# LINK ANALYSIS: HUBS AND AUTHORITIES ON THE WORLD WIDE WEB

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**Abstract.** Ranking the tens of thousands of retrieved webpages for a user query on a Web search engine such that the most informative webpages are on the top is a key information retrieval technology. A popular ranking algorithm is the HITS algorithm of Kleinberg. It explores the reinforcing interplay between authority and hub webpages on a particular topic by taking into account the structure of the web graphs formed by the hyperlinks between the webpages. In this paper, we give a detailed analysis of the HITS algorithm through a unique combination of probabilistic analysis and matrix algebra. In particular, we show that to first order approximation, the ranking given by the HITS algorithm is the same as the ranking by counting inbound and outbound hyperlinks. Using web graphs of different sizes, we also provide experimental results to illustrate the analysis.

**Key words.** webpage ranking, random graph, co-citation, co-reference, HITS, PageRank.

**1. Introduction.** The rapidly growing World Wide Web now contains more than three billion webpages of text, images and other multimedia information. While this vast amount of information has the potential to benefit all aspects of our society, finding the relevant webpages to satisfy a user's information need still remains an important and challenging task. Many commercial search engines have been developed and used by people all over the world. However, the relevancy of webpages returned by search engine is still lacking, and further research and development are needed to make search engine more effective as a ubiquitous information-seeking tool.

A distinct feature of the Web is the proliferation of hyperlinks between webpages which allow a user to surf from one webpage to another with a simple click. We can model the Web as a *directed* graph with the webpages as the nodes and the hyperlinks as the directed edges. This hyperlink graph contains useful information: If webpage $p_i$ has a link pointing to webpage $p_j$, it usually indicates that the creator of $p_i$ considers $p_j$ containing relevant information for $p_i$. Such useful opinions and knowledge are therefore registered in the form of hyperlinks. Exploring the information stored in the link graphs to infer certain relationships is an emerging field of *link analysis*. Recent introductory surveys of web link analysis can be found in [19, 21].

A valuable and informative webpage is usually pointed to by a large number of hyperlinks, i.e., it has a large indegree (see Fig.1). Such a webpage is called an *authority* [22]. A webpage that points to many authority webpages is itself a useful resource and is called a *hub*. A hub usually has a large outdegree. In the context of literature citation, a hub is a review paper which cites many original papers, while an authority is an original seminal paper cited by many papers.

The *Hypertext Induced Topic Selection* (HITS) algorithm of Kleinberg [22] im-

proves on the basic notions of hubs and authorities. HITS assigns importance scores to hubs and authorities, and computes them in a mutually reinforcing way: a good authority must be pointed to by several good hubs while a good hub must point to several good authorities. Further improvements and extensions of HITS were developed in [16, 7, 11, 24, 8, 12, 26, 1, 4]. The goal of this paper is to give a detailed analysis of the HITS algorithm, focusing on the role of indegrees and outdegrees.
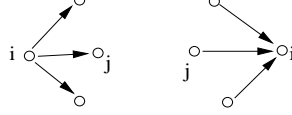


FIG. 1.1. *Left: hub webpage $p_i$ has many out-bound hyperlinks. Right: authority webpage $p_i$ has many in-bound hyperlinks.*

**2. The HITS algorithm.** The HITS algorithm is applied to a set of webpages generated from the search engine for a query. Specifically, a subset of the top-ranked webpages together with their one-hop-away neighbors are used for analysis [22]. In the HITS algorithm, each webpage $p_i$ in the set is assigned a hub score $y_i$ and an authority score $x_i$. The intuition is that a good *authority* is pointed to by many good *hubs* and a good *hub* points to many good authorities. This mutually reinforcing relationship is represented as,

$$(2.1) \qquad x_i' = \sum_{j:e_{ji} \in E} y_j, \quad y_i' = \sum_{j:e_{ij} \in E} x_j; \quad x_i = x_i'/||\mathbf{x}'||, \quad y_i = y_i'/||\mathbf{y}'||.$$

where $|| \cdot ||$ stands for $L_2$ norm. Final hub and authority scores are obtained by iteratively solving Eq.(2.1). By ordering webpages in decreasing order according to their scores, one obtains the rankings of hubs and authorities.

