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Long *XMM* observation of the narrow-line Seyfert 1 galaxy IRAS 13224–3809: rapid variability, high spin and a soft lag

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ABSTRACT

The narrow-line Seyfert 1 galaxy IRAS 13224–3809 has been observed with *XMM-Newton* for 500 ks. The source is rapidly variable on time-scales down to a few 100 s. The spectrum shows strong broad Fe – K and L emission features which are interpreted as arising from reflection from the inner parts of an accretion disc around a rapidly spinning black hole. Assuming a power law emissivity for the reflected flux and that the innermost radius corresponds to the innermost stable circular orbit, the black hole spin is measured to be 0.989 with a statistical precision better than 1 per cent. Systematic uncertainties are discussed. A soft X-ray lag of 100 s confirms this scenario. The bulk of the power-law continuum source is located at a radius of 2–3 gravitational radii.

Key words: accretion, accretion discs – black hole physics – line: profiles – X-rays: general.

1 INTRODUCTION

The X-ray emission from most narrow-line Seyfert 1 galaxies (NLS1) is characterized by a steep soft X-ray spectrum and rapid variability. The most extreme such objects are 1H 0707–495 and IRAS 13228–3809, both of which show a sharp drop above 7 keV in *XMM-Newton* spectra (Boller et al. 2002, 2003). 1H 0707–495 has been further studied several times with *XMM*, including a long 500 ks data set in 2008 which revealed broad iron K and L lines and a soft lag of about 30 s (Fabian et al. 2009; Zoghbi et al. 2010; Zoghbi, Uttley & Fabian 2011). In contrast, IRAS 13224–3809 only had 64 ks of *XMM* data (Gallo et al. 2004; Ponti et al. 2010), despite showing spectacular variability during *ROSAT* (Boller et al. 1997) and *ASCA* (Dewangan et al. 2002) observations. New obser-

vations totalling 500 ks have now been made with *XMM* in 2011 and reported here.

The unusual spectrum and 7 keV drop of both objects have been interpreted as due to either intervening absorption or strong relativistic blurring of a reflection component (Boller et al. 2002, 2003; Fabian et al. 2004). 1H 0707–495 dropped into a low state for about two months at the start of 2011 during which an *XMM* spectrum showed evidence for even more blurring. The results are consistent with the power-law component of the X-ray source lying within one gravitational radius of the central black hole (Fabian et al. 2012). In the normal state, one third of this component extends to $\sim 20r_g$.

The combination of the above results with the reverberation lags in 1H 0707–495 and in over a dozen other sources (McHardy & Papadakis 2010; De Marco et al. 2011, 2012; Emmanoulopoulos, McHardy & Papadakis 2011; Tripathi et al. 2011; Zoghbi & Fabian 2011; Zoghbi et al. 2012) provides very strong support for the reflection model for the X-ray emission of Seyfert galaxies. In this

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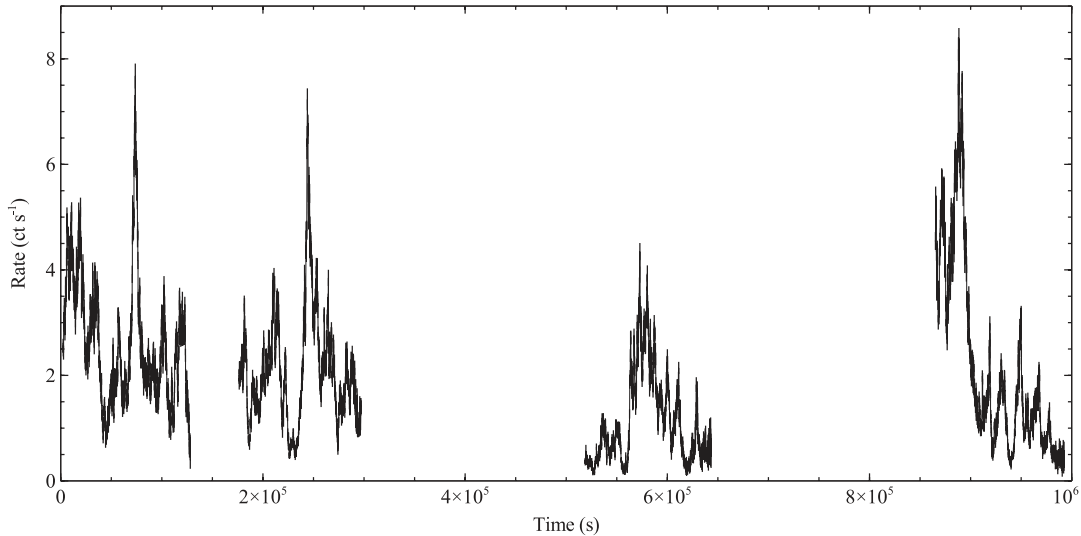


Figure 1. Full band *XMM* light curve (0.3–10 keV) and light curve of IRAS 13224–3809. Bins are 200 s.

model, the primary power-law component lies above the inner accretion disc around the black hole and produces the X-ray reflection component by irradiation of the disc (see e.g. Fabian & Ross 2010). The soft lags are then the light travel time difference between the power-law and reflection components as detected by the observer. The new data presented in this paper are interpreted within the reflection model.

