

# Learning Cognitive Features from Gaze Data for Sentiment and Sarcasm Classification using Convolutional Neural Network

Abhijit Mishra<sup>†</sup>, Kuntal Dey<sup>†</sup>, Pushpak Bhattacharyya<sup>\*</sup>

<sup>†</sup>IBM Research, India

<sup>\*</sup>Indian Institute of Technology Bombay, India

<sup>†</sup>{abhijimi, kuntadey}@in.ibm.com

<sup>\*</sup>pb@cse.iitb.ac.in

## Abstract

Cognitive NLP systems- *i.e.*, NLP systems that make use of behavioral data - augment traditional text-based features with cognitive features extracted from eye-movement patterns, EEG signals, brain-imaging *etc.*. Such extraction of features is typically manual. We contend that manual extraction of features may not be the best way to tackle text subtleties that characteristically prevail in complex classification tasks like *sentiment analysis* and *sarcasm detection*, and that even the extraction and choice of features should be delegated to the learning system. We introduce a framework to automatically extract cognitive features from the *eye-movement / gaze* data of human readers reading the text and use them as features along with textual features for the tasks of sentiment polarity and sarcasm detection. Our proposed framework is based on Convolutional Neural Network (CNN). The CNN *learns* features from both gaze and text and uses them to classify the input text. We test our technique on published sentiment and sarcasm labeled datasets, enriched with gaze information, to show that using a combination of automatically learned text and gaze features often yields better classification performance over (i) CNN based systems that rely on text input alone and (ii) existing systems that rely on handcrafted gaze and textual features.

## 1 Introduction

Detection of sentiment and sarcasm in user-generated short reviews is of primary importance for social media analysis, recommendation and dialog systems. Traditional sentiment analyzers and

sarcasm detectors face challenges that arise at *lexical, syntactic, semantic* and *pragmatic* levels (Liu and Zhang, 2012; Mishra et al., 2016c). Feature-based systems (Akkaya et al., 2009; Sharma and Bhattacharyya, 2013; Poria et al., 2014) can aptly handle lexical and syntactic challenges (*e.g.* learning that the word *deadly* conveys a strong positive sentiment in opinions such as *Shane Warne is a deadly bowler*, as opposed to *The high altitude Himalayan roads have deadly turns*). It is, however, extremely difficult to tackle subtleties at semantic and pragmatic levels. For example, the sentence *I really love my job. I work 40 hours a week to be this poor.* requires an NLP system to be able to understand that the opinion holder has not expressed a positive sentiment towards her / his job. In the absence of explicit clues in the text, it is difficult for automatic systems to arrive at a correct classification decision, as they often lack external knowledge about various aspects of the text being classified.

Mishra et al. (2016b) and Mishra et al. (2016c) show that NLP systems based on cognitive data (or simply, *Cognitive NLP* systems), that leverage eye-movement information obtained from human readers, can tackle the semantic and pragmatic challenges better. The hypothesis here is that human gaze activities are related to the cognitive processes in the brain that combine the “external knowledge” that the reader possesses with textual clues that she / he perceives. While incorporating behavioral information obtained from gaze-data in NLP systems is intriguing and quite plausible, especially due to the availability of low cost eye-tracking machinery (Wood and Bulling, 2014; Yamamoto et al., 2013), few methods exist for text classification, and they rely on handcrafted features extracted from gaze data (Mishra et al., 2016b,c). These systems have limited capabilities due to two reasons: (a) Manually designed gaze based features may not adequately

capture all forms of textual subtleties (b) Eye-movement data is not as intuitive to analyze as text which makes the task of designing manual features more difficult. So, in this work, **instead of handcrafting the gaze based and textual features, we try to learn feature representations from both gaze and textual data using Convolutional Neural Network (CNN)**. We test our technique on two publicly available datasets enriched with eye-movement information, used for *binary classification* tasks of sentiment polarity and sarcasm detection. Our experiments show that the automatically extracted features often help to achieve significant classification performance improvement over (a) existing systems that rely on handcrafted gaze and textual features and (b) CNN based systems that rely on text input alone. The datasets used in our experiments, resources and other relevant pointers are available at <http://www.cfilt.iitb.ac.in/cognitive-nlp>

The rest of the paper is organized as follows. Section 2 discusses the motivation behind using readers’ eye-movement data in a text classification setting. In Section 3, we argue why CNN is preferred over other available alternatives for feature extraction. The CNN architecture is proposed and discussed in Section 4. Section 5 describes our experimental setup and results are discussed in Section 6. We provide a detailed analysis of the results along with some insightful observations in Section 7. Section 8 points to relevant literature followed by Section 9 that concludes the paper.

### Terminology

A *fixation* is a relatively long stay of gaze on a visual object (such as words in text) where as a *saccade* corresponds to quick shifting of gaze between two positions of rest. Forward and backward saccades are called *progressions* and *regressions* respectively. A *scanpath* is a line graph that contains fixations as nodes and saccades as edges.

