the following hash functions  $\mathbf{E}(\cdot)$  and  $\mathbf{F}(\cdot)$ :

$$\begin{aligned} \mathbf{E}(\text{ID}) &:= [\mathbf{B}_1 + H(\text{id}_1)\mathbf{G} | \dots | \mathbf{B}_\ell + H(\text{id}_\ell)\mathbf{G}] \in \mathbb{Z}_q^{n \times \ell m}, \\ \mathbf{F}(\mathbf{t}) &:= \mathbf{B}_{L+1} + H(\mathbf{t})\mathbf{G} \in \mathbb{Z}_q^{n \times m}, \end{aligned} \tag{1}$$

where  $(\mathbf{B}_j)_{j \in [L+1]}$  are random matrices in  $\mathbb{Z}_q^{n \times m}$  chosen at setup of the scheme and  $\mathbf{G}$  is the gadget matrix [MP12]. Here,  $H : \mathbb{Z}_q^n \rightarrow \mathbb{Z}_q^{n \times n}$  is a specific hash function used to encode an identity to a matrix, and its definition is provided in Section 3. Notice that for any  $\text{ID} \in (\mathbb{Z}_q^n \setminus \{\mathbf{0}_n\})^\ell$  and  $\text{id}_{\ell+1} \in \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ , we have  $\mathbf{E}(\text{ID} || \text{id}_{\ell+1}) = [\mathbf{E}(\text{ID}) | \mathbf{B}_{\ell+1} + H(\text{id}_{\ell+1})\mathbf{G}]$ . Finally, we define  $\mathbf{E}(\text{kgc}) := \emptyset$ .

**Review of RIBE *without* DKER.** We first recall Chen et al.'s lattice-based RIBE scheme *without* DKER [CLL<sup>+</sup>12] in Figure 1. Here,  $\mathbf{A}$  and  $\mathbf{u}$  in the master public key PP are a matrix in  $\mathbb{Z}_q^{n \times m}$  and a vector in  $\mathbb{Z}_q^n$ , respectively, and  $\mathbf{T}_{\mathbf{A}}$  is the trapdoor associated with  $\mathbf{A}$ . Other terms will be explained as we proceed with our technical overview. Below, we see why the scheme

$\text{PP} := (\mathbf{A}, \mathbf{u}, \text{hash functions } \mathbf{E}(\cdot), \mathbf{F}(\cdot)),$	$\text{sk}_{\text{kgc}} := \mathbf{T}_{\mathbf{A}}$
$\text{ct} := (c_0 := \mathbf{u}^\top \mathbf{s} + \text{noise} + M \lfloor \frac{q}{2} \rfloor, \mathbf{c}_1 := [\mathbf{A}   \mathbf{E}(\text{ID})   \mathbf{F}(\mathbf{t})]^\top \mathbf{s} + \text{noise})$	
$\text{sk}_{\text{ID}} := (\mathbf{e}_{\text{ID}, \theta})_\theta$	$\text{s.t. } [\mathbf{A}   \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_\theta$
$\text{ku}_{\mathbf{t}} := (\mathbf{e}_{\mathbf{t}, \theta})_\theta$	$\text{s.t. } [\mathbf{A}   \mathbf{F}(\mathbf{t})] \mathbf{e}_{\mathbf{t}, \theta} = \mathbf{u} - \mathbf{u}_\theta$
$\text{dk}_{\text{ID}, \mathbf{t}} := \mathbf{d}_{\text{ID}, \mathbf{t}}$	$\text{s.t. } [\mathbf{A}   \mathbf{E}(\text{ID})   \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \mathbf{t}} = \mathbf{u}$

Figure 1: **Chen et al.'s RIBE Scheme**

realizes the revocation mechanism while it does not satisfy DKER. One feature of the RIBE construction is that the KGC maintains a binary tree where each user is assigned to a randomly selected leaf. Furthermore, a random vector  $\mathbf{u}_\theta \in \mathbb{Z}_q^n$  is uniquely assigned to each node  $\theta$  of the binary tree. Below, we explain the three types of keys which are core tools to realize the revocation mechanism: A *secret key* for a user  $\text{ID}$  is a tuple of short vectors  $\text{sk}_{\text{ID}} = (\mathbf{e}_{\text{ID}, \theta})_\theta$ , where each *short* vector  $\mathbf{e}_{\text{ID}, \theta} \in \mathbb{Z}^{2m}$  is associated to a random vector  $\mathbf{u}_\theta$  such that

$$[\mathbf{A} | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_\theta.$$

<sup>2</sup>As we will show in Section 4, the time period space is a set of natural numbers  $\{1, 2, \dots\}$ . Here, we assume that there is an efficient hash function that maps each natural number to a distinct vector in  $\mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ .

Since  $\mathbf{u}_\theta$  is an independent random vector and the ciphertext  $c_0$  only depends on  $\mathbf{u}$ , the vector  $\mathbf{e}_{\text{ID},\theta}$  in  $\text{sk}_{\text{ID}}$  itself is useless for decrypting a ciphertext  $\text{ct}$ . Hence, in each time period the KGC broadcasts a *key update* which is also a tuple of short vectors  $\text{ku}_t = (\mathbf{e}_{t,\theta})_\theta$ , where each short vector  $\mathbf{e}_{t,\theta}$  is associated to a random vector  $\mathbf{u}_\theta$  such that

$$[\mathbf{A}|\mathbf{F}(t)]\mathbf{e}_{t,\theta} = \mathbf{u} - \mathbf{u}_\theta.$$

Similarly to above,  $\mathbf{e}_{t,\theta}$  in  $\text{ku}_t$  itself is useless for decrypting a ciphertext  $\text{ct}$ . Now, we explain how the revocation mechanism works. By utilizing the complete subtree (CS) method [NNL01], the KGC is able to broadcast key updates so that there is no common node  $\theta$  in  $\text{ku}_t$  and  $\text{sk}_{\text{ID}}$  of *revoked* IDs, while there is at least one common node  $\theta$  in  $\text{ku}_t$  and  $\text{sk}_{\text{ID}}$  of *non-revoked* IDs. Then,  $\mathbf{e}_{\text{ID},\theta}$  in  $\text{sk}_{\text{ID}}$  and  $\mathbf{e}_{t,\theta}$  in  $\text{ku}_t$  of the common node  $\theta$  enable a non-revoked ID to derive a well-formed *decryption key*  $\mathbf{d}_{\text{ID},t} \in \mathbb{Z}^{3m}$  which is a *short* vector satisfying

$$[\mathbf{A}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]\mathbf{d}_{\text{ID},t} = \mathbf{u}.$$

It can be easily checked that  $\mathbf{d}_{\text{ID},t}$  can be obtained by simply adding  $\mathbf{e}_{\text{ID},\theta}$  and  $\mathbf{e}_{t,\theta}$  in a component-wise fashion. Note that if  $\mathbf{e}_{\text{ID},\theta}$  and  $\mathbf{e}_{t,\theta}$  are short vectors, then so is  $\mathbf{d}_{\text{ID},t}$ . Then, the vector enables us to recover the plaintext by computing

$$c_0 - \mathbf{c}_1^\top \mathbf{d}_{\text{ID},t} \approx M \left\lfloor \frac{q}{2} \right\rfloor.$$

The main insight of this construction is that only non-revoked users can use the key updates to eliminate the random factor  $\mathbf{u}_\theta$  to obtain a short vector  $\mathbf{d}_{\text{ID},t}$  that is bound to the the public matrix  $[\mathbf{A}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]$  and public vector  $\mathbf{u}$  with which a ciphertexts  $\text{ct}$  is created.

Although the scheme is proven to be a secure RIBE scheme *without* DKER, it clearly does not satisfy DKER. Indeed, there is a concrete attack even with a single decryption key query (i.e., decryption key exposure) on the target  $\text{ID}^*$ . The attack is as follows: assume that the adversary obtains a decryption key  $\text{dk}_{\text{ID}^*,t}$  for the target  $\text{ID}^*$  and a time period  $t \neq t^*$ . Since key updates are publicly broadcast, the adversary also obtains  $\text{ku}_t$  and  $\text{ku}_{t^*}$ . Since user  $\text{ID}^*$  will not be revoked unless  $\text{sk}_{\text{ID}^*}$  was revealed to the adversary, the key updates  $\text{ku}_t$  and  $\text{ku}_{t^*}$  will share a common node  $\theta^*$  with the secret key.<sup>3</sup> Therefore, recalling that  $\text{dk}_{\text{ID}^*,t}$  was a simple component-wise addition of  $\mathbf{e}_{\text{ID}^*,\theta^*}$  in  $\text{sk}_{\text{ID}^*}$  and  $\mathbf{e}_{t,\theta^*}$  in  $\text{ku}_t$ ,  $\mathcal{A}$  can first recover the secret key component  $\mathbf{e}_{\text{ID}^*,\theta^*}$  from  $(\text{dk}_{\text{ID}^*,t}, \mathbf{e}_{t,\theta^*})$ , which he can then combine with  $\mathbf{e}_{t^*,\theta^*}$  in  $\text{ku}_{t^*}$  to create the decryption key  $\mathbf{d}_{\text{ID}^*,t^*}$  for the challenge time period  $t^*$ . Specifically, this decryption key allows the adversary to completely break the scheme. In reality, this corresponds to the fact that once a decryption key for a certain time period is exposed to an adversary, then all the messages of distinct time periods may also be compromised. In essence, this attack relies on the fact that the decryption key leaks partial information on the secret key, which can then be used to construct decryption keys of all distinct time periods.

In all the previous bilinear map-based constructions, the above problem was circumvented by relying on the so-called *key re-randomization property*. Informally, this property allows one to re-randomize the decryption key, hence even if the decryption key is leaked, it would be impossible to restore the original secret key. In the above construction, this idea would correspond to re-sampling a short random vector  $\bar{\mathbf{d}}_{\text{ID},t}$  such that

$$[\mathbf{A}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]\bar{\mathbf{d}}_{\text{ID},t} = \mathbf{u}$$

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<sup>3</sup>To be more precise, there are cases  $\text{ku}_t$  and  $\text{ku}_{t^*}$  might not share a common node, however,  $\mathcal{A}$  can always adaptively revoke other users so that this holds.

using his original decryption key  $\mathbf{d}_{\text{ID},t}$ . Indeed, if the distribution of  $\bar{\mathbf{d}}_{\text{ID},t}$  is independent of the original decryption key  $\mathbf{d}_{\text{ID},t}$ , this modification would prevent the above attack, since the adversary will not be able to recover the secret key component  $\mathbf{e}_{\text{ID}^*,\theta^*}$  anymore using the above strategy. However, such a re-sampling procedure is computationally infeasible, since otherwise we would be able to trivially solve the small integer solution (SIS) problem.

Readers familiar with lattice-based constructions of (non-revocable) HIBE may think that we could achieve the key re-randomization property by simply using a short trapdoor basis as the secret key instead of a vector. Indeed, if we add a short trapdoor basis  $\mathbf{T}_{[\mathbf{A}|\mathbf{E}(\text{ID})]}$  as a part of the secret key  $\text{sk}_{\text{ID}}$ , the user ID will be able to sample a short vector  $\bar{\mathbf{d}}_{\text{ID},t} \neq \mathbf{d}_{\text{ID},t}$ , since anybody can efficiently extend the trapdoor basis  $\mathbf{T}_{[\mathbf{A}|\mathbf{E}(\text{ID})]}$  to  $\mathbf{T}_{[\mathbf{A}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]}$  and thus sample a random vector  $\bar{\mathbf{d}}_{\text{ID},t}$  such that  $[\mathbf{A}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]\bar{\mathbf{d}}_{\text{ID},t} = \mathbf{u}$ . However, this approach does not mesh well with the above revocation mechanism, since now the user ID can derive decryption keys  $\mathbf{d}_{\text{ID},t}$  for every time period without requiring the key updates  $\text{ku}_t$ . Therefore, adding a short trapdoor basis to the secret key provides too much flexibility to the users and we completely lose the mechanism for supporting revocation.

**Constructing RIBE *with* DKER.** To summarize so far, the main bottleneck of Chen et al.'s RIBE scheme without DKER is that it satisfies the key revocation mechanism, but seems challenging to extend it to satisfy DKER. On the other hand, adding a short trapdoor basis would definitely be useful for achieving DKER, however, it seems to contradict with the revocation mechanism. In the following, we show that we can carefully combine these two seemingly conflicting ideas together. The concrete construction of our lattice-based RIBE scheme *with* DKER is illustrated in Figure 2. The boxed items denote the changes made from the previous figure.

$\text{PP} := (\mathbf{A}, \boxed{\bar{\mathbf{A}}}, \mathbf{u}, \text{hash functions } \mathbf{E}(\cdot), \mathbf{F}(\cdot)), \quad \text{sk}_{\text{kgc}} := (\mathbf{T}_{\mathbf{A}}, \boxed{\mathbf{T}_{\bar{\mathbf{A}}}})$
$\text{ct} := \left( \begin{array}{l} c_0 := \mathbf{u}^\top (\mathbf{s} + \boxed{\bar{\mathbf{s}}}) + \text{noise} + M \lfloor \frac{q}{2} \rfloor, \\ \mathbf{c}_1 := [\mathbf{A} \mathbf{E}(\text{ID}) \mathbf{F}(t)]^\top \mathbf{s} + \text{noise}, \quad \boxed{\bar{\mathbf{c}}_1 := [\bar{\mathbf{A}} \mathbf{E}(\text{ID}) \mathbf{F}(t)]^\top \bar{\mathbf{s}} + \text{noise}} \end{array} \right)$
$\text{sk}_{\text{ID}} := ((\mathbf{e}_{\text{ID},\theta})_\theta, \boxed{\mathbf{T}_{[\bar{\mathbf{A}} \mathbf{E}(\text{ID})]}}) \quad \text{s.t. } [\mathbf{A} \mathbf{E}(\text{ID})]\mathbf{e}_{\text{ID},\theta} = \mathbf{u}_\theta$
$\text{ku}_t := (\mathbf{e}_{t,\theta})_\theta \quad \text{s.t. } [\mathbf{A} \mathbf{F}(t)]\mathbf{e}_{t,\theta} = \mathbf{u} - \mathbf{u}_\theta$
$\text{dk}_{\text{ID},t} := (\mathbf{d}_{\text{ID},t}, \boxed{\bar{\mathbf{d}}_{\text{ID},t}}) \quad \text{s.t. } [\mathbf{A} \mathbf{E}(\text{ID}) \mathbf{F}(t)]\mathbf{d}_{\text{ID},t} = \mathbf{u}, \quad \boxed{[\bar{\mathbf{A}} \mathbf{E}(\text{ID}) \mathbf{F}(t)]\bar{\mathbf{d}}_{\text{ID},t} = \mathbf{u}}$

Figure 2: **Our RIBE Scheme with DKER**

Our construction relies on a tool we call *leveled ciphertexts* and *leveled decryption keys*; the terminology should become more intuitive and helpful in the hierarchical setting that we explain later. Here, we call an element associated with a matrix  $\mathbf{A}$  and  $\bar{\mathbf{A}}$  level-1 and level-2, respectively. In particular,  $\mathbf{c}_1, \bar{\mathbf{c}}_1$  and  $\mathbf{d}_{\text{ID},t}, \bar{\mathbf{d}}_{\text{ID},t}$  in Figure 2 are the level-1, level-2 ciphertexts and decryption keys, respectively. Here, the level-1 components  $\mathbf{c}_1$  and  $\mathbf{d}_{\text{ID},t}$  correspond to Chen et al.'s RIBE scheme without DKER and are responsible for achieving the revocation mechanism. On the other hand, the level-2 components  $\bar{\mathbf{c}}_1$  and  $\bar{\mathbf{d}}_{\text{ID},t}$  are the newly introduced elements that will help us achieve DKER. Since the two decryption keys for levels-1 and 2 are in one-to-one correspondence with the ciphertexts  $(\mathbf{c}_1, \bar{\mathbf{c}}_1)$  for levels-1 and 2, both of the decryption keys are required to recover the underlying message as follows:

$$c_0 - \underbrace{\mathbf{c}_1^\top \mathbf{d}_{\text{ID},t}}_{\text{level-1 component}} - \underbrace{\bar{\mathbf{c}}_1^\top \bar{\mathbf{d}}_{\text{ID},t}}_{\text{level-2 component}} \approx M \lfloor \frac{q}{2} \rfloor.$$

In particular, if either level of the decryption key is missing, the message cannot be recovered. Separating the role of the decryption keys is the main idea that allows us to associate the two seemingly conflicting properties of revocation and key re-randomization to each level of the decryption keys.

First, we observe that the above RIBE scheme achieves the revocation mechanism since it simply inherits this property from the underlying Chen et al.’s RIBE scheme without DKER. Furthermore, we achieve DKER by incorporating the aforementioned trapdoor idea; we add a trapdoor  $\mathbf{T}_{[\bar{\mathbf{A}}|\mathbf{E}(\text{ID})]}$  to the secret key  $\text{sk}_{\text{ID}}$ . Using this short trapdoor basis  $\mathbf{T}_{[\bar{\mathbf{A}}|\mathbf{E}(\text{ID})]}$ , we can now sample a level-2 decryption key  $\bar{\mathbf{d}}_{\text{ID},t}$  for each time period independently from the previous time periods. Namely, using  $\mathbf{T}_{[\bar{\mathbf{A}}|\mathbf{E}(\text{ID})]}$ , we can sample a short vector  $\bar{\mathbf{d}}_{\text{ID},t}$  such that

$$[\bar{\mathbf{A}}|\mathbf{E}(\text{ID})|\mathbf{F}(t)]\bar{\mathbf{d}}_{\text{ID},t} = \mathbf{u},$$

where  $\bar{\mathbf{d}}_{\text{ID},t}$  leaks no information of the secret key  $\text{sk}_{\text{ID}}$ . Hence, although we are not able to completely re-randomize the decryption key  $\text{dk}_{\text{ID},t} = (\mathbf{d}_{\text{ID},t}, \bar{\mathbf{d}}_{\text{ID},t})$ , we can *partially* re-randomize the decryption key by sampling a new level-2 decryption key  $\bar{\mathbf{d}}_{\text{ID},t}$  for each time period; even if  $\text{dk}_{\text{ID},t}$  is compromised, this alone will not be sufficient for constructing decryption keys for other time periods. Indeed, we show that this partial key re-randomization property is sufficient to prove the DKER security.

In Section 5, we formalize and prove the above idea by providing a generic construction of RIBE with DKER, using as building blocks any RIBE without DKER and 2-level HIBE. At a high level, the 2-level HIBE scheme is responsible for the key re-randomization property and is the core component that allows us to convert non-DKER secure RIBE schemes into DKER secure RIBE schemes.

**Constructing RHIBE from Lattices.** Next, we show an overview of our lattice-based RHIBE construction. For simplicity of presentation and since we can add DKER via the above idea, we do not take into account DKER in the following RHIBE construction. Specifically, we explain how to construct an RHIBE scheme *without* DKER by modifying Chen et al.’s RIBE scheme.

Before getting into detail, we prepare some notations used for the hierarchal setting. In the following, let  $L$  be the maximum depth of the hierarchy, where we treat the KGC as level-0. In RHIBE, all level- $i$  users  $\text{ID}$  for  $i \in [0, L - 1]$ , including the KGC, maintain a binary tree  $\text{BT}_{\text{ID}}$  to manage their children users in  $\text{ID}||\mathcal{ID}$ . Furthermore, a random vector  $\mathbf{u}_{\text{ID},\theta} \in \mathbb{Z}_q^n$  is uniquely assigned to each node  $\theta$  of the binary tree  $\text{BT}_{\text{ID}}$ . The level- $(\ell - 1)$  user  $\text{pa}(\text{ID})$  creates the secret key  $\text{sk}_{\text{ID}}$  of the level- $\ell$  user  $\text{ID}$ , and the user  $\text{ID}$  derives his own decryption key  $\text{dk}_{\text{ID},t}$  by combining his own secret key  $\text{sk}_{\text{ID}}$  and the key updates  $\text{ku}_{\text{pa}(\text{ID}),t}$  that are broadcast by the parent user  $\text{pa}(\text{ID})$ . Throughout the overview, we assume  $\text{ID}$  represents an level- $\ell$  user.

Introducing Leveled Secret Keys: Due to the complex nature of our scheme, we believe it to be helpful to provide the intuition of our scheme following a series of modifications, where our final scheme without DKER is depicted in Figure 6. Our starting point is illustrated in Figure 3, where as before, the box indicates the changes made from the prior scheme.

Toward resolving the incompatibility of the key delegation property and the key revocation mechanism, the scheme in Figure 3 utilizes leveled ciphertexts as done in the prior non-hierarchal scheme in Figure 2. Furthermore, we introduce a new tool called *leveled secret keys* in this scheme. Here, we call an element associated with a matrix  $\mathbf{A}_i$  level- $i$ , respectively. In particular, the ciphertext  $\text{ct}$  of a level- $\ell$  user  $\text{ID}$  is a level- $\ell$  ciphertext since  $\mathbf{c}_1$  is associated with  $\mathbf{A}_\ell$ . The main trick of the scheme in Figure 3 is that a secret key  $\text{sk}_{\text{ID}}$  for a level- $\ell$  user consists of level- $i$  secret keys for  $i \in [\ell, L]$ , where the level- $\ell$  secret key  $(\mathbf{e}_{\text{ID},\theta})_\theta$  and the other level- $i$  secret keys

$\text{PP} := \left( (\mathbf{A}_i)_{i \in [L]}, \mathbf{u}, \text{hash functions } \mathbf{E}(\cdot), \mathbf{F}(\cdot), \text{sk}_{\text{kgc}} := (\mathbf{T}_{\mathbf{A}_i})_{i \in [L]} \right)$
$\text{ct} := \left( c_0 := \mathbf{u}^\top \mathbf{s} + \text{noise} + M \lfloor \frac{q}{2} \rfloor, c_1 := [\mathbf{A}_\ell   \mathbf{E}(\text{ID})   \mathbf{F}(\mathbf{t})]^\top \mathbf{s} + \text{noise} \right)$
$\text{sk}_{\text{ID}} := \left( (\mathbf{e}_{\text{ID}, \theta})_\theta, (\mathbf{T}_{[\mathbf{A}_i   \mathbf{E}(\text{ID})]})_{i \in [\ell+1, L]} \right) \quad \text{s.t. } [\mathbf{A}_\ell   \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_{\text{pa}(\text{ID}), \theta}$
$\text{ku}_{\text{pa}(\text{ID}), \mathbf{t}} := (\mathbf{e}_{\text{pa}(\text{ID}), \mathbf{t}, \theta})_\theta \quad \text{s.t. } [\mathbf{A}_\ell   \mathbf{E}(\text{pa}(\text{ID}))   \mathbf{F}(\mathbf{t})] \mathbf{e}_{\text{pa}(\text{ID}), \mathbf{t}, \theta} = \mathbf{u} - \mathbf{u}_{\text{pa}(\text{ID}), \theta}$
$\text{dk}_{\text{ID}, \mathbf{t}} := \mathbf{d}_{\text{ID}, \mathbf{t}} \quad \text{s.t. } [\mathbf{A}_\ell   \mathbf{E}(\text{ID})   \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \mathbf{t}} = \mathbf{u}$

Figure 3: **Leveled Secret Key and  $i$ -Leveled Ciphertext**

$\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$  for  $i \in [\ell + 1, L]$  serve a different purpose. The level- $\ell$  secret key in  $\text{sk}_{\text{ID}}$  is a tuple of short vectors of the form  $(\mathbf{e}_{\text{ID}, \theta})_\theta$  each of which satisfies

$$[\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = [\mathbf{A}_\ell | \mathbf{E}(\text{pa}(\text{ID})) | \mathbf{B}_\ell + H(\text{id}_\ell) \mathbf{G}] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_{\text{pa}(\text{ID}), \theta}, \quad (2)$$

and serves the same purpose as the original Chen et al.'s RIBE scheme. Namely, the level- $\ell$  secret key of a level- $\ell$  user is used for decrypting its own level- $\ell$  ciphertext, where the detailed procedure will be explained later. The remaining level- $i$  secret keys in  $\text{sk}_{\text{ID}}$  for  $i \in [\ell + 1, L]$  are trapdoors of the form  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$  in  $\text{sk}_{\text{ID}}$  and serves the purpose of delegation. Concretely, using the trapdoor  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$  for  $i \in [\ell + 1, L]$ , the level- $\ell$  user ID can sample all level- $i$  secret keys for his children  $\text{ID} \parallel \text{id}_{\ell+1} \in \text{ID} \parallel \mathcal{ID}$ ; a set of short vectors  $(\mathbf{e}_{\text{ID} \parallel \text{id}_{\ell+1}, \theta})_\theta$  such that  $[\mathbf{A}_i | \mathbf{E}(\text{ID} \parallel \text{id}_{\ell+1})] \mathbf{e}_{\text{ID} \parallel \text{id}_{\ell+1}, \theta} = \mathbf{u}_{\text{ID}, \theta}$  and trapdoors  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID} \parallel \text{id}_{\ell+1})]}$  for  $i \in [\ell + 2, L]$ . In addition, the level- $\ell$  user ID can also use the level- $(\ell + 1)$  trapdoor  $\mathbf{T}_{[\mathbf{A}_{\ell+1} | \mathbf{E}(\text{ID})]}$  in  $\text{sk}_{\text{ID}}$  to derive key updates  $\text{ku}_{\text{ID}, \mathbf{t}}$ . Here, a level- $(\ell - 1)$  user  $\text{pa}(\text{ID})$ 's key update  $\text{ku}_{\text{pa}(\text{ID}), \mathbf{t}}$  is a tuple of short vectors  $(\mathbf{e}_{\text{pa}(\text{ID}), \mathbf{t}, \theta})_\theta$  such that

$$[\mathbf{A}_\ell | \mathbf{E}(\text{pa}(\text{ID})) | \mathbf{F}(\mathbf{t})] \mathbf{e}_{\text{pa}(\text{ID}), \mathbf{t}, \theta} = \mathbf{u} - \mathbf{u}_{\text{pa}(\text{ID}), \theta}. \quad (3)$$

Then, from Eqs. (2) and (3), the level- $\ell$  user ID can derive a well-formed decryption key  $\text{dk}_{\text{ID}, \mathbf{t}}$  which is a short vector of the form  $\mathbf{d}_{\text{ID}, \mathbf{t}}$  satisfying

$$[\mathbf{A} | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \mathbf{t}} = [\mathbf{A} | \mathbf{E}(\text{pa}(\text{ID})) | \mathbf{B}_\ell + H(\text{id}_\ell) \mathbf{G} | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \mathbf{t}} = \mathbf{u}.$$

Hence, the scheme in Figure 3 properly supports the key delegation functionality.

Furthermore, at first glance, the scheme also supports the key revocation mechanism. Since the level- $\ell$  secret key  $(\mathbf{e}_{\text{ID}, \theta})_\theta$  of the level- $\ell$  user ID is exactly the same as the secret key used by user ID in Chen et al.'s RIBE scheme, it simply inherits the revocation mechanism. In particular, user ID will not be able to decrypt his level- $\ell$  ciphertext without his parent's key update  $\text{ku}_{\text{pa}(\text{ID}), \mathbf{t}}$ , which will no longer be provided once user ID is revoked. However, unfortunately, this scheme is trivially flawed and does not meet the security notion of RHIBE. In RHIBE, we require the user ID to be revoked once any of his ancestors  $\text{ID}_{[i]} \in \text{prefix}(\text{ID})$  for  $i \in [\ell - 1]$  is revoked. In other words, once a user is revoked from the system, then all of its descendants must also be revoked. It can be easily checked that this requirement is not met by our above RHIBE scheme. Since the level- $\ell$  user ID has the full trapdoor  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$  for  $i \in [\ell + 1, L]$  as part of its secret key, nothing is preventing user ID from continuing on generating secret keys and key updates for his children.