IRAS 13224–3809 is a radio quiet (1.4 GHz flux of 5.4 mJy; Feain et al. 2009) NLS1 at redshift $z = 0.066$. For a flat Λ cold dark matter cosmology with $H_0 = 71 \text{ km s}^{-1} \text{ Mpc}^{-1}$, its luminosity distance is 293 Mpc.

2 OBSERVATIONS AND DATA REDUCTION

IRAS 13224–3809 was observed for ~ 500 ks with the *XMM-Newton* satellite (Jansen et al. 2001) from 2011 July 19 to 29 (Obs. IDs 0673580101, 0673580201, 0673580301 and 0673580401). We focus on the data from the EPIC-pn camera (Strüder et al. 2001). The first observation was taken in full window imaging mode, and the following three in large window imaging mode. All of the data were reduced in the same way, using the *XMM-Newton* Science Analysis System (SAS v.11.0.0) and the newest calibration files.

The data were cleaned for high background flares, resulting in a final total exposure of 300 ks. The data were selected using the condition $\text{PATTERN} \leq 4$. Pile-up effects were not significant in any of the observations.

The source spectra were extracted from circular regions of radius 35 arcsec, which were centred on the maximum source emission, and the background spectra were chosen from a circular region of the same size and on the same chip. The position of the background regions were chosen to avoid the $\text{Cu} - \text{K}$ emission lines from the electronic circuits behind the pn CCD that contaminate the background at 8.0 and 8.9 keV. The response matrices were produced using RMFGEN and ARFGEN in SAS.

The spectra from the four observations were merged before fitting using MATHPHA in FTOOLS, and the resulting combined spectrum was rebinned to contain a minimum of 20 countsbin $^{-1}$. Spectral fitting was performed using XSPEC v12.5.0 (Arnaud 1996). Quoted errors correspond to 90 per cent confidence level. Energies are given

in the rest frame of the source. Quoted abundances refer to the solar abundances in Anders & Grevesse (1989).

3 LIGHT CURVE AND VARIABILITY

The light curve of the long *XMM* observation in 2011 is shown in Fig. 1. Start and stop dates are 2011 July 19 and 29. The source is clearly highly variable with several pronounced upward spikes of emission. The observation consists of four orbits of *XMM*, the first two of which are contiguous. Gaskell (2004) used *ASCA* data to show that the X-ray light curves can be log normal, in the sense that a frequency histogram of $\log(\text{count rate})$ is Gaussian. We find that this is only a fair description of Orbit 1 and is a poor description of the Orbits 3 and 4.

The light curve is reminiscent of that seen with the *ROSAT* High Resolution Imager, reported by Boller et al. (1997). Observations were made every day for a month resulting in a total exposure of ~ 110 ks and mean observation length ~ 3 ks. Five flares of emission were found rising above about 150 ct ks^{-1} . Using WEBPIMMS (Mukai 1993) and a 0.1(0.2) keV blackbody model (see next section for detailed spectrum) we find that 150 ct ks^{-1} corresponds to about $6(3.4) \text{ EPIC pn ct s}^{-1}$. We see three flares above 6 pn ct s^{-1} and ~ 11 above 3.4 pn ct s^{-1} in 500 ks. The numbers depend on the precise spectral shape, but are similar enough to indicate that the behaviour of the source is similar to that in 1997.

The bright spikes of emission are shown at higher time resolution in Fig. 2. The most rapid large rise occurs in Orbit 2, where the count rate jumps by about 4.5 ct s^{-1} from $(2.42\text{--}2.44) \times 10^5 \text{ s}$, i.e. 2000 s. Using the spectral model developed in Section 3, this corresponds to about $7 \times 10^{40} \text{ erg s}^{-2}$ in the 0.3–2 keV band. An even faster event occurs at the peak of Orbit 4 where the count rate jumps up and down by 3 ct s^{-1} in just a few 100 s. This means that active regions of the source at that time must be smaller than a few 100 light seconds in size. The rate of change of luminosity is thus approaching the value of $10^{42} \text{ erg s}^{-2}$, especially if the energy band is extended down to 0.1 keV, which is the highest previously recorded by Brandt et al. (1999). That paper discusses the lower limit on the radiative efficiency required by the source in converting mass to energy to produce such a luminosity gradient (Fabian 1979); the efficiency must be high and approaching 50 per cent. This is difficult

