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1 **Longitudinal analysis of SARS-CoV-2 vaccine breakthrough infections reveal** 2 **limited infectious virus shedding and restricted tissue distribution**

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62

63 **ABSTRACT**

64 The global effort to vaccinate people against SARS-CoV-2 in the midst of an ongoing
65 pandemic has raised questions about the nature of vaccine breakthrough infections and
66 the potential for vaccinated individuals to transmit the virus. These questions have
67 become even more urgent as new variants of concern with enhanced transmissibility,
68 such as Delta, continue to emerge. To shed light on how vaccine breakthrough
69 infections compare with infections in immunologically naive individuals, we examined
70 viral dynamics and infectious virus shedding through daily longitudinal sampling in a
71 small cohort of adults infected with SARS-CoV-2 at varying stages of vaccination. The
72 durations of both infectious virus shedding and symptoms were significantly reduced in
73 vaccinated individuals compared with unvaccinated individuals. We also observed that
74 breakthrough infections are associated with strong tissue compartmentalization and are
75 only detectable in saliva in some cases. These data indicate that vaccination shortens
76 the duration of time of high transmission potential, minimizes symptom duration, and
77 may restrict tissue dissemination.

78

79 **MAIN TEXT**

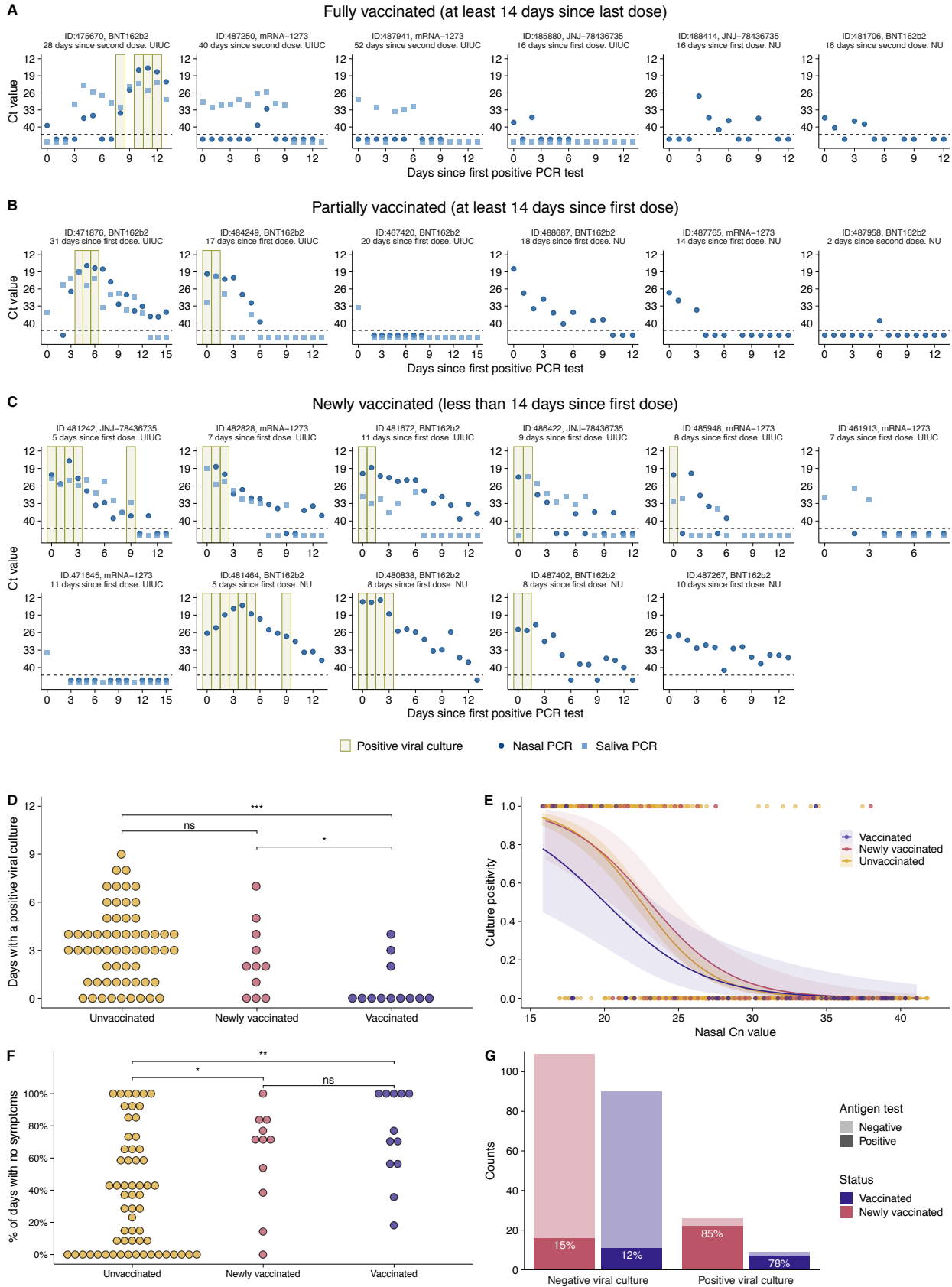
80 Licensed vaccines against SARS-CoV-2 have already established a clear record of
81 success in reducing case numbers and disease severity in areas that have achieved
82 high vaccination rates. While vaccination clearly limits susceptibility to the virus,
83 breakthrough infections can occur, as no vaccines are capable of eliciting sterilizing
84 immunity, particularly against viruses that infect mucosal tissues¹. Characterizing the
85 unique features of breakthrough infections is critical for evaluating the potential for
86 vaccinated individuals to transmit virus and understanding how vaccine-induced
87 immunity suppresses viral replication and mitigates disease severity.