Introducing Leveled Decryption Keys: To fix the above issue concerning key revocation, we further modify the scheme as in Figure 4. From now on, we further modify the definition of level- $i$  ciphertext, and call a tuple

$$(\mathbf{u}^\top \mathbf{s}_i + \text{noise}, \quad \mathbf{c}_i = [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}) | \mathbf{F}(\mathbf{t})]^\top \mathbf{s}_i + \text{noise})$$



$$\begin{array}{l}
\text{PP} := ((\mathbf{A}_i)_{i \in [L]}, \boxed{\mathbf{u}_k}_{k \in [L]}), \text{ hash functions } \mathbf{E}(\cdot), \mathbf{F}(\cdot), \quad \text{sk}_{\text{kgc}} := (\mathbf{T}_{\mathbf{A}_i})_{i \in [L]} \\
\text{ct} := \left( \begin{array}{l} c_0 := \boxed{\mathbf{u}_\ell}^\top (\mathbf{s}_1 + \cdots + \mathbf{s}_\ell) + \text{noise} + \text{M} \left\lfloor \frac{q}{2} \right\rfloor, \\ \mathbf{c}_i := [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}) | \mathbf{F}(\mathbf{t})]^\top \mathbf{s}_i + \text{noise} \end{array} \right)_{i \in [\ell]} \\
\text{sk}_{\text{ID}} := ((\mathbf{e}_{\text{ID}}, \theta), (\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]})_{i \in [\ell+1, L]}) \quad \text{s.t. } [\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_\theta, \\
\text{ku}_{\text{pa}(\text{ID}), \text{t}} := ((\mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}), \boxed{\mathbf{f}_{\text{ID}_{[i], \text{t}, k}}}_{i \in [\ell-1], k \in [\ell, L]}) \\
\quad \text{s.t. } [\mathbf{A}_\ell | \mathbf{E}(\text{pa}(\text{ID})) | \mathbf{F}(\mathbf{t})] \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta} = \mathbf{u}_\ell - \mathbf{u}_\theta, \quad \boxed{[\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}) | \mathbf{F}(\mathbf{t})] \mathbf{f}_{\text{ID}_{[i], \text{t}, k}} = \mathbf{u}_k} \\
\text{dk}_{\text{ID}, \text{t}} := (\mathbf{d}_{\text{ID}, \text{t}}, \boxed{\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}}_{i \in [\ell-1]}) \quad \text{s.t. } [\mathbf{A}_\ell | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \text{t}} = \boxed{\mathbf{u}_\ell}
\end{array}$$

Figure 5:  $(k, i)$ -Leveled Ciphertext and Decryption Key

element associated with a vector  $\mathbf{u}_k$  and a matrix  $\mathbf{A}_i$  as level- $(k, i)$ , respectively. For example, we call a tuple

$$(\mathbf{u}_k^\top \mathbf{s}_i + \text{noise}, \quad \mathbf{c}_i = [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}) | \mathbf{F}(\mathbf{t})]^\top \mathbf{s}_i + \text{noise})$$

a level- $(k, i)$  ciphertext since the first component is associated with the public vector  $\mathbf{u}_k$ , and the latter component  $\mathbf{c}_i$  is associated with the public matrix  $\mathbf{A}_i$ , and both components are associated with the same secret vector  $\mathbf{s}_i$ . In particular, a ciphertext for a level- $\ell$  user ID consists of level- $(\ell, i)$  ciphertexts for  $i \in [\ell]$ . Accordingly, we must provide user ID with a redefined leveled decryption key to allow decryption of the two-dimensional leveled ciphertexts. Specifically, we provide a level- $\ell$  user ID with level- $(\ell, i)$  decryption keys for  $i \in [\ell]$ , where again the level- $(\ell, \ell)$  decryption key  $\mathbf{d}_{\text{ID}, \text{t}}$  and the other level- $(\ell, i)$  decryption keys  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  for  $i \in [\ell - 1]$  serve a different purpose. The level- $(\ell, \ell)$  decryption key denoted as  $\mathbf{d}_{\text{ID}, \text{t}}$  is constructed and serves the exact same purpose as in the previous scheme. The level- $(\ell, i)$  decryption keys for  $i \in [\ell - 1]$  are denoted as  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$ . As before, these decryption keys  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  are broadcast as part of the parent's key updates  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$ , however, the way they are defined is slightly different from the previous scheme. Namely, the level- $(\ell, i)$  decryption key  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  satisfies

$$[\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}) | \mathbf{F}(\mathbf{t})] \mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}} = \mathbf{u}_\ell.$$

Note that it is  $\mathbf{u}_\ell$  and not  $\mathbf{u}$  as in Eq. (4). Using this, a level- $\ell$  user ID can decrypt its ciphertext as follows:

$$c_0 - \underbrace{\mathbf{c}_\ell^\top \mathbf{d}_{\text{ID}, \text{t}}}_{\text{level-}(\ell, \ell) \text{ component}} - \sum_{i=1}^{\ell-1} \underbrace{\mathbf{c}_i^\top \mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}}_{\text{level-}(\ell, i) \text{ component}} \approx \text{M} \left\lfloor \frac{q}{2} \right\rfloor,$$

where each level of the ciphertext and decryption keys are in one-to-one correspondence with each other. Note that the level- $\ell$  user ID uses only level- $(\ell, i)$  decryption keys  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  for  $i \in [\ell - 1]$  provided in the key update  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$  to decrypt his own ciphertext. He simply forwards the remaining level- $(k, i)$  decryption keys  $\mathbf{f}_{\text{ID}_{[i], \text{t}, k}}$  for  $(k, i) \in [\ell + 1, L] \times [\ell - 1]$  as part of his key update  $\text{ku}_{\text{ID}, \text{t}}$ .

One can see that the problem in the previous scheme of Figure 4 is now resolved, since the public term  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  can only be used in combination with the level- $(\ell, i)$  ciphertext. In other words, due to the two-dimensional level,  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  is only useful for decrypting ciphertexts of level- $\ell$  users. Furthermore, since the level- $(\ell, \ell)$  decryption key  $\mathbf{d}_{\text{ID}, \text{t}}$  still remains secret, the publicly broadcast decryption keys  $\mathbf{f}_{\text{ID}_{[i], \text{t}, \ell}}$  for  $i \in [\ell - 1]$  alone are insufficient for decrypting the ciphertexts

sent to user ID. The remaining problem with this approach is that there is currently no way for the level- $(\ell - 1)$  ancestors  $\text{pa}(\text{ID})$  to create the level- $(k, \ell - 1)$  decryption keys  $(\mathbf{f}_{\text{ID}_{[\ell-1],t,k}})_{k \in [\ell, L]}$  which they must broadcast as part of the key updates  $\text{ku}_{\text{pa}(\text{ID}),t}$ . Specifically, since they do not have the trapdoor  $\mathbf{T}_{[\mathbf{A}_{\ell-1}|\mathbf{E}(\text{ID}_{[\ell-1]})]}$ , they cannot simply sample the level- $(k, \ell - 1)$  decryption keys  $(\mathbf{f}_{\text{ID}_{[\ell-1],t,k}})_{k \in [\ell, L]}$  for every time period.

Introducing Level Conversion Keys: Finally, we arrive at our proposed RHIBE scheme (without DKER) illustrated in Figure 6. We overcome our final obstacle by introducing a tool called *level conversion keys*. In the scheme of Figure 5, a level- $\ell$  parent user ID is able to create his level- $(\ell, \ell)$  decryption key  $\mathbf{d}_{\text{ID},t}$  by himself although he cannot compute the level- $(k, \ell)$  decryption keys  $(\mathbf{f}_{\text{ID},t,k})_{k \in [\ell+1, L]}$  in the key updates  $\text{ku}_{\text{ID},t}$  (which corresponds to  $(\mathbf{f}_{\text{ID}_{[\ell-1],t,k}})_{k \in [\ell, L]}$  in  $\text{ku}_{\text{pa}(\text{ID}),t}$  of level- $(\ell - 1)$  users in the figure). To overcome the issue, we define a level- $[\ell, k]$  conversion key

$\begin{aligned} \text{PP} &:= ((\mathbf{A}_i)_{i \in [L]}, (\mathbf{u}_k)_{k \in [L]}, \text{hash functions } \mathbf{E}(\cdot), \mathbf{F}(\cdot)), & \text{sk}_{\text{kgc}} &:= (\mathbf{T}_{\mathbf{A}_i})_{i \in [L]} \\ \text{ct} &:= (c_0 := \mathbf{u}_\ell^\top (\mathbf{s}_1 + \dots + \mathbf{s}_\ell) + \text{noise} + \mathbf{M} \lfloor \frac{q}{2} \rfloor, (\mathbf{c}_i := [\mathbf{A}_i   \mathbf{E}(\text{ID}_{[i]})   \mathbf{F}(\mathbf{t})]^\top \mathbf{s}_i + \text{noise})_{i \in [\ell]} ) \\ \text{sk}_{\text{ID}} &:= ((\mathbf{e}_{\text{ID},\theta}), (\mathbf{f}_{\text{ID},k})_{k \in [\ell+1, L]}, (\mathbf{T}_{[\mathbf{A}_i   \mathbf{E}(\text{ID})]})_{i \in [\ell+1, L]}) & \text{s.t. } & [\mathbf{A}_\ell   \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID},\theta} = \mathbf{u}_\theta, \\ & & & [\mathbf{A}_\ell   \mathbf{E}(\text{ID})] \mathbf{f}_{\text{ID},k} = \mathbf{u}_k - \mathbf{u}_\ell \end{aligned}$
$\begin{aligned} \text{ku}_{\text{pa}(\text{ID}),t} &:= ((\mathbf{e}_{\text{pa}(\text{ID}),t,\theta}), (\mathbf{f}_{\text{ID}_{[i],t,k}})_{i \in [\ell-1], k \in [\ell, L]}) \\ &\text{s.t. } [\mathbf{A}_\ell   \mathbf{E}(\text{pa}(\text{ID}))   \mathbf{F}(\mathbf{t})] \mathbf{e}_{\text{pa}(\text{ID}),t,\theta} = \mathbf{u}_\ell - \mathbf{u}_\theta, & [\mathbf{A}_i   \mathbf{E}(\text{ID}_{[i]})   \mathbf{F}(\mathbf{t})] \mathbf{f}_{\text{ID}_{[i],t,k}} = \mathbf{u}_k \\ \text{dk}_{\text{ID},t} &:= (\mathbf{d}_{\text{ID},t}, (\mathbf{f}_{\text{ID}_{[i],t,\ell}})_{i \in [\ell-1]}) & \text{s.t. } & [\mathbf{A}_\ell   \mathbf{E}(\text{ID})   \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID},t} = \mathbf{u}_\ell \end{aligned}$

Figure 6: **Level Conversion Key**

$(\mathbf{f}_{\text{ID},k})_{k \in [\ell+1, L]}$  of a level- $\ell$  user ID satisfying

$$[\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{f}_{\text{ID},k} = \mathbf{u}_k - \mathbf{u}_\ell.$$

To compute level- $(k, \ell)$  decryption keys  $(\mathbf{f}_{\text{ID},t,k})_{k \in [\ell+1, L]}$  in key updates  $\text{ku}_{\text{ID},t}$ , the level- $[\ell, k]$  conversion key allows the user ID to convert his *secret* level- $(\ell, \ell)$  decryption key  $\mathbf{d}_{\text{ID},t}$  which satisfies

$$[\mathbf{A}_\ell | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID},t} = \mathbf{u}_\ell$$

into a *public* level- $(k, \ell)$  decryption key  $\mathbf{f}_{\text{ID},t,k}$  which satisfies

$$[\mathbf{A}_\ell | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{f}_{\text{ID},t,k} = \mathbf{u}_k,$$

where the conversion is a simple component-wise addition. Since the scheme supports both the key delegation functionality and the key revocation mechanism, it can be shown to be a secure RHIBE scheme *without* DKER.

Adding DKER to the Construction: To make the above lattice-based RHIBE scheme in Figure 6 satisfy DKER, we will use the same idea incorporated in our generic construction of RIBE with DKER. Specifically, we add one more level to the above scheme and wrap a standard HIBE scheme around it to manage the partial key re-randomization property. The concrete construction appears in Section 6.

### 3 Preliminaries

In this section, we briefly summarize the basic tools used in lattice-based cryptography.

**Lattices.** A (full-rank-integer)  $m$ -dimensional lattice  $\Lambda$  in  $\mathbb{Z}^m$  is a set of the form  $\{\sum_{i \in [m]} x_i \mathbf{b}_i \mid x_i \in \mathbb{Z}\}$ , where  $\mathbf{B} = \{\mathbf{b}_1, \dots, \mathbf{b}_m\}$  are  $m$  linearly independent vectors in  $\mathbb{Z}^m$ . We call  $\mathbf{B}$  the basis of the lattice  $\Lambda$ . For any positive integers  $n, m$  and  $q \geq 2$ , a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  and a vector  $\mathbf{u} \in \mathbb{Z}_q^n$ , we define  $\Lambda_q^\perp(\mathbf{A}) = \{\mathbf{z} \in \mathbb{Z}^m \mid \mathbf{A}\mathbf{z} = \mathbf{0}_n \pmod{q}\}$  and  $\Lambda_q^{\mathbf{u}}(\mathbf{A}) = \{\mathbf{z} \in \mathbb{Z}^m \mid \mathbf{A}\mathbf{z} = \mathbf{u} \pmod{q}\}$ .

**Gaussian Measures.** Let  $\mathcal{D}_{\Lambda, \sigma}$  denote the standard discrete Gaussian distribution over  $\Lambda$  with a Gaussian parameter  $\sigma$ . We summarize some basic properties of discrete Gaussian distributions.

**Lemma 1** ([GPV08]). *Let  $\Lambda$  be an  $m$ -dimensional lattice. Let  $\mathbf{T}$  be a basis for  $\Lambda$ , and suppose  $\sigma \geq \|\mathbf{T}\|_{\text{GS}} \cdot \omega(\sqrt{\log m})$ . Then  $\Pr[\|\mathbf{x}\|_2 > \sigma\sqrt{m} : \mathbf{x} \leftarrow \mathcal{D}_{\Lambda, \sigma}] \leq \text{negl}(m)$ .*

**Lemma 2** ([GPV08]). *Let  $n, m, q$  be positive integers such that  $m \geq 2n \log q$  and  $q$  a prime. Let  $\sigma$  be any positive real such that  $\sigma \geq \omega(\sqrt{\log n})$ . Then for  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$  and  $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \sigma}$ , the distribution of  $\mathbf{u} = \mathbf{A}\mathbf{e} \pmod{q}$  is statistically close to uniform over  $\mathbb{Z}_q^n$ . Furthermore, for a fixed  $\mathbf{u} \in \mathbb{Z}_q^n$ , the conditional distribution of  $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \sigma}$ , given  $\mathbf{A}\mathbf{e} = \mathbf{u} \pmod{q}$  for a uniformly random  $\mathbf{A}$  in  $\mathbb{Z}_q^{n \times m}$  is  $D_{\Lambda_q^{\mathbf{u}}(\mathbf{A}), \sigma}$  with all but negligible probability.*

**Sampling Algorithms.** We review some of the algorithms for sampling short vectors from a given lattice.

**Lemma 3.** *Let  $n, m, \bar{m}, q > 0$  be positive integers with  $m \geq 2n \lceil \log q \rceil$  and  $q$  a prime. Then, we have the following polynomial time algorithms:*

**TrapGen**( $1^n, 1^m, q$ )  $\rightarrow (\mathbf{A}, \mathbf{T}_{\mathbf{A}})$  ([MP12, Ajt99, AP11]): *a randomized algorithm that outputs a full rank matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  and a basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}^{m \times m}$  for  $\Lambda_q^\perp(\mathbf{A})$  such that  $\mathbf{A}$  is statistically close to uniform and  $\|\mathbf{T}_{\mathbf{A}}\|_{\text{GS}} = O(\sqrt{n \log q})$  with overwhelming probability in  $n$ .*

**SampleLeft**( $\mathbf{A}, \mathbf{F}, \mathbf{u}, \mathbf{T}_{\mathbf{A}}, \sigma$ )  $\rightarrow \mathbf{e}$  ([ABB10, MP12]): *a randomized algorithm that, given as input a full rank matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , a matrix  $\mathbf{F} \in \mathbb{Z}_q^{n \times \bar{m}}$ , a vector  $\mathbf{u} \in \mathbb{Z}_q^n$ , a basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}^{m \times m}$  of  $\Lambda_q^\perp(\mathbf{A})$ , and a Gaussian parameter  $\sigma \geq \|\mathbf{T}_{\mathbf{A}}\|_{\text{GS}} \cdot \omega(\sqrt{\log m})$ , outputs a vector  $\mathbf{e} \in \mathbb{Z}^{m+\bar{m}}$  sampled from a distribution statistically close to  $\mathcal{D}_{\Lambda_q^{\mathbf{u}}([\mathbf{A}|\mathbf{F}]}, \sigma)$ .*

([MP12]): *There exists a fixed full rank matrix  $\mathbf{G} \in \mathbb{Z}_q^{n \times m}$  such that the lattice  $\Lambda_q^\perp(\mathbf{G})$  has a publicly known basis  $\mathbf{T}_{\mathbf{G}} \in \mathbb{Z}^{m \times m}$  with  $\|\mathbf{T}_{\mathbf{G}}\|_{\text{GS}} \leq \sqrt{5}$ .*

For simplicity, we omit the **SamplePre** algorithm of [ABB10], since in our paper it will be used as a public algorithm to sample from the lattice  $\mathbb{Z}^m$ . The following algorithms allow one to securely delegate a trapdoor of a lattice to an arbitrary higher-dimensional extension, with a slight loss in quality. It can be obtained by combining the works of [CHKP12] and [ABB10] in a straightforward manner.

**Lemma 4.** *Let  $n, m, \bar{m}, q > 0$  be positive integers with  $m > n$  and  $q$  a prime. Then, we have the following polynomial time algorithms:*

**ExtRndLeft**( $\mathbf{A}, \mathbf{F}, \mathbf{T}_{\mathbf{A}}, \sigma$ )  $\rightarrow \mathbf{T}_{[\mathbf{A}|\mathbf{F}]}$ : *a randomized algorithm that, given as input matrices  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ ,  $\mathbf{F} \in \mathbb{Z}_q^{n \times \bar{m}}$ , a basis  $\mathbf{T}_{\mathbf{A}}$  of  $\Lambda_q^\perp(\mathbf{A})$ , and a Gaussian parameter  $\sigma \geq \|\mathbf{T}_{\mathbf{A}}\|_{\text{GS}} \cdot \omega(\sqrt{\log n})$ , outputs a matrix  $\mathbf{T}_{[\mathbf{A}|\mathbf{F}]} \in \mathbb{Z}^{(m+\bar{m}) \times (m+\bar{m})}$  distributed statistically close to  $(\mathcal{D}_{\Lambda_q^\perp([\mathbf{A}|\mathbf{F}]}, \sigma))^{m+\bar{m}}$ .*

**ExtRndRight**( $\mathbf{A}, \mathbf{G}, \mathbf{R}, \mathbf{T}_{\mathbf{G}}, \sigma$ )  $\rightarrow \mathbf{T}_{[\mathbf{A}|\mathbf{AR}+\mathbf{G}]}$ : *a randomized algorithm that, given as input full rank matrices  $\mathbf{A}, \mathbf{G} \in \mathbb{Z}_q^{n \times m}$ , a matrix  $\mathbf{R} \in \mathbb{Z}^{m \times m}$ , a basis  $\mathbf{T}_{\mathbf{G}}$  of  $\Lambda_q^\perp(\mathbf{G})$ , and a Gaussian parameter  $\sigma \geq \|\mathbf{R}\|_2 \cdot \|\mathbf{T}_{\mathbf{G}}\|_2 \cdot \omega(\sqrt{\log n})$  outputs a matrix  $\mathbf{T}_{[\mathbf{A}|\mathbf{AR}+\mathbf{G}]} \in \mathbb{Z}^{2m \times 2m}$  distributed statistically close to  $(\mathcal{D}_{\Lambda_q^\perp([\mathbf{A}|\mathbf{AR}+\mathbf{G}]}, \sigma))^{2m}$ .*

**Useful Facts.** We recall some useful facts that will be used in our paper.

**Lemma 5** (Leftover Hash Lemma). *Let  $q > 2$  be a prime,  $m, n, k$  be positive integers such that  $m > (n + 1) \log q + \omega(\log n)$ ,  $k$  is polynomial in  $n$ , and let  $\mathbf{R} \leftarrow \{-1, 1\}^{m \times k}$ . Let  $\mathbf{A}$  and  $\mathbf{B}$  be matrices chosen uniformly in  $\mathbb{Z}_q^{n \times m}$  and  $\mathbb{Z}_q^{n \times k}$ , respectively. Then the distribution of  $(\mathbf{A}, \mathbf{A}\mathbf{R})$  is negligibly close in  $n$  to the distribution of  $(\mathbf{A}, \mathbf{B})$ .*

To obtain a lower bound of  $\sigma$ , we will use the following fact.

**Lemma 6.** *Let  $m, k$  be positive integers such that  $k \geq m$ . If  $\mathbf{R}$  is sampled uniformly in  $\{-1, 1\}^{m \times k}$  then there exists a universal constant  $C$  such that  $\Pr [\|\mathbf{R}\|_2 > C\sqrt{m+k}] < e^{-m}$ .*

**Lemma 7** (Noise Re-randomization, [KY16], Lemma 1). *Let  $q, \ell, m$  be positive integers and  $r$  a positive real satisfying  $r > \max\{\omega(\sqrt{\log m}), \omega(\sqrt{\log \ell})\}$ . Let  $\mathbf{b} \in \mathbb{Z}_q^m$  be arbitrary and  $\mathbf{z}$  chosen from  $D_{\mathbb{Z}^m, r}$ . Then there exists a PPT algorithm  $\text{ReRand}$  such that for any  $\mathbf{V} \in \mathbb{Z}^{m \times \ell}$  and positive real  $\sigma > \|\mathbf{V}\|_2$ ,  $\text{ReRand}(\mathbf{V}, \mathbf{b} + \mathbf{z}, r, \sigma)$  outputs  $\mathbf{b}'^\top = \mathbf{b}^\top \mathbf{V} + \mathbf{z}'^\top \in \mathbb{Z}_q^\ell$  where  $\mathbf{z}'$  is distributed statistically close to  $D_{\mathbb{Z}^\ell, 2r\sigma}$ .*

We use the standard map to encode identities as matrices in  $\mathbb{Z}_q^{n \times n}$ .

**Definition 1** ([ABB10]). *Let  $n, q$  be positive integers with  $q$  a prime. We say that a function  $H : \mathbb{Z}_q^n \rightarrow \mathbb{Z}_q^{n \times n}$  is a full-rank difference (FRD) map if: for all distinct  $\text{ID}, \text{ID}' \in \mathbb{Z}_q^n$ , the matrix  $H(\text{ID}) - H(\text{ID}') \in \mathbb{Z}_q^{n \times n}$  is full rank, and  $H$  is computable in polynomial time in  $n \log q$ .*

**Hardness Assumption.** The security of our RIBE scheme is reduced to the learning with errors (LWE) assumption introduced by Regev [Reg05].

**Assumption 1** (Learning with Errors). *For integers  $n, m$ , a prime  $q$ , a real  $\alpha \in (0, 1)$  such that  $\alpha q > 2\sqrt{n}$ , and a PPT algorithm  $\mathcal{A}$ , the advantage for the learning with errors problem  $\text{LWE}_{n, m, q, \mathcal{D}_{\mathbb{Z}^m, \alpha q}}$  of  $\mathcal{A}$  is defined as  $|\Pr [\mathcal{A}(\mathbf{A}, \mathbf{A}^\top \mathbf{s} + \mathbf{x}) = 1] - \Pr [\mathcal{A}(\mathbf{A}, \mathbf{v} + \mathbf{x}) = 1]|$ , where  $\mathbf{A} \leftarrow \mathbb{Z}^{n \times m}$ ,  $\mathbf{s} \leftarrow \mathbb{Z}^n$ ,  $\mathbf{x} \leftarrow \mathcal{D}_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{v} \leftarrow \mathbb{Z}^m$ . We say that the LWE assumption holds if the above advantage is negligible for all PPT  $\mathcal{A}$ .*

## 4 Formal Definitions for Revocable Hierarchical Identity-Based Encryption and a Supporting Lemma

In this section, we first provide formal definitions for RHIBE in Section 4.1. Then, in Section 4.2, we explain a simple and yet handy lemma that we call the “strategy-dividing lemma”, which helps us simplify security proofs of R(H)IBE schemes in general. Since RIBE is a special case of RHIBE, we omit the formal definitions of RIBE to A.1.

### 4.1 Revocable Hierarchical Identity-Based Encryption

As mentioned in the introduction, we re-formalize the syntax of RHIBE. Compared to the existing works on RHIBE, our syntax of RHIBE treats each user’s secret key, state information, and revocation list in a simplified manner. We will first explain how we simplify them and then proceed to introducing the formal syntax and security definitions.

**On the Role of Secret Keys.** In the literature of R(H)IBE, the entity who can derive a secret key for lower-level users (i.e., the KGC in RIBE, and non-leaf users in RHIBE), is typically modeled as a stateful entity, and is supposed to maintain a so-called “state” in addition to its own secret key. Generally, the state information contains the information with which the revocation

mechanism is realized and needs to be treated confidentially. Since it is after all another type of secret information, we simply merge the roles of the state information and a secret key in our syntax. Concretely, in our model, each user maintains the secret key generated by their parents, and it is updated after running the key generation algorithm (when generating a secret key for its child) or the key update information generation algorithm.

**On the Treatment of Revocation Lists.** Note that unlike in standard revocable (non-hierarchical) IBE, the key update information and revocation lists of users are maintained individually by their corresponding parent. In our syntax of R(H)IBE, we treat a revocation list as a subset of (the corresponding children’s) identity space. More specifically, the revocation list of a user with identity  $ID \in (\mathcal{ID})^\ell$  contains identities that belong to the set  $ID \parallel \mathcal{ID} \subseteq (\mathcal{ID})^{\ell+1}$ .

In previous literatures on R(H)IBE, a “revoke” algorithm which adds a user to be revoked into the revocation list have been considered. However, we do not explicitly consider such an algorithm as part of our syntax, since all the revoke algorithm considered in the literature so far simply appends revoked users to a list.

**Syntax.** An RHIBE scheme  $\Pi$  consists of the six algorithms (Setup, Encrypt, GenSK, KeyUp, GenDK, Decrypt) with the following interface:

**Setup**( $1^\lambda, L$ )  $\rightarrow$  (PP,  $sk_{kgc}$ ) : This is the *setup* algorithm that takes the security parameter  $1^\lambda$  and the maximum depth of the hierarchy  $L \in \mathbb{N}$  as input, and outputs a public parameter PP and the KGC’s secret key  $sk_{kgc}$  (also called a master secret key).

We assume that the plaintext space  $\mathcal{M}$ , the time period space  $\mathcal{T} := \{1, 2, \dots, t_{\max}\}$ , where  $t_{\max}$  is polynomial in  $\lambda$ , the element identity space  $\mathcal{ID}$ , and the hierarchical identity space  $\mathcal{ID}_h := (\mathcal{ID})^{\leq L}$  are determined only by the security parameter  $\lambda$ , and their descriptions are contained in PP.

**Encrypt**(PP, ID, t, M)  $\rightarrow$  ct : This is the *encryption* algorithm that takes a public parameter PP, an identity ID, a time period t, and a plaintext M as input, and outputs a ciphertext ct.

**GenSK**(PP,  $sk_{pa(ID)}$ , ID)  $\rightarrow$  ( $sk_{ID}$ ,  $sk'_{pa(ID)}$ ) : This is the *secret key generation* algorithm that takes a public parameter PP, a parent’s secret key  $sk_{pa(ID)}$ , and an identity  $ID \in \mathcal{ID}_h$  as input, and may update the parent’s secret key  $sk_{pa(ID)}$ . Then, it outputs a secret key  $sk_{ID}$  for the identity ID and also the parent’s “updated” secret key  $sk'_{pa(ID)}$ .

**KeyUp**(PP, t,  $sk_{ID}$ ,  $RL_{ID,t}$ ,  $ku_{pa(ID),t}$ )  $\rightarrow$  ( $ku_{ID,t}$ ,  $sk'_{ID}$ ) : This is the *key update information generation* algorithm that takes a public parameter PP, a time period t, a secret key  $sk_{ID}$  (of a user with  $ID \in (\mathcal{ID})^{\leq L-1} \cup \{kgc\}$ ), a revocation list  $RL_{ID,t} \subseteq ID \parallel \mathcal{ID}$ , and a parent’s key update  $ku_{pa(ID),t}$  as input, and may update the secret key  $sk_{ID}$ . Then, it outputs a key update  $ku_{ID,t}$  and also the “updated” secret key  $sk'_{ID}$ .

In the special case  $ID = kgc$ , we define  $ku_{pa(kgc),t} := \perp$  for all  $t \in \mathcal{T}$ , i.e., a key update is not needed for generating the KGC’s key update  $ku_{kgc,t}$ .

**GenDK**(PP,  $sk_{ID}$ ,  $ku_{pa(ID),t}$ )  $\rightarrow$   $dk_{ID,t}$  or  $\perp$  : This is the *decryption key generation* algorithm that takes a public parameter PP, a secret key  $sk_{ID}$  (of a user with  $ID \in (\mathcal{ID})^{\leq L}$ ), and a parent’s key update  $ku_{pa(ID),t}$  as input, and outputs a decryption key  $dk_{ID,t}$  for time period t or the special “invalid” symbol  $\perp$  indicating that ID or some of its ancestor has been revoked.

**Decrypt**(PP,  $dk_{ID,t}$ , ct)  $\rightarrow$  M : This is the *decryption* algorithm that takes a public parameter PP, a decryption key  $dk_{ID,t}$ , and a ciphertext ct as input, and outputs the decryption result M.

