1 The life expectancy of chronic myeloid leukemia patients is approaching the

2 life expectancy of the general population

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- 20 **Running head:** Life expectancy of CML patients approaching that of population
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25 Abstract

PURPOSE A dramatic improvement in the survival of chronic myeloid leukemia
 (CML) patients occurred after the introduction of imatinib myeselate (IM), the first
 tyrosine kinase inhibitor (TKI). We assess how these changes affect the life
 expectancy of CML patients and life years lost due to a diagnosis of CML between
 1973 and 2013 in Sweden.

MATERIALS AND METHODS Patients recorded as having CML in the Swedish Cancer registry from 1973 to 2013 were included in the study and followed until death, censoring or end of follow-up. The life expectancy and loss in expectation of life were predicted from a flexible parametric relative survival model.

RESULTS 2,662 CML patients were diagnosed between 1973 and 2013. Vast improvements in the life expectancy of CML patients were seen over the study period; larger improvements were seen in the youngest ages. The great improvements in life expectancy translated into great reductions in the loss in expectation of life. Patients of all ages diagnosed in 2013 will on average lose less than 3 life years due to their diagnosis of CML.

CONCLUSION Imatinib mesylate, new TKIs along with allogeneic stem cell
transplantation and other factors have contributed to the life expectancy in CML
patients approaching that in the general population today. This will be a very
important message to convey to patients in order to understand the impact of a CML
diagnosis on their life. In addition, the increasing prevalence of CML patients will
have a great effect on future healthcare costs as long as continuous TKI treatment is
required.

48

49 Introduction

Chronic myeloid leukemia (CML) is a myeloproliferative neoplasm characterized by 50 an acquired balanced chromosomal translocation, giving rise to a constitutively active 51 tyrosine kinase (BCR–ABL1)¹. Untreated or symptomatically treated CML is a fatal 52 disease, with a reported median survival of approximately 2–3 years in seemingly 53 unselected CML populations ⁴. Over 90% of Swedish patients are diagnosed in the 54 chronic phase, and the major treatment goal is to prevent the disease from 55 progressing into more advanced phases ³. Treatment for CML patients has changed 56 dramatically over the years. CML therapy was restricted to busulphan and 57 hydroxyurea prior to the 1980s⁴. During the 1980s allogeneic stem cell 58 transplantations (allo-SCT) and interferon- α were the treatments of choice.⁶. A 59 dramatic improvement occurred after 2000 due to the introduction of imatinib 60 mesylate (IM), the first tyrosine kinase inhibitor (TKI) specifically targeting the BCR-61 ABL1 oncoprotein ¹. IM treatment significantly increased the survival and guality of 62 life for patients of all ages, particularly for patients in chronic phase ^{7,8}. 63

The improved survival has led to an increasing prevalence, a trend that is projected 64 to continue during coming decades^{2,3,9}. The increased prevalence in combination 65 with, for the large majority of patients, the recommended life-long IM treatment will 66 have a great impact on costs ⁹. It will be very important to, in an accessible way, 67 guide health care professionals, educators, and policy makers regarding present and 68 future achievements with a focus on population-based data. It is also important for 69 these groups, as well as for patients and clinicians, that survival statistics are 70 71 presented in a comprehendible way, that enhance the understanding of the impact of a cancer diagnosis on a patient's life expectancy, especially for chronic diseases 72 such as CML. 73

Life expectancy is a simple, well-known concept that quantifies the expected number of life years remaining. The loss in expectation of life (LEL) is a survival measure that presents the number of life years lost, or the reduction in the life expectancy, due to a diagnosis of cancer ^{13,14}. These measures have many advantages including being easily comprehendible, and thus easily communicated, and providing a survival measure over a whole time scale.

The aim of this study is to assess how the life expectancy of CML patients and life years lost due to a diagnosis of CML have changed between 1973 and 2013 in patients diagnosed in Sweden. Particular interest lies in the survival of patients after the introduction of the TKIs. An additional aim is to determine whether improvements previously reported in the survival of CML patients in Sweden have continued between 2008 and 2013.

