Life, death, and the pursuit of apoptosis

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Apoptosis or programmed cell death is a genetically controlled response for cells to commit suicide. The symptoms of apoptosis are viability loss accompanied by cytoplasmic boiling, chromatin condensation, and DNA fragmentation (Wyllie 1980). Pathologists and developmental biologists have cataloged the occurrences of apoptosis for many years based on these defined morphological features, but what has propelled apoptosis into the forefront of basic research has been the identification of genes that control cell death and the appreciation of the role of apoptosis in development and disease. Regulation of cell death is essential for normal development and is an important defense against viral infection and the emergence of cancer. Too much cell death can lead to impaired development and degenerative diseases. whereas too little cell death can lead to cancer and persistent and sustained viral infection. The process of apoptosis is controlled through the expression of an increasing number of genes conserved in nematodes through mammals and viruses. Some gene products are activators of apoptosis, whereas others are inhibitors and the characterization of the function of these gene products will help to define the process of cell death at the biochemical level.

The ever-expanding Bcl-2 family of apoptosis regulators

The *bcl-2* gene was first identified as part of the most common translocation in human B cell follicular lymphoma (Bakhshi et al. 1985; Tsujimoto et al. 1985; Cleary et al. 1986) and overexpression of Bcl-2 in transgenic animal models mimicked human disease (McDonnell et al. 1989; McDonnell and Korsmeyer 1991). Unlike other oncogenes characterized at that time, Bcl-2 had the unusual property of extending cell survival rather than stimulating cell proliferation (Vaux et al. 1988; Hockenbery et al. 1990). Bcl-2 has since been shown to enhance cell survival by inhibiting apoptosis induced under a wide variety of circumstances, suggesting that it is a ubiquitous inhibitor of cell death triggered by multiple routes.

Bcl-2 possesses a putative transmembrane domain at its carboxyl terminus and is found associated with mitochondrial, endoplasmic reticulum, and nuclear membranes. Removal of the transmembrane region of Bcl-2 prevents membrane targeting, whereas the mutant protein retains partial activity, suggesting that membrane targeting of Bcl-2 is not absolutely critical for function (Oltvai et al. 1993). Bcl-2 is expressed widely during embryogenesis (LeBrun et al. 1993; Novack and Korsmeyer 1994), and its expression becomes more restricted in adult tissues to those requiring long-term survival (stem cells, postmitotic neurons, and proliferating zones; Hockenbery et al. 1991).

Apoptosis plays a major role in normal development, and it was expected that gain- or loss-of-function of a death inhibitor such as Bcl-2 would have a phenotype in transgenic mice. Bcl-2 was expected to regulate apoptosis in lymphoid cells because of the role of Bcl-2 in human B cell lymphoma. Targeted Bcl-2 overexpression to the lymphoid system extends normal B cell survival with the persistence of immunoglobulin secreting and memory cells (Nuñez et al. 1991), partly overcomes the scid block to B cell development (Strasser et al. 1994), and produces B cell lymphoma (McDonnell et al. 1989; McDonnell and Korsmeyer 1991). Progression to highgrade lymphoma is clonal and frequently coincides with a c-myc translocation. In T cells, ectopic Bcl-2 expression produces increased resistance to apoptosis induced by glucocoticoids, radiation, anti-CD3, PMA, and ionomycin and, to a variable degree, affected negative selection (Sentman et al. 1991; Strasser et al. 1991; Siegel et al. 1992). Bcl-2 also has the capacity to promote neuronal survival (Garcia et al. 1992; Allsopp et al. 1993; Farlie et al. 1995). The ability of Bcl-2 to extend cell survival by preventing cell death in vivo in different cell types and in response to different stimuli suggests that Bcl-2, or Bcl-2-like molecules, act at a central, controlling point in the pathway to apoptotic cell death.

Mice with loss-of-function mutations in the bcl-2 gene (knockout mice) have been generated by homologous recombination. These mice, which lack Bcl-2 protein, are surprisingly normal at birth, but possess impaired kidney development, and later progress to polycystic kidney disease. The absence of Bcl-2 also produces catastrophic postnatal immune function failure attributable to dramatic loss of mature B and T cells through apoptosis (Nakayama et al. 1993, 1994; Veis et al. 1993; Kamada et al. 1995). Hair hypopigmentation arises at the second hair follicle cycle, apparently because of death of melanocytes (Kamada et al. 1995). Distortion of the small intestines, probably because of impaired survival of the progenitor cells at the base of the crypts, has also been reported (Kamada et al. 1995). The unexpected ability of the bcl-2 knockout mice to progress through develop-

ment without severe difficulty suggests possible functional redundancy or that other Bcl-2 family members may have a more critical role. Thus, inappropriate gain of Bcl-2 function is associated with cancer, whereas loss of Bcl-2 function has dire but restricted consequences to normal development.

There has been much speculation regarding the biochemical mode of action of Bcl-2. Initial suggestions were that Bcl-2 may work through scavenging reactive oxygen radicals to prevent apoptosis (Hockenbery et al. 1993; Kane et al. 1993). This proposal was based largely on the ability of Bcl-2 to mitigate cell death induced by oxidative damage, although it remained possible that the oxidative damage was a downstream consequence of cell death, minimized by Bcl-2 through blockade of the death process. Further evidence suggests that Bcl-2 can inhibit cell death in cells that lack mitochondrial DNA and thereby respiration (Jacobson et al. 1993), and in the presence of nearly anaerobic conditions (Jacobson and Raff 1995; Shimizu et al. 1995). Thus, the mechanism by which Bcl-2 works does not involve direct inhibition of reactive oxygen radical accumulation. Instead, oxidative damage may be a downstream event in the cell death process. Although a means to induce apoptosis through the generation of reactive oxygen species may exist, it may feed into a common pathway to control apoptosis that can be inhibited by Bcl-2.

bcl-2 is no longer a single entity but one member of a growing multigene family (Table 1), with multiple representatives in mammals: *bcl-x* (Boise et al. 1993), *mcl-1* (Kozopas et al. 1993), *bax* (Oltvai et al. 1993), *A1* (Lin et al. 1993), *bak* (Chittenden et al. 1995a; Farrow et al. 1995; Kiefer et al. 1995), *bad* (E. Yang et al. 1995); *bcl-w* (S. Cory, pers. comm.), and the nematode *Caenorhabditis elegans: ced-9* (Hengartner et al. 1992; Hengartner and Horvitz 1994a). Viral homologs of Bcl-2 have been found in Epstein-Barr virus (EBV) (BHRF1; Cleary et al. 1986), African swine fever virus (AFSV) (LMW5-HL; Neilan et al. 1993), and adenovirus (E1B 19K; Rao et al. 1992;

White et al. 1992; Boyd et al. 1994; Chiou et al. 1994b). Multiple sequence alignment of the Bcl-2 family members and mutagenesis have identified three conserved regions, Bcl-2 homology region 1, 2, and 3 (BH1, BH2, and BH3), that are required for regulation of apoptosis and protein-protein interactions (see below).

