

# Loss of C9ORF72 impairs autophagy and synergizes with polyQ Ataxin-2 to induce motor neuron dysfunction and cell death

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## Abstract

An intronic expansion of GGGGCC repeats within the C9ORF72 gene is the most common genetic cause of amyotrophic lateral sclerosis and frontotemporal dementia (ALS-FTD). Ataxin-2 with intermediate length of polyglutamine expansions (Ataxin-2 Q30x) is a genetic modifier of the disease. Here, we found that C9ORF72 forms a complex with the WDR41 and SMCR8 proteins to act as a GDP/GTP exchange factor for RAB8a and RAB39b and to thereby control autophagic flux. Depletion of C9orf72 in neurons partly impairs autophagy and leads to accumulation of aggregates of TDP-43 and P62 proteins, which are histopathological hallmarks of ALS-FTD. SMCR8 is phosphorylated by TBK1 and depletion of TBK1 can be rescued by phosphomimetic mutants of SMCR8 or by constitutively active RAB39b, suggesting that TBK1, SMCR8, C9ORF72, and RAB39b belong to a common pathway regulating autophagy. While depletion of C9ORF72 only has a partial deleterious effect on neuron survival, it synergizes with Ataxin-2 Q30x toxicity to induce motor neuron dysfunction and neuronal cell death. These results indicate that partial loss of function of C9ORF72 is not deleterious by itself but synergizes with Ataxin-2 toxicity, suggesting a double-hit pathological mechanism in ALS-FTD.

**Keywords** C9ORF72; autophagy; neurodegeneration; ALS-FTD

**Subject Categories** Neuroscience

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## Introduction

Amyotrophic lateral sclerosis (ALS), a motor neuron degenerative disease, and frontotemporal dementia (FTD), a presenile dementia affecting frontal and temporal brain regions, share clinical, genetic, and pathological overlap and are now considered in some cases as manifestations of a similar disease continuum (Lomen-Hoerth *et al*, 2002; Ringholz *et al*, 2005; Neumann *et al*, 2006). A notion that is emphasized by the identification of expanded GGGGCC repeats within the first intron of the C9ORF72 gene as the most common inherited cause for both ALS and FTD (DeJesus-Hernandez *et al*, 2011; Renton *et al*, 2011; Gijselinck *et al*, 2012; Majounie *et al*, 2012).

Three non-exclusive mechanisms by which expanded GGGGCC repeats cause neuron degeneration have been proposed. First, sense and antisense transcripts containing expanded GGGGCC repeats accumulate in nuclear RNA aggregates, which recruit specific RNA-binding proteins, thereby potentially inhibiting their functions (Almeida *et al*, 2013; Donnelly *et al*, 2013; Lagier-Tourenne *et al*, 2013; Mizielinska *et al*, 2013). Various proteins have been reported to bind to GGGGCC RNA repeats, but their roles in pathogenesis are, yet, to be determined (Lee *et al*, 2013; Mori *et al*, 2013a; Cooper-Knock *et al*, 2014; Haeusler *et al*, 2014). The second potential mechanism for neurotoxicity of CCGGGG expansions is a form of non-canonical protein translation termed repeat-associated non-ATG (RAN) translation (Zu *et al*, 2013). Indeed, expanded GGGGCC repeats are RAN-translated in all six sense and antisense frames, resulting in expression of five different dipeptide repeats containing proteins (DPRs or DRPs, also named C9RANT), which form inclusions throughout the brain of patients with C9-ALS/FTD (Ash *et al*, 2013; Gendron *et al*, 2013; Mori *et al*, 2013b; Zu *et al*, 2013), as well as in mice expressing expanded CCGGGG repeats (Chew *et al*, 2015; O'Rourke *et al*, 2015; Peters *et al*, 2015). These DPRs were recently shown to be toxic in neuronal cell cultures and in *Drosophila* models through alteration of the nucleocytoplasmic transport (Kwon *et al*, 2014; May *et al*, 2014; Mizielinska *et al*, 2014; Wen

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et al, 2014; Zhang et al, 2014, 2015; Freibaum et al, 2015; Jovičić et al, 2015; Tao et al, 2015). Third, decreased expression of C9ORF72 mRNA expression levels in C9-ALS/FTD patients (DeJesus-Hernandez et al, 2011; Gijssels et al, 2012; Almeida et al, 2013; Waite et al, 2014; van Blitterswijk et al, 2015), as well as motor deficit caused by knockdown of C9orf72 in zebrafish (Ciura et al, 2013), suggests that haploinsufficiency of C9ORF72 may participate in neuronal degeneration. However, the absence of neuronal phenotypes in mouse depleted of C9orf72 expression in brain or in neurons (Lagier-Tourenne et al, 2013; Koppers et al, 2015), as well as the absence of ALS/FTD patients with null alleles or missense mutations in C9ORF72, strongly argues against a sole loss of function of C9ORF72 as the cause of ALS-FTD.

