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## 1 Learning from the past and considering the future of chemicals in the

## 2 environment

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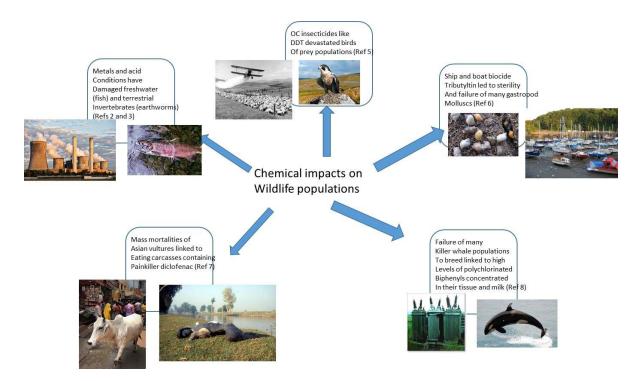
## 15 ABSTRACT

- 16 Knowledge of the hazards and associated risks from chemicals we discharge to the
- 17 environment has grown considerably over the past 40 years. This improving situation stems
- 18 from advances in our ability to measure chemicals at low environmental concentrations,
- 19 recognition of a range of effects on organisms, and a worldwide growth in expertise.
- 20 Environmental scientists and companies have learnt from the experiences of the past and in
- 21 theory the next generation of chemicals will cause less acute toxicity, be less persistent and
- 22 bioaccumulative. However, we still struggle to establish if the non-lethal effects associated
- 23 with some modern chemicals and substances will have serious consequences for wildlife. It
- remains a challenge to obtain the resources appropriate to the magnitude of chemical
- challenges that lie ahead.

## 26 Past and present examples

- 27 Synthetic chemicals have provided dramatic improvements in food production and living
- standards (1). Although there are concerns over many hundreds of chemicals in the
- environment, there are only a few, albeit very important, examples of chemicals actually
- 30 harming wildlife populations (Fig. 1). These examples showed us that hydrophobic
- 31 (lipophilic) chemicals could both persist in the environment but also bioconcentrate, meaning
- 32 the highest exposures would manifest themselves in long-lived top-predators. We also learnt
- that tests of acute toxicity on a necessarily limited range of laboratory-friendly species were

- not predictive for all species and effects so that more chronic tests on a wider range of
- 35 organisms were needed. Knowledge gained from such disasters should make the use of
- 36 chemicals increasingly safer. However, our past failures suggest we must be prepared for
- 37 more surprises in future.



38

Figure 1. Classic examples of where chemicals actually have had or are having population
level effects (2-6) (7) (8)

41

## 42 The proportion of chemicals for which we have adequate environmental information

The number of chemicals and substances on the market in places like the US and Europe, 43 44 where data are accessible, is believed to be in the region of 75,000 to 140,000 (9, 10). Yet it is estimated we only have empirical data on persistence available for 0.2%, bioconcentration 45 data for 1% and aquatic toxicity for 11% of chemicals registered in the EU (11, 12) and 46 there is a similar message from the US (9). In the absence of such hard information for the 47 majority of chemicals, some help on the risks we face can come from computational 48 predictive methods (9, 11). Nevertheless, the task is complicated by the formation of 49 50 breakdown products in the environment for which we have less or no information. An additional challenge to our efforts to assess risk from these many chemicals entering the 51

- 52 environment is the potential for mixture effects. This may lead to higher impacts on
- 53 organisms than would have been predicted on the basis of individual chemical based risk
- 54 assessments (13). Today's research funding model tends to encourage widening and
- 55 deepening studies on the current chemical, or group of chemicals, perceived to be of most
- 56 concern, rather than supporting research on a higher proportion of the chemicals being
- 57 discharged and considered potentially problematic (14).

## 58 Chemical risks are not equal and nor is exposure

- 59 Given the vast array of chemicals contaminating our natural environment, which are
- 60 deserving of our greatest attention? For example, the risk of copper harming wildlife is
- 61 reported to be 5-orders of magnitude higher than the drug atenolol (15) when comparing
- 62 median exposure with median toxicity values for rivers in the United Kingdom. In other
- 63 words, atenolol represented only 0.001% of the copper problem. In fact, metals dominated
- 64 the top ten of 71 chemicals of concern studied in the United Kingdom (15) (Fig. 2) and are
- 65 similarly highly ranked in China (16).
- 66 Chemical exposure from wastewater is not evenly spread around the world. This can be
- 67 expressed as the extent to which the wastewater generated by an individual will be diluted by
- the natural river flow (17). Depending on landmass, population size and rainfall, some
- 69 countries will face constant and widespread elevated exposure to chemicals in wastewater
- 70 and others much less so (Fig. 3).