The bcl-x gene is highly related to bcl-2 and also functions to regulate cell death (Boise et al. 1993). bcl-x transcripts are alternatively spliced into long (L) and short (S) forms. The protein product of the long form derived from the $bcl-x_L$ splice variant functionally resembles bcl-2 as a potent inhibitor of cell death. The product derived from the alternatively spliced short form, the $bcl-x_s$ splice variant, antagonizes cell death inhibition by the bcl-x_L and bcl-2 products (Boise et al. 1993). The BH1 and BH2 conserved regions in the Bcl-2 family are spliced out of Bcl-x_s suggesting that it may act directly or indirectly as a dominant-interfering Bcl-2 or Bcl-x_L antagonist. Bcl-x is expressed widely in development, particularly in brain and kidney, with the highest levels expressed in the thymus in adult tissue (Boise et al. 1993; Krajewski et al. 1994; Motoyama et al. 1995). In contrast to the bcl-2 knockout mice, the bcl-x knockout mice have an embryonic lethal phenotype around embryonic day 13 (E13) with massive apoptosis in the brain and spinal cord, particularly among differentiating neurons and in the hematopoietic system (Motoyama et al. 1995). Chimeric mice nullizygous for bcl-x in the lymphoid system demonstrated the requirement for bcl-x expression for maintaining the life span of immature but not mature lymphocytes (Motoyama et al. 1995). Thus, bcl-x and bcl-2 are complementary in function as apoptosis inhibitors in mouse development.

Mcl-1 was cloned in a screen for genes with increased expression when a human myeloblastic leukemia cell line was induced to differentiate by phorbol ester (Kozopas et al. 1993). Mcl-1 is considerably larger than Bcl-2. The carboxy-terminal half of Mcl-1 displays homology with Bcl-2, whereas the amino-terminal half contains

Table 1.	Members	of the	Bcl-2	family
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Gene product	Function		
Bcl-2	inhibitor of apoptosis, binds Bax and Bak (Vaux et al. 1988; Hockenbery et al. 1990)		
Bcl-x	L form inhibits apoptosis, S form accelerates apoptosis, binds Bax and Bak (Boise et al. 1993)		
Bcl-w	inhibitor of apoptosis (S. Cory, pers. comm.)		
Bax	accelerator of apoptosis, binds Bcl-2, Bcl-x ₁ , E1B 19K (Oltvai et al. 1993)		
Bak	accelerator of apoptosis, can also be inhibitor, binds Bcl-2, Bcl-x, and E1B 19K (Chittenden et al. 1995a; Farrow et al. 1995; Kiefer et al. 1995)		
Mcl-1	inhibitor of apoptosis (Kozopas et al. 1993, Reynolds et al. 1994)		
A1	sequence homology with Bcl-2 (Lin et al. 1993)		
Bad	accelerator of apoptosis, binds Bcl-2 and Bcl- x_L (E. Yang et al. 1995)		
Nbk, Bikl	accelerator of apoptosis, binds Bcl-2, E1B 19K, Bcl-x _L , and BHRF1, has only BH3 domain (Boyd et al. 1995; J. Han and E. White; K. Pun, S. N. Farrow, T. Raven, C. J. Wride, J. H. M. White, and R. Brown; both in prep.).		
Ced-9	C. elegans cell death inhibitor, homology to Bcl-2 (Hengartner and Horvitz 1994a)		
ASFV HMW5-HL	African swine fever virus Bcl-2 homolog (Neilan et al. 1993)		
EBV BHRF1	EBV Bcl-2 homolog that inhibits apoptosis (Cleary et al. 1986; Henderson et al. 1993)		
E1B 19K	adenovirus inhibitor of apoptosis, binds Bax and Bak (White et al. 1992; Farrow et al. 1995; Han et al. 1995)		

putative PEST sequences suggesting that it may have a short half-life. Mcl-1 appears to localize to membranes similarly to Bcl-2 (T. Yang et al. 1995), and like Bcl-2, Mcl-1 expression delays apoptosis induced by deregulated c-myc (Reynolds et al. 1994). It will be interesting to determine the expression and function of Mcl-1 during development because of the coincidence of mcl-1 mRNA induction in a differentiating cell system and the suggestion that Mcl-1 may have a rapid turnover. Another *bcl-2* family member, A1, which was isolated from mouse bone marrow induced to proliferate with granulocyte macrphage colony-stimulating factor (GM-CSF), resembles an early response gene and is transcriptionally induced by GM-CSF (Lin et al. 1993). For these reasons A1 will also be interesting to examine for its role in development.

Bak was isolated based on its sequence homology to Bcl-2 within the BH1 and BH2 regions and its ability to interact with the E1B 19K protein (Chittenden et al. 1995a; Farrow et al. 1995; Kiefer et al. 1995). Bak is expressed widely and, in most cases, accelerates cell death, although in an EBV-transformed cell line it seemed to have a protective function. If Bcl-2 family members regulate apoptosis in part by interacting with each other, the context of expression may be a very important determining factor as to whether they act as an antagonist by binding to a death protector or act as a death activator. Bcl-w was isolated by degenerative PCR based on conserved sequences within BH1 and BH2, is highly homologous to Bcl-2 and, like Bcl-2, acts to promote survival (S. Cory, pers. comm.). Bad appears to promote cell death and was isolated based on its ability to interact with Bcl-2 in yeast two-hybrid assays and by expression cloning (E. Yang et al. 1995). Homology between Bcl-2 and Bad is limited to the BH1 and BH2 regions.

Genes that regulate cell death in *C. elegans* development have also been characterized and have been very informative (Hengartner and Horvitz 1994b). The *ced-9* gene product is functionally analogous to *bcl-2* in that it is required to inhibit cell death, which in the worm is induced by the products of the *ced-4* and *ced-3* genes (Hengartner et al. 1992). The *ced-9* gene turned out to be a member of the *bcl-2* family and can be functionally substituted for by *bcl-2* (Vaux et al. 1992; Hengartner and Horvitz 1994a). Thus, there is dramatic functional and structural conservation among the apoptosis regulators.