**Correctness.** We require the following to hold for an RHIBE scheme. Informally, we require a ciphertext corresponding to a user ID for time t to be properly decrypted by user ID if the

user or any of its ancestor is not revoked on time  $t$ . To fully capture this, we can consider all the possible scenarios of creating the secret key for user  $ID$ . Namely, for all  $\lambda \in \mathbb{N}$ ,  $L \in \mathbb{N}$ ,  $(PP, sk_{kgc}) \leftarrow \text{Setup}(1^\lambda, L)$ ,  $\ell \in [L]$ ,  $ID \in (\mathcal{ID})^\ell$ ,  $t \in \mathcal{T}$ ,  $M \in \mathcal{M}$ ,  $RL_{kgc,t} \subseteq \mathcal{ID}$ ,  $RL_{ID_{[1]},t} \subseteq ID_{[1]} \parallel \mathcal{ID}, \dots, RL_{ID_{[\ell-1]},t} \subseteq ID_{[\ell-1]} \parallel \mathcal{ID}$ , if  $ID' \notin RL_{pa(ID'),t}$  holds for all  $ID' \in \text{prefix}(ID)$ , then we require  $M' = M$  to hold after executing the following procedures:

- (1)  $(ku_{kgc,t}, sk_{kgc}) \leftarrow \text{KeyUp}(PP, t, sk_{kgc}, RL_{kgc,t}, \perp)$ .
- (2) For all  $ID' \in \text{prefix}(ID)$  (in the short-to-long order), execute (2.1) and (2.2):
  - (2.1)  $(sk_{ID'}, sk'_{pa(ID')}) \leftarrow \text{GenSK}(PP, sk_{pa(ID')}, ID')$ .
  - (2.2)  $(ku_{ID',t}, sk'_{ID'}) \leftarrow \text{KeyUp}(PP, t, sk_{ID'}, RL_{ID',t}, ku_{pa(ID'),t})$ .<sup>4</sup>
- (3)  $dk_{ID,t} \leftarrow \text{GenDK}(PP, sk_{ID}, ku_{pa(ID),t})$ .<sup>5</sup>
- (4)  $ct \leftarrow \text{Encrypt}(PP, ID, t, M)$ .
- (5)  $M' \leftarrow \text{Decrypt}(PP, dk_{ID,t}, ct)$ .

We note that the most stringent way to define correctness would be to also capture the fact that the secret keys  $sk_{ID}$  can be further updated after executing  $\text{GenSK}$ . In particular, the output of  $\text{KeyUp}$ , which takes as input the secret key  $sk_{ID}$ , may potentially differ before and after  $\text{GenSK}$  is run. Therefore, to be more precise, we should also allow an arbitrary (polynomial) number of executions of  $\text{GenSK}$  in between steps (2.1) and (2.2). However, we defined correctness as above for the sake of simplicity and readability. We note that our scheme satisfies the more stringent correctness (which will be obvious from the construction).

**Security Definition.** Here, we give a formal security definition for RHIBE.

Due to some ambiguous treatments in the security definition in prior works [BGK08, SE13, SE14, SE16], often times it was up to the readers to interpret and fill in the gap of security proofs. (See Section 1 for discussion.) In our work, we provide a refined security definition for RHIBE which in particular is a more rigorous and explicit treatment than the previous definitions.

In this game, we explicitly separate the secret key generation and secret key reveal queries, so that we can capture situations where some  $sk_{ID}$  has been generated but not revealed to an adversary. Furthermore, we combine the “revoke” and “key update” queries in the previous definitions into the single “revoke & key update” query, and introduce the notion of the “current time period”  $t_{cu} \in \mathcal{T}$  which is coordinated with the adversary’s revoke & key update query. These make all the key updates of non-revoked users to be well-defined throughout the security game.

Formally, let  $\Pi = (\text{Setup}, \text{Encrypt}, \text{GenSK}, \text{KeyUp}, \text{GenDK}, \text{Decrypt})$  be an RHIBE scheme. We will only consider selective-identity security, which is defined via a game between an adversary  $\mathcal{A}$  and the challenger  $\mathcal{C}$ . The game is parameterized by the security parameter  $\lambda$  and a polynomial  $L = L(\lambda)$  representing the maximum depth of the identity hierarchy. Moreover, the game has the global counter  $t_{cu}$ , initialized with 1, that denotes the “current time period” with which  $\mathcal{C}$ ’s responses to  $\mathcal{A}$ ’s queries are controlled. The game proceeds as follows:

At the beginning,  $\mathcal{A}$  sends the challenge identity/time period pair  $(ID^*, t^*) \in (\mathcal{ID})^{\leq L} \times \mathcal{T}$  to  $\mathcal{C}$ . Next,  $\mathcal{C}$  runs  $(PP, sk_{kgc}) \leftarrow \text{Setup}(1^\lambda, L)$ , and prepares a list  $\text{SKList}$  that initially contains  $(kgc, sk_{kgc})$ , and into which identity/secret key pairs  $(ID, sk_{ID})$  generated during the game will be stored. From this point on, whenever a new secret key is generated or an existing secret key is updated for an identity  $ID \in (\mathcal{ID})^{\leq L} \cup \{kgc\}$  due to the execution of  $\text{GenSK}$  or  $\text{KeyUp}$ ,  $\mathcal{C}$  will store  $(ID, sk_{ID})$  or update the corresponding entry  $(ID, sk_{ID})$  in  $\text{SKList}$ , and we will not explicitly mention

<sup>4</sup>If  $|ID'| = L$ , then this step is skipped.

<sup>5</sup>Here,  $sk_{ID}$  is the latest secret key that is the result of the step (2).

this addition/update. Then,  $\mathcal{C}$  executes  $(\text{ku}_{\text{kgc},1}, \text{sk}'_{\text{kgc}}) \leftarrow \text{KeyUp}(\text{PP}, t_{\text{cu}} = 1, \text{sk}_{\text{kgc}}, \text{RL}_{\text{kgc},1} = \emptyset, \perp)$  for generating a key update for the initial time period  $t_{\text{cu}} = 1$ . After that,  $\mathcal{C}$  gives  $\text{PP}$  and  $\text{ku}_{\text{kgc},1}$  to  $\mathcal{A}$ .

From this point on,  $\mathcal{A}$  may adaptively make the following five types of queries to  $\mathcal{C}$ :

**Secret Key Generation Query:** Upon a query  $\text{ID} \in (\mathcal{ID})^{\leq L}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if  $(\text{ID}, *) \notin \text{SKList}$  and  $(\text{pa}(\text{ID}), \text{sk}_{\text{pa}(\text{ID})}) \in \text{SKList}$  for some  $\text{sk}_{\text{pa}(\text{ID})}$ , and returns  $\perp$  to  $\mathcal{A}$  if this is *not* the case. Otherwise,  $\mathcal{C}$  executes  $(\text{sk}_{\text{ID}}, \text{sk}'_{\text{pa}(\text{ID})}) \leftarrow \text{GenSK}(\text{PP}, \text{sk}_{\text{pa}(\text{ID})}, \text{ID})$ . If  $|\text{ID}| = 1$ , or  $2 \leq |\text{ID}| \leq L - 1$  and  $\text{pa}(\text{ID}) \notin \text{RL}_{\text{pa}(\text{pa}(\text{ID})), t_{\text{cu}}}$ , then  $\mathcal{C}$  furthermore executes  $(\text{ku}_{\text{ID}, t_{\text{cu}}}, \text{sk}'_{\text{ID}}) \leftarrow \text{KeyUp}(\text{PP}, t_{\text{cu}}, \text{sk}_{\text{ID}}, \text{RL}_{\text{ID}, t_{\text{cu}}} := \emptyset, \text{ku}_{\text{pa}(\text{ID}), t_{\text{cu}}})$  and returns  $\text{ku}_{\text{ID}, t_{\text{cu}}}$  to  $\mathcal{A}$ . If  $2 \leq |\text{ID}| \leq L$  and  $\text{pa}(\text{ID}) \in \text{RL}_{\text{pa}(\text{pa}(\text{ID})), t_{\text{cu}}}$ , then  $\mathcal{C}$  furthermore executes  $\text{RL}_{\text{pa}(\text{ID}), t_{\text{cu}}} \leftarrow \text{RL}_{\text{pa}(\text{ID}), t_{\text{cu}}} \cup \{\text{ID}\}$  and returns nothing to  $\mathcal{A}$ .<sup>6</sup>

We require that all identities  $\text{ID}$  appearing in the following queries (except the challenge query) be “activated”, in the sense that  $\text{sk}_{\text{ID}}$  is generated via this query and hence  $(\text{ID}, \text{sk}_{\text{ID}}) \in \text{SKList}$ .

**Secret Key Reveal Query:** Upon a query<sup>7</sup>  $\text{ID} \in (\mathcal{ID})^{\leq L}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following condition is satisfied:

- If  $t_{\text{cu}} \geq t^*$  and  $\text{ID} \in \text{prefix}(\text{ID}^*)$ , then  $\text{ID}' \in \text{RL}_{\text{pa}(\text{ID}'), t^*}$  for some  $\text{ID}' \in \text{prefix}(\text{ID})$ .<sup>8</sup>

If this condition is *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  finds  $\text{sk}_{\text{ID}}$  from  $\text{SKList}$ , and returns it to  $\mathcal{A}$ .

**Revoke & Key Update Query:** Upon a query  $\text{RL} \subseteq (\mathcal{ID})^{\leq L}$  (which denotes the set of identities that are going to be revoked in the next time period) from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following conditions are satisfied simultaneously:

- $\text{RL}_{\text{ID}, t_{\text{cu}}} \subseteq \text{RL}$  for all  $\text{ID} \in \mathcal{ID}^{\leq L-1} \cup \{\text{kgc}\}$  that appear in  $\text{SKList}$ .<sup>9</sup>
- For all identities  $\text{ID}$  such that  $(\text{ID}, *) \in \text{SKList}$  and  $\text{ID}' \in \text{prefix}(\text{ID})$ , if  $\text{ID}' \in \text{RL}$  then  $\text{ID} \in \text{RL}$ .<sup>10</sup>
- If  $t_{\text{cu}} = t^* - 1$  and  $\text{sk}_{\text{ID}'}$  for some  $\text{ID}' \in \text{prefix}(\text{ID}^*)$  has already been revealed by the secret key reveal query  $\text{ID}'$ , then  $\text{ID}' \in \text{RL}$ .<sup>11</sup>

If these conditions are *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ .

Otherwise  $\mathcal{C}$  increments the current time period by  $t_{\text{cu}} \leftarrow t_{\text{cu}} + 1$ . Then,  $\mathcal{C}$  executes the following operations (1)–(3) for all “activated” and non-revoked identities  $\text{ID}$ , i.e.,  $\text{ID} \in (\mathcal{ID})^{\leq L-1} \cup \{\text{kgc}\}$ ,  $(\text{ID}, *) \in \text{SKList}$ , and  $\text{ID} \notin \text{RL}$ , in the breadth-first order in the identity hierarchy:

- (1) Set  $\text{RL}_{\text{ID}, t_{\text{cu}}} \leftarrow \text{RL} \cap (\text{ID} \parallel \mathcal{ID})$ , where we define  $\text{kgc} \parallel \mathcal{ID} := \mathcal{ID}$ .
- (3) Run  $(\text{ku}_{\text{ID}, t_{\text{cu}}}, \text{sk}'_{\text{ID}}) \leftarrow \text{KeyUp}(\text{PP}, t_{\text{cu}}, \text{sk}_{\text{ID}}, \text{RL}_{\text{ID}, t_{\text{cu}}}, \text{ku}_{\text{pa}(\text{ID}), t_{\text{cu}}})$ , where  $\text{ku}_{\text{pa}(\text{kgc}), t_{\text{cu}}} := \perp$ .

<sup>6</sup>We stress that just making this query does not give the secret key  $\text{sk}_{\text{ID}}$  to  $\mathcal{A}$ . It is captured by the “Secret Key Reveal Query” explained next. Furthermore, we provide the key updates to  $\mathcal{A}$  if  $\text{pa}(\text{ID}) \notin \text{RL}_{\text{pa}(\text{pa}(\text{ID})), t_{\text{cu}}}$ , since they are typically broadcast via an insecure channel and are not meant to be secret.

<sup>7</sup>As opposed to a game for correctness,  $\mathcal{A}$  is not able to make the query on  $\text{kgc}$ .

<sup>8</sup>In other words, this check ensures that if  $\text{ID}$  is  $\text{ID}^*$  itself or one of the ancestors of  $\text{ID}^*$ , then  $\text{ID}$  or one of its ancestors must have been revoked before the challenge time period  $t^*$ . Without this condition, there is a trivial attack on any RHIBE scheme. See Remark 1 for a detail explanation.

<sup>9</sup>This check ensures that the identities that have already been revoked will remain revoked in the next time period.

<sup>10</sup>In other words, this check ensures that if some  $\text{ID}$  is revoked, then all of its descendants are also revoked.

<sup>11</sup>In other words, this check is to ensure that if the secret key  $\text{sk}_{\text{ID}'}$  of some ancestor  $\text{ID}'$  of  $\text{ID}^*$  (or  $\text{ID}^*$  itself) has been revealed to  $\mathcal{A}$ , then  $\text{ID}'$  is revoked in the next time period.

Finally,  $\mathcal{C}$  returns all the generated key updates  $\{\text{ku}_{\text{ID}, \text{t}_{\text{cu}}}\}_{(\text{ID}, *) \in \text{SKList} \setminus \text{RL}}$  to  $\mathcal{A}$ .

**Decryption Key Reveal Query:** Upon a query  $(\text{ID}, \text{t}) \in (\mathcal{ID})^{\leq L} \times \mathcal{T}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following conditions are simultaneously satisfied:

- $\text{t} \leq \text{t}_{\text{cu}}$ .
- $\text{ID} \notin \text{RL}_{\text{pa}(\text{ID}), \text{t}}$
- $(\text{ID}, \text{t}) \neq (\text{ID}^*, \text{t}^*)$ .

If these conditions are *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  finds  $\text{sk}_{\text{ID}}$  from  $\text{SKList}$ , runs  $\text{dk}_{\text{ID}, \text{t}} \leftarrow \text{GenDK}(\text{PP}, \text{sk}_{\text{ID}}, \text{ku}_{\text{pa}(\text{ID}), \text{t}})$ , and returns  $\text{dk}_{\text{ID}, \text{t}}$  to  $\mathcal{A}$ .<sup>12</sup>

**Challenge Query:**  $\mathcal{A}$  is allowed to make this query only once. Upon a query  $(M_0, M_1)$  from  $\mathcal{A}$ , where it is required that  $|M_0| = |M_1|$ ,  $\mathcal{C}$  picks the challenge bit  $b \in \{0, 1\}$  uniformly at random, runs  $\text{ct}^* \leftarrow \text{Encrypt}(\text{PP}, \text{ID}^*, \text{t}^*, M_b)$ , and returns the challenge ciphertext  $\text{ct}^*$  to  $\mathcal{A}$ .

At some point,  $\mathcal{A}$  outputs  $b' \in \{0, 1\}$  as its guess for  $b$  and terminates.

The above completes the description of the game. In this game,  $\mathcal{A}$ 's selective-identity security advantage  $\text{Adv}_{\Pi, L, \mathcal{A}}^{\text{RHIBE-se1}}(\lambda)$  is defined by  $\text{Adv}_{\Pi, L, \mathcal{A}}^{\text{RHIBE-se1}}(\lambda) := 2 \cdot |\Pr[b' = b] - 1/2|$ .

**Definition 2.** We say that an RHIBE scheme  $\Pi$  with depth  $L$  satisfies selective-identity security, if the advantage  $\text{Adv}_{\Pi, L, \mathcal{A}}^{\text{RHIBE-se1}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}$ .

**Remark 1** (Condition in the Secret Reveal Query.). *The condition in the secret reveal key is necessary, because if we do not have this condition, there is a trivial attack on any RHIBE scheme. Suppose there is some  $\text{ID} \in \text{prefix}(\text{ID}^*)$  such that  $\text{ID}' \notin \text{RL}_{\text{pa}(\text{ID}'), \text{t}^*}$  for all  $\text{ID}' \in \text{prefix}(\text{ID})$ , and  $\mathcal{A}$  obtains  $\text{sk}_{\text{ID}}$  after the challenge time period  $\text{t}^*$  via a secret key reveal query. Then,  $\mathcal{A}$  can compute  $\text{sk}_{\widetilde{\text{ID}}}$  for all  $\widetilde{\text{ID}} \in \text{prefix}(\text{ID}^*) \setminus \text{prefix}(\text{ID})$  (including  $\text{sk}_{\text{ID}^*}$ ). Furthermore, since  $\text{ID}' \notin \text{RL}_{\text{pa}(\text{ID}'), \text{t}^*}$  hold for all  $\text{ID}' \in \text{prefix}(\text{ID})$ ,  $\mathcal{A}$  owns  $\text{ku}_{\text{pa}(\text{ID}), \text{t}^*}$  generated by using  $\text{RL}_{\text{pa}(\text{ID}), \text{t}^*}$  not containing  $\text{ID}$ . Then, setting  $\widetilde{\text{ku}}_{\text{pa}(\text{ID}), \text{t}^*} := \text{ku}_{\text{pa}(\text{ID}), \text{t}^*}$ ,  $\mathcal{A}$  can compute its own key update  $\widetilde{\text{ku}}_{\widetilde{\text{ID}}, \text{t}^*}$  by sequentially executing  $\text{KeyUp}(\text{PP}, \text{t}^*, \text{sk}_{\widetilde{\text{ID}}}, \widetilde{\text{RL}}_{\widetilde{\text{ID}}, \text{t}^*}, \widetilde{\text{ku}}_{\text{pa}(\widetilde{\text{ID}), \text{t}^*})}$ , for each  $\widetilde{\text{ID}} \in \text{prefix}(\text{ID}^*) \setminus \text{prefix}(\text{ID})$  where  $\widetilde{\text{ID}} \notin \widetilde{\text{RL}}_{\text{pa}(\widetilde{\text{ID}), \text{t}^*}$ . Therefore,  $\mathcal{A}$  can obtain both  $\text{sk}_{\text{ID}^*}$  and  $\widetilde{\text{ku}}_{\text{pa}(\text{ID}^*), \text{t}^*}$  where  $\text{ID}^*$  is not revoked at the time period  $\text{t}^*$ . Notably,  $\mathcal{A}$  can derive a decryption key (using  $\text{GenDK}$ ) that can decrypt the challenge ciphertext  $\text{ct}^*$ .*

**Remark 2** (Collusion Resistance). *The above security definition captures collusion resistance. In the first model proposed by Seo-Emura [SE14],  $\mathcal{A}$  was only able to receive the initial secret keys  $\text{sk}_{\text{ID}}$  via secret key reveal queries. In other words, although the secret key  $\text{sk}_{\text{ID}}$  was possibly updated to  $\text{sk}'_{\text{ID}}$  after executing  $\text{GenSK}$  and  $\text{KeyUp}$ ,  $\mathcal{A}$  was not able to receive the updated key  $\text{sk}'_{\text{ID}}$ . Therefore, the second model proposed by Seo-Emura [SE16] and ours provide more flexibility to  $\mathcal{A}$  and captures a stronger security notion.*

**Remark 3** (DKER). *In the first model proposed by Seo-Emura [SE14] without DKER, an adversary is not allowed to make decryption key reveal queries. Therefore, if  $\mathcal{A}$  wants to obtain decryption keys  $\text{dk}_{\text{ID}, \text{t}}$  for  $\text{ID} \in \text{prefix}(\text{ID}^*)$ , he must make a secret key reveal query on  $\text{ID}$  and revoke  $\text{ID}$  before the challenge time period  $\text{t}^*$ . In the second model proposed by Seo-Emura [SE16],  $\mathcal{A}$  is allowed to make decryption key reveal queries except for  $(\text{ID}^*_{[\ell]}, \text{t}^*)$  where  $\ell \in |\text{ID}^*|$ . In our model,  $\mathcal{A}$  is allowed to make decryption key reveal queries except only  $(\text{ID}^*, \text{t}^*)$ . Thus,  $\mathcal{A}$  is able to obtain more secret information than in the Seo-Emura model without any additional restrictions for  $\mathcal{A}$  in the security game.*

<sup>12</sup>Note that  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$  must have been already generated at this point due to the condition  $\text{t} \leq \text{t}_{\text{cu}}$ .

## 4.2 Strategy-Dividing Lemma

In the literature of R(H)IBE, a typical security proof for an R(H)IBE scheme goes as follows:

- (1) classify an adversary's strategies into multiple pre-determined types, say Type-1 to Type- $n$  for some  $n \in \mathbb{N}$  that cover all possible strategies, and
- (2) for each  $i \in [n]$ , prove that any adversary that is promised to follow the Type- $i$  strategy (and never break the promise) has negligible advantage in attacking the considered scheme.

Here, it is implicitly assumed that the above mentioned “type-classification-based” security proof is sufficient for proving security against arbitrary adversaries that may decide their attack strategies adaptively during the game.

For completeness, we formalize the above implicit argument as a simple yet handy “strategy-dividing lemma”, which helps us simplify security proofs for R(H)IBE schemes in general. We only state it for selective-identity security of an RIBE scheme for concreteness, but it can be similarly shown for R(H)IBE with all security notions considered in the paper.

**Lemma 8** (Strategy-Dividing Lemma). *Let  $\Pi$  be an RIBE scheme, and let  $\mathcal{A}$  be any PPT adversary against the selective-identity security of  $\Pi$ . Assume that there are  $n$  possible attack strategies for  $\mathcal{A}$ , Type-1, ... Type- $n$ , that satisfy the following conditions:*

- (1) Type-1, ..., Type- $n$  cover all possible strategies, and each Type- $i$  is mutually exclusive.
- (2) For every  $i \in [n]$ , whether  $\mathcal{A}$  has deviated from the Type- $i$  strategy is “publicly detectable”, in the sense that during the security game, as soon as  $\mathcal{A}$  deviates from the Type- $i$  strategy, it can be efficiently recognized given  $\mathcal{A}$ 's view at the moment it deviates from the strategy.

*Then, there exist PPT adversaries  $\mathcal{A}_1, \dots, \mathcal{A}_n$  against the selective-identity security of  $\Pi$ , such that  $\mathcal{A}_i$  always follows the Type- $i$  strategy for every  $i \in [n]$ , and*

$$\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-sel}}(\lambda) \leq \sum_{i \in [n]} \text{Adv}_{\Pi, \mathcal{A}_i}^{\text{RIBE-sel}}(\lambda). \quad (5)$$

*In particular, if  $\text{Adv}_{\Pi, \mathcal{A}_i}^{\text{RIBE-sel}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}_i$  that always follow the Type- $i$  strategy and for all  $i \in [n]$ , then  $\Pi$  satisfies selective-identity security for any PPT adversary  $\mathcal{A}$  following an arbitrary strategy.*

*Proof of Lemma 8.* Let  $\mathcal{A}$  be any PPT adversary that attacks the selective-identity security of an RIBE scheme  $\Pi$ , and suppose there are  $n$  attack strategies, Type-1, ..., Type- $n$ , satisfying the conditions (1) and (2) stated in the lemma. We emphasize that  $\mathcal{A}$  may decide its strategy adaptively during the security game.

In the selective-identity security game, let  $S$  be the event that  $\mathcal{A}$  succeeds in guessing the challenge bit (i.e.  $b' = b$  occurs). Furthermore, for each  $i \in [n]$ , let  $T_i$  be the event that  $\mathcal{A}$  follows the Type- $i$  strategy in the game. Since each Type- $i$  is mutually exclusive and covers all possibilities, we have  $\Pr[\bigvee_{i \in [n]} T_i] = \sum_{i \in [n]} \Pr[T_i] = 1$ .

Using the definitions of the events, we can calculate  $\mathcal{A}$ 's advantage as follows:

$$\begin{aligned} \text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-sel}}(\lambda) &= 2 \cdot \left| \Pr[S] - \frac{1}{2} \right| = 2 \cdot \left| \sum_{i \in [n]} \Pr[S \wedge T_i] - \frac{1}{2} \sum_{i \in [n]} \Pr[T_i] \right| \\ &= 2 \cdot \left| \sum_{i \in [n]} \left( \Pr[S \wedge T_i] + \frac{1}{2} \Pr[\overline{T_i}] - \frac{1}{2} \right) \right| \end{aligned}$$

$$\leq 2 \cdot \sum_{i \in [n]} \left| \Pr[S \wedge T_i] + \frac{1}{2} \Pr[\overline{T}_i] - \frac{1}{2} \right|. \quad (6)$$

Now, for each  $i \in [n]$ , consider an adversary  $\mathcal{A}_i$  against the selective-identity security of  $\Pi$ , which internally simulates the selective-identity security game for  $\mathcal{A}$  while playing its own selective-identity security game with the challenger  $\mathcal{C}$ . Whenever  $\mathcal{A}$  tries to send some value to the challenger,  $\mathcal{A}_i$  forwards it to  $\mathcal{A}_i$ 's challenger  $\mathcal{C}$ , and when  $\mathcal{C}$  sends some value to an adversary,  $\mathcal{A}_i$  forwards it to  $\mathcal{A}$ , except that as soon as  $\mathcal{A}_i$  detects that  $\mathcal{A}$  has deviated from the Type- $i$  strategy,  $\mathcal{A}_i$  outputs a uniformly random bit and terminates. ( $\mathcal{A}_i$  can detect it due to the public detectability condition.) Note that by design, this  $\mathcal{A}_i$  is PPT and never deviates from the Type- $i$  strategy.

Now, let  $S'$  be the event that  $\mathcal{A}_i$  succeeds in guessing the challenge bit, and let  $T'_i$  be the event that  $\mathcal{A}$  follows the Type- $i$  strategy in the security game simulated by  $\mathcal{A}_i$ . Note that by design,  $\mathcal{A}_i$  perfectly simulates the selective-identity security game for  $\mathcal{A}$  so that  $\mathcal{A}$ 's challenge bit is that of  $\mathcal{A}_i$ , *until the point  $\mathcal{A}$  deviates from the Type- $i$  strategy*. This implies that we have  $\Pr[S' \wedge T'_i] = \Pr[S \wedge T_i]$  and  $\Pr[T'_i] = \Pr[T_i]$ . Furthermore, whenever  $\mathcal{A}$  deviates from the Type- $i$  strategy,  $\mathcal{A}_i$  always detects it and outputs a random bit. This means that we have  $\Pr[S' | \overline{T}'_i] = 1/2$ .

Hence, we can calculate  $\mathcal{A}_i$ 's selective-identity advantage as follows:

$$\begin{aligned} \text{Adv}_{\Pi, \mathcal{A}_i}^{\text{RIBE}^{\text{-sel}}}(\lambda) &= 2 \cdot \left| \Pr[S'] - \frac{1}{2} \right| \\ &= 2 \cdot \left| \Pr[S' \wedge T'_i] + \Pr[S' | \overline{T}'_i] \cdot \Pr[\overline{T}'_i] - \frac{1}{2} \right| \\ &= 2 \cdot \left| \Pr[S \wedge T_i] + \frac{1}{2} \Pr[\overline{T}_i] - \frac{1}{2} \right|. \end{aligned} \quad (7)$$

Using Eq. (7) in Eq. (6), we can conclude that there exist PPT adversaries  $\mathcal{A}_1, \dots, \mathcal{A}_n$  such that  $\mathcal{A}_i$  always follows the Type- $i$  strategy for every  $i \in [n]$  and Eq. (5) holds, as desired. This completes the proof of Lemma 8.  $\square$

## 5 Generic Construction of RIBE with DKER

In this section, we show a “security-enhancing” generic construction for RIBE. Namely, we show how to construct an RIBE scheme with DKER by combining an RIBE scheme without DKER and a 2-level (non-revocable) HIBE scheme, (where the formal definitions for HIBE are provided in A.2).

Let  $r.\Pi = (r.\text{Setup}, r.\text{Encrypt}, r.\text{GenSK}, r.\text{KeyUp}, r.\text{GenDK}, r.\text{Decrypt})$  be an RIBE scheme (without DKER) with identity space  $r.\mathcal{ID}$ , plaintext space  $r.\mathcal{M}$ , and time period space  $r.\mathcal{T}$ . Let  $h.\Pi = (h.\text{Setup}, h.\text{Encrypt}, h.\text{GenSK}, h.\text{Delegate}, h.\text{Decrypt})$  be a 2-level HIBE scheme with element identity space  $h.\mathcal{ID}$  and plaintext space  $h.\mathcal{M}$ . We assume  $r.\mathcal{ID} = h.\mathcal{ID}$ ,  $r.\mathcal{M} = h.\mathcal{M}$ , and  $r.\mathcal{T} \subseteq h.\mathcal{ID}$ . Furthermore, we assume that the plaintext space is finite and forms an abelian group with the addition “+” as the group operation.

Using these ingredients, we construct an RIBE scheme  $\Pi = (\text{Setup}, \text{Encrypt}, \text{GenSK}, \text{KeyUp}, \text{GenDK}, \text{Decrypt})$  with DKER as follows. The identity space  $\mathcal{ID}$ , the plaintext space  $\mathcal{M}$ , and the time period space  $\mathcal{T}$  of the constructed RIBE scheme  $\Pi$  are, respectively,  $\mathcal{ID} = r.\mathcal{ID} = h.\mathcal{ID}$ ,  $\mathcal{M} = r.\mathcal{M} = h.\mathcal{M}$ , and  $\mathcal{T} = r.\mathcal{T} \subseteq h.\mathcal{ID}$ .

