



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Locus coeruleus and dopaminergic consolidation of everyday memory

Citation for published version:

Takeuchi, T, Duzskiewicz, AJ, Sonneborn, A, Spooner, PA, Yamasaki, M, Watanabe, M, Smith, CC, Fernández, G, Deisseroth, K, Greene, RW & Morris, RGM 2016, 'Locus coeruleus and dopaminergic consolidation of everyday memory', *Nature*, vol. 537, no. 7620, pp. 357–362.
<https://doi.org/10.1038/nature19325>

Digital Object Identifier (DOI):

[10.1038/nature19325](https://doi.org/10.1038/nature19325)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Nature

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Tracking Number: **2015-09-13232**

Draft 97 (FNL)

Locus coeruleus and dopaminergic consolidation of everyday memory

Tomonori Takeuchi^{1*}, Adrian J. Duzsikiewicz^{1*}, Alex Sonneborn^{2*}, Patrick A. Spooner¹,
Miwako Yamasaki³, Masahiko Watanabe³, Caroline C. Smith², Guillén Fernández⁴, Karl
Deisseroth⁵, Robert W. Greene^{2,6,&} and Richard G. M. Morris^{1,7,&}

¹Centre for Cognitive and Neural Systems,
Edinburgh Neuroscience, The University of Edinburgh,
1 George Square, Edinburgh, EH8 9JZ, UK

²University of Texas Southwestern Medical Center,
5323 Harry Hines Boulevard, Dallas, Texas 75390, USA

³Department of Anatomy, Hokkaido University Graduate School of Medicine,
Sapporo, Hokkaido, 060-8638, Japan

⁴Donders Institute for Brain, Cognition, and Behaviour,
Radboud University Medical Centre, Nijmegen, 6525 EZ, The Netherlands

⁵Departments of Psychiatry and Behavioral Sciences and of Bioengineering,
Stanford University, Stanford, California 94305, USA

⁶Dallas VA Medical Center, Dallas, Texas 75216, USA

⁷Instituto de Neurociencias,
CSIC-UMH, Alicante, 03550, Spain

* Joint First Authors; & Joint Corresponding Authors

Summary

The retention of episodic-like memory is enhanced, in humans and animals, when something novel happens shortly before or after encoding. Using an everyday memory task in mice, we sought the neurons mediating this dopamine-dependent novelty effect, previously thought to originate exclusively from the tyrosine hydroxylase-expressing (TH⁺) neurons in the ventral tegmental area (VTA). We report that neuronal firing in the locus coeruleus (LC) is especially sensitive to environmental novelty, LC-TH⁺ neurons project more profusely than VTA-TH⁺ neurons to the hippocampus, optogenetic activation of LC-TH⁺ neurons mimics the novelty effect, and this novelty-associated memory enhancement is unaffected by VTA inactivation. Surprisingly, two effects of LC-TH⁺ photoactivation are sensitive to hippocampal D₁/D₅ receptor blockade and resistant to adrenoceptor blockade – memory enhancement and long lasting potentiation of synaptic transmission in CA1 *ex vivo*. Thus, LC-TH⁺ neurons can mediate post-encoding memory enhancement in a manner consistent with possible co-release of dopamine in hippocampus.

Studies of memory for over a century¹ indicate that there is substantial forgetting within a day². Everyday memory includes many episodic-like memories that we may form automatically^{3,4}. Most are forgotten, others retained for longer such that they can then be subject to stabilisation in the neocortex via systems consolidation^{5,6}. Initial retention occurs when something novel or categorically relevant happens shortly before or after the time of memory encoding, as in ‘flashbulb memory’^{7,8}. Prospective studies of novelty-associated enhancement of retention in animals point to possible mechanisms⁹⁻¹⁴, one suggestion being that a novelty signal from VTA to the hippocampus is causally important^{15,16}. Pharmacological studies of protein synthesis-dependent long-term potentiation and depression (LTP/LTD) support the concept of dopamine (DA)-dependent enhancement of persistence^{13,17-19}, but do not identify the neuronal source of DA. We anticipated that tyrosine hydroxylase positive neurons in VTA (VTA- TH⁺) would be critical, but the possibility that LC-TH⁺ neurons can sometimes release DA as well as noradrenaline (NA)²⁰⁻²² led to our broadening the project to include LC-TH⁺ as well as VTA-TH⁺ neurons.

Novelty enhances memory persistence

We used 120 mice and began by checking that enhancement of memory retention by environmental novelty, previously shown in rats^{12,13}, could also be observed in mice. This involved our 'everyday' memory task - a model for 'episodic-like' or 'one-shot' memory²³ - using a smaller arena for mice who searched for food reward in one of 23 different sandwells whose location varied across days (Fig. 1a, Extended Data Fig. 1a). Male Th-Cre mice encoded the changing daily location of reward in 'sample' trials and learned to display effective memory in later daily 'choice' trials (5 sandwells). The data, plotted as a 5-alternative forced-choice performance index (PI), revealed stable choice performance (circa 80%) across weeks of testing (Fig. 1a, Extended Data Fig. 1b,c). A control test (sessions 61-65) established the absence of olfactory artefacts. Memory was tested using a counterbalanced series of occasional unrewarded probe tests (PTs) that sometimes followed the sample trial(s) at different intervals. Reward availability on sample trials (memory encoding) was varied (low and high reward). Effective memory at 1h (memory retrieval) was displayed in PTs for even the smallest reward, but forgetting across 24h showed an inverse relationship with reward magnitude (Fig. 1b, Extended Data Fig. 1d). A key finding was that unexpected novelty for 5min - exploration of a box with an unfamiliar floor surface placed inside the event arena 30min after the encoding trial - prolonged spatial memory to 24h (Fig. 1b). This post-encoding novelty was environmental and not a change in reward expectancy.

Fig. 1 here

Memory retention in this task is impaired by a drug targeting D₁/D₅ receptors in hippocampus in rats¹³. In our mice, the D₁/D₅ receptor antagonist SCH23390 (SCH) blocked novelty-induced enhancement of memory persistence, whereas the β-adrenoceptor antagonist propranolol (Prop) had no effect (Fig. 1c, Extended Data Fig. 1e).

TH⁺ neurons show a novelty response

The next step was to identify if TH⁺ neurons are activated by environmental novelty. Th-Cre mice were given stereotaxic injections of a Cre-inducible adeno-associated virus (AAV) carrying Channelrhodopsin-2 (ChR2) fused to enhanced yellow fluorescent protein (eYFP) into the VTA or LC and implanted with an 'optetrode' (Fig.

2a, Extended Data Fig. 2). Several weeks later, brief low frequency blue light illumination was used to identify Chr2-eYFP-positive neurons in awake mice. TH⁺ neurons were identified (Fig. 2a, Extended Data Fig. 3a,b), and recording then continued without light pulses as the mice explored both familiar (regular visits) and novel chambers (rare visits, each visit with a novel floor surface) for 5min in a counterbalanced sequence (Fig. 2b). Representative raster plots show novelty affected light-responsive neurons in both VTA and LC (Fig. 2c), with increased firing rates in most VTA-TH⁺ (12/15 neurons) and all LC-TH⁺ (10/10) neurons (Fig. 2d). Firing rate modulation was quantitatively larger for LC-TH⁺ neurons, above the usual tonic firing rate²⁴, and it habituated monotonically over time (Fig. 2e, Extended Data Fig. 3c; Ref²⁵). VTA-TH⁺ and LC-TH⁺ neurons showed more frequent bursts in novel environments with a within-burst spike frequency of 12.8 to 53.4Hz (Extended Data Fig. 3d,e). This bursting pattern was, by design, used to determine an effective optogenetic stimulation protocol for both *in vivo* and *ex vivo* experiments.

Fig. 2 here

LC projects extensively to hippocampus

Previous retrograde tracing and immunohistochemical work in the rat has shown that only a subset of VTA afferents to the hippocampus are dopaminergic²⁶. Our cell-type specific anterograde tracing revealed a paucity of projections from VTA-TH⁺ neurons to dorsal hippocampus, but substantial projections from LC-TH⁺ neurons (Fig. 3a–f). This involved unilateral injection of the Cre-inducible eYFP virus into VTA or LC of Th-Cre mice. Most TH⁺ neurons in VTA and LC expressed eYFP (Extended Data Fig. 2g). We first established co-localisation of TH in eYFP⁺ axons in the dorsal hippocampus (Fig. 3b,d – top panels), and then co-localisation of eYFP, TH and the noradrenergic transporter (NET) for LC-axons but not VTA-axons (Fig. 3b,d – bottom panels; Extended Data Fig. 4). We quantified eYFP⁺ axons projecting to hippocampus, calculating both the area occupied by all eYFP-TH double-positive axons and the ratio of double-positive axons relative to all TH⁺ axons (Fig. 3e,f). An overwhelming proportion of axons came from LC-TH⁺ neurons with very few from VTA-TH⁺ neurons (see also Ref²¹). Retrograde tracing with fluorescent retrobeads from dorsal hippocampus confirmed minimal transport to VTA, but double-labelled neurons in LC (retrobeads and TH-positivity; Extended Data Fig. 5)

Fig. 3 here

LC activation mimics the novelty effect

The overarching aim of this project was to identify neuromodulatory neurons whose post-encoding firing promotes the consolidation of hippocampal-dependent memory. The data so far pointed to the need to change our focus to LC-TH⁺ neurons as likely mediators of environmental novelty, retaining a check on the impact of activating VTA-TH⁺ neurons. Th-Cre mice were stereotaxically prepared with bilateral injections of the ChR2-eYFP (ChR2⁺) or a no opsin control (eYFP) virus (ChR2⁻), accompanied by implantation of bilateral optic cannulae into both LC and VTA and bilateral drug cannulae targeting dorsal hippocampus (Fig. 4a). An optimum optogenetic burst stimulation frequency of 25Hz was chosen (Fig. 4b) based on our within-burst firing data and both LC-TH⁺ and VTA-TH⁺ neurons could follow this frequency in awake mice (Extended Data Figs. 3e,6a). After training to a performance index of circa 75%, these mice showed effective memory in a 10-min probe test (Extended Data Fig. 6b,c). The stage was then set for examining whether 5min optogenetic burst activation, scheduled 30min *after* memory encoding, could mimic the beneficial effects of environmental novelty on memory retention at 24h (Fig. 4c).