Of the viral counterparts to Bcl-2, the adenovirus E1B 19K protein is the best characterized and is required to block apoptosis during adenovirus infection and transformation (White 1994a, 1995). Failure to suppress apoptosis in productively infected cells compromises virus production because of the premature death of the infected host cell. The E1B 19K and Bcl-2 proteins are functionally interchangeable in adenovirus infection and transformation, although the E1B 19K protein is more effective at blocking apoptosis induced by tumor necrosis factor α (TNF α) and Fas pathways (White and Cipriani 1990; Gooding et al. 1991; Hashimoto et al. 1991; Rao et al. 1992; White et al. 1992; Debbas and White 1993; Chiou et al. 1994a,b; Sabbatini et al. 1995a). Amino acid sequence homology is apparent between 19K and Bcl-2 family members, and regions of the 19K protein known to be important for structure/function are also conserved in the Bcl-2 family (Boyd et al. 1994; Chiou et al. 1994b; White et al. 1992). The Bcl-2 homolog of EBV, the BHRF-1 protein, also functions as an apoptosis inhibitor (Henderson et al. 1993). The role of the ASFV Bcl-2 homolog (LMW5-HL) in the regulation of apoptosis, however, has not yet been established (Neilan et al. 1993).

Bcl-2 family members interact with each other to regulate apoptosis

How bcl-2 family members act to regulate apoptosis is of central importance, and one approach taken by many in the field has been to identify cellular proteins that interact with members of the Bcl-2 family. The first Bcl-2associated protein to be identified was Bax (Oltvai et al. 1993]. Bax is homologous to Bcl-2 in sequence and coimmunoprecipitates with Bcl-2 in cell extracts and in vitro (Oltvai et al. 1993). In functional assays Bax suppresses the ability of Bcl-2 to block apoptosis (Oltvai et al. 1993). Thus, not all bcl-2 family members are inhibitors of apoptosis, but instead some may regulate apoptosis by functional antagonism through the formation of heterodimers. A similar example was found with the Bcl-x proteins, where the $bcl-x_L$ splice variant is a potent inhibitor of cell death and the bcl-x_s splice variant antagonizes cell death inhibition by the $bcl-x_L$ product (Boise et al. 1993). Bcl-x_L can interact with Bcl-x_s in yeast twohybrid assays (Sato et al. 1994), but a direct interaction in mammalian cells has not been established.

Bax knockout mice develop normally but display lymphoid hyperplasia consistent with a role for Bax in the promotion of apoptosis (Knudson et al. 1995). Surprisingly, Bax deficiency also causes male sterility because of the cessation of sperm production (Knudson et al. 1995). The absence of condensed 1N spermatozoa and the presence of apoptotic germ cells suggest that Bax is required to block apoptosis in spermatogenesis. Bax expression is therefore required to either promote or inhibit cell death depending on the cellular context. Alternatively, Bax may be required to eliminate a specific cell type in development that if retained in bax - / - mice would produce inappropriate cell death in spermatogenesis. This model would retain Bax exclusively as a death activator.

The *bad* gene product, although isolated based on its ability to interact with Bcl-2, interacts more strongly with Bcl- x_L but does not interact with Bax (E. Yang et al. 1995). Bad can antagonize apoptosis protection by displacing Bax from Bcl- x_L in vivo. Bak interacts with Bcl- x_L , and E1B 19K proteins and can inhibit their ability, and also that of Bcl-2, to block apoptosis (Chittenden et al. 1995a; Farrow et al. 1995; Kiefer et al. 1995). The E1B 19K protein also interacts with Bax, and Bax can promote apoptosis when overexpressed with respect to the E1B 19K protein (Han et al. 1996). Interestingly, a 28-aminoacid region of Bax encompassing BH3 is sufficient to interact with the E1B 19K and Bcl-2 proteins in yeast

(Han et al. 1996). Similarly, BH3 of Bak is required for interaction with Bcl-x_L in yeast and in vitro (Chittenden et al. 1995b). The interaction of Bax BH3 requires the central conserved region of the E1B 19K protein encompassing BH1-BH3, suggesting that all three regions participate in protein-protein interactions in a nonsymmetrical fashion (Han et al. 1996). Most interestingly, a 50amino-acid region of Bak encompassing BH3, but excluding BH1 and BH2, is sufficient to induce apoptosis when expressed in mammalian cells (Chittenden et al. 1995b). Thus, Bak and presumably Bax, may encode a death effector domain in the vicinity of the BH3 region, or BH3 may merely serve as the binding site to interact with Bcl-2, E1B 19K, and Bcl-x_L and neutralize their protective activity. Mutations within BH3 that do or do not separate binding from cell death will be informative to address this key issue.

The observation that such a small region of Bax is sufficient to confer the ability of Bax to interact with other members of the Bcl-2 family suggested that other proteins not obviously similar to the Bcl-2 could interact with Bcl-2 via this region (Han et al. 1996). Nbk (also known as Bik1) was isolated from a two-hybrid screen using the E1B 19K protein as bait, interacts with the E1B 19K, Bcl-2, BHRF1, and Bcl-x_L, and contains a BH3 homologous region but not sequences homologous to BH1 and BH2 (Boyd et al. 1995; J. Han and E. White; K.-T. Pun, S.N. Farrow, T. Raven, C.J. Wride, J.H.M. White, and R. Brown; both in prep.). Nbk promotes cell death, suggesting that BH3 may be another indicator of a potential cell death regulator. Again, whether Nbk works through antagonism of Bcl-2-like proteins or acts as a death effect has not yet been established.

The overall theme that emerges is that protectors and promoters of cell death interact with each other and the outcome that prevails depends on the ratio of the death promoter to the death suppressor. A model whereby Bcl-2 family members regulate apoptosis by forming homodimers and heterodimers has been proposed (Oltvai et al. 1993), although it is still not clear which Bcl-2 family member is the antagonist and which is the effector. One hint that Bax may be a death effector that is functionally sequestered by Bcl-2 comes from the observation that BH1 mutants of Bcl-2 both fail to associate with Bax and suppress apoptosis but are still capable of forming Bcl-2 homodimers (Yin et al. 1994). The observations that Bax and Bak can act as both inducers and inhibitors of apoptosis suggests that the cellular context of expression may be a determining factor (Kiefer et al. 1995; Knudson et al. 1995). These studies and others, although informative, have not yet established a biochemical function for Bcl-2 or Bax. Further clues may be expected to come from the association of Bcl-2 family members with proteins outside the Bcl-2 family.