86 Methods

87 Cancer registries and patients

The study included CML patients recorded within the nationwide Swedish Cancer 88 Registry established in 1958. By law every incidence of cancer must be reported to 89 this registry by each physician and pathologist/cytologist. The Swedish Cancer 90 registry contains information on age, sex, date and type of diagnosis but does not 91 contain detailed information such as symptoms, routine laboratory tests, treatments 92 and comorbidities ¹⁵. Patients with CML were identified using International 93 Classification Version 8 (code 2051). All residents in Sweden are given a unique 94 national registration number which was used for linkage with the national Cause of 95 Death Register to obtain the date of death. 96

Patients who were diagnosed between January 1, 1973 and December 31, 2013 97 were included within the cohort. Patients were followed until their date of death, date 98 of emigration or to the end of follow-up (31 December 2013), whichever occurred 99 first. Diagnoses were included from 1973 since the registry is known to have reached 100 a high coverage for hematological malignancies by then ¹⁶. Only the first diagnosis of 101 CML of patients diagnosed at 50 years of age or above which were histologically 102 verified were considered. The reason for including patients aged 50 years and above 103 at diagnosis was so that long extrapolation was not required when calculating the 104 loss in expectation of life. Incidental autopsy findings and misclassified cases were 105 106 excluded. The study was approved by the Stockholm Regional Ethics Review Board. Informed consent was waived since there was no contact with study participants. 107

108 Statistical methods

The loss in expectation of life (LEL) is the difference between the life expectancy of a 109 cancer patient and the life expectancy of a similar individual, in terms of age and sex, 110 from the general population. This measure estimates the average number of life 111 years lost, or the reduction in the life expectancy, due to a diagnosis of cancer. The 112 LEL can also be presented as a proportion, in the form of the proportion of expected 113 life lost (PELL). This is the proportion of remaining life years that are lost due to a 114 diagnosis of cancer. The LEL and PELL can be estimated based on the relative 115 survival of the cancer patients and the survival of the general population ¹³. Relative 116 survival is defined as the all-cause observed survival in the cancer population under 117 study divided by the expected survival of a comparable group in the general 118 population ^{17,18}. 119

The LEL and PELL were predicted from a flexible parametric relative survival model 120 with 5 degrees of freedom to model the baseline excess hazard ^{19,20}. Age at 121 diagnosis, year of diagnosis and sex were all modeled (age and year continuously 122 using restricted cubic splines ²¹) and interactions between all these covariates were 123 included. The model included time-dependent effects with 2 degrees of freedom for 124 all covariates to allow for non-proportional excess hazards. The expected survival 125 was obtained from population mortality files up to 2012 and predictions beyond 2012 126 by Statistics Sweden ²² stratified on age at diagnosis, year of diagnosis and sex. 127

128 All analyses were performed in Stata 13²³.

130 **Results**

A total of 2,662 CML patients diagnosed between 1973 and 2013 at age 50 years and over, 1,446 (54.3%) males and 1,216 (45.7%) females were included. The median age at diagnosis for the included cohort was 69 years. See Table 1 for descriptive statistics.

Results are presented for four selected ages at diagnosis; 55, 65, 75 and 85 years. 135 The life expectancy of the general population for males and females increased over 136 the follow-up period; this increase was larger for the younger populations presented. 137 The life expectancy of the CML patients steadily increased for all ages between 1973 138 and 1990. For younger CML patients presented in this study, a large increase in the 139 life expectancy was seen after 1990, this increase was not as great in the older 140 141 patients and began later, see Figure 1. The increase seen in the life expectancy in those aged 55 at diagnosis after 1990 continued until 2013; however the largest 142 increase was seen between approximately 1990 and 2000, with a more steady 143 increase after 2000. In those CML patients aged 85 years at diagnosis the greatest 144 increase in life expectancy began from approximately 2000. The life expectancy of 145 146 CML patients of all ages increased dramatically over the whole of the study period which resulted in the life expectancy of CML patients in 2013 was approaching that in 147 the general population. For example, a 55-year old male CML patient diagnosed in 148 1980 would on average have 3.5 (95% CI: 2.9, 4.1) life years remaining whereas a 149 55-year old male diagnosed in 2010 would have 27.3 (95% CI: 25.7, 28.8) life years 150 remaining. An 85-year old male patient would on average have 0.8 (95% CI: 0.7, 1.1) 151 152 life years remaining if he was diagnosed in 1980 and 4.1 (95% CI: 3.4, 4.7) life years remaining if he was diagnosed in 2010. The life expectancy of all aged CML patients 153

was within 3 years of the life expectancy in the general population for diagnoses in
2010, as shown in the LEL estimates; see Table 2 and Figure 2.

