



Literature Based Discovery: Beyond the ABCs

Journal:	<i>Journal of the American Society for Information Science and Technology</i>
Manuscript ID:	JASIST-2011-04-0161.R1
Wiley - Manuscript type:	Review Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Smalheiser, Neil; University of Illinois at Chicago, Psychiatry
Keywords:	text mining < data mining < knowledge discovery < automatic extracting < computer applications < computer operations < (activities and operations), knowledge discovery < automatic extracting < computer applications < computer operations < (activities and operations)

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

**Literature Based Discovery:
Beyond the ABCs**

By

Neil R. Smalheiser

University of Illinois at Chicago
Psychiatric Institute MC912
1601 W. Taylor Street
Chicago, IL 60612
312-413-4581
neils@uic.edu

For Peer Review

Abstract

Literature based discovery (LBD) refers to a particular type of text mining that seeks to identify non-trivial assertions that are *implicit*, and not explicitly stated, that are detected by juxtaposing (generally a large body of) documents. In this review, I will provide a brief overview of the past and present of literature based discovery, and will propose some new directions for the next decade. The prevalent A-B-C model is not “wrong”. However, it is only one of several different types of models that can contribute to the development of the next generation of LBD tools. Perhaps the most urgent need is to develop a series of objective literature-based interestingness measures, which can customize the output of LBD systems for different types of scientific investigations.

Introduction

Text mining is an umbrella term for extracting and analyzing information expressed in the form of text. Literature based discovery (LBD) refers to a particular type of text mining that seeks to identify non-trivial assertions that are *implicit*, and not explicitly stated, within (generally a large body of) documents. As articulated by Don Swanson (1986a,b, 1988), identifying such assertions is a first step in formulating and assessing new scientific hypotheses that may be regarded as potential new discoveries. Strategies for literature based discovery have been studied primarily by information and computer scientists (see the comprehensive book edited by Bruza and Weeber (2008) for reviews (e.g., Hristovski et al, 2008; Sehgal et al, 2008; Smalheiser and Torvik, 2008; Wren, 2008; Yetisgen-Yildiz and Pratt, 2008). The bioinformatics community has also created numerous specialized systems that utilize implicit textual assertions for predicting, e.g., gene associations with disease and protein-protein interactions (e.g., Jansen et al, 2003; Rzhetsky et al, 2007; Leach et al, 2009; van Haagen et al, 2009; Tjioe et al, 2010). In this review, I will provide a brief overview of the past and present of literature based discovery, and will propose some new directions for the next decade.

The goal of literature-based discovery is really to generate or assess new **hypotheses** which might represent potential scientific discoveries, and hence are worthy of follow up in the laboratory or clinic. The term literature-based discovery can be ambiguous or misleading (Kostoff, 2007, Kostoff et al, 2009) and Bekhuis (2006) has proposed that it should be replaced with some alternative term such as “exploratory mining”. “Discovery” has many different meanings in different contexts and at different stages in

1
2
3 the cycle of scientific discovery (Grinnell, 2009). A LBD system might be very useful
4 when it “discovers” things that are novel to the investigator doing the search, even if it is
5 well known to other experts or even to the scientific community at large. On the other
6 hand, a great deal of information has been published, and hence *ought* to be known by the
7 scientific community, yet lies unknown, inaccessible or neglected for one reason or
8 another (“undiscovered public knowledge”; Swanson, 1986a; “neglected medical
9 discoveries”, Swanson, 2011).

10
11
12
13
14
15
16
17
18
19
20
21
22 A few years ago, Vetle Torvik and I published a case of “undiscovered public
23 knowledge” in genomics databases – namely, the fact that a significant subset of
24 mammalian microRNA precursors derive entirely from genomic repeat elements
25 (Smalheiser and Torvik, 2005). To make this observation, all that was necessary was to
26 view microRNA genes on the UCSC Genome Browser, juxtapose the microRNA track
27 with the Repeatmasker track, and notice the association. The knowledge contained in the
28 Browser is entirely public and explicit; nothing implicit was involved. However, no one
29 had apparently thought to look for such a pattern before -- it was literally hidden in plain
30 view.
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45

46 This single discovery can be deconstructed into a series of discoveries: First, in the
47 course of an earlier study (Smalheiser and Torvik, 2004), we “discovered” the hypothesis
48 that microRNAs might derive from genomic elements; then, we “discovered” the
49 observation as empirical data lying within public databases. Finally, the finding was
50 analyzed further in detail, written up, and subjected to peer review, to establish the
51
52
53
54
55
56
57
58
59
60

1
2
3 microRNA / genomic repeat link as a generally accepted and biologically significant fact,
4
5 which would be generally acknowledged as a “discovery” by anyone’s definition
6
7
8 (Grinnell, 2009). With these caveats, in the present paper, I will refer to any knowledge
9
10 or finding identified using a LBD system or strategy as a “discovery” regardless of where
11
12 it sits in the cycle of scientific discovery – as long as it provides something new to the
13
14 searcher that assists him or her in the task of generating or assessing a hypothesis.
15
16

17 18 19 20 **A “Dirty Little Secret”** 21

22
23 A further ambiguity is that literature-based discovery can refer either to a “system” –
24
25 that is, a software product designed to assist (or replace) humans in formulating
26
27 hypotheses – or to a “strategy” – a cognitive approach that humans employ to combine
28
29 assertions, whether carried out as a deliberate conscious effort or in an intuitive manner.
30
31 For several reasons, it has been very difficult to obtain hard evidence documenting the
32
33 extent to which literature-based discovery does, or potentially can, accelerate the process
34
35 of scientific discovery.
36
37
38

