## Local deformation and fracture behavior of high-strength aluminum alloys under hydrogen influence

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http://hdl.handle.net/2324/4483196

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出版情報:Metallurgical and Materials Transactions A. 51 (1), pp.1-19, 2020-01. Minerals,
Metals and Materials Society (TMS)
バージョン:
権利関係:
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| 1  | Title:  |
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| 2  | Local deformation and fracture behavior of high-strength aluminum alloys under  |
| 3  | hydrogen influence  |
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#### 1 Abstract

2 The local deformation and fracture behavior of high-Zn Al-Zn-Mg(-Cu) alloys under hydrogen influence were investigated by in situ tests through synchrotron X-ray 3 tomography. Intergranular and quasi-cleavage fractures were induced by hydrogen, and 4 strain localization by the presence of cracks was not observed by 3D strain mapping. This 5 result suggests that the strain localization at the crack tip is smaller than the measurement 6 limit of 3D strain mapping. The average crack-tip-opening displacements, which are one 7 of the crack driving forces specified by fracture mechanics, directly measured from the 8 9 tomographic slice were 0.14 and 0.23 µm for intergranular cracks and quasi-cleavage cracks, respectively. The crack driving force of the intergranular and quasi-cleavage 10 cracks was small. The local deformation behavior at the crack tips was analyzed based on 11 fracture mechanics. The local deformation field of the crack tip, which was characterized 12 using the Rice-Drugan-Sham (RDS) solution rather than the Hutchinson-Rice-Rosengren 13 (HRR) solution, was located within 20 µm of the crack tip, and its size was limited. The 14 results of this work clarify that the intergranular and quasi-cleavage crack growth are 15 caused by small driving forces; however, this behavior is not perfectly brittle, 16 accompanying local deformation at the crack tip. 17

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#### 19 Keywords:

20 aluminum alloys; hydrogen embrittlement; X-ray tomography; intergranular crack; quasi-

21 cleavage crack

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#### 1 1. Introduction

2 Al-Zn-Mg(-Cu) alloys are high-strength aluminum alloys that are widely used in aircraft and rolling stock, which require high strength-to-weight ratios. When the Zn or 3 Mg content is increased to improve strength, susceptibility to stress corrosion cracking 4 (SCC) increases, leading to delayed fracture <sup>[1-3]</sup>. Therefore, the SCC susceptibility of 5 commercial alloys is suppressed both by adding trace elements such as Cr and Zr and by 6 heat treatments such as overaging and retrogression-and-reaging treatments; the latter is 7 a three-step heat treatment consisting of pre-aging, retrogression, and re-aging <sup>[4]</sup>. The 8 SCC of Al-Zn-Mg(-Cu) alloys, particularly for crack growth behavior, has been 9 speculated to be strongly related to hydrogen embrittlement (HE) <sup>[1,5]</sup>. The SCC and HE 10 of aluminum alloys have been investigated by a slow strain rate test (SSRT) <sup>[6–8]</sup>. The 11 degradation of mechanical properties by hydrogen depends on the strain rates in the SSRT. 12 Kuramoto et al. reported that the true fracture strain of Al-4.9Zn-2.2Mg-1.3Cu alloy is 13 approximately 17% at a strain rate of  $1.67 \times 10^{-4}$  s<sup>-1</sup> but decreases to less than 5% at a 14 strain rate of  $1.67 \times 10^{-7}$  s<sup>-1</sup> in air <sup>[8]</sup>. Although SSRT is not employed, the HE of Al-Zn-15 Mg(-Cu) alloys is observed in tensile tests at strain rates of  $10^{-4}$  to  $10^{-3}$  s<sup>-1</sup> when the tensile 16 loading is periodically held<sup>[9]</sup>. When the holding time is longer, the embrittlement is more 17 18 prominent. When the holding time increases from 0 to 2.1 ks, the fracture strain decreases from 23% to 10%, and the areal fraction of quasi-cleavage fracture increases from 0% to 19 20%. In the SSRT and holding-time tests, the diffusible hydrogen in the material is 20 repartitioned during the plastic deformation, inducing time-dependent fracture <sup>[10–12]</sup>. That 21 is, the localization of the hydrogen distribution inside the material strongly influences the 22 mechanical properties in the aforementioned HE experiment. 23

The hydrogen distribution in a material is not uniform, even without any external stress <sup>[13]</sup>. The hydrogen is partitioned into trap sites such as vacancies, solute atoms,

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dislocations, grain boundaries, particles, and pores <sup>[14]</sup>. The hydrogen partitioning in
aluminum alloys is modeled as follows:

$$C_{\rm H} = \theta_{\rm L} N_{\rm L} + \sum \theta_i N_i + C_{\rm pore} \tag{1}$$

3 where  $C_{\rm H}$  is the total hydrogen content in a material and  $\theta_{\rm L}$  and  $\theta_{\rm i}$  are the occupancies of the interstitial sites and *i*-th trap sites, respectively.  $N_{\rm L}$  and  $N_i$  are the trap densities in the 4 normal interstitial lattice sites and *i*-th trap sites, respectively. C<sub>pore</sub> is the total molecular 5 hydrogen content in the pores. Molecular hydrogen is widely understood to fill micron-6 sized holes, which are called pores, in aluminum alloys <sup>[14–17]</sup>. In aluminum alloys, pores 7 8 are also present due to the hydrogen solubility gap between the liquid phase and solid phase, even in wrought materials <sup>[14]</sup>. It is hypothesized that the pores are filled with 9 molecular hydrogen. The occupancy of hydrogen in each trap site,  $\theta_i$ , is expressed by Eq. 10 (2) based on Oriani's theory, which describes the local thermal equilibrium between 11 interstitial and other trap sites <sup>[13]</sup>: 12

$$\frac{\theta_i}{1 - \theta_i} = \theta_{\rm L} \exp\left(\frac{E_{\rm b}}{RT}\right) \tag{2}$$

where  $E_b$  is the binding energy between hydrogen and the *i*-th trap site, R is the gas 13 constant, and T is the temperature. The binding energy is experimentally derived by 14 subtracting the activation energy of hydrogen diffusion in a lattice from the desorption 15 energy measured by thermal desorption analysis (TDA). The binding energies in steel are 16 often evaluated by TDA <sup>[18]</sup>; however, such analyses are not straightforward in the case 17 of aluminum alloys <sup>[19,20]</sup> because the trap sites of hydrogen easily change with the 18 19 increasing temperature. Therefore, the binding energy of each type of trap site in aluminum has been investigated by first-principles simulation (FPS), and the energies are 20 0.02-0.19, 0.3, and 0.45-0.60 eV at the grain boundaries, vacancies, and surfaces, 21 respectively <sup>[21]</sup>. According to Eqs. (1) and (2), the hydrogen partitioned to each trap site 22

increases as the trap-site density and binding energy increase. Unlike the binding energy, 1 the trap site density readily varies because of the thermal history and deformation <sup>[2,13,14,20]</sup>. 2 As the dislocation density increases from  $5.36 \times 10^{12}$  to  $2.86 \times 10^{14}$  m<sup>-2</sup> under a 6% plastic 3 strain, the trap-site density of the dislocations is estimated to increase from  $3.39 \times 10^{22}$  to 4  $1.81 \times 10^{24}$  site/m<sup>3 [20]</sup>. In general, when plastic strain is applied to a material, the plastic 5 strain (i.e., dislocation) is localized to the grain boundaries, phase boundaries, particles, 6 crack tips, etc. under the influence of the microstructure and cracks. Therefore, if 7 hydrogen migrates from other sites or from the environment, the hydrogen content in the 8 9 dislocations increases, and the hydrogen distribution is expected to localize further. To 10 understand HE from the viewpoint of hydrogen localization, the visualization of the 11 hydrogen distribution in materials is essential. However, hydrogen is the smallest element, and embrittlement occurs on the order of mass ppm. Because direct observation is 12 extremely challenging, hydrogen is indirectly visualized using a hydrogen microprint 13 technique in the many studies on aluminum alloys. The principle of the hydrogen 14 microprint technique is that a silver halide emulsion is coated on the specimen surface, 15 and the hydrogen that migrates from the inside to the surface reduces the silver ions in 16 17 this emulsion. The observation of the silver particles thus indirectly enables the 18 visualization of the hydrogen distribution on this surface. Silver particles (corresponding to hydrogen) are detected at the grain boundaries <sup>[22]</sup>, particles <sup>[23,24]</sup>, slip bands <sup>[25]</sup>, and 19 cracks <sup>[26]</sup> after plastic deformation, indicating that the hydrogen distribution is localized 20 by loading. The localization of hydrogen and deformations mutually influence each other. 21 Bhuiyan et al. reported that the synergistic effect of the tensile stress and high hydrogen 22 content at the crack tip leads to local flow stress reduction and facilitates localized 23 deformation<sup>[9]</sup>. 24