The key finding was the striking persistence of memory over 24h when 5min of post-encoding (30min) intermittent burst stimulation of LC-TH⁺ neurons with blue light was given to the ChR2⁺ mice in their home cages. Tested 24h after weak memory encoding, LC activated ChR2⁺ animals remembered the location of the sample sandwell sampled 30min prior to light activation (Fig. 4d left; ChR2⁺ in LC-ON). Memory for 24h was not observed without LC light stimulation (ChR2⁺ in OFF), nor in separate ChR2⁻ controls. Light-activation of VTA, in the same ChR2⁺ animals, induced only a non-significant trend favouring some memory at 24h, but we observed a similar trend in ChR2⁻ mice (Fig. 4d right, compare ChR2⁺ and ChR2⁻ in VTA-ON). Not only did these upwards trends not differ from chance, they also did not differ from each other, suggesting that the trend is unrelated to light-activation of ChR2-positive neurons in VTA (e.g. light-induced temperature changes²⁷).

Fig. 4 here

We were therefore confronted by the paradox that light activation of LC enhances retention (Fig. 4d) but intrahippocampal infusion of a D₁/D₅ receptor antagonist during behavioural novelty blocks it (Fig. 1c). Accordingly, we examined the impact of post-encoding microinfusion of catecholaminergic antagonists into the hippocampus during optogenetic activation of LC (Fig. 4e). We confirmed that light activation of LC in ChR2⁺ mice enhanced 24-h spatial memory (Fig. 4f; ChR2⁺ in LC-ON with vehicle) but this enhancement was blocked by intrahippocampal infusion of SCH (ChR2⁺ in LC-ON with SCH) but not by Prop (ChR2⁺ in LC-ON with Prop; see also Extended Data Fig. 6d). This raises the possibility of LC-TH⁺ terminals co-releasing DA in hippocampus, or of heterodimerization between NA and DA receptors.

LC activation enhances synaptic efficacy

To explore one possible mechanism of this enhancement of memory retention, *ex vivo* electrophysiological experiments examined the response of CA1 pyramidal neurons to CA3 Schaffer collateral synaptic input (Fig. 5a). Three weeks before obtaining the slices, bilateral injections of a Cre-inducible ChR2-eYFP virus were made into LC of Th-Cre mice (Extended Data Fig. 7a), in keeping with the recently described ‘output-defined elements’ concept²⁸.

Following three trains of burst optogenetic stimulation of hippocampal LC-TH⁺ axons (Extended Data Fig. 7b), the CA3-CA1 excitatory postsynaptic currents (EPSCs) gradually increased by 55% over ~30min (Fig. 5b, Light-ON), an increase unaffected by the presence of the α - and β -adrenoceptors antagonists, prazosin (Praz) and Prop. In contrast, there was no increase following optogenetic LC-TH⁺ activation in the presence of SCH, revealing a pattern of EPSC potentiation consistent with mediation by a dopaminergic mechanism.

Fig. 5 here

LTP at CA3-CA1 synapses was then examined using theta-burst stimulation to induce LTP. Hippocampal LC-TH⁺ axons were selectively activated with a protocol closely mimicking LC-TH⁺ firing patterns recorded during novelty exploration (Extended Data Fig. 7c). LTP differed in magnitude across 4 conditions (Fig. 5c). Theta-

burst stimulation alone induced LTP by 29% at 45min (Light-OFF + LTP) relative to a no-LTP baseline; by 59% when combined with optogenetic LC-TH⁺ activation (Light-ON + LTP); but by was blocked by SCH (Light-ON + LTP with SCH). Taken together, these findings indicate that depolarisation of hippocampal LC-TH⁺ axons by optogenetic stimulation can enhance synaptic transmission, and that a physiologically realistic pattern potentiates LTP at CA3-CA1 synapses in a manner consistent with release of DA from hippocampal LC-TH⁺ terminals.

VTA blockade has no impact on novelty effect

Last, we attempted to block LC-TH⁺ neurons during novelty to see if memory enhancement disappeared. Acute electrophysiological studies showed that firing of LC-TH⁺ neurons expressing archaerhodopsin (eArch3.0)-eYFP could be successfully inhibited by light over 5min (Extended Data Fig. 8). Unfortunately, TH⁺ neurons always displayed a substantial 'rebound' of firing when the light was turned off²⁹. Were this rebound to occur in a behavioural study of memory, the rebound would likely overcome the earlier 5min period of neuronal quiescence.

Fig. 6 here

At this point, we had Th-Cre mice expressing eArch3.0-eYFP or eYFP in LC trained with comparable levels of task performance to those of the earlier experiments (Extended Data Fig. 9a,b). While we were unable to use eArch3.0, the mice had bilateral drug cannulae targeting VTA. In separate acute electrophysiology experiments, sodium channel blocker lidocaine successfully inhibited VTA neuronal firing (Fig. 6a). We therefore examined the impact of intra-VTA infusion of lidocaine during novelty exploration. As expected, novelty enhancement was maintained (Fig. 6b, Extended Data Fig. 9c). The novelty effect was, however, sensitive to the α_2 -adrenoceptor agonist clonidine (Fig. 6c, Extended Data Fig. 9c) that inhibits LC neurons³⁰ (personal communication with O.Eschenko, MPI-Tuebingen). The mice were given a series of counterbalanced probe tests. One test showed normal forgetting over 24h with low-reward encoding (No novelty with Vehicle), another the usual enhanced retention induced by environmental novelty (Novelty with Vehicle). Critically, systemic clonidine blocked the effect of novelty on 24h memory retention (Novelty with Clonidine).

Discussion

This study sought to identify neuromodulatory neurons that, in response to environmental novelty, trigger intracellular signal-transduction cascades within hippocampal neurons responsible for the initial consolidation of everyday memory^{15,31,32}. Lisman and Grace¹⁵ proposed that novelty-associated enhancement of hippocampal-dependent memory was mediated by a subiculum-accumbens-pallidal-VTA-CA1 pathway, an idea supported by both animal and human studies³³. Our data indicate, however, that VTA-TH⁺ neurons display weak anatomical connectivity with hippocampus, are only slightly activated by environmental novelty, and their pharmacological blockade during novelty has no effect on memory enhancement. In contrast, LC-TH⁺ neurons are more responsive to environmental novelty, show habituation of enhanced firing over 5min, have extensive connectivity with hippocampus, and display post-encoding optogenetic activation that successfully enhances memory retention. Clonidine, which decreases neuronal activity in LC³⁰ but not in VTA³⁴, prevents this novelty effect. At the synaptic level *ex vivo*, selective optogenetic activation of LC-TH⁺ axons in hippocampus mediates a D₁/D₅ receptor-sensitive enhancement of synaptic transmission and electrically evoked LTP at CA3-CA1 synapses, consistent with the idea that LC-TH⁺ neurons might co-release DA^{20,21}. Transmitter co-release of glutamate and GABA from TH⁺ neurons has been reported (substantia nigra (SN)/VTA-TH⁺ terminals^{35,36}). Lisman and colleagues have modified their model to recognise this possibility³⁷, rescuing DA receptor-dependence at the expense of recognising a different circuit for mediating the impact of environmental novelty on hippocampal memory.

Importantly, environmental novelty differs from changes in reward expectancy or magnitude. Reward expectancy is a critical component of the execution of learned actions until they become habitual³⁸. Longstanding data point to the role of SN/VTA system in processing unexpected reward in striatal³⁹⁻⁴¹ and hippocampal tasks⁴². Activation of VTA-TH⁺ axons can bidirectionally modulate CA3-CA1 synaptic responses *ex vivo*⁴³, but in our *in vivo* study, post-encoding VTA-TH⁺ activation was without a behavioural effect. Environmental novelty is when something unexpected happens unrelated to reward during ongoing behaviour. It is likely to affect a distinct neuromodulatory system, but one with extensive connectivity to the hippocampus

where it could activate memory processes including the synthesis and capture of plasticity-related proteins³¹. In this way, unexpected environmental novelty could enhance memory retention. The noradrenergic system of the LC, with its diverse projections to numerous brain areas⁴⁴ has long been implicated in novelty, arousal and cognition^{24,45,46} and its firing is tied to distinct up and down states during sleep⁴⁷. It appears that the time over which LC neurons exert their effects is much less precise than for VTA, in keeping with the synaptic tagging-and-capture hypothesis⁴⁸⁻⁵⁰. This hypothesis has functional implications that extend well beyond the domain of LTP, affecting the retention of events via neural activity happening before and after the precise moment that encoding occurs (as in flashbulb memory⁷). In this way, the retention of everyday experience is modulated over time and not just at the precise moment that individual events are encoded.