39
40
41
42 One the one hand, only a score or so published scientific articles have proposed
43
44 hypotheses that they said were obtained via literature based discovery systems; only a
45
46 few have validated the hypotheses experimentally in the same article (e.g., Wren et al,
47
48 2004) or even openly acknowledged that LBD played any role in their thinking (Manev
49
50 and Manev, 2010). Some observers (e.g., Spasser, 1997) have used this paucity of
51
52 evidence to suggest that LBD arose within the information science community (and
53
54 stayed there) without successfully connecting with active scientists. However, we must
55
56
57
58
59
60

1
2
3 not forget the stark distinction between Private and Public phases of discovery– most of
4 the thoughts, conjectures, pilot studies, puzzling findings, modeling activities, and
5 literature searches that are pursued during the private phase of a scientist’s work are
6 missing, sanitized or erased from the final published article (Grinnell, 2009). Just as
7 scientists are generally loath to publish negative findings, most experimental scientists
8 regard hypothesis-papers as an inferior type of literature (in the same manner, I suppose,
9 in which poets regard limericks) and generally will only postulate new hypotheses in
10 print when tacked at the end of an experimental study or a review article. Another factor
11 is that scientists may be reluctant to trust, much less give credit to LBD systems for their
12 outputs. Computer-generated diagnosis systems were rejected by physicians, in part, for
13 similar reasons – they were unwilling to trust or to credit the software when it gave the
14 correct diagnosis, since physicians still had to double-check its reasoning and use their
15 own judgment anyway (Shortliffe, 1987).
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35

36 More likely, scientists are, indeed, carrying out LBD analyses routinely on their own,
37 manually and unsystematically, perhaps without realizing it. For example, Don Swanson
38 once followed up the impact of several of his classic LBD hypothesis articles (Swanson,
39 1986b, 1988) by looking at later articles written by others, which validated these
40 hypothesis in experimental or clinical studies. He demonstrated persuasively that these
41 later authors had read, and been influenced by, his own earlier papers, yet few of them
42 cited or discussed them (Swanson, 1993). Moreover, at the panel “Beyond (simple)
43 Reading: Strategies, Discoveries, and Collaborations” held at the 2009 ASIS&T meeting,
44 I gave a detailed example of one neuroscientist who carried out a classic, systematic A –
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 B – C analysis that led to the discovery of a new extracellular matrix protein receptor –
4
5 yet was unaware that she was performing a discrete, iterated LBD text mining task. She
6
7 thought she was simply reading a bunch of articles and reasoning logically about them!
8
9
10 Indeed, literature based discovery does represent intuitive common sense, but domain
11
12 scientists do not realize that modeling common sense is a formal (and very hard)
13
14
15 problem.
16
17

18
19
20 To my knowledge, there has not been any systematic evaluation of when, and how
21
22 often, scientists carry out LBD-style analyses (manually) in the course of their scientific
23
24 work. Nor is it clear whether scientists, themselves, recognize when they are doing a
25
26 LBD analysis, as opposed to carrying out a literature search or other types of information
27
28 seeking activities. This is a great PhD thesis topic for someone.
29
30
31

32
33
34 Yet another hurdle for the LBD community is the fact that most domain scientists in
35
36 the biomedical and physical sciences seem to be unaware of the various web-based LBD
37
38 interfaces that have been set up by information scientists (reviewed in Weeber et al,
39
40 2005). Only a few of these websites have been maintained continuously by their creators,
41
42 and only a few have been subjected to user testing (Smalheiser et al, 2006; Yetisgen-
43
44 Yildiz and Pratt, 2008; Yetisgen-Yildiz et al, 2009). The Arrowsmith two node search
45
46 interface (<http://arrowsmith.psych.uic.edu>) has been shown to assist field testers
47
48 materially in assessing their hypotheses (Smalheiser et al, 2006), and has even garnered
49
50 unsolicited testimonials from outside users of the site (Best of the Web, 2007; Manev and
51
52 Manev, 2010).
53
54
55
56
57
58
59
60

1
2
3
4
5
6 Finally, hypothesis formation is only one of many driving forces for discovery.
7
8 Someone may have a good hypothesis and not pursue it for a variety of reasons including
9
10 lack of funding, lack of available analysis tools (Edwards et al, 2011), competing
11
12 priorities, prevailing biases, and so on. Given all of these considerations, we should not
13
14 be unduly discouraged that literature based discovery seems to have a low profile among
15
16 domain scientists. (Bear in mind that most biomedical scientists do not even utilize
17
18 informatics tools for other basic tasks such as visualizing their data, or summarizing the
19
20 documents retrieved by a literature search.) Going forward, information scientists can
21
22 raise its profile not only by improving LBD algorithms, but also by studying the
23
24 prevalence and role of LBD-like analyses in scientific workflow, and by educating both
25
26 students and scientists in informatics literacy.
27
28
29
30
31
32
33

34 **Incremental vs. Radical Discoveries**

35
36
37 Swanson formulated the strategy of literature-based discovery in terms of what has
38
39 become known as the ABC model (Swanson, 1986b, 1988; Swanson and Smalheiser,
40
41 1997). For example, given the assertion “A affects B” appearing in one article, and “B
42
43 affects C” appearing in a different article, one can derive the implicit assertion “A affects
44
45 C” which represents a potential hypothesis. This formulation has simplicity and power,
46
47 and (given a corpus of articles of the size of PubMed) suffices to generate an enormous
48
49 number of plausible hypotheses. Nevertheless, the time has come to relax the ABC
50
51 formulation and consider alternatives for the field of literature-based discovery.
52
53
54
55
56
57
58
59
60