88

89 A study performed in Israel estimated that vaccination with the BNT162b2
90 (Pfizer/BioNTech) mRNA vaccine substantially reduced the potential for transmission
91 among household contacts². Other recent data have suggested that vaccinated
92 individuals may shed virus (as measured by RTqPCR) at similar levels to unvaccinated
93 individuals, particularly when infected with the Delta variant³⁻⁵. Some interpretations of
94 these preliminary studies have suggested that the risk for secondary transmission is
95 similar for vaccinated and unvaccinated individuals. However, most studies to date base
96 their conclusions on cross sectional sampling of viral genome loads (as measured by
97 RTqPCR), which may not directly translate to infectiousness⁶. In a cohort of

98 longitudinally sampled participants who screened positive for SARS-CoV-2, we
99 previously showed that the relationship between viral genome load and infectious virus
100 load can vary greatly across individuals and over time, making the use of cross-
101 sectional RTqPCR data problematic for estimations of infectiousness⁷. Therefore,
102 longitudinal comparisons of viral genome shedding and infectious virus shedding across
103 tissue compartments between vaccinated and unvaccinated individuals are needed to
104 more accurately assess the effects of vaccination on viral dynamics and transmission
105 potential.

106
107 Here, we present the longitudinal dynamics of SARS-CoV-2 infection in 23 individuals
108 infected at varying stages of vaccination (6 fully vaccinated, *i.e.* enrolled at least 14
109 days after second mRNA vaccine dose or first J&J vaccine dose; 6 partially vaccinated,
110 *i.e.* not fully vaccinated but enrolled at least 14 days past first mRNA vaccine dose; and
111 11 newly vaccinated individuals that enrolled less than 14 days after first vaccine dose
112 (either mRNA or J&J)), captured at two study sites through daily nasal swab and saliva
113 collection, along with symptom reporting (**Fig 1A-C**). These individuals were primarily
114 infected with B.1.1.7 (Alpha) and P.1 (Gamma) variants, as enrollment in this study
115 concluded before the widespread circulation of Delta at the study sites (**Table 1**)
116