To explain the HE mechanism, frameworks such as the hydrogen-enhanced

localized plasticity (HELP), hydrogen-enhanced decohesion (HEDE), and hydrogen-1 enhanced strain-induced vacancy (HESIV) mechanisms have long been considered <sup>[27]</sup>. 2 HELP describes the interaction between dislocations and hydrogen, HEDE describes the 3 reduction of the cohesive bond energy between atoms by hydrogen accumulation, and 4 HESIV describes that hydrogen contributes to the formation of vacancies during 5 deformation. Nevertheless, the discussion continues, and the findings on HE sometimes 6 differ among researchers. First, as a specific example, findings on hydrogen trapping at a 7 grain boundary, which is considered the origin of grain boundary fracture, are described. 8 9 Yamaguchi et al. reported that hydrogen is strongly trapped at a high-angle grain boundary, where the  $\Sigma$  value and the grain boundary energy are high <sup>[28,29]</sup>. In addition, 10 Mine et al. suggested that high-angle grain boundaries are not effective trap sites but that 11 hydrogen is strongly trapped at low-angle grain boundaries <sup>[30]</sup>. Subsequently, Nagumo et 12 al. observed that in quasi-cleavage cracks, the excess vacancies stabilized by hydrogen 13 grow into nanovoids and that cracks are initiated by coarsening nanovoids <sup>[31]</sup>. Neeraj *et* 14 al. documented the presence of nanodimples on quasi-cleavage facets <sup>[32]</sup>. Martin et al. 15 concluded that the initiation and growth of voids along the slip band intersection leads to 16 17 quasi-cleavage fracture based on transmission electron microscopy (TEM) images of the region just below the quasi-cleavage facet <sup>[33]</sup>. Their claims are similar at first sight. 18 However, Neeraj et al. concluded that the vacancy-induced nanovoid nucleation and 19 coalescence is a failure mechanism, while Martin et al. concluded that plastic processes, 20 which are enhanced and accelerated by the presence of hydrogen, widen voids, producing 21 a fracture surface. 22

Intergranular and quasi-cleavage cracking constitute well-known HE behavior, but the influence of hydrogen on crack growth and the crack driving forces are not well understood, as mentioned above. Much of the typical evaluation of HE is based on

simulations with extremely simplified microstructures or observations 1 after 2 loading/fracture. For a further understanding of HE, it is desirable to observe in situ the hydrogen-induced fracture behavior, i.e., intergranular fracture and quasi-cleavage 3 fracture behavior, and quantitatively examine it with parameters such as strains and the 4 crack driving force. To achieve this purpose, 2D observation is insufficient because the 5 stress/strain state is different between the inside and the surface of the material. The direct 6 observation of the 3D fracture behavior is preferred. The in situ observation of the fracture 7 behavior by synchrotron X-ray tomography is suitable for this. In recent years, the spatial 8 9 resolution of projection-type tomography has reached 1.25 µm, approaching the physical limit <sup>[34]</sup>. This spatial resolution is enough to observe cracks inside the material. With the 10 3D strain mapping via X-ray tomography developed by Kobayashi et al., it is also 11 possible to analyze the 3D elemental strains inside the material <sup>[35]</sup>. 12

In this study, we experimentally clarify the intergranular/quasi-cleavage fracture 13 14 behavior of Al-Zn-Mg(-Cu) alloys under the influence of hydrogen. For this purpose, the elemental strains at the vicinity of the crack tip were directly analyzed by synchrotron X-15 ray tomography, which enables 3D strain mapping inside a material. Furthermore, the 16 17 crack morphologies were visualized by imaging-type tomography, developed in recent years, and the crack driving force was analyzed based on the fracture mechanics 18 parameters. In addition, the pores influence the mechanical properties of the aluminum 19 alloys. Toda et al. have clarified that the growth and coalescence of pores is the dominant 20 factor leading to the ductile fracture of aluminum alloys <sup>[17]</sup>. The origin of the pores is 21 22 supersaturated hydrogen in the aluminum, and the hydrogen can thus be interpreted as 23 indirectly affecting the mechanical properties through the pores. In this study, both the atomic hydrogen in the matrix and the pores (i.e., molecular hydrogen) were evaluated as 24 25 factors responsible for the HE.

#### 2 2. Methodology

#### 3 2.1 Specimens

The materials used in this study were Al-10.1Zn-1.2Mg alloy and modified A7150 4 alloy. The modified A7150 alloy had a chemical composition (in mass %) of 9.9 Zn, 2.3 5 Mg, 1.4 Cu, 0.06 Si, 0.05 Fe, 0.04 Ti, 0.15 Zr, and balance Al (hereinafter, Al-9.9Zn-6 2.3Mg-1.4Cu alloy). An Al-10.1Zn-1.2 Mg alloy containing trace iron (< 0.01 mass % 7 Fe) was also prepared. The Al-10.1Zn-1.2Mg alloy was prepared by homogenization at 8 9 773 K for 7.2 ks after casting, hot rolling at 723 K with a rolling reduction of 50%, and 10 solution treatment at 773 K for 7.2 ks. Immediately after the solution treatment, aging was carried out for 144 ks at 393 K and 25.2 ks at 453 K to overage. The preparation 11 process of the Al-9.9Zn-2.3Mg-1.4Cu alloy was the same as Material MH described in 12 the literature <sup>[9]</sup>, and this aging condition was also an overaged one. After aging, all tensile 13 test pieces were processed using wire-cut electrical discharge machining (EDM). The 14 shape of the tensile test pieces is the same as that reported in the literature <sup>[9,36]</sup>. The gauge 15 length of the tensile test piece was 0.7 mm, and the cross-sectional area was  $0.6 \times 0.6$ 16 mm<sup>2</sup>. Here, EDM also served as a means of hydrogen charging. Other authors have 17 18 confirmed that the hydrogen content increases from 0.14 mass ppm to 6.97 mass ppm by EDM in water <sup>[9,12]</sup>. In addition, a high-hydrogen Al-10.1Zn-1.2 Mg alloy was prepared 19 with a hydrogen content higher than the typical hydrogen charge through performing 20 21 EDM twice on the surface. After EDM, the test piece was considered to have a high hydrogen content on its surface. To distribute the hydrogen to the interior of the specimens, 22 the specimens were stored in acetone for approximately 4 days and subjected to both 23 tomographic experiments and TDA, which is described later. Assuming that the diffusion 24 coefficient of the hydrogen in aluminum at room temperature was  $2.1 \times 10^{-13}$  m<sup>2</sup>/s <sup>[37,38]</sup>, 25

the diffusion distance after 4 days was estimated to be 0.38 mm. This diffusion distance 1 2 is larger than half of the specimen size, and the hydrogen is thus assumed to diffuse from the surface to the center of the specimens. The aforementioned diffusivity of  $2.1 \times 10^{-13}$ 3  $m^2/s$  was determined by using pure aluminum. The effective diffusivity of the overaged 4 Al-6.9%Zn-2.65%Mg-0.06%Cu alloy has been reported to be  $1.05 \times 10^{-11}$  m<sup>2</sup>/s at 298 K 5 <sup>[39]</sup>. The diffusion distance after 4 days calculated from this effective diffusivity was 2.7 6 7 mm. The diffusion distance calculated from the diffusivity determined for the alloy 8 similar in composition to the one used in this study is sufficiently larger than the specimen 9 size. Therefore, we assumed that hydrogen diffused into the specimen, even if the surface 10 hydrogen concentration slightly changed. In view of this, we speculate that the aforementioned diffusivity of  $2.1 \times 10^{-13} \text{ m}^2/\text{s}$  is conservative. 11

In addition, four Al-10.1Zn-1.2Mg alloys (Fig. 1 (a-d)) were prepared to separate and 12 evaluate the influence of pores and hydrogen in the matrix on fracture behavior. The four 13 Al-10.1Zn-1.2Mg alloys differ in their volume fraction of pores,  $V_{\rm f}$ , and their hydrogen 14 content, C<sub>H</sub>. As shown in Fig. 1 (b-d), to vary the volume fraction of pores and the 15 hydrogen content, thermal cycle (TC) processes were performed in air and in a vacuum. 16 17 The temperature range of the thermal cycle was 653-753 K, the heating rate was 0.028 18 K/s, and the number of cycles was set to 10. The TC process was carried out in air (during which the volume fraction of pores increased) or in a vacuum of  $10^{-5}$  Pa (during which 19 the hydrogen content and the volume fraction of pores decreased). 20

A thermal desorption apparatus equipped with a gas chromatograph (NISSHA FIS, Inc., PDHA-1000) was used to measure the hydrogen content in the prepared Al-10.1Zn-1.2Mg alloys. The temperature range of TDA was from room temperature to 853 K and the heating rate was 0.025 K/s. In TDA of Al-10.1Zn-1.2 Mg alloys, all hydrogen in the material was not desorbed in a single analysis. When TDA was performed ten consecutive times on the same specimen, all of the hydrogen was still not desorbed, and 0.1 massppm of hydrogen remained in the specimen. This is because the molecular hydrogen that filled the pores (with the highest binding energy among the trap sites <sup>[21,40]</sup>) is not easily desorbed during TDA. As it is time-consuming to perform TDA ten times for all specimens, the hydrogen content was defined using the total amount of hydrogen desorbed in one analysis in this study.