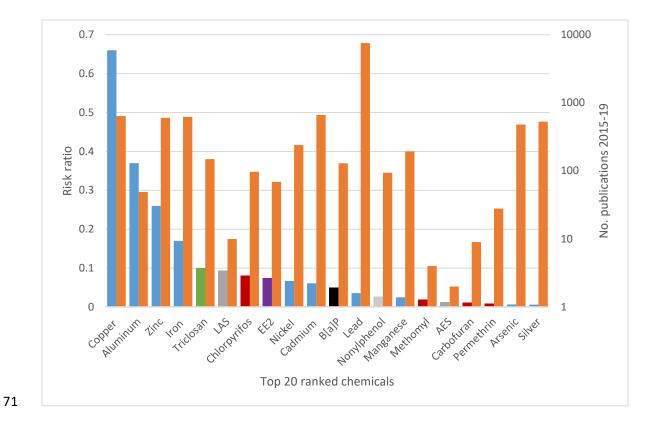


Figure 2 – The highest ranked 20 chemicals from a pool of 71 common chemicals found in British Rivers ranked on the basis of the ratio of median river concentration vs the 5<sup>th</sup> percentile of aquatic ecotoxicity data. Data from Johnson et al 2017 (*15*). Also shown, as orange bars, are the number of publications found on Web of Science in September 2019 under the search chemical AND environment AND risk for the period 2015-2019 for the same chemicals. Note LAS is linear alkylbenzene sulfonates, EE2 is ethinylestradiol, B[a]P is benzo[a]pyrene and AES is alcohol ethoxysulfates.

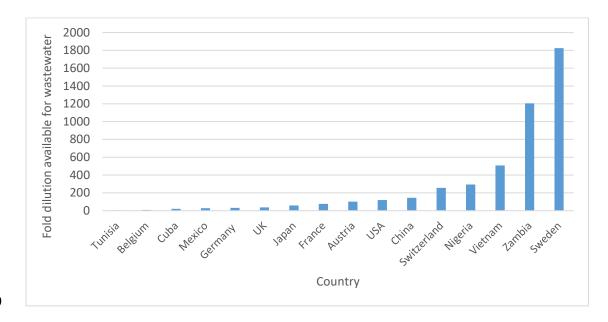


Figure 3. A few examples of the relative dilution of an individual's wastewater based on the
median annual natural flow in their rivers for different countries. Data from (*17*)

### 82 New chemicals and new places of concern

83 There is an extraordinary diversity of services that modern society expects chemicals to 84 supply. Examples include medicines, flame retardants and pesticides. We now recognise that the very properties that can make them work well for us can at the same time be 85 deleterious for the wider environment. As medical knowledge grows, the expectation for 86 new pharmaceutical-based treatments for diverse health conditions will continue. A current 87 example is the clear incentive for drug companies to devise more effective compounds to 88 treat a range of age-related conditions (18). Ethinylestradiol has been a very effective oral-89 contraceptive, but the combination of its potency and persistence made it an important 90 contributor to endocrine disruption in wild fish downstream of wastewater effluent (19). We 91 now know that if some of the new pharmaceuticals act as agonists or antagonists on the 92 93 endocrine system, then the estrogen-based disruption story might be repeated with our fellow vertebrate, the fish (20). Following problems with the persistence and toxicity of 94 polybrominated diphenyl ethers, the range of replacement candidate flame retardants are now 95 96 much wider, including non-halogenated organic or metal compounds with phosphate groups, hydroxide or stannate groups (21). Concerns over pesticide mobility, non-target toxicity and 97 98 persistence have drastically reduced the number of products for sale. The approaches of tomorrow are likely to be more precisely pest-targeted including RNA interference, 99 100 pheromones and sterility. These new flame retardants and insecticides should be much safer, 101 but we must be alert to surprises. Neonicotinoid use (an insect-specific post-synaptic agonist) 102 had been considered sustainable, but now it is not (22).