The set of webpages form a directed graph $G = (V, E)$, where webpage $p_i$ is a node in $V$ and hyperlink $e_{ij}$ is an edge in $E$. The adjacency matrix $L$ of the graph is defined as: $L_{ij} = 1$ if $e_{ij} \in E$, 0 otherwise. Authority scores on all $n$ nodes form a vector $\mathbf{x} = (x_1, \cdots, x_n)^T$ and hub scores form a vector $\mathbf{y} = (y_1, \cdots, y_n)^T$. Eq.(2.1) can be cast into

$$\mathbf{x}' = L^T \mathbf{y}, \quad \mathbf{y}' = L\mathbf{x}; \quad \mathbf{x} = \mathbf{x}'/||\mathbf{x}||, \quad \mathbf{y} = \mathbf{y}'/||\mathbf{y}||.$$

Let $\mathbf{x}^{(t)}, \mathbf{y}^{(t)}$ denote hub and authority scores at the $t^{th}$ iteration. The iteration processes to reach the final solutions are

$$(2.2) \qquad\qquad c\mathbf{x}^{(t+1)} = L^T L \mathbf{x}^{(t)}, \quad c\mathbf{y}^{(t+1)} = LL^T \mathbf{y}^{(t)}$$

starting with $\mathbf{x}^{(0)} = \mathbf{y}^{(0)} = \mathbf{e} \equiv (1, \cdots, 1)^T$, where $c$ is a normalization factor so that $||\mathbf{x}|| = ||\mathbf{y}|| = 1$. Since $L^T L$ determines the authority ranking, we call $L^T L$ the authority matrix. Similar, we call $LL^T$ the hub matrix. The final solution $\mathbf{x}^*, \mathbf{y}^*$ are the respective principal eigenvectors of the symmetric positive definite matrices $L^T L$ and $LL^T$ : $L^T L \mathbf{x}^* = \lambda \mathbf{x}^*$ and $LL^T \mathbf{y}^* = \lambda \mathbf{y}^*$, which also characterize the singular value decomposition (SVD) [17] of $L$.

**3. Authority and co-citation, hub and co-reference.** The hub and authority matrices have interesting connections [22] to two important concepts, co-citation
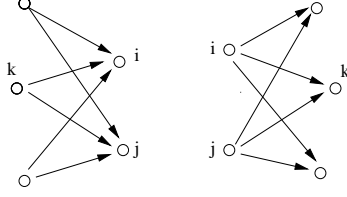
FIG. 2.1. Left: webpages $p_i, p_j$ are co-cited by webpage $p_k$. Right: webpages $p_i, p_j$ co-reference webpage $p_k$.

and co-reference in the fields of citation analysis and bibliometrics, which are fundamental metrics to characterize the similarity between two documents [27, 20]. Here we discuss this relationship in further detail and emphasize the important role of indegrees and outdegrees.

If two distinct webpages $p_i, p_j$ are co-cited by many other webpages, as in Fig.2, $p_i, p_j$ are likely to be related in some way. Thus co-citation is a measure of similarity. It is defined as the number of webpages that co-cite $p_i, p_j$. The co-citation between $p_i, p_j$ can be calculated as $C_{ij} = \sum_k L_{ki} L_{kj} = (L^T L)_{ij}$. The self-citation $C_{ii}$ is not defined and is usually set to $C_{ii} = 0$. Also, $C_{ij} = C_{ji}$. The indegree of webpage $p_i$ is given by $d_i = \sum_k L_{ki} = \sum_k L_{ki} L_{ki} = (L^T L)_{ii}$, since $L_{ki} = 0$ or 1. Let $D$ be the diagonal matrix of indegrees, $D = \text{diag}(d_1, d_2, \cdots, d_n)$, the link structure of $L^T L$ is

$$(3.1) \qquad\qquad L^T L = D + C.$$

Thus the authority matrix is the sum of co-citation and indegree. One also sees that

$$(3.2) \qquad\qquad \max(0, d_i + d_k - n) \le C_{ik} \le \min(d_i, d_k).$$

Thus $C_{ik} = 0$ if $d_i = 0$ or $d_k = 0$. If $d_i = 0$, the $i^{th}$ row of $L^T L$ contains all zeros. From Eq.(2.2), its authority score must be zero.

As shown in Fig.2, the fact that two distinct webpages $p_i, p_j$ co-reference many other webpages indicates that $p_i, p_j$ have certain commonality. Co-reference (bibliometric coupling) measures the similarity between webpages. Let $R = (R_{ij})$ denote the co-reference, where $R_{ij}$ is defined to be the number of webpages co-referenced by $p_i, p_j$, and calculated as (see Fig.2), $R_{ij} = \sum_k L_{ik} L_{jk} = (L L^T)_{ij}$. The self-reference $R_{ii}$ is not defined, and is set to $R_{ii} = 0$. The outdegree of node $p_i$ is $o_i = \sum_k L_{ik} = \sum_k L_{ik} L_{ik} = (L L^T)_{ii}$. Let $O = \text{diag}(o_1, o_2, \cdots, o_n)$, we have

$$(3.3) \qquad\qquad L L^T = O + R.$$

Thus hub matrix is the sum of co-reference and outdegree. We also have the inequality

$$(3.4) \qquad\qquad \max(0, o_i + o_k - n) \le R_{ik} \le \min(o_i, o_k).$$

Clearly $R_{ik} = 0$ if $o_i = 0$ or $o_k = 0$. If $o_i = 0$, the $i^{th}$ row of $L L^T$ contains all zeros; from Eq.(2.2), its hub score must be zero.