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## 2.2 Synchrotron X-ray imaging and in situ tests

In situ observations of fracture behavior via synchrotron X-ray tomography were 10 performed on BL20XU and BL37XU of SPring-8, Japan. The projection-type 11 12 tomography configuration shown in Fig. 2 (a) was employed to evaluate the fracture behavior of the entire material. To observe the intergranular and quasi-cleavage crack tip 13 with higher resolution, the imaging-type tomography configuration shown in Fig. 2 (b) 14 was used. The theoretical resolution was 1 µm and 100 nm for the projection-type and 15 16 imaging-type tomography, respectively. The field of view of the projection-type tomography was  $1024 \times 1024 \times 1024 \ \mu\text{m}^3$ , and the entire gauge-length region (600×600× 17 700 mm<sup>3</sup>) of the specimen can be visualized completely in 3D. Although the resolution 18 19 of imaging-type tomography is superior to that of projection-type tomography, its field of view is limited to a cylindrical region with a diameter of 83 µm and a height of 59 µm. 20 Therefore, imaging-type tomography was used to visualize the vicinity of the crack tips 21 of the intergranular and quasi-cleavage cracks in 3D. The X-ray was monochromated by 22 a Si(111) double-crystal monochromator, and the energy was tuned to 20 keV. The 23 24 detector consisted of a 2048×2048 pixel CMOS camera, an optical lens, and a scintillator. 25 In the projection-type tomography, a  $Lu_2Al_5O_{12}$ : Ce single crystal was used for the scintillator, and GdO<sub>2</sub>S:Tb was used in the imaging-type tomography. The distances 26

between the specimen and the detector were 20 mm and 23.5 m in projection-type and 1 2 imaging-type tomography, respectively. In addition, a condenser zone plate was used to locally improve X-ray flux, and an apodization Fresnel zone plate was used to focus the 3 X-ray in the imaging-type tomography. These X-ray imaging elements were developed at 4 SPring-8 for ultrahigh resolution X-ray imaging; the details are described elsewhere <sup>[41,42]</sup>. 5 A small material testing machine (CT500, Deben UK Ltd.,) was installed on the 6 rotation stage of the X-ray tomography system, and the in situ observation of the fracture 7 behavior was performed. In the tensile test, the initial strain rate was set at  $3 \times 10^{-3}$  s<sup>-1</sup> by 8 9 displacement control, and the test was performed at room temperature. This strain rate 10 corresponds to the lowest setting of the in situ testing machine used and was measured 11 from the tomographic images of tensile test-piece before and after loading. It was necessary to temporarily stop the tensile loading and hold the displacement during 12 tomography observation. Here, the holding time was 0.9 ks, and in situ tests were also 13 performed in which the holding time was changed to 0.3 ks or 2.7 ks. In this experiment, 14 in situ observations were carried out while holding the displacement for tensile strain 15 increases of approximately 3%, which were repeated until fracture. The fracture surface 16 was observed by a scanning electron microscope (SEM) after the tomographic 17 18 experiments. A total of 1800 projection images were captured as the specimen was rotated by 180 degrees in steps of 0.1 degrees in tomographic observation, and these images were 19 reconstructed into a 3D image by a convolution backprojection algorithm. 20

In addition, 2D projection images of growing cracks were continuously observed using both an in situ testing machine and a projection-type tomography system. This in situ 2D imaging was performed to visualize the intergranular crack growth of an Al-10.1Zn-1.2 Mg alloy.

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1 **2.3 3D Image analysis** 

Intergranular and quasi-cleavage cracks were extracted from 3D tomographic 2 data and analyzed for morphology and crack tip opening displacement (CTOD). The 3 CTOD was directly measured from 2D virtual cross-sections of the extracted 3D crack 4 image based on a report in the literature describing the measurement of the CTOD from 5 a 3D tomographic image <sup>[43]</sup>. In the 2D virtual cross-sections of cracks, (i) the point of the 6 crack tip was measured, (ii) lines were drawn upward and downward at 45 degrees from 7 the crack tip until the lines contact the upper and lower surfaces of the crack, and (iii) the 8 distance between the aforementioned contact points of the upper and lower crack surfaces 9 10 was defined as the CTOD. The locations where the CTOD was measured depended on the morphology of the crack and were approximately 1 to 5 µm behind the crack tip. The 11 details of the CTOD analysis of the crack inside the material are available elsewhere <sup>[43]</sup>. 12

Furthermore, all the pores and particles in the specimens were analyzed in 3D, and their center of gravity, volume fraction, diameter, and surface area were calculated by the marching cubes algorithm that creates a 3D triangle model from a tomographic image <sup>[44]</sup>. The molecular hydrogen content in the pores,  $C_{pore}$ , was estimated from the analyzed pore diameter <sup>[12,14,17]</sup> and can be expressed by the energy balance of the surface energy and the internal pressure of hydrogen,  $P = 4\gamma/d$ , as follows:

$$C_{\text{pore}} = \sum \frac{2\pi\gamma d_i^2}{3RT} \tag{3}$$

19 where  $d_i$  is the diameter of the *i*th pore, *R* is the gas constant, *T* is the temperature, and  $\gamma$ 20 is the surface energy (1.16 J/m<sup>2</sup>, which is the measured value for an Al(111) face <sup>[14]</sup>). 21 Recent FPSs revealed that the other surface energies are approximately 10–20% greater 22 than that of the (111) surface <sup>[45]</sup>. Because the (111) face of aluminum has the lowest 23 surface energy, the estimation yields conservative lower limit values. Recent FPSs have 24 clarified that the pore surface is a hydrogen adsorption trap site; however, this factor is not considered in Eq. (3) <sup>[45]</sup>, which applies only to the estimation of the molecular
hydrogen inside the pores.

3D strain mapping inside the material was achieved using the physical 3 displacements of the pores and particles, which were visualized by X-ray tomography<sup>[35]</sup>. 4 This calculation procedure of the strains is outlined below. First, identical pores and 5 particles were tracked throughout loading using the matching parameter method <sup>[35]</sup>. Next, 6 tetrahedra with tracked particles as vertices were formed by Delaunay tessellation. 7 8 Subsequently, various strain components were calculated from the deformation of a 9 tetrahedron and indicated its center of gravity. Further details of the matching parameter method and 3D strain mapping are available elsewhere <sup>[35]</sup>. 10

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12 **3. Results** 

13 **3.1 Hydrogen embrittlement behavior** 

#### 14 **3.1.1 Microstructure and pores**

Fig. 3 shows the microstructure of the unloaded Al-10.1Zn-1.2Mg alloy as 15 observed through projection-type tomography. The preparation process of the specimen 16 17 shown in Fig. 3 (a-d) corresponds to subfigures (a-d) in Fig. 1, respectively. Here, virtual 18 cross-sections of four representative specimens are shown, and each figure shows the volume fraction of pores,  $V_{\rm f}$ , and the hydrogen content,  $C_{\rm H}$ , as measured by TDA. Fig. 3 19 (a) shows a typical specimen without a thermal cycle.  $C_{\rm H}$  is increased by EDM, and  $V_{\rm f}$  is 20 21 low. Second-phase particles on the micrometer scale were not observed. Relatively spherical pores were observed in Figs. 3 (c) and (d), on which thermal cycling was 22 performed before solution treatment. The pores grew and C<sub>H</sub> decreased during thermal 23 cycling. The specimen shown in Fig. 3 (d) exhibited high  $V_{\rm f}$  and low  $C_{\rm H}$  because thermal 24

- 1 cycling was applied after the EDM of the test piece. Thus, the combination of thermal 2 cycling and EDM enabled us to prepare specimens with different  $V_{\rm f}$  and  $C_{\rm H}$ .

The mechanism by which the volume fraction of the pores changes during the 3 TC process is considered as follows. As described in Section 2.3, the internal pressure of 4 the pore is estimated by  $P = 4 \gamma/d$ . Assuming that the diameter of the pore is 3 µm and the 5 surface energy is  $1.16 \text{ J/m}^2$ , the pressure is estimated as 1.5 MPa at room temperature. In 6 7 the case of the TC process in air, it is believed that this internal pressure increases in a high-temperature environment to induce local creep deformation, causing the growth of 8 9 pores <sup>[46]</sup>. In contrast, in a TC process in vacuum, hydrogen is released from the specimen, and the pore pressure is also reduced according to Sieverts' law <sup>[40]</sup>. In the TC process in 10 vacuum, the internal pressure of the pores gradually decreases according over time, and 11 therefore the morphology of the pores is considered to have shrunk. 12

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#### 14 **3.1.2** Changes due to the hydrogen content and the holding time

In this subsection, first, the changes in the HE behavior due to the hydrogen 15 content are discussed based on the results of using specimens with a low  $V_{\rm f}$  and high  $C_{\rm H}$ 16 17 and with low  $V_{\rm f}$  and low  $C_{\rm H}$ , as shown in Fig. 3 (a) and (b), respectively. Fig. 4 (a) shows the nominal stress-nominal strain curves in the tomographic in situ tensile test. It is found 18 19 that the fracture strain was increased upon reducing the hydrogen content by the TC process. As shown in Fig. 5(a-b), a reduction in the area fraction of intergranular and 20 21 quasi-cleavage fracture was observed on the fracture surface due to the reduction of the 22 hydrogen content. However, intergranular and quasi-cleavage fracture still occurred in the alloy with reduced hydrogen content. The hydrogen content of this alloy was 0.41 23 mass ppm, and it was found that HE occurs at a hydrogen content that represents a 24 practical level <sup>[47]</sup>. 25