References

- 1 Ebbinghaus, H. *Memory: A Contribution to Experimental Psychology* (Dover, New York, 1885).
- 2 Wixted, J. T. The psychology and neuroscience of forgetting. *Annu. Rev. Psychol.* **55**, 235–269 (2004).
- 3 Marr, D. Simple memory: a theory for archicortex. *Philos. Trans. R. Soc. Lond. B Biol. Sci.* **262**, 23–81 (1971).
- 4 Morris, R. G. M. Elements of a neurobiological theory of hippocampal function: the role of synaptic plasticity, synaptic tagging and schemas. *Eur. J. Neurosci.* **23**, 2829–2846 (2006).
- 5 Squire, L. R. Memory and the hippocampus: a synthesis from findings with rats, monkeys, and humans. *Psychol. Rev.* **99**, 195–231 (1992).
- 6 Dudai, Y. & Morris, R. G. M. in *Brain, Perception and Memory: Advances in Cognitive Neuroscience* (ed. Bolhuis, J. J.) 147–162 (Oxford Univ. Press, 2000).
- 7 Brown, R. & Kulik, J. Flashbulb memories. *Cognition* **5**, 73–99 (1977).
- 8 Dunsmoor, J. E., Murty, V. P., Davachi, L. & Phelps, E. A. Emotional learning selectively and retroactively strengthens memories for related events. *Nature* **520**, 345–348 (2015).
- 9 Guzowski, J. F., McNaughton, B. L., Barnes, C. A. & Worley, P. F. Environment-specific expression of the immediate-early gene *Arc* in hippocampal neuronal ensembles. *Nat. Neurosci.* **2**, 1120–1124 (1999).
- 10 Li, S., Cullen, W. K., Anwyl, R. & Rowan, M. J. Dopamine-dependent facilitation of LTP induction in hippocampal CA1 by exposure to spatial novelty. *Nat. Neurosci.* **6**, 526–531 (2003).
- 11 Lemon, N. & Manahan-Vaughan, D. Dopamine D₁/D₅ receptors gate the acquisition of novel information through hippocampal long-term potentiation and long-term depression. *J. Neurosci.* **26**, 7723–7729 (2006).
- 12 Moncada, D. & Viola, H. Induction of long-term memory by exposure to novelty requires protein synthesis: evidence for a behavioral tagging. *J. Neurosci.* **27**, 7476–7481 (2007).
- 13 Wang, S. H., Redondo, R. L. & Morris, R. G. M. Relevance of synaptic tagging and capture to the persistence of long-term potentiation and everyday spatial memory. *Proc. Natl Acad. Sci. USA* **107**, 19537–19542 (2010).
- 14 Takeuchi, T., Duzkiewicz, A. J. & Morris, R. G. M. The synaptic plasticity and memory hypothesis: encoding, storage and persistence. *Philos. Trans. R. Soc. Lond. B Biol. Sci.* **369**, 20130288 (2014).
- 15 Lisman, J. E. & Grace, A. A. The hippocampal-VTA loop: controlling the entry of information into long-term memory. *Neuron* **46**, 703–713 (2005).
- 16 Bunzeck, N., Guitart-Masip, M., Dolan, R. J. & Duzel, E. Pharmacological dissociation of novelty responses in the human brain. *Cereb. Cortex* **24**, 1351–1360 (2014).
- 17 Frey, U., Matthies, H., Reymann, K. G. & Matthies, H. The effect of dopaminergic D₁ receptor blockade during tetanization on the expression of long-term potentiation in the rat CA1 region *in vitro*. *Neurosci. Lett.* **129**, 111–114 (1991).
- 18 Huang, Y. Y. & Kandel, E. R. D₁/D₅ receptor agonists induce a protein synthesis-dependent late potentiation in the CA1 region of the hippocampus. *Proc. Natl Acad. Sci. USA* **92**, 2446–2450 (1995).
- 19 Lemon, N. & Manahan-Vaughan, D. Dopamine D₁/D₅ receptors contribute to de novo hippocampal LTD mediated by novel spatial exploration or locus coeruleus activity. *Cereb. Cortex* **22**, 2131–2138 (2012).

- 20 Devoto, P. & Flore, G. On the origin of cortical dopamine: is it a co-transmitter in
noradrenergic neurons? *Curr. Neuropharmacol.* **4**, 115–125 (2006).
- 21 Smith, C. C. & Greene, R. W. CNS dopamine transmission mediated by
noradrenergic innervation. *J. Neurosci.* **32**, 6072–6080 (2012).
- 22 Hansen, N. & Manahan-Vaughan, D. Dopamine D₁/D₅ receptors mediate
informational saliency that promotes persistent hippocampal long-term
plasticity. *Cereb. Cortex* **24**, 845–858 (2014).
- 23 Nakazawa, K. *et al.* Hippocampal CA3 NMDA receptors are crucial for memory
acquisition of one-time experience. *Neuron* **38**, 305–315 (2003).
- 24 Aston-Jones, G. & Bloom, F. E. Activity of norepinephrine-containing locus
coeruleus neurons in behaving rats anticipates fluctuations in the sleep-waking
cycle. *J. Neurosci.* **1**, 876–886 (1981).
- 25 Vankov, A., Herve-Minvielle, A. & Sara, S. J. Response to novelty and its rapid
habituation in locus coeruleus neurons of the freely exploring rat. *Eur. J.*
Neurosci. **7**, 1180–1187 (1995).
- 26 Gasbarri, A., Verney, C., Innocenzi, R., Campana, E. & Pacitti, C. Mesolimbic
dopaminergic neurons innervating the hippocampal formation in the rat: a
combined retrograde tracing and immunohistochemical study. *Brain Res.* **668**,
71–79 (1994).
- 27 Stujenske, J. M., Spellman, T. & Gordon, J. A. Modeling the spatiotemporal
dynamics of light and heat propagation for *in vivo* optogenetics. *Cell Rep.* **12**,
525–534 (2015).
- 28 Lerner, T. N., Ye, L. & Deisseroth, K. Communication in neural circuits: tools,
opportunities, and challenges. *Cell* **164**, 1136–1150 (2016).
- 29 Mahn, M., Prigge, M., Ron, S., Levy, R. & Yizhar, O. Biophysical constraints of
optogenetic inhibition at presynaptic terminals. *Nat. Neurosci.* **19**, 554–556
(2016).
- 30 Wu, M. F. *et al.* Locus coeruleus neurons: cessation of activity during cataplexy.
Neuroscience **91**, 1389–1399 (1999).
- 31 Frey, U. & Morris, R. G. M. Synaptic tagging: implications for late maintenance of
hippocampal long-term potentiation. *Trends Neurosci.* **21**, 181–188 (1998).
- 32 Kandel, E. R. The molecular biology of memory: cAMP, PKA, CRE, CREB-1, CREB-
2, and CPEB. *Mol. Brain* **5**, 12 (2012).
- 33 Gruber, M. J., Ritchey, M., Wang, S. F., Doss, M. K. & Ranganath, C. Post-learning
hippocampal dynamics promote preferential retention of rewarding events.
Neuron **89**, 1110–1120 (2016).
- 34 Grenhoff, J. & Svensson, T. H. Clonidine modulates dopamine cell firing in rat
ventral tegmental area. *Eur. J. Pharmacol.* **165**, 11–18 (1989).
- 35 Chuhma, N. *et al.* Dopamine neurons mediate a fast excitatory signal via their
glutamatergic synapses. *J. Neurosci.* **24**, 972–981 (2004).
- 36 Tritsch, N. X., Ding, J. B. & Sabatini, B. L. Dopaminergic neurons inhibit striatal
output through non-canonical release of GABA. *Nature* **490**, 262–266 (2012).
- 37 Otmakhova, N. A., Duzel, E., Deutch, A. Y. & Lisman, J. in *Intrinsically Motivated
Learning in Natural and Artificial Systems* (eds. Baldassarre, G. & Mirolli, M.)
235–254 (Springer, Berlin, 2013).
- 38 Everitt, B. J., Dickinson, A. & Robbins, T. W. The neuropsychological basis of
addictive behaviour. *Brain Res. Brain. Res. Rev.* **36**, 129–138 (2001).
- 39 Schultz, W., Apicella, P., Ljungberg, T., Romo, R. & Scarnati, E. Reward-related
activity in the monkey striatum and substantia nigra. *Prog. Brain Res.* **99**, 227–
235 (1993).