Interactions between Bcl-2 and nonfamily members

One intriguing result was the isolation of R-ras from a yeast two-hybrid screen for Bcl-2-interacting proteins

(Fernandez-Sarabia and Bischoff 1994). R-ras and Bcl-2 also coimmunoprecipitate with each other in extracts from mammalian cells, suggesting that both associate in vivo (Fernandez-Sarabia and Bischoff 1994) and R-ras expression antagonizes the ability of Bcl-2 to block apoptosis (H.-G. Wang et al. 1995). Bcl-2 may regulate R-ras function directly, or vice versa. In contrast to R-ras, expression of a transforming Harvey-ras (H-ras) gene with a mutation that leads to constitutive activation, can protect against apoptosis (Lin et al. 1995). As H-ras regulates several signal transduction pathways, it may provide a link between Bcl-2 and growth factor/cytokine pathways to control apoptosis. There is evidence that Bcl-2 may be regulated by phosphorylation (Haldar et al. 1995), suggesting that growth factors act upstream of Bcl-2 to regulate its function.

Growth factor or cytokine withdrawal from dependent cell lines commonly induces apoptosis in transformed mammalian cell lines. Stimulation of cell growth by c-myc deregulation, for example, necessitates the presence of growth factors such as IGF-I to prevent apoptosis (Harrington et al. 1994). Bcl-2 expression can block apoptosis in cells expressing deregulated c-myc that are factor deprived (Bissonnette et al. 1992; Fanidi et al. 1992), suggesting that growth factors and cytokines can function by providing a constant signal to suppress apoptosis. IGF-II can suppress apoptosis during oncogenesis in vivo, which may represent an efficient means for restricting inappropriate cell growth (Christofori et al. 1994). Presumably, factor withdrawal alters signals transduced to the cell death machinery, perhaps through a Ras pathway, to implement or suppress the death program.

Other proteins that interact with Bcl-2 have been reported such as Bag-1, which was isolated by a λ expression cloning strategy and enhances protection from cell death by Bcl-2, although the mechanism has yet to be established (Takayama et al. 1995). Still other Bcl-2 family member interacting proteins have been identified but in vivo association and functional relevance to apoptosis is so far lacking (Boyd et al. 1994). Whereas Bcl-2 appears to interact with numerous proteins, we expect that some will turn out to be physiologically irrelevant and others may be cell type specific.

Other regulators of apoptosis

Although Bcl-2-related proteins have received most of the attention, other non-Bcl-2-like proteins have been identified as regulators of apoptosis and are likely to be of great importance as well (Table 2). The best examples are the baculovirus *p35* and *iap* genes. p35 and Iap independently inhibit apoptosis in baculovirus-infected insect cells and thereby enhance virus production (Clem et al. 1991; Clem and Miller 1993, 1994), much in the same way that E1B 19K protein functions during adenovirus infection. p35 may act as a cysteine protease inhibitor to block apoptosis (Bump et al. 1995) and may functionally resemble the cowpox CrmA protein (see below). Re-

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Gene product	Function		
TNF-R1	cell-surface receptor that when cross-linked by ligand ($TNF\alpha$) promote apoptosis in the absence of new protein synthesis, contains cytoplasmic "death domain," apoptosis is CrmA inhibitable (Smith et al. 1994; Cleveland and Ihle, 1995)		
Fas/Apo1/CD95	cell-surface receptor of the TNF receptor family that when cross-linked by Fas ligand or anti-Fas antibody promotes apoptosis in the absence of new protein synthesis, death induction requires cytoplasmic death domain, apoptosis is CrmA inhibitable (Nagata and Golstein 1995)		
Death domain proteins	Other death domain-containing proteins that may mediate transduction of the death signal by TNF-R1 and Fas (see text) (Cleveland and Ihle 1995)		
Reaper, Hid	Drosophila death-including gene products that have homology to death domain of Fas/TNF receptors (White et al. 1994, Golstein et al. 1995; Grether et al. 1995		
p35	baculovirus inhibitor of apoptosis, binds to and inhibits ICE family proteases (Clem et al. 1991; Bump et al. 1995)		
CrmA	cowpox ICE inhibitor that blocks ICE-dependent apoptosis (Ray et al. 1992; Gagliardini et al. 1994; Komiyama et al. 1994))		
ICE family	family of cysteine proteases that induce apoptosis, includes the C. elegans Ced-3 gene product (Thornberry et al. 1992; Yuan et al. 1993)		
Dad1	inhibitor of apoptosis (Nakashima et al. 1993)		
Ced-4	C. elegans gene product that induces cell death, inhibitable by Ced-9, no mammalian homolog identified so far (Ellis and Horvitz 1986)		
Survival factors	subset of growth factors and cytokines such as IGF-1 and various interleukins that act to promote cell survival (Raff 1992; Harrington et al. 1994)		

Regulators exclude transcription regulators and kinases.

cently, the candidate gene responsible for the human disease spinal muscular atrophy (SMA), the second most common fatal human autosomal recessive disorder, has been identified, and the predicted protein product shows homology with baculovirus Iap (Lefebvre et al. 1995; Roy et al. 1995). Loss of function of an apoptosis inhibitor such as the SMA gene product is consistent with the excessive and inappropriate apoptosis of motor neurons observed in SMA patients and may contribute to the SMA phenotype. The mechanism of action of *iap*-related genes, and relationship, if any, to Bcl-2 function, will therefore be of direct relevance to degenerative human diseases.

The function of still other novel apoptosis regulators such as the mammalian dad-1 and the Drosophila melanogaster reaper genes remain to be characterized. Dad-1 acts as an apoptosis inhibitor, but no clue to the mechanism of Dad-1 function can be extrapolated from its sequence (Nakashima et al. 1993). reaper function is required for and sufficient to induce apoptosis in Drosophila development (White et al. 1994). Reaper encodes a polypeptide of only 65 amino acids, but limited sequence homology between Reaper and the "death domain" of mammalian Fas antigen and TNFR1 suggests that Reaper may signal death in a similar fashion (Golstein et al. 1995). Recently, the hid gene of Drosophila has been identified as functionally similar to reaper in that it is sufficient for cell death when expressed in cell deathdefective mutants, and a loss of hid function dramatically decreases cell death in embryos (Grether et al. 1995). Obviously, suppressors and modifiers of reaper and hid that can be identified and exploited genetically in Drosophila will be invaluable for elucidating the controlling elements in cell death, many of which would be expected to have mammalian counterparts or homologs.