Next, the changes in the HE behavior due to the holding time are discussed based 1 2 on the results of using the specimen with low  $V_{\rm f}$  and high  $C_{\rm H}$ , as shown in Fig. 3 (a). Fig. 4 (b) shows the nominal stress-nominal strain curves in the tomographic in situ tensile 3 test. The three curves differ in the holding time of the tensile test. As described in the 4 experimental section, it is necessary to hold the load and fix the displacement during the 5 tomographic scan. The holding times were set to 0.3, 0.9, and 2.7 ks, as shown in Fig. 4 6 (b). The fracture strain was found to decrease with increasing holding time. Cracks may 7 8 grow during holding, which was observed in particular with a holding time of 2.7 ks. 9 These fracture surfaces are shown in Fig. 6. As shown in Fig. 6 (a-c), the area fraction of 10 intergranular and quasi-cleavage fracture increased with increasing holding time and 11 decreasing fracture strain. At holding times of 0.3, 0.9, and 2.7 ks, the area fractions of quasi-cleavage fracture were 24%, 19% and 38%, respectively, and the area fractions of 12 intergranular fracture were 19%, 58% and 61%, respectively. 13

The strain at which the cracks initiated was 15.8%, 13.2% and 3.5% at holding 14 times of 0.3, 0.9 and 2.7 ks, respectively. With increasing holding time, the strain at which 15 cracks initiated decreased. Cracks observed through projection-type tomography are 16 17 superimposed on the fracture surface, as shown in Fig. 6 (d-f). Three cracks captured at 18 different strains are superimposed on each fracture surface in Fig. 6 (d-f). The crack that initially occurred, indicated by yellow, grows into the cracks indicated by green and blue 19 depending on the loading. After the initial cracking, the increment of the applied 20 21 displacement was 0.02 mm for three holding-time tests. Even though the applied displacement is the same, it was found that the crack grew rapidly as the holding time 22 increased. All the initial cracks were intergranular cracks shown in the yellow crack in 23 Fig. 6 (d-f), which transitioned to quasi-cleavage cracks and ductile cracks due to 24 subsequent loading. The characteristic aspect of visualized crack growth is that the crack 25

grew gradually in response to the given displacement rather than instantaneously
 advancing on one grain boundary or one facet.

The relation between the holding time and crack growth of the Al-9.9Zn-2.3Mg-1.4Cu alloy was previously investigated <sup>[9,12,36]</sup>. The embrittlement became prominent as the holding time increased, similar to the behavior observed for the present Al-10.1Zn-1.2Mg alloy. However, in the cracks that formed the fracture surface, the initial crack was a quasi-cleavage crack. The influence of the holding time on the HE behavior is related to the local accumulation of hydrogen around the crack tips.

9 To investigate the relationship between the hydrogen accumulation and 10 intergranular fracture more deeply, a high-hydrogen Al-10.1Zn-1.2 Mg alloy was 11 subjected to EDM twice on the surface. The hydrogen content of this specimen, as measured by TDA, was 25.4 mass ppm. No blisters formed on the surface, even with 12 twice the hydrogen charging, and hydrogen was considered to be present in matrix trap 13 sites such as the grain boundaries, vacancies, and dislocations. This specimen with a high 14 hydrogen content was mounted onto an in situ tensile test machine, and the intergranular 15 crack growth under constant displacement was 2D-imaged. A monotonic tensile load was 16 17 applied to the specimen until cracking occurred. The applied strain measured from the 18 projection images was 4.5%. Then, the loading was stopped, and X-ray projection images 19 continued to be captured under constant displacement. The X-ray projection images of the specimen before and after cracking are shown in Fig. 7. The times shown in Fig. 7 (b-20 21 d) are the time elapsed after the displacement was fixed. As is evident in Fig. 7 (a-b), the monotonic tensile load introduced multiple cracks into the specimen. Figs. 7 (c) and (d) 22 show 2D projection images after 65 and 130 s elapsed from Fig. 7 (b) with the 23 displacement fixed. As indicated by the red arrows, continuous intergranular crack 24 progression was observed even when the displacement was fixed. The growth rate was 25

approximately 1  $\mu$ m/s, and the crack tip was sharp. The influence of the holding time and the hydrogen content on the HE behavior is related to the local accumulation of hydrogen around the crack tips, which is discussed in detail later.

- 4
- 5 **3.1.3 Effect of particles**

Fig. 8 (a) and (b) show tomographic virtual cross-sections of the Al-10.1Zn-6 7 1.2Mg alloy without and with trace iron, respectively. Fig. 8 (c) and (d) show their fracture surfaces after the tensile test at a holding time of 0.9 ks. The emission spectrochemical 8 9 analysis of this specimen was performed, but the increased Fe content was outside the detection range of the device (i.e., below 0.01 mass %). The existence of the particles 10 shown with white contrast in Fig. 8 (b) is confirmed. As a result of the SEM-EDX analysis, 11 the average chemical composition of the particles was determined to be Al<sub>79</sub>Fe<sub>14</sub>Zn<sub>7</sub>. 12 Homogenization and solution treatment of Al-10.1Zn-1.2Mg were performed at 773 K. 13 On the basis of the isothermal section at 773 K of the Al-Zn-Fe ternary phase diagram <sup>[48]</sup>, 14 this particle is presumed to be Zn-bearing Fe<sub>4</sub>Al<sub>13</sub>. The volume fractions of pores and 15 particles and the hydrogen content were 0.08%, 0.41%, and 2.08 mass ppm, respectively. 16 17 The number density of particles was also analyzed three-dimensionally from the tomographic volume and was found to be  $8.35 \times 10^{13}$  m<sup>-3</sup>. If the particles are assumed to 18 19 be uniformly dispersed inside a specimen, the average nearest-neighbor distance of the particles is 28 µm. 20

The fracture surface shown in Fig. 8 (d) is remarkably different from that in Fig. 8 (c), although the volume fraction of pores and the hydrogen content are equivalent to those of the alloy without trace iron. Relative to Fig. 8 (c), the intergranular and quasicleavage fracture areas in Fig. 8 (d) were reduced, and a dimple fracture was observed. TDA was conducted to evaluate the change of the fracture surfaces with and without

particles from the viewpoint of hydrogen trapping on particles. The hydrogen desorption 1 2 curves of these specimens are shown in Fig. 9. Identification of the TDA peaks of aluminum alloys is not simple. For example, interpretations differ among researchers as 3 to whether the maximum peak at approximately 830 K is the peak of hydrogen desorbed 4 from pores or that of hydrogen desorbed from vacancies <sup>[19,49,50]</sup>. The heat of dissolution 5 of molecular hydrogen, the binding energy of adsorbed hydrogen on aluminum surface 6 and the binding energy of vacancies have been calculated by recent first-principles 7 calculations as 0.68 eV, 0.60 eV at maximum, and 0.30 eV, respectively <sup>[21]</sup>. Given the 8 aforementioned order, this maximum peak likely corresponds to the hydrogen desorbed 9 10 from the pores. Pores often heterogeneously nucleate on micron-scale particles in aluminum alloys during heat treatment [14,17,51]. The volume fraction of pores increased 11 from 0.06% to 0.08% because the micron-scale particles (i.e., the nucleation sites of 12 pores) increased in the Al-Zn-Mg alloy with trace iron, leading to the peak at 13 approximately 830 K in Fig. 9 (a). Furthermore, as evident in Fig. 9 (b), a broad peak was 14 observed in the temperature range from 650 to 730 K in the Al-Zn-Mg alloy. In this 15 temperature range, vacancy concentration, dislocation density, and grain size change. We 16 17 considered that both the change in the trap-site densities and the hydrogen desorption 18 from the trap sites occurred, resulting in several overlapping desorption peaks observed as a broad peak. On the other hand, the peak at 714 K observed for the Al-Zn-Mg alloy 19 with trace iron is sharp compared with the broad peak for the Al-Zn-Mg alloy without 20 21 iron. Particles in the Al-Zn-Mg alloy with trace iron were formed during solidification and were not dissolved in the matrix during homogenization and solution treatment at 773 22 K for 7.2 ks. Therefore, in the temperature range of 650 to 730 K, the structural variation 23 of particles is considered to be minor relative to the variations of vacancies, dislocations 24 and grain sizes. Given the aforementioned viewpoints, diffusible hydrogen trapped at 25

grain boundaries, dislocations or vacancies partitioning to particles would explain the changes in the hydrogen desorption curves in Fig. 9 (b) due to particles.

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The results from Figs. 8 and 9 indicate that intergranular and quasi-cleavage 3 fracture can be suppressed by preferentially trapping hydrogen in the particles even 4 though the hydrogen content in the whole material is constant. Regarding suppression of 5 intergranular and quasi-cleavage fracture by particles, the same tendency as the present 6 result also appeared in an Al-9.9Zn-2.3Mg-1.4Cu alloy. Su et al. investigated the 7 influence of particles on HE behavior by preparing Al-9.9Zn-2.3Mg-1.4Cu alloys with 8 different Fe and Si contents [36]. Based on their tensile tests, the area fractions of quasi-9 10 cleavage fracture were 38%, 30% and 28% in the specimens having both Fe and Si contents of 0.01, 0.05 and 0.3 mass %, respectively. In specimens where the content of 11 Fe and Si is high and Al<sub>7</sub>Cu<sub>2</sub>Fe and Mg<sub>2</sub>Si particles are distributed at high density, 12 intergranular and quasi-cleavage fracture are suppressed. For this reason, Su et al. 13 considered that the amount of hydrogen trapped at dislocations and at the crack tip 14 decreases in a relative sense depending on the particles. Therefore, the quasi-cleavage 15 fracture could be suppressed, which is consistent with the present experimental results 16 17 using Al-10.1Zn-1.2Mg alloys.