Despite these advances, little is known about the normal molecular and cellular functions of C9ORF72. Bioinformatics analysis predicts that C9ORF72 contains DENN (Differentially Expressed in Normal and Neoplastic cells) domains characteristic of Rab GDP/GTP exchange factors (GEFs) (Levine et al, 2013; Zhang et al, 2012). Rab GTPases are monomeric guanine nucleotide-binding proteins that switch between two conformational states, an inactive form bound to GDP and an active form bound to GTP. GEF proteins catalyze the conversion of Rab proteins from GDP-bound to GTP-bound form, thereby activating Rab functions. Rab GTPases regulate many steps of membrane traffic, including vesicle formation, vesicle movement, and membrane fusion. Consistent with a function of C9ORF72 as a GEF protein, C9ORF72 was reported to interact with Rab1, Rab5, Rab7, and Rab11 and its depletions leads to endocytosis and autophagy dysfunctions (Farg et al, 2014).

Macroautophagy, named autophagy thereafter, is a catabolic process that engulfs cytoplasmic constituents within a double-membrane vesicle named autophagosome, which is directed to the lysosome for degradation and recycling. Hence, autophagy plays an essential role in homeostasis by providing energy and recycling cellular components, but also by facilitating lysosomal degradation of intracellular pathogens, defective organelles, and aggregates of misfolded proteins. In that aspect, autophagy is crucial for normal brain function (Hara et al, 2006; Komatsu et al, 2006), and autophagy dysfunction has been reported in various neurodegenerative diseases, including Alzheimer's, Parkinson's, and Huntington's diseases, as well as ALS and FTD (review in Wong & Cuervo, 2010; Nixon, 2013).

A better comprehension of C9ORF72 functions is essential to understand its possible implication in ALS-FTD. Here, we found that C9ORF72 forms a complex with WDR41 and SMCR8, a DENN protein previously identified within the interactome of autophagy (Behrends et al, 2010). We found that the complex formed by C9ORF72, SMCR8, and WDR41 interacts and acts as a GDP/GTP exchange factor for RAB8a and RAB39b, which are Rab GTPases involved in vesicle trafficking and autophagy (Pilli et al, 2012; Seto et al, 2013; Sato et al, 2014).

Next, we found that decreased expression of C9ORF72 partly inhibits autophagy and leads to accumulation of cytoplasmic aggregates of P62/SQSTM1 and of TDP-43, thus recapitulating two histopathological hallmarks of ALS-FTD patients (Neumann et al, 2006; Al-Sarraj et al, 2011). Also, SMCR8, but not C9ORF72 or WDR41, is phosphorylated by ULK1 or TBK1, which are kinases regulating autophagy (Chan et al, 2007; Hara et al, 2008; Thurston et al, 2009; Wild et al, 2011; Pilli et al, 2012; Matsumoto et al,

2015; Heo et al, 2015; Lazarou et al, 2015). Importantly, either a mutant of SMCR8 mimicking a constitutive TBK1-dependent phosphorylation or a constitutively active mutant of RAB39b that does not require GEF activity can correct alteration of autophagy caused by depletion of TBK1 or C9ORF72. These results suggest that TBK1, C9ORF72 complex, and RAB39b belong to a common pathway regulating autophagy in neurons.

Finally, we found that decreased expression of C9ORF72 potentiates the aggregation and toxicity of Ataxin-2 with intermediate length of polyglutamine expansions (Ataxin-2 Q30x) but not of Ataxin-2 with normal polyQ length (Ataxin-2 Q22x). This is relevant as intermediate size of polyglutamine expansions in Ataxin-2 is a genetic modifier of ALS-FTD (Elden et al, 2010; Daoud et al, 2011; Ross et al, 2011; Van Damme et al, 2011; Lattante et al, 2014).