In mammalian cells, Fas antigen (also known as Apo-1 or CD95) and TNFR1 induce apoptosis when engaged with ligand (either Fas ligand or TNF α , respectively), and the oligomerization of a conserved segment of the cytoplasmic region of these cell-surface receptors designated the death domain is responsible for signaling the apoptotic response (Nagata and Golstein 1995). Fas and TNFR1 death domains associate with the death domains of other cellular proteins such as FADD (Chinnaiyan et al. 1995) or MORT-1 (Boldin et al. 1995), TRADD (Hsu et al. 1995), RIP (Stanger et al. 1995), and FAF-1 (Chu et al. 1996), and stimulate apoptosis (Smith et al. 1994; Cleveland and Ihle 1995). How these death-domain interactions lead to the biochemical events of apoptosis are not yet known, but presumably they activate signal transduction pathways that direct the action of the cell death machinery (see below). A tyrosine phosphatase (FAP-1) has also been identified that associates with the amino terminus of the cytoplasmic domain that is a candidate for negative regulation of the death domain (Sato et al. 1995). In summary, we are at the very beginning in our understanding of apoptosis regulation, but with many of the genes in hand and the direct significance apparent, we can begin to establish the biochemical mechanisms involved.

Tumor suppressor genes p53 and Rb regulate apoptosis

The p53 tumor suppressor gene is the most frequently mutated gene in human tumors (Vogelstein 1990; Hollstein et al. 1991), and reintroduction of p53 into transformed cells can induce either growth arrest (El-Deiry et al. 1993; Harper et al. 1993; Xiong et al. 1993) or apoptosis (Yonish-Rouach et al. 1991). It was attractive to

think that tumor suppression by p53 could arise through the activation of cell suicide that is irreversible, rather than through imposition of growth arrest as thought previously, which is inherently reversible. The consensus is that p53 can induce growth arrest or apoptosis depending on the physiological circumstances or cell type, but both activities are potentially involved in tumor suppression.

A role for p53 as an apoptosis regulator in tumor suppression in animal models (Symonds et al. 1994) and in human tumors (Bardeesy et al. 1995) is indicated. p53 accumulates and directs apoptosis in response to DNA damage in skin (Ziegler et al. 1994), thymocytes (Clarke et al. 1993; Lowe et al. 1993b), and intestinal epithelium (Clarke et al. 1994; Merritt et al. 1994). Chemotheraputic agents (Lowe et al. 1993a) and deregulation of normal cell growth control produced by either viral E1A expression during adenovirus infection and transformation (White et al. 1991; Debbas and White 1993; Lowe and Ruley 1993; Chiou et al. 1994b), or constitutive high levels of c-myc expression (Hermeking and Eick 1994; Wagner et al. 1994; Sukamuro et al. 1996), also elicit a similar apoptotic p53-dependent response. p53 is, however, clearly not required for glucocorticoid-mediated apoptosis of thymocytes (Clarke et al. 1993; Lowe et al. 1993b), nor is p53 essential for most but not all aspects of apoptosis in murine development (Donehower et al. 1992; Armstrong et al. 1995). Thus, p53 appears to be a surveillance factor to induce apoptosis under specific circumstances of cellular damage, whereas normal developmental pathways to control apoptosis can operate largely independently of p53.

How p53 directs growth arrest and apoptosis is obviously important and has been the focus of attention of many laboratories. Most evidence suggests that p53 functions as a transcription factor: p53 possesses sequence-specific DNA-binding activity and can activate the transcription of genes that carry a target element within their promoter (El-Deiry et al. 1992). p53 can also repress the transcription of genes that lack a p53-responsive element (Mack et al. 1993), but the biological relevance of this observation is not yet clear. One gene that is activated by p53 is the p21/WAF-1/cip-1 cell-cycle dependent kinase inhibitor, which is likely responsible, in all or in part, for inhibition of cell cycle progression by p53 (El-Deiry et al. 1993; Harper et al. 1993; Xiong et al. 1993) and not apoptosis (Deng et al. 1995). The role of p53-dependent transcription in apoptosis has been more controversial.

Most evidence suggests that p53 can function as a transcription factor, and this activity is certainly responsible for or contributes to promotion of growth arrest by p53. It is reasonable to suspect that a similar transcription-dependent mechanism might exist for apoptosis. In that case, p53 might activate the transcription of death genes (*bax*) or repress the transcription of survival genes (*bcl-2*). *bax* expression is depressed, and *bcl-2* expression is elevated in tissues from p53 null mice relative to p53-expressing wild-type controls (Miyashita et al. 1994). Furthermore, p53 has been reported to stimulate *bax* expression in promoter-reporter assays in cultured cells

(Miyashita and Reed 1995). The indication from these experiments is that up-regulation of bax transcription is a means by which p53 induces apoptosis. If so, it will be of interest to determine whether p53 is capable of inducing apoptosis in cells rendered null for bax, to establish a direct dependence of p53 on Bax function to induce apoptosis. p53 has also been reported to induce Fas expression, which may represent an alternate, transcriptionally-dependent means for p53 to regulate apoptosis (Owen-Schaub et al. 1995).

In contrast to the suggestion that p53 may activate bax transcription to induce apoptosis, other evidence suggests that p53 can induce apoptosis in the presence of inhibitors of transcription and protein synthesis, precluding transcriptional activation by p53 as a sole mechanism of apoptosis induction (Caelles et al. 1994). One is left with the possibility that p53 can induce apoptosis through transcriptional repression or possibly through regulation of DNA repair or replication.