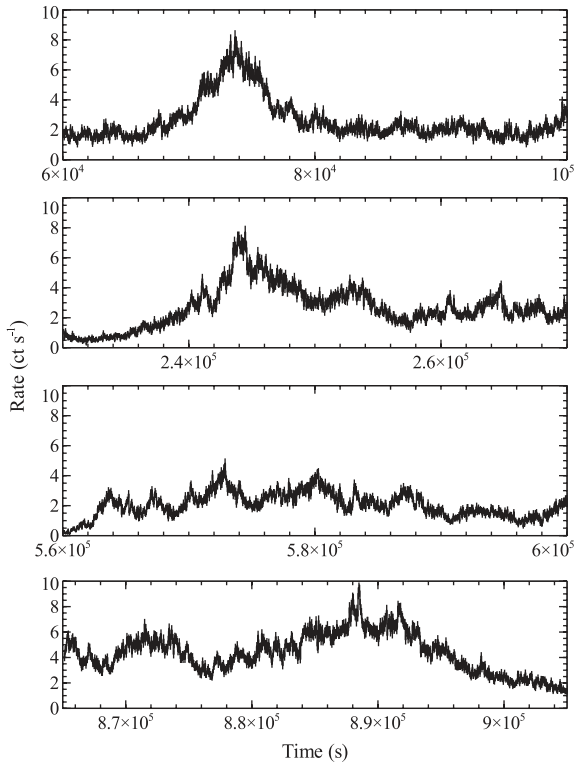


Figure 2. Light curves of regions of peak count rate per orbit in the 0.3–10 keV band. Bins are 50 s.

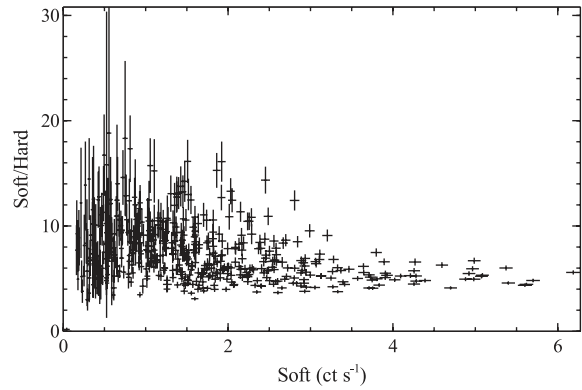


Figure 4. Softness ratio plotted versus soft count rate.

to envisage taking place without invoking non-spherical geometry and/or relativistic effects.

Light curves in both soft (0.3–1 keV) and hard (1–4 keV) bands are shown in Fig. 3, together with the soft/hard ratio and background count rate (note that the log of the background rate is shown as the values are mostly small). The pronounced large spikes in count rate show no strong spectral variation. A trend for the spectrum to be sometimes softer when the source is faint is apparent (Fig. 4). Orbit 3 shows considerable hardness ratio variations that do not seem to correlate with the count rate of either the source or background.

4 SPECTRAL FITS

Following the phenomenological approach of Fabian et al. (2009; see also Ponti et al. 2010), we fit the spectrum over the 0.3–0.4 and 1.2–2.2 keV bands with a simple absorbed power law plus

