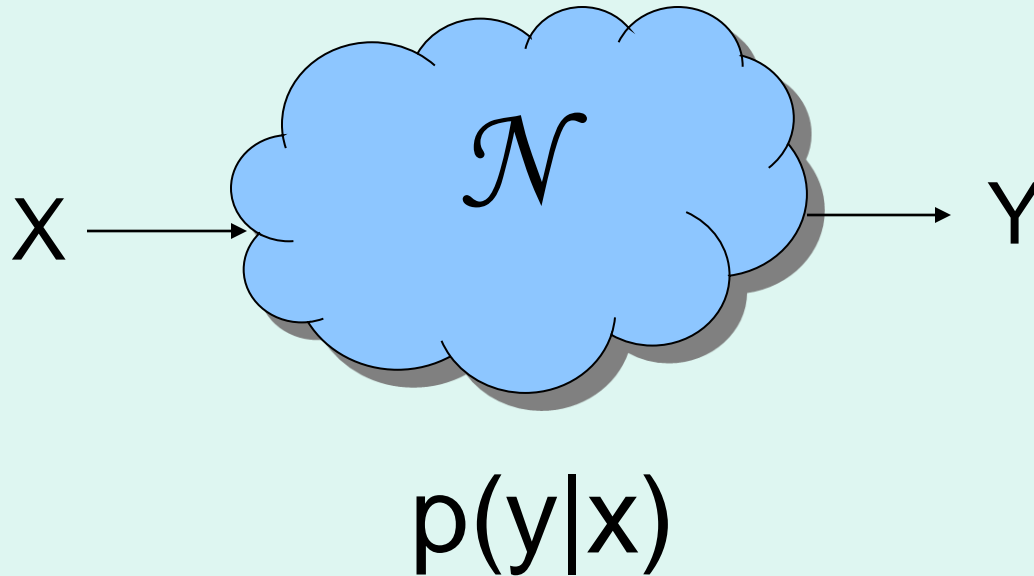


Limits on classical communication from quantum entropy power inequalities

Graeme Smith, IBM Research
(joint work with Robert Koenig)

QIP 2013
Beijing

Channel Capacity

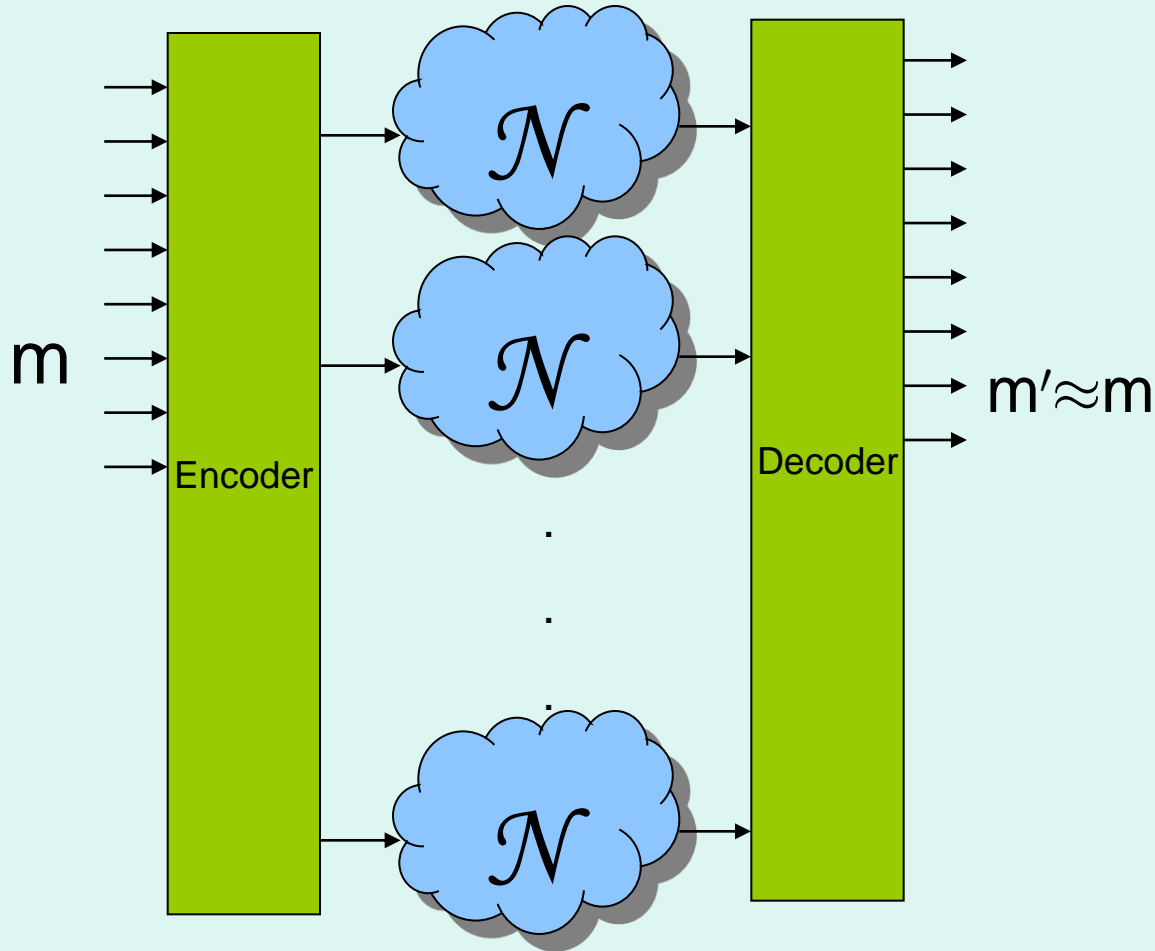


Capacity: bits per channel use in the limit of many channels

$$C = \max_x I(X;Y)$$

$I(X;Y) = H(X)+H(Y)-H(XY)$ is the mutual information

Classical Capacity of Quantum Channel



Send a classical message over a quantum message using a code

$$m \rightarrow \rho_m$$

such that all ρ_m can be distinguished at the channel output.

$C(\mathcal{N})$ is the capacity

Classical Capacity of Quantum Channel

We can understand coding schemes for classical information in terms of the Holevo Information:

$$\chi(\mathcal{N}) = \max_{\{p_x, \rho_x\}} I(X;B) = \max_{\{p_x, \rho_x\}} H(\rho_{av}) - \sum_x p_x H(\rho_x)$$

where $I(X;B) = H(X) + H(B) - H(XB)$ uses von Neumann entropy and is evaluated on the state $\sum_x p_x |x\rangle\langle x| \mathcal{N}(\rho_x)$

Random coding arguments show that $\chi(\mathcal{N})$ is an achievable rate, so $C(\mathcal{N}) \geq \chi(\mathcal{N})$. Furthermore,

$$C(\mathcal{N}) = \lim_{n \rightarrow \infty} (1/n) \chi(\mathcal{N} \dots \mathcal{N})$$

n uses

(see Holevo 98, Schumacher-Westmoreland 97)

χ isn't additive

- $C(\mathcal{N}) = \lim_{n \rightarrow \infty} (1/n) \chi(\mathcal{N} \dots \mathcal{N})$
- Hastings 2009: $\exists \mathcal{N}$ with $\chi(\mathcal{N} \mathcal{N}) > 2\chi(\mathcal{N})$

Attempts at salvage / Denial:

- Surely this won't happen for "natural" channels
- Anyway, the effect is small and therefore not relevant, at least for natural channels

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Attempts at salvage / Denial:

- Surely this won't happen for “natural” channels
- Anyway, the effect is small and therefore not relevant, at least for natural channels

What is “natural”? What's “small”?