$\text{Setup}(1^\lambda) \rightarrow (\text{PP}, \text{sk}_{\text{kgc}})$  : It takes the security parameter  $1^\lambda$  as input, and runs

$$(r.\text{PP}, r.\text{sk}_{\text{kgc}}) \leftarrow r.\text{Setup}(1^\lambda), \quad (h.\text{PP}, h.\text{sk}_{\text{kgc}}) \leftarrow h.\text{Setup}(1^\lambda).$$

Then, it outputs

$$PP := (r.PP, h.PP), \quad sk_{kgc} := (r.sk_{kgc}, h.sk_{kgc}).$$

$\text{Encrypt}(PP, ID, t, M) \rightarrow ct$  : It takes a public parameter  $PP = (r.PP, h.PP)$ , an identity  $ID \in \mathcal{ID}$ , a time period  $t \in \mathcal{T}$ , and a plaintext  $M \in \mathcal{M}$  as input, and samples a pair  $(r.M, h.M) \in \mathcal{M}^2$  uniformly at random, subject to

$$r.M + h.M = M.$$

Then, it runs

$$r.ct \leftarrow r.\text{Encrypt}(r.PP, ID, t, r.M), \quad h.ct \leftarrow h.\text{Encrypt}(h.PP, (ID, t), h.M).$$

Finally, it outputs a ciphertext

$$ct := (r.ct, h.ct).$$

$\text{GenSK}(PP, sk_{kgc}, ID) \rightarrow (sk_{ID}, sk'_{kgc})$  : It takes a public parameter  $PP = (r.PP, h.PP)$ , the KGC's secret key  $sk_{kgc} = (r.sk_{kgc}, h.sk_{kgc})$ , and an identity  $ID \in \mathcal{ID}$  as input, and runs

$$(r.sk_{ID}, r.sk'_{kgc}) \leftarrow r.\text{GenSK}(r.PP, r.sk_{kgc}, ID), \quad h.sk_{ID} \leftarrow h.\text{GenSK}(h.PP, h.sk_{kgc}, ID).$$

Then, it outputs a secret key

$$sk_{ID} := (r.sk_{ID}, h.sk_{ID})$$

for the identity  $ID$  and also the KGC's updated secret key  $sk'_{kgc} := (r.sk'_{kgc}, h.sk_{kgc})$ .

$\text{KeyUp}(PP, t, sk_{kgc}, RL_t) \rightarrow (ku_t, sk'_{kgc})$  : It takes a public parameter  $PP = (r.PP, h.PP)$ , a time period  $t \in \mathcal{T}$ , the KGC's secret key  $sk_{kgc} = (r.sk_{kgc}, h.sk_{kgc})$ , and a revocation list  $RL_t \subseteq \mathcal{ID}$  as input, and, runs

$$(r.ku_t, r.sk'_{kgc}) \leftarrow r.\text{KeyUp}(r.PP, t, r.sk_{kgc}, RL_t).$$

Then, it outputs a key update

$$ku_t := r.ku_t$$

and also the KGC's updated secret key  $sk'_{kgc} := (r.sk'_{kgc}, h.sk_{kgc})$ .

$\text{GenDK}(PP, sk_{ID}, ku_t) \rightarrow dk_{ID,t}$  or  $\perp$  : It takes a public parameter  $PP = (r.PP, h.PP)$ , a secret key  $sk_{ID} = (r.sk_{ID}, h.sk_{ID})$ , and a key update  $ku_t = r.ku_t$  as input, and runs

$$r.dk_{ID,t} \leftarrow r.\text{GenDK}(r.PP, r.sk_{ID}, r.ku_t), \quad h.sk_{ID,t} \leftarrow h.\text{Delegate}(h.PP, h.sk_{ID}, t).$$

Then, it outputs a decryption key

$$dk_{ID,t} := (r.dk_{ID,t}, h.sk_{ID,t})$$

for time period  $t$ , except that if  $r.dk_{ID,t} = \perp$ , then it returns the special “invalid” symbol  $\perp$  indicating that  $ID$  has been revoked.

$\text{Decrypt}(\text{PP}, \text{dk}_{\text{ID},t}, \text{ct}) \rightarrow \text{M}$  : It takes a public parameter  $\text{PP} = (\text{r.PP}, \text{h.PP})$ , a decryption key  $\text{dk}_{\text{ID},t} = (\text{r.dk}_{\text{ID},t}, \text{h.sk}_{\text{ID},t})$ , and a ciphertext  $\text{ct} = (\text{r.ct}, \text{h.ct})$  as input, and then runs

$$\text{r.M} \leftarrow \text{r.Decrypt}(\text{r.PP}, \text{r.dk}_{\text{ID},t}, \text{r.ct}), \quad \text{h.M} \leftarrow \text{h.Decrypt}(\text{h.PP}, \text{h.sk}_{\text{ID},t}, \text{h.ct}).$$

If  $\text{r.M} = \perp$  or  $\text{h.M} = \perp$ , then it returns  $\perp$ . Otherwise, it outputs the decryption result

$$\text{M} := \text{r.M} + \text{h.M}.$$

It is immediate to see that the correctness of the constructed RIBE scheme  $\Pi$  follows from that of the building blocks. The security of  $\Pi$  is guaranteed by the following theorem.

**Theorem 1.** *If the underlying RIBE scheme  $\text{r.}\Pi$  satisfies weak selective-identity (resp. weak adaptive-identity) security and the underlying 2-level HIBE scheme  $\text{h.}\Pi$  satisfies selective-identity (resp. adaptive-identity) security, then the resulting RIBE scheme  $\Pi$  satisfies selective-identity (resp. adaptive-identity) security.*

*Proof of Theorem 1.* Since the proof for the selective-identity security and that for adaptive-identity security are essentially the same, we only show the proof for the former.

Let us call a query made by an adversary *valid* if the answer to the query by the challenger is not  $\perp$ . We consider following two attack strategies of an adversary against the RIBE scheme  $\Pi$  that are mutually exclusive and cover all possibilities:

- Type-I: The adversary issues a valid secret key reveal query on  $\text{ID}^*$ .
- Type-II: The adversary does not issue a valid secret key reveal query on  $\text{ID}^*$ .

Whether an adversary has deviated from one strategy, is easy to detect. By Lemma 8, in order to prove the theorem, it is sufficient to show that for each type of adversary (that is promised to follow the attack strategy), its selective-identity advantage is negligible. We show it in the following lemmas.

**Lemma 9.** *For every PPT Type-I adversary  $\mathcal{A}_1$ , there exists a PPT adversary  $\mathcal{B}_1$  against the weak-selective security of the underlying RIBE scheme  $\text{r.}\Pi$  such that  $\text{Adv}_{\Pi, \mathcal{A}_1}^{\text{RIBE-se1}}(\lambda) = \text{Adv}_{\text{r.}\Pi, \mathcal{B}_1}^{\text{RIBE-se1-weak}}(\lambda)$ .*

*Proof of Lemma 9.* Let  $\mathcal{A}_1$  be any PPT Type-I adversary. First of all, recall that the condition of the secret key reveal queries says that if  $\text{ID}^*$  has not been revoked before  $t^*$  (i.e.  $\text{ID}^* \notin \text{RL}_{t^*}$ ), then a secret key reveal query on  $\text{ID}^*$  made after  $t^*$  cannot be valid. Recall also that the condition of the revoke & key update queries implies that if an adversary has made a valid secret key reveal query on  $\text{ID}^*$  before  $t^*$  and  $t_{\text{cu}} \geq t^*$ , then  $\text{ID}^* \in \text{RL}_{t^*}$ . Hence, if  $t_{\text{cu}} \geq t^*$ , then we must have  $\text{ID}^* \in \text{RL}_{t^*}$ . This fact will be used in this proof.

Now, using  $\mathcal{A}_1$  as a building block, we construct a PPT adversary  $\mathcal{B}_1$  that attacks the weak selective-identity security of the underlying RIBE scheme  $\text{r.}\Pi$  with the claimed advantage. The description of  $\mathcal{B}_1$  is as follows:

At the beginning,  $\mathcal{A}_1$  declares its challenge identity/time period pair  $(\text{ID}^*, t^*)$ .  $\mathcal{B}_1$  sends the pair  $(\text{ID}^*, t^*)$  as its own challenge identity/time period pair to  $\mathcal{B}_1$ 's challenger  $\text{r.C}$ , and then receives the public parameter  $\text{r.PP}$  and the key update  $\text{r.ku}_1$  from  $\text{r.C}$ .  $\mathcal{B}_1$  runs  $(\text{h.PP}, \text{h.sk}_{\text{kgc}}) \leftarrow \text{h.Setup}(1^\lambda)$ , and gives  $\text{PP} := (\text{r.PP}, \text{h.PP})$  and  $\text{ku}_1 := \text{r.ku}_1$  to  $\mathcal{A}_1$ . Also,  $\mathcal{B}_1$  initializes the counter  $t_{\text{cu}} := 1$  (which will always be synchronized by the one maintained by  $\text{r.C}$ ), and also generates an empty

list  $\text{SKList}_{\mathcal{B}}$  into which identity/secret key pairs  $(\text{ID}, \text{sk}_{\text{ID}})$  that are known to  $\mathcal{B}_1$ , will be stored. From this point on,  $\mathcal{A}_1$  starts making queries.

For a secret key generation query  $\text{ID} \in \mathcal{ID}$  from  $\mathcal{A}_1$ ,  $\mathcal{B}_1$  makes a secret key generation query  $\text{ID}$  to  $r.\mathcal{C}$ . (Note that upon this query,  $r.\mathcal{C}$  executes  $(r.\text{sk}_{\text{ID}}, r.\text{sk}'_{\text{kgc}}) \leftarrow r.\text{GenSK}(r.\text{PP}, r.\text{sk}_{\text{kgc}}, \text{ID})$ , but returns nothing to  $\mathcal{B}_1$ .) Right after this,  $\mathcal{B}_1$  further makes a secret key reveal query  $\text{ID}$  to  $r.\mathcal{C}$ , and receives  $r.\text{sk}_{\text{ID}}$  from  $r.\mathcal{C}$ . Then,  $\mathcal{B}_1$  generates  $h.\text{sk}_{\text{ID}} \leftarrow h.\text{GenSK}(h.\text{sk}_{\text{kgc}}, \text{ID})$ . Finally,  $\mathcal{B}_1$  sets  $\text{sk}_{\text{ID}} := (r.\text{sk}_{\text{ID}}, h.\text{sk}_{\text{ID}})$ , and adds  $(\text{ID}, \text{sk}_{\text{ID}})$  into the list  $\text{SKList}_{\mathcal{B}}$  (and returns nothing to  $\mathcal{A}_1$ ).

For a secret key reveal query  $\text{ID} \in \mathcal{ID}$  from  $\mathcal{A}_1$ ,  $\mathcal{B}_1$  does the same check as the challenger in the selective-identity security game does. Namely,  $\mathcal{B}_1$  checks that if  $t_{\text{cu}} \geq t^*$  and  $\text{ID}^* \notin \text{RL}_{t^*}$  then  $\text{ID} \neq \text{ID}^*$ . If this is *not* satisfied, then  $\mathcal{B}_1$  returns  $\perp$  to  $\mathcal{A}_1$ . Otherwise, it is guaranteed that  $(\text{ID}, \text{sk}_{\text{ID}})$  is contained in the list  $\text{SKList}_{\mathcal{B}}$ , and thus  $\mathcal{B}_1$  returns  $\text{sk}_{\text{ID}}$  to  $\mathcal{A}_1$ .

For a revoke & key update query  $\text{RL} \subset \mathcal{ID}$  from  $\mathcal{A}$ ,  $\mathcal{B}_1$  forwards  $\text{RL}$  to  $r.\mathcal{C}$ , and receives the result  $r.\text{ku}_{t_{\text{cu}}}$  (which may be  $\perp$ ) from  $r.\mathcal{C}$ . If the answer from  $r.\mathcal{C}$  is  $\perp$ , then  $\mathcal{B}_1$  returns  $\perp$  to  $\mathcal{A}_1$ . Otherwise,  $r.\mathcal{C}$  has incremented the counter  $t_{\text{cu}}$ , and thus so does  $\mathcal{B}_1$  (which ensures that the counter  $t_{\text{cu}}$  maintained by  $\mathcal{B}_1$  and that maintained by  $r.\mathcal{C}$  are synchronized). Then,  $\mathcal{B}_1$  returns  $\text{ku}_{t_{\text{cu}}} := r.\text{ku}_{t_{\text{cu}}}$  to  $\mathcal{A}$ . Here, as mentioned at the beginning of the proof of this lemma, if  $t_{\text{cu}} \geq t^*$ , then it is guaranteed that  $\text{ID}^* \in \text{RL}_{t^*}$ .

For a decryption key reveal query  $(\text{ID}, t) \in \mathcal{ID} \times \mathcal{T}$  from  $\mathcal{A}_1$ ,  $\mathcal{B}_1$  does the checks in the same way as the challenger in the selective-identity security game does. Namely, whether  $t \leq t_{\text{cu}}$ ,  $\text{ID} \notin \text{RL}_t$ , and  $(\text{ID}, t) \neq (\text{ID}^*, t^*)$  hold. If these conditions are *not* satisfied simultaneously, then  $\mathcal{B}_1$  returns  $\perp$  to  $\mathcal{A}_1$ . Otherwise, it is guaranteed that  $\mathcal{B}_1$  has already obtained  $\text{ku}_t = r.\text{ku}_t$  from  $r.\mathcal{C}$ , and  $\mathcal{B}_1$  owns  $\text{sk}_{\text{ID}} = (r.\text{sk}_{\text{ID}}, h.\text{sk}_{\text{ID}})$  in  $\text{SKList}_{\mathcal{B}}$  (because  $\mathcal{A}_1$  must have made a secret key generation query on  $\text{ID}$ , in which case  $\mathcal{B}_1$  has obtained  $\text{sk}_{\text{ID}}$  in the response to the query). Using  $r.\text{ku}_t$  and  $r.\text{sk}_{\text{ID}}$ ,  $\mathcal{B}_1$  runs  $r.\text{dk}_{\text{ID}, t} \leftarrow r.\text{GenDK}(r.\text{PP}, r.\text{sk}_{\text{ID}}, r.\text{ku}_t)$ .  $\mathcal{B}_1$  also runs  $h.\text{sk}_{\text{ID}, t} \leftarrow h.\text{Delegate}(h.\text{PP}, h.\text{sk}_{\text{ID}}, t)$ . Finally,  $\mathcal{B}_1$  returns  $\text{dk}_{\text{ID}, t} := (r.\text{dk}_{\text{ID}, t}, h.\text{sk}_{\text{ID}, t})$  to  $\mathcal{A}_1$ .

For the challenge query  $(M_0, M_1)$  from  $\mathcal{A}_1$ ,  $\mathcal{B}_1$  picks  $h.M \in \mathcal{M}$  uniformly at random, and then sets

$$r.M_0 \leftarrow M_0 - h.M, \quad r.M_1 \leftarrow M_1 - h.M.$$

Then,  $\mathcal{B}_1$  submits the challenge query  $(r.M_0, r.M_1)$  to  $r.\mathcal{C}$ , and receives  $\mathcal{B}_1$ 's challenge ciphertext

$$r.\text{ct}^* \leftarrow r.\text{Encrypt}(r.\text{PP}, \text{ID}^*, t^*, r.M_{\beta})$$

from  $r.\mathcal{C}$ , where  $\beta$  is  $\mathcal{B}_1$ 's challenge bit.  $\mathcal{B}_1$  also executes

$$h.\text{ct}^* \leftarrow h.\text{Encrypt}(h.\text{PP}, (\text{ID}^*, t^*), h.M)$$

by itself. Finally,  $\mathcal{B}_1$  returns the challenge ciphertext  $\text{ct}^* := (r.\text{ct}^*, h.\text{ct}^*)$  to  $\mathcal{A}_1$ .

Eventually,  $\mathcal{A}_1$  terminates with output its guess bit  $b'$ . Then,  $\mathcal{B}_1$  sets  $\beta' \leftarrow b'$ , and terminates with output  $\beta'$ .

The above completes the description of  $\mathcal{B}_1$ . Note that  $\mathcal{B}_1$  simulates the selective-identity security game perfectly for the Type-I adversary  $\mathcal{A}_1$  so that  $\mathcal{B}_1$ 's challenge bit  $\beta$  is that of  $\mathcal{A}_1$ 's (i.e. the plaintext encrypted in  $\text{ct}^*$  is  $M_{\beta}$ ). Since  $\mathcal{B}_1$  uses  $\mathcal{A}_1$ 's final output  $b'$  as its own guess  $\beta'$ , the probability that  $\mathcal{B}_1$  succeeds in guessing  $\mathcal{B}_1$ 's challenge bit is the same as the probability that  $\mathcal{A}_1$  succeeds in guessing the challenge bit in the selective-identity security game. Hence, we have  $\text{Adv}_{r, \Pi, \mathcal{B}_1}^{\text{RIBE-sel-weak}}(\lambda) = \text{Adv}_{\Pi, \mathcal{A}_1}^{\text{RIBE-sel}}(\lambda)$ , as desired. This completes the proof of Lemma 9.  $\square$

**Lemma 10.** For any Type-II adversary  $\mathcal{A}_2$ , there exists a PPT adversary  $\mathcal{B}_2$  against the selective-identity security of the underlying 2-level HIBE scheme  $\text{h.II}$  such that  $\text{Adv}_{\text{II}, \mathcal{A}_2}^{\text{RIBE}^{\text{-sel}}(\lambda)} = \text{Adv}_{\text{h.II}, \mathcal{B}_2}^{\text{HIBE}^{\text{-sel}}(\lambda)}$ .

*Proof of Lemma 10.* Let  $\mathcal{A}_2$  be any PPT Type-II adversary. Using  $\mathcal{A}_2$  as a building block, we construct a PPT adversary  $\mathcal{B}_2$  that attacks the selective-identity security of the underlying 2-level HIBE scheme  $\text{h.II}$  with the claimed advantage. The description of  $\mathcal{B}_2$  is as follows:

At the beginning,  $\mathcal{A}_2$  declares its challenge identity/time period pair  $(\text{ID}^*, t^*)$ .  $\mathcal{B}_2$  sends the pair  $(\text{ID}^*, t^*)$  as its own challenge (2-level hierarchical) identity to  $\mathcal{B}_2$ 's challenger  $\text{h.C}$ , and receives the public parameter  $\text{h.PP}$  from  $\text{h.C}$ .  $\mathcal{B}_2$  initializes the counter  $t_{\text{cu}} := 1$ , and then runs  $(r.\text{PP}, r.\text{sk}_{\text{kgc}}) \leftarrow r.\text{Setup}(1^\lambda)$  and  $(r.\text{ku}_1, r.\text{sk}'_{\text{kgc}}) \leftarrow r.\text{KeyUp}(r.\text{PP}, 1, r.\text{sk}_{\text{kgc}}, \text{RL}_1 = \emptyset)$ . Then,  $\mathcal{B}_2$  gives  $\text{PP} := (r.\text{PP}, \text{h.PP})$  and  $\text{ku}_1 := r.\text{ku}_1$  to  $\mathcal{A}_2$ . From this point on,  $\mathcal{A}_2$  starts making queries.

For a secret key generation query  $\text{ID} \in \mathcal{ID}$  from  $\mathcal{A}_2$ ,  $\mathcal{B}_2$  forwards  $\text{ID}$  to  $\text{h.C}$  as a level-1 secret key generation query. (Note that by this query,  $\text{h.C}$  executes  $\text{h.sk}_{\text{ID}} \leftarrow \text{h.GenSK}(\text{h.PP}, \text{h.sk}_{\text{kgc}}, \text{ID})$ , but returns nothing to  $\mathcal{B}_2$ .) Also,  $\mathcal{B}_2$  generates  $(r.\text{sk}_{\text{ID}}, r.\text{sk}'_{\text{kgc}}) \leftarrow r.\text{GenSK}(r.\text{sk}_{\text{kgc}}, \text{ID})$ , and keeps it to itself.

For a secret key reveal query  $\text{ID} \in \mathcal{ID}$  from  $\mathcal{A}_2$ ,  $\mathcal{B}_2$  does the same check as the challenger in the selective-identity security game does. Namely,  $\mathcal{B}_2$  checks that if  $t_{\text{cu}} \geq t^*$  and  $\text{ID}^* \notin \text{RL}_{t_{\text{cu}}}$ , then  $\text{ID} \neq \text{ID}^*$ . If this is *not* satisfied, then  $\mathcal{B}_2$  returns  $\perp$  to  $\mathcal{A}_2$ . Otherwise, it is guaranteed that  $\mathcal{A}_2$ 's query is valid. At this point, it is guaranteed that  $\text{ID} \neq \text{ID}^*$  because  $\mathcal{A}_2$  is of Type-II.  $\mathcal{B}_2$  submits a level-1 secret key reveal query  $\text{ID}$  to  $\text{h.C}$ , and receives  $\text{h.sk}_{\text{ID}}$  from  $\text{h.C}$ .  $\mathcal{B}_2$  also finds  $r.\text{sk}_{\text{ID}}$  that  $\mathcal{B}_2$  has already generated, and returns  $\text{sk}_{\text{ID}} := (r.\text{sk}_{\text{ID}}, \text{h.sk}_{\text{ID}})$  to  $\mathcal{A}_2$ .

For a revoke & key update query  $\text{RL} \subset \mathcal{ID}$  from  $\mathcal{A}_2$ ,  $\mathcal{B}_2$  responds to it in exactly the same way as the challenger in the selective-identity security game does, which is possible because  $\mathcal{B}_2$  possesses  $r.\text{sk}_{\text{kgc}}$ . (Note that if the query is valid, then the counter  $t_{\text{cu}}$  is incremented, and a key update  $\text{ku}_{t_{\text{cu}}} := r.\text{ku}_t$  is generated.)

For a decryption key reveal query  $(\text{ID}, t) \in \mathcal{ID} \times \mathcal{T}$  from  $\mathcal{A}_2$ ,  $\mathcal{B}_2$  does the checks in the same way as the challenger in the selective-identity security game does. Namely,  $\mathcal{B}_2$  checks whether  $t \leq t_{\text{cu}}$ ,  $\text{ID} \notin \text{RL}_t$ , and  $(\text{ID}, t) \neq (\text{ID}^*, t^*)$  hold simultaneously. If these conditions are *not* satisfied, then  $\mathcal{B}_2$  returns  $\perp$  to  $\mathcal{A}_2$ . Otherwise, it is guaranteed that  $\mathcal{B}_2$  has already generated  $\text{ku}_t = r.\text{ku}_t$  and  $r.\text{sk}_{\text{ID}}$ . Using  $r.\text{ku}_t$  and  $r.\text{sk}_{\text{ID}}$ ,  $\mathcal{B}_2$  runs  $r.\text{dk}_{\text{ID}, t} \leftarrow r.\text{GenDK}(r.\text{PP}, r.\text{sk}_{\text{ID}}, r.\text{ku}_t)$ .  $\mathcal{B}_2$  also makes a 2-level secret key reveal query  $(\text{ID}, t)$ , and receives  $\text{h.sk}_{\text{ID}, t}$  from  $\text{h.C}$ . Finally,  $\mathcal{B}_2$  returns  $\text{dk}_{\text{ID}, t} := (r.\text{dk}_{\text{ID}, t}, \text{h.sk}_{\text{ID}, t})$  to  $\mathcal{A}_2$ .

For the challenge query  $(M_0, M_1)$  from  $\mathcal{A}_2$ ,  $\mathcal{B}_2$  picks  $r.M \in \mathcal{M}$  uniformly at random, and then sets

$$\text{h.M}_0 \leftarrow M_0 - r.M, \quad \text{h.M}_1 \leftarrow M_1 - r.M.$$

Then,  $\mathcal{B}_2$  submits the challenge query  $(\text{h.M}_0, \text{h.M}_1)$  to  $\text{h.C}$ , and receives  $\mathcal{B}_2$ 's challenge ciphertext

$$\text{h.ct}^* \leftarrow \text{h.Encrypt}(\text{h.PP}, (\text{ID}^*, t^*), \text{h.M}_\beta)$$

from  $r.C$ , where  $\beta$  is  $\mathcal{B}_2$ 's challenge bit.  $\mathcal{B}_2$  also executes

$$r.\text{ct}^* \leftarrow r.\text{Encrypt}(r.\text{PP}, \text{ID}^*, t^*, r.M)$$

by itself. Finally,  $\mathcal{B}_2$  returns the challenge ciphertext  $\text{ct}^* := (r.\text{ct}^*, \text{h.ct}^*)$  to  $\mathcal{A}_2$ .

Eventually,  $\mathcal{A}_2$  terminates with output its guess bit  $b'$ . Then,  $\mathcal{B}_2$  sets  $\beta' \leftarrow b'$ , and terminates with output  $\beta'$ .

The above completes the description of  $\mathcal{B}_2$ . Note that  $\mathcal{B}_2$  never falls into the situation in which  $\mathcal{B}_2$  has to make a level-1 secret key reveal query on  $ID^*$  or a level-2 secret key reveal query on  $(ID^*, t^*)$ . Note also that  $\mathcal{B}_2$  simulates the selective-identity security game perfectly for  $\mathcal{A}_2$  so that  $\mathcal{B}_2$ 's challenge bit  $\beta$  is that of  $\mathcal{A}_2$ 's (i.e. the plaintext encrypted in  $ct^*$  is  $M_\beta$ ). Since  $\mathcal{B}_2$  uses  $\mathcal{A}_2$ 's final output  $b'$  as its own guess  $\beta'$ , the probability that  $\mathcal{B}_2$  succeeds in guessing  $\mathcal{B}_2$ 's challenge bit is the same as the probability that  $\mathcal{A}_2$  succeeds in guessing the challenge bit in the selective-identity security game. Hence, we have  $\text{Adv}_{h, \Pi, \mathcal{B}_2}^{\text{HIBE-sel}}(\lambda) = \text{Adv}_{\Pi, \mathcal{A}_2}^{\text{RIBE-sel}}(\lambda)$ , as desired. This completes the proof of Lemma 10.  $\square$

Due to Lemmas 8, 9, and 10, we can conclude that the RIBE scheme  $\Pi$  satisfies selective-identity security. This completes the proof of Theorem 1.  $\square$

## 6 RHIBE from Lattices

In this section, we first explain our treatment on binary trees, the CS method, and the parameters used in the scheme. Then, we show our proposed scheme in Section 6.1 and discuss the security in Section 6.2.

**On the Treatment of Binary Trees and the CS Method.** Every user  $ID$  such that  $|ID| \leq L - 1$  (including KGC) maintains a binary tree  $BT_{ID}$  as part of his secret key  $sk_{ID}$ . We assume that auxiliary information such as user identities  $ID$  and vectors in  $\mathbb{Z}_q^n$  can be stored in the nodes of binary trees. The binary tree along with the CS method is the mechanism used by the parent to manage its children, i.e., keep track whether a child is revoked or not. We use  $\theta$  to denote a node in a binary tree. We use  $\eta$  when we emphasize that the node  $\theta$  is a leaf node. Let  $\text{Path}(BT_{pa(ID)}, \eta_{ID})$  denote the set of nodes which are on the path along the root of  $BT_{pa(ID)}$  to the leaf  $\eta_{ID}$ . Note that the size of  $\text{Path}(BT_{pa(ID)}, \eta_{ID})$  is  $O(\log N)$ . We define the CS method by the following four algorithms:

- CS.Setup( $N$ )  $\rightarrow$   $BT_{pa(ID)}$ : It takes the number of users  $N$  as input, and outputs a binary tree  $BT_{pa(ID)}$  with at least  $N$  and at most  $2N$  leaves.
- CS.Assign( $BT_{pa(ID)}, ID$ )  $\rightarrow$  ( $\eta_{ID}, BT_{pa(ID)}$ ): It takes a binary tree  $BT_{pa(ID)}$  and an identity  $ID$  as inputs, and randomly assigns the user identity  $ID$  to a leaf node  $\eta_{ID}$ , to which no other  $ID$ s have been assigned yet. Then, it outputs a leaf  $\eta_{ID}$  and an “updated” binary tree  $BT_{pa(ID)}$ .
- CS.Cover( $BT_{pa(ID)}, RL_{pa(ID), t}$ )  $\rightarrow$   $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$ : It takes a binary tree  $BT_{pa(ID)}$  and a revocation list  $RL_{pa(ID), t}$  as inputs, and outputs a set of nodes  $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$ . Here, the subtrees with root  $\theta \in KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$  cover all leaves  $\eta_{ID}$  in  $BT_{pa(ID)}$  for  $ID \notin RL_{pa(ID), t}$  and do not cover any leaves  $\eta_{ID}$  for  $ID \in RL_{pa(ID), t}$ .
- CS.Match( $\text{Path}(BT_{pa(ID)}, \eta_{ID}), KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$ )  $\rightarrow$   $\theta$  or  $\emptyset$ : It takes  $\text{Path}(BT_{pa(ID)}, \eta_{ID})$  and  $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$  as inputs, and outputs an arbitrary node  $\theta \in \text{Path}(BT_{pa(ID)}, \eta_{ID}) \cap KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$  if it exists. Otherwise, it outputs  $\emptyset$ .