In conclusion, these results provide a molecular and cellular function for C9ORF72 as a GEF protein regulating autophagy, but also support a double-hit mechanism in ALS-FTD, where the sole haploinsufficiency of C9ORF72 might not be sufficient to cause neuronal cell death but may synergize the neurodegeneration caused by accumulation of toxic proteins, including Ataxin-2 with intermediate polyQ length.

## Results

### C9ORF72 forms a complex with the WDR41 and SMCR8 proteins

To better characterize the function of C9ORF72, we performed a proteomic analysis to identify potential interactants of C9ORF72. Of technical interest, transfection of Flag-tagged human C9ORF72 cDNA resulted in low expression of C9ORF72 protein. Bioinformatics analysis indicated that mRNA sequences of human or mouse C9ORF72 present an excess of rare codons and negative RNA *cis* element that would impair expression of C9ORF72. Thus, we cloned an optimized sequence of human C9ORF72 cDNA expressing the exact same amino acid sequence, but in which codon usage was optimized and negative RNA *cis* elements were removed. Neuronal N2A mouse cells were transfected with HA-Flag-tagged optimized C9ORF72 and tandem-tag purification followed by nano-LC-MS/MS analysis identified various proteins, including Rab8a, Rab39b, Smcr8, Wdr41, P62, Hsc70, Hsp90, and Bag3 (Fig 1A and Table EV1). Bioinformatics analysis of this putative C9ORF72 interactome using the DAVID database (NIAID, NIH) predicted a potential association with ALS disease ( $P$ -value of  $4.3 \times 10^{-2}$ ), and analysis of KEGG, GO, and Reactome biological pathways using Gene Set Enrichment Analysis (GSEA, Broad institute) revealed significant enrichment for adaptive immune system (FDR  $q$ -value,  $2.6 \times 10^{-6}$ ), activation of NF- $\kappa$ B (FDR  $q$ -value,  $9.8 \times 10^{-5}$ ), formation of phagosome and autophagosome (FDR  $q$ -values of  $8.8 \times 10^{-5}$  and  $1.4 \times 10^{-4}$ , respectively), vesicle-mediated transport (FDR  $q$ -value,  $6.7 \times 10^{-3}$ ), and proteasome (FDR  $q$ -value,  $6.3 \times 10^{-3}$ ).

Western blotting on tandem-tag-purified proteins confirmed association of endogenous Rab8a, Rab39b, Smcr8, Wdr41, P62, Hsc70, and Bag3 with transfected HA-Flag-tagged C9ORF72 (Fig EV1A). RAB8 and RAB39 are Rab GTPases involved in vesicle trafficking and autophagy (Pilli et al, 2012; Seto et al, 2013; Sato et al, 2014). WDR41 is a 52-kDa protein of unknown function that contains a protein-protein interaction domain consisting of six WD40 repeats.

**Figure 1. C9ORF72 in complex with SMCR8 and WDR41 is a GEF for RAB8 and RAB39.**

- A Silver staining of proteins extracted from N2A mouse neuronal cells expressing Flag-HA-tagged C9ORF72 and captured through consecutive anti-Flag and anti-HA affinity purification steps.
- B Immunoblot analysis of HA-immunoprecipitated proteins and lysate of HEK293 cells co-expressing HA-tagged C9ORF72 and/or Flag-tagged SMCR8 and/or Flag-tagged WDR41.
- C Coomassie blue staining of Nickel-NTA affinity purification of HIS-C9ORF72, SMCR8, and WDR41 co-expressed in baculovirus-infected insect cells.
- D Immunoblot analysis of HA-immunoprecipitated proteins and lysate of HEK293 cells co-expressing HA-tagged C9ORF72 and HA-tagged SMCR8 with various Flag-tagged Rab GTPases.
- E Immunoblot against endogenous C9orf72, Wdr41, Rab8a, Rab39b, Rab5, and Rab7 of control (IgG alone) or endogenous Smcr8 immunoprecipitated from adult mouse brain.
- F  $\alpha$ -<sup>32</sup>P-radiolabelled GDP release from GST-tagged purified RAB8a as a function of increased concentration of either recombinant purified C9ORF72 alone or in complex with SMCR8 and WDR41.
- G Identical GDP release assay as in (F) but using recombinant purified GST-tagged RAB39b instead of RAB8a.
- H Schematic representation of the C9ORF72 complex acting as a Rab-guanine nucleotide exchange factor.