A genetic approach has also been taken to address the requirement of transcriptional activity in p53-dependent apoptosis. p53 contains three functional domains: an amino-terminal transcriptional activation domain; a central sequence-specific DNA-binding domain; and a carboxy-terminal oligimerization domain (Prives 1994). Nearly all of the p53 mutations sequenced from human tumors map within the sequence-specific DNA-binding domain and impair the ability of p53 to bind DNA. Therefore, sequence-specific DNA-binding, and any functional consequence thereof, is essential for tumor suppression. Specific residues within the activation domain that are required for trans-activation of transcription by p53 have been identified by mutagenesis (Lin et al. 1994). Mutation of residues 22 and 23 within the p53 activation domain dramatically impairs the activity of p53 as a transcription factor, although sequence-specific DNA binding is unaffected (Lin et al. 1994). The 22,23 transcriptionally defective mutant p53 is severely impaired in its ability to induce apoptosis in comparison to p53 possessing a functional activation domain in BRK cells provided with a death stimulus by E1A (Sabbatini et al. 1995b). Thus, in this setting the activity of p53 as a transcription factor is required for apoptosis. A similar inability of p53 to induce apoptosis with a mutationally impaired activation domain has been reported in transient expression assays (Yonish-Rouach et al. 1996). However, p53 can both activate and repress transcription, and the 22,23 mutant can do neither. The 22,23 p53 mutation also abrogates interaction with MDM2 (Lin et al. 1994), but whether this contributes to the failure of the mutant to induce apoptosis has not been determined. We can conclude, however, that the potential exists for p53 to either activate the transcription of death genes or repress the transcription of survival genes, or both.

If the model that the ratio of Bax to Bcl-2 controls cell survival is correct, then either up-regulation of Bax or down-regulation of Bcl-2 would be sufficient to induce apoptosis. *Bax* expression is up-regulated by wild-type p53 expression but not by expression of the transcriptionally defective p53 mutant, which is in agreement

with the bax transcriptional activation model for p53dependent apoptosis (Han et al. 1996). Furthermore, ectopic bax expression induces apoptosis where p53 is constitutively in the mutant conformation, suggesting that Bax alone is sufficient to induce apoptosis and acts downstream of p53 (Han et al. 1996). The most plausible explanation is that p53 activates the transcription of genes involved in the induction of both growth arrest and apoptosis through transcriptional activation of p21/WAF-1/cip-1 and bax, respectively, and perhaps other genes as well. In situations of simultaneous induction of both growth arrest and apoptosis pathways, apoptosis would prevail over growth arrest as a dominant phenotype. Bcl-2 and E1B 19K proteins both bind to and neutralize Bax function and can thereby block p53-induced, Bax-dependent apoptosis (Oltvai et al. 1993; Chiou et al. 1994a; Han et al. 1996). In that way the apoptotic pathway is blocked, but p21/WAF-1/cip-1 transcriptional activation by p53 would produce cell cycle arrest, which is exactly the phenotype of cells rescued from p53-dependent apoptosis by Bcl-2 and E1B 19K expression (Chiou et al. 1994a; Lin et al. 1995; Sabbatini et al. 1995a). One function of Bcl-2-like proteins is therefore to control the physiological outcome of p53 function. It is probable, however, that the growth arrest activity of p53 is only apparent in the E1B 19K and Bcl-2 expressing cell lines because of the high levels of expression of p53. E1A expression can overcome cell cycle arrest by p53 in this setting (Lin et al. 1995), and this is problably why E1A and either E1B 19K or Bcl-2 transform cells with no apparent indication of growth arrest (Rao et al. 1992; White et al. 1992).

p53-mediated bax induction may not be the only pathway by which p53 can induce cell death. The same transcriptionally defective 22,23 p53 mutant that is severely compromised in the ability to induce apoptosis in BRK cells (Sabbatini et al. 1995b) is capable of inducing apoptosis as efficiently as wild-type p53 in HeLa cells in transient expression assays (Haupt et al. 1995b). This would be inconsistent with a bax-activation model for apoptosis. Furthermore, bax activation by p53 does not always occur during apoptosis (Canman et al. 1995). The most likely explanation is that p53 can induce apoptosis by both transcriptionally dependent and independent mechanisms, depending on the cell type. In BRK cells, Bax levels are low and induction of apoptosis may require up-regulation of bax expression by p53. Bax levels in HeLa cells are constitutively high, which may obviate the need for Bax induction to induce cell death, and in that setting an alternate, transcription activation-independent pathway for p53-dependent apoptosis may be unmasked. There are now clear examples for the existence of both transcription-dependent (blocked by protein synthesis and transcription inhibitors) and -independent (activated in the presence of protein synthesis and transcription inhibitors) apoptotic pathways, and p53 apparently has two mechanisms for promoting apoptotic cell death. Whereas bax transcriptional activation is indicated for the p53 transcription-dependent pathway, the nature of the transcription activation-independent pathway has not been established. The carboxyl terminus of p53 has the capacity to recognize damaged DNA and may influence DNA repair mechanisms through an interaction with RPA and TFIIH (Jayaraman and Prives 1995; Lee et al. 1995). Aberrant regulation of DNA repair may, in turn, promote apoptosis. An alternative, but not necessarily mutually exclusive, possibility is that p53 promotes apoptosis through transcriptional repression of survival factors. In some situations, inhibition of transcription or protein synthesis alone is sufficient to induce cell death, suggesting that continual expression of a labile survival factor may be required. p53 can repress transcription of genes that lack p53 response elements and has been reported to repress bcl-2 expression (Miyashita et al. 1994). In support of the repression scenario, E1B 19K and Bcl-2 proteins, which inhibit apoptosis, also block repression by p53 (Shen and Shenk 1994; Sabbatini et al. 1995a). Thus, transcriptional repression by p53 could cause apoptosis, which is prevented by members of the Bcl-2 family. However, it is also possible that repression of transcription is not the cause but rather a symptom of cell death.

The connection between the retinoblastoma tumor suppressor protein (Rb) and apoptosis came from several directions. Apoptosis is one cellular response to E1A expression (White and Stillman 1987; White et al. 1991) and E1A is known to bind to and inhibit Rb (Whyte et al. 1988). Induction of apoptosis by E1A was genetically inseparable from the ability of E1A to stimulate DNA synthesis and bind p300 and Rb, suggesting that some aspect of deregulation of cell growth control by E1A is responsible for apoptosis (White and Stillman 1987; White et al. 1991). Rb -/- mice die at E12–E13 with the occurrence of profound cell death in the nervous system (Clarke et al. 1992; Jacks et al. 1992; Lee et al. 1992). A loss of Rb function during development apparently produces inappropriate DNA synthesis and apoptosis, suggesting that Rb function is required in development to inhibit cell cycle progression, thereby avoiding the apoptotic response (Morgenbesser et al. 1994; Pan and Griep 1994). Furthermore, restoring Rb function by ectopic Rb expression also inhibits apoptosis (Haas-Kogan et al. 1995; Haupt et al. 1995a). Finally, E2F overexpression produces apoptosis. Rb normally complexes with and inhibits members of the E2F family of transcription factors, only to release E2F to activate the transcription of genes required for S phase at the appropriate time in the cell cycle (Nevins 1992; Johnson et al. 1993). Overriding Rb by enforced E2F expression induces both DNA synthesis and apoptosis (Qin et al. 1994; Shan and Lee 1994; Wu and Levine 1994; Kowalik et al. 1995). Moreover, apoptosis occurring through Rb loss, either by E1A or E2F expression, or in Rb - / - mice, is substantially p53 dependent (Howes et al. 1994; Morgenbesser et al. 1994; Pan and Griep 1994, 1995). p53 may therefore exist as a failsafe response to inappropriate cell cycle progression that occurs when Rb function is compromised (White 1994b). This may explain why Rb and p53 are frequently both lost in human tumors and why DNA viruses target both Rb and p53 for inactivation.