It is interesting to note the duality relationship between hubs and authorities, and the duality between co-citations and co-references. This is similar to the duality between documents and words in information retrieval (IR). The fact that hub and authority scores are embedded in SVD resembles the latent semantic indexing in IR[13, 6].

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**4. Probabilistic analysis.** We analyze the structures of the authority and hub matrices in more detail. Eq.(3.1) suggests an interesting and useful observation on the relationship of co-citations and indegrees: in general, nodes with large indegrees will have large co-citations with other nodes, simply because they have more in-links. Conversely, large co-citations are directly related to the large indegrees of the nodes involved.

These intuitions can be made more precise by assuming the web graph as a fixed degree sequence random graph and using probablistic analysis on the expected value of co-citation and co-reference. This is motivated by the result of Aiello et al [2] where it was proposed that the web can be better characterized by a fixed degree sequence random graph, in which node degrees $\{d_1, \cdots, d_n\}$ are first given, and edges are randomly distributed between nodes subject to constraints of node degrees. We have the following:

PROPOSITION 4.1. *For fixed degree sequence random graphs, the expected value of co-citation is given by*

$$(4.1) \qquad\qquad \langle C_{ik} \rangle = d_i d_k / (n-1).$$

*This is consistent with Eq.(3.2).*

*Proof.* We prove this relation assuming $d_i \geq d_k$. There are at most $d_k$ nonzero terms in $C_{ij} = \sum_k L_{ki} L_{kj}$, which is the inner product of $i^{\text{th}}$ and $k^{\text{th}}$ columns of adjacency matrix $L$. Consider the case where $q^{th}$ row in $k^{\text{th}}$ column is one. The probability that the corresponding position in $i^{\text{th}}$ column being 1 is $P(L_{qi} = 1) = C_{n-2}^{d_i-1} / C_{n-1}^{d_i} = d_i/(n-1)$. Here $C_{n-1}^{d_i}$ is the total number of possible patterns for $d_i$ ones in $i^{\text{th}}$ column, and $C_{n-2}^{d_i-1}$ is the total number of possible patterns given that there is a one at row $q$. Thus $\langle C_{ik} \rangle = \sum_q \langle L_{qi} L_{qk} \rangle = \sum_q^{d_k} \langle L_{qi} \rangle = d_k \cdot P(L_{qi} = 1)$, we have Eq.(4.1). $\qquad\square$

From these analyses, we see that node $i$ with large indegree $d_i$ tend to have large co-citations with other nodes. If $d_i > d_j$, we have $\langle C_{ik} \rangle > \langle C_{jk} \rangle, \quad \forall k, k \neq i, k \neq j$. Thus $C_{ik}$ is more likely to be larger than $C_{jk}$, but not necessarily true in every case. We say that $C_{ik} > C_{jk}$ *on average.*

The same analysis can be applied to outdegree and co-reference for hub matrix $LL^T$ . We have

$$(4.2) \qquad\qquad \langle R_{ik} \rangle = o_i o_k / (n-1).$$

This is consistent with Eq.(3.4).

There are several other models for web graph topology and indegree and outdegree distributions such as the webpage copying model [23] and the preferential attachment model [5]. In those more complex models, the degree distributions evolve dynamically; at any given time, however, the web graph tend to be similar to the fixed degree sequence random graph model and Eqs.(4.1,4.2) hold approximately.

**5. Average case analysis.** With the expected value of co-citations given in Eq.(4.1) and the relationship Eq.(3.1) between authorities and co-citations, we can perform an analysis for the average case in which the elements of the authority matrix are replaced by their average values. In this average case, the final ranking scores of

HITS algorithm can be obtained in closed form, providing much insights into the HITS algorithm.

To prove the results of the average case requires the spectral decomposition of a matrix which is the sum of a diagonal matrix and a rank-one matrix: $A \equiv D + \mathbf{cc}^T$. The decomposition for this type of matrices is given in Theorem 8.5.3 in Golub and van Loan [17]. In Theorem 8.5.3, it requires that diagonal entries of $D$ are all distinct. However, in our case, many entries are identical. Thus we generalize Theorem 8.5.3 to this more general case.