Data information: Error bars indicate SEM. Experiments were repeated 3 times ( $n = 3$ ).

Source data are available online for this figure.

Smith–Magenis syndrome chromosome region candidate 8 (SMCR8) is a 105-kDa protein that, similar to C9ORF72, contains a divergent Differentially Expressed in Normal and Neoplasia (DENN) domain (Zhang *et al*, 2012; Levine *et al*, 2013). Interestingly, SMCR8 has been found to interact with the ULK1 kinase complex, which initiates autophagy (Behrends *et al*, 2010). P62, encoded by the *SQSTM1* gene, binds to poly-ubiquitinated proteins and targets these proteins for autophagy degradation. Finally, HSC70, encoded by the *HSPA8* gene, is a constitutively expressed heat-shock protein that among various functions interacts with BAG3 (BCL2-associated athanogene 3) and the ubiquitin ligase STUB1 (also known as CHIP) to initiate aggrephagy, also named chaperone-assisted selective autophagy (CASA), which is the selective autophagy of chaperone-bound misfolded or aggregated proteins (Gamerding *et al*, 2009; Arndt *et al*, 2010). In contrast, we found no interaction of C9ORF72 with other putative candidates identified by proteomic analysis, such as Senataxin, TDP-43, IQGAP, and the proteasome proteins PSMD4, PSMD8, PSMD10, while some other proteins were not tested (DCTN, NSF, SNX, STX17, VPS16, SEC22, etc.).

Next, we confirmed by cell transfection and co-immunoprecipitation experiments that HA-tagged C9ORF72 interacts with Flag-tagged SMCR8 and that the presence of both SMCR8 and C9ORF72 is required to recruit Flag-tagged WDR41 (Fig 1B). The association of C9ORF72 with SMCR8 and WDR41 is direct since HIS-tagged C9ORF72 was able to pull down both recombinant SMCR8 and WDR41 expressed in baculovirus-infected insect cells (Fig 1C). Of technical interest, we noted that the interaction of C9ORF72 with SMCR8 stabilizes and increases expression of both C9ORF72 and SMCR8. *C9ORF72* also encodes a putative shorter splicing form of ~30 kDa, but co-immunoprecipitation assays demonstrated that this putative short C9ORF72 isoform does not interact with SMCR8 or WDR41 (Fig EV1B). Co-immunoprecipitation experiments also indicated that C9ORF72 and SMCR8 bind to HSC70, but not to BAG3 (Fig EV1C), suggesting that BAG3 recruitment is not direct but mediated by HSC70. Whether C9ORF72 is a client or a regulator of the HSC70 and BAG3 pathway remains to be determined.

#### **C9ORF72 in complex with SMCR8 and WDR41 interacts with RAB8a and RAB39b**

Proteomic analysis revealed association of C9ORF72 with Rab8a and Rab39b (Fig EV1A). However, C9ORF72 was reported to interact

with Rab1, Rab5, Rab7, and Rab11 (Farg *et al*, 2014). Since our proteomic analysis was performed using Neuro-2A cell lysate that may incompletely represent the proteomic complexity of a tissue, we tested the association of C9ORF72 with various other Rab GTPases. Among the Rab proteins tested, we confirmed that the C9ORF72 complex interacts with RAB8a and weakly with RAB39b, as well as, but in a lesser extent, with their paralogs RAB8b and RAB39a (Fig 1D). Of interest, RAB8 is involved in a *Drosophila* model of FTD (West *et al*, 2015) and interacts with OPTN and TBK1 that are mutated in ALS (Maruyama *et al*, 2010; Cirulli *et al*, 2015; Freischmidt *et al*, 2015). Also, mutations in the X-linked *RAB39b* gene lead to intellectual disability associated with autism, epilepsy, and early-onset parkinsonism (Giannandrea *et al*, 2010; Wilson *et al*, 2014). Among other Rab tested, the C9ORF72 complex presents also some weak interaction with RAB6a, RAB12, RAB25, RAB33a, and RAB38 (Fig 1D). In contrast, C9ORF72 alone or in complex with SMCR8 present no or very little interaction with RAB1, RAB5, RAB7, and RAB11 (Fig 1D). Similarly, we found no interaction of RAGA/D or RALB GTPases with C9ORF72 alone or in complex with SMCR8. Of technical interest, co-transfection of WDR41 abolished expression of various Rab cDNAs; thus, co-immunoprecipitations were performed with the C9ORF72 and SMCR8 proteins (Fig 1D). Interactions between RAB8a or RAB39b and the C9ORF72, SMCR8, and WDR41 complex were not inhibited by mutations locking Rab proteins in a constitutive GDP-bound inactive or a constitutively GTP-bound active form. Further co-immunoprecipitation assays indicated that the interaction between C9ORF72 complex and RAB8a or RAB39b is mainly dependent on the presence of SMCR8 since C9ORF72 or WDR41 alone immunoprecipitated little RAB8a or no RAB39b (Fig EV1D). In that aspect, SMCR8 expressed alone immunoprecipitated RAB8a and RAB39b but also some other Rab GTPases, including RAB24, RAB32, and RAB7L1, which is also known as RAB29 (Fig EV1E). These interactions were lost when SMCR8 was in complex with C9ORF72, suggesting that the specificity of SMCR8 toward Rab GTPase proteins may change according to the SMCR8 partners.