Outline

- Bosonic thermal noise channel
- Bounds on capacity (old and new)
- Entropy Power Inequalities
(Quantum and Classical)
- Proof Ideas
- Outlook

Bosonic Modes

- Hilbert space spanned by $|n\rangle$, $n = 0 \dots \infty$
- Raising and lower operators:
 $a|n\rangle = \sqrt{n}|n-1\rangle$ $a^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$

$$[a, a^\dagger] = 1$$

- Quadratures:

$$Q = \frac{1}{\sqrt{2}}(a + a^\dagger) \quad P = \frac{i}{\sqrt{2}}(a^\dagger - a)$$

$$[Q, P] = i$$

- Covariance matrix

$$\sigma_{ij} = \text{Tr} [(R_i R_j + R_j R_i) \frac{1}{4}]$$

$$\mathbb{R} = (P_1; Q_1; \dots; P_n; Q_n)$$

Gaussian Quantum Channels

- Classical Additive White Gaussian Noise:

$$X \rightarrow aX + N$$

- Quantum Generalization:

$$\gamma \rightarrow A\gamma A^T + N$$

- Generated by quadratic interactions between input signal and vacuum environment

Additive White Gaussian Noise

Input X is a real variable (eg, component of EM field)

$$X \rightarrow X + bN = Y$$

N is normally distributed with variance 1, and mean zero, so

$$\Pr(y|x) = \frac{1}{\sqrt{2\pi}b} e^{-\frac{(x - y)^2}{2b^2}}$$

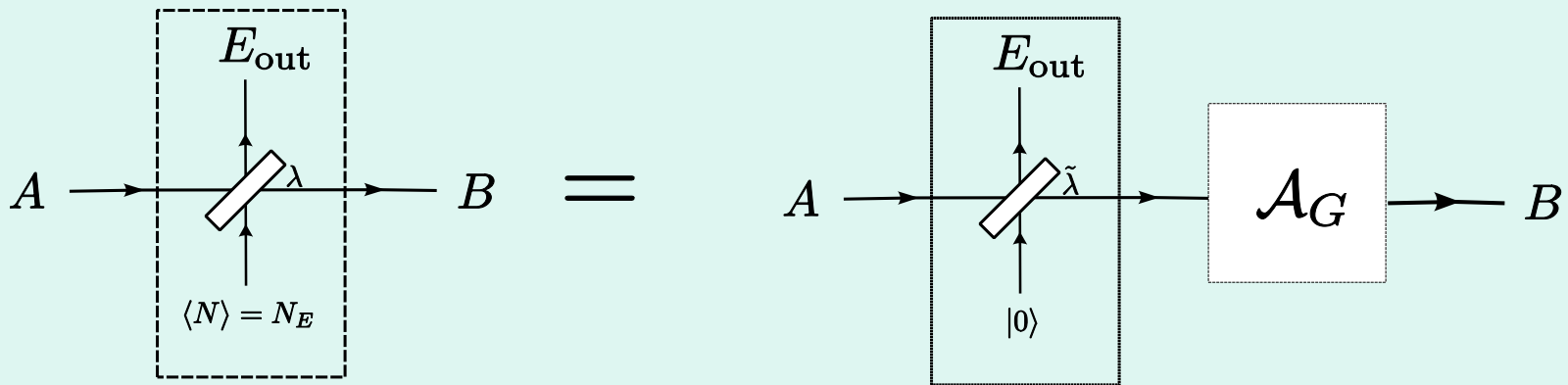
Capacity of this channel is infinite, but makes sense if we introduce a power constraint: $E[X^2] \leq P$. Then the capacity becomes

$$C = \frac{1}{2} \log(1 + \text{SNR})$$

Where $\text{SNR} = P/b^2$ is the ratio of max signal power to noise power

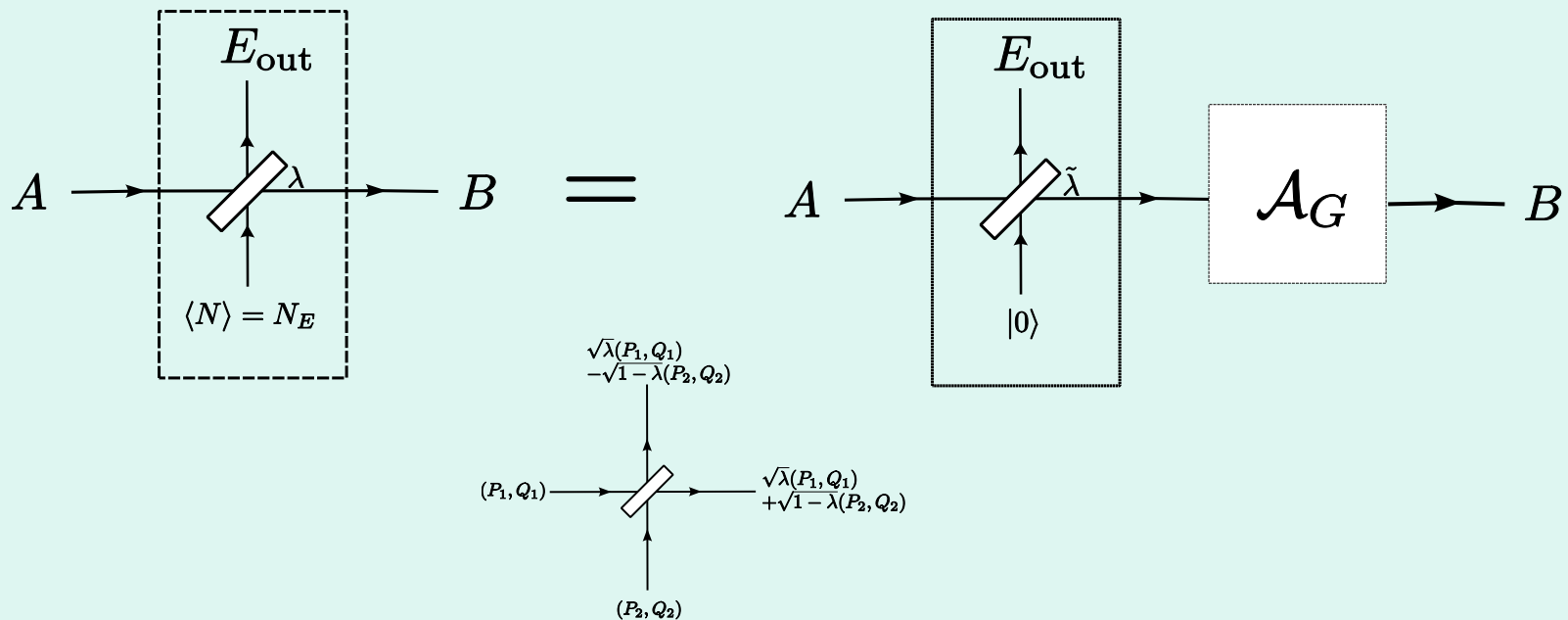
Gaussian Thermal Noise Channel

- Evolution: $\hat{a} \rightarrow (1 - \alpha) \hat{a} + \sqrt{\alpha} N_E$
- Models combination of attenuation and amplification present in optical fiber



Gaussian Thermal Noise Channel

- Evolution: $\rho \rightarrow (1 - \lambda)\rho + \lambda N_E$
- Models combination of attenuation and amplification present in optical fiber



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Lower Bound

Achievable rate: $\chi(\mathcal{N}) = \max_{\{p_x, \rho_x\}} H(\mathcal{N}(\rho_{av})) - \sum_x p_x H(\mathcal{N}(\rho_x))$

To get a lower bound, just exhibit a particular ensemble.

By letting ρ_x be displaced coherent states and taking a gaussian mixture, ρ_{av} is thermal and we get

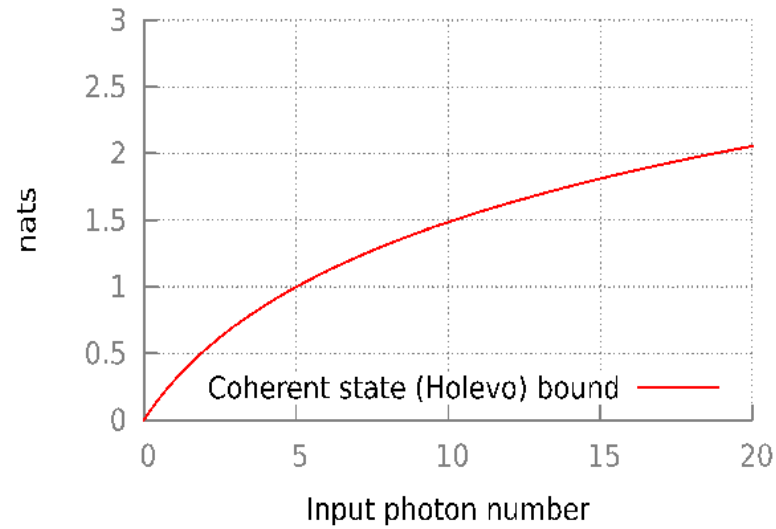
$$C(N_s; N_e; N) \geq g(N_s + (1 - \eta)N_E) - g((1 - \eta)N_E)$$

where $g(x) = (x + 1) \log(x + 1) - x \log x$ is the entropy of a thermal state with average photon number x

- Holevo 1998 (see also Gordon 1964)