THEOREM 5.1. *Spectral decomposition of the n-by-n matrix* $A \equiv D + \mathbf{cc}^T$. *Let* $D$ *be a diagonal matrix of the block form*

$$(5.1) \qquad D = diag(\tau_1 I_1, \ldots, \tau_\ell I_\ell),$$

*where* $I_k, k = 1, \cdots, \ell$, *is the identity matrix of size* $n_k$, $\tau_k$'s *are* $\ell$ *distinct values*

$$(5.2) \qquad \tau_1 > \tau_2 > \cdots > \tau_\ell,$$

*and the block sizes* $n_k$'s *satisfy* $n_1 + \cdots + n_\ell = n$. *Let* $\mathbf{c}$ *be a column vector of the block form* $\mathbf{c} = [\mathbf{c}_1^T, \ldots, \mathbf{c}_\ell^T]^T$ *with* $\mathbf{c}_k$ *being a column vector of size* $n_k$, *and* $\mathbf{c}_k \neq 0$. *Then the eigenvalues of* $A \equiv D + \mathbf{cc}^T$ *are given by*

$$(5.3) \qquad \hat{\tau}_1 > \underbrace{\tau_1 = \ldots = \tau_1}_{n_1 - 1} > \hat{\tau}_2 > \underbrace{\tau_2 = \ldots = \tau_2}_{n_2 - 1} > \cdots > \hat{\tau}_\ell > \underbrace{\tau_\ell = \ldots = \tau_\ell}_{n_\ell - 1}.$$

*The eigenvector of* $A$ *corresponds to the eigenvalue* $\hat{\tau}_k$ *is given by*

$$(5.4) \qquad \left( \frac{\mathbf{c}_1^T}{\hat{\tau}_k - \tau_1}, \frac{\mathbf{c}_2^T}{\hat{\tau}_k - \tau_2}, \ldots, \frac{\mathbf{c}_\ell^T}{\hat{\tau}_k - \tau_\ell} \right)^T.$$

*The eigenvector corresponds to the eigenvalue* $\tau_k$ *is of the form*

$$(5.5) \qquad (0 \cdots 0, \mathbf{u}_k^T, 0 \cdots 0)^T$$

*where* $\mathbf{u}_k$ *is an arbitrary vector of size* $n_k$ *satisfying* $\mathbf{c}_k^T \mathbf{u}_k = 0$.

Proof. Since $\mathbf{c}_k \neq 0$, we can find exactly $(n_k - 1)$ mutually orthogonal $\mathbf{u}_k$'s satisfying $\mathbf{c}_k^T \mathbf{u}_k = 0$; The corresponding $(n_k - 1)$ vectors of the form in Eq.(5.5) form an orthonormal basis for the invariant subspace of $A$ with eigenvalue $\tau_k$. In total we have $(n_1 - 1) + \cdots + (n_\ell - 1)$ eigenvectors of this type with corresponding eigenvalues $\tau_1, \ldots, \tau_\ell$ in Eq.(5.3).

Now consider the $\ell$-by-$\ell$ matrix $\hat{A} \equiv diag(\tau_1, \tau_2, \ldots, \tau_\ell) + \hat{\mathbf{c}}\hat{\mathbf{c}}^T$ with $\hat{\mathbf{c}} = (\|\mathbf{c}_1\|, \ldots, \|\mathbf{c}_\ell\|)^T$. It follows from Eq.(5.2) and Theorem 8.5.3 that $\hat{A}$ has $\ell$ distinct eigenvalues, $\hat{\tau}_1, \cdots, \hat{\tau}_\ell$, satisfying

$$\hat{\tau}_1 > \tau_1 > \hat{\tau}_2 > \tau_2 > \cdots > \hat{\tau}_\ell > \tau_\ell,$$

and the eigenvector of $\hat{A}$ corresponding to $\hat{\tau}_k$ is given by

$$(5.6) \qquad \left( \frac{\|\mathbf{c}_1\|}{\hat{\tau}_k - \tau_1}, \frac{\|\mathbf{c}_2\|}{\hat{\tau}_k - \tau_2}, \ldots, \frac{\|\mathbf{c}_\ell\|}{\hat{\tau}_k - \tau_\ell} \right)^T.$$

For $k = 1, \ldots, \ell$, let $U_k$ be an orthonormal matrix (coordinate rotation) such that

$$U_k^T \mathbf{c}_k = \|\mathbf{c}_k\| \mathbf{z}_k \equiv \tilde{\mathbf{c}}_k.$$

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where $\mathbf{z}_k = (1, 0 \cdots 0)^T$. Define $U = diag(U_1, \ldots, U_\ell)$, and $\tilde{\mathbf{c}} = [\tilde{\mathbf{c}}_1^T, \ldots, \tilde{\mathbf{c}}_\ell^T]^T$. Then, $U^T A U = D + \tilde{\mathbf{c}}\tilde{\mathbf{c}}^T$. By construction, the block structure of $D + \tilde{\mathbf{c}}\tilde{\mathbf{c}}^T$ matches that of $\hat{A}$. Clearly, if Eq.(5.6) is the eigenvector of $\hat{A}$ with the eigenvalue $\hat{\tau}_k$, then

$$(5.7) \qquad \left( \frac{\|\mathbf{c}_1\|\mathbf{z}_1^T}{\hat{\tau}_k - \tau_1}, \frac{\|\mathbf{c}_2\|\mathbf{z}_2^T}{\hat{\tau}_k - \tau_2}, \ldots, \frac{\|\mathbf{c}_\ell\|\mathbf{z}_\ell^T}{\hat{\tau}_k - \tau_\ell} \right)^T$$

is an eigenvector of $D + \tilde{\mathbf{c}}\tilde{\mathbf{c}}^T = U^T A U$ with the same eigenvalue. To get the corresponding eigenvector of $A$, we multply $U$ from the left of Eq.(5.7). Noting that $U_k \mathbf{z}_k = \mathbf{c}_k / \|\mathbf{c}_k\|$, this gives the eigenvector of Eq.(5.4). $\qquad \square$