118 **Figure 1: Viral dynamics in vaccinated individuals. (A)** Temporal trends for the
119 saliva RTqPCR (light blue squares), nasal swab RTqPCR (dark blue dots), and positive
120 nasal swab viral culture results (tan bars) in fully vaccinated individuals that enrolled \geq
121 14 days after second mRNA vaccine dose or first J&J vaccine dose. The X axis shows
122 days since the first positive PCR result. The Y axis indicates Ct values for saliva
123 RTqPCR assay (covidSHIELD) and Cn values for nasal swab RTqPCR assay (Abbott
124 Alinity). Horizontal dashed line indicates limit of detection of RTqPCR assays. For
125 individuals at the NU study site, saliva samples were not collected thus only nasal swab
126 data are shown. **(B)** Same data as in (A) but for partially vaccinated individuals that
127 enrolled \geq 14 days after first mRNA vaccine dose but were not yet fully vaccinated. **(C)**
128 Same data as in (A) but for newly vaccinated individuals that enrolled $<$ 14 days after
129 first mRNA or J&J vaccine dose. **(D)** Numbers of days that vaccinated (combined fully
130 and partially vaccinated individuals), newly vaccinated individuals, and unvaccinated
131 individuals (from Ke et al.⁷) tested viral culture positive. ns, $p>0.05$; * $p<0.05$; ** $p<0.01$;
132 *** $p<0.001$. **(E)** Association between the nasal Cn values and the probability of the
133 sample being viral culture positive summarized across the vaccinated individuals, newly
134 vaccinated individuals, and unvaccinated individuals (from Ke et al.⁷). Dots indicate
135 individual viral culture results, 1 being a positive result, and 0 a negative result. The
136 solid line and the shaded area are the mean and the confidence intervals, respectively,
137 of a logistic regression fit. **(F)** Proportion of days post-enrollment (up to 14 days) that
138 vaccinated, newly vaccinated, and unvaccinated individuals reported no symptoms. ns,
139 $p>0.05$; * $p<0.05$; ** $p<0.01$; *** $p<0.001$. **(G)** Plot showing antigen FIA results from
140 days where participants tested either positive or negative by viral culture. The text inside
141 the bars indicates the percentage of antigen FIA results that were positive when
142 concurrent viral culture sample was positive or negative.

143
144 5 out of 6 fully vaccinated individuals remained viral culture negative throughout their
145 enrollment period, suggesting minimal shedding of infectious virus and little to no
146 transmission risk. Moreover, the 5 individuals who remained viral culture negative had
147 either undetectable or sporadic and low-level (generally $Cn>35$) viral genome loads in
148 the nasal compartment.

149
150 Interestingly, in 2 (487941 and 487250) of the 3 viral culture negative individuals for
151 which we collected both saliva and nasal samples, viral RNA was detectable in saliva
152 for 5 to 10 days while remaining either undetectable (487941) or detectable at very low
153 level for 2 days (487250) in nasal swabs. These data suggest that in 2 of the 4 fully
154 vaccinated individuals for which both saliva and nasal swabs were collected, infection
155 was initially established within the oral cavity or other saliva-exposed tissue site and
156 was restricted from disseminating to the nasal passages. We did not observe a similar
157 restriction of virus to saliva across 60 non-vaccinated individuals that we examined in a
158 previous report⁷, suggesting that severe compartmentalization and tissue-restriction of
159 virus may be a unique feature of vaccine breakthrough infections.

160
161 The one fully vaccinated individual (475670) that did test viral culture positive exhibited
162 highly discordant patterns of viral shedding between saliva and nasal swabs. Viral
163 genome loads expanded and declined in saliva samples over the first week of sample

164 collection while remaining very low or undetectable in nasal swabs. At day nine post-
165 enrollment, viral genome loads suddenly spiked in nasal samples and the individual
166 began testing viral culture positive. This pattern is consistent with initial containment of
167 the virus in saliva-associated tissue, followed by eventual viral breakthrough and
168 dissemination to the nasal compartment.