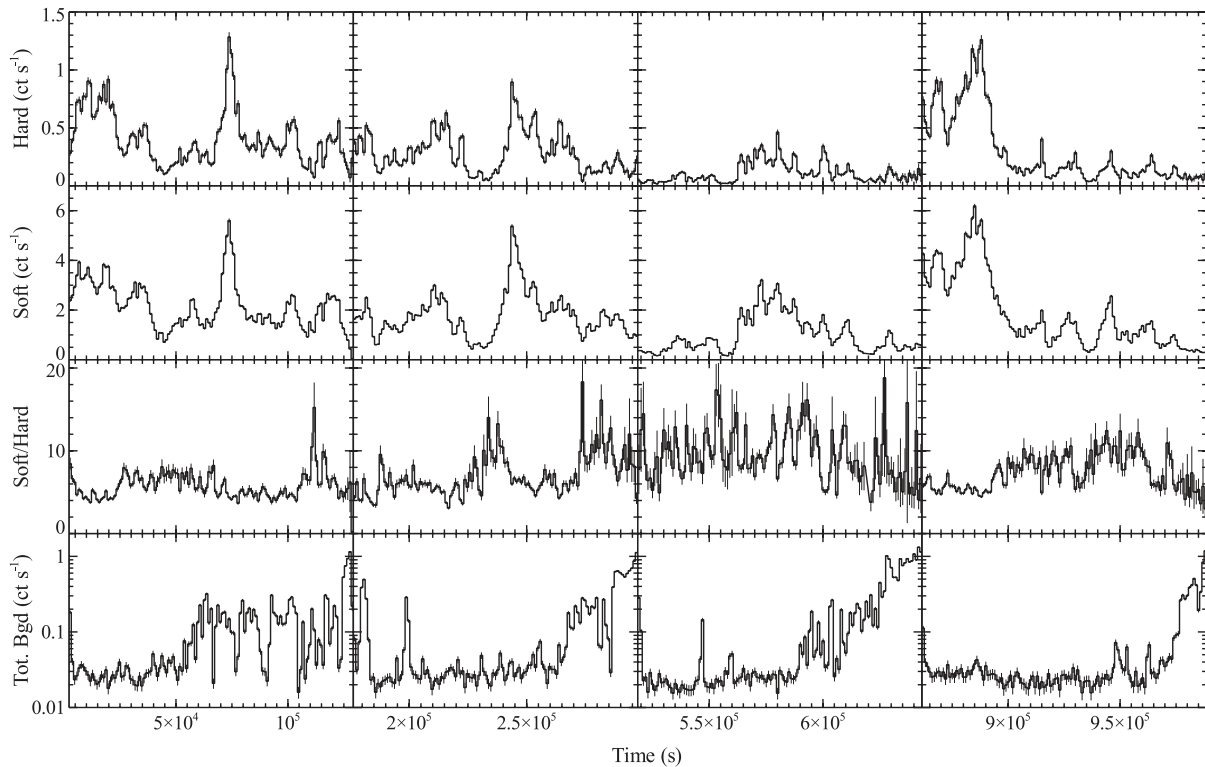


Figure 3. Hard (1–4 keV) and soft band (0.3–1 keV) light curves (top two panels), softness ratio and log (total background rate) (lower two panels). Bins are 1000 s.

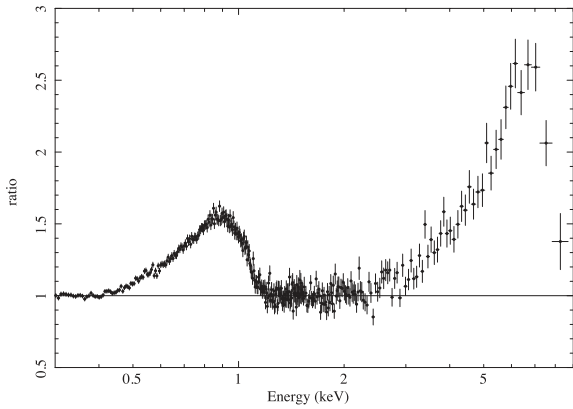


Figure 5. Ratio of observed spectrum to a model spectrum. The model consists of a power law, blackbody and two Laor broad lines which have been fitted to the data. The normalizations of the Laor lines have been set to zero before displaying.

blackbody model and then show the residuals to that model over the full 0.3–9 keV band (Fig. 5). Clear, broad emission residuals corresponding to the iron *K* and *L* bands are apparent. A good fit ($\chi^2/\text{dof} = 881/884$) can be made over this range with that simple model plus two relativistically blurred lines, at 0.91 ± 0.01 and 7.2 ± 0.12 keV (rest-frame energies) using the Laor model. The blurring parameters are tied between the two lines, yielding an inclination of $62.3^\circ \pm 1.3^\circ$ and an emissivity index of 8 ± 0.4 . The equivalent width of the lines are 106 eV and 2.4 keV.

In practice, we do not expect that the emission peaks are due to single lines but to line and absorption edge complexes in the reflection spectrum. This is evident from the rest-frame energy of the Fe – *K* line reported above, which exceeds that of Fe XXVI at 6.97 keV. We have therefore fitted the data with a physical model consisting of blackbody, power law and two reflection components, one of high and the other of low ionization, similar to the best-fitting model for 1H0707–495. The motivation for the two ionization components is to model better a turbulent accretion disc. The model used is `phabs*(blackbody+po+relconv*(atable{extendx.mod}+atable{extendx.mod}))+zgauss`, where the relativistic-blurring convolution model RELCONV (Dauser et al. 2010) acts on the ionized reflection model EXTENDX, which is an extended version of the REFLIONX grid reflection model of Ross & Fabian (2005). Components PHABS and ZGAUSS represent absorption in our galaxy and a narrow Fe – *K* line from distant material in the AGN at 6.4 keV. The results of this fit are shown in Table 1 and Figs 6 and 7.