Suppose the largest $m$ ($m > 1$) diagonal entries of $D$ are distinct. Then the $m$ corresponding eigenvectors of $D + \mathbf{c}\mathbf{c}^T$ are of the form in Eq.(5.4). Let $D = diag(\tau_1, \tau_2, \ldots, \tau_n)$ and $\mathbf{c} = (c_1, c_2, \ldots, c_n)^T$, where $\tau_i$'s are in nonincreasing order, in contrast with the block form of Eqs.(5.1,5.2). Let $k \leq m$. The $k^{th}$ eigenvector of $D + \mathbf{c}\mathbf{c}^T$ can be written as

$$(5.8) \qquad \left( \frac{c_1}{\hat{\tau}_k - \tau_1}, \frac{c_2}{\hat{\tau}_k - \tau_2}, \ldots, \frac{c_n}{\hat{\tau}_k - \tau_n} \right)^T.$$

This is the case used in the average case analysis of HITS below.

We now turn to the following main result of this paper:

THEOREM 5.2. *Given a fixed degree sequence random graph, assume (a) the largest $m$ ($m > 1$) indegrees are distinct, $d_1 > \ldots > d_m > d_{m+1} \geq d_{m+2} \cdots \geq d_n$, and (b)*

$$(5.9) \qquad d_i + d_j < n - 1, \quad \forall\, i, j.$$

*The authority matrix $L^T L$ for the average case has the largest $m$ eigenvalues $\lambda_i, i = 1, \ldots, m$, with the following interleave relation,*

$$(5.10) \qquad \lambda_1 > h_1 > \lambda_2 > h_2 > \cdots > \lambda_m > h_m,$$

*and the corresponding eigenvectors*

$$(5.11) \qquad \mathbf{u}_k = ( \frac{d_1}{\lambda_k - h_1}, \frac{d_2}{\lambda_k - h_2}, \ldots, \frac{d_n}{\lambda_k - h_n} )^T, \quad k = 1, \cdots, m.$$

*Here $h_i \equiv d_i - d_i^2/(n-1)$. Analogous results hold for hub matrix $LL^T$.*

*Proof.* Using Eq.(4.1), we have the average case authority matrix

$$< L^T L > = < D > + < C > = \text{diag}(h_1, h_2, \ldots, h_n) + \mathbf{d}\mathbf{d}^T/(n-1),$$

where $\mathbf{d} = (d_1, d_2, \cdots, d_n)^T$. Now $< L^T L >$ is the sum of a diagonal matrix and a rank-one matrix. To apply Theorem 5.1, it requires that $h_1 > h_2 > \cdots > h_m > h_{m+1} \geq \cdots \geq h_n$. This is satisfied, because we have

$$h_i - h_j = (d_i - d_j)[1 - (d_i + d_j)/(n-1)].$$

For any $i < j$, the second factor is positive because of Eq.(5.9). Since webpages are indexed according to their indegrees, the first factor is positive for $i \leq m$, otherwise it is nonnegative. Thus the ordering requirement is satisfied. Eqs.(5.11, 5.10) now follow from Theorem 5.1 directly. $\qquad \square$

Note that condition (b) of Theorem 5.2 (cf. Eq.(5.9)) is satisfied if $d_i < (n-1)/2$ for all $i$, which holds for most webgraphs: the indegree of a node is less than half of the total size. Also, indegrees of a web graph typically follow a power-law distribution [10]: $d_i \propto 1/i^2$. They drop off rapidly. The first few largest indegrees are usually distinct, i.e., condition (a) of Theorem 5.2 is satisfied.

Given Eq.(4.1), one can also perform a first order perturbation analysis and obtain eigenvectors very similar to those in Eq.(5.11) (details omitted here).