The modern economy has been transformed by globalization. For chemicals this has meant 103 the transfer of much chemical production to Asia (23), where chemical sales are 168% of the 104 105 US and Europe combined (Fig. 4). However, in some cases weak regulation or uneven local 106 enforcement has led to examples of severe pollution hotspots. Examples include atmospheric contamination with chlorofluorocarbons (CFCs) coming from the Shandong and Hebei 107 108 provinces of China (24), gross perfluorooctanoic acid (PFOA) pollution from a vast Chinese 109 manufacturing site (25) and antibiotics from a manufacturing plant in India (26). However, it must be recognised that successful management of industrial waste and indeed pollution is far 110 from straightforward. It is one thing to set water quality targets, but these can only work 111

- where there is a clearly independent regulator taking consistent high quality measurements
- 113 with an independent judiciary to apply to, both supported by local and national governments.
- 114 The degree to which environmental protection is improved by centralisation or when it is
- devolved to local administrations is debatable (27). In the case of local governance in China,
- there is evidence for uneven application of regulations (28, 29). Protection is also boosted by
- a national commitment to transparency, in which the scrutiny by the public, environmental
- 118 non-governmental organisations and journalists are accepted. This is not a given throughout
- 119 the world (*30*, *31*).

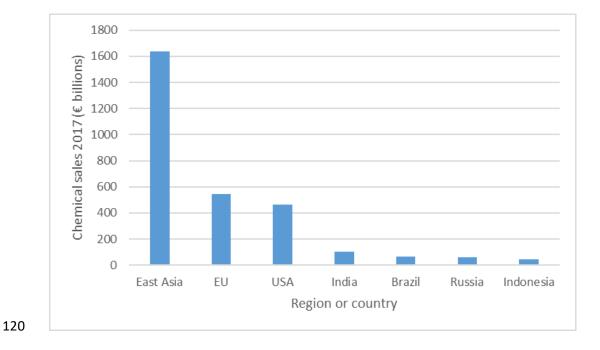


Figure 4. The level of chemical sales in 2017. East Asia includes China, Japan and SouthKorea (23)

## 123 Reasons for optimism

## 124 **1.** Progress in regulation and management of chemicals in the environment

Chemical regulations in the 1960s and 70s concentrated on remediating past pollution and
controlling the emission of a limited number of pollutants. This became forward-looking so
that new chemicals wishing to enter the market should conform to minimum human safety
and environmental standards. Examples would be the "Toxic Substances Control Act"
(TSCA) in 1976 (Public Law 94-469) in the US and Registration, Evaluation, Authorisation
and Restriction of Chemicals (REACH) (EC 19072006) in the EU. Given the many chemicals

- that entered the market before these laws were enacted, a retrospective authorization process 131 is trying to catch up. Whilst not perfect, the establishment of regulations like TSCA and 132 REACH set an important precedent that the onus to demonstrate a chemical was safe for 133 humans and the environment should lie with the manufacturer. The phrase in Europe is 'no 134 data, no market' (32). 135
- 136

#### 2. Analytical developments, knowledge of undesirable chemical characteristics and alternatives to animal testing 137

Developments in analytical chemistry continue to drive down limits of detection. The 138 opportunity is now also arising to search for and tentatively identify all the molecules present, 139 known and unknown, with non-targeted screening methods (NTS) (33). Recent examples 140 where NTS has opened a window include revealing the range of compounds found in urban 141 runoff (34), to being able to fingerprint unusual pollution incidents and to identify the 142 industrial premises responsible (35). A recent intriguing development is acquiring historic 143 144 analytical raw data from previous studies for the retrospective analysis for "new" pollutants 145 that were not originally targeted (36). These new approaches will help to make the environment more transparent with respect to chemical contaminants. 146