1
2
3 The ABC approach, as commonly pursued, begins with a collection of articles “A”
4 within MEDLINE or PubMed that represent a scientific problem (e.g., articles that
5 discuss small cell lung carcinoma). Words and phrases “B_i” (which appear in the title or
6 abstract of articles in A) are then listed, and for each “B_i” term (or a filtered subset), a
7 separate literature search is carried out using that term as query. The words and phrases
8 “C_i” which appear in each of the B_i literatures are then compiled (and possibly filtered).
9
10 Finally, by some criteria, the C_i terms are ranked, such that high ranking C_i terms are
11 thought to represent the most promising hypotheses. (Depending on the system, B_i and C_i
12 may alternatively represent other features extracted from the articles such as Medical
13 Subject Headings or concepts.)
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

29 For example, for A = small cell lung carcinoma, and C = members of the category of
30 therapeutic agents, the C_i terms may be the names of drugs which have not yet been
31 tested against small cell lung carcinoma, but which have been proven to have efficacy in
32 other situations (e.g. in other forms of cancer or in animal models) suggesting that they
33 might be explored as new therapies. (Note: some authors reverse the A and C in this
34 scheme, so that one begins with a problem C and seeks to find a possible solution A.)
35
36
37
38
39
40
41
42
43
44
45

46 There are several limitations in this ABC approach. First, the sheer number of B_i terms
47 causes an exponential explosion that is hard to handle computationally, and which
48 requires one or more short-cuts to be implemented (Wren, 2008). Second, the huge
49 number of resulting C_i terms is difficult to assess or interpret manually, so that it is
50 crucial to have effective ranking procedures in order to identify the most promising finds.
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6 Although different systems have dealt with these two issues in various ways, almost
7
8 all current systems employ **similarity algorithms** that rank C_i terms as more promising if
9
10 they closely resemble terms or concepts that are already known to be true in A. For
11
12 example, thalidomide has been investigated as a therapy against certain autoimmune
13
14 diseases, and a LBD analysis predicted that it may be worth investigating in certain other
15
16 diseases that share similar pathogenetic features (Weeber et al, 2003). Reelin has been
17
18 shown to bind to certain proteins, and a LBD analysis identified other proteins (that share
19
20 certain features with the known set) as promising reelin-binding proteins (Homayouni et
21
22 al, 2005). By their very nature, similarity algorithms will only find incremental
23
24 discoveries – those that are similar to what in machine learning is called “the training set”
25
26 (see also Kostoff et al, 2009).
27
28
29
30
31
32
33

34 Another, more subtle limitation of the ABC approach is that systems are generally
35
36 evaluated according to the probability that the AC_i assertions are likely to be true. That is,
37
38 they look for highly probable assertions. However, novel discoveries often seem very
39
40 *unlikely* at the time that they are first proposed (Simonton, 2004). A better approach is to
41
42 rank the C_i terms according to how many different biological mechanisms link C_i and A,
43
44 but the sheer number of linking B_i terms (e.g., as tabulated by Don Swanson’s Kiwi 1-
45
46 node search system; Swanson and Smalheiser, 1987) is a poor proxy for estimating this.
47
48 Other methods, such as mutual information measure, have also been proposed (Wren,
49
50 2004). Use of directional action cues (does A inhibit or enhance B? Giles and Wren,
51
52
53
54
55
56
57
58
59
60

1
2
3 2008) and mapping genes or terms onto functional pathways (e.g., Kim et al, 2011) are
4
5 active research areas in bioinformatics and may contribute to the solution of this problem.
6
7

8
9 Moreover, several of the discovery systems attempt to improve the signal-to-noise
10 ratio by employing natural language processing techniques that identify explicit
11 statements of the form “A affects/binds/regulates/interacts with B” and “B
12 affects/binds/regulates/interacts with C” (e.g., Hristovski et al, 2008). This is certainly a
13 valid approach, particularly suited to simple statements of chemical interactions, and
14 useful for genomics and proteomics data in particular.
15
16
17
18
19
20
21
22
23

24
25 However, I argue that most implicit information present in the scientific literature does
26 not follow such simple templates (and may not consist of simple factual or propositional
27 statements at all). Rather, it is analogies and images -- juxtapositions and novel
28 associations of ideas – that appear most often to stimulate scientists to formulate radically
29 new hypotheses (see discussion in Simonton, 2004). Many classic discoveries follow AB
30 and BC assertions but at a rather high level of abstraction that is unlikely to be captured
31 or highlighted in explicit templated factual statements:
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 a) According to Lenoir and Giannella (2006): “The technological development of peptide
4 and DNA microarrays was driven by analogy to photolithography techniques, particularly
5 those employed by the semiconductor industry. In one of the meetings of the Affymax
6 scientific board, Leighton Read tossed out the idea of just mimicking the makers of
7 semiconductor chips, who use beams of light to manipulate molecules on solid surfaces
8 in order to create random chemical diversity”.

9
10
11
12
13
14
15
16
17
18
19
20 b) According to Ban (2006): “Potassium bromide is the oldest widely used sedative in
21 medicine. Charles Lockock, a London internist, discovered the anticonvulsant and
22 sedative action of the drug. His discovery was one of the many quaint examples of
23 serendipity in which an utterly false theory led to correct empirical results. Lockock, like
24 most physicians of his time, believed that there was a cause-effect relationship between
25 masturbation, convulsions, and epilepsy. Bromides were known to curb the sex drive.
26 Lockock’s rationale was to control epilepsy, i.e., convulsions, by reducing the frequency
27 of masturbation. The treatment was a success insofar as control of convulsions was
28 concerned. It also brought to attention the sedating properties of the drug.” (Admittedly
29 one could construct this discovery from individual pre-existing statements, but only if
30 one were to accept *false* statements (thought to be true at the time) as inputs for discovery
31 systems!)