Since these experiments were performed in transfected cells, we next tested whether the endogenous C9ORF72 complex may immunoprecipitate endogenous RAB8a and RAB39b. Taking advantage of the recombinant HIS-tagged C9ORF72, SMCR8, and WDR41 complex purified from baculovirus-infected insect cells, we immunized mice, but failed to obtain antibodies specific to C9ORF72

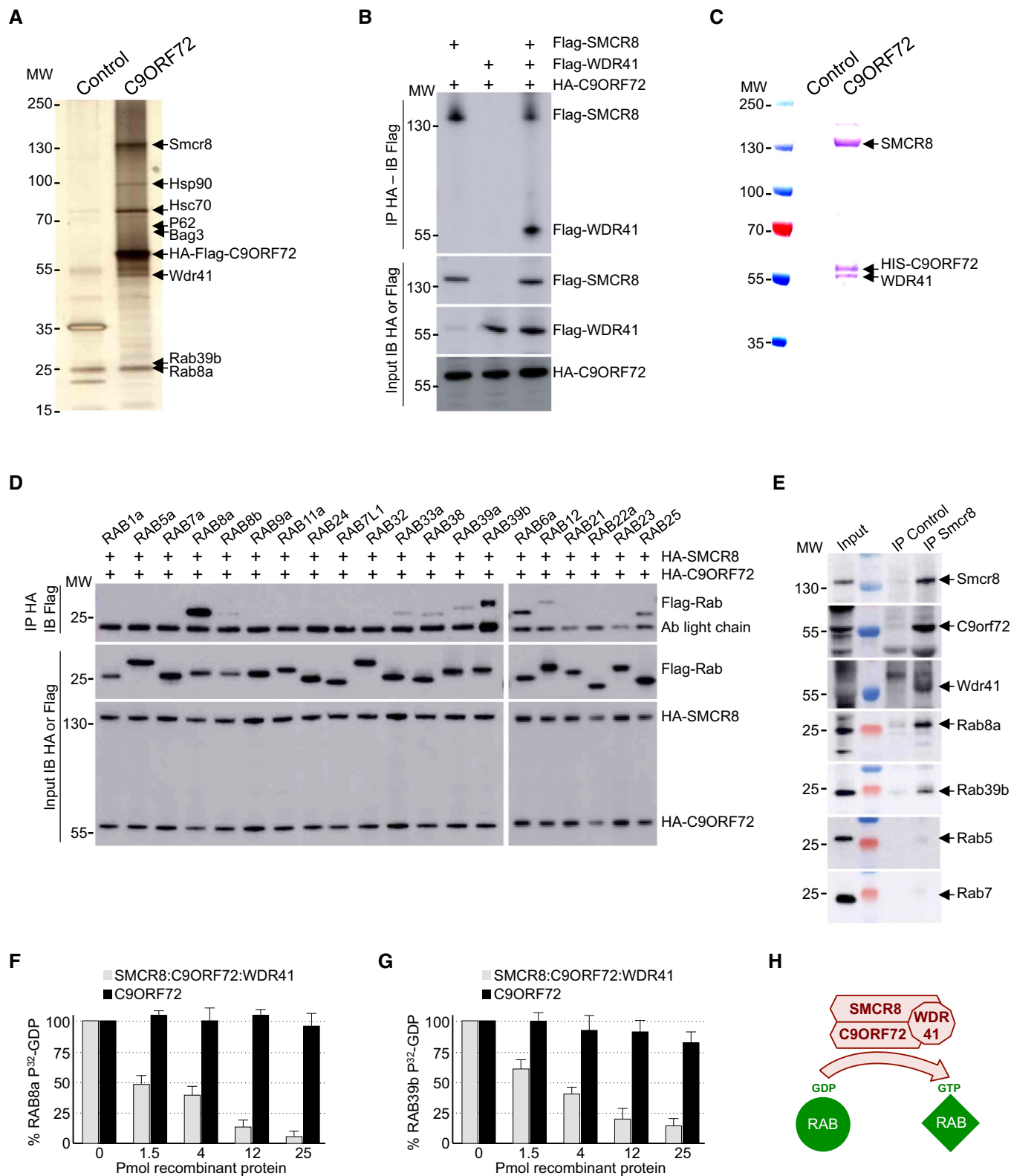


Figure 1.

despite many attempts. In contrast, we successfully developed a monoclonal antibody (1D2) against SMCR8. Immunoblotting indicated that Smcr8, Rab8a, and Rab39b are all expressed in mouse

brain as well as in cultures of primary E18 cortical mouse neurons (Fig EV1F). We were not able to test expression of endogenous C9orf72 and Wdr41 due to the poor quality of the commercial

antibodies tested, but expression of C9orf72 in mouse brain had been reported previously (Suzuki *et al*, 2013). Importantly, immunoprecipitation of endogenous Smcr8 from total mouse brain lysate successfully pulled down Smcr8, but also endogenous C9orf72 and Wdr41, as well as Rab8a and Rab39b (Fig 1E). In contrast, we did not detect endogenous Rab5 or Rab7 in Smcr8 immunoprecipitation (Fig 1E), thus confirming our transfection experiments.