## 2 Eye-movement and Linguistic Subtleties

Presence of linguistic subtleties often induces (a) surprisal (Kutas and Hillyard, 1980; Malsburg et al., 2015), due to the underlying disparity /context incongruity or (b) higher cognitive load (Rayner and Duffy, 1986), due to the presence of lexically and syntactically complex structures. While surprisal accounts for irregular saccades (Malsburg et al., 2015), higher cognitive

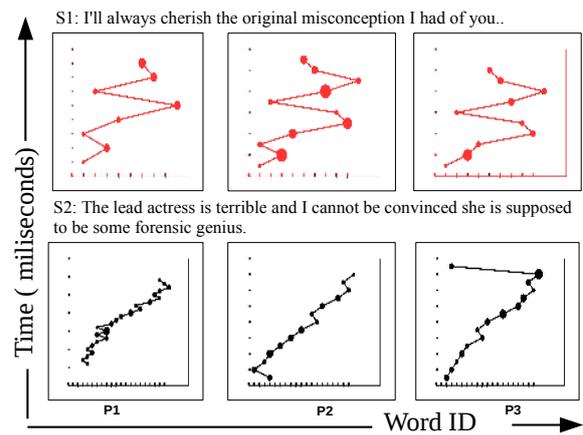


Figure 1: Scanpaths of three participants for two sentences (Mishra et al., 2016b). Sentence *S1* is sarcastic but *S2* is not. Length of the straight lines represents saccade distance and size of the circles represents fixation duration

load results in longer fixation duration (Kliegl et al., 2004).

Mishra et al. (2016b) find that presence of sarcasm in text triggers either *irregular saccadic patterns* or *unusually high duration fixations* than non-sarcastic texts (illustrated through example scanpath representations in Figure 1). For sentiment bearing texts, highly subtle eye-movement patterns are observed for semantically/pragmatically complex negative opinions (expressing irony, sarcasm, thwarted expectations, etc.) than the simple ones (Mishra et al., 2016b). The association between linguistic subtleties and eye-movement patterns could be captured through sophisticated feature engineering that considers both gaze and text inputs. In our work, CNN takes the onus of feature engineering.

## 3 Why Convolutional Neural Network?

CNNs have been quite effective in learning *filters* for image processing tasks, filters being used to transform the input image into more informative feature space (Krizhevsky et al., 2012). Filters learned at various CNN layers are quite similar to handcrafted filters used for detection of edges, contours, and removal of redundant backgrounds. We believe, a similar technique can also be applied to eye-movement data, where the learned filters will, hopefully, extract informative cognitive features. For instance, for sarcasm, we expect the network to learn filters that detect long distance saccades (refer to Figure 2 for an analogical il-

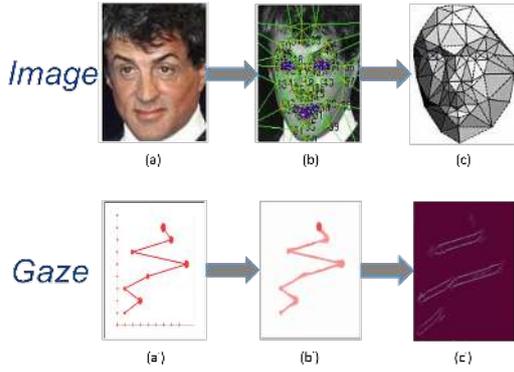


Figure 2: Illustrative analogy between CNN applied to images and scanpath representations showing why CNN can be useful for learning features from gaze patterns. Images partially taken from Taigman et al. (2014)

illustration). With more number of convolution filters of different dimensions, the network may extract multiple features related to different gaze attributes (such as fixations, progressions, regressions and skips) and will be free from any form of human bias that manually extracted features are susceptible to.

#### 4 Learning Feature Representations: The CNN Architecture

Figure 3 shows the CNN architecture with two components for processing and extracting features from text and gaze inputs. The components are explained below.

##### 4.1 Text Component

The text component is quite similar to the one proposed by Kim (2014) for sentence classification. Words (in the form of *one-hot* representation) in the input text are first replaced by their embeddings of dimension  $K$  ( $i^{th}$  word in the sentence represented by an embedding vector  $x_i \in \mathbb{R}^K$ ). As per Kim (2014), a multi-channel variant of CNN (referred to as MULTICHANNELTEXT) can be implemented by using two channels of embeddings - one that remains static throughout training (referred to as STATICTEXT), and the other one that gets updated during training (referred to as NON-STATICTEXT). We separately experiment with static, non-static and multi-channel variants.

For each possible input channel of the text component, a given text is transformed into a tensor of fixed length  $N$  (padded with *zero-tensors* wherever

necessary to tackle length variations) by concatenating the word embeddings.

$$x_{1:N} = x_1 \oplus x_2 \oplus x_3 \oplus \dots \oplus x_N \quad (1)$$

where  $\oplus$  is the concatenation operator. To extract *local features*<sup>1</sup>, convolution operation is applied. Convolution operation involves a *filter*,  $W \in \mathbb{R}^{H \times K}$ , which is convolved with a window of  $H$  embeddings to produce a local feature for the  $H$  words. A local feature,  $c_i$  is generated from a window of embeddings  $x_{i:i+H-1}$  by applying a non linear function (such as a hyperbolic tangent) over the convoluted output. Mathematically,

$$c_i = f(W \cdot x_{i:i+H-1} + b) \quad (2)$$

where  $b \in \mathbb{R}$  is the *bias* and  $f$  is the non-linear function. This operation is applied to each possible window of  $H$  words to produce a feature map (c) for the window size  $H$ .

$$c = [c_1, c_2, c_3, \dots, c_{N-H+1}] \quad (3)$$

A global feature is then obtained by applying *max pooling* operation<sup>2</sup> (Collobert et al., 2011) over the feature map. The idea behind *max-pooling* is to capture the most important feature - one with the highest value - for each feature map.