Looking ahead, at a high level, all parents maintain the children to whom it has generated secret keys by the binary tree  $BT_{pa(ID)}$ . The secret keys  $sk_{ID}$  will include some (partial) secret information that are associated with a node in  $\text{Path}(BT_{pa(ID)}, \eta_{ID})$ . To revoke a set of users  $RL_{pa(ID), t}$ , the parent constructs the key update  $ku_{pa(ID), t}$  by running CS.Cover and generates a set of nodes  $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$ , which represents the set of users that are *not* revoked. Similarly to above, each node in  $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$  will include some (partial) secret information. We note that the size of  $KUNode(BT_{pa(ID)}, RL_{pa(ID), t})$  is  $O(R \log(N/R))$ , where  $R = |RL_{pa(ID), t}|$ . Notably, the size of the key update  $ku_{pa(ID), t}$  will be logarithmic in  $N$ . Then, any user  $ID$  who is not revoked can run the CS.Match algorithm to obtain a node  $\theta$  which is included both in

$\text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}})$  and  $\text{KUNode}(\text{BT}_{\text{pa}(\text{ID})}, \text{RL}_{\text{pa}(\text{ID}), \text{t}})$ . Combining the two partial secret information embedded in the nodes, user ID will be able to construct the decryption key  $\text{dk}_{\text{ID}, \text{t}}$  which allows him to decrypt the ciphertext.

**Parameters.** Let  $L$  denote the maximum depth of the hierarchy and  $N$  denote the maximum number of children each parent manages. Furthermore, let  $n, m, q$  be positive integers such that  $q$  is a prime and  $\alpha, \alpha', (\sigma_i)_{i=0}^L$  be positive reals denoting the Gaussian parameters. Finally, we set the plaintext space as  $\mathcal{M} = \{0, 1\}$ , the element identity space as  $\mathcal{ID} = \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ , and the hierarchal identity space as  $\mathcal{ID}_h := (\mathbb{Z}_q^n \setminus \{\mathbf{0}_n\})^{\leq L}$ . We also encode the time period space  $\mathcal{T} = \{1, 2, \dots, t_{\max}\}$  into a polynomial sized subset of  $\mathbb{Z}_q^n$ . In the following, for readability, we may simply address each space  $\mathcal{ID}, \mathcal{ID}_h, \mathcal{T}$  as  $\mathcal{T} = \mathcal{ID} = \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}, \mathcal{ID}_h = (\mathbb{Z}_q^n \setminus \{\mathbf{0}_n\})^{\leq L}$ , unless stated otherwise.

## 6.1 Construction

We provide our RHIBE scheme below. The intuition of the construction follows the explanation given in Section 2. Due to the complex nature of our scheme, we encourage readers to go back to Section 2 whenever needed.

$\text{Setup}(1^n, L) \rightarrow (\text{PP}, \text{sk}_{\text{kgc}})$  : The setup algorithm is run by the KGC. It takes the security parameter  $1^n$  and the maximum depth of the hierarchy  $L$  as input, and runs  $(\mathbf{A}_i, \mathbf{T}_{\mathbf{A}_i}) \leftarrow \text{TrapGen}(1^n, 1^m, q)$  for  $i \in [L+1]$ . It also samples uniformly random matrices  $(\mathbf{B}_j)_{j \in [L+1]} \leftarrow (\mathbb{Z}_q^{n \times m})^{(L+1)}$  and vectors  $(\mathbf{u}_k)_{k \in [L]} \leftarrow (\mathbb{Z}_q^n)^L$ . Finally, it creates a binary tree by running  $\text{BT}_{\text{kgc}} \leftarrow \text{CS.Setup}(N)$  and outputs

$$\text{PP} := \left( (\mathbf{A}_i)_{i \in [L+1]}, (\mathbf{B}_j)_{j \in [L+1]}, (\mathbf{u}_k)_{k \in [L]} \right), \quad \text{sk}_{\text{kgc}} := \left( \text{BT}_{\text{kgc}}, (\mathbf{T}_{\mathbf{A}_i})_{i \in [L+1]} \right).$$

Recall here that the matrices  $\mathbf{B}_j$  define the hash functions  $\mathbf{E}(\cdot)$  and  $\mathbf{F}(\cdot)$  stated in Eq. (1) in Section 2.

$\text{Encrypt}(\text{PP}, \text{ID} = (\text{id}_1, \dots, \text{id}_\ell), \text{t}, \text{M}) \rightarrow \text{ct}$  : On input an identity  $\text{ID} \in (\mathbb{Z}_q^n)^\ell$  at depth  $\ell \in [L]$  and time period  $\text{t} \in \mathbb{Z}_q^n$ , it first samples  $\ell+1$  uniformly random vectors  $(\mathbf{s}_i)_{i \in [\ell]}, \mathbf{s}_{L+1} \in \mathbb{Z}_q^n$ . Then it samples  $x \leftarrow D_{\mathbb{Z}, \alpha q}, \mathbf{x}_i \leftarrow D_{\mathbb{Z}^{(i+2)m}, \alpha' q}$  for  $i \in [\ell]$  and  $\mathbf{x}_{L+1} \leftarrow D_{\mathbb{Z}^{(\ell+2)m}, \alpha' q}$ , and sets

$$\begin{cases} c_0 = \mathbf{u}_\ell^\top (\mathbf{s}_1 + \dots + \mathbf{s}_\ell + \mathbf{s}_{L+1}) + x + \text{M} \left\lfloor \frac{q}{2} \right\rfloor, \\ \mathbf{c}_i = [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]} | \mathbf{F}(\text{t}))]^\top \mathbf{s}_i + \mathbf{x}_i \quad \text{for } i \in [\ell], \\ \mathbf{c}_{L+1} = [\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}) | \mathbf{F}(\text{t})]^\top \mathbf{s}_{L+1} + \mathbf{x}_{L+1}. \end{cases}$$

Finally, it outputs a ciphertext  $\text{ct} := (c_0, \mathbf{c}_1, \dots, \mathbf{c}_\ell, \mathbf{c}_{L+1}) \in \mathbb{Z}_q \times \mathbb{Z}_q^{3m} \times \dots \times \mathbb{Z}_q^{(\ell+2)m} \times \mathbb{Z}_q^{(\ell+2)m}$ .

$\text{GenSK}(\text{PP}, \text{sk}_{\text{pa}(\text{ID})}, \text{ID}) \rightarrow (\text{sk}_{\text{ID}}, \text{sk}'_{\text{pa}(\text{ID})})$  : The secret key generation algorithm is run by a parent user  $\text{pa}(\text{ID})$  at level  $\ell-1$ , where  $1 \leq \ell \leq L$ , to create a secret key for its child  $\text{ID}$ .<sup>13</sup> It first runs  $(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}}) \leftarrow \text{CS.Assign}(\text{BT}_{\text{pa}(\text{ID})}, \text{ID})$ . Then, for each node  $\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}})$ , it checks whether a vector  $\mathbf{u}_{\text{pa}(\text{ID}), \theta} \in \mathbb{Z}_q^n$  has already been assigned. If not, pick a uniformly random vector  $\mathbf{u}_{\text{pa}(\text{ID}), \theta} \in \mathbb{Z}_q^n$  and update  $\text{sk}_{\text{pa}(\text{ID})}$  by storing  $\mathbf{u}_{\text{pa}(\text{ID}), \theta}$  in node  $\theta \in \text{BT}_{\text{pa}(\text{ID})}$ . Next, it samples vectors  $\mathbf{e}_{\text{ID}, \theta}, \mathbf{f}_{\text{ID}, k} \in \mathbb{Z}^{(\ell+1)m}$  for  $\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}}), k \in [\ell+1, L]$ , respectively, such that

$$[\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} = \mathbf{u}_{\text{pa}(\text{ID}), \theta}, \quad [\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{f}_{\text{ID}, k} = \mathbf{u}_k - \mathbf{u}_\ell$$

<sup>13</sup>Recall that a user at level 0 corresponds to the  $\text{kgc}$ , i.e., for any level-1 user  $\text{ID} \in \mathbb{Z}_q^n \setminus \{\mathbf{0}_n\}$ ,  $\text{pa}(\text{ID}) = \text{kgc}$ .

by running  $\text{SampleLeft}(\cdot)$  with trapdoor  $\mathbf{T}_{[\mathbf{A}_\ell|\mathbf{E}(\text{pa}(\text{ID}))]}$ <sup>14</sup> and Gaussian parameter  $\sigma_\ell$ . Then, it extends its bases by running the following algorithm for  $i \in [\ell + 1, L + 1]$ :

$$\mathbf{T}_{[\mathbf{A}_i|\mathbf{E}(\text{ID})]} \leftarrow \text{ExtRndLeft}([\mathbf{A}_i|\mathbf{E}(\text{pa}(\text{ID}))], \mathbf{B}_\ell + H(\text{id}_\ell)\mathbf{G}, \mathbf{T}_{[\mathbf{A}_i|\mathbf{E}(\text{pa}(\text{ID}))]}, \sigma_{\ell-1}),$$

where  $\mathbf{T}_{[\mathbf{A}_i|\mathbf{E}(\text{ID})]} \in \mathbb{Z}^{(\ell+1)m \times (\ell+1)m}$ . Here, recall that  $\mathbf{E}(\text{ID}) = [\mathbf{E}(\text{pa}(\text{ID}))|\mathbf{B}_\ell + H(\text{id}_\ell)\mathbf{G}]$ . Finally, it runs  $\text{BT}_{\text{ID}} \leftarrow \text{CS.Setup}(N)$  and outputs,

$$\text{sk}_{\text{ID}} = \left( \begin{array}{c} \text{BT}_{\text{ID}}, \text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}}), (\mathbf{e}_{\text{ID},\theta})_{\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}})}, \\ (\mathbf{f}_{\text{ID},k})_{k \in [\ell+1, L]}, (\mathbf{T}_{[\mathbf{A}_i|\mathbf{E}(\text{ID})]})_{i \in [\ell+1, L+1]} \end{array} \right)$$

along with its updated secret key  $\text{sk}'_{\text{pa}(\text{ID})}$ .

$\text{KeyUp}(\text{PP}, \mathbf{t}, \text{sk}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}}, \text{ku}_{\text{pa}(\text{ID}),\mathbf{t}}) \rightarrow (\text{ku}_{\text{ID},\mathbf{t}}, \text{sk}'_{\text{ID}})$  : The key update information generation algorithm is run by user  $\text{ID}$  at level  $\ell$ , where  $0 \leq \ell \leq L - 1$ , to create a key update  $\text{ku}_{\text{ID},\mathbf{t}}$  for time period  $\mathbf{t}$  for its children. It first runs  $\text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}}) \leftarrow \text{CS.Cover}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}})$ , and checks whether  $\mathbf{u}_{\text{ID},\theta}$  is defined for each node  $\theta \in \text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}})$ . If not, it picks a random  $\mathbf{u}_{\text{ID},\theta} \in \mathbb{Z}_q^n$  and updates  $\text{sk}_{\text{ID}}$  by storing  $\mathbf{u}_{\text{ID},\theta}$  in the node  $\theta \in \text{BT}_{\text{ID}}$ . Then, for each node  $\theta$ , it samples  $\mathbf{e}_{\text{ID},\mathbf{t},\theta} \in \mathbb{Z}^{(\ell+2)m}$  such that

$$[\mathbf{A}_{\ell+1}|\mathbf{E}(\text{ID})|\mathbf{F}(\mathbf{t})]\mathbf{e}_{\text{ID},\mathbf{t},\theta} = \mathbf{u}_{\ell+1} - \mathbf{u}_{\text{ID},\theta}$$

by running  $\text{SampleLeft}(\cdot)$  with trapdoor  $\mathbf{T}_{[\mathbf{A}_{\ell+1}|\mathbf{E}(\text{ID})]}$  and Gaussian parameter  $\sigma_{\ell+1}$ . At this point, the algorithm behaves differently depending on  $\ell \geq 1$  or  $\ell = 0$  (i.e.,  $\text{ID} = \text{kgc}$ ). In case  $\ell \geq 1$ , it computes its own decryption key  $\text{dk}_{\text{ID},\mathbf{t}}$ , which includes a vector  $\mathbf{d}_{\text{ID},\mathbf{t}} \in \mathbb{Z}^{(\ell+2)m}$ , using the decryption key generation algorithm  $\text{GenDK}(\text{PP}, \text{sk}_{\text{ID}}, \text{ku}_{\text{pa}(\text{ID}),\mathbf{t}})$  defined below, and computes the following vectors for  $k \in [\ell + 1, L]$ :

$$\mathbf{f}_{\text{ID},\mathbf{t},k} = \mathbf{d}_{\text{ID},\mathbf{t}} + [\mathbf{f}_{\text{ID},k}|\mathbf{0}_m] \in \mathbb{Z}^{(\ell+2)m}.$$

Here,  $[\cdot|\cdot]$  denotes vertical concatenation of vectors.

Finally, it extracts  $(\mathbf{f}_{\text{ID}_{[i]},\mathbf{t},k} \in \mathbb{Z}^{(i+2)m})_{(i,k) \in [\ell-1] \times [\ell+1, L]}$  from its ancestor's key update information  $\text{ku}_{\text{pa}(\text{ID}),\mathbf{t}}$  and outputs

$$\text{ku}_{\text{ID},\mathbf{t}} = \left( \begin{array}{c} \text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}}), (\mathbf{e}_{\text{ID},\mathbf{t},\theta})_{\theta \in \text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}})}, \\ (\mathbf{f}_{\text{ID}_{[i]},\mathbf{t},k})_{(i,k) \in [\ell] \times [\ell+1, L]} \end{array} \right)$$

and the possibly updated  $\text{sk}'_{\text{ID}}$ .

In case  $\ell = 0$ , it skips all the above procedures and simply outputs

$$\text{ku}_{\text{ID},\mathbf{t}} = (\text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID},\mathbf{t}}), (\mathbf{e}_{\text{ID},\mathbf{t},\theta})_{\theta \in \text{KUNode}(\text{BT}_{\text{ID}}, \text{RL}_{\text{ID}})})$$

and the possibly updated  $\text{sk}'_{\text{ID}}$ .<sup>15</sup>

<sup>14</sup>There are two exceptions for this algorithm. In the special case  $\text{ID} = \text{kgc}$ , recall that we set  $\mathbf{T}_{[\mathbf{A}_1|\mathbf{E}(\text{kgc})]}$  as  $\mathbf{T}_{\mathbf{A}_1}$ , which is included in the  $\text{sk}_{\text{kgc}}$ . In the other special case when  $\ell = L$ , we no longer sample  $\mathbf{f}_{\text{ID},k}$ , since this vector is only required for delegating key updates to its children, which users at level  $L$  do not have.

<sup>15</sup>The branch in the algorithm is due to the fact that for the special case  $\ell = 0$ , i.e.,  $\text{ID} = \text{kgc}$ , we have  $\text{ku}_{\text{pa}(\text{ID}),\mathbf{t}} = \perp$  for all  $\mathbf{t}$  and there exists no decryption key  $\text{dk}_{\text{ID},\mathbf{t}}$ .

$\text{GenDK}(\text{PP}, \text{sk}_{\text{ID}}, \text{ku}_{\text{pa}(\text{ID}), \text{t}}) \rightarrow \text{dk}_{\text{ID}, \text{t}}$  or  $\perp$  : The decryption key generation algorithm is run by user  $\text{ID}$  at level  $\ell$ , where  $1 \leq \ell \leq L$ . It extracts  $\text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}})$  in  $\text{sk}_{\text{ID}}$  and  $\text{KUNode}(\text{BT}_{\text{pa}(\text{ID})}, \text{RL}_{\text{pa}(\text{ID}), \text{t}})$  in  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$ , and runs  $\theta/\emptyset \leftarrow \text{CS.Match}(\text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}}), \text{KUNode}(\text{BT}_{\text{pa}(\text{ID})}, \text{RL}_{\text{pa}(\text{ID}), \text{t}}))$ . If the output is  $\emptyset$ , it outputs  $\perp$ . Otherwise, it extracts  $\mathbf{e}_{\text{ID}, \theta}, \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta} \in \mathbb{Z}^{(\ell+1)m}$  in  $\text{sk}_{\text{ID}}, \text{ku}_{\text{pa}(\text{ID}), \text{t}}$ , respectively, and parses it as

$$\mathbf{e}_{\text{ID}, \theta} = [\mathbf{e}_{\text{ID}, \theta}^{\text{L}} \parallel \mathbf{e}_{\text{ID}, \theta}^{\text{R}}], \quad \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta} = [\mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{L}} \parallel \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{R}}],$$

where  $\mathbf{e}_{\text{ID}, \theta}^{\text{L}}, \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{L}} \in \mathbb{Z}^{\ell m}$  and  $\mathbf{e}_{\text{ID}, \theta}^{\text{R}}, \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{R}} \in \mathbb{Z}^m$ . Then, it computes

$$\mathbf{d}_{\text{ID}, \text{t}} = [\mathbf{e}_{\text{ID}, \theta}^{\text{L}} + \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{L}} \parallel \mathbf{e}_{\text{ID}, \theta}^{\text{R}} \parallel \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^{\text{R}}] \in \mathbb{Z}^{(\ell+2)m}.$$

It further samples  $\mathbf{g}_{\text{ID}, \text{t}} \in \mathbb{Z}^{(\ell+2)m}$  such that

$$[\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}) | \mathbf{F}(\text{t})] \mathbf{g}_{\text{ID}, \text{t}} = \mathbf{u}_{\ell}$$

by running  $\text{SampleLeft}(\cdot)$  with trapdoor  $\mathbf{T}_{[\mathbf{A}_{L+1} | \mathbf{E}(\text{ID})]}$  and Gaussian parameter  $\sigma_{\ell}$ .

Finally, in case  $\ell \geq 2$ , it extracts  $(\mathbf{f}_{\text{ID}_{[i]}, \text{t}, \ell})_{i \in [\ell-1]}$  from  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$  and outputs  $\text{dk}_{\text{ID}, \text{t}} = (\mathbf{d}_{\text{ID}, \text{t}}, (\mathbf{f}_{\text{ID}_{[i]}, \text{t}, \ell})_{i \in [\ell-1]}, \mathbf{g}_{\text{ID}, \text{t}})$ . Otherwise, in case  $\ell = 1$ , it simply outputs

$$\text{dk}_{\text{ID}, \text{t}} = (\mathbf{d}_{\text{ID}, \text{t}}, \mathbf{g}_{\text{ID}, \text{t}}).$$

$\text{Decrypt}(\text{PP}, \text{dk}_{\text{ID}, \text{t}}, \text{ct}) \rightarrow \text{M}$  : The decryption algorithm is run by user  $\text{ID}$  at level  $\ell$ , where  $1 \leq \ell \leq L$ . It first parses the ciphertext  $\text{ct}$  as  $(c_0, \mathbf{c}_1, \dots, \mathbf{c}_{\ell}, \mathbf{c}_{L+1})$ . Then, in case  $\ell \geq 2$ , it uses its decryption key  $\text{dk}_{\text{ID}, \text{t}} = (\mathbf{d}_{\text{ID}, \text{t}}, (\mathbf{f}_{\text{ID}_{[i]}, \text{t}, \ell})_{i \in [\ell-1]}, \mathbf{g}_{\text{ID}, \text{t}})$  and computes

$$c' = c_0 - \sum_{i=1}^{\ell-1} \mathbf{f}_{\text{ID}_{[i]}, \text{t}, \ell}^{\top} \mathbf{c}_i - \mathbf{d}_{\text{ID}, \text{t}}^{\top} \mathbf{c}_{\ell} - \mathbf{g}_{\text{ID}, \text{t}}^{\top} \mathbf{c}_{L+1} \in \mathbb{Z}_q. \quad (8)$$

Otherwise, in case  $\ell = 1$ , it uses its decryption key  $\text{dk}_{\text{ID}, \text{t}} = (\mathbf{d}_{\text{ID}, \text{t}}, \mathbf{g}_{\text{ID}, \text{t}})$  and computes

$$c' = c_0 - \mathbf{d}_{\text{ID}, \text{t}}^{\top} \mathbf{c}_1 - \mathbf{g}_{\text{ID}, \text{t}}^{\top} \mathbf{c}_{L+1} \in \mathbb{Z}_q.$$

Finally, it compares  $c'$  and  $\lfloor \frac{q}{2} \rfloor$  treating them as integers in  $\mathbb{Z}$ , and outputs 1 in case  $|c' - \lfloor \frac{q}{2} \rfloor| < \lfloor \frac{q}{4} \rfloor$  and 0 otherwise.

**Correctness.** Let a ciphertext be aimed for user  $\text{ID}$  and time period  $\text{t}$ . To check correctness, we only need to consider the case where all the ancestors of  $\text{ID}$  are not revoked. In other words, we check that user  $\text{ID}$  will be able to obtain all the required components to construct the decryption key  $\text{dk}_{\text{ID}, \text{t}}$  when provided with all the key updates  $\text{ku}_{\text{ID}', \text{t}}$  from  $\text{ID}' \in \text{prefix}(\text{ID}) \setminus \{\text{ID}\}$ .

**Lemma 11.** *Assume  $O((\alpha + mL^2\sigma_L\alpha')q) \leq q/5$  holds with overwhelming probability. Then the above scheme has negligible decryption error.*

*Proof of Lemma 11.* We consider a user  $\text{ID}$  at level  $\ell$  for  $\ell \in [L]$  that decrypts a ciphertext created on time  $\text{t}$ . To show correctness, we only need to consider the case where  $\text{ID}$  and all of its ancestors are not revoked. In other words,  $\text{ID}$  obtains the key update information  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$  from his parent. Below, we only show the case for  $\ell \geq 2$ , since the case for  $\ell = 1$  is a special case of  $\ell \geq 2$ , where the vectors  $\mathbf{f}_{\text{ID}_{[i]}, \text{t}, \ell}$  are not required for decryption. Now, since  $\text{ID}$  is not revoked (by his parent), there

exists at least one node  $\theta$  such that  $\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}}) \cap \text{KUNode}(\text{BT}_{\text{pa}(\text{ID})}, \text{RL}_{\text{pa}(\text{ID}), \text{t}})$ . Furthermore, the key update information  $\text{ku}_{\text{pa}(\text{ID}), \text{t}}$  includes  $(\mathbf{f}_{\text{ID}[i], \text{t}, \ell})_{i \in [\ell-1]}$ , i.e., “partial” information of the all his ancestor’s decryption keys.

We explain the decryption procedure of Eq. (8) one step at a time. Recall that the decryption key is created during  $\text{GenDK}$ , and is of the form  $\text{dk}_{\text{ID}, \text{t}} = (\mathbf{d}_{\text{ID}, \text{t}}, (\mathbf{f}_{\text{ID}[i], \text{t}, \ell})_{i \in [\ell-1]}, \mathbf{g}_{\text{ID}, \text{t}})$ . First, the vector  $\mathbf{d}_{\text{ID}, \text{t}} \in \mathbb{Z}_q^{(\ell+2)m}$  can be rewritten as  $[\mathbf{e}_{\text{ID}, \theta}^L + \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^L \parallel \mathbf{e}_{\text{ID}, \theta}^R \parallel \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^R]$ , where

$$\begin{aligned} [\mathbf{A}_\ell | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID}, \theta} &= \mathbf{u}_{\text{pa}(\text{ID}), \theta}, & [\mathbf{A}_\ell | \mathbf{E}(\text{pa}(\text{ID})) | \mathbf{F}(\mathbf{t})] \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta} &= \mathbf{u}_\ell - \mathbf{u}_{\text{pa}(\text{ID}), \theta}, \\ \mathbf{e}_{\text{ID}, \theta} &= [\mathbf{e}_{\text{ID}, \theta}^L \parallel \mathbf{e}_{\text{ID}, \theta}^R], & \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta} &= [\mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^L \parallel \mathbf{e}_{\text{pa}(\text{ID}), \text{t}, \theta}^R]. \end{aligned}$$

Therefore, we have  $[\mathbf{A}_\ell | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}, \text{t}} = \mathbf{u}_\ell$ . Next, for each  $i \in [\ell-1]$ , the vector  $\mathbf{f}_{\text{ID}[i], \text{t}, \ell} \in \mathbb{Z}_q^{(i+2)m}$  can be rewritten as  $\mathbf{f}_{\text{ID}[i], \text{t}, \ell} = \mathbf{d}_{\text{ID}[i], \text{t}} + [\mathbf{f}_{\text{ID}[i], \ell} \parallel \mathbf{0}_m]$ , where we have

$$[\mathbf{A}_i | \mathbf{E}(\text{ID}[i]) | \mathbf{F}(\mathbf{t})] \mathbf{d}_{\text{ID}[i], \text{t}} = \mathbf{u}_i, \quad [\mathbf{A}_i | \mathbf{E}(\text{ID}[i])] \mathbf{f}_{\text{ID}[i], \ell} = \mathbf{u}_\ell - \mathbf{u}_i.$$

Here, the first equation follows from the exact same argument we made above for the vector  $\mathbf{d}_{\text{ID}, \text{t}}$ . Therefore, combining the two, we have  $[\mathbf{A}_i | \mathbf{E}(\text{ID}[i]) | \mathbf{F}(\mathbf{t})] \mathbf{f}_{\text{ID}[i], \text{t}, \ell} = \mathbf{u}_\ell$ . Finally, the vector  $\mathbf{g}_{\text{ID}, \text{t}} \in \mathbb{Z}_q^{(\ell+2)m}$  satisfies  $[\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}) | \mathbf{F}(\mathbf{t})] \mathbf{g}_{\text{ID}, \text{t}} = \mathbf{u}_\ell$ . Combining everything together, we have the following for  $i \in [\ell-1]$ :

$$\mathbf{f}_{\text{ID}[i], \text{t}, \ell}^\top \mathbf{c}_i = \mathbf{u}_\ell^\top \mathbf{s}_i + \mathbf{f}_{\text{ID}[i], \text{t}, \ell}^\top \mathbf{x}_i, \quad \mathbf{d}_{\text{ID}, \text{t}}^\top \mathbf{c}_\ell = \mathbf{u}_\ell^\top \mathbf{s}_\ell + \mathbf{d}_{\text{ID}, \text{t}}^\top \mathbf{x}_\ell, \quad \mathbf{g}_{\text{ID}, \text{t}}^\top \mathbf{c}_{L+1} = \mathbf{u}_\ell^\top \mathbf{s}_{L+1} + \mathbf{g}_{\text{ID}, \text{t}}^\top \mathbf{x}_{L+1}.$$

Therefore,

$$\begin{aligned} c' &= \mathbf{u}_\ell^\top (\mathbf{s}_1 + \cdots + \mathbf{s}_\ell + \mathbf{s}_{L+1}) + x + \text{M} \left\lfloor \frac{q}{2} \right\rfloor - \sum_{i=1}^{\ell-1} \mathbf{f}_{\text{ID}[i], \text{t}, \ell}^\top \mathbf{c}_i - \mathbf{d}_{\text{ID}, \text{t}}^\top \mathbf{c}_\ell - \mathbf{g}_{\text{ID}, \text{t}}^\top \mathbf{c}_{L+1} \\ &= \text{M} \left\lfloor \frac{q}{2} \right\rfloor + x - \underbrace{\sum_{i=1}^{\ell-1} \mathbf{f}_{\text{ID}[i], \text{t}, \ell}^\top \mathbf{x}_i - \mathbf{d}_{\text{ID}, \text{t}}^\top \mathbf{x}_\ell - \mathbf{g}_{\text{ID}, \text{t}}^\top \mathbf{x}_{L+1}}_{:= \mathbf{z} \text{ (“noise”)}}. \end{aligned}$$

Here the noise can be bounded as follows with overwhelming probability due to Lemma 1:

$$\begin{aligned} \|\mathbf{z}\|_2 &\leq |x| + \sum_{i=1}^{\ell-1} \|\mathbf{f}_{\text{ID}[i], \text{t}, \ell}\|_2 \cdot \|\mathbf{x}_i\|_2 + \|\mathbf{d}_{\text{ID}, \text{t}}\|_2 \cdot \|\mathbf{x}_\ell\|_2 + \|\mathbf{g}_{\text{ID}, \text{t}}\|_2 \cdot \|\mathbf{x}_{L+1}\|_2 \\ &\leq \alpha q + \left( \sum_{i=1}^{\ell-1} 3\sigma_i(i+2) + 3\sigma_\ell(\ell+2) \right) \cdot m\alpha'q \\ &\leq (\alpha + 3m(\ell+1)(\ell+2)\sigma_\ell\alpha')q \end{aligned}$$

Then, since  $\ell \leq L$  and  $\sigma_i \leq \sigma_L$  for all  $i \in [L]$ , the error is upper bounded by  $O((\alpha + mL^2\sigma_L\alpha')q)$  with all but negligible probability. By assumption this is smaller than  $q/5$  with overwhelming probability. Hence, the error probability for the  $\text{Decrypt}$  algorithm is negligible.  $\square$

**Parameter Selection.** Here, we provide an example parameter selection of our scheme. First recall the following restrictions we have on the parameters:

- the error term is less than  $q/5$  with high probability (i.e.,  $O((\alpha + mL^2\sigma_L\alpha')q) < 5q$ ). See Lemma 11),

- algorithm `TrapGen` works as specified (i.e.,  $m \geq 2n \lceil \log q \rceil$ ). See Lemma 3,
- algorithm `SampleLeft` and `ExtRndLeft` work as specified in the main construction for each level  $0 \leq \ell \leq L$  (i.e.,  $\sigma_0 \geq \|\mathbf{T}_{\mathbf{A}_i}\|_{\text{GS}} \cdot \omega(\sqrt{\log m})$  for  $i \in [L + 1]$  and  $\sigma_{i+1} \geq \sigma_i \sqrt{m} \cdot \omega(\sqrt{\log m})$  for  $i \in [L - 1]$ ). See Lemma 3, 4,
- algorithm `ExtRndRight` works as specified in the security proof (i.e.,  $\sigma_0 \geq \|\mathbf{R}_i\|_2 \cdot \|\mathbf{T}_{\mathbf{G}}\| \cdot \omega(\sqrt{\log n})$ ). See Lemma 4, 6),
- algorithm `ReRand` works as specified in the security proof (i.e.,  $\alpha'/2\alpha > \|\mathbf{R}^*\|_2$  where  $\mathbf{R}^* \leftarrow \{-1, 1\}^{m \times (L+1)m}$ ,  $\alpha q > \omega(\sqrt{(L+1)m})$ ). See Lemma 7),
- the hardness assumption of LWE applies, i.e.,  $q > 2\sqrt{n}/\alpha$ .