### C9ORF72 in complex with SMCR8 and WDR41 is a GEF for Rab GTPase

Both C9ORF72 and SMCR8 contain DENN domains characteristic of Rab-guanine nucleotide exchange factors (Zhang *et al*, 2012; Levine *et al*, 2013). Thus, we tested whether the complex composed of C9ORF72, SMCR8, and WDR41 presents any GEF activity. Purified recombinant GST-tagged RAB8a was preloaded with <sup>32</sup>P-labeled GDP, and nucleotide release was monitored in the presence of increasing amount of C9ORF72 recombinant complex purified from baculovirus-infected insect cells. The complex formed by C9ORF72, SMCR8, and WDR41 stimulated GDP release since more than 90% of 40 pmol of RAB8a released its associated GDP in the presence of 25 pmol of C9ORF72, SMCR8, and WDR41 complex (Fig 1F). In contrast, addition of recombinant purified C9ORF72 alone had no or very little effect, suggesting that C9ORF72 is active only when in complex with SMCR8 (Fig 1F). As the C9ORF72 complex also interacts with RAB39b, we tested its GEF activity and found that, similar to RAB8a, the complex composed of C9ORF72, SMCR8, and WDR41 activated GDP release from recombinant purified GST-RAB39b (Fig 1G). In contrast, addition of recombinant purified C9ORF72 alone had no or little effect (Fig 1G). As control, the complex formed by C9ORF72, SMCR8, and WDR41, which does not interact with RAB32 or RAB29, presented no or very little GEF activity toward these Rab GTPases (Fig EV1G). Overall, these results demonstrate that C9ORF72 forms a specific complex with SMCR8 and WDR41, which acts as a GEF effector for at least RAB8a and RAB39b (Fig 1H).