Role of ICE-related cysteine proteases in apoptosis

Two genes that are required for induction of programed cell death in C. elegans development, ced-3 and ced-4 (Ellis and Horvitz 1986), are normally kept in check by the bcl-2-like gene, ced-9 (Hengartner et al. 1992; Hengartner and Horvitz 1994a,c). The ced-3 gene product is highly homologous to human interleukin-18-converting enzyme or ICE (Yuan et al. 1993), a cysteine protease that processes IL-1 β to the mature form as part of the inflammatory response (Thornberry et al. 1992). Residues essential for substrate recognition and catalysis are conserved between Ced-3 and ICE, suggesting that they may have a similar structure and/or substrate specificity (Walker et al. 1994; Wilson et al. 1994). Overexpression of Ced-3 or ICE in mammalian cells induces apoptosis that is inhibitable by Bcl-2 (Miura et al. 1993). More importantly, however, the cowpox crmA gene product, which binds to and inhibits ICE (Ray et al. 1992; Komiyama et al. 1994), inhibits apoptosis induced not only by ICE (Miura et al. 1993) but by NGF deprivation of neuronal cells (Gagliardini et al. 1994), and by TNF α and Fas antigen (Enarl et al. 1995; Los et al. 1995; Tewari and Dixit 1995). This provides strong evidence that CrmAinhibitable cysteine proteases play an important role in multiple pathways for induction of apoptosis. Other ICErelated genes nedd-2/ich-1 (Kumar et al. 1994; Wang et al. 1994), TX/Ich-2/ICE_{rel}-II (Faucheu et al. 1995; Kamens et al. 1995; Munday et al. 1995), ICE_{rel}-III (Munday et al. 1995; Nicholson et al. 1995), Mch-2 (Fernandes-Alnemri et al. 1995), and CPP32 (Alnemri et al. 1995; Fernandes-Alnemri et al. 1994; Tewari et al. 1995), have been identified whose products similarly induce apoptosis, indicating the existence of a multigene family encoding proteases that potentially regulate apoptosis.

Further support for the role of the ICE family in the regulation of apoptosis has come from experiments with the baculovirus p35 protein. p35 interacts with and inhibits multiple members of the ICE family, including Ced-3 (Bump et al. 1995; Xue and Horvitz 1995), and inhibits developmentally programmed cell death in *C. elegans* (Sugimoto et al. 1994) and *Drosophila* (Hay et al. 1994; Grether et al. 1995). Inhibition of apoptosis by p35 in mammalian cells has also been reported (Rabizadeh et al. 1993), but it is too early to tell how ubiquitous this finding will be. Pathways to induce apoptosis in mammalian cells may be more complex or redundant than in lower organisms.

In vitro assays for complex biological processes have been an invaluable tool for defining the biochemical mechanisms involved, of which transcription and DNA replication are just two examples, and systems that mimic many aspects of apoptosis in vitro have been developed (Lazebnik et al. 1993, 1994; Newmeyer et al. 1994). In these assays, nuclei added to primed cytoplasmic extracts, derived from either chicken cells or *Xenopus* egg extracts, undergo chromatin condensation and DNA fragmentation characteristic of apoptosis. Addition of membrane fractions enriched in Bcl-2 inhibits this process, validating that it is apoptosis (Newmeyer et al. 1994). The ICE family member CPP32 (also known as apopain) has been identified as the enzyme responsible for apoptosis in chicken and mammalian cell extracts. CPP32 activity is present in in vitro extracts, and more importantly, a specific peptide aldehyde inhibitor of CPP32 blocks apoptosis in vitro (Nicholson et al. 1995). Of the ICE members identified to date, CPP32 is the most closely homologous to Ced-3.

ICE -/- mice develop normally but may have a minor defect with respect to Fas-mediated cell death. These results argue against a central role for ICE in the regulation of mammalian cell death (Kuida et al. 1995; Li et al. 1995). The presence of multiple ICE family members might create redundancy in the death pathway such that the loss of any one member may not impact significantly on apoptosis in development. Alternatively, CPP32, or another yet-to-be-characterized ICE family member, may turn out to be essential for apoptosis in development.

Identification of relevant substrates for ICE family members will be important for establishing how cysteine proteases are involved in apoptosis. The best-characterized substrate that has been reported besides IL-1β is poly(ADP) ribose polymerase (PARP) (Lazebnik et al. 1994; Oberhammer et al. 1994). CPP32 has high specificity for PARP and does not cleave IL-1β, whereas the converse is true for ICE (Nicholson et al. 1995; Tewari et al. 1995). Unfortunately, neither substrate is likely to control apoptosis directly because IL-1ß and poly(ADP)ribosylation-deficient mice develop normally but have restricted, specific defects associated with either inflammation in the case of IL-1 β loss (Zheng et al. 1995) or epidermal hyperplasia in the case of ribosylation loss (Z.-Q. Wang et al. 1995). However, the epidermal hyperplasia suggests a possible role for PARP specifically in regulating apoptosis in skin in response to environmental stress (Z.-Q. Wang et al. 1995). ICE and CPP32 are, however, likely to have multiple substrates, not all of which may be essential for implementing apoptosis. There is evidence for both nuclear and cytoplasmic events in apoptosis, and substrates for ICE-like activity may reside throughout the cell. Bcl-2 can inhibit apoptosis in cytoplasts that lack a nucleus, suggesting that nuclear events are not required for manifestation of the morphological features of apoptosis in the cytoplasm, and may be independent (Jacobson et al. 1994; Schulze-Ostoff et al. 1994).