We have described the process by which one feature is extracted from one filter (red bordered portions in Figure 3 illustrate the case of  $H = 2$ ). The model uses multiple filters for each filter size to obtain multiple features representing the text. In the MULTICHANNELTEXT variant, for a window of  $H$  words, the convolution operation is separately applied on both the embedding channels. Local features learned from both the channels are concatenated before applying *max-pooling*.

##### 4.2 Gaze Component

The gaze component deals with scanpaths of multiple participants annotating the same text. Scanpaths can be pre-processed to extract two sequences<sup>3</sup> of gaze data to form separate channels of input: (1) A sequence of normalized<sup>4</sup> durations of fixations (in milliseconds) in the order in which

<sup>1</sup> features specific to a region in case of images or window of words in case of text

<sup>2</sup> *mean pooling* does not perform well.

<sup>3</sup> like text-input, gaze sequences are padded where necessary

<sup>4</sup> scaled across participants using min-max normalization to reduce subjectivity

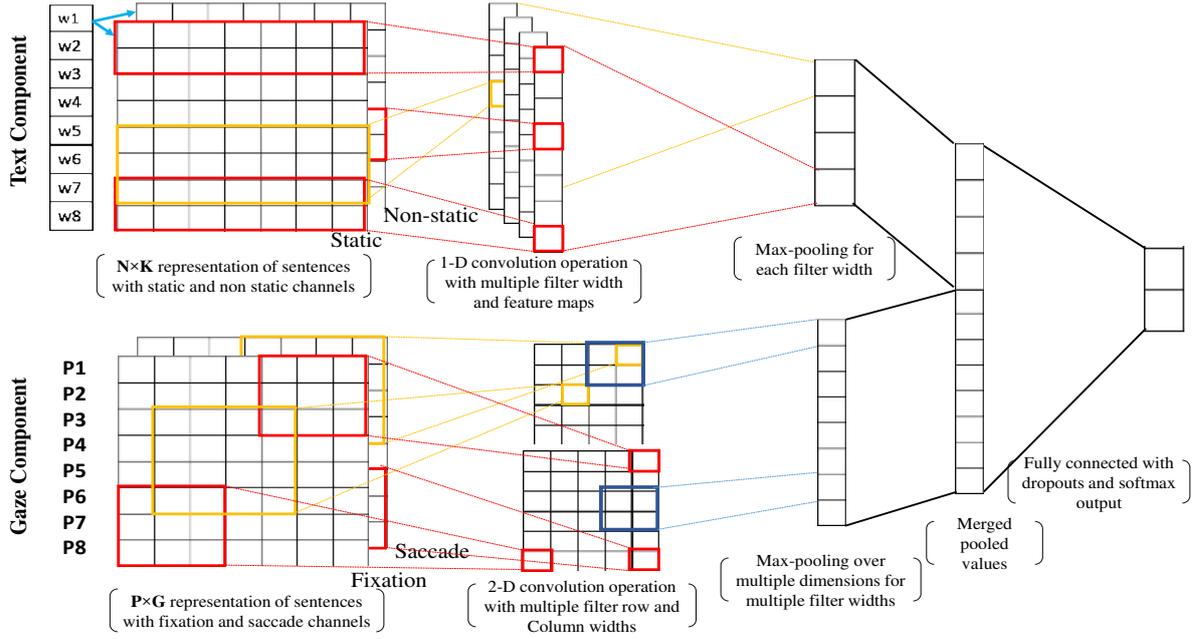


Figure 3: Deep convolutional model for feature extraction from both text and gaze inputs

they appear in the scanpath, and (2) A sequence of position of fixations (in terms of word id) in the order in which they appear in the scanpath. These channels are related to two fundamental gaze attributes such as fixation and saccade respectively. With two channels, we thus have three possible configurations of the gaze component such as (i) FIXATION, where the input is normalized fixation duration sequence, (ii) SACCADE, where the input is fixation position sequence, and (iii) MULTICHANNELGAZE, where both the inputs channels are considered.

For each possible input channel, the input is in the form of a  $P \times G$  matrix (with  $P \rightarrow$  number of participants and  $G \rightarrow$  length of the input sequence). Each element of the matrix  $g_{ij} \in \mathbb{R}$ , with  $i \in P$  and  $j \in G$ , corresponds to the  $j^{\text{th}}$  gaze attribute (either fixation duration or word id, depending on the channel) of the  $i^{\text{th}}$  participant. Now, unlike the text component, here we apply convolution operation across two dimensions *i.e.* choosing a two dimensional convolution filter  $W \in \mathbb{R}^{J \times K}$  (for simplicity, we have kept  $J = K$ , thus, making the dimension of  $W$ ,  $J^2$ ). For the dimension size of  $J^2$ , a local feature  $c_{ij}$  is computed from the window of gaze elements  $g_{ij:(i+J-1)(j+J-1)}$  by,

$$c_{ij} = f(W \cdot g_{ij:(i+J-1)(j+J-1)} + b) \quad (4)$$

where  $b \in \mathbb{R}$  is the *bias* and  $f$  is a non-linear func-

tion. This operation is applied to each possible window of size  $J^2$  to produce a feature map ( $c$ ),

$$c = [c_{11}, c_{12}, c_{13}, \dots, c_{1(G-J+1)}, \\ c_{21}, c_{22}, c_{23}, \dots, c_{2(G-J+1)}, \\ \dots, \\ c_{(P-J+1)1}, c_{(P-J+1)2}, \dots, c_{(P-J+1)(G-J+1)}] \quad (5)$$

A global feature is then obtained by applying *max pooling* operation. Unlike the text component, max-pooling operator is applied to a 2D window of local features size  $M \times N$  (for simplicity, we set  $M = N$ , denoted henceforth as  $M^2$ ). For the window of size  $M^2$ , the pooling operation on  $c$  will result in as set of global features  $\hat{c}_J = \max\{c_{ij:(i+M-1)(j+M-1)}\}$  for each possible  $i, j$ .