169
170 Patterns of viral shedding in partially vaccinated individuals were more variable. Of the 6
171 individuals that were not considered fully vaccinated but enrolled ≥ 14 days after
172 receiving the first dose of mRNA vaccine, 2 only tested positive by RT-qPCR in a single
173 sample (out of 13 or 15 total samples) (**Fig. 1B**), suggesting highly restricted infection
174 with minimal transmission risk. In the other 3 individuals, viral shedding dynamics were
175 indistinguishable from what we previously observed in unvaccinated individuals⁷, and 2
176 of these 3 tested viral culture positive on at least one day (**Fig. 1B**). Of the 11
177 individuals that enrolled within 14 days of receiving their first vaccine dose (“newly
178 vaccinated”), most appeared similar to unvaccinated individuals with the exception of
179 three that appeared to exhibit restricted shedding (**Fig. 1C**). These data are consistent
180 with individual variation in the onset and magnitude of vaccine-mediated protection.

181
182 We directly compared duration of infectious virus shedding between fully and partially
183 vaccinated individuals (combined here as “vaccinated” due to low numbers), newly
184 vaccinated individuals, and unvaccinated individuals from our previous study (**Fig. 1D**)⁷.
185 The total numbers of days that vaccinated individuals tested viral culture positive was
186 significantly fewer than both newly vaccinated and unvaccinated groups, indicating that
187 vaccination significantly reduces infectious virus shedding.

188
189 We also examined whether the relationship between nasal swab Cn value and viral
190 culture status differed in vaccinated (both fully and partially), newly vaccinated, and
191 unvaccinated individuals (from Ke et al⁷) (**Fig. 1E**). For samples with Cn values below
192 27, we found that the probability of being viral culture positive was lower for samples
193 coming from vaccinated individuals versus newly vaccinated and unvaccinated
194 individuals. These data suggest that for a given viral genome load (as measured by
195 RTqPCR), vaccinated individuals may be less infectious than unvaccinated individuals,
196 consistent with a recent report examining Delta breakthrough infections⁸. However, we
197 must emphasize that this difference is not statistically significant, potentially due to both
198 the relatively small number of samples from vaccinees and the fact that only 6 out of 12
199 individuals included in the vaccinated group were fully vaccinated at the time of
200 enrollment. Regardless, these data further illustrate that Ct/Cn values cannot be used
201 as a simple surrogate for infectious potential.

202
203 We next examined whether there were any differences in self-reported symptoms
204 between vaccinated and unvaccinated individuals (using the 60 unvaccinated
205 individuals previously reported⁷) (**Fig. 1F**). A Poisson regression shows that those who
206 received at least one vaccine dose had significantly more days with no reported
207 symptoms than the unvaccinated ($p < 0.0001$). The mean proportion of study days with
208 no symptoms was 0.74 in the vaccinated group dose compared with 0.37 in the
209 unvaccinated group (range: 0 to 1 for both groups).

210
211 Finally, we examined the relationship between viral culture and antigen FIA results in
212 vaccinated (fully plus partially) and newly vaccinated individuals (**Fig 1G**). We observed
213 that vaccinated and newly vaccinated participants tested positive by antigen FIA on
214 78% and 85% of the days on which they also tested positive by viral culture, suggesting
215 that antigen FIA can be used to identify vaccine breakthrough infections with high
216 transmission risk, especially if used as part of a serial screening program⁹. These
217 results are consistent with our previous results in unvaccinated individuals as well as
218 earlier cross-sectional studies examining the relationship between antigen tests
219 positivity and infectious virus shedding^{7,10,11}.

220
221 This study has several limitations that must be considered. First, the study cohort size is
222 small, thus making it hard to draw firm quantitative conclusions. Second, our study
223 cohort is biased towards breakthrough infections that were detected in our on-campus
224 screening programs (saliva-based RTqPCR at UIUC, nasal swab-based LAMP assay at
225 NU). Finally, enrollment in this study concluded before the arrival of the Delta variant at
226 either study site. It remains unclear how well the effects of vaccination on viral infection
227 dynamics that we describe apply to Delta variant breakthrough infections, given the
228 unique features¹² and enhanced transmissibility¹³ of this variant relative to the viruses
229 we captured here.