Inspection of Fig. 6 suggests the need for a possible absorption edge just above 1 keV. It is however exactly where the Fe – *L* emission complex meets the power-law continuum (see Fig. 5). The best spectral fit is obtained if the higher ionization component is replaced by a Gaussian line at (rest-frame energy) 0.86 keV of width 0.07 keV (which is then relativistically blurred along with the lowly ionized component). The need for any absorption edge now disappears. This indicates that the spectral model we are using is incomplete. The photon energy of the required line is consistent with intermediate ionization stages of iron (XX to XVII). Generating the appropriate grids of models to explore the Fe – *L* emission further is beyond the scope of this work.

The Reflection Grating Spectrometer data are reasonably well fitted by the above spectral model, with no obvious additional

Table 1. Values of variable model parameters used in the emissivity profile determination. The absorption component is fixed at the Galactic value.

Component	Parameter	Value
Absorption	Galactic N_{H} (cm^{-2})	5.3×10^{20}
Power law	Photon index, Γ	$2.700^{+0.007}_{-0.01}$
	Norm	3.86×10^{-4}
	RELCONV	Inclination, i (deg)
	$R_{\text{br}} \Gamma_{\text{g}}$	2.1 ± 0.3
	Inner index, q_1	> 9
	Outer index, q_2	$3.42^{+0.05}_{-0.1}$
	Spin, a	0.9888 ± 0.001
Blackbody	Temperature, kT (keV)	0.1025 ± 0.0007
	Norm	3.65×10^{-5}
EXTENDX	Iron abundance / solar	> 16
	Ionization parameter, ξ_1	20.7 ± 0.4
	Ionization parameter, ξ_2	325^{+38}_{-11}
	Norm ₁	3.0×10^{-8}
	Norm ₂	5.0×10^{-6}
	χ^2/dof	955/889

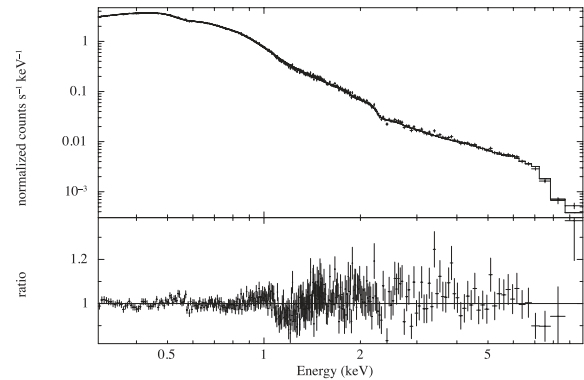


Figure 6. Full band pn spectrum fitted with double reflectors and a blackbody component.

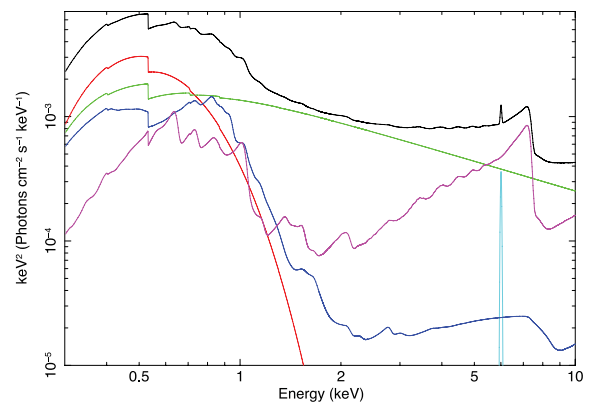


Figure 7. Components of the best-fitting model shown in Fig. 6.

emission or absorption components. More detailed analysis will follow in later work.

4.1 The spin of the black hole

The spectral fit shown in Fig. 6 requires a steep emissivity profile from $\sim 1.35 r_{\text{g}}$ which, if identified as the innermost stable circular

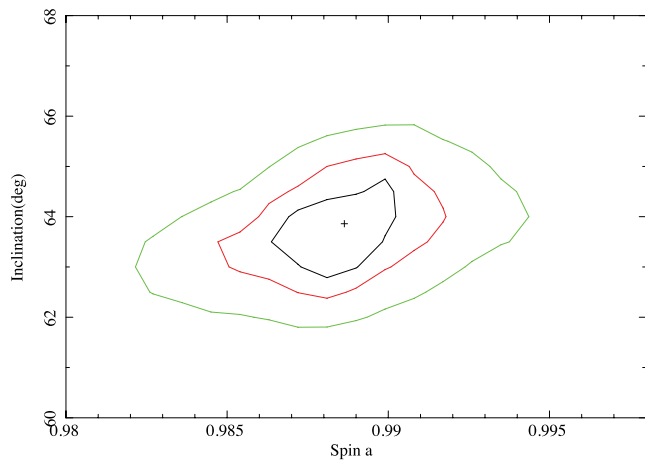


Figure 8. 68, 90 and 99 per cent confidence contours for spin and disc inclination.

orbit (ISCO), means that the black hole is close to maximal spin. Fitting the spectrum with the blurring kernel REFLCONV (Dauser et al. 2010) we find the spin to be 0.988 ± 0.001 (see also Table 1).