We have described the process by which one feature is extracted from one filter (of 2D window size  $J^2$  and the max-pooling window size of  $M^2$ ). In Figure 3, red and blue bordered portions illustrate the cases of  $J^2 = [3, 3]$  and  $M^2 = [2, 2]$  respectively. Like the text component, the gaze component also uses multiple filters for each filter size to obtain multiple features representing the gaze input. In the MULTICHANNELGAZE variant, for a 2D window of  $J^2$ , the convolution operation is separately applied on both fixation duration and saccade channels and local features learned from both the channels are concatenated before max-pooling is applied.

Once the global features are learned from both the text and gaze components, they are *merged*

and passed to a fully connected feed forward layer (with number of units set to 150) followed by a *SoftMax* layer that outputs the the probabilistic distribution over the class labels.

The gaze component of our network is not invariant of the order in which the scanpath data is given as input- *i.e.*, the  $P$  rows in the  $P \times G$  can not be shuffled, even if each row is independent from others. The only way we can think of for addressing this issue is by applying convolution operations to all  $P \times G$  matrices formed with all the permutations of  $P$ , capturing every possible ordering. Unfortunately, this makes the training process significantly less scalable, as the number of model parameters to be learned becomes huge. As of now, training and testing are carried out by keeping the order of the input constant.

## 5 Experiment Setup

We now share several details regarding our experiments below.

### 5.1 Dataset

We conduct experiments for two binary-classification tasks of sentiment and sarcasm using two publicly available datasets enriched with eye-movement information. Dataset 1 has been released by [Mishra et al. \(2016a\)](#). It contains 994 text snippets with 383 positive and 611 negative examples. Out of the 994 snippets, 350 are sarcastic. Dataset 2 has been used by [Joshi et al. \(2014\)](#) and it consists of 843 snippets comprising movie reviews and normalized tweets out of which 443 are positive, and 400 are negative. Eye-movement data of 7 and 5 readers is available for each snippet for dataset 1 and 2 respectively.

### 5.2 CNN Variants

With text component alone we have three variants such as `STATICTEXT`, `NONSTATICTEXT` and `MULTICHANNELTEXT` (refer to Section 4.1). Similarly, with gaze component we have variants such as `FIXATION`, `SACCADE` and `MULTICHANNELGAZE` (refer to Section 4.2). With both text and gaze components, 9 more variants could thus be experimented with.

### 5.3 Hyper-parameters

For text component, we experiment with filter widths ( $H$ ) of  $[3, 4]$ . For the gaze component, 2D filters ( $J^2$ ) set to  $[3 \times 3]$ ,  $[4 \times 4]$  respectively. The

max pooling 2D window,  $M^2$ , is set to  $[2 \times 2]$ . In both gaze and text components, number of filters is set to 150, resulting in 150 feature maps for each window. These model hyper-parameters are fixed by trial and error and are possibly good enough to provide a first level insight into our system. Tuning of hyper-parameters might help in improving the performance of our framework, which is on our future research agenda.

### 5.4 Regularization

For regularization *dropout* is employed both on the embedding and the penultimate layers with a constraint on  $l_2$ -norms of the weight vectors ([Hinton et al., 2012](#)). Dropout prevents co-adaptation of hidden units by randomly dropping out - *i.e.*, setting to zero - a proportion  $p$  of the hidden units during forward propagation. We set  $p$  to 0.25.

### 5.5 Training

We use `ADADELTA` optimizer ([Zeiler, 2012](#)), with a learning rate of 0.1. The input batch size is set to 32 and number of training iterations (epochs) is set to 200. 10% of the training data is used for validation.

### 5.6 Use of Pre-trained Embeddings:

Initializing the embedding layer with of pre-trained embeddings can be more effective than random initialization ([Kim, 2014](#)). In our experiments, we have used embeddings learned using the *movie reviews with one sentence per review* dataset ([Pang and Lee, 2005](#)). It is worth noting that, for a small dataset like ours, using a small data-set like the one from ([Pang and Lee, 2005](#)) helps in reducing the number model parameters resulting in faster learning of embeddings. The results are also quite close to the ones obtained using *word2vec* facilitated by [Mikolov et al. \(2013\)](#).

### 5.7 Comparison with Existing Work

For sentiment analysis, we compare our systems's accuracy (for both datasets 1 and 2) with [Mishra et al. \(2016c\)](#)'s systems that rely on handcrafted text and gaze features. For sarcasm detection, we compare [Mishra et al. \(2016b\)](#)'s sarcasm classifier with ours using dataset 1 (with available gold standard labels for sarcasm). We follow the same 10-fold train-test configuration as these existing works for consistency.