The hydrogen trap sites of Al<sub>7</sub>Cu<sub>2</sub>Fe and Mg<sub>2</sub>Si are still unclear. Hydrogen trap 18 19 sites related particles include sites inside the particles, to at coherent/semicoherent/incoherent interfaces, and within strain fields around the particles 20 <sup>[52–56]</sup>, but the ones that apply to Al<sub>7</sub>Cu<sub>2</sub>Fe and Mg<sub>2</sub>Si are not yet understood. Currently, 21 we are promoting research focusing on the possibility of hydrogen trapping inside 22 particles, and the results will be published in the future. 23

24

#### 1 **3.1.4 Influence of atomic hydrogen and pores**

As mentioned in the introduction, pores in aluminum have been reported to affect the mechanical properties <sup>[17]</sup>. This report revealed the role of pores in ductile fracture, but the role of the pores in HE is still unclear. The inside of the pore is filled with molecular hydrogen, and its hydrogen content is expressed by Eq. (3). Therefore, the pores in aluminum alloys can be regarded as containing molecular hydrogen. In this subsubsection, the effects of atomic hydrogen and molecular hydrogen are separately evaluated from the viewpoint of the HE behavior.

9 Tomographic in situ tensile tests were performed by means of several specimens 10 with different volume fractions of pores and hydrogen content in the matrix, as shown in Fig. 3. The four specimens shown in Fig. 3 are representative, and eight other specimens 11 (Two specimens for each step in the process of (a) to (d) in Fig. 1) were also prepared. 12 Tomographic in situ tests were not performed on these eight specimens, but the 13 tomographic observation of the pores was performed to estimate the molecular hydrogen 14 content at no loading. After that, the hydrogen embrittlement behavior was investigated 15 in the laboratory under the same tensile conditions as those of the tomographic in situ 16 17 tests. Here, the holding time was set to 0.3 ks. After this holding time, the fracture surfaces 18 were observed by SEM, and the area fraction of the intergranular and quasi-cleavage 19 fracture surfaces was analyzed. The hydrogen content in each specimen was also analyzed by TDA, and the area fraction of intergranular and quasi-cleavage fracture, total hydrogen 20 21 content, and molecular hydrogen content in a total of 12 specimens were investigated. To evaluate the effects of atomic hydrogen and molecular hydrogen on the HE, the hydrogen 22 content in the matrix,  $C_{\text{mat}}$ , was defined as follows: 23

$$C_{\rm mat} = C_{\rm total} - C_{\rm pores} \tag{4}$$

24 where  $C_{\text{total}}$  is the total hydrogen content of each specimen as measured by TDA.

The area fractions of ductile, quasi-cleavage, and intergranular fractures are 1 2 summarized in terms of the hydrogen content in the matrix and the pores, and the results 3 are described in Fig. 10. Here, a contour map shows the area fractions of each fracture mode. Ductile fracture in Fig. 10 was defined as a fracture surface that is neither 4 intergranular fracture nor quasi-cleavage fracture. As the hydrogen content in the matrix 5 increases, the ductile fracture transitions to a quasi-cleavage fracture. When the content 6 increases further, intergranular fracture tends to occur. In contrast, it has been clarified 7 8 that the role of the hydrogen content in pores is minor in the transition of fracture modes. 9 With regard to the ductile fracture of an AA2024 alloy at room temperature and an 10 AA5086 alloy at high temperature, it has been reported that the growth and coalescence of pores dominate the fracture behavior <sup>[17,51]</sup>. Even with the same aluminum-based alloys, 11 the high-hydrogen Al-10.1Zn-1.2Mg alloy undergoes HE, resulting in intergranular 12 and/or quasi-cleavage fracture. It can be concluded that the influence of pores on fracture 13 is small since HE occurs before the growth and coalescence of pores. 14

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#### 16 **3.2 Local deformation and fracture behavior around cracks**

#### 17 **3.2.1 3D strain mapping ahead of the crack tip**

As described in Section 3.1, it was found that the Al-10.1Zn-1.2Mg alloy exhibits HE, which is dominated by the hydrogen content in the matrix. However, the fracture behavior is not completely brittle. The SS curves in Fig. 4 and the crack growth behavior in Fig. 6 imply that intergranular and quasi-cleavage crack growth of Al-10.1Zn-1.2Mg alloy accompany plastic deformation. Because the region where the fracture occurs is a crack tip, it is important to quantitatively evaluate the local plastic deformation near crack tips.

In this study, various strain components around the crack tips were analyzed 1 using a 3D strain mapping technique <sup>[35]</sup>. In situ holding-time-tests of Al-10.1Zn-1.2Mg 2 alloy and Al-9.9Zn-2.3Mg-1.4Cu alloy were performed to initiate intergranular cracks 3 and quasi-cleavage cracks, respectively. After the cracks were initiated, 3% strain was 4 applied to analyze the strain distribution ahead of the crack tips. The length of the 5 visualized cracks was approximately 70 µm and 50 µm for the intergranular and quasi-6 cleavage cracks, respectively. Figs. 11 (a) and (b) show the 3D scatter plots of hydrostatic 7 strain, equivalent strain, and normalized-equivalent strain ahead of crack tips when 3% 8 9 strain was applied after cracking. Here, normalized-equivalent strain means the equivalent strain, which is divided by the average equivalent strain of the whole material 10 to investigate the strain localization. As described in the methodology, each strain 11 component inside materials was calculated on the basis of the physical displacements of 12 pores and particles. Hydrostatic strain, equivalent strain, and normalized-equivalent strain 13 14 were plotted at the position corresponding to the center of gravity of the tetrahedron with pores and particles as vertices in Fig. 11. The color of the markers in each plot corresponds 15 to the value of each strain component displayed in the color bar. The coordinates in Figs. 16 17 11 (a) and (b) are the absolute coordinates of the 3D region captured by projection-type tomography, and the right side of the figures is located at the specimen surface. 18

In the intergranular crack shown in Fig. 11 (a), localization of each strain component could not be analyzed in the vicinity of the crack tip or the regions distant from the crack. There were regions where equivalent and normalized-equivalent strains were high, as shown in the quasi-cleavage crack shown in Fig. 11 (b). Note that this localization of strain does not depend on the presence of a crack. As in the previous findings for the Al-9.9Zn-2.3Mg-1.4Cu alloy <sup>[12]</sup>, a strain-localized region was first formed, and a crack grew along the localized region. Thus, localization of the strain
 caused by the crack appeared in neither intergranular nor quasi-cleavage cracks.

3

#### 4 **3.2.2 3D crack morphology**

Morphologies of intergranular and quasi-cleavage cracks were visualized in 5 detail by imaging-type tomography. In situ holding-time-tests were performed until the 6 intergranular and the quasi-cleavage crack initiation in Al-10.1Zn-1.2Mg alloy and Al-7 9.9Zn-2.3Mg-1.4Cu alloy, respectively, and then, their crack tips were visualized in 3D. 8 9 The spatial resolution of imaging-type tomography is improved fivefold compared with 10 that of projection-type tomography; however, the field of view is limited. Because the 11 entire specimen could not be visualized, the applied strain could not be measured from the tomographic image; however, displacements were applied until the crack initiation in 12 Al-10.1Zn-1.2Mg alloy and Al-9.9Zn-2.3Mg-1.4Cu alloy were 0.08 and 0.19 mm, 13 respectively. The vicinities of the tips of an intergranular crack and a quasi-cleavage crack 14 are shown in Figs. 12 and 13, respectively. In the imaging-type tomography, the field of 15 view is a cylindrical region (i.e., 83 µm in diameter, 59 µm in length) near the crack tip 16 17 because the region of interest inside the specimen was imaged. Therefore, the semicircular contours in Figs. 12 (a) and 13 (a) are the edges of the field of view, and the 18 19 crack tip is the zigzag edge located in the upper region.