These principal eigenvectors of $< L^T L >$ behave fairly regularly, as illustrated in Fig.5. $\mathbf{u}_1$ is always positive. For $\mathbf{u}_2$, the first node is negative, turning positive from the second node. For $\mathbf{u}_3$, the first 2 nodes are negative, turning positive from the third node and so on.
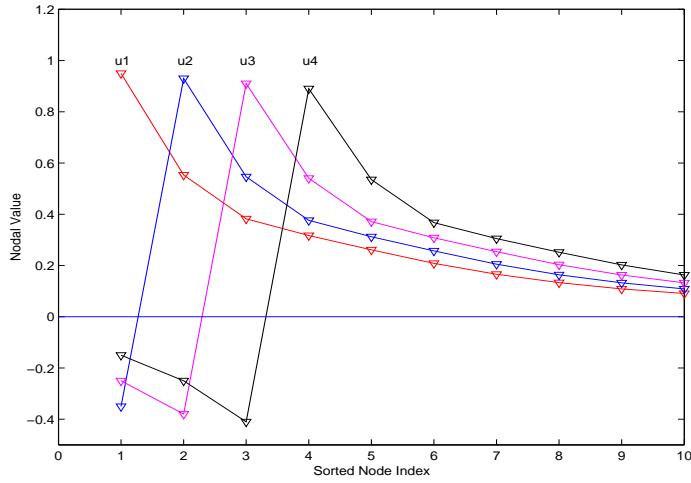


FIG. 5.1. *Eigenvectors of Eq.(5.11).*

**6. Properties of HITS algorithm.** Several interesting results follow directly from Theorem 5.2:

(1) **Webpage ordering**. The authority ranking is, on average, identical to the ranking according to webpage indegrees. To see this, we have the following:

COROLLARY 6.1. *Elements of the principal eigenvector* $\mathbf{u}_1$ *are nonincreasing, assuming webpages are indexed such that their indegrees are in nonincreasing order.*

*Proof.* From Theorem 5.2, we have, for any $i < j$,

$$\mathbf{u}_1(i) - \mathbf{u}_1(j) = \frac{d_i}{\lambda_1 - h_i} - \frac{d_j}{\lambda_1 - h_j} = \frac{(d_i - d_j)[\lambda_1 - d_i d_j/(n-1)]}{(\lambda_1 - h_i)(\lambda_1 - h_j)} \geq 0,$$

because $\lambda_1 - d_i d_j/(n-1) > h_i - d_i d_j/(n-1) = d_i(1 - (d_i + d_j)/(n-1)) > 0$, using Eq.(5.9), and $(\lambda_1 - h_i)(\lambda_1 - h_j)$ is positive. $\square$

From this, we conclude that to the extent that the fixed degree sequence random graph approximate the web, ranking webpages by their authority scores is the same as ranking by their indegrees. Analogous results hold for hub ranking. These indicate that the duality relationship embedded in mutual reinforcement between hubs and authorities are manifested by their indegree and outdegrees.

(2) **Uniqueness**. If $d_1$ is larger than $d_2$, then the principal eigenvector of $L^T L$ is unique, and is quite different from the second principal eigenvector (see Fig.5).

(3) **Convergence**. The convergence for HITS can be rather fast: (1) the starting vector $\mathbf{x}^{(0)} = (1, \cdots, 1)^T$ has large overlap with principal eigenvector $\mathbf{u}_1$, but little overlap with other principal eigenvectors $\mathbf{u}_k, k = 2, \cdots, m$, because $\mathbf{u}_k$ contains negative nodal values (see Fig.5). (2) In the iterations to compute $\mathbf{u}_1$, the convergence rate depends on $\lambda_2/\lambda_1 \simeq h_1/h_2 \simeq d_1/d_2 \simeq (1/2)^2 = 1/4$, using Eq.(5.10) and the fact that indegrees follow power-law distribution [10]: $d_i \propto 1/i^2$. Thus the iteration converges rapidly. Typically 5-10 iterations are sufficient.

(4) **Web communities**. HITS algorithm has been used to identify multiple web communities using different eigenvectors [22, 16]. The principal eigenvector defines a dominant web community. Each of other principal eigenvector $\mathbf{u}_k$ defines two communities, one with non-negative values $\{i|u_k(i) \geq 0\}$ and the other with negative values $\{i|u_k(i) < 0\}$.

From the pattern of eigenvectors in our solutions (see Fig.5), the positive region of different eigenvectors overlap substantially. Thus the communities of positives regions nest with each other; so do communities of negative regions. Therefore, we believe this method to identify multiple communities is less effective. This difficulty is also noticed in practical applications [7]. A number of web community discovery algorithms are being developed, e.g., trawling to find bipartite cores [23], network maximum flow [15], and graph-clustering [18]. One advantage of these methods is that weak communities (topics) can be separated from dominant communities and thus identified. Without explicit community discovery, webpages of weak topics are typically ranked low by HITS (and by indegree ranking) and are often missed.

## 7. Experimental results.

**7.1. Internet archive.** This dataset was supplied by the Internet Archive [3] and was extracted from a crawl performed over 1998-1999. It has 4,906,214 websites and represents a site-level graph of the Web. The principal eigenvectors were obtained using PARPACK [25] on NERSC's IBM SP computer. Table 7.1 lists the top 20 authorities, ranked by HITS (1st column) and by indegree (2nd column).