Configuration		Dataset1			Dataset2		
		P	R	F	P	R	F
Traditional systems based on textual features	Näive Bayes	63.0	59.4	61.14	50.7	50.1	50.39
	Multi-layered Perceptron	69.0	69.2	69.2	66.8	66.8	66.8
	SVM (Linear Kernel)	72.8	73.2	72.6	70.3	70.3	70.3
Systems by Mishra et al. (2016c)	Gaze based (Best)	61.8	58.4	60.05	53.6	54.0	53.3
	Text + Gaze (Best)	<b>73.3</b>	<b>73.6</b>	<b>73.5</b>	<b>71.9</b>	<b>71.8</b>	<b>71.8</b>
CNN with only text input (Kim, 2014)	STATICTEXT	63.85	61.26	62.22	55.46	55.02	55.24
	NONSTATICTEXT	72.78	71.93	72.35	60.51	59.79	60.14
	MULTICHANNELTEXT	72.17	70.91	71.53	60.51	59.66	60.08
CNN with only gaze Input	FIXATION	60.79	58.34	59.54	53.95	50.29	52.06
	SACCADE	64.19	60.56	62.32	51.6	50.65	51.12
	MULTICHANNELGAZE	65.2	60.35	62.68	52.52	51.49	52
CNN with both text and gaze Input	STATICTEXT + FIXATION	61.52	60.86	61.19	54.61	54.32	54.46
	STATICTEXT + SACCADE	65.99	63.49	64.71	58.39	56.09	57.21
	STATICTEXT + MULTICHANNELGAZE	65.79	62.89	64.31	58.19	55.39	56.75
	NONSTATICTEXT + FIXATION	73.01	70.81	71.9	61.45	59.78	60.60
	NONSTATICTEXT + SACCADE	77.56	73.34	75.4	<b>65.13</b>	<b>61.08</b>	<b>63.04</b>
	NONSTATICTEXT + MULTICHANNELGAZE	<b>79.89</b>	<b>74.86</b>	<b>77.3</b>	63.93	60.13	62
	MULTICHANNELTEXT + FIXATION	74.44	72.31	73.36	60.72	58.47	59.57
	MULTICHANNELTEXT + SACCADE	<b>78.75</b>	<b>73.94</b>	<b>76.26</b>	63.7	60.47	62.04
	MULTICHANNELTEXT + MULTICHANNELGAZE	<b>78.38</b>	<b>74.23</b>	<b>76.24</b>	64.29	61.08	62.64

Table 1: Results for different traditional feature based systems and CNN model variants for the task of sentiment analysis. Abbreviations (P,R,F)→ Precision, Recall, F-score. SVM→Support Vector Machine

## 6 Results

In this section, we discuss the results for different model variants for sentiment polarity and sarcasm detection tasks.

### 6.1 Results for Sentiment Analysis Task

Table 1 presents results for sentiment analysis task. For dataset 1, different variants of our CNN architecture outperform the best systems reported by Mishra et al. (2016c), with a maximum F-score improvement of 3.8%. This improvement is statistically significant of  $p < 0.05$  as confirmed by McNemar test. Moreover, we observe an F-score improvement of around 5% for CNNs with both gaze and text components as compared to CNNs with only text components (similar to the system by Kim (2014)), which is also statistically significant (with  $p < 0.05$ ).

For dataset 2, CNN based approaches do not perform better than manual feature based approaches. However, variants with both text and gaze components outperform the ones with only text component (Kim, 2014), with a maximum F-score improvement of 2.9%. We observe that for dataset 2, training accuracy reaches 100 within 25 epochs with validation accuracy stable around 50%, indicating the possibility of overfitting. Tuning the regularization parameters specific to dataset 2 may help here. Even though CNN might

not be proving to be a choice as good as hand-crafted features for dataset 2, the bottom line remains that incorporation of gaze data into CNN consistently improves the performance over only-text-based CNN variants.

### 6.2 Results for Sarcasm Detection Task

For sarcasm detection, our CNN model variants outperform traditional systems by a maximum margin of 11.27% (Table 2). However, the improvement by adding the gaze component to the CNN network is just 1.34%, which is statistically insignificant over CNN with text component. While inspecting the sarcasm dataset, we observe a clear difference between the vocabulary of sarcasm and non-sarcasm classes in our dataset. This, perhaps, was captured well by the text component, especially the variant with only non-static embeddings.

## 7 Discussion

In this section, some important observations from our experiments are discussed.

### 7.1 Effect of Embedding Dimension Variation

Embedding dimension has proven to have a deep impact on the performance of neural systems (dos Santos and Gatti, 2014; Collobert et al., 2011).

	Configuration	P	R	F
Traditional systems based on textual features	Näive Bayes	69.1	60.1	60.5
	Multi-layered Perceptron	69.7	70.4	69.9
	SVM (Linear Kernel)	72.1	71.9	72
Systems by Riloff et al. (2013)	Text based (Ordered)	49	46	47
	Text + Gaze (Unordered)	46	41	42
System by Joshi et al. (2015)	Text based (best)	70.7	69.8	64.2
Systems by Mishra et al. (2016b)	Gaze based (Best)	73	73.8	73.1
	Text based (Best)	72.1	71.9	72
	Text + Gaze (Best)	76.5	75.3	75.7
CNN with only text input (Kim, 2014)	STATICTEXT	67.17	66.38	66.77
	NONSTATICTEXT	84.19	<b>87.03</b>	85.59
	MULTICHANNELTEXT	84.28	<b>87.03</b>	85.63
CNN with only gaze input	FIXATION	74.39	69.62	71.93
	SACCADE	68.58	68.23	68.40
	MULTICHANNELGAZE	67.93	67.72	67.82
CNN with both text and gaze Input	STATICTEXT + FIXATION	72.38	71.93	72.15
	STATICTEXT + SACCADE	73.12	72.14	72.63
	STATICTEXT + MULTICHANNELGAZE	71.41	71.03	71.22
	NONSTATICTEXT + FIXATION	<b>87.42</b>	85.2	86.30
	NONSTATICTEXT + SACCADE	84.84	82.68	83.75
	NONSTATICTEXT + MULTICHANNELGAZE	84.98	82.79	83.87
	MULTICHANNELTEXT + FIXATION	87.03	86.92	<b>86.97</b>
	MULTICHANNELTEXT + SACCADE	81.98	81.08	81.53
	MULTICHANNELTEXT + MULTICHANNELGAZE	83.11	81.69	82.39