The value of spin is robust to changes in the model with differences in a being less than 1 per cent in all models tried. The variation of spin with inclination is shown in Fig. 8, where no strong correlation between these parameters is evident. No correlation between spin and ionization parameter of the reflection components is seen.

Although the statistical uncertainty on the measured spin is well below 1 per cent, there are larger systematic uncertainties which have yet to be determined. The most important is perhaps the implicit identification of the innermost radius of the reflector with the ISCO. Computations suggest that the uncertainty here is small and could be less than $0.5r_g$ (Reynolds & Fabian 2008; Shafee, Narayan & McClintock 2008). The work of Schnittmann et al. (2012) emphasizes that emission from matter on plunge orbits is beamed mostly into the black hole. We note that the requirement for a low-ionization component emphasizes that the disc remains dense and thus thin within the final gravitational radius.

4.2 Inferring the position and size of the power-law source

The break in the emissivity profile at only $\sim 2.1r_g$ indicates that the power-law source is close to the black hole, within a few gravitational radii, and thus must be small and confined within that radius (e.g. Wilkins & Fabian 2011, 2012).

Confirmation that the source is very close to the black hole comes from the reflection fraction. This is the ratio of the reflection components to the power-law component, normalized so that unity corresponds to a reflector subtending 2π sr. This is not straightforward to calculate for a high Γ source since the REFLIONX model does not tabulate the total flux, but only that above 0.1 keV (the flux at lower energies is of course included in the computations). We assess the reflection fraction by comparing the ratio of the amplitude of the Compton hump around 30 keV of the low-ionization reflection component to the power law with that predicted by the PEXRAV model. The result is a reflection fraction of about 15, which is a strong indication of light bending close to the black hole (Martocchia & Matt 1996; Miniutti & Fabian 2004).

The emissivity profile has been determined in more detail by fitting the spectrum above 3 keV by the sum of relativistically blurred emission profiles from contiguous radii of the disc (see Wilkins & Fabian 2011 for more details; the energy range is restricted to the

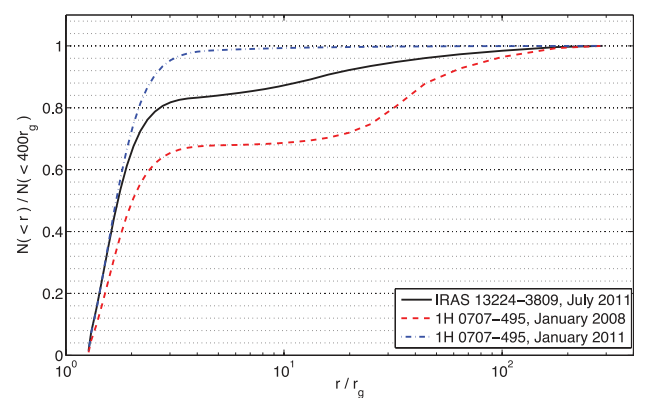
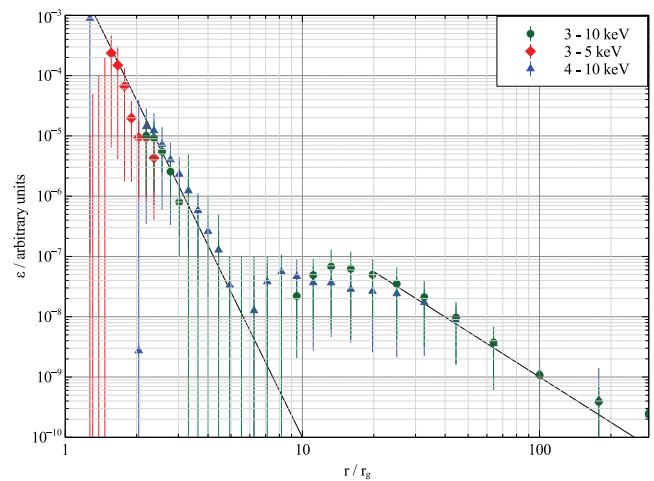


Figure 9. Top: emissivity profile obtained by fitting the data in the broadened Fe – K band with emission from many annuli. Bottom: integrated photon flux as function of radius.

Fe – K band as the Fe – L band consists of many overlapping emission lines). The result (Fig. 9, top panel) indicates a triple power law emissivity profile, which integrates to show where the observed photons originate from on the disc (Fig. 9, lower panel). 80 per cent of the photons are reflected within about $2.5r_g$ with the remaining 20 per cent mostly coming from within $10\text{--}20r_g$. The result is robust to small changes ($\sim 5^\circ$) in inclination.