In general, one see that the HITS ranking and indegree rank are highly correlated, as expected from our analytical results. For these reasons, we consider as *normal* those webpages highly ranked by HITS that also have high indegree. There are two types of webpages that deviate from this general pattern and warrant further examinations: (a) those highly ranked authority webpages by HITS, but with relatively smaller indegrees, and (b) those webpages with large indegrees, but ranked low by HITS. These webpages would have been incorrectly ranked if we simply count indegrees, representing the net improvements brought by HITS algorithm.

For type (b) webpages, we note that three websites *www.linkexchange.com*, *ad.linkexchange.com*, and *member.linkexchange.com* are ranked high by indegree (rank 13, 14, 16 respectively). They are ranked low by HITS (rank 111, 137, 174 respectively). All three sites have very large indegrees, but also very small outdegrees; they are all *sinks*: many sites point to them, but they do not point to anywhere. The mutually reinforcing nature of the HITS algorithm ranked them low, because there

TABLE 7.1
Authority Ranking for Internet Archive

| Hits | Indgr | URL |
|------|-------|-----|
| 1 | 4 | www.yahoo.com |
| 2 | 3 | www.geocities.com |
| 3 | 1 | www.microsoft.com |
| 4 | 6 | members.aol.com |
| 5 | 2 | home.netscape.com |
| 6 | 10 | www.excite.com |
| 7 | 11 | www.lycos.com |
| 8 | 9 | members.tripod.com |
| 9 | 15 | ourworld.compuserve.com |
| 10 | 5 | www.netscape.com |
| 11 | 20 | www.cnn.com |
| 12 | 28 | www.webcom.com |
| 13 | 33 | sunsite.unc.edu |
| 14 | 7 | www.adobe.com |
| 15 | 35 | www.teleport.com |
| 16 | 17 | www.altavista.digital.com |
| 17 | 25 | www.w3.org |
| 18 | 19 | www.infoseek.com |
| 19 | 18 | www.angelfire.com |
| 20 | 21 | www.hotbot.com |
| ... | ... | ...... |
| 111 | 13 | www.linkexchange.com |
| 137 | 14 | ad.linkexchange.com |
| 174 | 17 | member.linkexchange.com |

TABLE 7.2
Hub Ranking for Internet Archive

| Hits | Outdgr | URL |
|------|--------|-----|
| 1 | 4 | www.yahoo.com.au |
| 2 | 5 | www.yahoo.co.uk |
| 3 | 3 | dir.yahoo.com |
| 4 | 7 | www.yahoo.com.sg |
| 5 | 8 | www.yahoo.ca |
| 6 | 9 | www2.aunz.yahoo.com |
| 7 | 1 | members.aol.com |
| 8 | 2 | www.geocities.com |
| 9 | 6 | members.tripod.com |
| 10 | 10 | ispc.yahoo.co.uk |
| 11 | 11 | y3.yahoo.ca |
| 12 | 12 | y4.yahoo.ca |
| 13 | 13 | www6.yahoo.co.uk |
| 14 | 16 | tv.yahoo.com.au |
| 15 | 17 | www.yahoo.co.nz |
| 16 | 19 | soccer.yahoo.com.au |
| 17 | 18 | www.yahoo.com.my |
| 18 | 21 | www.aunz.yahoo.com |
| 19 | 20 | 203.103.130.22 |
| 20 | 23 | 206.222.66.43 |

are no good hubs pointing to them. These anomalies indicate the effectiveness of the HITS algorithm.

As for type (a) webpages, we mention two websites: (1) *sunsite.unc.edu*, which is ranked 13 in HITS, but is ranked 33 by indegree. This site holds many software repositories, but few out-bound links. Its higher HITS ranking is reasonable because more top sites such as microsoft point to it. (2) *www.teleport.com*, which is ranked 15 by HITS, but is ranked 35 by indegree. This site has a large number of out-links, and more top sites point to it.

TABLE 7.3
*Authority Ranking for Running*

| Hits | Indgr | URL |
|------|-------|-----|
| 1 | 2 | www.runnersworld.com/ |
| 2 | 5 | sunsite.unc.edu/drears/running/running.html |
| 3 | 4 | www.usatf.org/ |
| 4 | 1 | www.coolrunning.com/ |
| 5 | 6 | www.clark.net/pub/pribut/spsport.html |
| 6 | 8 | www.runningnetwork.com/ |
| 7 | 9 | www.iaaf.org/ |
| 8 | 14 | www.sirius.ca/running.html |
| 9 | 12 | www.wimsey.com/ dblaikie/ |
| 10 | 15 | www.kicksports.com/ |
| 11 | 7 | www.nyrrc.org/ |
| 12 | 18 | www.usaldr.org/ |
| 13 | 20 | www.halhigdon.com/ |
| 14 | 25 | www.ontherun.com/ |
| 15 | 10 | www.runningroom.com/ |
| 16 | 23 | www.webrunner.com/webrun/running/running.html |
| 17 | 22 | www.doitsports.com/ |
| 18 | 21 | www.arfa.org/ |
| 19 | 19 | www.adidas.com/ |
| 20 | 11 | www.uta.fi/ csmipe/sport/ |

Table 7.1 lists the top hubs, ranked by HITS (1st column) and by outdegree (2nd column). Here one see very high correlation between the HITS ranking and outdegree ranking, indicating that our approximate analytical results are fairly accurate in this case.