- 40 Tsai, H. C. *et al.* Phasic firing in dopaminergic neurons is sufficient for behavioral
conditioning. *Science* **324**, 1080–1084 (2009).
- 41 Steinberg, E. E. *et al.* A causal link between prediction errors, dopamine neurons
and learning. *Nat. Neurosci.* **16**, 966–973 (2013).
- 42 McNamara, C. G., Tejero-Cantero, A., Trouche, S., Campo-Urriza, N. & Dupret, D.
Dopaminergic neurons promote hippocampal reactivation and spatial memory
persistence. *Nat. Neurosci.* **17**, 1658–1660 (2014).
- 43 Rosen, Z. B., Cheung, S. & Siegelbaum, S. A. Midbrain dopamine neurons
bidirectionally regulate CA3-CA1 synaptic drive. *Nat. Neurosci.* **18**, 1763–1771
(2015).
- 44 Schwarz, L. A. *et al.* Viral-genetic tracing of the input-output organization of a
central noradrenaline circuit. *Nature* **524**, 88–92 (2015).
- 45 Harley, C. Noradrenergic and locus coeruleus modulation of the perforant path-
evoked potential in rat dentate gyrus supports a role for the locus coeruleus in
attentional and memorial processes. *Prog. Brain Res.* **88**, 307–321 (1991).
- 46 Sara, S. J. The locus coeruleus and noradrenergic modulation of cognition. *Nature*
Rev. Neurosci. **10**, 211–223 (2009).
- 47 Eschenko, O., Magri, C., Panzeri, S. & Sara, S. J. Noradrenergic neurons of the locus
coeruleus are phase locked to cortical up-down states during sleep. *Cereb. Cortex*
22, 426–435 (2012).
- 48 Frey, U. & Morris, R. G. M. Synaptic tagging and long-term potentiation. *Nature*
385, 533–536 (1997).
- 49 Redondo, R. L. & Morris, R. G. M. Making memories last: the synaptic tagging and
capture hypothesis. *Nature Rev. Neurosci.* **12**, 17–30 (2011).
- 50 Rogerson, T. *et al.* Synaptic tagging during memory allocation. *Nature Rev.*
Neurosci. **15**, 157–169 (2014).

Methods

Animals

The subjects were Th-Cre knock-in heterozygous male mice backcrossed more than 20 times to the C57BL/6 strain (Th^{tm1(cre)Te}; EM:00254)⁵¹ (behavioural and anatomical studies, n = 71 mice), Th-Cre transgenic heterozygous male mice on a mixed C57BL/6 and CD1 background [Tg(Th-cre)1Tmd]⁵² (for *ex vivo* hippocampal electrophysiology, n = 42), and C57BL/6 male mice (Charles River; n = 7). They were > 8 weeks old at the start of the experiments, several of which continued for many months. All mice were given water *ad libidum*, kept under a 12 h light/dark cycle (lights on 7:00 am); given food *ad libidum* in unit recording studies but food-restricted for event arena training (85% of free-feeding weight monitored daily throughout the study, after behavioural training). Behavioural testing was carried out during the light phase of the cycle. All procedures were overseen by the University of Edinburgh Ethical Review Committee, compliant with the UK Animals (Scientific Procedures) Act 1986 and with the European Communities Council Directive of 24 November 1986 (86/609/EEC) legislation governing the maintenance of laboratory animals and their use in scientific experiments; and with guidelines of the Animal Welfare Committee of Hokkaido University; were approved by the animal care and use committee (IACUC) at the University of Texas Southwestern Medical Center and comply with federal regulations set forth by the National Institutes of Health.

Viral vectors

The Cre-inducible AAV were obtained from the University of North Carolina (UNC) Vector Core Facilities. The viral concentration was 8.0×10^{12} particles ml⁻¹ for AAV5/EF1a-DIO-hChR2(H134R)-eYFP (ChR2-eYFP), 3.2×10^{12} particles ml⁻¹ for AAV5/EF1a-DIO-eArch3.0-eYFP (eArch3.0-eYFP), 4.0×10^{12} particles ml⁻¹ for AAV5/EF1a-DIO-eNpHR3.0-eYFP (eNpHR3.0-eYFP), 4.0×10^{12} particles ml⁻¹ for AAV5/EF1a-DIO-eYFP (eYFP control), and $1-7 \times 10^{12}$ particles ml⁻¹ for AAV2/EF1a-DIO-hChR2(H134R)-eYFP (for *ex vivo* hippocampal electrophysiology). Virus were subdivided into aliquots stored at -80°C until use.

Stereotactic surgery

Anaesthesia was induced using isoflurane (induction, 5%; maintenance, 1-2%; air-flow, 1 l min⁻¹). The animals were placed in the stereotactic frame (Kopf Instruments). For viral or retrobead (Lumafluor) injection, a small hole was drilled into the skull over the target site. The virus (0.75-1 µl) or retrobead solution (0.1 µl) was then injected at 0.1 µl min⁻¹ into the target site using a Nanofil syringe (WPI) and UMP3 pump (WPI) mounted directly on the stereotactic frame. After injection, a needle of the syringe was left for 10 min to reduce back-flow and then withdrawn slowly. Animals recovered on a heating pad until normal behaviour resumed. All experiments involving viral constructs were performed at least 3 weeks after surgery in order to allow for sufficient expression. Viral infusion coordinates were: VTA [from bregma⁵³: anterior-posterior (AP), -3.50 mm; mediolateral (ML), 0.50 mm; and dorsal-ventral (DV) from the dura, -4.40 mm] and LC (AP, -5.45 mm; ML, 1.20 mm; and DV, -3.65 mm).

Event arena pharmacological experiment (Fig. 1): Bilateral 26-gauge microinjection steel guide cannulae (2.5 mm length, 3.0 mm distance between cannulae; Plastics One) with stylets that protruded 0.5 mm below the end of the cannula (33 gauge, Plastics One) were then implanted into the dorsal hippocampus. The cannula implantation co-ordinates were (AP, -2.10 mm; ML, ±1.50 mm; and DV, -2.00 mm).

Extracellular recording in a novelty exploration paradigm (Fig. 2): the Cre-inducible AAV5 ChR2-eYFP virus (1 µl) was unilaterally injected into VTA or LC as mentioned above. Four jeweller's screws were then placed in the skull and the ground wire was attached to one of the skull screws. The microdrive implantation co-ordinates were: VTA (AP, -3.52 mm; ML, 0.48 mm; and DV, -4.00 mm) and LC (AP, -5.45 mm; ML, 1.00 mm; and DV, -2.80 mm). Adhesive cement (C&B metabond, Parkell) and dental acrylic were then sculpted around the microdrive.

Tract tracing experiment (Fig. 3, Extended Data Fig. 5): For anterograde tracing, the Cre-inducible AAV5 eYFP virus (1 µl) was unilaterally injected into VTA or LC as described above. For retrograde tracing, retrobeads (0.1 µl) were unilaterally injected into CA1 (AP, -2.18 mm; ML, 1.18 mm; and DV, -1.36 mm), CA3 (AP, -2.18 mm; ML, 2.68 mm; and DV, -2.05 mm) and DG (AP, -2.18 mm; ML, 1.36 mm; and DV, -1.82 mm).

Event arena experiment with optogenetics (Fig. 4): Arterial oxygen saturation, heart rate and breath rate were monitored by MouseOx instrument (STARR Life Science). The Cre-inducible AAV5 virus (ChR2-eYFP or eYFP, 1 µl per side) was injected bilaterally into

VTA and LC according to the procedure described above. A dual ferrule optical fibre implant (0.22 NA, 200 μm core diameter; Doric Lenses) was implanted vertically into VTA (AP, -3.40 mm; ML, ± 0.50 mm; and DV, -4.30 mm). Subsequently, a two-ferrule optical fibre implant (0.22 NA, 200 μm ; Doric Lenses) was implanted into LC at -30° angle to coronal plane (AP, -5.45 mm; ML, ± 0.90 mm; and DV, -3.00 mm). Additionally, bilateral 26-gauge steel guide cannulae (4.0 mm length, 3.0 mm distance between cannulae) with stylets (33 gauge) that protruded 0.5 mm below the end of the cannula was inserted into the dorsal hippocampus at a 40° angle to coronal plane (AP, -2.10 mm; ML, ± 1.50 mm; and DV, -2.00 mm).

Event arena experiment with pharmacological inactivation (Fig. 6): The Cre-inducible AAV5 virus (eArch3.0-eYFP or eYFP, 1 μl per side) was injected bilaterally into LC, and a two-ferrule optical fibre implant was then implanted into LC at -30° angle to coronal plane as described above. Additionally, bilateral 26-gauge steel guide cannulae (4.8 mm length, 1.0 mm distance between cannulae) with stylets (33 gauge) that protruded 0.5 mm below the end of the cannula was inserted into VTA (AP, -3.40 mm; ML, ± 0.50 mm; and DV, -4.40 mm).