The LEL decreased for all ages over the study period but the most dramatic decrease 156 was seen in diagnoses after 1990 in younger patients presented. This was due to the 157 huge increase in the life expectancy of CML patients at this time; see Figure 2 and 158 159 Table 2. For example, a male diagnosed with CML in 1980 at age 55 on average had a reduced life expectancy of 20.8 (95% CI: 20.2, 21.4). In contrast, a 55-year old 160 male diagnosed in 2010 would on average have a reduced life expectancy of only 2.6 161 (95% CI: 1.0, 4.1) years For older patients, improvements were still seen, with a more 162 rapid decrease after the 1990s, but not to the same scale as in the younger patients 163 since older patients have on average fewer potential remaining life years. 164

Estimates of PELL also suggest a vast improvement in the outcomes of CML patients of all ages over the study period; see Figure 3 and Table 2. Prior to approximately 1990, the PELL was higher in younger patients included in the study, whereas after this time the PELL was higher in the older patients. For example, the PELL for a 55year old male and an 85-year old male diagnosed in 1980 were 86% (95% CI: 83%, 88%) and 80% (95% CI: 76%, 85%) respectively, in 2010 these values were 9% (95% CI: 4%, 14%) and 28% (95% CI: 16%, 40%) respectively.

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173 Discussion

Our results show that there has been a dramatic reduction in the life years lost in 174 patients diagnosed in Sweden with CML between 1973 and 2013. Patients aged 55 175 years at diagnosis benefitted greatly from 1990, and life expectancy improvements 176 continued to 2013 but less dramatically from 2000. For older patients, improvements 177 in life expectancy began a little later. The results indicate that the life expectancy of 178 CML patients is now close to the life expectancy of the general population for all 179 ages¹⁰⁻¹². However, reports suggesting an increased incidence of other cancers ^{10,11} 180 and cardiovascular morbidity ¹² associated with the use of TKIs, could have a 181 negative impact on survival gains. Thus the life expectancy of CML patients may 182 never reach that seen in the general population. Also, approximately 10% of CML 183 patients diagnosed in Sweden are diagnosed in an advanced phase, and it is 184 therefore unlikely that the life expectancy for the whole group of CML patients will 185 reach the life expectancy of the whole population. Even so, the life expectancy of 186 CML patients was within 3 years of the life expectancy in the general population for 187 diagnoses in 2010, which must be seen as a great success of CML treatment. 188

Treatment for CML patients has changed dramatically over the years, and IM was 189 approved as CML treatment in Sweden in 2001 (second line) and 2002 (first line). 190 However, the implementation of imatinib differed between age groups: during the 191 period 2002–2008 it was on average 79% in persons below 70 years and 47% in 192 persons older than 70 years, leading to a less conspicuous or no improvement in 193 survival for elderly patients ². These proportions increased to 94% for younger (<70 194 195 years) and 79% for older (>80 years) patients during 2007-2009³. Although IM remains the gold standard for first-line treatment of CML, the appearance of IM 196 resistance and intolerance has led to the development of several additional TKIs ²⁴. 197

Studies have shown that second-generation TKIs (dasatinib, nilotinib, bosutinib) 198 improve outcome of CML patients in whom IM therapy has failed ^{24,25}. In addition, a 199 third-generation TKI (ponatinib) targeting the frequently observed mutant T315I has 200 been developed ²⁶. Thus, CML treatment is progressing rapidly and further 201 advancements are anticipated. Notwithstanding the fact that a small subgroup of 202 patients with an excellent response to treatment have been able to stop taking TKI 203 agents ²⁷, most CML patients will take the drug for life which, along with the 204 increasing prevalence of CML, has high implications for the cost. Ohm et al. 205 evaluated the cost-effectiveness of IM in CML patients and found that incremental 206 207 cost-effectiveness ratios comparing IM to other treatments were generally acceptable by health authorities ⁹ meaning that these treatments should continue to be 208 financially feasible. 209