32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50 c) In my own scientific work, we proposed that RNA interference may have a
51 physiologic role in regulating learning and memory (Smalheiser et al, 2001). This
52 hypothesis was based on similarities between gene silencing studies in *C. elegans* that
53
54
55
56
57
58
59
60

1
2
3 were published around 2000, and experiments carried out on memory transfer in
4 planarians more than 30 years earlier. For example, 1) one can feed *C. elegans* bacteria
5 that express double-stranded RNAs to induce silencing; whereas one could transfer
6 memory in planarians by feeding naïve worms extracts of trained worms. 2) One can
7 inject double-stranded RNAs in one location and it will spread gene silencing throughout
8 the body of *C. elegans*; whereas one could cut off the foot of a trained planarian and it
9 would regenerate a new head that retains the memory. 3) The silencing activity in *C.*
10 *elegans* depends on double-stranded RNAs; whereas the active memory transfer
11 molecules in planarians appeared to be some type of RNA. 4) RNA interference in *C.*
12 *elegans* is extremely potent and self-amplifying; whereas memory transfer in planarians
13 was effective even when the extracts did not contain any detectable RNA at all (at levels
14 that were measurable by optical density).
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33

34 Even if each of these individual similarities could be captured in simple templated
35 factual assertions within a body of articles within each literature (which is doubtful, at
36 least for the primary research articles), no single feature was very compelling, specific, or
37 unusual, so it is unlikely that they would have drawn attention in the forward direction
38 from a discovery system. Rather, it was the combination of all four similarities that
39 created an intriguing story and led to the testable hypothesis that endogenous siRNAs are
40 expressed in brain and up-regulated during the onset of learning (Smalheiser et al, 2001).
41 Interestingly, the initial experimental attempts to detect endogenous siRNAs (during
42 2000-2005) all gave negative results. This did not disconfirm the hypothesis, however,
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 since the recent development of deep sequencing methodology has allowed them to be
4
5 reliably detected (see discussion in Smalheiser et al, 2011).
6
7
8
9

10 Another limitation of the NLP-based approach, i.e., utilizing templated assertions, is
11 that they often enforce semantic agreement across the linking term. That is, to link AB
12 and BC assertions, the term B must have the same meaning or context in both AB and
13 BC. Yet Magnesium itself can be mapped to many different concepts – it can be
14 conceptualized as an element, a cation, a dietary ingredient, a bodily fluid constituent, a
15 co-factor of enzymes, a channel blocker, or a therapeutic agent. The same term (Mg) is
16 often discussed in different contexts in different literatures that we would like to connect.
17 The limited “slippage” across those loose links is *desirable*, and may be lost if links are
18 forced to share the same semantic meaning or connotation. Root-Bernstein (1989) gave
19 an example of the importance of slippage in the discovery of lysozyme by Alexander
20 Fleming: “Enter Fleming the mischievous game player. His problem: What causes his
21 frequent and uncomfortable runny noses? Wait a minute! Runny bottoms are caused by
22 bacteriophage infections! Why not runny noses? A hypothesis is born of verbal analogy!”
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

45 **Interestingness Measures for Literature Based Discovery Systems**

46
47
48 To date, the challenge of literature-based discovery (the one node search) has largely
49 been framed in terms of finding hypotheses that are **novel**, **non-trivial**, and **likely to be**
50 **true**. On the other hand, Torvik and Smalheiser (2007) employed shared title words and
51 phrases (B-terms) to link two disparate literatures A and C in a biologically meaningful
52
53
54
55
56
57
58
59
60

1
2
3 manner, in which the emphasis was on finding terms that are **relevant** and **meaningful** in
4 a particular context. Yet, significant scientific discoveries have one or more additional
5 aspects: For example, they may exhibit **simplicity**, they may be **surprising**, or **beautiful**
6 in an aesthetic or conceptual sense. They often link disparate **disciplines**, and ideally they
7 are **actionable** (i.e., they lead to testable hypotheses that can be tested immediately or in
8 the near future). They have great **impact** within their own field, their premises are based
9 on reliable experimental **support**, and they have **explanatory power** that generalizes and
10 ripples widely across other domains of science.
11
12
13
14
15
16
17
18
19
20
21
22
23
24

25 Whereas the field of numerical data mining has extensively explored a variety of
26 rule interestingness measures (Han and Kamber, 2006), to my knowledge, few
27 interestingness measures have been formulated in the context of text mining, and even
28 fewer have applied literature-based measures (e.g., Weiss et al, 2010; Sebastian and
29 Then, 2011). Interestingness measures can be objectively formulated for a given finding
30 “A affects B” in terms of formulas that are derived from literature based features (i.e., the
31 set of articles that demonstrate, mention or discuss “A affects B”) or literature pairs (i.e.,
32 the set of articles related to A and the set of articles related to B). The study of Swanson
33 et al. (2001) was a case in which interestingness measures were employed to identify
34 viruses that were particularly promising to be exploited for biological warfare. The
35 premise was that bio-warfare investigators were most likely to choose viruses which had
36 their genomes already sequenced and which had been investigated with regard to aerosol
37 stability. (This strategy is based on a model of how biological warfare researchers may
38 themselves select a virus for study.) Thus, the list of potential viruses was ranked
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 according to how **actionable** they were for experimental manipulation. A parallel study
4
5 by Smalheiser (2001) used similar criteria to predict that gene therapy biotechnologies
6
7 (specifically, gene delivery methods) were likely to be employed for viral bio-warfare
8
9 research.
10
11