Ex vivo hippocampal electrophysiology (Fig. 5): The Cre-inducible AAV2 ChR2-eYFP virus (0.75-1 μl per side) was bilaterally injected into LC (AP, -5.45 mm; ML, ± 0.90 mm; and DV, -3.00 mm) over a 10-15 min period using a borosilicate glass electrode (10-15 M Ω) pulled with a horizontal pipet puller (P-97, Sutter Instrument) and a picospritzer (Parker) timed by a Master-8 pulse stimulator (A.M.P.I.). After each injection, the pipette was kept in place for 5 min to ensure proper diffusion of the virus.

Postoperative analgesia: Carprofen (0.08 ml kg^{-1} body weight), or buprenorphine (0.1 mg kg^{-1} body weight), was administered by subcutaneous injection at the end of all surgical procedures. All mice were allowed a recovery period of at least 7 days in order for them to regain their pre-surgery weights before electrophysiological or behavioural testing.

Everyday memory apparatus

Everyday memory was tested in an event arena – a square open field (120 cm wide \times 120 cm long) with walls (35 cm high) made out of transparent Plexiglas (Fig. 1a) with 4 adjacent start boxes (black plexiglass). The name ‘event arena’ derives from it being an arena in which ‘events’ happen (e.g. finding food)¹³. The floor of the arena, arranged in a 5 \times 5 grid, was covered with ~ 2 cm of sawdust and had two intramaze landmarks (a

white metal cube located at row 3, column 2, and a black rubber flash light at row 3, column 4). The Plexiglas sandwells, in which food reward was potentially available, could be fitted into any of the 23 remaining sandwell positions (positions occupied by internal cues were excluded). The mice had access to the arena and sandwells when the startbox door was opened in any trials. Light levels, checked every day, were 25-35 lux. Data was recorded using custom-made LabVIEW software (National Instruments), using the image from camera placed above the arena.

Novelty apparatus

For novelty exploration, a square Plexiglas open field with transparent walls (70 cm wide × 70 cm long × 30 cm high) was placed in the middle of the event arena (Fig. 1b). In order to maintain the novelty of the environment, a wide range of floor substrates (dried leaves, shredded paper, feathers, acrylic pompoms, corks, lolly sticks, Lego blocks, pipe cleaners, shredded straws, and sea shells) that covered the floor of the box were used, as in a previously published protocol that used rats¹³.

Event arena tasks

Sample size, randomization, blinding and replication: A distinctive feature of event arena tasks is that most but not all comparisons are ‘within-subjects’ design in which every single subjects are exposed to every single treatment, including the control treatment. This typically reduces the number of subjects required for statistical significance and avoids issues associated with randomization. All non-rewarded probe tests (see below) were analysed blind and, being conducted against a stable performance background, were typically conducted twice or three times (internal replication). Averaging data helped reduce variability.

Shaping and habituation: After handling, habituation (8 sessions) involved training mice to dig in the sandwells to retrieve food (a half of cereal ‘cheerios’) and carry it to the start box.

Everyday training protocol: The goal in each daily session was to encode the changing daily location of a rewarded sandwell encountered during two consecutive sample trials (2 retrievals of buried food in each trial), and then, 10 min later, return to that same location during the choice trial (Fig. 1a). The choice trial was a retrieval test that involved a rewarded sandwell in a location that matched the sample location (the

“correct” location; win-stay rule) and 4 non-rewarded sandwells placed in other locations around the arena (the “incorrect” locations). Training sessions were conducted daily (5-7 sessions per week) using 16 different sandwell configurations with rewarded sandwell positions counterbalanced between mice (Extended Data Fig. 1a). We calculated a performance index (PI), using a formula $PI=100-[100\times(\text{errors}/4)]$, based on the number of errors made during the choice trial (an error being digging at an incorrect sandwell). The value expected based on chance was 50% (2 errors). With each behavioural cohort, we began conducting critical memory probe tests once mice reached average performance index of 75% (equivalent to 1 error, computed as average of last 5 training sessions). This typically happened within 35 training sessions, but sometimes additional training was needed due after complex surgical procedures.

Memory probe tests: The primary data measure of the study were derived from ‘memory tests’ performed as ‘probe’ tests – a session in which none of the sandwells contained any accessible food pellets. The mice were cued with a food pellet in the start box, and then allowed to search for the correct sandwell for 60 s from the first dig of any sandwell. After 60 s, the experimenter quietly entered the room and buried pellets in the correct sandwell, allowing the mouse to retrieve them (one by one as in training, this limited ‘extinction’). Dig time at each sandwells was measured, and the relative proportion of time at the correct and incorrect sandwells was calculated. The value expected based on chance was 20%. Probe tests were always separated by at least 2 sessions of regular training. In the ‘reward magnitude’ probe tests (Fig. 1b, Extended Data Fig. 1d), animals retrieved either two pellets (low reward) or eight pellets (high reward) during memory encoding.

Novelty exploration: The mice underwent 7 sessions of habituation to the box with sawdust placed in the event arena. For post-encoding unexpected environmental novelty, the mouse was placed into the centre of the box lined with a novel substrate and allowed to explore freely for 5 min.

Behavioural pharmacology

Microinfusion/injection of drugs: To help reduce any stress, all drugs were infused in the home cages. The stylets in the guide cannulae were replaced by a double infusion cannula (33 gauge, Plastics One) connected to two 5 μ l microsyringes (WPI) in a microinfusion pump (Native Instruments) via flexible plastic tubing (C232CS, Plastics One) filled with Fluorinert (3M). The tips of infusion cannulae projected 0.5 mm below

the tip of the guide cannulae. For intra-hippocampal microinjection, 0.5 μl of drug per cannula was infused at 0.2 $\mu\text{l min}^{-1}$ (2.5 min). Infusion cannulas were left in place for a further 2.5 min before being replaced with stylets to aid drug absorption. For intra-VTA microinjection, 0.3 μl was injected at a rate of 0.3 $\mu\text{l min}^{-1}$ (1 min) followed by 1-min of waiting. The mice were habituated to the experimental procedure of injection and to vehicle injection prior to the drug test in order to minimize novelty effect. Mice received drug injection 20 min (hippocampal microinfusions and i.p. injection of clonidine) or 3 min (VTA microinfusions) prior to the novelty exploration.

Drug concentrations: For microinfusions, the concentrations used were 21.1 mM (6.25 $\mu\text{g } \mu\text{l}^{-1}$) for β -adrenoceptor antagonist propranolol [(S)-(-)-propranolol hydrochloride, 295.80 g mol^{-1} ; Sigma-Aldrich), 3.1 mM (1 $\mu\text{g } \mu\text{l}^{-1}$) for D_1/D_5 receptor antagonist SCH23390 (SCH 23390 hydrochloride, 324.24 g mol^{-1} ; Tocris) and 2% w/v for voltage-gated sodium channel blocker lidocaine (lidocaine hydrochloride monohydrate, 288.81 g mol^{-1} ; Sigma-Aldrich). α_2 -adrenoceptor agonist clonidine (clonidine hydrochloride, 266.55 g mol^{-1} ; Sigma-Aldrich) was administered i.p. at a dose of 50 $\mu\text{g kg}^{-1}$ of body weight. We used 0.9% NaCl (saline) in H_2O as a vehicle and for control infusions. Both vehicle and drug solutions were stored in 100 μl aliquots at -20°C until use.

Optogenetic photostimulation in the event arena experiment

The mice were extensively habituated to the experimental procedure of photostimulation and to flickering blue light for several weeks prior to the optogenetic photostimulation test in order to minimize the potential novelty of the procedure. Laser stimulation, consisting of 25 Hz of twenty 5-ms pulses of 473-nm light, delivered every 5 s (average stimulation rate: 4 Hz) for the duration of 5 min (Fig. 4b), was performed in home cages using two blue solid-state diode pumped lasers (18-19 mW, Laser 2000) connected to either a dual fibre optic patch cord (for VTA; 0.22 NA, 200 μm core diameter; Doric Lenses) or two single fibre optic patch cords (for LC; 0.22 NA, 200 μm). Both lasers were synchronously controlled using custom-built LabVIEW software.

Extracellular recording in a novelty exploration paradigm

Apparatus and light stimulation: Unit activity was recorded extracellularly using the previously implanted custom-built screw-driven microdrive consisting of a 200 μm optic fibre surrounded by four tetrodes (an 'optetrode') that protruded 400-800 μm

beyond the fibre tip⁵⁴. Signals were fed through a 16 channel unity gain headstage amplifier (Axona), band-pass filtered at 300-5000 Hz, amplified 1 k-40 k times, digitized at 50 kHz and stored for subsequent analysis. Spike capturing was done on-line using amplitude threshold.. Recorded neurons were identified as TH⁺ using Cre-dependent ChR2 expression and low-frequency light stimulation⁵⁵. Laser stimulation was performed using a blue solid-state diode pumped laser (473 nm, Laser 2000) connected to a fibre optic patch cord (0.22 NA, 200 μ m core diameter), and controlled with the data capturing software (Axona). Epochs of 60 light pulses (1 Hz, 5 ms pulse duration) at different light intensities (0.1-20 mW) were then administered and each tetrode was screened for light-evoked spikes. Spikes were classified as 'light-evoked' if their latency from the onset of the light pulse was between 0 and 15 ms, all other spikes being classified as 'spontaneous'. Units were classified as light-responsive if: (a) a cell fired a light-evoked spike in response to more than one third of light pulses, (b) the shape of the mean light-evoked waveform of a unit closely resembled the spontaneous waveform of the same unit. Units with basal firing rates above 20 Hz were excluded from this analysis because of intrinsically high probability of spiking within the 15 ms window after the light pulse.