147 There is now much shared knowledge on the undesirability of properties such as hydrophobicity and persistence in chemicals that we intend to discharge to the environment. In 148 the consumer goods industry, recognition of poor biodegradability has now led to replacement 149 of branched alkylbenzene suphonates by linear forms, the replacement of long chain dialkyl 150 quaternary surfactants by ester-based quaternaries, nonylphenol ethoxylates (also having toxic 151 concerns) by alcohol ethoxylates, and the replacement of musk xylene by macrocyclic musks. 152 153 Although not driven primarily by environmental concerns, an increasing proportion of new pharmaceuticals being registered are the so-called biologics. For example, 12 of the 30 new 154 drugs registered for the German Market in 2016 noted by the German Pharma Association, and 155 75 of the recent top 200 selling retail drugs in the USA (37), are made from biological material 156 157 such as proteins, genes, allergens and cells, which are considered not to pose the persistence issues of small synthetic molecules. 158

159 There is an understandable reluctance to submit the vast numbers of animals needed for laboratory toxicity tests for the many thousands' of chemicals that still need to be registered, 160 161 and this has stimulated toxicity and exposure model developments (9). Computer models have

been used to help predict which chemicals are going to be of greatest concern (in silico risk 162 assessment); in other words those that will be persistent, bioaccumulative and toxic (PBT). In 163 a survey of 95,000 chemicals, the model predicted that only 3 to 5% were likely to be PBT 164 (11). 165

166

## 3. Better wastewater treatment and International chemical initiatives

There are considerable benefits, not just to general water quality, but to chemicals reduction 167 by moving from primary wastewater treatment (settling) to secondary treatment (biological) 168 and increasing the biological treatment time in secondary treatment from simple methods like 169 170 trickling filters to activated sludge (38, 39). The widespread adoption of the activated sludge process (ASP) in towns and cities around the world, with its biological treatment time of 8 h 171 172 or more, has done a great deal to protect rivers from the worst consequences of high chemical exposures. In China, it is now reported that 94% of the urban population have wastewater 173 treatment with 81% using advanced processes like ASP (40). Whilst not perfect, their 174 175 introduction can significantly improve water quality and hence biodiversity when compared to more historic and less efficient treatment (38). It is within our power, if we wish it, to 176 introduce stringent tertiary treatment, to eliminate all organics from effluent, and this is being 177 178 applied in some parts of Switzerland (41)

Developed and many developing countries share many of the same chemical challenges and 179 this is particularly true for many persistent pollutants which know no boundaries. Thus, it is 180 encouraging to see international agreements on POPs (Stockholm Convention), mercury 181 (Minamata Convention) hazardous waste disposal (Basel Convention), and certain hazardous 182 chemicals and pesticides (Rotterdam Convention). Sensible advice on managing chemicals 183 including legal, economic, technical and voluntary instruments including the adoption of safer 184 alternatives is now available to all countries (42). 185

186 **Reasons for pessimism** 

187

## 1. Continuing uncertainty over the importance of non-lethal effects

Once we move away from apical end-points (non-lethal or end-points which disrupt 188 reproduction or growth), it remains a matter of speculation as to whether the response to a 189 190 chemical seen in the laboratory really translates to harm to individuals or populations in the

191 wild. The detailed mechanistic detection work of an adverse outcome pathway (AOP), in

- 192 theory, predicts the harmful outcome of effects from the molecular level all the way up to that
- 193 of the population (43). AOPs have confidently predicted population effects on fish from
- 194 endocrine disrupters (44), and yet this has not been observed in the field (45). It is presently
- unclear if the development of AOPs will aid in the environmental risk assessment of
- 196 chemicals. Can gene, protein or metabolite expression studies on their own, be predictive of
- actual impacts on wildlife populations or indeed food webs (46)?
- 198

## 2. Data quality and the relevance of research topics

It is now widely accepted that a significant proportion of published research is not
reproducible, a situation sometimes called the 'reproducibility crisis'(47, 48) (49). Reasons
include: perverse incentives on research scientists to publish 'exciting' research and a general
lack of training of researchers (50). Two common problems are poor experimental design and
bias (51). In ecotoxicology, many scientists conduct their research on animals not routinely
used in regulatory tests, and which other researchers rarely utilise.