To satisfy the above requirements, one way to set the parameters is as follows, where  $L$  denotes the maximum depth of the hierarchy.

$$\begin{aligned}
m &= O(n \log q), & q &= m^{\frac{L+6}{2}} L^{\frac{5}{2}} \omega((\log n)^{\frac{L+1}{2}}), \\
\sigma_i &= m^{\frac{i+1}{2}} \omega((\log n)^{\frac{i+1}{2}}) & \alpha &= m^{-\frac{L+4}{2}} L^{-\frac{5}{2}} \omega((\log n)^{\frac{L+1}{2}})^{-1}, & \alpha' &= (mL)^{\frac{1}{2}} \alpha,
\end{aligned}$$

where  $i \in [0, L]$  and we round up  $q$  to the nearest larger prime.

*Remarks.* Note that for simplicity we defined correctness of RHIBE to hold with probability one in Section 4. Therefore, to be consistent with our definition, we can use standard techniques to modify our lattice-based construction to have no decryption error by considering a bound on the secret/noise vectors.

## 6.2 Security

**Theorem 2.** *The above RHIBE scheme  $\Pi$  is selective-identity secure assuming the hardness of the  $\text{LWE}_{n,m+1,q,\chi}$  problem, where  $\chi = D_{\mathbb{Z}^{m+1}, \alpha q}$ .*

*Proof.* Let  $\mathcal{A}$  be a PPT adversary that attacks the selective-identity security of the RHIBE scheme  $\Pi$  with advantage  $\text{Adv}_{\Pi, L, \mathcal{A}}^{\text{RHIBE-se1}}(\lambda) = \epsilon$ . In addition, let  $(\text{ID}^* = (\text{id}_1^*, \dots, \text{id}_{\ell^*}^*), \mathbf{t}^*)$  be the challenge identity/time period pair that  $\mathcal{A}$  sends to the challenger at the beginning of the game. Now, observe that the strategy taken by  $\mathcal{A}$  can be divided into the following two types that are mutually exclusive, where the first type can be further divided into  $\ell$  types of strategies that are mutually exclusive:

- Type-I:  $\mathcal{A}$  issues secret key reveal queries on at least one  $\text{ID} \in \text{prefix}(\text{ID}^*)$ .
  - Type-I- $i^*$ :  $\mathcal{A}$  issues a secret key reveal query on  $\text{ID}_{[i^*]}^*$  but not on any  $\text{ID} \in \text{prefix}(\text{ID}_{[i^*-1]}^*)$ .
- Type-II:  $\mathcal{A}$  does not issue secret key reveal queries on any  $\text{ID} \in \text{prefix}(\text{ID}^*)$ .

Since all the above strategies fulfill the conditions stated in Lemma 8, we can assume without loss of generality that  $\mathcal{A}$  is an adversary that always follows one of the above strategies (which has advantage  $\epsilon$ ). We note that when  $\mathcal{A}$  follows the Type-I- $i^*$  strategy, the condition of revoke & key update query ensures that  $\text{ID}_{[i^*]}^*$  or one of its ancestors must be revoked before  $\mathbf{t}^*$ . In other words, the challenger  $\mathcal{C}$  does not have to create  $\text{ku}_{\text{ID}_{[i^*]}^*, \mathbf{t}}$  for  $\mathbf{t} \geq \mathbf{t}^*$ . Below we provide two types of security proofs: one for when  $\mathcal{A}$  uses the Type-I- $i^*$  ( $1 \leq i^* \leq \ell^*$ ) strategy and another for when  $\mathcal{A}$  uses the Type-II strategy. In both proofs, we show security of the scheme through a sequence of games, where we define  $\mathbf{E}_i$  to be the event that  $\mathcal{A}$  guesses correctly the bit chosen by the challenger in  $\text{Game}_i$ . In particular, regardless of the strategy taken by  $\mathcal{A}$ , both proofs share a common game sequence  $\text{Game}_{\text{real}}$  and  $\text{Game}_0$  as defined below:

**Game<sub>real</sub>**: This is the real security game between the adversary  $\mathcal{A}$  and a challenger, where  $\mathcal{A}$  sends the challenge tuple  $(\text{ID}^* = (\text{id}_1^*, \dots, \text{id}_{\ell^*}^*), \mathbf{t}^*)$  to the challenger at the beginning of the game. By definition, we have

$$\text{Adv}_{\text{II},L,\mathcal{A}}^{\text{RHIBE}^{\text{-sel}}(\lambda)} = 2 \cdot \left| \Pr[\mathbf{E}_{\text{real}}] - \frac{1}{2} \right| \Leftrightarrow \Pr[\mathbf{E}_{\text{real}}] = \frac{1}{2}(1 \pm \epsilon).$$

**Game<sub>0</sub>**: In this game, we make a conceptual change on how the challenger deals with the trapdoors in **GenSK**, **KeyUp** and **GenDK**, so that we only need to keep in mind during the following game sequence whether the challenger is in possession of the “base” trapdoors  $(\mathbf{T}_{\mathbf{A}_i})_{i \in [L+1]}$  provided in the master secret key  $\text{sk}_{\text{kGC}}$ . In particular, in this game whenever the challenger requires to use a trapdoor to sample a short vector, say run algorithm **SampleLeft** with trapdoor  $\mathbf{T}_{[\mathbf{A}_\ell | \mathbf{E}(\text{pa}(\text{ID}))]}$  during **GenSK**, he creates the required trapdoor from the base trapdoor  $\mathbf{T}_{\mathbf{A}_i}$  provided in  $\text{sk}_{\text{kGC}}$  by running algorithm **ExtRndLeft**. Furthermore, whenever the challenger is required to extend a trapdoor basis, say extend  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{pa}(\text{ID}))]}$  to  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$  during **GenSK**, the challenger extends it from the base trapdoor  $\mathbf{T}_{\mathbf{A}_i}$  provided in  $\text{sk}_{\text{kGC}}$ , e.g., extend  $\mathbf{T}_{\mathbf{A}_i}$  to  $\mathbf{T}_{[\mathbf{A}_i | \mathbf{E}(\text{ID})]}$ . In both cases, the Gaussian parameters are set accordingly so that the quality of the extended trapdoors are consistent with the actual trapdoor. Then, due to Lemma 3 and 4, since the sampled vectors and the extended trapdoors are statistically independent from the trapdoors provided as input, we have  $|\Pr[\mathbf{E}_{\text{real}}] - \Pr[\mathbf{E}_0]| = \text{negl}(\lambda)$ .

In the following, we prove that we have  $\Pr[\mathbf{E}_0] = \frac{1}{2} \pm \text{negl}(\lambda)$ , regardless of the strategy taken by the adversary  $\mathcal{A}$ . From the above argument, this implies that  $\epsilon = \text{negl}(\lambda)$ , which concludes the proof of our theorem. Below, we first provide the proof against an adversary  $\mathcal{A}$  that uses the Type-I- $i^*$  strategy.

**Lemma 12.** *The advantage of an adversary  $\mathcal{A}$  using the Type-I- $i^*$  strategy in **Game<sub>0</sub>** is negligible assuming the hardness of the  $\text{LWE}_{n,m+1,q,\chi}$  problem, where  $\chi = D_{\mathbb{Z}^{m+1},\alpha q}$ .*

*Proof.* Our goal of this proof is to modify the challenger so that he is able to simulate the game with only the trapdoors  $\{\mathbf{T}_{\mathbf{A}_i}\}_{i \in [L+1] \setminus \{i^*\}}$ . At a high level, since the challenger will not require  $\mathbf{T}_{\mathbf{A}_{i^*}}$ , this will allow us to embed the matrix  $\mathbf{A}_{i^*}$  given as the LWE problem in the public parameter PP. To this end, we informally illustrate in Table 1 for reference the situations for which the actual challenger in **Game<sub>0</sub>** requires to use the trapdoor  $\mathbf{T}_{\mathbf{A}_{i^*}}$ , either implicitly or explicitly, to respond to  $\mathcal{A}$ 's queries. Note that we do not include a row corresponding to the secret key reveal query, since the challenger simply returns the secret key created during the secret key generation query. Furthermore, we emphasize that we do not explicitly consider the key updates  $\text{ku}_{\text{ID},\mathbf{t}}$  created during the secret key generation query since this will be captured by item (iii) in our proof below without loss of generality. (See **Game<sub>I- $i^*-3$</sub>**  for further details.) Here, the unnumbered items concerning users  $\text{ID} \in (\mathcal{ID})^{i^*}$  in the above table are constructed deterministically from items (i), (ii) and (iii): to answer revoke & key update queries, the challenger creates  $(\mathbf{f}_{\text{ID},\mathbf{t},k})_{k \in [i^*+1,L]}$  from combining  $(\mathbf{f}_{\text{ID},k})_{k \in [i^*+1,L]}$  and  $\mathbf{d}_{\text{ID},\mathbf{t}}$ , and to answer decryption key reveal queries, the challenger creates  $\mathbf{d}_{\text{ID},\mathbf{t}}$  from combining  $\mathbf{e}_{\text{ID},\theta}$  and  $\mathbf{e}_{\text{pa}(\text{ID}),\mathbf{t},\theta}$ . Note that  $\mathbf{e}_{\text{pa}(\text{ID}),\mathbf{t},\theta}$  corresponds to item (iii), since  $\text{pa}(\text{ID}) \in (\mathcal{ID})^{i^*-1}$ . Therefore, in the following we only focus on how to simulate items (i), (ii) and (iii), ultimately without requiring  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . We now proceed with the following sequence of games.

**Game<sub>I- $i^*-1$</sub>** : In this game, we change the way  $(\mathbf{B}_j)_{j \in [L+1]}$  are chosen. At the beginning of the game, the **Game<sub>I- $i^*-1$</sub>**  challenger samples  $\mathbf{R}_j^* \leftarrow \{-1, 1\}^{m \times m}$  for  $j \in [L+1]$  and sets  $(\mathbf{B}_j)_{j \in [L+1]}$  as

	$ID \in (\mathcal{ID})^{i^*}$	$ID \in (\mathcal{ID})^{i^*-1}$	(In case $i^* \geq 3$ ) $ID \in (\mathcal{ID})^{\leq i^*-2}$
Secret Key Generation ( $sk_{ID}$ )	(i) $(\mathbf{e}_{ID,\theta})_{\theta \in \text{Path}(\text{BT}_{\text{pa}(ID), \eta_{ID}})}$ $(\mathbf{f}_{ID,k})_{k \in [i^*+1, L]}$	(ii) $\mathbf{T}_{[\mathbf{A}_{i^*}   \mathbf{E}(ID)]}$	(ii) $\mathbf{T}_{[\mathbf{A}_{i^*}   \mathbf{E}(ID)]}$
Revoke & Key Update ( $ku_{ID,t}$ )	$(\mathbf{f}_{ID,t,k})_{k \in [i^*+1, L]}$	(iii) $(\mathbf{e}_{ID,t,\theta})_{\theta \in \text{KUNode}(\text{BT}_{ID, \text{RL}_{ID,t}})}$	—
Decryption Key Reveal ( $dk_{ID,t}$ )	$\mathbf{d}_{ID,t}$	—	—

Table 1: Items for which the challenger requires  $\mathbf{T}_{\mathbf{A}_{i^*}}$  to construct.

follows:

$$\mathbf{B}_j = \begin{cases} \mathbf{A}_{i^*} \mathbf{R}_j^* - H(\text{id}_j^*) \mathbf{G}, & \text{for } j \in [i^*], \\ \mathbf{A}_{i^*} \mathbf{R}_j^* & \text{for } j \in [i^* + 1, L], \\ \mathbf{A}_{i^*} \mathbf{R}_j^* - H(\mathbf{t}^*) \mathbf{G}, & \text{for } j = L + 1. \end{cases}$$

The challenger keeps the matrices  $(\mathbf{R}_j^*)_{j \in [L+1]}$  as a part of  $sk_{\text{kgc}}$ . By Lemma 5, the statistical distance between the public parameters PP in  $\text{Game}_0$  and  $\text{Game}_{I-i^*-1}$  is negligible. Therefore, we have  $|\Pr[\mathbf{E}_0] - \Pr[\mathbf{E}_{I-i^*-1}]| = \text{negl}(\lambda)$ .

$\text{Game}_{I-i^*-2}$ : In this game, we make two modifications: when we generate the binary tree  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  and how we assign  $\text{ID}_{[i^*]}^*$  to the binary tree  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$ . Recall in the previous game, the challenger created  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  when  $\mathcal{A}$  submitted a secret key generation query on  $\text{pa}(\text{ID}_{[i^*]}^*)$ , and assigned  $\text{ID}_{[i^*]}^*$  to some random leaf  $\eta_{\text{ID}_{[i^*]}^*}$  of  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  when  $\mathcal{A}$  submitted a secret key generation query on  $\text{ID}_{[i^*]}^*$ . In this game, the  $\text{Game}_{I-i^*-2}$  challenger creates an empty binary tree  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  and chooses a random leaf  $\eta_{\text{ID}_{[i^*]}^*}$  in  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  for which he plans to assign  $\text{ID}_{[i^*]}^*$  before providing  $\mathcal{A}$  the public parameter PP. Then, when  $\mathcal{A}$  issues a secret key generation query on some  $\text{ID} \in \text{pa}(\text{ID}_{[i^*]}^*) \setminus \{\text{ID}_{[i^*]}^*\}$ , if  $\text{ID} = \text{ID}_{[i^*]}^*$  then the challenger proceeds with  $\text{GenSK}$  as if  $(\text{BT}_{\text{pa}(\text{ID})}, \eta_{\text{ID}_{[i^*]}^*}) \leftarrow \text{CS.Assign}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \text{ID}_{[i^*]}^*)$ , and otherwise it assigns  $\text{ID}$  to some random leaf of  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  that is not  $\eta_{\text{ID}_{[i^*]}^*}$ . Note that this can be done, since  $\mathcal{A}$  sends the challenger the challenge identity  $\text{ID}^*$  at the outset of the game. Since the time on which  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  is generated is only a conceptual matter and the random assignment of  $\text{ID}_{[i^*]}^*$  made by the challenger is statistically hidden from  $\mathcal{A}$ , the view of the adversary is unchanged. Therefore, we have  $|\Pr[\mathbf{E}_{I-i^*-1}] - \Pr[\mathbf{E}_{I-i^*-2}]| = 0$ .

$\text{Game}_{I-i^*-3}$ : In this game, we change the challenger so he does *not* have to use the trapdoor  $\mathbf{T}_{\mathbf{A}_{i^*}}$  when generating the following short vectors for user  $\text{ID}_{[i^*]}^*$ :  $\mathbf{e}_{\text{ID}_{[i^*]}^*, \theta}$  for  $\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \eta_{\text{ID}_{[i^*]}^*})$  in  $sk_{\text{ID}_{[i^*]}^*}$  (Table 1, Item (i)) and  $\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*), \mathbf{t}^*, \theta}$  for  $\theta \in \text{KUNode}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \text{RL}_{\text{pa}(\text{ID}_{[i^*]}^*), \mathbf{t}^*})$  in  $ku_{\text{pa}(\text{ID}_{[i^*]}^*), \mathbf{t}^*}$  (Table 1, Item (iii)). To this end, we modify when and how the vectors  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*), \theta}$  stored in each node  $\theta \in \text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  in  $sk_{\text{pa}(\text{ID}_{[i^*]}^*)}$  are constructed. In the following, let  $S_{\text{Path}} = \text{Path}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \eta_{\text{ID}_{[i^*]}^*})$  and  $S_{\text{KU}, \mathbf{t}^*} = \text{KUNode}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \text{RL}_{\text{pa}(\text{ID}_{[i^*]}^*), \mathbf{t}^*})$ . By definition of the Type-I- $i^*$  strategy, user  $\text{ID}_{[i^*]}^*$  or one of its ancestors must be revoked before time period  $\mathbf{t}^*$ . Therefore, due to the property of the CS scheme, we have  $S_{\text{Path}} \cap S_{\text{KU}, \mathbf{t}^*} = \emptyset$ .

We first recall when and how the vectors  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*), \theta}$  stored in the nodes  $\theta \in \text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  are constructed. In the beginning, the binary tree  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  is initialized empty. The only situation the challenger updates  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  is when  $\mathcal{A}$  issues a secret key generation query for some  $\text{ID} \in \text{pa}(\text{ID}_{[i^*]}^*) \setminus \{\text{ID}_{[i^*]}^*\}$  or a revoke & key update query, and the relevant nodes  $\theta \in \text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  for answering

these queries have not been stored any vectors yet. For these particular nodes, the  $\text{Game}_{I-i^*-2}$  challenger samples a random vector  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  and updates the binary tree  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  by storing the vectors inside node  $\theta$ . Note that when  $\mathcal{A}$  issues a secret key generation query on  $\text{ID} \in \text{pa}(\text{ID}_{[i^*]}^*) \parallel \mathcal{ID}$  (resp. a revoke & key update query<sup>16</sup>), the challenger samples short vectors  $\mathbf{e}_{\text{ID},\theta}$  for  $\theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*),\eta\text{ID}})$  in  $\text{sk}_{\text{ID}}$  (resp.  $\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}},\theta}$  for  $\theta \in \text{KUNode}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \text{RL}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}}})$  in  $\text{ku}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}}}$ ) such that

$$[\mathbf{A}_{i^*} | \mathbf{E}(\text{ID})] \mathbf{e}_{\text{ID},\theta} = \mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta} \quad \text{for } \theta \in \text{Path}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*),\eta\text{ID}}), \quad (9)$$

$$\begin{aligned} [\mathbf{A}_{i^*} | \mathbf{E}(\text{pa}(\text{ID}_{[i^*]}^*)) | \mathbf{F}(\text{t}_{\text{cu}})] \mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}},\theta} &= \mathbf{u}_{i^*} - \mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta} \\ \text{for } \theta \in \text{KUNode}(\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}, \text{RL}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}}}), & \end{aligned} \quad (10)$$

where the required trapdoors for these operations are created by the challenger from  $\mathbf{T}_{\mathbf{A}_{i^*}}$  on the fly due to the modification we made in  $\text{Game}_0$ . Note that to be precise, we must also take into account the fact that we run the  $\text{KeyUp}$  algorithm during the secret key generation query. However, we omit this for clarity, since it can be seen that we can make the same argument as above for the key updates generated during the secret key generation query.

In this game, whenever  $\mathcal{A}$  issues a secret key generation query for some  $\text{ID} \in \text{pa}(\text{ID}_{[i^*]}^*) \parallel \mathcal{ID}$  or a revoke & key update query, the  $\text{Game}_{I-i^*-3}$  challenger first checks whether the node  $\theta \in S_{\text{undef}}$  is in  $S_{\text{Path}}$  or not, where  $S_{\text{undef}}$  denotes the set of nodes in  $\text{BT}_{\text{pa}(\text{ID}_{[i^*]}^*)}$  where a vector  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  has not been stored yet and for which it must be defined for the challenger to answer  $\mathcal{A}$ 's query. If  $\theta \in S_{\text{undef}} \cap S_{\text{Path}}$ , the challenger first samples a vector  $\mathbf{e}_{\text{ID}_{[i^*]}^*,\theta} \leftarrow D_{\mathbb{Z}^{(i^*+1)m},\sigma_{i^*}}$  and sets  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  as in Eq. (9). Then it stores  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  in the node  $\theta$  and keeps  $\mathbf{e}_{\text{ID}_{[i^*]}^*,\theta}$  secret. If  $\theta \in S_{\text{undef}} \setminus (S_{\text{undef}} \cap S_{\text{Path}})$ , the challenger first samples a vector  $\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\mathbf{t}^*,\theta} \leftarrow D_{\mathbb{Z}^{(i^*+1)m},\sigma_{i^*}}$  and sets  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  as in Eq. (10) by implicitly setting  $\text{t}_{\text{cu}} = \mathbf{t}^*$ . Specifically, it sets

$$\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta} = -[\mathbf{A}_{i^*} | \mathbf{E}(\text{pa}(\text{ID}_{[i^*]}^*)) | \mathbf{F}(\mathbf{t}^*)] \mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\mathbf{t}^*,\theta} + \mathbf{u}_{i^*}.$$

Then it stores  $\mathbf{u}_{\text{pa}(\text{ID}_{[i^*]}^*),\theta}$  in the node  $\theta$  and keeps  $\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\mathbf{t}^*,\theta}$  secret. Now if the  $\text{ID} \in \text{pa}(\text{ID}_{[i^*]}^*) \parallel \mathcal{ID}$  issued by  $\mathcal{A}$  as the secret key generation query is not  $\text{ID}_{[i^*]}^*$ , then the  $\text{Game}_{I-i^*-3}$  challenger samples the short vectors  $(\mathbf{e}_{\text{ID},\theta})_{\theta}$  as in Eq. (9) using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Otherwise, in case  $\text{ID} = \text{ID}_{[i^*]}^*$ , the challenger simply returns the vectors  $(\mathbf{e}_{\text{ID}_{[i^*]}^*,\theta})_{\theta \in S_{\text{Path}}}$  which he has already created *without* using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Furthermore, if the global counter  $\text{t}_{\text{cu}}$  on which  $\mathcal{A}$  queried the revoke & key update query is not  $\mathbf{t}^*$ , then the  $\text{Game}_{I-i^*-3}$  challenger samples the short vectors  $(\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\text{t}_{\text{cu}},\theta})_{\theta}$  as in Eq. (10) using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Otherwise, in case  $\text{t}_{\text{cu}} = \mathbf{t}^*$ , the challenger simply returns the vectors  $(\mathbf{e}_{\text{pa}(\text{ID}_{[i^*]}^*),\mathbf{t}^*,\theta})_{\theta \in S_{\text{KU},\mathbf{t}^*}}$  which he has already created *without* using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Note that this procedure is well-defined since  $S_{\text{Path}} \cap S_{\text{KU},\mathbf{t}^*} = \emptyset$ . Now, due to Lemma 2, the distribution of the short vectors provided to  $\mathcal{A}$  are distributed statistically close to those of the previous game. Therefore, we have  $|\Pr[\mathbf{E}_{I-i^*-2}] - \Pr[\mathbf{E}_{I-i^*-3}]| = \text{negl}(\lambda)$ .

$\text{Game}_{I-i^*-4}$ : In this game, we change the challenger so he does *not* have to use the trapdoor  $\mathbf{T}_{\mathbf{A}_{i^*}}$  for user  $\text{ID}_{[i^*]}^*$  when generating the short vectors  $(\mathbf{f}_{\text{ID}_{[i^*]}^*,k})_{k \in [i^*+1,L]}$  in  $\text{sk}_{\text{ID}_{[i^*]}^*}$  (Table 1, Item (i)). In particular, with the change we made in the previous game, the challenger no longer requires

<sup>16</sup>Recall that by our security definition, there exists a global counter  $\text{t}_{\text{cu}}$  initialized to 1, which the adversary  $\mathcal{A}$  can increment only by querying the revoke & key update query. Specifically, all items that are associated with the revoke & key update query are by definition associated with the variable  $\text{t}_{\text{cu}}$ .

$\mathbf{T}_{\mathbf{A}_{i^*}}$  when issued a secret key generation query for  $\text{ID}_{[i^*]}^*$ . To this end, we modify how we create the vectors  $(\mathbf{u}_k)_{k \in [i^*, L] \setminus \{\ell^*\}}$  in PP.

Recall that in the previous game, the challenger sampled  $(\mathbf{u}_k)_{k \in [L]}$  as uniformly random vectors in  $\mathbb{Z}_q^n$  at the beginning of the game. Then, when  $\mathcal{A}$  issued a secret key generation query on  $\text{ID}_{[i^*]}^*$ , the challenger sampled short vectors  $(\mathbf{f}_{\text{ID}_{[i^*]}^*, k})_{k \in [i^*+1, L]}$  such that

$$[\mathbf{A}_{i^*} | \mathbf{E}(\text{ID}_{[i^*]}^*)] \mathbf{f}_{\text{ID}_{[i^*]}^*, k} = \mathbf{u}_k - \mathbf{u}_{i^*}, \quad (11)$$

where the required trapdoor for sampling is created by the challenger from  $\mathbf{T}_{\mathbf{A}_i^*}$  on the fly.

We first describe how the vectors  $(\mathbf{u}_k)_{k \in [L]}$  in PP are created. In this game, the  $\text{Game}_{\text{I-}i^*-4}$  challenger first samples  $(\mathbf{u}_k)_{k \in [i^*-1] \cup \{\ell^*\}}$  as uniformly random vectors in  $\mathbb{Z}_q^n$  at the beginning of the game, as was done in the previous game. Next, the challenger computes  $\mathbf{u}_{i^*}$  by first sampling  $\mathbf{f}_{\text{ID}_{[i^*]}^*, \ell^*} \leftarrow D_{\mathbb{Z}^{(i^*+1)m}, \sigma_{i^*}}$  and setting it to satisfy the following equation:

$$[\mathbf{A}_{i^*} | \mathbf{E}(\text{ID}_{[i^*]}^*)] \mathbf{f}_{\text{ID}_{[i^*]}^*, \ell^*} = \mathbf{u}_{\ell^*} - \mathbf{u}_{i^*}.$$

Then, it keeps the vector  $\mathbf{f}_{\text{ID}_{[i^*]}^*, \ell^*}$  secret. Finally, the challenger computes the remaining  $(\mathbf{u}_k)_{k \in [i^*+1, L] \setminus \{\ell^*\}}$  by first sampling  $\mathbf{f}_{\text{ID}_{[i^*]}^*, k} \leftarrow D_{\mathbb{Z}^{(i^*+1)m}, \sigma_{i^*}}$  for  $k \in [i^*+1, L] \setminus \{\ell^*\}$  and setting the vectors  $\mathbf{u}_k$  to satisfy Eq. (11). Then, it keeps the vectors  $(\mathbf{f}_{\text{ID}_{[i^*]}^*, k})_{k \in [i^*+1, L] \setminus \{\ell^*\}}$  secret. All other terms in PP are constructed as in the previous game. In this game, the  $\text{Game}_{\text{I-}i^*-4}$  challenger answers all queries made by  $\mathcal{A}$  as in the previous game, except for when  $\mathcal{A}$  queries  $\text{ID}_{[i^*]}^*$  as the secret key generation query. For this specific case, the challenger simply returns the vectors  $(\mathbf{f}_{\text{ID}_{[i^*]}^*, k})_{k \in [i^*+1, L]}$  which he has already created at the beginning of the game *without* using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Due to Lemma 2, the distribution of the vectors provided to  $\mathcal{A}$  are distributed statistically close to those of  $\text{Game}_{\text{I-}i^*-3}$ . Therefore, we have  $|\Pr[\mathbf{E}_{\text{I-}i^*-3}] - \Pr[\mathbf{E}_{\text{I-}i^*-4}]| = \text{negl}(\lambda)$ .

**Game<sub>I- $i^*-5$</sub> :** In this game, we change how  $\mathbf{A}_{i^*}$  is sampled. Namely, in this game, we generate  $\mathbf{A}_{i^*}$  as a random matrix in  $\mathbb{Z}_q^{n \times m}$  instead of generating it with a trapdoor. By Lemma 3, this makes only negligible difference. Accordingly, we modify the challenger, so that he does not require the trapdoor  $\mathbf{T}_{\mathbf{A}_{i^*}}$  to answer any of the queries made by  $\mathcal{A}$ . Recall that in the previous game, the challenger used  $\mathbf{T}_{\mathbf{A}_{i^*}}$  to create the following items in Table 1:

- (a) Item (i) for  $\text{ID} \in (\mathcal{ID})^{i^*} \setminus \{\text{ID}_{[i^*]}^*\}$ .
- (b) Item (ii) for  $\text{ID} \in (\mathcal{ID})^{\leq i^*-1} \setminus \text{prefix}(\text{ID}_{[i^*-1]}^*)$ .
- (c) Item (iii) for  $(\text{ID}, \mathbf{t}) \in (\mathcal{ID})^{i^*-1} \times \mathcal{T}$ .