Novelty exploration: Two weeks after surgery, the implanted mice underwent 5 days of habituation to the experimental apparatus and the familiar environment. Following habituation, mice underwent daily screening trials for light-responsive neurons. If no light-responsive cells were found, the mouse was allowed to explore the familiar environment for 5 min and was then unplugged. If one or more recording channels showed a light-responsive unit, the mouse was subjected to an 'exploration' trial after a 10 min delay (Fig. 2b). The mouse might first be placed in the novel environment for 5 min, followed by 25 min in the home cage and 5 min in the familiar environment; alternatively, the order of novel and familiar exploration was reversed (in a counterbalanced manner). The novelty substrates used were the same as the ones used in the event arena tasks. Baseline recording was performed between two exploration trials. These particular time delays were chosen in order to mimic timing used in the event arena experiments, where there was a 30 min delay between memory encoding and novelty exploration. The light-sensitive unit was again confirmed in home cage with 1 Hz light stimulation. The microdrive was advanced by \sim 40 μ m at the end of daily session to ensure that recordings are made from a different population of neurons.

Recording and analysis: Recorded spikes were clustered using Klusterkwik 1.5

unsupervised clustering algorithm (<http://klusta-team.github.io/klustakwik/>) based on their energy and first principal component of the waveform. Clusters were then corrected manually using Klusters spike sorting software (<http://neurosuite.sourceforge.net/>), based on several additional parameters (width of waveform, amplitude, time at peak, auto- and cross-correlograms). Data were analysed using Matlab R2012a (MathWorks). Firing patterns were characterised in terms of firing rate, rate of burst events and firing rate of spikes within bursts. Bursts were defined, using classic criteria⁵⁶, as trains of 2 or more spikes with an interspike interval of less than 80 ms, followed by an interspike interval of more than 160 ms. For comparison of novelty modulation in VTA-TH⁺ and LC-TH⁺ neurons, firing rates of individual neurons in the novel compartment were binned in 10-s bins and normalised to the average home cage firing rate of all identified neurons in respective brain areas. For additional analysis of the novelty modulation, binned firing rates of individual neurons in the novel environment were z-scored to their respective firing rates in the familiar compartment.

Anatomical tract tracing

Under deep pentobarbital anesthesia (100 mg kg⁻¹ of body weight, i.p.), the mice were fixed transcardially with 4% paraformaldehyde in 0.1 M sodium phosphate buffer (PB), pH 7.2, post-fixed in the same fixative for 24 h, and placed in 30% sucrose in PB. Sections of fixed brains (30 µm in thickness) were prepared using a freezing microtome (SM2000R, Leica Microsystems) for immunohistochemistry.

Immunofluorescence: Antibodies used included: goat anti-GFP⁵⁷, guinea-pig anti-NET⁵⁸ and mouse anti-TH (AB152, Millipore). eYFP was visualized by anti-GFP immunostaining. All immunohistochemical incubations were done at room temperature. Sections were incubated successively with 10% normal donkey serum for 20 min, a mixture of primary antibodies overnight (1 µg ml⁻¹), and a mixture of Alexa Fluor 488-, Cy3-, or Cy5-labeled species-specific secondary antibodies (Invitrogen; Jackson ImmunoResearch) for 2 h at a dilution of 1:200.

Fluorescent in situ hybridization: Brains were freshly obtained under deep diethyl ether anaesthesia and immediately frozen in powdered dry ice. Fresh-frozen sections (20 µm) were cut on a cryostat (CM1900, Leica Microsystems). All sections were mounted on silane-coated glass slides. Mouse cDNA fragments of TH (bases 1-1025; GenBank

accession number, AY855842), and NET (bases 124-814, MMU76306) were subcloned into the pBluescript II plasmid vector. Digoxigenin- or fluorescein-labeled cRNA probes were transcribed *in vitro* for fluorescent *in situ* hybridization⁵⁹.

Image acquisition and data analysis: Images were taken with a confocal laser-scanning microscope (FV1200, Olympus) equipped with diode laser lines, and UPlanSApo (20×, NA 0.75) and PlanApoN (60×, NA 1.4, oil-immersion) objective lenses (Olympus). To avoid cross talk between multiple fluorophores, Alexa Fluor 488, Cy3, and Alexa Fluor 647 fluorescent signals were acquired sequentially using the 473, 559, and 647 nm excitation laser lines, respectively. All images show single optical sections. For quantification of anterograde tracing, we obtained images with a 20× objective and then created images of the entire hippocampus with a Fluoview image stitching software (Olympus). For analysis, the separate colour components were converted to greyscale, and the area of eYFP- and TH-positive elements were measured with Integrated Morphometry Analysis module (MetaMorph software, Molecular Devices).

Ex vivo hippocampal electrophysiology

Hippocampal slice preparation: 300 µm thick coronal slices containing the hippocampus were cut from Th-Cre transgenic mice⁵² expressing AAV2 ChR2-eYFP in LC (8-12 weeks) in low light conditions to prevent unwanted ChR2 activation. Animals were anesthetized under 1.5-2% isoflurane, and the brains removed and blocked following rapid decapitation. Hippocampal slices were prepared using a vibratome (VT 1000S, Leica Microsystems) in ice cold N-Methyl-d-Glucosamine (NMDG) ringer solution (in mM): 5 NaCl, 57 NMDG, 37.5 Na-Pyruvate, 12.5 Na-Lactate, 5 Na-Ascorbate, 2.5 KCl, 1.25 NaH₂PO₄, 25 NaHCO₃, 25 Glucose, 10 MgSO₄·7H₂O, 0.5 CaCl₂·2H₂O, the pH was set between 7.3 and 7.4 using 12 N HCl, the osmolarity was adjusted as needed to 315 mOsm using glucose and the solution was bubbled with 95% O₂ and 5% CO₂ gas. Slices were maintained in NMDG ringer at room temperature for no longer than 15 min and then transferred to artificial cerebral spinal fluid (aCSF) (in mM): 125 NaCl, 2.5 KCl, 1.25 NaH₂PO₄, 1.3 MgCl₂, 2 CaCl₂, 25 NaHCO₃, and 25 dextrose continuously bubbled with 95% O₂ and 5% CO₂ gas, where they were kept up to 6 h, protected from light, for experimentation. One slice per animal was used.

Ex vivo whole-cell recordings: Slices were transferred to a submersion recording chamber and were perfused with aCSF at a rate of 1-2 ml min⁻¹ at 26-29°C. EPSC recordings were performed with GABA_A receptor antagonist picrotoxin (602.58 g mol⁻¹;

Sigma-Aldrich) at a concentration of 50 μM and D_2 receptor antagonist eticlopride (Eticlopride hydrochloride, 377.31 g mol^{-1} ; Sigma-Aldrich) at a concentration of 100 nM in the bath. A borosilicate glass electrode (3-5 $\text{M}\Omega$), pulled with a horizontal pipet puller (P-97), was filled with Cs-methanesulfonate pipet solution (in mM): 110 CsMeSO_3 , 15 CsCl , 8 NaCl , 2 EGTA, 10 HEPES, 3 QX-314, 2 ATP and 0.3 GTP adjusted to 295 mOsm and pH 7.3. Whole-cell pyramidal cell recordings from area CA1 were acquired using a combination of visualized and blind patch techniques. Cells were held at -60 mV using a multiclamp 700B amplifier (Molecular Devices). A bipolar stimulating electrode (FHC) was placed in the stratum radiatum region of dorsal CA1 within 100-200 μm of the recording electrode and stimulation (delivered at a rate of 0.2 Hz) was set to elicit current responses of 50-150 pA. Data was acquired and analysed automatically using P-Clamp 10 (Molecular Devices). Recordings were discarded if the series resistance varied by more than 20% or if the initial holding current exceeded 70 pA. Following a 5 min baseline acquisition, hippocampal slices were given a 470-nm light stimulus [consisting of three trains delivered every 2 min, of sixty 5-ms pulses, applied at 18 Hz (Extended Data Fig. 7b)] through the 40 \times objective lenses. Following the light stimulus, baseline stimulation resumed.

Ex vivo field recordings: Slices were transferred to a submersion chamber and were perfused with aCSF at a rate of ~ 2 ml min^{-1} at 29-31 $^\circ\text{C}$. Field recordings from the stratum radiatum of dorsal CA1 were acquired using a borosilicate glass electrode (1-3 $\text{M}\Omega$) filled with aCSF. A bipolar stimulating electrode was also placed in the stratum radiatum of CA1 within 100-200 μm of the recording electrode and stimulation (one stimulus every 30 s) was set to elicit a fEPSP slope that was $\sim 50\%$ of the maximum value. A stable 15 min baseline was obtained, followed by 10 more min of baseline stimulation with or without simultaneous optogenetic stimulation of LC-TH $^+$ axons. Photostimulation consisting of four trains, at a 1 s interval, consisting of four 10-ms pulses of 470-nm light at 16 Hz, delivered every 30 s for the duration of 10 min (Extended Data Fig. 7c), were applied through the 10 \times objective (directly before the Schaffer collateral stimulus). After the 25 min baseline, a weak theta-burst tetanus was applied consisting of four trains, at a 100 ms interval, consisting of 3 pulses at 50 Hz (12 pulses in total). Baseline stimulation then resumed as described above for 45 min. Every 2 traces were averaged to reduce variability. Data was acquired and analysed automatically using P-Clamp 10.