12 13 14 15 **Removing the “B” from the A-B-C Model: Reformulating One-Node Searches as** 16 17 **Two-Node Searches** 18

19
20
21
22 As mentioned above, one-node searches have generally been formulated in a manner
23
24 that faces an explosion of intermediate links: Starting with a single literature A, one
25
26 obtains up to thousands of B_i-terms, and for each B_i-term, a new query is performed that
27
28 obtains many C_i-literatures. Because of this, all existing LBD strategies restrict the
29
30 number or type of B-terms, and most restrict the C_i-literatures to those that fall within a
31
32 pre-determined category (e.g., diseases or drugs). Yet one can bypass the process of
33
34 collecting B-terms altogether, at least for the purpose of identifying candidate C_i-
35
36 literatures (Torvik and Smalheiser, 2007). This is because the range of possible C_i-
37
38 literatures are generally known in advance. Given a specific disease (say, A =
39
40 Parkinson’s disease), we may be looking for novel therapeutic agents – say, the C_i-
41
42 literatures may comprise the list of drugs that are FDA-approved for *other* indications but
43
44 not previously tested in Parkinson’s disease. One simply makes a list of **all** agents within
45
46 the general category, and examines them one by one. In other words, a one-node search
47
48 can be performed by carrying out a series of two-node A-C_i searches, in which the output
49
50 from each search is a score that estimates how good C_i is as a candidate. One simple
51
52
53
54
55
56
57
58
59
60

1
2
3 score is the estimated amount of overall shared implicit information that is shared
4
5 between the A and C_i -literature (Torvik and Smalheiser, 2007), though it is likely that
6
7 better rankings will be achieved using a combination of interestingness measures.
8
9
10 Certainly, the B_i -terms are not irrelevant to this process, since they are likely to be useful
11
12 features in calculating the overall scores for each two-node search. Yet, they no longer sit
13
14 as a bottleneck in the discovery system.
15
16

21 **A Phone Call from Don**

22
23
24 My first contact with Don Swanson occurred in the early 1990's, when he phoned me
25
26 to discuss an apparent anomaly in his analyses. Following up on his Mg-migraine
27
28 hypothesis (Swanson, 1988), he had noticed that Mg seemed to rank highly as a candidate
29
30 therapy, no matter what neurological disease was under consideration. How could this
31
32 happen? I said the issue was very simple: Mg is known to gate (i.e., limit) calcium
33
34 currents through the NMDA receptor. Over-stimulation of the NMDA receptor, or over-
35
36 accumulation of intracellular calcium, causes excitotoxicity, which occurs in many
37
38 diverse situations (stroke, ALS, seizures, etc.). Thus, a deficiency of Mg should
39
40 exacerbate excitotoxicity and Mg supplementation should help to counteract it, not just in
41
42 migraine, but across many neurological diseases. In fact, our first joint paper pointed this
43
44 out in the context of individuals who exhibit mild dietary Mg deficiency (Smalheiser and
45
46 Swanson, 1994). Putting this back in terms of the A-B-C model, one could say that the
47
48 candidate $C_i = \text{Mg}$ is highly **interesting** regardless of the specific A literature, at least
49
50 within a certain range.
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6 Whereas measures to identify emerging research fronts have been the concern of
7
8 scientometrics and bibliometrics, these measures have tended to be geared towards policy
9
10 makers and sociologists -- detecting the fronts after they have already started to become
11
12 “hot”. Some areas are not simply “hot”, but have such pervasive implications (noncoding
13
14 RNAs, prion proteins, microRNAs) that they should arguably be ranked high on any list
15
16 of possible topics to study, no matter what the specific question and regardless of the
17
18 specific area of interest by the investigator. This is reminiscent of a t-shirt slogan that I
19
20 have seen: “No matter what the question is... the answer is to do more yoga.”
21
22
23
24

25
26 Nevertheless, most scientists are likely to feel that they can identify “hot” areas
27
28 already. The biggest need, and the biggest “bang for the buck” for literature-based
29
30 discovery, is to identify research areas that are currently *neglected*, but which, when
31
32 juxtaposed with other information, have the potential to identify important frontier areas
33
34 for investigation (Smalheiser and Torvik, 2008; Swanson, 2011). There are many
35
36 reasons why a line of work may have become neglected; these need not be discussed
37
38 here. However, one would like to reconsider and possibly revive those neglected
39
40 hypotheses or lines of work that are the most **interesting** when viewed in light of other
41
42 more recent evidence that have appeared in other scientific fields -- even if -- perhaps
43
44 especially if -- the original hypotheses were generally thought to be “wrong” or
45
46 experimentally disproved.
47
48
49
50
51
52
53

54 Inheritance of acquired characteristics is a stellar example of a field that, for more than
55
56 a hundred years, appeared to be a pre-Darwinian relic that was thoroughly discredited as
57
58
59
60

1
2
3 scientific nonsense. Recent findings in genomics and molecular biology, however, have
4
5 validated several mechanisms by which environmental stimuli can influence the genome
6
7
8 and pass changes to subsequent generations (Landman, 1991; Liu, 2007; Koonin and
9
10 Wolf, 2009). In fact, this area has quickly become one of the “hottest” in biomedical
11
12 science. The studies on memory transfer in planarians (discussed above) is another
13
14 example of a field that was abandoned after the original practitioners had retired, yet
15
16 sparked a new field of investigation.
17
18