The results shown for the youngest patients presented here suggest that 210 211 improvements in survival of CML patients began for patients diagnosed in the mid-1990s. Our results also show improvements from the introduction of IM in 2001, 212 however, great improvements are observed prior to its introduction. The 213 improvements seen for older patients began slightly later than the younger patients 214 presented; however there was no immediate improvement after 2001 when IM was 215 introduced. The use of interferon- α^{28} , more precise diagnostics involving centralised 216 cytogeneic labs and a more structured approach in treating and monitoring CML 217 patients are plausible explanations for the trend.. Although our research suggests 218 219 that improvements in survival of CML patients over the years may not have been completely due to the introduction of IM, it is clear that the prognosis for CML patients 220 today is extremely positive with the current treatment. 221

Sasaki et al. concluded from clinical trial data that the five-year survival of chronic-222 CML patients was almost the same as the general population²⁹; our results support 223 this finding. Björkholm et al. followed Swedish CML patients on a population level and 224 saw improvements in the relative survival between 1973 and 2008 for Swedish CML 225 patients of all ages, with vast improvements in those aged 79 years and less at 226 diagnosis from 2001². Our study shows that these improvements have continued to 227 2013. We here chose to present outcomes in CML patients using LEL whilst others 228 guantified survival using relative survival. It is important to remember that these two 229 measures are related but describe different aspects of the patients' survival. In 230 231 particular, the relative survival is an estimate of net survival which is interpreted in a hypothetical situation where cancer patients can only die of their cancer whereas the 232 loss in expectation of life is a measure which represents the real-world survival seen 233 234 by cancer patients.

One potential limitation of the study is that the current analysis is not able to capture any late lethal effects if they were to occur, due to fewer years of follow-up in the later calendar years; the fewer years of follow-up also mean that the estimates presented rely more on the model assumptions. However, it is also possible that any late adverse effects may not impact the life span of patients.

A major strength of the current study is the use of population-based information; we include all CML diagnoses reported to the Swedish Cancer Register between 1973 and 2013. The Swedish Cancer Register has high completeness; in 1998 it was estimated to capture 96% of all cancers in Sweden ¹⁶. Using population-based data is optimal since it captures the mortality of CML patients in Sweden on a whole whilst incorporating changes in treatments, increasing prevalence of CML and potential negative side-effects of treatments for CML patients. Unfortunately, the Swedish

Cancer Register doesn't contain information on treatment and other detailed clinical 247 information. This also means that there is a lack of potential confounder information 248 such as socioeconomic status. 249

In order to present the LEL for all patients including those diagnosed in the most 250 recent years, extrapolation from models are required. This potential weakness of the 251 LEL has been assessed by Andersson et al.¹³ in several different cancers and 252 extrapolation was shown to be accurate. However, further extrapolation is required to 253 calculate the LEL in younger patients due to their larger potential life expectancy. 254 Therefore, the LEL was presented for patients aged 55 years and above. 255 In conclusion, the life expectancy, and the number of life years lost, has vastly 256 improved in all-aged CML patients in Sweden since 1973 with larger improvements 257 258 beginning already in the mid-1990s. IM along allo-SCT and other factors have contributed to the life expectancy in CML patients being almost the same as the