Other substrates of ICE-like proteases with a potential role in apoptosis are the nuclear lamins. Lamins are intermediate filament proteins residing at the nuclear envelope that serve to organize chromatin within the nucleus in interphase and are required for reassembly of the nucleus following mitosis (McKeon 1991). Lamin cleavage is an early event in apoptosis (Neamati et al. 1994; Oberhammer et al. 1994), and there is evidence to suggest that an ICE-like protease is responsible (Lazebnik et al. 1995). Inhibition of the lamin protease in vitro blocked some but not all of the nuclear events of apoptotic cell death, suggesting that multiple parallel events are required for completion of the apoptotic program (Lazebnik et al. 1995). Ultimately, distinct cytoplasmic and

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nuclear proteolytic events in combination may be required for manifestation of apoptosis.

Pathways to control apoptosis

With many of the regulators of apoptosis identified, it is now possible to begin to define the functional relationships between them. It is likely that apoptosis will be controlled in a cell type-specific fashion, but the basic elements of the death machinery may be universal. In normal settings developmental cues may directly or indirectly control the function of Bcl-2 family members to affect the desired outcome on cell viability (Fig. 1). These cues could come from cell-surface events, modulation of early response regulators, and developmentally programmed changes in gene expression. Coordination between cell cycle and cell death control in development is likely to be important because inappropriate stimulation of DNA synthesis is associated with cell death (see above).

Pathways to control cell death in development are almost exclusively p53-independent with few exceptions

(Donehower et al. 1992; Armstrong et al. 1995). In damaged cells, however, p53 transcriptional activity may prevail to implement growth arrest or death. DNA damage and inappropriate deregulation of cell growth control provoked by Rb loss or deregulated c-myc can produce p53 accumulation (Lowe and Ruley 1993; Chiou et al. 1994a; Hermeking and Eick 1994) and induction of transciptional activation (and possibly repression) (Prives 1994). Induction of p21/WAF-1/Cip-1 and GADD45 expression inhibits cell cycle progression and facilitates implementation of growth arrest (Fig. 1). Similarly, stimulation of excess bax expression by p53 (Miyashita et al. 1994; Han et al. 1995; Miyashita and Reed 1995) may disturb the normal ratio of Bax to Bcl-2 and promote apoptosis (Oltvai et al. 1993). Bcl-2 and E1B 19K overexpression can block p53-dependent apoptosis (Chiou et al. 1994a; Sabbatini et al. 1995a), so apparently Bax induction can be compensated for by the direct interaction of Bax with Bcl-2 or E1B 19K (Oltvai et al. 1993; Han et al. 1996). Simultaneous induction of apoptosis and growth arrest pathways by p53 will create a situation where cell death will be the outcome if Bcl-2-like activity is low,

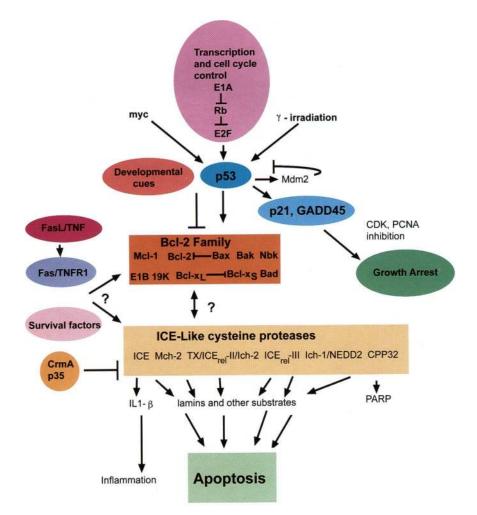


Figure 1. Regulation of apoptosis (see text for explanation).

whereas growth arrest will occur where a Bcl-2-like activity is at sufficient levels to neutralize Bax (Chiou et al. 1994a; Lin et al. 1995; Sabbatini et al. 1995a). p53 also induces the transcription of its own negative regulator MDM2, which then serves as a negative feedback loop to turn off the activity of p53 (Momand et al. 1992; Oliner et al. 1993). p53 may also induce apoptosis through a transcription-independent mechanism that has not yet been specifically identified (Caelles et al. 1994; Haupt et al. 1995b).

The biochemical events leading from Bcl-2 and Bax interaction to cell death have not been well established, but the family of ICE-like cysteine proteases are likely to be involved (Fig. 1). There are now numerous members of the ICE family whose expression in cells causes cell death by apoptosis that can be prevented by Bcl-2 (see above). Induction of cell death by over expression of a protease may not be terribly relevant to normal in vivo processes (Williams and Henkart 1994), but inhibition of cell death by expression of ICE family inhibitors such as CrmA or p35 is strong evidence to link cysteine proteases to the apoptotic process. Fas and TNFR1 may activate a latent ICE-like activity or modulate the function of the Bcl-2 family to promote cell death. Survival factors could similarly act at either or to modulate members of either the ICE or Bcl-2 family (Fig. 1). Expression of the E1B 19K protein efficiently blocks apoptosis induced by both TNF and Fas pathways (results with Bcl-2 are more variable) (White et al. 1992), indicating a fuctional interface between Fas/TNF signaling, the Bcl-2 family, and ICE activity. Whereas CrmA potently inhibits ICE (Komiyama et al. 1994; Ray et al. 1992), it has a variable ability to inhibit other ICE family members (Nicholson et al. 1995). p35 also inhibits multiple ICE family members (Bump et al. 1995). Thus, it is difficult to establish which protease activity is involved in situations of apoptotic cell death. There is likely to be cell type specificity and perhaps functional redundancy among the regulators of apoptosis. Nonetheless, CrmA is an effective inhibitor of Fas- and TNF-mediated apoptosis suggesting that ICE-like protease activity is required for cell death in those pathways (Enarl et al. 1995; Los et al. 1995; Tewari and Dixit 1995). Similarly p35 inhibits apoptosis in worms and flies, and possibly mammalian cells as well, providing further indication for the widespread participation of the ICE family in apoptosis (Rabizadeh et al. 1993; Clem and Miller 1994; Hay et al. 1994; Sugimoto et al. 1994; Grether et al. 1995). Major remaining issues are the functional interactions between the Bcl-2 and ICE families, and the identification of the substrates for the ICE family that presumably, upon cleavage, lead to the next step in the death process. As Bcl-2 will block apoptosis induced by the ICE family, it will be of great interest to determine whether the Bcl-2 family regulates the ICE family at the level of activation, activity, or substrate accessibility and whether the regulation is direct of indirect. Mapping these events, and perhaps those in between or upstream, will ultimately lead to definition of the process of cell death and how it may be controlled in the case of disease.

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Life, death, and the pursuit of apoptosis.

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