We note, however, that the distinction between hubs and authorities are sometime blurred. Good examples are *members.aol.com, www.geocities.com*, etc. they are ranked very high in both authority list and hub list. Although they are not authoritative on any particular subject, careful content selection and organization on these websites make them valuable, almost like authoritative figures. This also happens in the bibliometrics domain, where some good survey papers/books (hubs) become as valuable or important as the original seminar papers (authorities), because these good surveys are written by authoritative people in the field, and they provide the additional insights beyond original seminar papers.

**7.2. Open directory project.** This dataset is about the topic *Running* which contains a total of 13152 webpages. This dataset is a sub-category of a larger category *Fitness* which is obtained from the Open Directory Project(ODP) *www.dmoz.org*. Under each category of the ODP, there is a relatively focused topic. The data file from the ODP contains the hierarchical structure of these webpages. We form the link graph of sub-category *Running* by extracting from the *Fitness* linkgraph the document IDs of those webpages under *Running* sub-category.

Table 7.2 lists the top 20 authorities, ranked either by HITS (1st column) or by indegree (2nd column). Here the correlation between the HITS ranking and the indegree ranking is high. If we organize the ranking results in first top 10, second top 10, etc., as done by many internet search engines, the matches within first top 10, and second top 10 are fairly close.

Table 7.2 lists the top hubs, ranked by HITS (1st column) and by outdegree (2nd column). For the hub ranking, correlation between the HITS ranking and the indegree

TABLE 7.4
*Hub Ranking for Running*

| Hits | Outdgr | URL |
|---|---|---|
| 1 | 3 | www.fix.net/ doogie/links.html |
| 2 | 1 | www.gbtc.org/whatelse.html |
| 3 | 4 | www.usateamsports.com/running.htm |
| 4 | 15 | home1.gte.net/gregtrrc/links.htm |
| 5 | 17 | www.afn.org/ ftc/othlinks.html |
| 6 | 19 | www.grainnet.com/rdraces/websites.html |
| 7 | 14 | www.runner.org/links.htm |
| 8 | 20 | directory.netscape.com/Health/Fitness/Running |
| 9 | 21 | www.dmoz.org/Health/Fitness/Running/ |
| 10 | 20 | directorysearch.mozilla.org/Health/Fitness/Running/ |
| 11 | 15 | dmoz.org/Health/Fitness/Running |
| 12 | 25 | www.cajuncup.com/links.htm |
| 13 | 11 | www.rrm.com/sites.html |
| 14 | 18 | www.doitsports.com/guides/running.html |
| 15 | 20 | www.webcrawler.com/kids_and_family/hobbies/outdoors/running |
| 16 | 20 | magellan.mckinley.com/lifestyle/hobbies_and_recreation/outdoors/... |
| 17 | 28 | www.webfanatix.com/running_resources.htm |
| 18 | 28 | www.webfanatix.com/_vti_bin/shtml.exe/running_resources.htm/map |
| 19 | 25 | www.isp.nwu.edu/ brianw/running.html |
| 20 | 23 | www.geocities.com/HotSprings/Resort/5457/ |

ranking is not as high as for the authority, but still apparent, especially if we look at the top 3.

**8. Discussion.** We analyze the HITS algorithm and obtained the solutions assuming that webgraphs are fixed degree sequence random graphs. Several important characteristics of the HITS algorithm are explained. One result is that, on average, the HITS authority ranking is the same as the ranking by indegree. Experiments on several web groups support this result.

Besides HITS, another popular ranking algorithm is PageRank [9] used in search engine Google. PageRank explores the link graph characteristics, but uses a random surf model which can be reviewed as hyperlink weight normalization. (HITS instead focuses on mutual reinforcement between authorities and hubs.) These main features of HITS and PageRank are generalized and combined into a unified framework in which one can show that ranking by PageRank is also highly correlated with ranking by indegree [14].

The key motivation of mutual reinforcement in HITS is that a "good" hub must point to several "good" authorities while a "good" authority must be pointed to by several "good" hubs. The key motivation of PageRank is that an "informative" webpage must point to and be pointed to by other informative webpages. But for a webpage to become "informative" in the first place, it must have the quality to attract certain amount of in-bound links, or votes from other webpages. The dynamics of the web growth process [23, 5] has the snowball effect which gradually leads to the high correlation between "informativeness" and indegree. Thus mutual reinforcement and the high correlation between HITS ranking and indegree ranking describe different aspects of the web growth process: one is from relationship point of view, the other from statistical point of view.

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