The focus of public concern over chemicals is unpredictable. This can lead to sudden 205 206 demands for information which can overwhelm everything else. Inevitably, many fundable 207 topics will have to be dropped in order to concentrate on an area of new concern. A dramatic growth area has been nanoparticles and the environment, which when searched under Web of 208 Science reveals interest has grown from 36 papers/yr in 2000 to 4,200/yr in 2017. Yet many 209 studies appear to show a modest relative risk, at least for common metal-based nanoparticles 210 (15, 52). Another example might be bisphenol-A, an additive used in many plastic items, 211 which has been shown to be a weak estrogen. Many hundreds of studies have been published 212 on its presence and possible harm to the environment (WoS<sup>TM</sup> finds 630 papers when BPA, 213 effect and environment are the search terms in September 2019). Yet the evidence that 214 bisphenol-A is adversely affecting wildlife is essentially non-existent (53). On the other hand, 215 216 we have many thyroid active, cardiovascular, antiepileptics and muscle relaxant drugs for 217 which few if any studies have been carried out on their possible effects on aquatic wildlife.

It might surprise many people to learn that often the focus of research into chemicals in the environment is not necessarily linked to their relative risk. For the top 20 highest risk ranked chemicals in British rivers (Fig. 2) we found that publications related to their environmental risk varied between 7,531 for lead to only 2 for the anionic surfactant, alcohol ethoxysulfates
in the period 2015-2019 (Fig. 2).

This area of science is prone to the 'bandwagon' effect, by which many papers only demonstrate what we knew already: did we need 250+ papers to tell us that ethinylestradiol poses a risk to fish? Everything we needed to know to protect the environment we knew from the first half a dozen papers. A current trend is this desire to search for more-and-more subtle 'effects', such as one or a few genes being tweaked a tad, when the consequences of those effects are completely unknown.

# Risk assessments are getting further behind and scientists tend to stay in their silos

231 Thorough risk assessment is costly and can require decades of research. Given the range of 232 species and number of end-points that could be examined, it seems certain we will never catch up using our traditional approaches (54). If this assertion is correct, then persevering 233 234 with the present testing strategy does not seem appropriate. Ethical objections to the use of animals, particularly vertebrates, in tests are increasing, yet we continue to add more tests to 235 236 the Organisation of Economic Cooperation and Development (OECD) battery of accepted 237 (eco) toxicity tests. Re-thinking how the environmental risks of a chemical can be assessed, with a bigger role for predictive modelling of harmful properties, is ongoing, but regulators 238 remain cautious about placing reliance on such information (55) 239

240 The study of chemicals in the environment appears to revolve largely around the two disciplines of ecotoxicology and environmental chemistry. It is common for ecotoxicologists 241 242 in their publications to state that 'effects were observed at environmentally relevant concentrations' whilst environmental chemists for their part are often tempted to assert that 243 244 their 'highest measured concentrations exceeded reported effect (toxic) concentrations' (56). Such statements imply there are problems out there, possibly very big ones. However, it is 245 246 unclear, based on the evidence of ecotoxicology and environmental chemistry alone, whether we are exaggerating the dangers and so over-regulating or alternatively underestimating risks 247 (such as been proposed from mixture effects) and so failing to protect (54). There is a  $3^{rd}$ 248 community of scientists, who in theory have much to offer in assessing chemical impacts on 249 250 wildlife and these are ecologists. The presence of long-term wildlife, monitoring is vital for 251 such research. But we still see surprisingly few examples of collaboration between

- ecologists, ecotoxicologists and environmental chemists. Ecologists have highlighted
- alarming declines in some wildlife(57, 58), and despite many confounding variables, long-
- term ecological data can be extremely compelling at establishing a link that can cut across
- competing arguments, such as with neonicotinoids and bees (22, 46). To determine the true
- harm of chemicals, these different scientists will need to collaborate closely (59).

## 257 Conclusions

- 258 Adapting to the immensely difficult societal and environmental challenges of tomorrow will
- undoubtedly require new chemicals and chemical solutions. The production of chemicals,
- their diversity and use around the world has never been greater. Our ability to manage the
- risks are finely balanced, with reasons to be both pessimistic and optimistic. Unfortunately,
- the sheer number of chemicals on the market, and presumably also entering the environment,
- are currently beyond our ability to assess the risks from them all. Although there are no
- 264 guarantees, our past knowledge married to 'in silico' modelling of hazards are helpful in
- 265 gauging relative risk. Provided we maintain long-term wildlife monitoring efforts,
- 266 particularly in areas of land or water most exposed to chemicals, we may have some
- 267 confidence that our use of chemicals is sustainable.

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