Motivated by this, in order to estimate the size and location of the major primary X-ray source, we generated a grid of emissivity profiles for a range of cylindrical X-ray source regions of varying radial extent and at varying heights above the plane of the accretion disc using the high speed GPU-based general relativistic ray tracing code of Wilkins & Fabian (2012). The grid consists of sources extending between 1 and $50r_g$ radially, the bases of which are between 1.0 and $3.1r_g$ above the plane of the accretion disc. Initially, the thickness of the source is set to be $0.5r_g$.

These emissivity profiles were then fitted to the profile of the Fe – K emission line using a modified version of the KDBLUR convolution model, leading to the constraints shown in Fig. 10. These fits imply that the source is either radially extended to $\sim 1r_g$ at a height of $h \sim 2\text{--}25r_g$ or extended out to around $2\text{--}3.5r_g$ at a height of $\sim 1.7r_g$.

The integrated emissivity profile of IRAS 13224–3809 is compared with the normal and low-state profiles of 1H 0707–495 in Fig. 9. It appears to lie between the two states of 1H0707–495. This could be due either to the source always having two primary

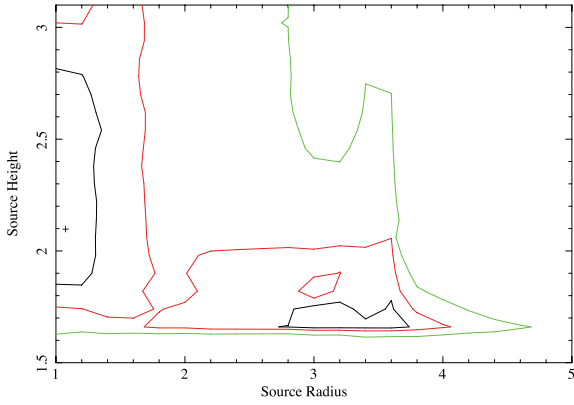


Figure 10. 68, 90 and 99 per cent confidence contours for the radius and height (lower edge) of a slab source of thickness $0.5r_g$.

emission components, one compact as shown in Fig. 10 the second a more extended component, or to the source varying in size with time, the larger source likely being associated with the brighter phases. This will be investigated in later work.

5 RAPID VARIABILITY AND THE SOFT LAG

The fractional RMS variability spectrum, computed according to the prescription of Edelson et al. (2002), is shown in Fig. 11. It resembles that of many other sources in which reflection is present, resembling a combination of variable power-law and reflection components. The amplitude of the variability of the power-law component needs to be greater than that of the reflection in order that the broad Fe – K line appears inverted in this figure.

Using the light curves of the four orbits, we compute the Fourier phase lag between the hard and soft energy bands, following the technique described in Nowak et al. 1999. The background-subtracted light-curve segments range in length from 8.34×10^5 to 1.24×10^5 s with 10 s bins. The soft band is defined from 0.3–1 keV, where the soft-excess dominates the spectrum. The hard band, 1.2–5 keV, is dominated by emission from the power-law continuum. From the Fourier transforms of the hard and soft band light curves, \tilde{H} and \tilde{S} respectively, we compute their phase difference, $\phi(f) = \arg[\tilde{H}^* \tilde{S}]$, where * denotes complex conjugate. We convert this to a frequency-dependent time lag, $\tau(f) \equiv \phi(f)/2\pi f$.

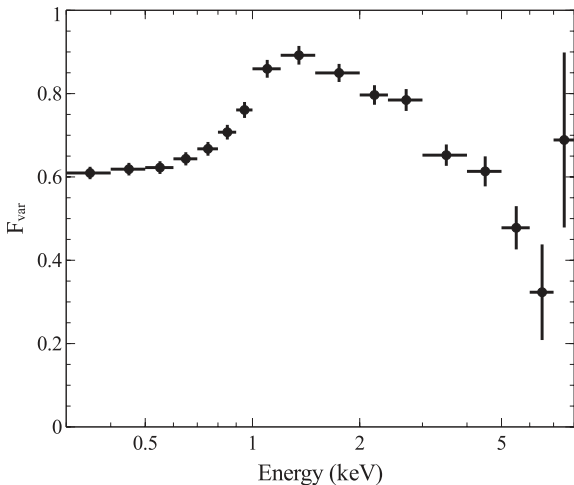


Figure 11. Fractional RMS variability spectrum using 500 s bins.