general population today. 260

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263 Figure legends

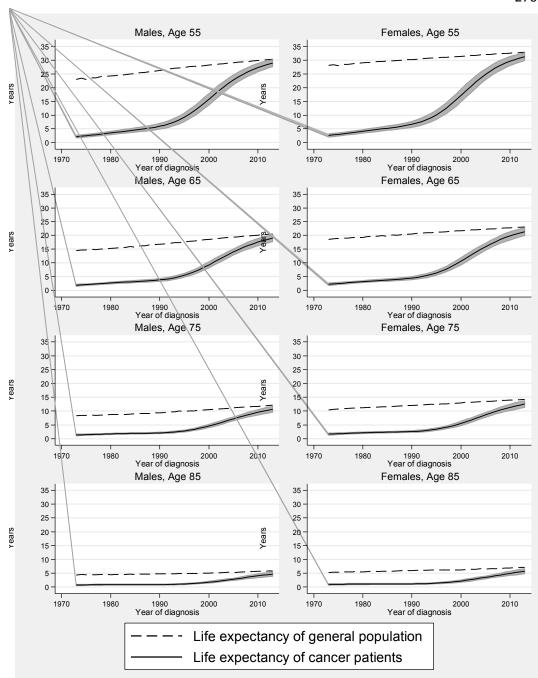
- Figure 1: Life expectancy of the general population and of CML patients in Sweden,
- over year of diagnosis, by age at diagnosis and sex
- Figure 2: Loss in expectation of life of CML patients in Sweden, over year of
- 267 diagnosis, by age at diagnosis and sex
- **Figure 3:** Proportion of expected life lost of CML patients in Sweden, over year of
- diagnosis, by age at diagnosis and sex.

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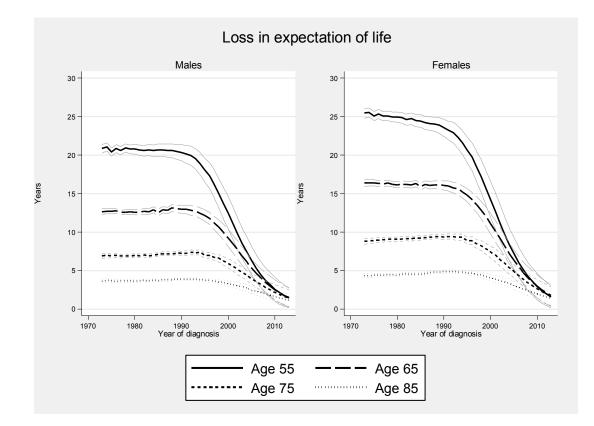
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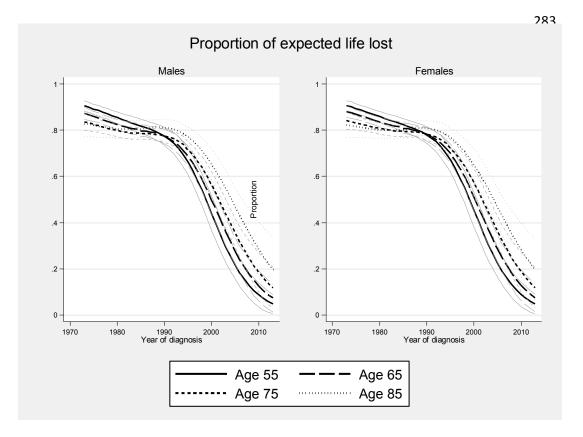
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- 279 diagnosis, by age at diagnosis and sex



- **Figure 3:** Proportion of expected life lost of CML patients in Sweden, over year of
- diagnosis, by age at diagnosis and sex



Tables

- **Table 1:** Demographic characteristics of CML patients diagnosed in Sweden
- between 1973 and 2013 at 50 years of age or above

Calendar period										
	1973-1982		1983-1992		1993-2002		2003-2013		Total	
Characteristic	No.	%	No.	%	No.	%	No.	%	No.	%
Total patients with CML	679	25.5	690	25.9	573	21.5	720	27.1	2662	100
Age, years										
50-59	138	20.3	136	19.7	162	28.3	182	25.3	618	23.2
60-69	227	33.4	197	28.6	142	24.8	223	31.0	789	29.6
70-79	214	31.5	240	34.8	179	31.2	180	25.0	813	30.5
>79	100	14.7	119	17.0	90	15.7	135	18.8	442	16.6
Sex										
Male	371	54.6	363	52.6	322	56.2	390	54.2	1446	54.3
Female	308	45.4	327	47.4	251	43.8	330	45.8	1216	45.7