19
20
21 Once again, Don Swanson has pioneered the effort to identify neglected research
22
23 findings, which he conceptualized as a generalization of one-node searching (Swanson,
24
25 2011). However, much more work is needed to discern which neglected findings ought to
26
27 remain that way; which deserve revival; and which (when combined with other findings)
28
29 create an entirely new and promising hypothesis.
30
31
32
33
34
35
36

37 **The Problem of Creating Gold Standards for LBD Systems**

38
39
40
41 In order to evaluate and compare different LBD systems, it is crucial to develop an
42
43 extensive set of gold standard examples. The very nature of one-node searches and their
44
45 traditional goal (to identify totally novel hypotheses with no existing experimental
46
47 support) makes it difficult to establish gold standards (Smalheiser and Torvik, 2008).
48
49 Some studies have employed a handful of validated one-node searches created by
50
51 Swanson’s early predictions (Swanson, 1986b, 1988) and others have advocated the use
52
53 of time-sliced literatures to evaluate LBD methods. In this approach, LBD predictions
54
55
56
57
58
59
60

1
2
3 are based upon an analysis of MEDLINE at a given date. One examines MEDLINE
4
5 articles at later dates to see if the predictions have been confirmed or at least investigated
6
7 subsequently. Another option is to employ lists of known facts or relationships, either
8
9 extracted from the literature or manually curated, as an external standard for one-node
10
11 searches (e.g., Homayouni et al, 2005). For example, suppose one is conducting a LBD
12
13 analysis to predict novel interactions that reelin may have with other proteins. Given a list
14
15 of proteins known to interact with reelin, a successful LBD method should rank the
16
17 known interactors highly, even if they are excluded from the final list of predictions due
18
19 to lack of novelty.
20
21
22
23
24
25
26

27 Besides these evaluation methods, one can imagine innovative ways of utilizing other
28
29 datasets. For example, the TREC Genomics 2006 and 2007 queries resemble one-node
30
31 searches insofar as they seek to rank articles within a given category (equivalent to the
32
33 Ci-literatures) in terms of their relevance to a given item or concept (equivalent to
34
35 literature A). Thus, if one were to apply one-node search systems to these data, one could
36
37 employ the gold standard TREC results. Another idea is to obtain the abstracts of new
38
39 R01 and R21 grants that have been funded by NIH, available via the CRISP/RePORTER
40
41 database. Certainly, at the time the grant was reviewed, a panel of experts had agreed that
42
43 the central aims were novel and promising for further study – so a good LBD system
44
45 should be able to identify them and rank them highly. Similarly, new hypotheses that are
46
47 proposed in a published review article can be regarded as a gold standard of what (at least
48
49 certain) experts feel are promising new research directions. The search for different
50
51 ranking strategies and the project to build gold standards should proceed in parallel,
52
53
54
55
56
57
58
59
60

1
2
3 covering a variety of different ranking strategies, since a strategy to identify relevant
4 information will be expected to rank items quite differently than one intended to identify
5 high-risk, paradigm-shifting ideas.
6
7
8
9

10 11 12 **Concluding Thought** 13

14
15
16
17 The A-B-C model is not “wrong”. However, it is only one of several different types of
18 models that can contribute to the development of the next generation of LBD tools.
19 Perhaps the most urgent need is to develop a series of objective literature-based
20 interestingness measures, which can customize the output of LBD systems for different
21 types of scientific investigations. The field of bioinformatics has exploded in the past few
22 years, due to the richness of genomics and proteomics datasets, despite employing (for
23 the most part) relatively simple data mining, statistics and text-based mining methods.
24
25 The scientific literature is certainly rich enough, and expanding rapidly enough, for
26 literature-based discovery systems to serve as major facilitators of scientific discovery.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Acknowledgements

This paper is an expanded version of a presentation made at the 2009 ASIS&T Annual Meeting. It is dedicated to my senior partner, Don Swanson, and my junior partner, Vette Torvik, who helped shape the ideas in this article and arguably should have been co-authors (except that then I could not make this dedication to them!).

References

- Ban, T.A. (2004). The role of serendipity in drug discovery. *Dialogues in Clinical Neuroscience*, 8, 335-344.
- Bekhuis, T. (2006). Conceptual biology, hypothesis discovery, and text mining: Swanson's legacy. *Biomedical Digital Libraries*, 3, 2.
- Bruza, P. & Weeber, M. (Eds.) (2008). *Literature-based discovery*. Berlin: Springer-Verlag.
- Best of the Web. (2007). *Genetic Engineering & Biotechnology News*, 27, 20.
- Edwards, A.M., Isserlin, R., Bader, G.D., Frye, S.V., Willson, T.M., & Yu, F.H. (2011). Too many roads not taken. *Nature*, 470, 163-165.
- Giles, C. B. & Wren, J. D. (2008). Large-scale directional relationship extraction and resolution. *BMC Bioinformatics*, 9 Suppl 9, S11.