230
231 Overall, our data suggest that vaccinated individuals are less likely to be shedding
232 infectious virus at a given viral genome load and shed for a shorter period of time
233 compared to unvaccinated and report fewer days of symptoms. We also show that
234 some breakthrough infections in fully vaccinated individuals may be tissue restricted
235 and only detectable through saliva screening. The clinical implications of
236 compartmentalization are that testing (RT-PCR or antigen) based on nasal swabs may
237 underestimate the true number of breakthrough infections, and that an important role of
238 vaccine-elicited immunity may be restricting viral dissemination and thus limiting
239 symptom severity and transmission potential. These data also further support a role for
240 the oral cavity or other saliva-associated tissue sites as an initial site for SARS-CoV-2
241 infection prior to dissemination and replication of the virus in nasal passages in some
242 individuals. Altogether, this study provides a set of high resolution data that ratify the
243 role of the current SARS-CoV-2 vaccines not only in reducing severity of the disease,
244 but also the infectiousness of individuals with breakthrough infections.

245 246 **METHODS**

247 This study was approved by the Western Institutional Review Board, and all participants
248 provided informed consent.

249 250 ***Participants***

251 University of Illinois at Urbana-Champaign (UIUC) enrollment site: All on-campus
252 students and employees of the University of Illinois at Urbana-Champaign were required
253 to submit saliva for RTqPCR testing every 2-4 days as part of the SHIELD campus
254 surveillance testing program¹⁴. Those testing positive were instructed to isolate and
255 were eligible to enroll in this study for a period of 24 hours following receipt of their

256 positive test result. Close contacts of individuals who test positive (particularly those co-
257 housed with them) were instructed to quarantine and were eligible to enroll for up to 5
258 days after their last known exposure to an infected individual. All participants were also
259 required to have received a negative saliva RTqPCR result 7 days prior to enrollment.
260

261 Northwestern University (NU) enrollment site: All NU on-campus students were
262 required to have nasal swab samples collected for LAMP testing once per week as part
263 of the campus surveillance program. Those testing positive were required to go in the
264 Health Service Quarantine and Isolation (QI) program for isolation. They were eligible
265 for enrollment in this study within 24 hours of going into isolation. Close contacts of
266 individuals who tested positive (particularly those co-housed with them) were also
267 entered in the NU QI program. They were instructed to quarantine and were eligible to
268 enroll in this study for up to 5 days after their last known exposure to an infected
269 individual. All participants were also required to have received a negative nasal swab
270 LAMP assay result 7 days prior to enrollment.
271

272 Individuals were recruited via either a link shared in an automated text message
273 providing isolation information sent within 30 minutes of a positive test result, a call from
274 a study recruiter, or a link shared by an enrolled study participant or included in
275 information provided to all quarantining close contacts. In addition, signs/flyers were
276 used at each testing location and a website was available to inform the community
277 about the study.
278

279 Participants were required to be at least 18 years of age, have a valid university ID,
280 speak English, have internet access, and live within 8 miles of the university campus.
281 After enrollment and consent, participants completed an initial survey to collect
282 information on demographics, vaccination status, prior infection history, and health
283 history and were provided with sample collection supplies. Participants who tested
284 positive prior to enrollment or during quarantine were followed for up to 14 days.
285 Quarantining participants who continued to test negative by saliva RTqPCR (UIUC) or
286 nasal swab RTqPCR (NU) were followed for up to 7 days after their last exposure. All
287 participants' data and survey responses were collected in the Eureka digital study
288 platform.
289

290 **Sample collection**

291 Each day, participants were remotely observed by trained study staff collecting:

- 292 1. 2 mL of saliva into a 50mL conical tube (UIUC study site only).
- 293 2. 1 nasal swab from a single nostril using a foam-tipped swab that was placed
294 within a dry collection tube.
- 295 3. 1 nasal swab from the other nostril using a flocked swab that was subsequently
296 placed in a collection vial containing viral transport media (VTM).
297

298 The order of nostrils (left vs. right) used for the two different swabs was randomized. For
299 nasal swabs, participants were instructed to insert the soft tip of the swab at least 1 cm
300 into the indicated nostril until they encountered mild resistance, rotate the swab around
301 the nostril 5 times, leaving it in place for 10-15 seconds. After daily sample collection,

302 participants completed a symptom survey. A courier collected all participant samples
303 within 1 hour of collection using a no-contact pickup protocol designed to minimize
304 courier exposure to infected participants.