The 3D image and the 2D virtual cross-section shown in Figs. 12 (a-b) and (c-e), respectively, show that the tip of the intergranular crack is sharp and flat compared with the quasi-cleavage crack shown in Fig. 13. Crack deflection was observed near the crack tip in Fig. 12 (d-e); conceivably, however, the crack may have grown through the neighboring grain. The deflection point corresponds to the triple junction point of the grain boundary. The quasi-cleavage crack shown in Fig. 13 exhibits a tortuous

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morphology relative to the intergranular crack. The appearance of the crack propagating 1 2 while repeatedly blunting locally and sharpening was visualized. The crack tip and the intergranular crack are sharp, whereas the quasi-cleavage crack exhibits a rugged surface. 3 Fig. 14 (a) shows the fracture surface of Al-9.9Zn-2.3Mg-1.4Cu alloy observed by SEM, 4 and Fig. 14 (b) shows an image obtained by superimposing the crack of Fig. 13 and the 5 enlarged fracture surface of Fig. 14 (a). The rugged surface morphology of the quasi-6 cleavage crack shown in Fig. 13 coincides with the quasi-cleavage facet. With regard to 7 the mechanism of the quasi-cleavage facet formation of steel, the nucleation and growth 8 9 of nanovoids at the intersection of the slip band ahead of the crack tip have been proposed <sup>[33]</sup>. However, as shown in Fig. 13, no void exceeding 300 nm is observed ahead of the 10 11 quasi-cleavage crack tip for the Al-9.9Zn-2.3Mg-1.4Cu alloy. Approximately 5-30 nm nanovoids were observed in the strain-localized region in the TEM and the HAADF-12 STEM images of Al-9.9Zn-2.3Mg-1.4Cu alloy after 5% strain, as previously reported <sup>[12]</sup>. 13 In addition, the growth behavior of nanovoids of Al-9.9Zn-2.3Mg-1.4Cu alloy has been 14 analyzed using imaging-type tomography <sup>[57]</sup>. The nanovoids are uniformly distributed in 15 the strain-localized region, and the accumulation and coalescence of nanovoids was not 16 observed during deformation. We inferred that nanovoids mainly act as one of the main 17 18 hydrogen trap sites in and play a significant role in the repartitioning behavior of hydrogen in the Al-9.9Zn-2.3Mg-1.4Cu alloy. We are currently investigating the origin of 19 quasi-cleavage facets. One of the candidates is the interfacial decohesion of Al/MgZn2 20 21 due to hydrogen accumulation at the Al/MgZn<sub>2</sub> interface. Tsuru et al. determined by FPS that the interfacial decohesion of MgZn<sub>2</sub> precipitates initiate when the trapped hydrogen 22 at the Al/MgZn2 interface reaches 18.9 atomH/nm<sup>2 [58]</sup>. The experimental verification of 23 the above calculation is ongoing and will be published in the future. 24

The CTOD was directly measured from the 2D virtual cross-section of the 3D crack visualized by imaging-type tomography <sup>[43]</sup>. Figs. 15 (a) and (b) show the 2 distributions of the CTOD for mode I (hereinafter CTOD<sub>I</sub>) along the crack front lines of 3 Fig. 12 (a) and Fig. 13 (a), respectively. The average CTOD<sub>I</sub> values of the intergranular 4 and quasi-cleavage cracks were 0.14 and 0.23 µm, respectively. In the quasi-cleavage 5 crack, CTOD<sub>I</sub> was locally as high as approximately 1 µm in a blunted region, as shown 6 in Fig. 13 (d). The results presented here imply that the HE crack growth of aluminum 7 alloys occurs with small crack driving forces. 8

9

#### 10 4. Discussion

#### 4.1 Hydrogen accumulation and fracture behavior 11

The mechanism behind the increase in holding time and HE behavior, as 12 13 observed in Figs. 4 and 6, is considered to be hydrogen accumulation and hydrogen repartitioning in the plastic zone. As mentioned in the introduction, hydrogen localizes to 14 slip lines and grain boundaries by plastic deformation and accumulates over time at room 15 temperature <sup>[22–26]</sup>. Understandably, the diffusion of hydrogen in aluminum is necessary 16 for its accumulation at specific sites. Numerous reports on the diffusion coefficient of 17 hydrogen in aluminum have appeared in the literature <sup>[37,38,50]</sup>. The coefficient derived by 18 Eichenauer and Pebler is considered the most appropriate and is expressed as D =19  $2.1 \times 10^{-5} \exp(-Q/RT)$  <sup>[37,38]</sup>, where D (m<sup>2</sup>/s) is the diffusion coefficient of the 20 hydrogen in aluminum and Q is the activation energy of diffusion, equal to 45.63 kJ/mol. 21 The diffusivity at 298 K is  $2.1 \times 10^{-13}$  m<sup>2</sup>/s from this simple estimation; however, the 22 effect of trapping on the hydrogen diffusion is ignored. Al-Zn-Mg alloys have various 23 hydrogen trap sites such as vacancies and grain boundaries. As mentioned in Section 2.1, 24 the effective diffusivity of overaged Al-6.9%Zn-2.65%Mg-0.06%Cu alloy has been 25

reported to be  $1.05 \times 10^{-11}$  m<sup>2</sup>/s at 298 K <sup>[39]</sup>. In view of this, we speculate that the 1 aforementioned diffusivity of  $2.1 \times 10^{-13}$  m<sup>2</sup>/s is conservative. The diffusion distance, *l*, 2 in 2.7 ks, which is the holding time for the tomography in situ tensile loading, is estimated 3 to be  $(2Dt)^{1/2}$ , which is 34 µm at 298 K. Hydrogen is reasonably inferred to accumulate 4 at the crack tip by diffusion with a holding time of 2.7 ks, even at room temperature. 5 6 Notably, when cracks initiate during holding-time tests, stress-induced diffusion occurs because a hydrostatic stress field appears at the crack tip <sup>[59]</sup>. Conceivably, hydrogen is 7 8 transported to the vicinity of the crack tip from the region more than 34 µm away during holding, but this scenario is not considered. Again, the estimation of the diffusion in the 9 10 present work is conservative.

We show the crack growth behavior under a fixed displacement in the specimen 11 with a high hydrogen content in Fig. 7. We will discuss the influence of hydrogen on this 12 13 crack growth behavior. First, to measure the 3D morphology by X-ray tomography, it is necessary to hold the specimen stationary during a tomographic scan. Spontaneously 14 propagating cracks, as in Fig. 7, cannot be observed by tomography, and that is why the 15 2D observation of projection images was performed. Ideally, this crack propagation 16 behavior should be analyzed quantitatively using fracture mechanics parameters. 17 18 However, there are multiple intergranular cracks shown in Fig. 7, and they are deflected. 19 The 3D morphology is also unclear, and quantitative evaluation is difficult. Therefore, the 20 crack growth behavior is qualitatively discussed below by considering the local stress 21 intensity factor of the crack tip, k. The stress intensity factor, K, which is one of the fracture mechanics parameters that indicates the crack driving force, is expressed as K =22  $F\sigma\sqrt{\pi a}$  using the geometrical factor, F, the stress,  $\sigma$ , and the crack length, a. The 23 mathematical definition of k is the same as K, but lowercase k is used as a local parameter 24 in this discussion. The local crack driving force, k, slightly increases if the crack grows 25

100 µm under constant stress. However, because the displacement was fixed in the 1 experiment of Fig. 7, the stress dropped markedly due to crack growth, as shown in Fig. 2 3 4. Therefore, k at the crack tip shown in Fig. 7 decreased over time, but the crack did not arrest. The crack growth behavior indicated by the red arrow cannot be interpreted by the 4 fracture mechanics parameters alone, suggesting that the accumulation of hydrogen 5 6 reduced the local crack growth resistance at the grain boundary and that crack growth 7 under constant displacement occurred. On the other hand, the intergranular crack indicated by black arrows did not grow. The difference in the behavior of intergranular 8 9 crack growth can be attributed to the influence of the grain boundary character. Hirayama et al. investigated the relation between the intergranular cracking and the crystallographic 10 properties of an Al-10.1-Zn-1.2Mg alloy of the same composition as used in the present 11 study <sup>[60]</sup>. According to their study, intergranular cracks tended to initiate at the grain 12 boundary, where both the relative misorientation and geometric angle to the tensile axis 13 14 are large. That is, fracture easily occurs at the grain boundary where the local stress of mode I acts and traps hydrogen due to the high relative misorientation. Further 15 crystallographic analysis is ongoing and will be published elsewhere <sup>[60]</sup>. 16

17 An FPS that concluded that hydrogen segregation occurs at the grain boundary with a relatively high grain-boundary energy was reported for iron and aluminum <sup>[29]</sup>. 18 19 Furthermore, a recent FPS revealed that as the trapped hydrogen in the grain boundary reaches 22 H atoms/nm<sup>2</sup>, the grain-boundary cohesive energy decreases from 1.4 to 0.2 20 J/m<sup>2</sup>, causing intergranular fracture in the aluminum <sup>[45]</sup>. In the results in Fig. 7, it is 21 22 conceivable that the grain boundaries indicated by the red arrows tend to trap hydrogen 23 and locally exhibit high hydrogen contents at the intergranular crack tips. Therefore, crack growth occurred even under fixed displacement. G. Bond et al. investigated crack growth 24 under fixed displacements by using TEM specimens of A7075 alloy and pure aluminum 25

(99.999%) in a hydrogen environment. It was reported that crack growth occurred 1 2 immediately when 3-11 kPa of hydrogen gas was introduced into the TEM sample 3 chamber. The results in Fig. 7 and those of G. Bond et al. seem to be analogous, but the crack growth conditions are completely different in terms of the specimen size and 4 hydrogen trap state. For the crack growth in Fig. 7, hydrogen trapped inside the material 5 was the driving force, and growth was not governed by the gas environment outside the 6 specimen. Furthermore, the crack growth was observed by means of the foil specimens, 7 8 and not in the bulk. Crack growth behavior tends to be influenced by the geometry, the 9 various internal stress and strain states, and the surface conditions of the test piece. 10 Therefore, the behavior of the crack growth observed in the foil is not necessarily the 11 same as that in the bulk. Fig. 7 is the experimental visualization of crack growth under fixed displacement by hydrogen accumulation using a bulk specimen. 12

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#### 14 **4.2 Local deformation at the intergranular and quasi-cleavage crack tip**

No strain components concentrated at the vicinity of the crack tip were observed 15 in either the intergranular crack or the quasi-cleavage crack in the strain map shown in 16 17 Fig. 11. This strain map is based on the microstructural feature tracking technique, where the changes in the displacement of pores and particles around the crack are tracked <sup>[35]</sup>. 18 19 The nearest-neighbor distance of each pore and particle is approximately 18 µm in the materials used in this study. Therefore, the strain mapping shown in Fig. 11 cannot 20 21 analyze a strain field smaller than 18 µm. The CTODs measured by imaging-type tomography were as small as 1  $\mu$ m at the maximum, as shown in Fig. 15. The process 22 zone of the crack tip is reasonably inferred to be smaller than 2 µm when the size of 23 process zone is estimated as twice the CTOD. Thus, due to the sharp crack tip without 24 25 blunting and the small process zone, the plastic region caused by the crack may be smaller

than 18 μm. Consequently, strain mapping based on microstructural feature tracking
 apparently could not assess the local displacement field at the crack tip.