Table 2: Life expectancy of the general population (LE), life expectancy of CML

290 patient (LE CML), loss in expectation of life of CML patients (LEL) and proportion of

expected life lost of CML patients (PELL) with 95% CIs for males and females at four

selected years and four selected ages at diagnosis in Sweden

		Age 55		Age	e 65	Age	e 75	Age 85	
		Males	Females	Males	Females	Males	Females	Males	Females
1980	LE	24.3	29.0	15.3	19.3	8.7	11.3	4.5	5.5
	LE	3.5	4.1	2.7	3.2	1.8	2.2	0.8	1.1
	CML	(2.9,4.1)	(3.4,4.7)	(2.3,3.0)	(2.8,3.6)	(1.5,2.0)	(1.9,2.4)	(0.7,1.1)	(0.9,1.3)
	LEL	20.8	24.9	12.6	16.1	7.0	9.1	3.6	4.4
		(20.2,21.4)	(24.3,25.6)	(12.2,12.9)	(15.7,16.5)	(6.7,7.2)	(8.8,9.4)	(3.4,3.8)	(4.2,4.6)
	PELL	0.86	0.86	0.83	0.84	0.80	0.81	0.80	0.80
		(0.83,0.88)	(0.84,0.88)	(0.80,0.85)	(0.81,0.86)	(0.77,0.83)	(0.78,0.83)	(0.76,0.85)	(0.76,0.84)
1990	LE	26.3	30.2	16.8	20.5	9.4	12.0	4.8	6.0
	LE	5.9	6.66	3.8	4.5	2.1	2.6	0.9	1.1
	CML	(4.9,7,0)	(5.6,7.8)	(3.3,4.3)	(3.9,5.1)	(1.8,2.4)	(2.3,2.9)	(0.7,1.1)	(0.9,1.3)
	LEL	20.4	23.6	13.0	16.1	7.3	9.4	3.9	4.8
		(19.3,21.4)	(22.4,24.7)	(12.5,13.5)	(15.5,16.6)	(7.0,7.5)	(9.0,9.7)	(3.7,4.0)	(4.6,5.0)
	PELL	0.77	0.78	0.77	0.78	0.77	0.78	0.81	0.81
		(0.73,0.81)	(0.74,0.82)	(0.74,0.80)	(0.75,0.81)	(0.75,0.80)	(0.76,0.81)	(0.78,0.85)	(0.78,0.84)
2000	LE	28.2	31.4	18.5	21.7	10.5	13.0	5.0	6.2
	LE	15.8	17.2	9.3	10.6	4.6	5.5	1.8	2.2
	CML	(13.7,17.9)	(14.8,19.5)	(8.2,10.4)	(9.3,11.9)	(4.0,5.2)	(4.8,6.3)	(1.4,2.1)	(1.8,2.6)
	LEL	12.4	14.3	9.2	11.1	5.9	7.4	3.3	4.0
		(10.3,14.5)	(11.9,16.6)	(8.1,10.3)	(9.8,12.4)	(5.3,6.5)	(6.6,8.2)	(3.0,3.6)	(3.6,4.5)
	PELL	0.44	0.45	0.50	0.51	0.56	0.57	0.65	0.65
		(0.37,0.51)	(0.38,0.53)	(0.44,0.56)	(0.45,0.57)	(0.51,0.62)	(0.51,0.63)	(0.59,0.72)	(0.58,0.72)
2010	LE	29.9	32.6	20.1	22.8	11.7	14.0	5.7	6.9
	LE	27.3	29.7	17.5	19.8	9.5	11.3	4.1	5.0
	CML	(25.7,28.8)	(28.0,31.4)	(16.2,18.9)	(18.4,21.3)	(8.5,10.5)	(10.2,12.5)	(3.4,4.7)	(4.2,5.8)
	LEL	2.6	2.9	2.5	2.9	2.2	2.6	1.6	2.0
		(1.0,4.1)	(1.2,4.6)	(1.2,3.8)	(1.4,4.4)	(1.2,3.2)	(1.4,3.8)	(0.9,2.3)	(1.2,2.8)
	PELL	0.09	0.09	0.13	0.13	0.18	0.19	0.28	0.28
		(0.04,0.14)	(0.04,0.14)	(0.06,0.20)	(0.06,0.19)	(0.10,0.27)	(0.10,0.27)	(0.16,0.40)	(0.17,0.40)