306 ***Saliva RTqPCR***

307 After collection, saliva samples were stored at room temperature and RTqPCR was run
308 within 12 hours of initial collection. The protocol for the covidSHIELD direct saliva-to-
309 RTqPCR assay used has been detailed previously^{14,15}. In brief, saliva samples were
310 heated at 95°C for 30 minutes, followed by the addition of 2X Tris/Borate/EDTA buffer
311 (TBE) at a 1:1 ratio (final concentration 1X TBE) and Tween-20 to a final concentration
312 of 0.5%. Samples were assayed using the Thermo TaqPath COVID-19 Combo kit
313 assay.

315 ***Antigen testing***

316 Foam-tipped nasal swabs were placed in collection tubes, transported with cold packs,
317 and stored at 4°C overnight based on guidance from the manufacturer. The morning
318 after collection, swabs were run through the Sofia SARS antigen FIA on Sofia 2 devices
319 according to the manufacturer's protocol.

321 ***Nasal swab RTqPCR***

322 For UIUC cohort, collection tubes containing VTM and flocked nasal swabs were stored
323 at -80°C after collection and were subsequently shipped to Johns Hopkins University for
324 RTqPCR and virus culture testing. After thawing, VTM was aliquoted for RTqPCR and
325 infectivity assays. One ml of VTM from the nasal swab was assayed on the Abbott
326 Alinity per manufacturer's instructions in a College of American Pathologist and CLIA-
327 certified laboratory. Calibration curve for Alinity assay was determined using digital
328 droplet PCR (ddPCR) as previously described¹⁶.

330 ***Virus culture from nasal swabs***

331 Vero-TMPRSS2 cells were grown in complete medium (CM) consisting of DMEM with
332 10% fetal bovine serum (Gibco), 1 mM glutamine (Invitrogen), 1 mM sodium pyruvate
333 (Invitrogen), 100 U/ml of penicillin (Invitrogen), and 100 µg/ml of streptomycin
334 (Invitrogen)¹⁷. Viral infectivity was assessed on Vero-TMPRSS2 cells as previously
335 described using infection media (IM; identical to CM except the FBS is reduced to
336 2.5%)¹¹. When a cytopathic effect was visible in >50% of cells in a given well, the
337 supernatant was harvested. The presence of SARS-CoV-2 was confirmed through
338 RTqPCR as described previously by extracting RNA from the cell culture supernatant
339 using the Qiagen viral RNA isolation kit and performing RTqPCR using the N1 and N2
340 SARS-CoV-2-specific primers and probes in addition to primers and probes for human
341 RNaseP gene using synthetic RNA target sequences to establish a standard curve¹⁸.

343 ***Viral genome sequencing and analysis***

344 Viral RNA was extracted from 140 µL of heat inactivated (30 minutes at 95°C, as part of
345 protocol detailed in¹⁵) saliva samples using the QIAamp viral RNA mini kit (QIAGEN).
346 100ng of viral RNA was used to generate cDNA using the SuperScript IV first strand
347 synthesis kit (Invitrogen). Viral cDNA was then used to generate sequencing libraries

348 using the Swift SNAP Amplicon SARS CoV2 kit with additional coverage panel and
349 unique dual indexing (Swift Biosciences) which were sequenced on an Illumina
350 Novaseq SP lane. Data were run through the nf-core/viralrecon workflow (<https://nf-co.re/viralrecon/1.1.0>), using the Wuhan-Hu-1 reference genome (NCBI accession
351 NC_045512.2). Swift v2 primer sequences were trimmed prior to variant analysis from
352 iVar version 1.3.1 (<https://genomebiology.biomedcentral.com/articles/10.1186/s13059-018-1618-7>) retaining all calls with a minimum allele frequency of 0.01 and higher. Viral
353 lineages were called using the Pangolin tool (<https://github.com/cov-lineages/pangolin>)
354 version 2.4.2, pango version 1.2.6, and the 5/19/21 version of the pangoLEARN model.
355
356

357
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402

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