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The stress and strain field at the crack tip follows the Hutchinson-Rice-Rosengren (HRR) singularity in the stationary crack and Rice-Drugan-Sham (RDS) singularity in the growing crack <sup>[61–63]</sup>. The HRR solution is derived by elastoplastic analysis of the crack tip region based on total strain theory and is expressed as follows:

$$\delta = 2\alpha' \varepsilon_0 \left(\frac{J}{\alpha' \varepsilon_0 \sigma_0 I_n}\right)^{n/(n+1)} r^{1/(n+1)} \tilde{u}(\pi)$$
<sup>(5)</sup>

7 where  $\delta$  is the crack opening displacement (COD),  $\varepsilon_0$ , *n*, and  $a_0$  are the constants in the 8 constitutive equation for a power-law hardening material, *J* is the *J*-integral,  $\sigma_0$  is the flow 9 stress, *r* is the distance behind a crack tip,  $I_n$  is an integral constant, and  $\tilde{u}(\pi)$  is the value 10 of a dimensionless normalization function at  $\theta = \pi$ . The *J*-integral was calculated based 11 on equation (6) from the CTOD,  $\delta$ , experimentally measured from the virtual cross-12 section of the cracks (slices A, B, and C in Figs. 12 and 13).

$$J = \frac{\sigma_0 \delta}{d_n} \tag{6}$$

where  $d_n$  is a dimensionless constant. The stress and strain in the crack tip region have a singularity of  $r^{1/(n+1)}$  in this HRR solution. The RDS solution is expressed as follows:

$$\frac{E\delta}{\sigma_0 r} = \alpha T_R + \beta \ln\left(\frac{eR_p}{r}\right) \tag{7}$$

where *E* is Young's modulus,  $T_R$  is the material tearing modulus, *e* is Napier's constant, and  $R_p$  is the plastic zone diameter.  $T_R$  was calculated as follows<sup>[64]</sup>:

$$T_{\rm R} = \frac{\Omega}{3\alpha} - \frac{\beta}{\alpha} \ln\left(\frac{e\lambda\Omega}{3\alpha}\right) \tag{8}$$
$$\Omega = \frac{E\varepsilon_{\rm f}}{\sigma_0}$$

with

17 where  $\alpha$ ,  $\beta$  and  $\lambda$  are constants and were assumed to be 0.65, 5.08, and 0.2 respectively 18 <sup>[64]</sup>. The calculated  $T_{\rm R}$  values were 12.5 and 8.7 for intergranular cracks and quasi-

cleavage cracks, respectively. According to Chan *et al.*, these calculated  $T_{\rm R}$  values are 1 classified as being within the "brittle fracture regime" <sup>[64]</sup>. The RDS solution shown in Eq. 2 (7) is derived from the exact solution based on strain increment theory, and it shows a 3 weak singularity proportional to  $\ln(1/r)$ . For the purpose of experimental evaluation of 4 the above singularities, it is extremely challenging to measure the stress and strain in the 5 local region of the crack tip inside the material. However, the singularity of the crack tip 6 region can be evaluated by measuring the COD along the crack surface and comparing 7 the value of  $\delta$  derived from the HRR and RDS solutions. In addition, Toda *et al.* analyzed 8 9 the transition of the singularity from HRR to RDS due to crack growth in three dimensions by using the COD profile directly measured by tomographic observation <sup>[61]</sup>. 10

In this study, the displacement field (i.e., local deformation region) of the 11 intergranular and quasi-cleavage crack tip region was analyzed in terms of the 12 abovementioned singularities. The HRR and RDS singularities based on Eqs. (5) and (7) 13 are applicable when the stress/strain field at the crack tip is a K-dominated or J-dominated 14 region. The analysis based on Eqs. 5 and 7 cannot be applied if the stress/strain field at 15 the crack-tip exhibits large-scale yielding. As shown in Figs. 11 to 15, the microcracks 16 17 observed in this study were mostly 3D microcracks embedded in an elastic-plastic field. 18 If large-scale yielding occurs at the crack tip, the measured CTOD corresponding to the 19 size of the process zone at the crack tip would be large. As shown in Fig. 15, the CTOD 20 of intergranular cracks and quasi-cleavage cracks was small, at most approximately 1 µm. At the tip of such a crack, it is unlikely that large-scale yielding has occurred. Thus, in 21 22 the following discussion, it was rationally assumed that their crack tips were K-dominated or J-dominated regions. 23

The COD profiles obtained from Figs. 12 (c-e) and 13 (c-e) are shown in Fig. 16 (a) and (b), respectively. The kink in the COD profile, which is presumed to be due to

propagation of the adjacent grain boundary, was observed in the intergranular crack. 1 2 Relative to an intergranular crack, the COD profiles of a quasi-cleavage crack vary frequently, which corresponds to facet structure on the fracture surface. Fig. 17 shows 3 normalized COD profiles that were experimentally obtained from COD profiles in Fig. 4 16 and normalized COD profiles generated by the HRR and RDS solutions. Here, to 5 compare the two solutions expressed as Eqs. (5) and (7), the distance from the crack tip, 6 r, and the COD,  $\delta$ , were normalized using the *J*-integral, flow stress, and Young's modulus. 7 As a result, the stress and strain fields in both crack tip regions do not follow the HRR 8 9 solution; they follow the RDS solution only in the quite limited range of the crack tip. The regions following the RDS solution in the intergranular crack and the quasi-cleavage 10 crack were the regions where the normalized distance is equal to 0.2-0.3 (i.e., 15-20 µm 11 from the crack tip) and 0.2 or less (i.e., 0.6-5 µm from crack tip), respectively. The RDS 12 solution means that elastic unloading occurs above and below the crack surface when a 13 14 sharp crack propagates into the plastic zone and that the stress and strain singularity in the crack tip region weaken in proportion to ln(1/r). The analysis shown in Fig. 17 15 suggests that strain has already been localized prior to crack initiation and that sharp 16 17 cracks grow toward the strain-localized region. This important finding is consistent with the strain mapping ahead of the quasi-cleavage crack shown in Fig. 11 (b). Since the 18 19 region following the RDS solution is within 5 µm of the crack tip, it can be concluded 20 that the localization of the strain at the crack tip does not appear in the strain mapping of 21 Fig. 11 (b). The region following the RDS solution of the intergranular crack is slightly larger than the quasi-cleavage crack and lies 15 to 20 µm from the crack tip. This result 22 reveals the effect of heterogeneous deformation near the grain boundary, as the Al-23 10.1Zn-1.2 Mg alloy used had a coarse grain of approximately 330 µm, which imparts a 24 25 loose plastic constraint from adjacent grains. In addition, the alloy has a coarse precipitate

and a precipitate-free zone on the grain boundaries <sup>[65]</sup>. Thus, in both intergranular and quasi-cleavage cracks, the local deformation, which follows the RDS solution, was quantitatively revealed in the region of 20  $\mu$ m or less from the crack tip. Local plastic deformation occurs at the crack tip, and its plastic zone size is significantly more limited than that of a typical ductile crack.

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#### 7 **5.** Conclusion

The HE behavior of Al-10.1Zn-1.2 Mg alloy and Al-9.9Zn-2.3Mg-1.4Cu alloy 8 9 were analyzed by means of in situ observation using synchrotron projection-type and 10 imaging-type tomography. Similar to the already reported behavior of the Al-9.9Zn-11 2.3Mg-1.4Cu alloy, HE appeared significant when the holding time of the in situ test increased, and the area fraction of intergranular and quasi-cleavage fracture increased. 12 However, the fracture was not completely brittle, and even the intergranular crack that 13 grew on one grain boundary did not grow in a single step but instead grew progressively 14 by tensile loading. When trace iron was added to the Al-10.1Zn-1.2Mg alloy, the area 15 fraction of intergranular and quasi-cleavage fracture decreased, and HE was suppressed 16 17 even if the hydrogen content of the material was approximately the same. Based on the 18 TDA results, it was concluded that the hydrogen content in trap sites such as dislocation and grain boundaries decreased relatively and that HE was suppressed because the 19 second-phase particles trapped hydrogen. In addition, it was found that these HE 20 21 behaviors are dominated by hydrogen in the matrix and that pores filled with molecular hydrogen do not directly affect HE. The contribution of the plastic deformation to 22 intergranular and quasi-cleavage crack growth has been examined in this study. 3D strain 23 mapping could not visualize their strain fields because their size is smaller than 18 µm, 24 and the RDS solution also suggests that the local deformation regions of the crack tip 25

were quite limited. Furthermore, intergranular cracks grown at fixed displacement were
 visualized in the specimen with extremely increased hydrogen content, and the crack
 driving force was attributed to hydrogen accumulation.