Table 2: Results for different traditional feature based systems and CNN model variants for the task of sarcasm detection on dataset 1. Abbreviations (P,R,F)→ Precision, Recall, F-score

We repeated our experiments by varying the embedding dimensions in the range of [50-300]<sup>5</sup> and observed that reducing embedding dimension improves the F-scores by a little margin. Small embedding dimensions are probably reducing the chances of over-fitting when the data size is small. We also observe that for different embedding dimensions, performance of CNN with both gaze and text components is consistently better than that with only text component.

## 7.2 Effect of Static / Non-static Text Channels

Non-static embedding channel has a major role in tuning embeddings for sentiment analysis by bringing adjectives expressing similar sentiment close to each other (*e.g. good and nice*), where as static channel seems to prevent over-tuning of embeddings (over-tuning often brings verbs like *love* closer to the pronoun *I* in embedding space, purely due to higher co-occurrence of these two words in sarcastic examples).

## 7.3 Effect of Fixation / Saccade Channels

For sentiment detection, saccade channel seems to be handing text having semantic incongruity (due

<sup>5</sup>a standard range (Liu et al., 2015; Melamud et al., 2016)

to the presence of irony / sarcasm) better. Fixation channel does not help much, may be because of higher variance in fixation duration. For sarcasm detection, fixation and saccade channels perform with similar accuracy when employed separately. Accuracy reduces with gaze multichannel, may be because of higher variation of both fixations and saccades across sarcastic and non-sarcastic classes, as opposed to sentiment classes.

## 7.4 Effectiveness of the CNN-learned Features

To examine how good the features learned by the CNN are, we analyzed the features for a few example cases. Figure 4 presents some of the example test cases for the task of sarcasm detection. Example 1 contains sarcasm while examples 2, 3 and 4 are non-sarcastic. To see if there is any difference in the automatically learned features between text-only and combined text and gaze variants, we examine the feature vector (of dimension 150) for the examples obtained from different model variants. Output of the hidden layer after *merge* layer is considered as features learned by the network. We plot the features, in the form of color-bars, following Li et al. (2016) - denser col-



Figure 4: Visualization of representations learned by two variants of the network for sarcasm detection task. The output of the *Merge* layer (of dimension 150) are plotted in the form of colour-bars. Plots with thick red borders correspond to wrongly predicted examples.

ors representing feature with higher magnitude. In Figure 4, we show only two representative model variants *viz.*, MULTICHANNELTEXT and MULTICHANNELTEXT+ MULTICHANNELGAZE. As one can see, addition of gaze information helps to generate features with more subtle differences (marked by blue rectangular boxes) for sarcastic and non-sarcastic texts. It is also interesting to note that in the marked region, features for the sarcastic texts exhibit more intensity than the non-sarcastic ones - perhaps capturing the notion that *sarcasm typically conveys an intensified negative opinion*. This difference is not clear in feature vectors learned by text-only systems for instances like example 2, which has been incorrectly classified by MULTICHANNELTEXT. Example 4 is incorrectly classified by both the systems, perhaps due to lack of context. In cases like this, addition of gaze information does not help much in learning more distinctive features, as it becomes difficult for even humans to classify such texts.

## 8 Related Work

Sentiment and sarcasm classification are two important problems in NLP and have been the focus of research for many communities for quite some time. Popular sentiment and sarcasm detection systems are feature based and are based on unigrams, bigrams etc. (Dave et al., 2003; Ng et al., 2006), syntactic properties (Martineau and Finin, 2009; Nakagawa et al., 2010), semantic properties (Balamurali et al., 2011). For sarcasm detection, supervised approaches rely on (a) Unigrams and Pragmatic features (González-Ibáñez et al., 2011; Barbieri et al., 2014; Joshi et al., 2015) (b) Stylistic patterns (Davidov et al., 2010) and patterns related to *situational disparity* (Riloff et al., 2013) and (c) Hastag interpretations (Liebrecht et al., 2013; Maynard and Greenwood, 2014). Recent systems are based on variants of deep neural network built on the top of embeddings. A few representative works in this direction for sentiment analysis are based on CNNs (dos Santos and Gatti, 2014; Kim, 2014; Tang et al., 2014), RNNs (Dong et al., 2014; Liu et al., 2015) and combined archi-

ecture (Wang et al., 2016). Few works exist on using deep neural networks for sarcasm detection, one of which is by (Ghosh and Veale, 2016) that uses a combination of RNNs and CNNs.

Eye-tracking technology is a relatively new NLP, with very few systems directly making use of gaze data in prediction frameworks. Klerke et al. (2016) present a novel multi-task learning approach for sentence compression using labeled data, while, Barrett and Søgaaard (2015) discriminate between grammatical functions using gaze features. The closest works to ours are by Mishra et al. (2016b) and Mishra et al. (2016c) that introduce feature engineering based on both gaze and text data for sentiment and sarcasm detection tasks. These recent advancements motivate us to explore the cognitive NLP paradigm.