Ex vivo pharmacology: Where indicated, the following drugs were bath applied: α -adrenoceptor antagonist prazosin (prazosin hydrochloride, 419.86 g mol⁻¹; Sigma-Aldrich) at a concentration of 30 μ M, β -adrenoceptor antagonist propranolol [(S)-(-)-propranolol hydrochloride, 295.80 g mol⁻¹; Sigma-Aldrich] at a concentration of 30 μ M, D₁/D₅ receptor antagonist SCH 39166 (SCH 39166 hydrochloride, 394.73 g mol⁻¹; Tocris) at a concentration of 100 nM (intracellular recordings, $n = 2$), and D₁/D₅ receptor antagonist SCH 23390 (SCH 23390 hydrochloride, 324.24 g mol⁻¹; Tocris) at a concentration of 100 nM (intracellular recordings, $n = 3$) or 1 μ M (extracellular recordings).

Acute extracellular recordings in anaesthetised mice

Anaesthesia was induced using isoflurane for LC optrode recording as described above or 4.8% chloral hydrate for VTA recording (induction: 480 mg kg⁻¹ body weight, i.p.; maintenance: 120 mg kg⁻¹ body weight, i.p.). Recordings were made using a 125 μ m 1 M Ω tungsten electrode (A-M systems). This electrode was connected to a differential AC amplifier (A-M Systems), signals were band-pass filtered at 300 Hz-5 kHz, amplified 10 K times and digitized at 20 kHz. Spiking activity was defined as spikes that exceed 5 standard deviations from the mean value of the baseline signal [1 min before laser stimulation (LC) or drug infusion (VTA)]. Multi-unit activity in each trace was then normalised to the pre-stimulation (LC) or pre-infusion (VTA) baseline.

LC optrode recording: The tungsten electrode was coupled to an optic fibre (0.22 NA, 200 μ m core diameter) (an 'optrode'). This optrode was positioned above LC (AP, -5.45 mm; ML, 1.00 mm; and DV, -2.80 mm), and was then gradually lowered in 50 μ m increments until multi-unit activity was observed. Laser stimulation was performed using a green solid-state diode pumped laser (532 nm, Laser 2000) with 10-20 mW output from the fiber. For quantification of eArch3.0 recordings, baseline was measured over 30 s before the start of illumination (Pre), level of inhibition was measured over 5 min light on period (LC-ON), rebound activity was measured over 1 min after the end of illumination (Rebound), post-inhibition baseline was measured 4-5 min after the end of illumination (Post).

VTA recording with pharmacology: The drug cannula (33 gauge, Plastics One) was positioned in the VTA at 14° angle to sagittal plane (AP, -3.52 mm; ML, 0.48 mm; and DV, -4.40 mm) and the recording electrode was positioned vertically at the boundary of

the VTA. For intra-VTA microinjection of lidocaine, infusion parameters were the same as those used in the behavioural experiment as described above. For quantification, pre-infusion baseline was measured over 30 s before the start of infusion (Pre), level of inhibition was measured 3-8 min after the start of infusion (Lid) - the period that corresponds to novelty exploration, and post-inhibition baseline was measured 17-18 min after the start of injection (Post).

Statistics, data presentation and data deposition

Statistical analyses were performed using SPSS version 19 (IBM). All data are expressed as mean \pm s.e.m. Statistical significance was always determined by ANOVAs, prior to orthogonal comparisons where possible or Tukey HSD tests as appropriate to correct for multiple comparisons, paired *t*-tests and one-sample *t*-tests. All statistical tests were two-tailed. Analysis of probe test performance was done on the basis on the ‘% correct dig time’ score. In the pharmacological inactivation experiment (Fig. 6), three animals that persistently failed to show any novelty-induced memory enhancement in all control conditions [all 24-h PT scores (% correct dig) in the ‘novelty with vehicle’ condition at below chance level, i.e. < 20%] were eliminated from the whole dataset prior to statistical analysis (leaving *n* = 15). The rationale was that it is not possible to measure the impact of pharmacological manipulations on the novelty effect in animals that are not susceptible to it, the existence of the novelty effect having been established in the first cohort of mice (Fig. 1b). All source data for the preparation of graphs and statistical analysis is presented online. All other relevant data that support the conclusions of the study are available from the authors on request.

- 51 Lindeberg, J. *et al.* Transgenic expression of Cre recombinase from the tyrosine hydroxylase locus. *Genesis* **40**, 67–73 (2004).
- 52 Savitt, J. M., Jang, S. S., Mu, W., Dawson, V. L. & Dawson, T. M. Bcl-x is required for proper development of the mouse substantia nigra. *J. Neurosci.* **25**, 6721–6728 (2005).
- 53 Franklin, K. B. J. & Paxinos, G. *The Mouse Brain in Stereotaxic Coordinates* 3rd ed (Academic Press: San Diego, 2007).
- 54 Anikeeva, P. *et al.* Optetrode: a multichannel readout for optogenetic control in freely moving mice. *Nat. Neurosci.* **15**, 163–170 (2012).
- 55 Cohen, J. Y., Haesler, S., Vong, L., Lowell, B. B. & Uchida, N. Neuron-type-specific signals for reward and punishment in the ventral tegmental area. *Nature* **482**, 85–88 (2012).

- 56 Grace, A. A. & Bunney, B. S. Intracellular and extracellular electrophysiology of nigral dopaminergic neurons-1. Identification and characterization. *Neuroscience* **10**, 301-315 (1983).
- 57 Takasaki, C. *et al.* Cytochemical and cytological properties of perineuronal oligodendrocytes in the mouse cortex. *Eur. J. Neurosci.* **32**, 1326-1336 (2010).
- 58 Uchigashima, M. *et al.* Subcellular arrangement of molecules for 2-arachidonoyl-glycerol-mediated retrograde signaling and its physiological contribution to synaptic modulation in the striatum. *J. Neurosci.* **27**, 3663-3676 (2007).
- 59 Yamasaki, M., Matsui, M. & Watanabe, M. Preferential localization of muscarinic M1 receptor on dendritic shaft and spine of cortical pyramidal cells and its anatomical evidence for volume transmission. *J. Neurosci.* **30**, 4408-4418 (2010).

Acknowledgements

We thank M. Evans for Th-Cre mice; H. Rowe, D. Tse for pilot studies; M. Lo, H-C. Tsai, C. Ramakrishnan for optogenetics training; UNC Viral Cores for producing AAVs; J. Cala, R. Fitzpatrick, J. Tulloch, T. Thai for technical support; R. Watson for animal care; N. Uchida, J. Cohen for assistance with optogenetic identification of TH⁺ neurons; P. Pedarzani, S. Canals, L. Genzel, M. Kroes, J. Lisman, D. Manahan-Vaughan, M. Munoz, T. Spires-Jones, S-H. Wang, O. Eschenko, E. Wood for scientific discussion. Study funded by the European Research Council (G.F., R.G.M.M.: ERC-2010-AdG-268800-NEUROSCHEMA), UK Medical Research Council (A.J.D., R.G.M.M.), the European Commission's 7th Framework 2011 ICT Programme for Future Emerging Technologies (A.J.D.: 600725-GRIDMAP), Dept. Veterans Affairs, NIH grant 5R01MH080297 and International Institute for Integrative Sleep Medicine, University of Tsukuba (R.W.G.), and NIDA-T32-DA7290 Basic Science Training Program in Drug Abuse, PI: A. Eisch (A.S.). The Instituto de Neurociencias at Alicante is "Centre of Excellence Severo Ochoa".

Author contributions

T.T., A.J.D. designed with R.G.M.M. and conducted and analysed the behavioural, anatomical and *in vivo* electrophysiological experiments. T.T., M.Y. designed with M.W. and conducted the tract-tracing; M.Y. analysed the data. A.S., C.C.S. designed with R.W.G. and conducted the *ex vivo* electrophysiology. P.A.S. and A.J.D. constructed behavioural apparatus and optogenetic stimulation equipment. R.W.G., G.F. and R.G.M.M. secured the funding. K.D. offered advice and training to T.T.. T.T., A.J.D., R.W.G. and R.G.M.M. wrote the manuscript. All authors discussed the manuscript.

Author Information

Reprints/permissions information available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to R.G.M.M. (R.G.M.Morris@ed.ac.uk) or R.W.G. (robertw.greene@utsouthwestern.edu).