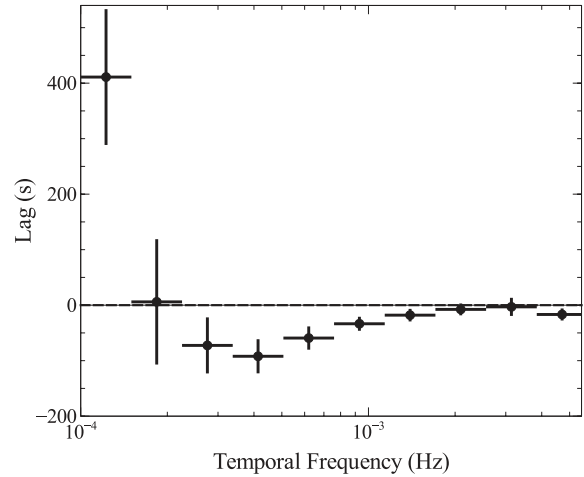


Figure 12. Lag-frequency spectrum for this 500 ks observation. The lag is calculated between the soft energy band (0.3–1.0 keV) and the hard band (1.2–4.0 keV). We adopt the convention that negative lag means that the soft band lags behind the hard band. The most negative lag (at 3.4×10^{-4} Hz) is -92.1 ± 30.7 s.

Using this sign convention, a negative lag means that the soft band light curve lags behind the hard band.

The results are shown in the lag-frequency spectrum in Fig. 12. The hard flux lags behind the soft by hundreds of seconds at frequencies less than $\sim 2 \times 10^{-4}$ Hz. At frequencies $\nu \sim [3 - 5] \times 10^{-4}$ Hz the soft band, dominated by reflection components, lags behind the hard band, which is dominated by the power law, by about 100 s. The light-crossing time of $2r_g$ for a mass of $5 \times 10^6 M_\odot$ is ~ 50 s, so a total lag of 100 s or so is reasonable.

6 DISCUSSION

IRAS 13224–3809 is remarkably similar in overall X-ray behaviour to 1H 0707–495. The variability of IRAS 13224–3809 may be the most extreme. We shall explore the behaviour of the source as a function of time and flux in more detail in later work.

The X-ray spectra of both sources require high iron abundance ($A_{\text{Fe}} \sim 10\text{--}20$). In recent work, Wang et al. (2012) have presented a strong correlation between metallicity, as measured by the Si IV O IV/C IV ratio, and outflow strength in quasars, as obtained via the blueshift and asymmetry index (BAI) of the C IV emission line. Their results indicate highly significant supersolar metallicity ($Z/Z_\odot \geq 5$) for quasars with $\text{BAI} \geq 0.7$. This result indicates that metallicity likely plays an important role in the formation and acceleration of quasar outflows as expected, for instance, if quasar outflows are predominantly line driven.

As mentioned above, both IRAS 13224–3809 and 1H 0707–495 are characterized by extremely blueshifted C IV emission lines with almost no contribution at rest wavelength. Their ultraviolet (UV) spectra indicate that $\text{BAI} \geq 0.9$ in both sources, as shown in Fig. 13. If the metallicity–BAI correlation of Wang et al. (2012) extends or saturates above their largest observed BAI (~ 0.76), one infers that IRAS 13224–3809 and 1H 0707–495 are characterized by $Z/Z_\odot \geq 8$. A strong indication for supersolar metallicity in both sources is consistent with the strong Fe II lines in the optical spectra and was also inferred by Leighly (2004) via photoionization modelling of the UV spectra.

A ~ 100 s soft lag is detected, which is a direct prediction of the reflection modelling used for the source. With the many other

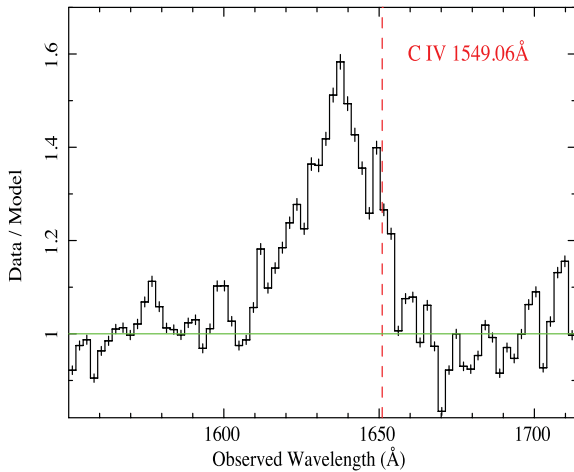


Figure 13. The C IV emission line profile from the *Hubble Space Telescope*–STIS observation performed in 1999 June with the G 140L grating is shown in the observed frame. Data have been slightly rebinned for visual clarity. The vertical line shows the expected wavelength of the C IV emission line for a redshift $z = 0.0658$.

lags now seen, this justifies the reflection spectrum approach. It is consistent with the spectral modelling which indicates that the bulk of the primary continuum emission source is only a few gravitational radii in size and distance from the black hole. The spin of the black hole is high and close to maximal. This may be the result of secular evolution dominating in NLS1 galaxies, as inferred by Orban de Xivry et al. (2011).

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