## 9 Conclusion and Future Directions

In this work, we proposed a multimodal ensemble of features, automatically learned using variants of CNNs from text and readers' eye-movement data, for the tasks of sentiment and sarcasm classification. On multiple published datasets for which gaze information is available, our systems could often achieve significant performance improvements over (a) systems that rely on handcrafted gaze and textual features and (b) CNN based systems that rely on text input alone. An analysis of the learned features confirms that the combination of automatically learned features is indeed capable of representing deep linguistic subtleties in text that pose challenges to sentiment and sarcasm classifiers. Our future agenda includes: (a) optimizing the CNN framework hyper-parameters (e.g., filter width, dropout, embedding dimensions, etc.) to obtain better results, (b) exploring the applicability of our technique for document-level sentiment analysis and (c) applying our framework to related problems, such as emotion analysis, text summarization, and question-answering, where considering textual clues alone may not prove to be sufficient.

## Acknowledgments

We thank Anoop Kunchukuttan, Joe Cheri Ross, and Sachin Pawar, research scholars of the Center for Indian Language Technology (CFILT), IIT Bombay for their valuable inputs.

## References

- Cem Akkaya, Janyce Wiebe, and Rada Mihalcea. 2009. Subjectivity word sense disambiguation. In *Proceedings of the 2009 Conference on Empirical Methods in Natural Language Processing: Volume 1-Volume 1*. ACL, pages 190–199.
- AR Balamurali, Aditya Joshi, and Pushpak Bhat-tacharyya. 2011. Harnessing wordnet senses for supervised sentiment classification. In *Proceedings of the Conference on Empirical Methods in Natural Language Processing*. pages 1081–1091.
- Francesco Barbieri, Horacio Saggion, and Francesco Ronzano. 2014. Modelling sarcasm in twitter, a novel approach. *ACL 2014* page 50.
- Maria Barrett and Anders Søgaaard. 2015. Using reading behavior to predict grammatical functions. In *Proceedings of the Sixth Workshop on Cognitive Aspects of Computational Language Learning*. Association for Computational Linguistics, Lisbon, Portugal, pages 1–5.
- Ronan Collobert, Jason Weston, Léon Bottou, Michael Karlen, Koray Kavukcuoglu, and Pavel Kuksa. 2011. Natural language processing (almost) from scratch. *Journal of Machine Learning Research* 12(Aug):2493–2537.
- Kushal Dave, Steve Lawrence, and David M Pennock. 2003. Mining the peanut gallery: Opinion extraction and semantic classification of product reviews. In *Proceedings of the 12th international conference on World Wide Web*. ACM, pages 519–528.
- Dmitry Davidov, Oren Tsur, and Ari Rappoport. 2010. Semi-supervised recognition of sarcastic sentences in twitter and amazon. In *Proceedings of the Fourteenth Conference on Computational Natural Language Learning*. Association for Computational Linguistics, pages 107–116.
- Li Dong, Furu Wei, Chuanqi Tan, Duyu Tang, Ming Zhou, and Ke Xu. 2014. Adaptive recursive neural network for target-dependent twitter sentiment classification. In *ACL (2)*. pages 49–54.
- Cícero Nogueira dos Santos and Maira Gatti. 2014. Deep convolutional neural networks for sentiment analysis of short texts. In *Proceedings of COLING*.
- Aniruddha Ghosh and Tony Veale. 2016. Fracking sarcasm using neural network. In *Proceedings of NAACL-HLT*. pages 161–169.
- Roberto González-Ibáñez, Smaranda Muresan, and Nina Wacholder. 2011. Identifying sarcasm in twitter: a closer look. In *Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies: short papers-Volume 2*. Association for Computational Linguistics, pages 581–586.