Known bounds on classical capacity

transmissivity $\lambda = 1/2$, $N_E = 2$

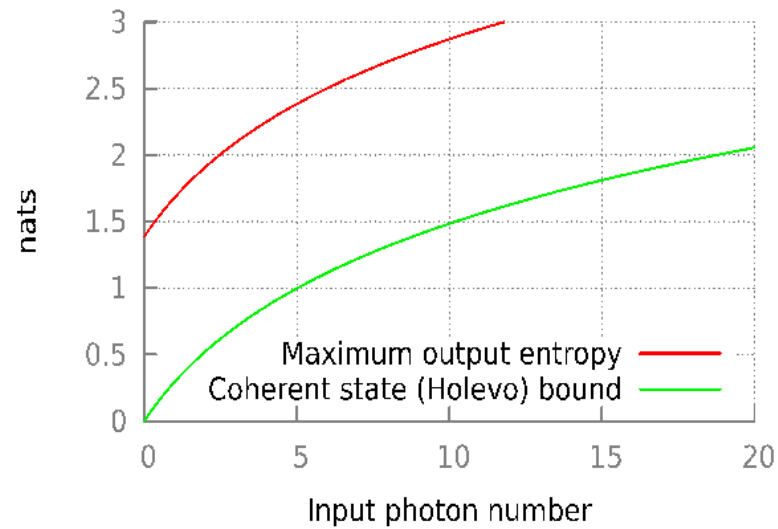


Maximum output entropy

- $\chi(\mathcal{N}) = \max_{\{p_x, \rho_x\}} H(\mathcal{N}(\rho_{av})) - \sum_x p_x H(\mathcal{N}(\rho_x)) \leq \max_{\rho} H(\mathcal{N}(\rho)) = H_{\max}(\mathcal{N})$
- $H_{\max}(\mathcal{N}^n) = n H_{\max}(\mathcal{N})$
- $\chi(\mathcal{N}^n) \leq n H_{\max}(\mathcal{N})$
- $C(\mathcal{N}) = \lim_{n \rightarrow \infty} 1/n \chi(\mathcal{N}^n) \leq H_{\max}(\mathcal{N})$

Known bounds on classical capacity

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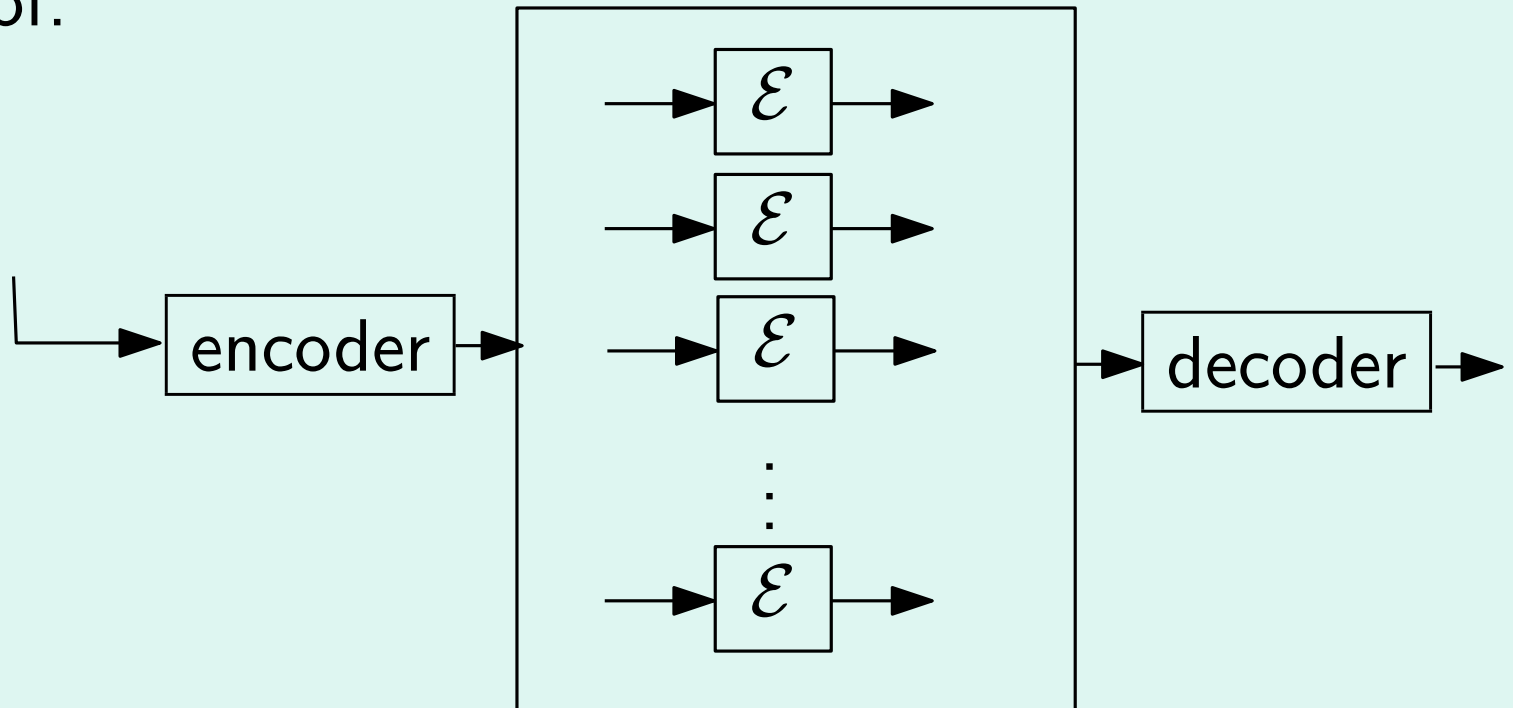


Bottleneck

Say $\rightarrow \boxed{\mathcal{E}} \rightarrow = \rightarrow \boxed{\mathcal{E}_1} \rightarrow \boxed{\mathcal{E}_2} \rightarrow$

Then $C(\mathcal{E}; N) \cdot C(\mathcal{E}_1; N)$

Proof:

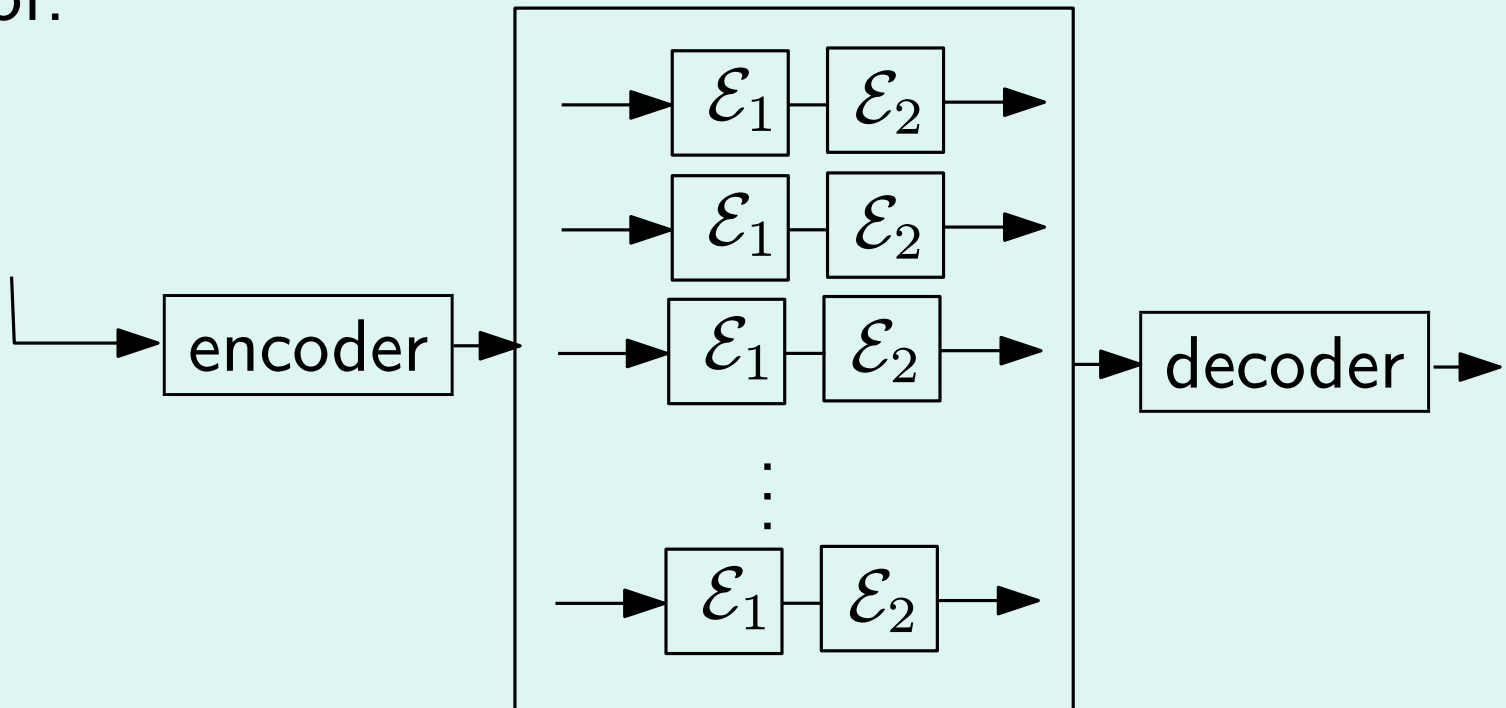


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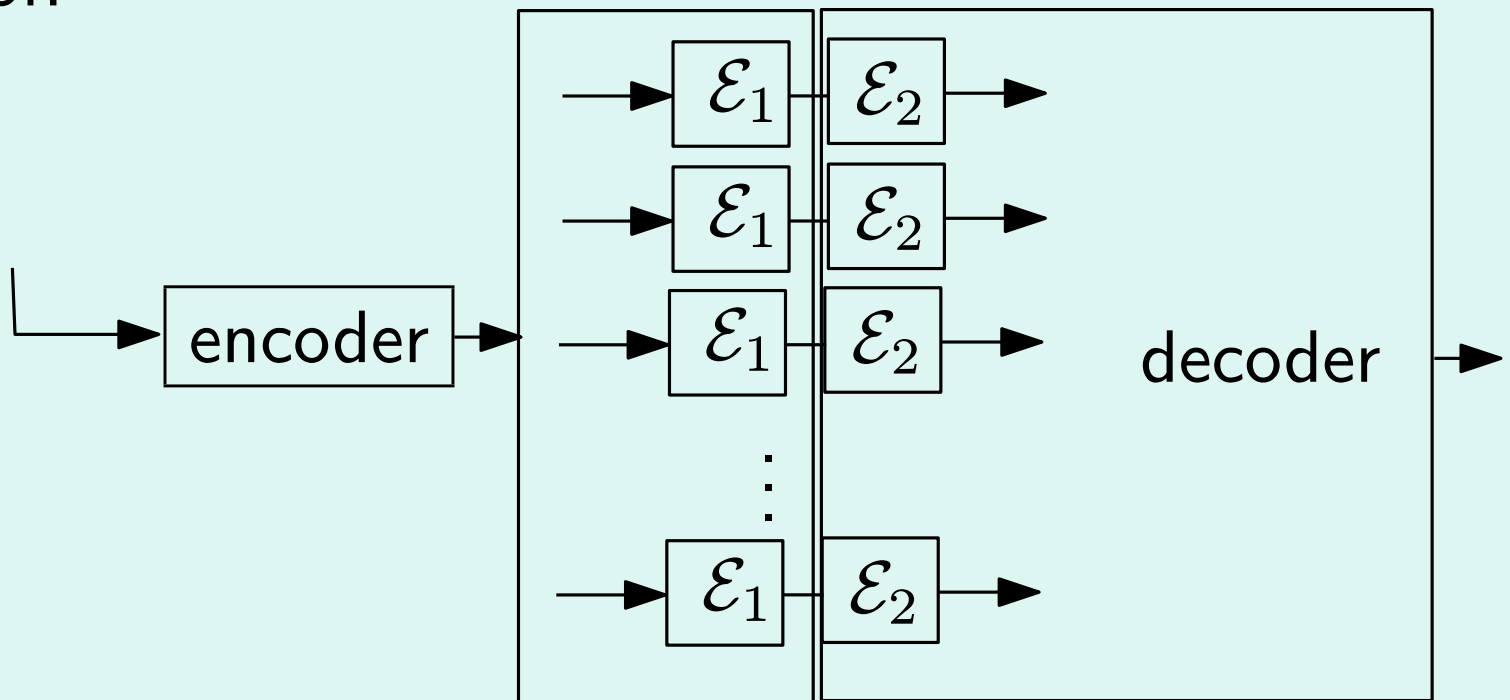


Bottleneck

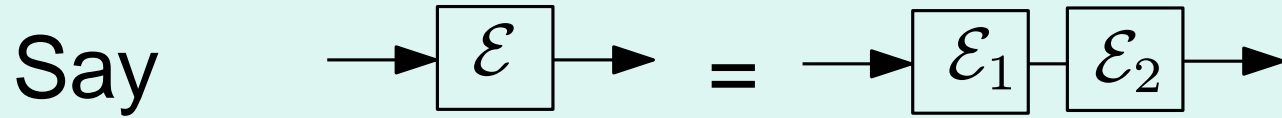
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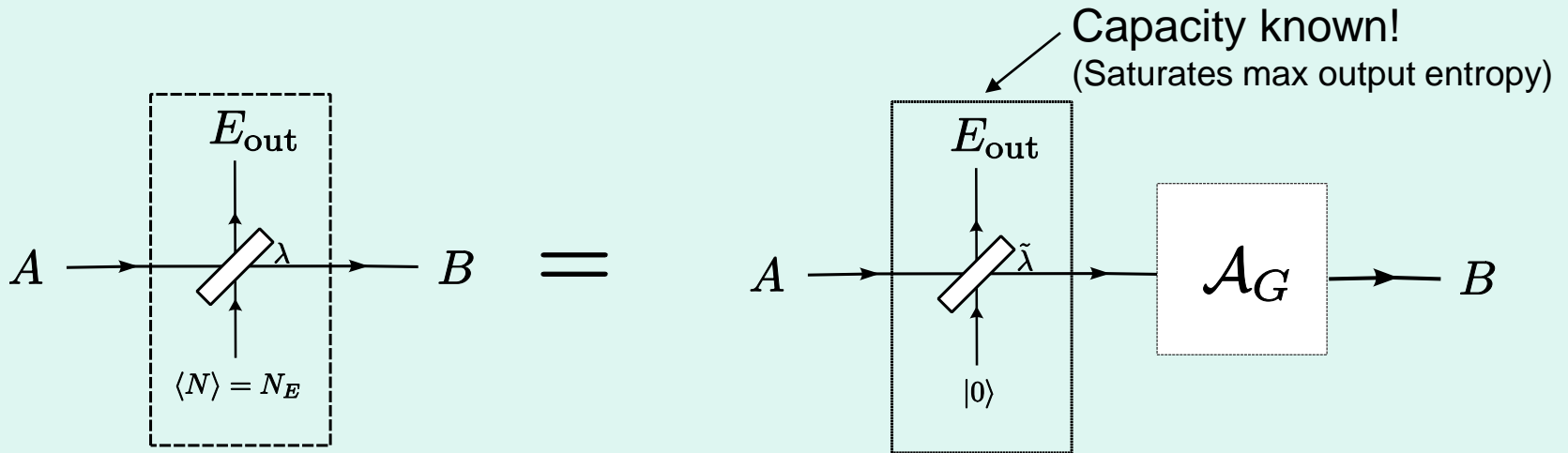
Proof:



Bottleneck

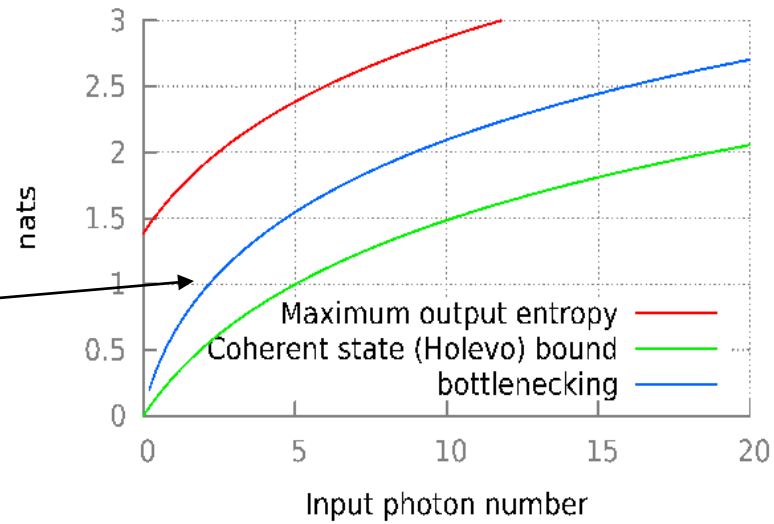


Then $C(\mathcal{E}; N) \cdot C(\mathcal{E}_1; N)$



Known and new bounds on classical capacity

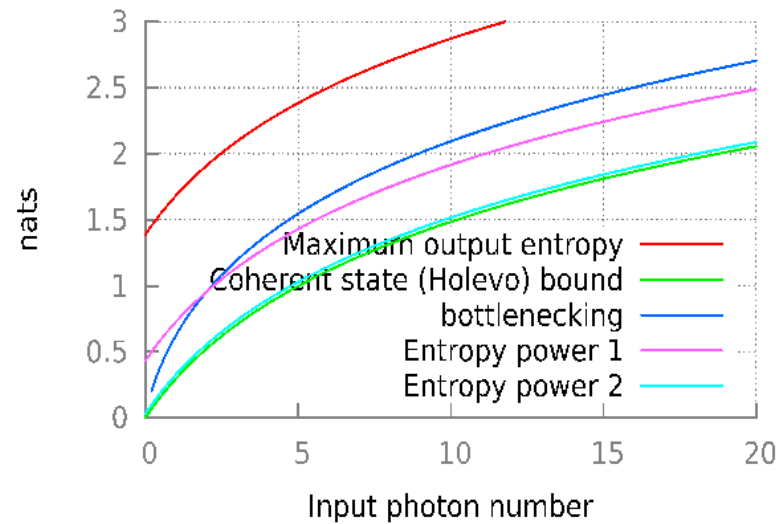
transmissivity $\lambda = 1/2$, $N_E = 2$



Always within
a nat

New bounds from entropy power inequalities

alternative (often better) bounds and new proof technique!