1
2
3 Grinnell, F. (2009). *Everyday practice of science*. New York: Oxford University Press.
4
5

6
7
8 Han, J. & Kamber, M. (2006). *Data mining: Concepts and techniques*, 2nd ed. New York:
9 Elsevier.
10

11
12
13 Homayouni, R., Heinrich, K., Wei, L., & Berry, M.W. (2005). Gene clustering by latent
14 semantic indexing of MEDLINE abstracts. *Bioinformatics*, 21, 104-115.
15
16

17
18
19 Hristovski, D., Friedman, C., Rindflesch, T.C., & Peterlin, B. (2008). Literature-Based
20 Knowledge Discovery using Natural Language Processing. In Bruza, P. & Weeber, M.
21 (Eds.) *Literature-based discovery* (pp.133-152). Berlin: Springer-Verlag.
22
23
24
25
26
27
28
29
30

31 Kim, Y.-A., Wuchty, S., & Przytycka, T.M. (2011). Identifying Causal Genes and
32 Dysregulated Pathways in Complex Diseases. *PLoS Computational Biology*, 7,
33 e1001095.
34
35
36
37
38
39
40

41 Kostoff, R.N. (2007). Validating discovery in literature-based discovery. *Journal of*
42 *Biomedical Informatics*, 40, 448-450.
43
44
45
46
47

48 Kostoff, R. N., Block, J. A., Solka, J. L., Briggs, M. B., Rushenberg, R. L., Stump, J. A.,
49 Johnson, D., Lyons, T. J., & Wyatt, J. R. (2009). Literature-related discovery. *Annual*
50 *Review of Information Science and Technology*, 43, 1–71.
51
52
53
54
55
56
57
58
59
60

1
2
3 Jansen, R., Yu, H., Greenbaum, D., Kluger, Y., Krogan, N.J., Chung, S., Emili, A.,
4 Snyder, M., Greenblatt, J.F., & Gerstein M. (2003). A Bayesian networks approach for
5 predicting protein-protein interactions from genomic data. *Science*, 302, 449-453.
6
7

8
9
10
11
12 Koonin, E.V. & Wolf, Y.I. (2009). Is evolution Darwinian or/and Lamarckian? *Biology*
13 *Direct* 4, 42.
14
15

16
17
18
19
20 Landman, O.E. (1991). The inheritance of acquired characteristics. *Annual Review of*
21 *Genetics* 25, 1-20.
22
23

24
25
26
27 Leach, S.M., Tipney, H., Feng, W., Baumgartner, W.A., Kasliwal, P., Schuyler, R.P.,
28 Williams, T., Spritz, R.A., & Hunter, L. (2009). Biomedical discovery acceleration, with
29 applications to craniofacial development. *PLoS Computational Biology*, 5, e1000215.
30
31

32
33
34
35
36 Lenoir, T., & Giannella, E. (2006). The emergence and diffusion of DNA microarray
37 technology. *Journal of Biomedical Discovery and Collaboration*, 1, 11.
38
39

40
41
42
43 Liu, Y. (2007). Like father like son. A fresh review of the inheritance of acquired
44 characteristics. *EMBO Reports* 8, 798-803.
45
46

47
48
49
50 Manev, H., & Manev, R. (2010). Benefits of neuropsychiatric phenomics: example of the
51 5-lipoxygenase-leptin-Alzheimer connection. *Cardiovascular Psychiatry and Neurology*,
52 2010, 838164.
53
54
55
56
57
58
59
60

1
2
3
4
5
6 Root-Bernstein, R.S. (1989). How scientists really think. *Perspectives in Biology and*
7
8 *Medicine*, 32, 472-488.
9

10
11
12 Rzhetsky, A., Wajngurt, D., Park, N., & Zheng, T. (2007). Probing genetic overlap
13 among complex human phenotypes. *Proceedings of the National Academy of Sciences*
14
15 *USA*, 104, 11694-11699.
16
17

18
19
20
21
22 Sebastian, Y. & Then, P.H.H. (2011). Domain-driven KDD for mining functionally novel
23 rules and linking disjoint medical hypotheses. *Knowledge-based Systems*, 24, 609-620.
24
25

26
27
28
29 Sehgal, A.K., Qiu, X.Y. & Srinivasan, P. (2008). Analyzing LBD methods using a
30 general framework. In Bruza, P. & Weeber, M. (Eds.) *Literature-based discovery* (pp. 75-
31
32 100). Berlin: Springer-Verlag.
33
34
35

36
37
38
39 Shortliffe, E.H. (1987). Computer programs to support clinical decision making. *Journal*
40
41 *of the American Medical Association*, 258, 61-66.
42
43

44
45 Simonton, D.K. (2004). *Creativity in Science: Chance, logic, genius, and Zeitgeist*.
46
47 Cambridge, UK: Cambridge University Press.
48
49

50
51
52 Smalheiser, N.R. (2001). Predicting emerging technologies with the aid of text-based
53 data mining: a micro approach. *Technovation*, 21, 689-693.
54
55
56
57
58
59
60

1
2
3 Smalheiser, N.R., Lugli, G., Thimmapuram, J., Cook, E.H., & Larson, J. (2011).
4
5 Endogenous siRNAs and noncoding RNA-derived small RNAs are expressed in adult
6
7 mouse hippocampus and are up-regulated in olfactory discrimination training RNA, 17,
8
9 166-181.
10
11

12
13
14
15 Smalheiser, N.R., Manev, H., & Costa, E. (2001). RNAi and brain function: was
16
17 McConnell on the right track? Trends in Neurosciences, 24, 216-218.
18
19

20
21
22 Smalheiser, N.R., & Swanson, D.R. (1994). Assessing a gap in the biomedical literature:
23
24 magnesium deficiency and neurologic disease. Neuroscience Research Communications,
25
26 15, 1-9.
27
28

29
30
31 Smalheiser, N.R., & Torvik, V.I. (2004). A population-based statistical approach
32
33 identifies parameters characteristic of human microRNA-mRNA interactions. BMC
34
35 Bioinformatics, 5, 139.
36
37

38
39
40 Smalheiser, N.R., & Torvik, V.I. (2005). Mammalian microRNAs derived from genomic
41
42 repeats. Trends in Genetics, 21, 322-326.
43
44
45