- Geoffrey E Hinton, Nitish Srivastava, Alex Krizhevsky, Ilya Sutskever, and Ruslan R Salakhutdinov. 2012. Improving neural networks by preventing co-adaptation of feature detectors. *arXiv preprint arXiv:1207.0580*.
- Aditya Joshi, Abhijit Mishra, Nivvedan Senthamilselvan, and Pushpak Bhattacharyya. 2014. Measuring sentiment annotation complexity of text. In *ACL (Daniel Marcu 22 June 2014 to 27 June 2014)*. ACL.
- Aditya Joshi, Vinita Sharma, and Pushpak Bhattacharyya. 2015. Harnessing context incongruity for sarcasm detection. *Proceedings of 53rd Annual Meeting of the Association for Computational Linguistics, Beijing, China* page 757.
- Yoon Kim. 2014. Convolutional neural networks for sentence classification. In *Proceedings of the 2014 Conference on Empirical Methods in Natural Language Processing (EMNLP)*. Association for Computational Linguistics, Doha, Qatar, pages 1746–1751.
- Sigrid Klerke, Yoav Goldberg, and Anders Søgaard. 2016. Improving sentence compression by learning to predict gaze. *arXiv preprint arXiv:1604.03357*.
- Reinhold Kliegl, Ellen Grabner, Martin Rolfs, and Ralf Engbert. 2004. Length, frequency, and predictability effects of words on eye movements in reading. *European Journal of Cognitive Psychology* 16(1-2):262–284.
- Alex Krizhevsky, Ilya Sutskever, and Geoffrey E Hinton. 2012. Imagenet classification with deep convolutional neural networks. In *Advances in neural information processing systems*. pages 1097–1105.
- Marta Kutas and Steven A Hillyard. 1980. Reading senseless sentences: Brain potentials reflect semantic incongruity. *Science* 207(4427):203–205.
- Jiwei Li, Xinlei Chen, Eduard Hovy, and Dan Jurafsky. 2016. Visualizing and understanding neural models in nlp. In *Proceedings of NAACL-HLT*. pages 681–691.
- Christine Liebrecht, Florian Kunneman, and Antal van den Bosch. 2013. The perfect solution for detecting sarcasm in tweets# not. *WASSA 2013* page 29.
- Bing Liu and Lei Zhang. 2012. A survey of opinion mining and sentiment analysis. In *Mining text data*, Springer, pages 415–463.
- Pengfei Liu, Shafiq R Joty, and Helen M Meng. 2015. Fine-grained opinion mining with recurrent neural networks and word embeddings. In *EMNLP*. pages 1433–1443.
- Titus Malsburg, Reinhold Kliegl, and Shravan Vasishth. 2015. Determinants of scanpath regularity in reading. *Cognitive science* 39(7):1675–1703.
- Justin Martineau and Tim Finin. 2009. Delta tfidf: An improved feature space for sentiment analysis. *ICWSM* 9:106.
- Diana Maynard and Mark A Greenwood. 2014. Who cares about sarcastic tweets? investigating the impact of sarcasm on sentiment analysis. In *Proceedings of LREC*.
- Oren Melamud, David McClosky, Siddharth Patwardhan, and Mohit Bansal. 2016. The role of context types and dimensionality in learning word embeddings. In *NAACL HLT 2016*. pages 1030–1040.
- Tomas Mikolov, Wen-tau Yih, and Geoffrey Zweig. 2013. Linguistic regularities in continuous space word representations. In *HLT-NAACL*. volume 13, pages 746–751.
- Abhijit Mishra, Diptesh Kanojia, and Pushpak Bhattacharyya. 2016a. Predicting readers’ sarcasm understandability by modeling gaze behavior. In *Proceedings of AAIL*.
- Abhijit Mishra, Diptesh Kanojia, Seema Nagar, Kuntal Dey, and Pushpak Bhattacharyya. 2016b. Harnessing cognitive features for sarcasm detection. *ACL 2016* page 156.
- Abhijit Mishra, Diptesh Kanojia, Seema Nagar, Kuntal Dey, and Pushpak Bhattacharyya. 2016c. Leveraging cognitive features for sentiment analysis. *CoNLL 2016* page 156.
- Tetsuji Nakagawa, Kentaro Inui, and Sadao Kurohashi. 2010. Dependency tree-based sentiment classification using crfs with hidden variables. In *NAACL-HLT*. Association for Computational Linguistics, pages 786–794.
- Vincent Ng, Sajib Dasgupta, and SM Arifin. 2006. Examining the role of linguistic knowledge sources in the automatic identification and classification of reviews. In *Proceedings of the COLING/ACL on Main conference poster sessions*. Association for Computational Linguistics, pages 611–618.
- Bo Pang and Lillian Lee. 2005. Seeing stars: Exploiting class relationships for sentiment categorization with respect to rating scales. In *Proceedings of the 43rd Annual Meeting on Association for Computational Linguistics*. Association for Computational Linguistics, pages 115–124.
- Soujanya Poria, Erik Cambria, Gregoire Winterstein, and Guang-Bin Huang. 2014. Sentic patterns: Dependency-based rules for concept-level sentiment analysis. *Knowledge-Based Systems* 69:45–63.
- Keith Rayner and Susan A Duffy. 1986. Lexical complexity and fixation times in reading: Effects of word frequency, verb complexity, and lexical ambiguity. *Memory & Cognition* 14(3):191–201.

- Ellen Riloff, Ashequl Qadir, Prafulla Surve, Lalindra De Silva, Nathan Gilbert, and Ruihong Huang. 2013. Sarcasm as contrast between a positive sentiment and negative situation. In *Proceedings of Empirical Methods in Natural Language Processing*, pages 704–714.
- Raksha Sharma and Pushpak Bhattacharyya. 2013. Detecting domain dedicated polar words. In *Proceedings of the International Joint Conference on Natural Language Processing*.
- Yaniv Taigman, Ming Yang, Marc’Aurelio Ranzato, and Lior Wolf. 2014. Deepface: Closing the gap to human-level performance in face verification. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 1701–1708.
- Duyu Tang, Furu Wei, Bing Qin, Ting Liu, and Ming Zhou. 2014. Coooolll: A deep learning system for twitter sentiment classification. In *Proceedings of the 8th International Workshop on Semantic Evaluation (SemEval 2014)*, pages 208–212.
- Jin Wang, Liang-Chih Yu, K. Robert Lai, and Xuejie Zhang. 2016. Dimensional sentiment analysis using a regional cnn-lstm model. In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (Volume 2: Short Papers)*. Association for Computational Linguistics, Berlin, Germany, pages 225–230.
- Erroll Wood and Andreas Bulling. 2014. Eyetab: Model-based gaze estimation on unmodified tablet computers. In *Proceedings of the Symposium on Eye Tracking Research and Applications*. ACM, pages 207–210.
- Michiya Yamamoto, Hironobu Nakagawa, Koichi Egawa, and Takashi Nagamatsu. 2013. Development of a mobile tablet pc with gaze-tracking function. In *Human Interface and the Management of Information. Information and Interaction for Health, Safety, Mobility and Complex Environments*, Springer, pages 421–429.
- Matthew D Zeiler. 2012. Adadelta: an adaptive learning rate method. *arXiv preprint arXiv:1212.5701* .