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Additive bounds on minimum output entropy and capacity

If $f(\rho; N_E) \leq \frac{1}{n} H(N_{\rho}^{\otimes n}; N_E)$ for all $n \geq 1$ then

$$C(N_{\rho}; N_E; N) \leq g(\rho, N + (1 - \epsilon)N_E) + f(\rho; N_E)$$

Additive bounds on minimum output entropy and capacity

If $f(s; N_E) = \frac{1}{n} H(N_s^{-n}; N_E (1/n))$ for all $1/2$ then

$$C(N_s; N_E; N) = g(s, N + (1 - s)N_E) - f(s; N_E)$$

Proof:

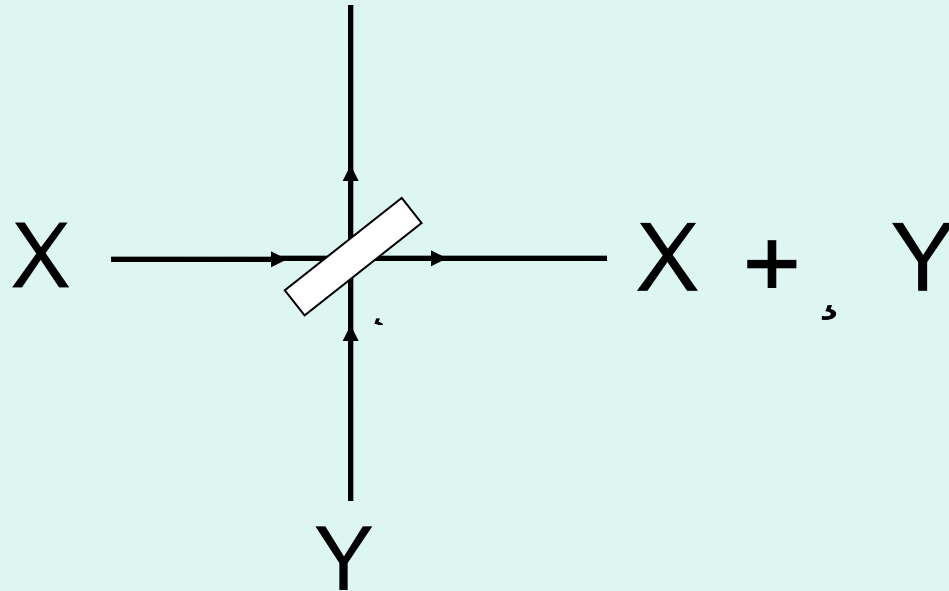
$$\hat{A}(N^{-n}; nN) = \max_{\substack{p_x; \sum p_x = 1 \\ p_x \leq 1/2}} H(N_s^{-n}; N_E (1/n)) - \sum_x p_x H(N_s^{-n}; N_E (1/n))$$

$$= nH_{\max}(N_s; N_E) - H_{\min}(N_s; N_E)$$

Quantum Entropy Power Inequality v1

$$\lambda H(X) + (1 - \lambda)H(Y) \leq H(\lambda X + (1 - \lambda)Y)$$

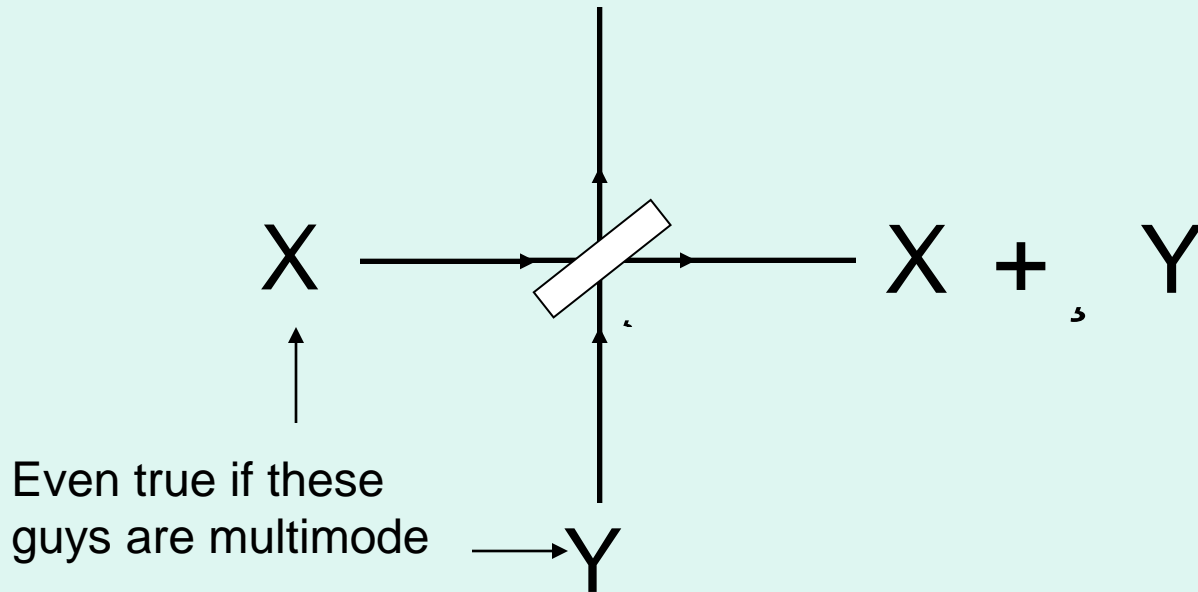
for all $\lambda \in [0, 1]$



Quantum Entropy Power Inequality v1

$$\lambda H(X) + (1 - \lambda)H(Y) \leq H(\lambda X + (1 - \lambda)Y)$$

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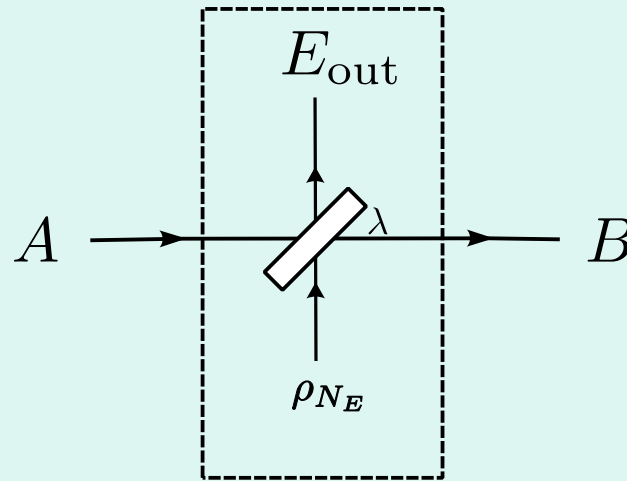


Quantum Entropy Power Inequality v1

$$\frac{1}{s} H(A) + (1 - \frac{1}{s}) H(E) \geq H(B)$$

for all $\frac{1}{s} \in [0, 1]$

Single channel use:

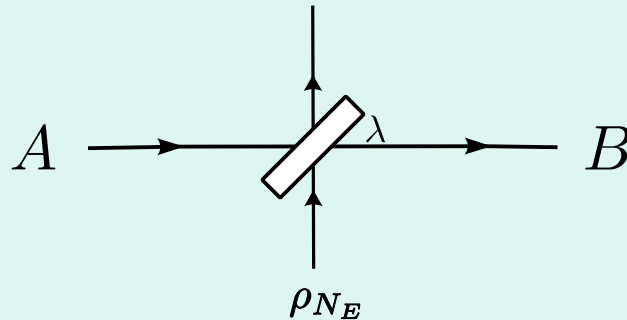


Quantum Entropy Power Inequality v1

$$\frac{1}{s} H(A) + (1 - \frac{1}{s}) H(E) \geq H(B)$$

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Single channel use:



Quantum Entropy Power Inequality v1

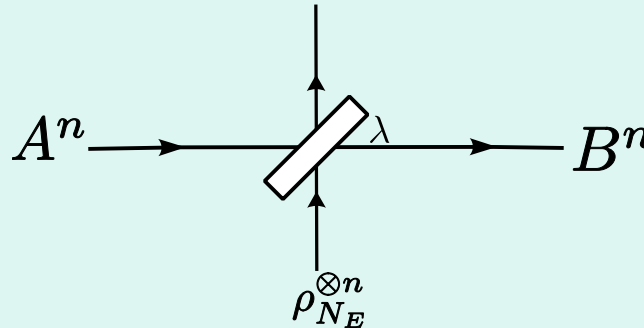
$$\frac{1}{2} H(A^n) + (1 - \frac{1}{2}) H(E^n) \geq H(B^n)$$

zero

product

for all $\frac{1}{2} A^n - \frac{1}{2} E^n$

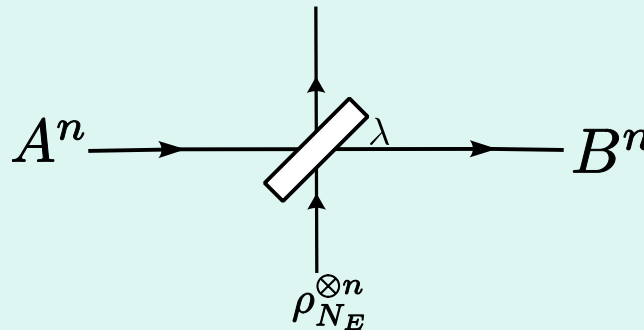
multiple channel uses:



Quantum Entropy Power Inequality v1

$$n(1 - \epsilon)g(N_E) \leq H(B^n)$$

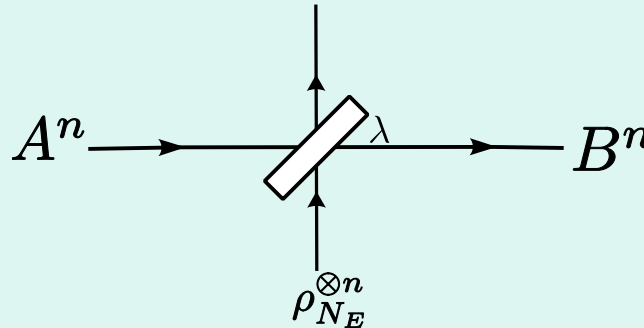
multiple channel uses:



Quantum Entropy Power Inequality v1

$$(1 - \epsilon) g(N_E) \leq \frac{1}{n} H(B^n)$$

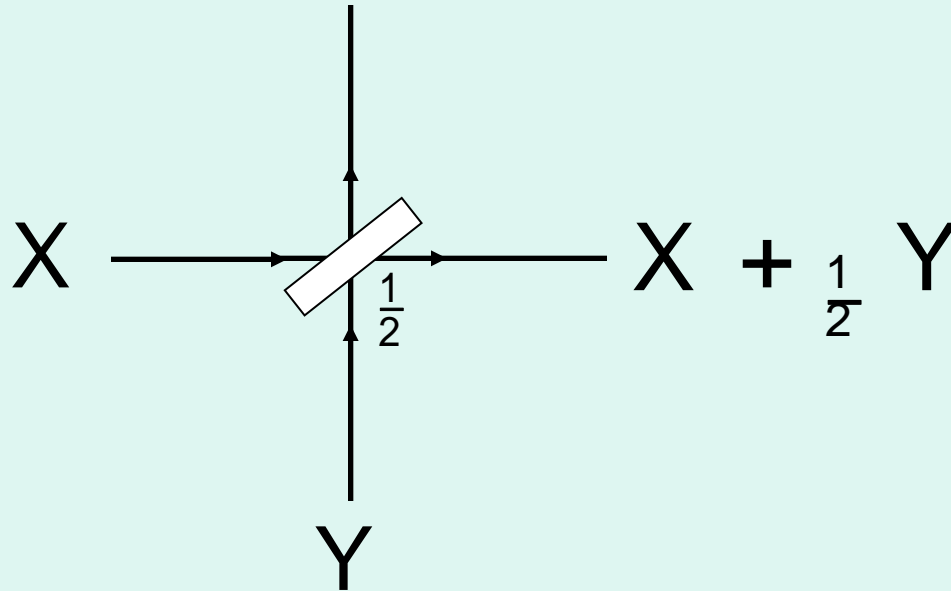
multiple channel uses:



Quantum Entropy Power Inequality v2

$$\frac{1}{2} \exp\left(\frac{1}{n} H(X)\right) + \frac{1}{2} \exp\left(\frac{1}{n} H(Y)\right) \geq \exp\left(\frac{1}{n} H\left(X + \frac{1}{2} Y\right)\right)$$