46
47
48 Smalheiser, N.R., & Torvik, V.I. (2008). The place of literature-based discovery
49
50 in contemporary scientific practice. In Bruza, P. & Weeber, M. (Eds.) Literature-based
51
52 discovery (pp. 13-22). Berlin: Springer-Verlag.
53
54
55

1
2
3 Smalheiser, N.R., Torvik, V.I., Bischoff-Grethe, A., Burhans, L.B., Gabriel, M.,
4
5 Homayouni, R., Kashef, A., Martone, ME., Perkins, G.A., Price, D.L., Talk, A.C., &
6
7 West, R. (2006). Collaborative development of the Arrowsmith two node search interface
8
9 designed for laboratory investigators. *Journal of Biomedical Discovery and*
10
11 *Collaboration*, 1, 8.
12
13

14
15
16
17
18 Spasser, M.A. (1997). The enacted fate of undiscovered public knowledge.
19
20 *Journal of the American Society for Information Science*, 48, 707-717.
21
22

23
24 Swanson, D.R. (1986a). Undiscovered public knowledge. *Library Quarterly*, 56, 103-118.
25
26

27
28 Swanson, D.R. (1986b). Fish oil, Raynaud's Syndrome, and undiscovered public
29
30 knowledge. *Perspectives in Biology and Medicine*, 30, 7-18.
31
32

33
34 Swanson, D.R. (1988). Migraine and magnesium: eleven neglected
35
36 connections. *Perspectives in Biology and Medicine*, 31, 526-557.
37
38

39
40 Swanson, D.R. (1993). Intervening in the life cycles of scientific knowledge. *Library*
41
42 *Trends*, 41, 606-631.
43
44

45
46
47 Swanson, D. R. (2011). Literature-based resurrection of neglected medical discoveries.
48
49 *Journal of Biomedical Discovery and Collaboration*, 6, 34-47.
50
51
52
53
54
55
56
57
58
59
60

1
2
3 Swanson, D.R., & Smalheiser, N.R. (1997). An interactive system for finding
4
5
6 complementary literatures: a stimulus to scientific discovery. *Artificial Intelligence*, 91,
7
8 183-203.
9

10
11
12 Swanson, D.R., Smalheiser, N.R., & Bookstein, A. (2001). Information discovery from
13
14
15 complementary literatures: categorizing viruses as potential weapons. *Journal of the*
16
17
18 *American Society for Information Science and Technology*, 52, 797-812.
19

20
21
22 Tjioe, E., Berry, M.W., & Homayouni, R. (2010). Discovering gene functional
23
24
25 relationships using FAUN (Feature Annotation Using Nonnegative matrix factorization).
26
27
28 *BMC Bioinformatics*, 11 Suppl 6, S14.
29

30
31
32 Torvik, V.I., & Smalheiser, N.R. (2007). A quantitative model for linking two disparate
33
34
35 sets of articles in Medline. *Bioinformatics*, 23, 1658-1665.
36

37
38
39 van Haagen, H.H., 't Hoen, P.A., Botelho Bovo, A., de Morrée, A., van Mulligen, E.M.,
40
41
42 Chichester, C., Kors, J.A., den Dunnen, J.T., van Ommen, G.J., van der Maarel, S.M.,
43
44
45 Kern, V.M., Mons, B., & Schuemie, M.J. (2009). Novel protein-protein interactions
46
47
48 inferred from literature context. *PLoS One*, 4, e7894.
49

50
51
52 Weeber, M., Vos, R., Klein, H., De Jong-Van Den Berg, L.T., Aronson, A.R., &
53
54
55 Molema, G. (2003). Generating hypotheses by discovering implicit associations in the
56
57
58
59
60

1
2
3 literature: a case report of a search for new potential therapeutic uses for thalidomide.

4
5
6 Journal of the American Medical Informatics Association, 10, 252-259.

7
8
9
10 Weeber, M., Kors, J.A., & Mons, B. Online tools to support literature-based discovery
11
12 in the life sciences. Briefings in Bioinformatics, 6, 277-286.

13
14
15
16
17 Weiss, S. M., Indurkha, N., & Apte, C. V. (2010) Predictive Rule Discovery from
18
19 Electronic Health Records. Proceedings of the 1st ACM International Health Informatics
20
21 Symposium *IHI'10*, November 11–12, 2010, Arlington, Virginia, USA, pp. 734-743.

22
23
24
25 Wren, J. D. (2004). Extending the mutual information measure to rank inferred literature
26
27 relationships. BMC Bioinformatics, 5, 145.

28
29
30
31
32 Wren, J.D. (2008). The 'open discovery' challenge. In Bruza, P. & Weeber, M. (Eds.)
33
34 Literature-based discovery (pp. 39-55). Berlin: Springer-Verlag.

35
36
37
38
39 Wren, J.D., Bekeradjian, R., Stewart, J.A., Shohet, R.V., & Garner, H.R. (2004).
40
41 Knowledge discovery by automated identification and ranking of implicit relationships.
42
43 Bioinformatics, 20, 389-398.

44
45
46
47
48
49 Yetisgen-Yildiz, M., & Pratt, W. (2008). Evaluation of literature-based discovery
50
51 systems. In Bruza, P. & Weeber, M. (Eds.) Literature-based discovery (pp. 101-113).
52
53 Berlin: Springer-Verlag.

1
2
3 Yetisgen-Yildiz, M., & Pratt, W. (2009). A new evaluation methodology for literature-
4 based discovery systems. Journal of Biomedical Informatics, 42, 633-643.
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review