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#### Acknowledgments

This research was supported by Japan Science and Technology Agency (JST) under 6 7 Collaborative Research Based on Industrial Demand "Heterogeneous Structure Control: 8 Towards Innovative Development of Metallic Structural Materials". This research was supported in part by a grant from the Light Metal Educational Foundation, Japan. The 9 10 synchrotron radiation experiments were performed at the BL20XU and the BL37XU of SPring-8 with the approval of Japan Synchrotron Radiation Research Institute (JASRI) 11 (Proposal Nos. 2016A1199, 2016B1081, 2016A0076, 2016B0076). The authors also 12 thank Dr. Takahiro Shikama in KOBELCO and Japan Aluminium Association for 13 14 providing and preparing materials used.

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#### 2 Captions

Fig. 1. Schematic of the process used to prepare four different Al-10.1Zn-1.2Mg alloys
with different volume fractions of pores, V<sub>f</sub>, and different hydrogen contents, C<sub>H</sub>,
as shown in (a-d). Thermal cycle (TC) in air was used to increase V<sub>f</sub>, and TC in
vacuum was used to decrease C<sub>H</sub>.

# Fig. 2. Schematic of the (a) projection-type and (b) imaging-type tomography configurations performed on BL20XU and BL37XU of SPring-8, respectively.

Fig. 3. Virtual cross-sections of a 3D tomographic image at different volume fractions of
pores, V<sub>f</sub>, and hydrogen content, C<sub>H</sub>, in Al-10.1Zn-1.2Mg alloys. Each image was
captured in the unloaded state. The preparation processes in (a-d) correspond to
Fig. 1 (a-d), respectively.

- Fig. 4. Nominal stress-strain curves obtained by in situ tensile tests. The curves in Fig. 4 (a) were obtained from a low  $V_{\rm f}$  and high  $C_{\rm H}$  alloy and la ow  $V_{\rm f}$  and low  $C_{\rm H}$  alloy shown in Fig. 3 (a) and (b), respectively, at the holding time of 0.9 ks. Fig. 4 (b) was obtained from low  $V_{\rm f}$  and high  $C_{\rm H}$  alloys shown in Fig. 3 (a) at the different holding times of 0.3, 0.9 and 2.7 ks.
- Fig. 5. Fracture surfaces of the (a) low  $V_{\rm f}$  and high  $C_{\rm H}$  alloy and (b) low  $V_{\rm f}$  and low  $C_{\rm H}$ alloy shown in Fig. 3 (a) and (b) after in situ tensile tests, respectively, with a holding time of 0.9 ks.
- Fig. 6. Fracture surface of tensile specimens at different holding times. In Fig. 6 (a-c), Intergranular and quasi-cleavage fractured regions are indicated in red and blue, respectively. In Fig. 6 (d-f), cracks visualized by projection-type tomography at each strain are superimposed as yellow, green, and blue. Nominal strains are also indicated when each crack was visualized by projection-type tomography.
- Fig. 7. 2D projection images of specimen (a) before loading at (b) 0, (c) 65, and (d) 130 s after holding the displacement. The crack indicated by the black arrow was arrested, and the crack indicated by the red arrow grew even though the displacement was constant.
- Fig. 8. Tomographic slices and fracture surfaces of Al-10.1Zn-1.2Mg alloys (a and c)
   without and (b and d) with trace iron, respectively. The fracture surface without
   trace iron corresponds to Fig. 6 (b). The intergranular and quasi-cleavage fractured
   regions are shown in red and blue, respectively.

- Fig. 9. Thermal desorption curves of the Al-Zn-Mg alloy and Al-Zn-Mg alloy with trace 1 iron. Fig. 9 (a) is an overall view of thermal desorption curves. Fig. 9 (b) is an 2 enlarged view of Fig. 9 (a) in the temperature range 550-800 K. 3 Fig. 10. Contour map of the area fraction,  $A_{\rm f}$ , of (a) ductile, (b) guasi-cleavage, and (c) 4 intergranular fractured regions on the fracture surface. The hydrogen content in 5 the pores was analyzed by 3D tomographic images; the hydrogen content in the 6 matrix,  $C_{mat}$ , is equal to the total hydrogen content minus the hydrogen content in 7 the pores. 8 9 Fig. 11. 3D strain mapping of hydrostatic, equivalent, and normalized-equivalent strains. Each strain component around a crack tip corresponding to (a) intergranular and 10 (b) quasi-cleavage cracks is represented. The position of each marker is the 11 position of the center of gravity of a tetrahedron composed of pores and particles; 12 13 the color corresponds to the value of each strain component indicated by the color 14 map. 15 Fig. 12. 3D tomographic images of an intergranular crack ((a) top and (b) side views). In Fig. 12 (c~e), the 2D virtual cross-sections of A, B, and C correspond to the dashed 16 line in the top view. 17 Fig. 13. 3D tomographic images of a quasi-cleavage crack ((a) top and (b) side views). In 18 Fig. 13 (c~e), the 2D virtual cross-sections of A, B, and C correspond to the dashed 19 line in the top view. 20 Fig. 14. Fracture surface of Al-9.9Zn-2.3Mg-1.4Cu alloy observed by SEM. Fig. 14 (a) 21 is the overall view of fracture surface and (b) is enlarged view of rectangular 22 region in (a). In Fig. 14 (b), the quasi-cleavage crack captured by imaging-type 23 tomography is superimposed. 24 Fig. 15. Variations in local crack driving forces, CTOD<sub>I</sub>, along the (a) intergranular and 25 (b) quasi-cleavage crack front line. CTOD<sub>I</sub> is directly analyzed from the crack tip 26 27 morphology. 28 Fig. 16. Variation of the crack opening displacement from the crack tip, which was obtained from slices A, B, and C in Figs. 12 and 13. Fig. 16 (a) and (b) correspond 29 30 to intergranular and quasi-cleavage cracks, respectively. Fig. 17. Normalized crack opening profile as a function of normalized distance for the (a) 31 32 intergranular and (b) quasi-cleavage crack tips. 33
  - 39



Fig. 1. Schematic of the process used to prepare four different Al-10.1Zn-1.2Mg alloys with different volume fractions of pores,  $V_{\rm f}$ , and different hydrogen contents,  $C_{\rm H}$ , as shown in (a-d). Thermal cycle (TC) in air was used to increase  $V_{\rm f}$ , and TC in vacuum was used to decrease  $C_{\rm H}$ .



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(b) Low  $V_{\rm f}$  and low  $C_{\rm H}$ 

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Fig. 7. 2D projection images of specimen (a) before loading at (b) 0, (c) 65, and (d) 130 s after holding the displacement. The crack indicated by the black arrow was arrested, and the crack indicated by the red arrow grew even though the displacement was constant.



Fig. 8. Tomographic slices and fracture surfaces of Al-10.1Zn-1.2Mg alloys (a and c) without and (b and d) with trace iron, respectively. The fracture surface without trace iron corresponds to Fig. 6 (b). The intergranular and quasi-cleavage fractured regions are shown in red and blue, respectively.



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Fig. 10. Contour map of the area fraction,  $A_{\rm f}$ , of (a) ductile, (b) quasi-cleavage, and (c) intergranular fractured regions on the fracture surface. The hydrogen content in the pores was analyzed by 3D tomographic images; the hydrogen content in the matrix,  $C_{\rm mat}$ , is equal to the total hydrogen content minus the hydrogen content in the pores.



Fig. 11. 3D strain mapping of hydrostatic, equivalent, and normalized-equivalent strains.Each strain component around a crack tip corresponding to (a) intergranular and (b) quasi-cleavage cracks is represented. The position of each marker is the position of the center of gravity of a tetrahedron composed of pores and particles; the color corresponds to the value of each strain component indicated by the color map.



Fig. 12. 3D tomographic images of an intergranular crack ((a) top and (b) side views). In Fig. 12 (c~e), the 2D virtual cross-sections of A, B, and C correspond to the dashed line in the top view.



Fig. 13. 3D tomographic images of a quasi-cleavage crack ((a) top and (b) side views). In Fig. 13 (c~e), the 2D virtual cross-sections of A, B, and C correspond to the dashed line in the top view.



(a) Overall view



(b) Enlarged view of rectangular region in (a)

Fig. 14. Fracture surface of Al-9.9Zn-2.3Mg-1.4Cu alloy observed by SEM. Fig. 14 (a) is the overall view of fracture surface and (b) is enlarged view of rectangular region in (a). In Fig. 14 (b), the quasi-cleavage crack captured by imaging-type tomography is superimposed.



Fig. 15. Variations in local crack driving forces, CTOD<sub>I</sub>, along the (a) intergranular and
(b) quasi-cleavage crack front line. CTOD<sub>I</sub> is directly analyzed from the crack tip morphology.



Fig. 16. Variation of the crack opening displacement from the crack tip, which was obtained from slices A, B, and C in Figs. 12 and 13. Fig. 16 (a) and (b) correspond to intergranular and quasi-cleavage cracks, respectively.



Fig. 17. Normalized crack opening profile as a function of normalized distance for the (a) intergranular and (b) quasi-cleavage crack tips.