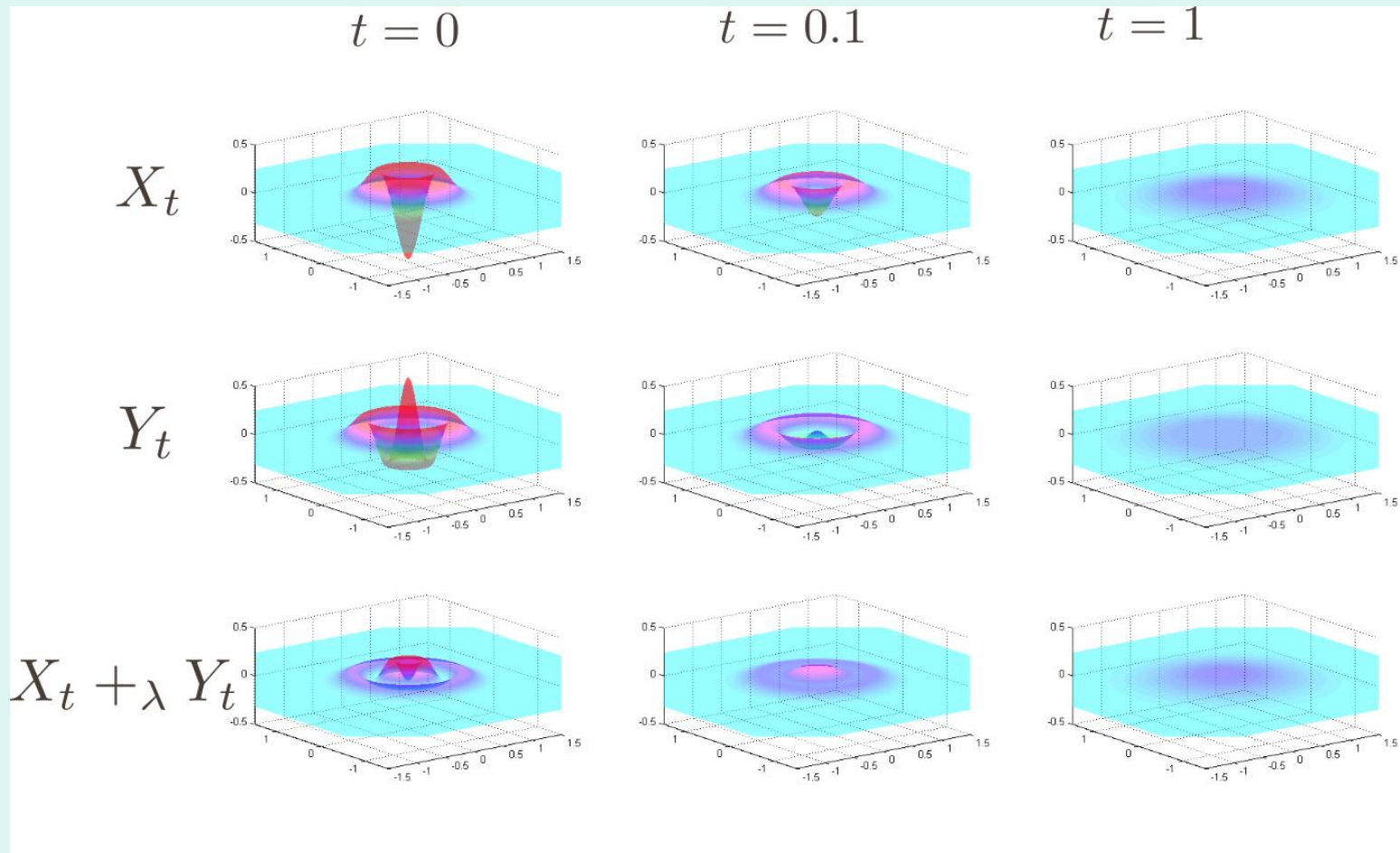
for all $\frac{1}{2} X - \frac{1}{2} Y$



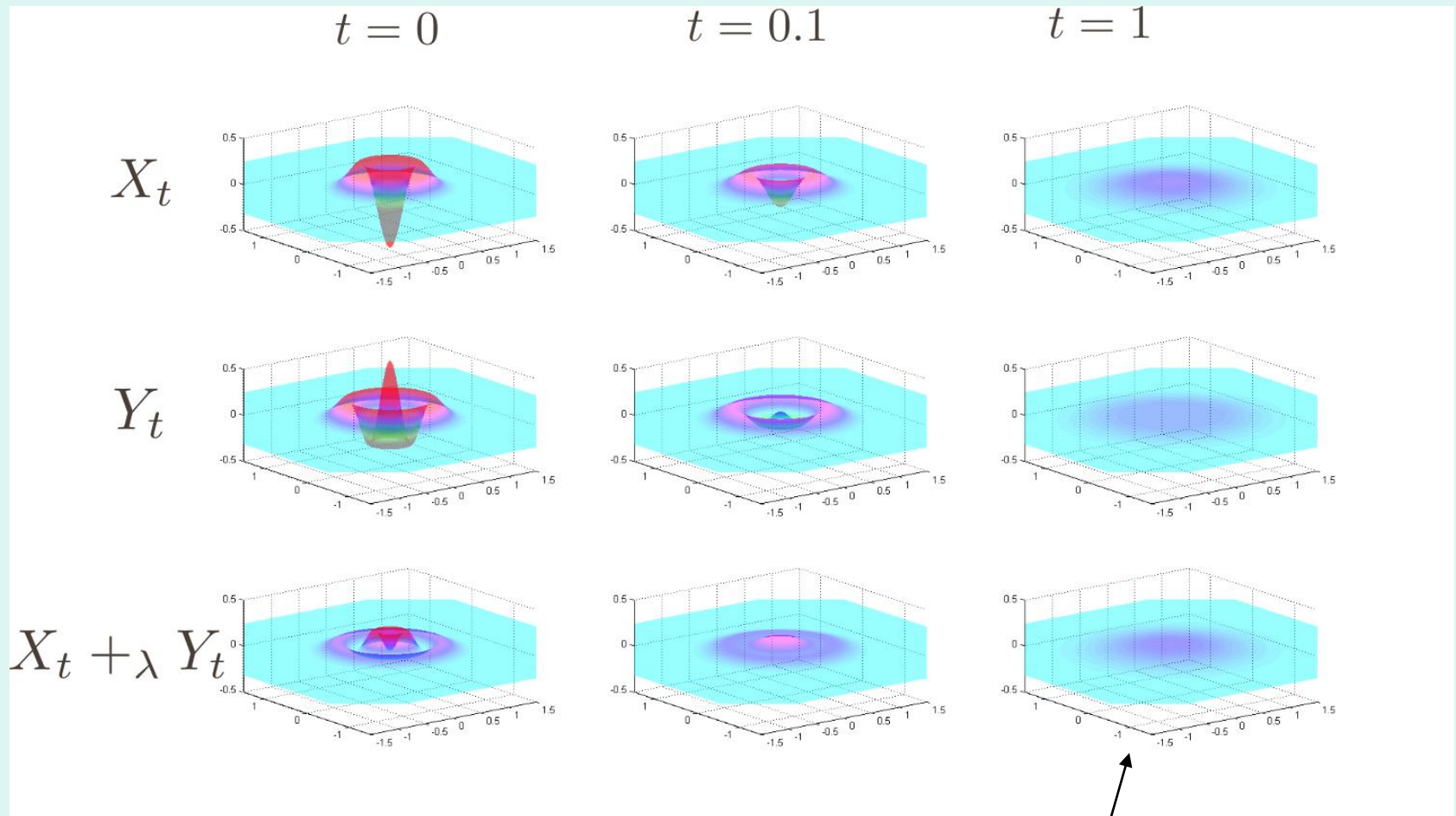
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Idea: Smooth out the differences

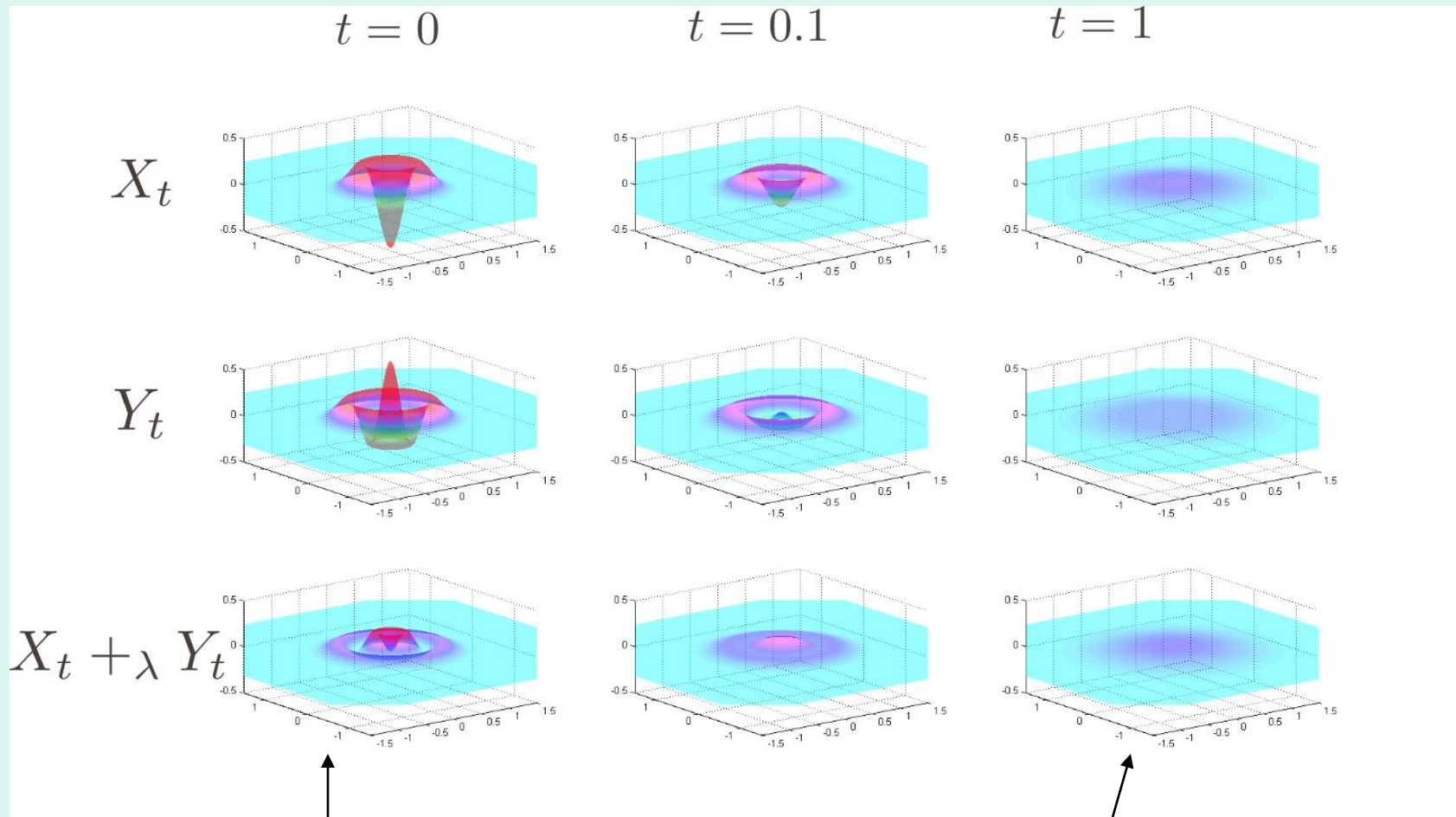


Idea: Smooth out the differences



At late times, satisfied with equality

Idea: Smooth out the differences



Show violations only get worse as process runs

At late times, satisfied with equality

Quantum diffusion process

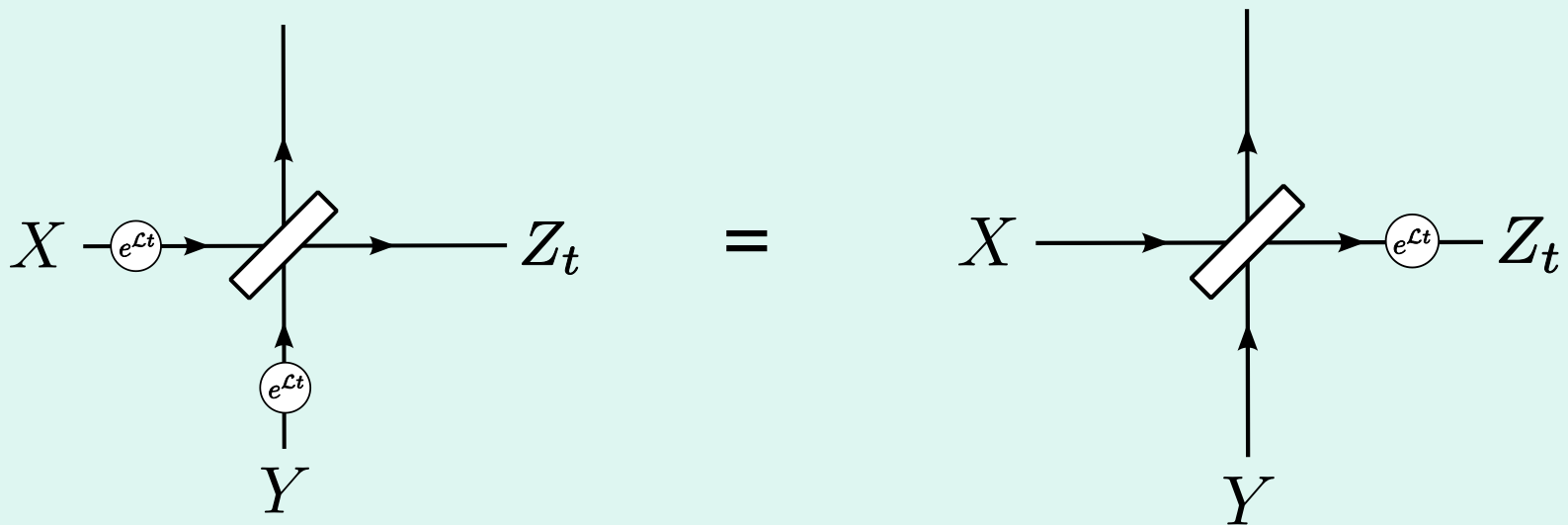
$$\frac{d^1 \rho}{dt} = L(\rho) = i [P; [P; \rho]] + [Q; [Q; \rho]]$$