Note that we do not require  $\mathbf{T}_{\mathbf{A}_{i^*}}$  anymore to create the secret key  $\text{sk}_{\text{ID}_{[i^*]}^*}$  in item (a) due to the modification we made in  $\text{Game}_{\text{I-}i^*-3}$  and  $\text{Game}_{\text{I-}i^*-4}$ .<sup>17</sup> Furthermore, we can add the restriction  $\text{ID} \notin \text{prefix}(\text{ID}_{[i^*-1]}^*)$  in item (b) without loss of generality, since an adversary following the Type-I- $i^*$  strategy never asks for a secret key reveal query for  $\text{ID} \in \text{prefix}(\text{ID}_{[i^*-1]}^*)$  and due to the change we made in  $\text{Game}_0$ , i.e., we extend the basis from  $\mathbf{T}_{\mathbf{A}_{i^*}}$  instead from  $\text{pa}(\text{ID})$ 's basis. Finally, recall that when creating the key update  $\text{ku}_{\text{pa}(\text{ID}_{[i^*]}^*), \mathbf{t}^*}$  in item (c), we do not require  $\mathbf{T}_{\mathbf{A}_{i^*}}$  anymore to sample short vectors corresponding to the path of  $\text{ID}_{[i^*]}^*$ , i.e.,  $S_{\text{KU}, \mathbf{t}^*}$  due to the modification we made in  $\text{Game}_{\text{I-}i^*-3}$ .

We now show that the  $\text{Game}_{\text{I-}i^*-5}$  challenger no longer requires  $\mathbf{T}_{\mathbf{A}_{i^*}}$  to construct items (a), (b) and (c), which follows simply from the change we made in  $\text{Game}_{\text{I-}i^*-1}$ . In the following we

<sup>17</sup>Recall that we do not require  $\mathbf{T}_{\mathbf{A}_{i^*}}$  to execute the KeyUp algorithm for the secret key generation query when  $\mathbf{t}_{\text{cu}} = \mathbf{t}^*$ .

only show the case for item (a), since the other cases can be easily verified in a similar fashion. Now, if  $\text{ID} \in (\mathcal{ID})^{i^*} \setminus \{\text{ID}_{[i^*]}^*\}$ , then there must exist an index  $j \in [i^*]$  such that  $\text{id}_j \neq \text{id}_j^*$  where  $\text{id}_j, \text{id}_j^*$  is the  $j$ -th element identity of  $\text{ID}, \text{ID}_{[i^*]}^*$ , respectively. Hence,  $H(\text{id}_j) \neq H(\text{id}_j^*)$ . Then, to create a trapdoor  $\mathbf{T}_{[\mathbf{A}_{i^*}|\mathbf{E}(\text{ID})]}$ , the challenger first runs  $\text{ExtRndRight}(\mathbf{A}_{i^*}, \mathbf{G}, \mathbf{R}_j^*, \mathbf{T}_{\mathbf{G}}, \sigma_0)$  to create  $\mathbf{T}_{[\mathbf{A}_{i^*}|\mathbf{A}_{i^*}\mathbf{R}_j^*+(H(\text{id}_j)-H(\text{id}_j^*))\mathbf{G}]}$ . If  $i^* = 1$ , this is the desired trapdoor basis. Otherwise, using this basis, the challenger extends it to a basis  $\mathbf{T}_{[\mathbf{A}_{i^*}|\mathbf{E}(\text{ID})]}$  by running  $\text{ExtRndLeft}$ , where the Gaussian parameter is set as  $\sigma_{i^*}$  so that the quality of the trapdoor is the same as in the previous game. Note that this can be done since we can rearrange the rows of the basis in an arbitrary manner. Finally, the challenger samples the short secret key vectors by running  $\text{SampleLeft}(\cdot)$  with trapdoor  $\mathbf{T}_{[\mathbf{A}_{i^*}|\mathbf{E}(\text{ID})]}$  and Gaussian parameter  $\sigma_{i^*}$ . This shows that the challenger is able to create the required trapdoor without using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Due to Lemma 3 and 4, since the sampled vectors and the extended trapdoors are statistically independent from the trapdoors provided as input, this makes a negligible difference. Since, we can make a similar argument in the case for items (b) and (c) as well, we have  $|\Pr[\mathbf{E}_{L-i^*-4}] - \Pr[\mathbf{E}_{L-i^*-5}]| = \text{negl}(\lambda)$ .

**Game $_{L-i^*-6}$ :** In this game, we change the way the challenge ciphertext is created. In this game, when the **Game $_{L-i^*-6}$**  challenger is issued a challenge query on  $(M_0, M_1)$  by  $\mathcal{A}$ , it first samples  $\mathbf{s}_i \leftarrow \mathbb{Z}_q^n$  for  $i \in [\ell^*] \cup \{L+1\}$ ,  $x \leftarrow D_{\mathbb{Z}, \alpha q}$ ,  $\bar{\mathbf{x}} \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{x}_i \leftarrow D_{\mathbb{Z}^{(i+2)m}, \alpha' q}$  for  $i \in [\ell^*] \setminus \{i^*\}$  and  $\mathbf{x}_{L+1} \leftarrow D_{\mathbb{Z}^{(\ell^*+2)m}, \alpha' q}$ . Then it computes  $v = \mathbf{u}_{\ell^*}^\top \mathbf{s}_{i^*} + x \in \mathbb{Z}_q$ ,  $\mathbf{v} = \mathbf{A}_{i^*}^\top \mathbf{s}_{i^*} + \bar{\mathbf{x}} \in \mathbb{Z}_q^m$  and the following terms:

$$\begin{cases} c_0 = v + \mathbf{u}_{\ell^*}^\top \left( \sum_{i \in [\ell^*] \cup \{L+1\} \setminus \{i^*\}} \mathbf{s}_i \right) + M_b \\ \mathbf{c}_i = [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}^*) | \mathbf{F}(\mathbf{t}^*)]^\top \mathbf{s}_i + \mathbf{x}_i \quad \text{for } i \in [\ell^*] \setminus \{i^*\} \\ \mathbf{c}_{L+1} = [\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}^*) | \mathbf{F}(\mathbf{t}^*)]^\top \mathbf{s}_{L+1} + \mathbf{x}_{L+1} \end{cases} \quad (12)$$

where  $b$  is the random bit chosen by the challenger. It then sets  $\mathbf{R}^* = [\mathbf{R}_{1^*}^* | \dots | \mathbf{R}_{i^*}^* | \mathbf{R}_{L+1}^*] \in \mathbb{Z}^{m \times (i^*+1)m}$  and runs

$$\text{ReRand}\left([\mathbf{I}_m | \mathbf{R}^*], \mathbf{v}, \alpha q, \frac{\alpha'}{2\alpha}\right) \rightarrow \mathbf{c} \in \mathbb{Z}_q^{(i^*+2)m}$$

from Lemma 7, where  $\mathbf{I}_m$  is the identity matrix with size  $m$ . Finally, it sets  $\mathbf{c}_{i^*} = \mathbf{c}$  and outputs the challenge ciphertext as follows:

$$\text{ct} = (c_0, \mathbf{c}_1, \dots, \mathbf{c}_{\ell^*}, \mathbf{c}_{L+1}) \in \mathbb{Z}_q \times \mathbb{Z}_q^{3m} \times \dots \times \mathbb{Z}_q^{(\ell^*+2)m} \times \mathbb{Z}_q^{(\ell^*+2)m}. \quad (13)$$

We claim that this change alters the view of  $\mathcal{A}$  only negligibly, which follows from the noise re-randomization lemma (Lemma 7). In particular, we set  $\mathbf{V} = [\mathbf{I}_m | \mathbf{R}^*]$ ,  $\mathbf{b} = \mathbf{A}_{i^*}^\top \mathbf{s}_{i^*}$  and  $\mathbf{x} = \bar{\mathbf{x}}$  in Lemma 7 to conclude that the obtained distribution  $\mathbf{c}$  is negligibly close to the following:

$$\begin{aligned} \mathbf{c}^\top &= \mathbf{s}_{i^*}^\top \mathbf{A}_{i^*} [\mathbf{I}_m | \mathbf{R}^*] + \mathbf{x}'^\top \\ &= \mathbf{s}_{i^*}^\top [\mathbf{A}_{i^*} | \mathbf{B}_1 + H(\text{id}_1^*)\mathbf{G}] \cdots [\mathbf{B}_{i^*} + H(\text{id}_{i^*}^*)\mathbf{G}] [\mathbf{B}_{L+1} + H(\mathbf{t}^*)\mathbf{G}] + \mathbf{x}'^\top \\ &= \mathbf{s}_{i^*}^\top [\mathbf{A}_{i^*} | \mathbf{E}(\text{ID}_{[i^*]}^*) | \mathbf{F}(\mathbf{t}^*)] + \mathbf{x}'^\top \in \mathbb{Z}_q^{(i^*+2)m} \end{aligned}$$

where  $\mathbf{x}'$  is distributed statistically close to  $D_{\mathbb{Z}^{(i^*+2)m}, \alpha' q}$  due to our parameter selection. It can be seen that the challenge ciphertext in Eq. (13) is distributed statistically close to the previous game. Therefore, we have

$$|\Pr[\mathbf{E}_{L-i^*-5}] - \Pr[\mathbf{E}_{L-i^*-6}]| = \text{negl}(\lambda).$$

**Game<sub>I-i\*-7</sub>**: In this game, we further change the way the challenge ciphertext is created. In particular, in this game, the **Game<sub>I-i\*-7</sub>** challenger first samples  $\mathbf{s}_i \leftarrow \mathbb{Z}_q^n$  for  $i \in [\ell^*] \cup \{L+1\} \setminus \{i^*\}$ ,  $w \leftarrow \mathbb{Z}_q$ ,  $\mathbf{w} \leftarrow \mathbb{Z}_q^m$ ,  $x \leftarrow D_{\mathbb{Z}, \alpha q}$ ,  $\bar{\mathbf{x}} \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{x}_i \leftarrow D_{\mathbb{Z}^{(i+2)m}, \alpha' q}$  for  $i \in [\ell^*] \setminus \{i^*\}$  and  $\mathbf{x}_{L+1} \leftarrow D_{\mathbb{Z}^{(\ell^*+2)m}, \alpha' q}$ . Then it computes  $v = w + x \in \mathbb{Z}_q$ ,  $\mathbf{v} = \mathbf{w} + \bar{\mathbf{x}} \in \mathbb{Z}_q^m$  and sets the remaining terms as in Eq. (12) of the previous game. Furthermore, it sets  $\mathbf{R}^*$  and runs the ReRand algorithm as in **Game<sub>I-i\*-6</sub>**. Finally, it sets the challenge ciphertext as in Eq. (13). We claim that

$$|\Pr[\mathbf{E}_{I-i*-6}] - \Pr[\mathbf{E}_{I-i*-7}]| = \text{negl}(\lambda),$$

assuming the hardness of the  $\text{LWE}_{n, m+1, q, \chi}$  problem. To this end, we use  $\mathcal{A}$  to construct an LWE adversary  $\mathcal{B}$  as follows:

$\mathcal{B}$  is given the problem instance of LWE as  $(\mathbf{A}', \mathbf{v}' = \mathbf{w}' + \bar{\mathbf{z}}') \in \mathbb{Z}_q^{n \times (m+1)} \times \mathbb{Z}_q^{m+1}$  where  $\bar{\mathbf{z}}' \leftarrow D_{\mathbb{Z}^{m+1}, \alpha q}$ . The task of  $\mathcal{B}$  is to distinguish whether  $\mathbf{w}' = \mathbf{A}'^\top \mathbf{s}$  for  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$  or  $\mathbf{w}' \leftarrow \mathbb{Z}_q^{m+1}$ . In the following, let the first column of  $\mathbf{A}'$  be  $\mathbf{u} \in \mathbb{Z}_q^n$  and the remaining columns be  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ . Further, let the first coefficient of  $\mathbf{v}'$  be  $v$  and the remaining coefficients be  $\mathbf{v} \in \mathbb{Z}_q^m$ . Using these terms,  $\mathcal{B}$  sets the public parameter PP. In particular,  $\mathcal{B}$  sets  $(\mathbf{A}_{i^*}, \mathbf{u}_{\ell^*}) = (\mathbf{A}, \mathbf{u})$  and proceeds the setup as the **Game<sub>I-i\*-4</sub>** challenger. Furthermore, whenever  $\mathcal{A}$  issues a query,  $\mathcal{B}$  proceeds as the **Game<sub>I-i\*-5</sub>** challenger, and answers them without the knowledge of  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Finally, to generate the challenge ciphertext, it first picks  $b \leftarrow \{0, 1\}$  and generates the challenge ciphertext as in Eq. (13) using  $v, \mathbf{v}$ , and returns it to  $\mathcal{A}$ . Note that all  $\mathcal{B}$  needs to do to generate the ciphertext is to run the ReRand algorithm, which it can do without knowledge of the secret randomness  $\mathbf{s}, \bar{\mathbf{z}}'$ . Let  $b'$  be the output of  $\mathcal{A}$ .  $\mathcal{B}$  outputs 1 if  $b' = b$  and 0 otherwise. It can be seen that if  $\mathbf{A}', \mathbf{v}'$  is a valid LWE sample (i.e.,  $\mathbf{w}' = \mathbf{A}'^\top \mathbf{s}$ ), the view of the adversary corresponds to **Game<sub>I-i\*-6</sub>**. Otherwise (i.e.,  $\mathbf{w}' \leftarrow \mathbb{Z}_q^{m+1}$ ), it corresponds to **Game<sub>I-i\*-7</sub>**. We therefore conclude that assuming the hardness of the  $\text{LWE}_{n, m+1, q, \chi}$  problem we have  $|\Pr[\mathbf{E}_{I-i*-6}] - \Pr[\mathbf{E}_{I-i*-7}]| = \text{negl}(\lambda)$ .

Finally, since  $v$  is distributed uniformly at random over  $\mathbb{Z}_q$  and independently of all other terms, the probability of adversary  $\mathcal{A}$  guessing whether  $b = 0$  or  $b = 1$  is exactly  $1/2$ . In particular, we have

$$\Pr[\mathbf{E}_{I-i*-7}] = \frac{1}{2}.$$

Combining everything together, we conclude that if the adversary  $\mathcal{A}$  uses the Type-I- $i^*$  strategy, then  $\Pr[\mathbf{E}_0] = \frac{1}{2} \pm \text{negl}(\lambda)$  assuming the hardness of  $\text{LWE}_{n, m+1, q, \chi}$  problem.  $\square$

Similarly, we provide the following lemma against an adversary  $\mathcal{A}$  that uses the Type-II strategy. The proof proceeds closely to Lemma 12, where we gradually modify the game so that the challenger no longer requires  $\mathbf{T}_{\mathbf{A}_{L+1}}$  in the final game.

**Lemma 13.** *The advantage of an adversary  $\mathcal{A}$  using the Type-II strategy in **Game<sub>0</sub>** is negligible assuming the hardness of the  $\text{LWE}_{n, m+1, q, \chi}$  problem, where  $\chi = D_{\mathbb{Z}^{m+1}, \alpha q}$ .*

*Proof.* The proof outline is essentially the same as Lemma 12 against the adversary using the Type-I strategy. The only difference is that in this proof, we aim at modifying the challenger so that he is able to simulate the game without using the trapdoor  $\mathbf{T}_{\mathbf{A}_{L+1}}$ . At a high level, since the adversary does not require  $\mathbf{T}_{\mathbf{A}_{L+1}}$  anymore, we would be able to embed the matrix  $\mathbf{A}_{L+1}$  provided as the LWE problem into the public parameter PP. To this end, we provide for reference the situations for which the challenger in **Game<sub>0</sub>** requires to use the trapdoor  $\mathbf{T}_{\mathbf{A}_{L+1}}$  to respond to  $\mathcal{A}$ 's queries:

- (i) Secret Key Generation Query ( $\text{sk}_{\text{ID}}$ ):  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{E}(\text{ID})]}$  for any  $\text{ID} \in (\mathcal{ID})^{\leq L} \setminus \text{prefix}(\text{ID}^*)$
- (ii) Decryption Key Reveal Query ( $\text{dk}_{\text{ID},\mathbf{t}}$ ):  $\mathbf{g}_{\text{ID},\mathbf{t}}$  for any  $(\text{ID}, \mathbf{t}) \in (\mathcal{ID})^{\leq L} \times \mathcal{T} \setminus \{(\text{ID}^*, \mathbf{t}^*)\}$ .

Here, the restriction on the users  $\text{ID}$  for item (i) follows from the Type-II strategy, where the adversary  $\mathcal{A}$  does not issue any secret key reveal queries on users  $\text{ID} \in \text{prefix}(\text{ID}^*)$ . Furthermore, note that the challenger can respond to all other queries made by  $\mathcal{A}$  by using the trapdoors  $(\mathbf{T}_{\mathbf{A}_i})_{i \in [L]}$ . With this in mind, we proceed with the following sequence of games.

**Game<sub>II-1</sub>**: In this game, we change the way  $(\mathbf{B}_j)_{j \in [L+1]}$  are chosen. At the beginning of the game, the **Game<sub>II-1</sub>** challenger samples  $\mathbf{R}_j^* \leftarrow \{-1, 1\}^{m \times m}$  for  $j \in [L+1]$  and sets  $(\mathbf{B}_j)_{j \in [L+1]}$  as follows:

$$\mathbf{B}_j = \begin{cases} \mathbf{A}_{L+1} \mathbf{R}_j^* - H(\text{id}_j^*) \mathbf{G}, & \text{for } j \in [\ell^*], \\ \mathbf{A}_{L+1} \mathbf{R}_j^* & \text{for } j \in [\ell^* + 1, L], \\ \mathbf{A}_{L+1} \mathbf{R}_j^* - H(\mathbf{t}^*) \mathbf{G}, & \text{for } j = L + 1. \end{cases}$$

The challenger keeps the matrices  $(\mathbf{R}_j^*)_{j \in [L+1]}$  as a part of  $\text{sk}_{\text{kgc}}$ . By Lemma 5, the statistical distance between the public parameter  $\text{PP}$  in **Game<sub>0</sub>** and **Game<sub>II-1</sub>** is negligible. Therefore, we have

$$|\Pr[\mathbf{E}_0] - \Pr[\mathbf{E}_{\text{II-1}}]| = \text{negl}(\lambda).$$

**Game<sub>II-2</sub>**: In this game, we modify the challenger so he does *not* require the trapdoor  $\mathbf{T}_{\mathbf{A}_{L+1}}$  when generating  $\mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*}$  for  $i \in [\ell^* - 1]$  in  $\text{dk}_{\text{ID}, \mathbf{t}^*}$  (See Item (ii)), i.e., decryption keys for users  $\text{ID} \in \text{prefix}(\text{ID}^*) \setminus \{\text{ID}^*\}$ . Note that due to the definition of the security game, the challenger never has to create a decryption key for the user-time pair  $(\text{ID}^*, \mathbf{t}^*)$ . To this end, we modify how we create the vectors  $(\mathbf{u}_k)_{k \in [\ell^* - 1]}$  in  $\text{PP}$ .

Recall that in the previous game, the challenger sampled all vectors  $(\mathbf{u}_k)_{k \in [L]}$  as uniformly random vectors in  $\mathbb{Z}_q^n$  at the beginning of the game. Then, when  $\mathcal{A}$  issued a decryption key reveal query on user-item pair  $(\text{ID}_{[i]}^*, \mathbf{t}^*)$  for  $i \in [\ell^* - 1]$ , the challenger sampled a short vector  $\mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*}$  such that

$$[\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}_{[i]}^*) | \mathbf{F}(\mathbf{t}^*)] \mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*} = \mathbf{u}_i. \quad (14)$$

where the required trapdoor for sampling the vector was created by the challenger from  $\mathbf{T}_{\mathbf{A}_{L+1}}$  on the fly, due to the modification we made in **Game<sub>0</sub>**.

We first describe how the vectors  $(\mathbf{u}_k)_{k \in [L]}$  in  $\text{PP}$  are created in this game. The **Game<sub>II-2</sub>** challenger first samples  $(\mathbf{u}_k)_{k \in [L] \setminus [\ell^* - 1]}$  as uniformly random vectors in  $\mathbb{Z}_q^n$  at the beginning of the game, as was done in the previous game. Next the challenger samples  $\mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*} \leftarrow D_{\mathbb{Z}^{i+2}, \sigma_i}$  and sets the remaining vectors  $(\mathbf{u}_k)_{k \in [\ell^* - 1]}$  to satisfy Eq. (14). Then, it keeps the vectors  $(\mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*})_{i \in [\ell^* - 1]}$  secret. All other terms in  $\text{PP}$  are constructed as in the previous game. In this game, the **Game<sub>II-2</sub>** challenger answers all the queries made by  $\mathcal{A}$  as in the previous game, except for when  $\mathcal{A}$  queries the user-item pair  $(\text{ID}_{[i]}^*, \mathbf{t}^*)$  for  $i \in [\ell^* - 1]$  as the decryption key reveal query. For this specific case, the challenger simply returns the vector  $\mathbf{g}_{\text{ID}_{[i]}^*, \mathbf{t}^*}$  which he has already created at the beginning of the game *without* using  $\mathbf{T}_{\mathbf{A}_{L+1}}$ . Due to Lemma 2, the distribution of the short vectors provided to  $\mathcal{A}$  is distributed statistically close to those of the previous game. Therefore, we have

$$|\Pr[\mathbf{E}_{\text{II-1}}] - \Pr[\mathbf{E}_{\text{II-2}}]| = \text{negl}(\lambda).$$

**Game<sub>II-3</sub>**: In this game, we change how  $\mathbf{A}_{L+1}$  is sampled. Namely, in this game, we generate  $\mathbf{A}_{L+1}$  as a random matrix in  $\mathbb{Z}_q^{n \times m}$  instead of generating it with a trapdoor. By Lemma 3, this makes only a negligible difference. Accordingly, we modify the challenger, so that he does not require  $\mathbf{T}_{\mathbf{A}_{L+1}}$  to answer any of the queries made by  $\mathcal{A}$ . Recall that in the previous game, the challenger used  $\mathbf{T}_{\mathbf{A}_{L+1}}$  to create the following terms for the items we provided for reference before **Game<sub>II-1</sub>**:

- (a) Item (i) for  $\text{ID} \in (\mathcal{ID})^{\leq L} \setminus \text{prefix}(\text{ID}^*)$ .
- (b) Item (ii) for  $(\text{ID}, \mathbf{t}) \in (\mathcal{ID})^{\leq L} \times \mathcal{T} \setminus \{(\text{ID}, \mathbf{t}^*) \mid \text{ID} \in \text{prefix}(\text{ID}^*)\}$ .

We now show that the **Game<sub>II-3</sub>** challenger no longer requires  $\mathbf{T}_{\mathbf{A}_{L+1}}$  to construct items (a) and (b). In the following, we only show the case for item (a), since the case for item (b) can be easily verified in a similar manner. Now, consider a user  $\text{ID} \in (\mathcal{ID})^{\leq L} \setminus \text{prefix}(\text{ID}^*)$ , where  $\text{ID} = (\text{id}_1, \dots, \text{id}_\ell)$  for some  $\ell \in [L]$ . Then, let  $j \in [\ell]$  be the smallest index such that  $\text{ID}_{[j]} \notin \text{prefix}(\text{ID}^*)$ , which always exists since  $\text{ID} \notin \text{prefix}(\text{ID}^*)$ . Let us first consider the case  $j \leq \ell^*$  and denote  $\text{id}_j, \text{id}_j^*$  as the  $j$ -th element identities of  $\text{ID}, \text{ID}^*$ , respectively, where we have  $H(\text{id}_j) \neq H(\text{id}_j^*)$ . Then, to create a trapdoor  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{E}(\text{ID})]}$ , the challenger first runs  $\text{ExtRndRight}(\mathbf{A}_{L+1}, \mathbf{G}, \mathbf{R}_j^*, \mathbf{T}_{\mathbf{G}}, \sigma_0)$  to create  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{A}_{L+1}\mathbf{R}_j^*+H(\text{id}_j)-H(\text{id}_j^*)\mathbf{G}]}$ . If  $\ell = 1$ , this is our desired basis. Otherwise, using this basis, the challenger extends it to a basis  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{E}(\text{ID})]}$  by running  $\text{ExtRndLeft}$  with parameter  $\sigma_\ell$ . Note that this can be done since we can rearrange the rows of the basis in an arbitrary manner. Furthermore, in case  $j > \ell^*$  (or in particular  $j = \ell^* + 1$  by definition), since  $H(\text{id}_j) \neq \mathbf{0}_{n \times n}$ , we first run algorithm  $\text{ExtRndRight}$  to create  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{A}_{L+1}\mathbf{R}_j^*+H(\text{id}_j)\mathbf{G}]}$  and then extend it to a basis  $\mathbf{T}_{[\mathbf{A}_{L+1}|\mathbf{E}(\text{ID})]}$  by running  $\text{ExtRndLeft}$ , as done above. In both cases, the challenger is able to create the required trapdoor without using  $\mathbf{T}_{\mathbf{A}_{i^*}}$ . Now, due to Lemma 3 and 4, since the sampled vectors and the extended trapdoors are statistically independent from the trapdoors being used, this modification makes a negligible difference. Since, we can make a similar argument in the case for item (b) as well, we obtain

$$|\Pr[\mathbf{E}_{\text{II-2}}] - \Pr[\mathbf{E}_{\text{II-3}}]| = \text{negl}(\lambda).$$

**Game<sub>II-4</sub>**: In this game, we change the way the challenge ciphertext is created. In this game, when the **Game<sub>II-4</sub>** challenger is issued a challenge query on  $(M_0, M_1)$  by  $\mathcal{A}$ , it first samples  $\mathbf{s}_i \leftarrow \mathbb{Z}_q^n$  for  $i \in [\ell^*] \cup \{L+1\}$ ,  $x \leftarrow D_{\mathbb{Z}, \alpha q}$ ,  $\bar{\mathbf{x}} \leftarrow D_{\mathbb{Z}^m, \alpha q}$  and  $\mathbf{x}_i \leftarrow D_{\mathbb{Z}^{(i+2)m}, \alpha' q}$  for  $i \in [\ell^*]$ . Then it computes  $v = \mathbf{u}_{\ell^*}^\top \mathbf{s}_{L+1} + x \in \mathbb{Z}_q$ ,  $\mathbf{v} = \mathbf{A}_{L+1}^\top \mathbf{s}_{L+1} + \bar{\mathbf{x}} \in \mathbb{Z}_q^m$  and the following terms:

$$\begin{cases} c_0 = v + \mathbf{u}_{\ell^*}^\top \left( \sum_{i \in [\ell^*]} \mathbf{s}_i \right) + M_b \\ \mathbf{c}_i = [\mathbf{A}_i | \mathbf{E}(\text{ID}_{[i]}^*) | \mathbf{F}(\mathbf{t}^*)]^\top \mathbf{s}_i + \mathbf{x}_i \quad \text{for } i \in [\ell^*] \end{cases} \quad (15)$$

where  $b$  is the random bit chosen by the challenger. It then sets  $\mathbf{R}^* = [\mathbf{R}_{1^*}^* | \dots | \mathbf{R}_{\ell^*}^* | \mathbf{R}_{L+1}^*] \in \mathbb{Z}^{m \times (\ell^*+1)m}$  and runs

$$\text{ReRand} \left( [\mathbf{I}_m | \mathbf{R}^*], \mathbf{v}, \alpha q, \frac{\alpha'}{2\alpha} \right) \rightarrow \mathbf{c} \in \mathbb{Z}_q^{(\ell^*+2)m}$$

from Lemma 7, where  $\mathbf{I}_m$  is the identity matrix with size  $m$ . Finally, it sets  $\mathbf{c}_{L+1} = \mathbf{c}$  and outputs the challenge ciphertext as follows:

$$\text{ct} = (c_0, \mathbf{c}_1, \dots, \mathbf{c}_{\ell^*}, \mathbf{c}_{L+1}) \in \mathbb{Z}_q \times \mathbb{Z}_q^{3m} \times \dots \times \mathbb{Z}_q^{(\ell^*+2)m} \times \mathbb{Z}_q^{(\ell^*+2)m}. \quad (16)$$

We claim that this change alters the view of  $\mathcal{A}$  only negligibly, which follows from the noise re-randomization lemma (Lemma 7). In particular, we set  $\mathbf{V} = [\mathbf{I}_m | \mathbf{R}^*]$ ,  $\mathbf{b} = \mathbf{A}_{L+1}^\top \mathbf{s}_{L+1}$  and  $\mathbf{x} = \bar{\mathbf{x}}$  in Lemma 7 to conclude that the obtained distribution  $\mathbf{c}$  is negligibly close to the following:

$$\begin{aligned} \mathbf{c}^\top &= \mathbf{s}_{L+1}^\top \mathbf{A}_{L+1} [\mathbf{I}_m | \mathbf{R}^*] + \mathbf{x}'^\top \\ &= \mathbf{s}_{L+1}^\top [\mathbf{A}_{L+1} | \mathbf{B}_1 + H(\text{id}_1^*) \mathbf{G} | \cdots | \mathbf{B}_{\ell^*} + H(\text{id}_{\ell^*}^*) \mathbf{G} | \mathbf{B}_{L+1} + H(\mathbf{t}^*) \mathbf{G}] + \mathbf{x}'^\top \\ &= \mathbf{s}_{L+1}^\top [\mathbf{A}_{L+1} | \mathbf{E}(\text{ID}^*) | \mathbf{F}(\mathbf{t}^*)] + \mathbf{x}'^\top \in \mathbb{Z}_q^{(\ell^*+2)m} \end{aligned}$$

where  $\mathbf{x}'$  is distributed statistically close to  $D_{\mathbb{Z}^{(\ell^*+2)m}, \alpha'q}$ . It can be seen that the challenge ciphertext in Eq. (16) is distributed statistically close to the previous game. Therefore, we have

$$|\Pr[\mathbf{E}_{\text{II-3}}] - \Pr[\mathbf{E}_{\text{II-4}}]| = \text{negl}(\lambda).$$

**Game<sub>II-5</sub>:** In this game, we further change the way the challenge ciphertext is created. In particular, in this game, the Game<sub>II-5</sub> challenger first samples  $\mathbf{s}_i \leftarrow \mathbb{Z}_q^n$  for  $i \in [\ell^*]$ ,  $w \leftarrow \mathbb{Z}_q$ ,  $\mathbf{w} \leftarrow \mathbb{Z}_q^m$ ,  $x \leftarrow D_{\mathbb{Z}, \alpha q}$ ,  $\bar{\mathbf{x}} \leftarrow D_{\mathbb{Z}^m, \alpha q}$  and  $\mathbf{x}_i \leftarrow D_{\mathbb{Z}^{(i+2)m}, \alpha'q}$  for  $i \in [\ell^*]$ . Then it computes  $v = w + x \in \mathbb{Z}_q$ ,  $\mathbf{v} = \mathbf{w} + \bar{\mathbf{x}} \in \mathbb{Z}_q^m$  and sets the remaining terms as in Eq. (15) of the previous game. Furthermore, it sets  $\mathbf{R}^*$  and runs the ReRand algorithm as in Game<sub>II-4</sub>. Finally, it sets the challenge ciphertext as in Eq. (16). We can show that

$$|\Pr[\mathbf{E}_{\text{II-4}}] - \Pr[\mathbf{E}_{\text{II-5}}]| = \text{negl}(\lambda),$$

assuming the hardness of the  $\text{LWE}_{n, m+1, q, \chi}$  problem. We omit this proof, since it is essentially the same proof we provided to bound the advantage between Game<sub>I-i\*-6</sub> and Game<sub>I-i\*-7</sub> in Lemma 12 against the adversary using the Type-I strategy. In particular, instead of viewing the matrix  $\mathbf{A}$  provided by the LWE problem as  $\mathbf{A}_{i^*}$  in the public parameter PP, we view  $\mathbf{A}$  as  $\mathbf{A}_{L+1}$ . Furthermore, the LWE challenger is able to simulate the game for  $\mathcal{A}$  properly, since we modified the challenger in Game<sub>II-2</sub> and Game<sub>II-3</sub> so that it does not require  $\mathbf{T}_{\mathbf{A}_{L+1}}$  anymore to answer any of  $\mathcal{A}$ 's queries.