Figure Legends

Figure 1 | Novelty exploration after memory encoding enhances memory

retention. a, Everyday spatial memory task in event arena. Mice [$n=13$; 100 sessions (Ss)] acquired stable performance (S26-S100: $F_{14,168}=1.68$, $P>0.05$). Non-encoding control session performance (S63, orange arrow) dropped to 59.6% (S61-S65: $F_{4,48}=3.63$, $P<0.05$; S63: t -test vs. chance, $t_{12}<1$). Green circles, 5S average; white circles, 1S average; Pre, pre-training. **b**, Memory at 1h (probe test) declined to chance at 24h (1h vs. 24h: $t_{12}=2.94$, $P<0.05$; 1h: $t_{12}=4.44$, $P<0.001$). Novelty 30min after encoding resulted in memory at 24h (No novelty vs. Novelty: $t_{12}=2.24$, $P<0.05$; Novelty: $t_{12}=3.17$, $P<0.01$). **c**, Blockade of hippocampal D₁/D₅ receptor (SCH) but not β -adrenoceptors (Prop) during novelty abolished 24h memory ($F_{2,24}=3.83$, $P<0.05$; Vehicle: $t_{12}=4.38$, $P<0.001$; Prop: $t_{12}=3.33$, $P<0.01$). HPC, hippocampus; Prop, propranolol; SCH, SCH23390. ** $P<0.01$, *** $P<0.001$ vs. chance. Dashed lines, chance. Means \pm s.e.m.

Figure 2 | LC-TH⁺ neurons show stronger modulation by novelty than VTA-TH⁺

neurons. a, Viral injection and optetrode implantation. Putative VTA-TH⁺ and LC-TH⁺ neurons responded to blue light (blue). **b**, Behavioural protocol. **c**, Raster plot of VTA-TH⁺ (top) and LC-TH⁺ neurons (bottom) in familiar (left) and novel (right) environments. FR, firing rate. **d**, FRs of VTA-TH⁺ ($n=15$ neurons, 5 mice) and LC-TH⁺ ($n=10$ neurons, 3 mice) neurons were higher in the novel environment (VTA-TH⁺: $t_{14}=4.30$, $P<0.01$; LC-TH⁺: $t_9=3.46$, $P<0.01$). Dashed lines, baseline. **e**, LC-TH⁺ neurons showed stronger modulation by novelty than VTA-TH⁺ neurons (Brain Area \times Condition interaction, $F_{1,23}=15.20$, $P<0.001$). LC-TH⁺ but not VTA-TH⁺ neurons displayed habituation to novelty (LC novel, $F_{29,261}=1.70$, $P<0.05$; VTA novel, $F_{29,406}=1.23$, $P>0.05$). ** $P<0.01$, paired t -test. Means \pm s.e.m.

Figure 3 | TH⁺ axons in the hippocampus originate from LC-TH⁺ neurons. a-d,

Representative coronal sections show overall distribution of eYFP⁺ axons from VTA (**a**) and LC (**c**) in dorsal hippocampus. Triple immunofluorescence for eYFP (green), TH (red) and NET (blue) shows co-labelling of eYFP⁺ VTA axons with TH (**b**, top) but not with NET (**b**, bottom; arrows), co-labelling of eYFP⁺ LC axons with TH (**d**, top) and NET (**d**, bottom). **e**, **f**, Quantification of area occupied by eYFP and TH double-positive axons (**e**), and the ratio of eYFP and TH double-positive axons relative to all TH⁺ axons (**f**) in

CA1 , CA3, and DG ($n=9$ slices, 3 mice/group). Both measures indicate stronger TH⁺ projections from LC than from VTA in CA1 (Area: $t_{16}=7.4$, $P<0.001$; Ratio: $t_{16}=104.1$, $P<0.001$), CA3 (Area: $t_{16}=11.7$, $P<0.001$; Ratio: $t_{16}=59.0$, $P<0.001$) and DG (Area: $t_{16}=10.8$, $P<0.001$; Ratio: $t_{16}=76.4$, $P<0.001$). *** $P<0.001$, paired t -test. Means \pm s.e.m.

Figure 4 | Optogenetic activation of LC-TH⁺ neurons enhances memory

persistence. **a**, Viral injection, optic fibre and drug cannulae implantations. Th-Cre mice injected with a Cre-inducible ChR2-eYFP AAV (ChR2⁺, $n=8$) or a control eYFP AAV (ChR2⁻, $n=6$) into LC and VTA. **b**, Optogenetic burst protocol used in the event arena. **c**, Design for optogenetic mimicry experiment. **d**, Left, LC-TH⁺ neuron photostimulation (LC-ON) 30min after encoding enhanced 24h memory in ChR2⁺ animals but not in ChR2⁻ controls (Group \times Condition interaction, $F_{1,12}=5.66$, $P<0.05$; ChR2⁺ in LC-ON vs. chance: $t_7=4.38$, $P<0.01$). Right, VTA-TH⁺ neuron photostimulation (VTA-ON) caused a trend for enhanced memory that did not differ between groups (Group \times Condition interaction, $F_{1,12}=0.33$, $P=0.58$; ChR2⁺ in VTA-ON: $t_7=2.22$, $P=0.062$; ChR2⁻ in VTA-ON: $t_5=1.56$, $P=0.18$). **e**, Design for optogenetic LC activation experiment with pharmacological interventions. **f**, Blockade of hippocampal D₁/D₅ receptor (SCH) but not β -adrenoceptors (Prop) during LC-TH⁺ neuron photostimulation abolished the effect of LC photostimulation on memory persistence in ChR2⁺ mice (Group effect, $F_{1,12}=5.01$, $P<0.05$; Condition effect in ChR2⁺, $F_{3,21}=3.18$, $P<0.05$; in ChR2⁻: $F_{3,15}<1$). ChR2⁺ mice showed good memory with post-encoding LC-ON in presence of vehicle or Prop, but not in presence of SCH, or without light stimulation (Orthogonal comparison: $F_{1,21}=9.23$, $P<0.01$; LC-ON with Vehicle in ChR2⁺: $t_7=3.07$, $P<0.05$; LC-ON with Prop in ChR2⁺: $t_7=2.41$, $P<0.05$). Prop, propranolol; SCH, SCH23390. * $P<0.05$, ** $P<0.01$ vs. chance. Dashed lines, chance. Means \pm s.e.m.

Figure 5 | Optogenetic activation of LC-TH⁺ axons enhances hippocampal synaptic function.

a, Hippocampal slice physiology. Orange line, slice plane. **b**, Left, potentiation of Schaffer collateral (SC)-evoked EPSCs from CA1 pyramidal neurons after strong light activation (blue) of hippocampal LC-TH⁺ axons (Light-ON, $n=5$) was unaffected by adrenoceptor antagonists (Light-ON, Praz/Prop, $n=4$) but blocked by D₁/D₅ receptor antagonist (Light-ON, SCH, $n=5$) (Conditions \times Time interaction, $F_{7,8, 42.8}=2.50$, $P<0.05$, Greenhouse-Geisser correction). Middle, exemplar EPSCs from CA1 pyramidal neurons. Dashed lines, baseline EPSCs; Continuous, EPSCs 30-35min after light onset. Right, mean

EPSCs 35min after light onset, showing effect of SCH but no effect of Praz/Prop ($F_{2,11}=7.20$, $P<0.05$). **c**, Left, fEPSP responses to weak theta-burst stimulation (arrow) with or without optogenetic activation of hippocampal LC-TH⁺ axons (blue). No synaptic potentiation without theta-burst [Light-ON (no LTP), $n=6$], but with it, an increase in synaptic strength lasting >45min (Light-OFF+LTP, $n=6$) that was significantly enhanced by a weak physiologically relevant optogenetic stimulation of LC-TH⁺ axons (Light-ON+LTP, $n=11$). SCH blocked enhancement of LTP (Light-ON+LTP with SCH, $n=5$) (Conditions×Time interaction, $F_{19.5/155.8}=3.01$, $P<0.001$). Middle, fEPSPs: baseline (dashed lines) and 40-45min after theta-burst stimulation (continuous lines). Right, mean fEPSP slopes 45min after theta-burst stimulation, shows blockade of optogenetic augmentation of LTP by SCH ($F_{3,24}=17.00$, $P<0.001$). Praz, prazosin; Prop, propranolol; SCH, SCH23390 or SCH39166 (see Methods). ns, not significant. * $P<0.05$, ** $P<0.01$, Tukey HSD test. Means±s.e.m.

Figure 6 | Pharmacological inhibition of VTA has no impact on the novelty effect.

a, Microinfusion of lidocaine (Lid) into VTA blocks multi-unit activity (example trace and population data; $n=8$ traces, 4 mice; Pre vs. Lid: $t_7=8.42$, $P<0.001$; Pre vs. Post: $t_7=1.42$, $P>0.05$). Magenta box, novelty period. **b**, Lidocaine into the VTA before novelty had no effect on memory enhancement ($n=15$ mice) (Vehicle vs. Lid: $t_{14}<1$; Vehicle vs. chance: $t_{14}=2.95$, $P<0.05$; Lid: $t_{14}=2.19$, $P<0.05$). **c**, Systemic injection of α_2 -adrenergic receptor agonist clonidine before novelty abolished novelty effect ($F_{2,28}=7.70$, $P<0.01$; Novelty with Vehicle: $t_{14}=4.62$, $P<0.001$). ns, not significant. * $P<0.05$, *** $P<0.001$. Dashed lines, chance. Means±s.e.m.