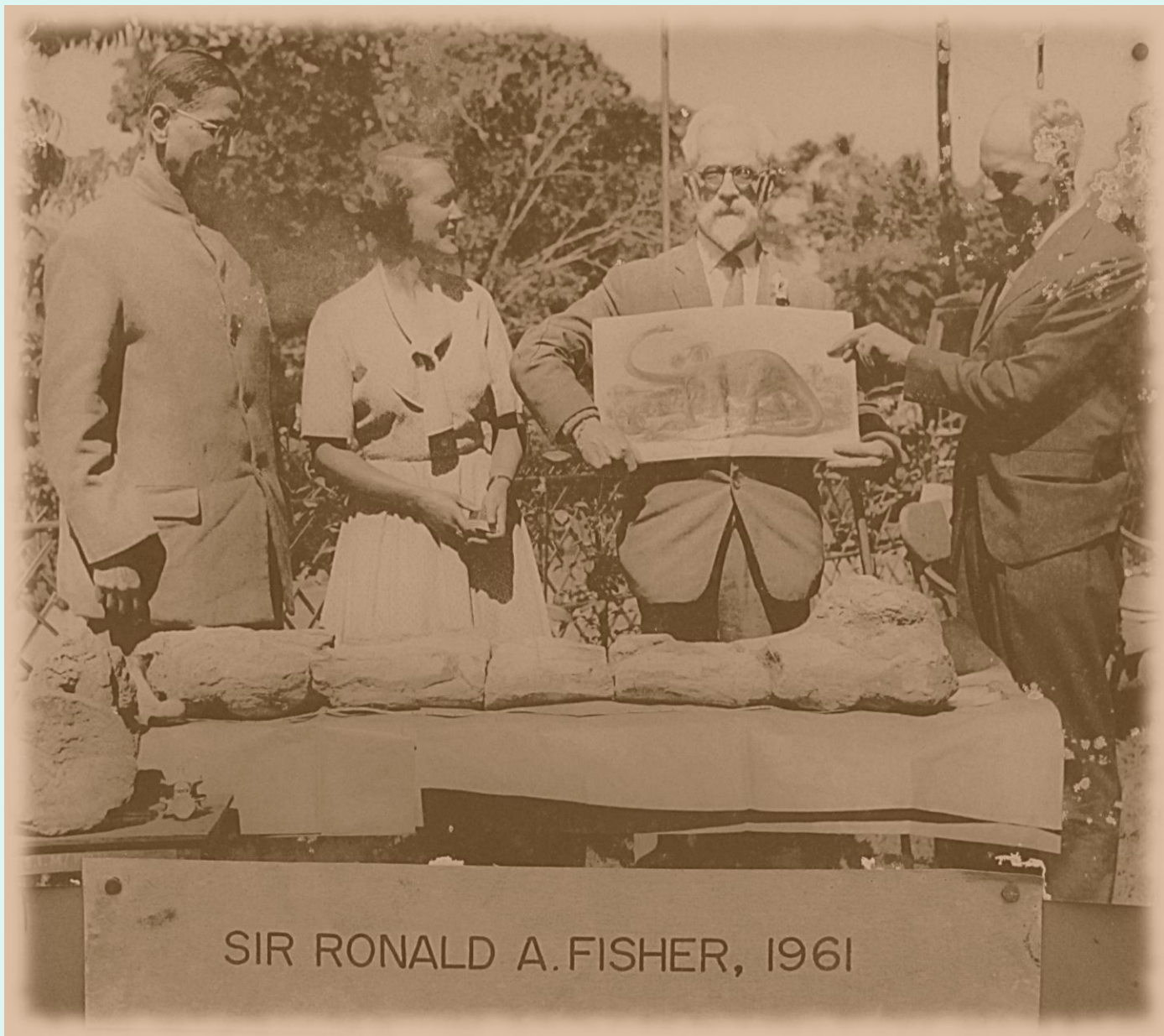
$$\rho = e^{L t}(\rho_0)$$

Quantum diffusion process

$$\frac{d\psi_t}{dt} = L(\psi_t) = i [P; [P; \psi_t]] + [Q; [Q; \psi_t]]$$

$$\psi_t = e^{Lt}(\psi_0)$$





Quantum de Bruijn identity

$$\rho_t = e^{L t}(\rho_0)$$

$$\frac{dH(\rho_t)}{dt} = J(\rho_t)$$

Quantum Fisher Information: $J(\rho_t) = \sum_i \left(\frac{\partial \rho_t}{\partial \mu_i} \right)^2 S(\rho_t | \mu_i)$

$$\rho_{\mu_i} = e^{i\mu R_i} \rho_0 e^{-i\mu R_i} \quad S(\rho_t | \mu_i) = \text{Tr} \rho_t \log \rho_t - \log \rho_{\mu_i}$$

Quantum de Bruijn identity

$$\rho_t = e^{L t}(\rho_0)$$

$$\frac{dH(\rho_t)}{dt} = J(\rho_t)$$

Quantum Fisher Information: $J(\rho_t) = \sum_i \sum_{\mu_i} S(\rho_t^{\mu_i} \rho_t^{\mu_i})$

$$\rho_t^{\mu_i} = e^{i\mu_i R_i} \rho_0^{\mu_i} e^{-i\mu_i R_i} \quad S(\rho_t^{\mu_i} \rho_t^{\mu_i}) = \text{Tr}[\rho_t^{\mu_i} \log \rho_t^{\mu_i} \log \rho_t^{\mu_i}]$$

crucial property:

$$J(X + \lambda Y) = \lambda J(X) + (1 - \lambda) J(Y)$$

Proof ingredients

$$\pm(t) = H(X_t + \lambda Y_t) - \lambda H(X_t) - (1 - \lambda)H(Y_t)$$

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$H(1/2) = g(t)$ as $t \rightarrow 1$, so $\pm(1) = 0$

Proof ingredients

$$\pm(t) = H(X_t + \frac{1}{\epsilon} Y_t) - H(X_t) - (1 - \frac{1}{\epsilon})H(Y_t)$$

$H(\frac{1}{\epsilon}) \rightarrow g(t)$ as $t \rightarrow 1$, so $\pm(1) = 0$

$$\pm^0(t) = J(X_t + \frac{1}{\epsilon} Y_t) - J(X_t) - (1 - \frac{1}{\epsilon})J(Y_t) = 0$$

Proof ingredients

$$\pm(t) = H(X_t + \lambda Y_t) - \lambda H(X_t) - (1 - \lambda)H(Y_t)$$

$$H(\frac{1}{2}) = g(t) \text{ as } t \rightarrow 1, \text{ so } \pm(1) = 0$$

$$\pm^0(t) = J(X_t + \lambda Y_t) - \lambda J(X_t) - (1 - \lambda)J(Y_t) = 0$$

$\pm(0) = 0$ so that

$$H(X + \lambda Y) = \lambda H(X) + (1 - \lambda)H(Y)$$

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Summary

- Bosonic Gaussian Channels model real systems (thermal noise, amplification)
- Lower bound to classical capacity from displaced coherent states
- Gave upper bounds that are close to this lower bound: 1) bottlenecking 2) EPIs
- Entropy power inequality controls entropy production as two states combine at a beamsplitter
- Proof of EPI uses diffusion process that smooths arbitrary state towards gaussians, de Bruijn identity and Fisher information

Questions

- Entropy photon-number inequality: we showed $\lambda E(X) + (1-\lambda)E(Y) \leq E(X +_\lambda Y)$ for $E(X) = H(X)$ and $E(X) = e^{H(X)/n}$ for $E(X) = g^{-1}(H(X))$ we would get capacity exactly
- Quantum Fisher information is not unique: is there a semigroup/EPI pair for each FI?
- Application: supports rough estimates in discrete quadrature model
- Further applications. For classical: gaussian broadcast channel, quadratic gaussian distributed source coding/CEO problem, multiple-description coding, gaussian wiretap channel, ...
- Semi-groups as proof tool: more information-theoretic problems solved by physical smoothing process?

THANK YOU