Finally, since  $v$  is distributed uniformly at random over  $\mathbb{Z}_q$  and independently of all other terms, the probability of adversary  $\mathcal{A}$  guessing whether  $b = 0$  or  $b = 1$  is exactly  $1/2$ . In particular, we have

$$\Pr[\mathbf{E}_{\text{II-5}}] = \frac{1}{2}.$$

Combining everything together, we conclude that if the adversary  $\mathcal{A}$  uses the Type-II strategy, then  $\Pr[\mathbf{E}_0] = \frac{1}{2} \pm \text{negl}(\lambda)$  assuming the hardness of  $\text{LWE}_{n, m, q, \chi}$  problem.  $\square$

Therefore, combining the two Lemmas 12 and 13, and the strategy dividing lemma (Lemmas 8), we can conclude that the RHIBE scheme II satisfies selective-identity security.  $\square$

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## A Definitions

### A.1 Revocable Identity-Based Encryption

Here, we formally define *revocable identity-based encryption (RIBE)*. Basically, the definitions we give here are done in the same way as those for RHIBE, except that the depth of the identity hierarchy is fixed to be 1.

**Syntax.** An RIBE scheme  $\Pi$  consists of the six algorithms (Setup, Encrypt, GenSK, KeyUp, GenDK, Decrypt) with the following interface:

$\text{Setup}(1^\lambda) \rightarrow (\text{PP}, \text{sk}_{\text{kgc}})$  : This is the *setup* algorithm that takes the security parameter  $1^\lambda$  as input, and outputs a public parameter PP and the KGC’s secret key  $\text{sk}_{\text{kgc}}$  (also called a master secret key).

We assume that the plaintext space  $\mathcal{M}$ , the time period space  $\mathcal{T}$ , and the identity space  $\mathcal{ID}$  are determined only by the security parameter  $\lambda$ , and their descriptions are contained in PP.

$\text{Encrypt}(\text{PP}, \text{ID}, \text{t}, \text{M}) \rightarrow \text{ct}$  : This is the *encryption* algorithm that takes a public parameter PP, an identity ID, a time period t, and a plaintext M as input, and outputs a ciphertext ct.

$\text{GenSK}(\text{PP}, \text{sk}_{\text{kgc}}, \text{ID}) \rightarrow (\text{sk}_{\text{ID}}, \text{sk}'_{\text{kgc}})$  : This is the *secret key generation* algorithm that takes a public parameter  $\text{PP}$ , the KGC's secret key  $\text{sk}_{\text{kgc}}$ , and an identity  $\text{ID} \in \mathcal{ID}$  as input, and may update the KGC's secret key  $\text{sk}_{\text{kgc}}$ . Then, it outputs a secret key  $\text{sk}_{\text{ID}}$  for the identity  $\text{ID}$  and also the KGC's “updated” secret key  $\text{sk}'_{\text{kgc}}$ .

$\text{KeyUp}(\text{PP}, t, \text{sk}_{\text{kgc}}, \text{RL}_t) \rightarrow (\text{ku}_t, \text{sk}'_{\text{kgc}})$  : This is the *key update information generation* algorithm that takes a public parameter  $\text{PP}$ , a time period  $t$ , the KGC's secret key  $\text{sk}_{\text{kgc}}$ , and a revocation list  $\text{RL}_t \subseteq \mathcal{ID}$  as input, and may update the KGC's secret key  $\text{sk}_{\text{kgc}}$ . Then, it outputs a key update  $\text{ku}_t$  and also the “updated” KGC's secret key  $\text{sk}'_{\text{kgc}}$ .

$\text{GenDK}(\text{PP}, \text{sk}_{\text{ID}}, \text{ku}_t) \rightarrow \text{dk}_{\text{ID},t}$  or  $\perp$  : This is the *decryption key generation* algorithm that takes a public parameter  $\text{PP}$ , a secret key  $\text{sk}_{\text{ID}}$ , and a key update  $\text{ku}_t$  as input, and outputs a decryption key  $\text{dk}_{\text{ID},t}$  for the time period  $t$  or the special “invalid” symbol  $\perp$  indicating that  $\text{ID}$  has been revoked.

$\text{Decrypt}(\text{PP}, \text{dk}_{\text{ID},t}, \text{ct}) \rightarrow \text{M}$  : This is the *decryption* algorithm that takes a public parameter  $\text{PP}$ , a decryption key  $\text{dk}_{\text{ID},t}$  and a ciphertext  $\text{ct}$  as input, and outputs the decryption result  $\text{M}$ .

**Correctness.** We require the following to hold for an RIBE scheme. Informally, we require a ciphertext corresponding to a user  $\text{ID}$  for time period  $t$  to be properly decrypted by user  $\text{ID}$  if the user is not revoked on time  $t$ . To fully capture this, we consider all the possible scenarios of creating the secret key for user  $\text{ID}$ . Namely, for all  $\lambda \in \mathbb{N}$ ,  $(\text{PP}, \text{sk}_{\text{kgc}}) \leftarrow \text{Setup}(1^\lambda)$ ,  $\text{ID} \in \mathcal{ID}$ ,  $t \in \mathcal{T}$ ,  $\text{M} \in \mathcal{M}$ , and  $\text{RL}_t \subseteq \mathcal{ID} \setminus \{\text{ID}\}$ , we require  $\text{M}' = \text{M}$  to hold after executing the following procedures:

- (1)  $(\text{sk}_{\text{ID}}, \text{sk}_{\text{kgc}}) \leftarrow \text{GenSK}(\text{PP}, \text{sk}_{\text{kgc}}, \text{ID})$ .
- (2)  $(\text{ku}_t, \text{sk}'_{\text{kgc}}) \leftarrow \text{KeyUp}(\text{PP}, t, \text{sk}_{\text{kgc}}, \text{RL}_t)$ .
- (3)  $\text{dk}_{\text{ID},t} \leftarrow \text{GenDK}(\text{PP}, \text{sk}_{\text{ID}}, \text{ku}_t)$ .
- (4)  $\text{ct} \leftarrow \text{Encrypt}(\text{PP}, \text{ID}, t, \text{M})$ .
- (5)  $\text{M}' \leftarrow \text{Decrypt}(\text{PP}, \text{dk}_{\text{ID},t}, \text{ct})$ .

We note that, the most stringent way to define correctness would be to also capture the fact that the secret key  $\text{sk}_{\text{kgc}}$  could be updated after executing  $\text{GenSK}$ . In particular, the output of  $\text{KeyUp}$ , which takes as input the KGC's secret key  $\text{sk}_{\text{kgc}}$ , may differ in general before and after  $\text{GenSK}$  is run. Therefore, to be more precise, we should also allow an arbitrary (polynomial) number of executions of  $\text{GenSK}$  in between steps (1) and (2). However, we defined correctness as above for the sake of simplicity and readability. We note that our scheme satisfies the more stringent correctness (which will be obvious from construction).

**Security Definitions.** Here, we give the security definitions of an RIBE scheme  $\Pi = (\text{Setup}, \text{Encrypt}, \text{GenSK}, \text{KeyUp}, \text{GenDK}, \text{Decrypt})$ . Our default security definition captures the so-called *decryption key exposure resistance* (DKER). However, since we consider a generic transformation that converts any RIBE without DKER into the one with DKER, we also introduce security without DKER. (We will simply refer to security without DKER as *weak security*.) Furthermore, for each notion, we consider selective-identity security and adaptive-identity security, which results in four security notions in total.

We first give the formal definition of selective-identity security (with DKER) via a game between an adversary  $\mathcal{A}$  and the challenger  $\mathcal{C}$ . (The remaining security notions are derived by appropriately changing the game.) The game is parameterized by the security parameter  $\lambda$ , and has the global counter  $t_{\text{cu}}$ , initialized with 1, that denotes the “current time period” with which  $\mathcal{C}$ 's responses to  $\mathcal{A}$ 's queries are controlled. The game proceeds as follows:

At the beginning,  $\mathcal{A}$  sends the challenge identity/time period pair  $(ID^*, t^*) \in \mathcal{ID} \times \mathcal{T}$  to  $\mathcal{C}$ . Next,  $\mathcal{C}$  runs  $(PP, sk_{kgc}) \leftarrow \text{Setup}(1^\lambda)$ , and prepares a list  $\text{SKList}$  that initially contains  $(kgc, sk_{kgc})$ , and into which identity/secret key pairs  $(ID, sk_{ID})$  generated during the game will be stored. From this point on, whenever a new secret key is generated for an identity  $ID \in \mathcal{ID}$  or the secret key  $sk_{kgc}$  is updated due to the execution of  $\text{GenSK}$  or  $\text{KeyUp}$ ,  $\mathcal{C}$  will store  $(ID, sk_{ID})$  or update the corresponding entry  $(kgc, sk_{kgc})$  in  $\text{SKList}$ , and we will not explicitly mention this addition/update. Then,  $\mathcal{C}$  executes  $(ku_{kgc,1}, sk'_{kgc}) \leftarrow \text{KeyUp}(PP, t_{cu} = 1, sk_{kgc}, RL_1 = \emptyset)$  for generating the initial time period  $t_{cu} = 1$ . After that,  $\mathcal{C}$  gives  $PP$  and  $ku_1$  to  $\mathcal{A}$ .

From this point on,  $\mathcal{A}$  may adaptively make the following five types of queries to  $\mathcal{C}$ :

**Secret Key Generation Query:** Upon a query  $ID \in \mathcal{ID}$  from  $\mathcal{A}$ , where it is required that  $(ID, *) \notin \text{SKList}$ ,  $\mathcal{C}$  executes  $(sk_{ID}, sk'_{kgc}) \leftarrow \text{GenSK}(PP, sk_{kgc}, ID)$  (and returns nothing to  $\mathcal{A}$ ).

We require that all identities  $ID$  appearing in the following queries (except the challenge query) be “activated” in the sense that  $sk_{ID}$  is generated via this query and hence  $(ID, sk_{ID}) \in \text{SKList}$ .

**Secret Key Reveal Query:** Upon a query  $ID \in \mathcal{ID}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following condition is satisfied:

- If  $t_{cu} \geq t^*$  and  $ID^* \notin RL_{t^*}$ , then  $ID \neq ID^*$ .

If this condition is *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  finds  $sk_{ID}$  from  $\text{SKList}$ , and returns it to  $\mathcal{A}$ .

**Revoke & Key Update Query:** Upon a query  $RL \subseteq \mathcal{ID}$  (which denotes the set of identities that are going to be revoked in the next time period) from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following conditions are satisfied simultaneously:

- $RL_{t_{cu}} \subseteq RL$ .
- If  $t_{cu} = t^* - 1$  and  $sk_{ID^*}$  for the challenge  $ID^*$  has been revealed to  $\mathcal{A}$  via a secret key reveal query  $ID^*$ , then  $ID^* \in RL$ .

If these conditions are *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ .

Otherwise  $\mathcal{C}$  increments the current time period by  $t_{cu} \leftarrow t_{cu} + 1$ . Then,  $\mathcal{C}$  sets  $RL_{t_{cu}} \leftarrow RL$ , and runs  $(ku_{t_{cu}}, sk'_{kgc}) \leftarrow \text{KeyUp}(PP, t_{cu}, sk_{kgc}, RL_{t_{cu}})$ . Finally,  $\mathcal{C}$  returns  $ku_{t_{cu}}$  to  $\mathcal{A}$ .

**Decryption Key Reveal Query:** Upon a query  $(ID, t) \in \mathcal{ID} \times \mathcal{T}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if the following conditions are simultaneously satisfied:

- $t \leq t_{cu}$ .
- $ID \notin RL_t$ .
- $(ID, t) \neq (ID^*, t^*)$ .

If these conditions are *not* satisfied, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  finds  $sk_{ID}$  from  $\text{SKList}$ , runs  $dk_{ID,t} \leftarrow \text{GenDK}(PP, sk_{ID}, ku_t)$ , and returns  $dk_{ID,t}$  to  $\mathcal{A}$ .

**Challenge Query:**  $\mathcal{A}$  is allowed to make this query only once. Upon a query  $(M_0, M_1)$  from  $\mathcal{A}$ , where it is required that  $|M_0| = |M_1|$ ,  $\mathcal{C}$  picks the challenge bit  $b \in \{0, 1\}$  uniformly at random, runs  $ct^* \leftarrow \text{Encrypt}(PP, ID^*, t^*, M_b)$ , and returns the challenge ciphertext  $ct^*$  to  $\mathcal{A}$ .

At some point,  $\mathcal{A}$  outputs  $b' \in \{0, 1\}$  as its guess for  $b$  and terminates.

The above completes the description of the game. In this game,  $\mathcal{A}$ 's selective-security advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-se1}}(\lambda)$  is defined by  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-se1}}(\lambda) := 2 \cdot |\Pr[b' = b] - 1/2|$ .

**Definition 3.** We say that an RIBE scheme  $\Pi$  satisfies selective-identity security, if the advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-sel}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}$ .

The more desirable security notion, called *adaptive-identity* security, is defined in the same way as selective-identity security, except that in the security game the adversary  $\mathcal{A}$  chooses the pair of the challenge identity and time period  $(\text{ID}^*, t^*)$  not at the beginning of the game, but at the time it makes the challenge query. More formally, the response to the challenge query is defined differently as follows:

**Challenge Query:**  $\mathcal{A}$  is allowed to make this query only once. Upon a query  $(\text{ID}^*, t^*, M_0, M_1)$  from  $\mathcal{A}$ , where it is required that the following conditions are satisfied simultaneously:

- $|M_0| = |M_1|$ ,
- if  $t^* \leq t_{\text{cu}}$ , then  $\mathcal{A}$  has not submitted  $(\text{ID}^*, t^*)$  as a decryption key reveal query, and
- if  $\text{sk}_{\text{ID}^*}$  has been revealed to  $\mathcal{A}$ , then it is required that  $\text{ID}^* \in \text{RL}_{t^*}$ ,

$\mathcal{C}$  picks the challenge bit  $b \in \{0, 1\}$  uniformly at random, runs  $\text{ct}^* \leftarrow \text{Encrypt}(\text{PP}, \text{ID}^*, t^*, M_b)$ , and returns the challenge ciphertext  $\text{ct}^*$  to  $\mathcal{A}$ .

The adaptive-identity security advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-ad}}(\lambda)$  of the adversary  $\mathcal{A}$  is defined analogously to that for selective-identity security.

**Definition 4.** We say that an RIBE scheme  $\Pi$  satisfies adaptive-identity security, if the advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-ad}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}$ .

The weak security notions (i.e. security without DKER) are defined by changing the corresponding games so that an adversary  $\mathcal{A}$  is not allowed to make any decryption key reveal query.<sup>18</sup> We denote the weak selective-identity (resp. adaptive-identity) security advantage of the adversary  $\mathcal{A}$  by  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-sel-weak}}(\lambda)$  (resp.  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-ad-weak}}(\lambda)$ ).

**Definition 5.** We say that an RIBE scheme  $\Pi$  satisfies weak selective-identity security, if the advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{RIBE-sel-weak}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}$ .

We define weak adaptive-identity security analogously.

## A.2 2-Level Hierarchical Identity Based Encryption

In this work, we use a 2-level HIBE scheme as a building block for our security-enhancing generic transformation for RIBE, and thus we recall it here. Our definition here is customized from a typical definition of HIBE. Specifically, since we only consider 2-level HIBE, we differentiate the key generation by the KGC and by each user, and refer to the key generation algorithm for the latter as the *delegation algorithm*. Also, we consider the encryption and decryption algorithms only for level-2 users. To the best of our knowledge, all the existing HIBE scheme satisfy the modification.

**Syntax.** A 2-level HIBE scheme  $\Pi$  consists of the five algorithms (Setup, Encrypt, GenSK, Delegate, Decrypt) with the following interface:

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<sup>18</sup>In other words, if an adversary  $\mathcal{A}$  in the weak security game wants to obtain decryption keys  $\text{dk}_{\text{ID}^*, t}$  for any  $t \neq t^*$ , it should make a secret key reveal query on  $\text{ID}^*$ . Hence,  $\text{ID}^*$  will be revoked before  $t^*$ . On the other hand, an adversary  $\mathcal{A}$  in the standard security game with DKER can obtain the decryption keys  $\text{dk}_{\text{ID}^*, t}$  without revoking  $\text{ID}^*$  by  $t^*$ .

$\text{Setup}(1^\lambda) \rightarrow (\text{PP}, \text{sk}_{\text{kgc}})$  : This is the *setup* algorithm that takes the security parameter  $1^\lambda$  as input, and outputs a public parameter  $\text{PP}$  and the KGC's secret key  $\text{sk}_{\text{kgc}}$  (also called a master secret key).

We assume that the plaintext space  $\mathcal{M}$  and the element identity space  $\mathcal{ID}$  are determined only by the security parameter  $\lambda$ , and their descriptions are contained in  $\text{PP}$ .

$\text{Encrypt}(\text{PP}, \text{ID} = (\text{id}_1, \text{id}_2), \text{M}) \rightarrow \text{ct}$  : This is the *encryption* algorithm (for a level-2 user) that takes a public parameter  $\text{PP}$ , a level-2 user's identity  $\text{ID} = (\text{id}_1, \text{id}_2) \in (\mathcal{ID})^2$ , and a plaintext  $\text{M}$  as input, and outputs a ciphertext  $\text{ct}$ .

$\text{GenSK}(\text{PP}, \text{sk}_{\text{kgc}}, \text{id}_1) \rightarrow \text{sk}_{\text{id}_1}$  : This is the *secret key generation* algorithm that takes a public parameter  $\text{PP}$ , the KGC's secret key  $\text{sk}_{\text{kgc}}$ , and a first-level identity  $\text{id}_1 \in \mathcal{ID}$  as input, and outputs a secret key  $\text{sk}_{\text{id}_1}$ .

$\text{Delegate}(\text{PP}, \text{sk}_{\text{id}_1}, \text{id}_2) \rightarrow \text{sk}_{\text{id}_1, \text{id}_2}$  : This is the *delegation* algorithm that takes a public parameter  $\text{PP}$ , a secret key  $\text{sk}_{\text{id}_1}$  (of a first-level user with  $\text{id}_1 \in \mathcal{ID}$ ), and a second-level (element) identity  $\text{id}_2 \in \mathcal{ID}$  as input, and outputs a secret key  $\text{sk}_{\text{id}_1, \text{id}_2}$ .

$\text{Decrypt}(\text{PP}, \text{sk}_{\text{id}_1, \text{id}_2}, \text{ct}) \rightarrow \text{M}$  : This is the *decryption* algorithm that takes a public parameter  $\text{PP}$ , a decryption key  $\text{dk}_{\text{id}_1, \text{id}_2}$  (for a level-2 user with identity  $\text{ID} = (\text{id}_1, \text{id}_2)$ ), and a ciphertext  $\text{ct}$  as input, and outputs the decryption result  $\text{M}$ .

**Correctness.** We require the following to hold for a 2-level HIBE scheme. For all  $\lambda \in \mathbb{N}$ ,  $(\text{PP}, \text{sk}_{\text{kgc}}) \leftarrow \text{Setup}(1^\lambda)$ ,  $\text{ID} = (\text{id}_1, \text{id}_2) \in (\mathcal{ID})^2$ ,  $\text{sk}_{\text{id}_1} \leftarrow \text{GenSK}(\text{PP}, \text{sk}_{\text{kgc}}, \text{id}_1)$ ,  $\text{sk}_{\text{id}_1, \text{id}_2} \leftarrow \text{Delegate}(\text{PP}, \text{sk}_{\text{id}_1}, \text{id}_2)$ ,  $\text{M} \in \mathcal{M}$ , and  $\text{ct} \leftarrow \text{Encrypt}(\text{PP}, \text{ID}, \text{M})$ , it holds that  $\text{Decrypt}(\text{PP}, \text{sk}_{\text{id}_1, \text{id}_2}, \text{ct}) = \text{M}$ .

**Security Definition.** Here, we give the security definitions of a 2-level HIBE scheme  $\Pi = (\text{Setup}, \text{Encrypt}, \text{GenSK}, \text{Delegate}, \text{Decrypt})$ . We first give the definition of selective-identity security, which is defined via the following game between an adversary  $\mathcal{A}$  and the challenger  $\mathcal{C}$ :

At the beginning,  $\mathcal{A}$  sends the challenge identity  $\text{ID}^* = (\text{id}_1^*, \text{id}_2^*) \in (\mathcal{ID})^2$  to  $\mathcal{C}$ . Next,  $\mathcal{C}$  runs  $(\text{PP}, \text{sk}_{\text{kgc}}) \leftarrow \text{Setup}(1^\lambda)$ , and prepares a list  $\text{SKList}$  that initially contains  $(\text{kgc}, \text{sk}_{\text{kgc}})$ , and into which identity/secret key pairs  $(\text{ID}, \text{sk}_{\text{ID}})$  generated during the game will be stored. From this point on, whenever a new secret key is generated for an identity  $\text{ID} \in (\mathcal{ID})^{\leq 2}$ ,  $\mathcal{C}$  will store  $(\text{ID}, \text{sk}_{\text{ID}})$  in  $\text{SKList}$ , and we will not explicitly mention this procedure. After that,  $\mathcal{C}$  gives  $\text{PP}$  to  $\mathcal{A}$ .

From this point on,  $\mathcal{A}$  may adaptively make the following four types of queries to  $\mathcal{C}$ :

**Level-1 Secret Key Generation Query:** Upon a query  $\text{id}_1 \in \mathcal{ID}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if  $(\text{id}_1, *) \in \text{SKList}$ , and returns  $\perp$  to  $\mathcal{A}$  if this is the case. Otherwise,  $\mathcal{C}$  executes  $\text{sk}_{\text{id}_1} \leftarrow \text{GenSK}(\text{PP}, \text{sk}_{\text{kgc}}, \text{id}_1)$  (but returns nothing to  $\mathcal{A}$ ).<sup>19</sup>

**Level-1 Secret Key Reveal Query:** Upon a query  $\text{id}_1 \in \mathcal{ID}$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if  $(\text{id}_1, \text{sk}_{\text{id}_1}) \in \text{SKList}$  for some  $\text{sk}_{\text{id}_1}$  and  $\text{id}_1 \neq \text{id}_1^*$ . If this is *not* the case, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  returns  $\text{sk}_{\text{id}_1}$  to  $\mathcal{A}$ .

**Level-2 Secret Key Reveal Query:** Upon a query  $(\text{id}_1, \text{id}_2) \in (\mathcal{ID})^2$  from  $\mathcal{A}$ ,  $\mathcal{C}$  checks if  $(\text{id}_1, \text{sk}_{\text{id}_1}) \in \text{SKList}$  for some  $\text{sk}_{\text{id}_1}$ ,  $((\text{id}_1, \text{id}_2), \text{sk}_{\text{id}_1, \text{id}_2}) \notin \text{SKList}$ , and  $(\text{id}_1, \text{id}_2) \neq (\text{id}_1^*, \text{id}_2^*)$ . If this is *not* the case, then  $\mathcal{C}$  returns  $\perp$  to  $\mathcal{A}$ . Otherwise,  $\mathcal{C}$  executes  $\text{sk}_{\text{id}_1, \text{id}_2} \leftarrow \text{Delegate}(\text{PP}, \text{sk}_{\text{id}_1}, \text{id}_2)$ , and returns  $\text{sk}_{\text{id}_1, \text{id}_2}$  to  $\mathcal{A}$ .

<sup>19</sup>Note that just making this query does not return  $\text{sk}_{\text{id}_1}$  to  $\mathcal{A}$ . Revealing  $\text{sk}_{\text{id}_1}$  to  $\mathcal{A}$  is captured by the next query. This treatment is to allow  $\mathcal{A}$  to obtain level-2 secret keys of the form  $\text{sk}_{\text{id}_1^*, \text{id}_2}$  with  $\text{id}_2 \neq \text{id}_2^*$ .

**Challenge Query:**  $\mathcal{A}$  is allowed to make this query only once. Upon a query  $(M_0, M_1)$  from  $\mathcal{A}$ , where it is required that  $|M_0| = |M_1|$ ,  $\mathcal{C}$  picks the challenge bit  $b \in \{0, 1\}$  uniformly at random, runs  $\text{ct}^* \leftarrow \text{Encrypt}(\text{PP}, \text{ID}^* = (\text{id}_1^*, \text{id}_2^*), M_b)$ , and returns the challenge ciphertext  $\text{ct}^*$  to  $\mathcal{A}$ .

At some point,  $\mathcal{A}$  outputs  $b' \in \{0, 1\}$  as its guess for  $b$  and terminates.

The above completes the description of the game. In this game,  $\mathcal{A}$ 's selective-identity security advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{HIBE-sel}}(\lambda)$  is defined by  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{HIBE-sel}}(\lambda) := 2 \cdot |\Pr[b' = b] - 1/2|$ .

**Definition 6.** We say that a 2-level HIBE scheme  $\Pi$  satisfies selective-identity security, if the advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{HIBE-sel}}(\lambda)$  is negligible for all PPT adversaries.

The game for *adaptive-identity* security is defined in the same way as the selective-identity security game, except that the adversary  $\mathcal{A}$  chooses the challenge identity  $\text{ID}^* = (\text{id}_1^*, \text{id}_2^*)$  not at the beginning of the game, but at the time it makes the challenge query. More formally, the response to the challenge query is defined differently as follows:

**Challenge Query:**  $\mathcal{A}$  is allowed to make this query only once. Upon a query  $(\text{ID}^* = (\text{id}_1^*, \text{id}_2^*), M_0, M_1)$  from  $\mathcal{A}$ , where it is required that the following conditions are satisfied simultaneously:

- $|M_0| = |M_1|$ ,
- $((\text{id}_1^*, \text{id}_2^*), *) \notin \text{SKList}$ ,
- $\text{sk}_{\text{id}_1^*}$  has not been revealed to  $\mathcal{A}$ .

$\mathcal{C}$  picks the challenge bit  $b \in \{0, 1\}$  uniformly at random, runs  $\text{ct}^* \leftarrow \text{Encrypt}(\text{PP}, \text{ID}^* = (\text{id}_1^*, \text{id}_2^*), M_b)$ , and returns the challenge ciphertext  $\text{ct}^*$  to  $\mathcal{A}$ .

The adaptive-identity security advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{HIBE-ad}}(\lambda)$  of the adversary  $\mathcal{A}$  is defined analogously to that for selective-identity security.

**Definition 7.** We say that a 2-level HIBE scheme  $\Pi$  satisfies adaptive-identity security, if the advantage  $\text{Adv}_{\Pi, \mathcal{A}}^{\text{HIBE-ad}}(\lambda)$  is negligible for all PPT adversaries  $\mathcal{A}$ .

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