### RAB8a and RAB39b interact with the autophagy receptors P62

Proteomic analysis identified that C9ORF72 potentially interacts with P62, which is encoded by the *SQSTM1* gene and targets polyubiquitinated proteins for autophagy degradation (Table EV1). Co-immunoprecipitation experiments confirmed that the complex formed by C9ORF72, SMCR8, and WDR41 interacts weakly with

P62, but also with OPTN that is alike P62, an autophagy receptor. Further co-immunoprecipitation assays pinpointed that among the proteins of the C9ORF72 complex, P62 mainly interacts with WDR41 and SMCR8 (Fig EV2A), while OPTN mainly interacts with WDR41 (Fig EV2B). Of interest, mutations in *SQSTM1* or *OPTN* cause ALS (Maruyama *et al*, 2010; Fecto *et al*, 2011), and *OPTN* is a potential modifier gene for FTD (Pottier *et al*, 2015). We noted that the quantities of P62 or OPTN immunoprecipitated by the C9ORF72 complex were low, suggesting a potential indirect association. Since OPTN interacts with RAB8 (Hattula & Peränen, 2000; Pilli *et al*, 2012), we tested whether interaction of the C9ORF72 complex with P62 would be mediated by intermediate proteins, namely RAB8 or RAB39. Indeed, both HA-tagged RAB8a and RAB39b readily immunoprecipitated Flag-tagged P62 (Fig EV2C).

### Decreased expression of C9ORF72 alters autophagy

C9ORF72 is interacting with various proteins connected to autophagy (Table EV1; Behrends *et al*, 2010; Farg *et al*, 2014). Thus, we tested whether depletion of *C9ORF72* expression modifies autophagy in neuronal cells. First, we evaluated the formation of vesicles containing LC3B, a protein encoded by the *MAP1LC3B* gene, which is specifically lipidated and localized to autophagic vesicles. Expression of double-tagged GFP-RFP-LC3B in primary cultures of embryonic mouse cortical neurons shows diffuse cytoplasmic localization and rare punctuate structures. In contrast, treatment with torin, which inhibits the autophagic-inhibitory mTOR pathway, induces formation of LC3B puncta (Fig 2A). Importantly, shRNA-mediated depletion of *C9orf72* abolishes this activation of autophagy (Fig 2A). GFP-RFP-LC3B expression in primary neuronal cultures revealed no differences in the GFP/RFP ratio. This ratio reflects the rate of formation of autophagosome relative to their fusion to lysosome, suggesting that C9ORF72 acts on the formation of autophagosome rather than on their degradation by the lysosome. Similar inhibitory effect of *C9orf72* siRNA on autophagy activation was observed with primary neuronal cultures treated with rapamycin instead of torin, or when GT1-7 cells, a transformed murine neuronal cell line expressing similar level of *C9orf72* compared to primary neuronal cell cultures, were tested (Fig EV2D). Next, we confirmed these results by investigating the ratio of lipidated LC3B. Western blotting analysis revealed that the level of phosphatidylethanolamine-linked LC3B-II was slightly decreased in primary cultures of embryonic mouse cortical neurons transduced with lentivirus expressing shRNAs against *C9orf72* in basal condition (Fig 2B). This inhibitory

#### Figure 2. Reduced expression of C9ORF72 partly impairs autophagy.

- A Left panel, representative images of organotypic cultures of E18 mouse cortical neurons transfected with GFP-RFP-LC3B and transduced with lentivirus expressing either control shRNA or shRNA targeting *C9orf72* mRNA and treated or not with Torin. Right panel, quantification of LC3B puncta.
- B Upper panel, immunoblot analysis of endogenous LC3B (Map1lc3b), *C9orf72*, and control actin of E18 mouse cortical neurons transduced with lentivirus expressing either control shRNA or shRNA targeting *C9orf72* mRNA and treated or not with Torin. Lower panel, real-time RT-qPCR quantification of endogenous *C9orf72* mRNA expression relative to *Rplp0* mRNA.
- C Left panel, representative images of immunofluorescence labeling of endogenous P62 (Sqstm1) on organotypic cultures of E18 mouse cortical neurons transduced with lentiviral particles expressing either control shRNA or shRNA targeting *C9orf72*. Right panel, quantification of P62 aggregates.
- D Left panel, representative images of immunofluorescence labeling of transfected constructs (green) and endogenous P62 (Sqstm1, red) on GT1-7 neuronal cells transfected with siRNA targeting *C9orf72* and plasmids expressing control GFP, and HA-tagged wild-type or mutant RAB39b (CA, Q68L or CN, S22N), RAB8a, or RAB7. Right panel, quantification of P62 aggregates.

Data information: Scale bars, 10  $\mu$ m. Nuclei were counterstained with DAPI. Error bars indicate SEM. Student's *t*-test, \**P* < 0.05, \*\*\**P* < 0.001, *n* = 3. Source data are available online for this figure.

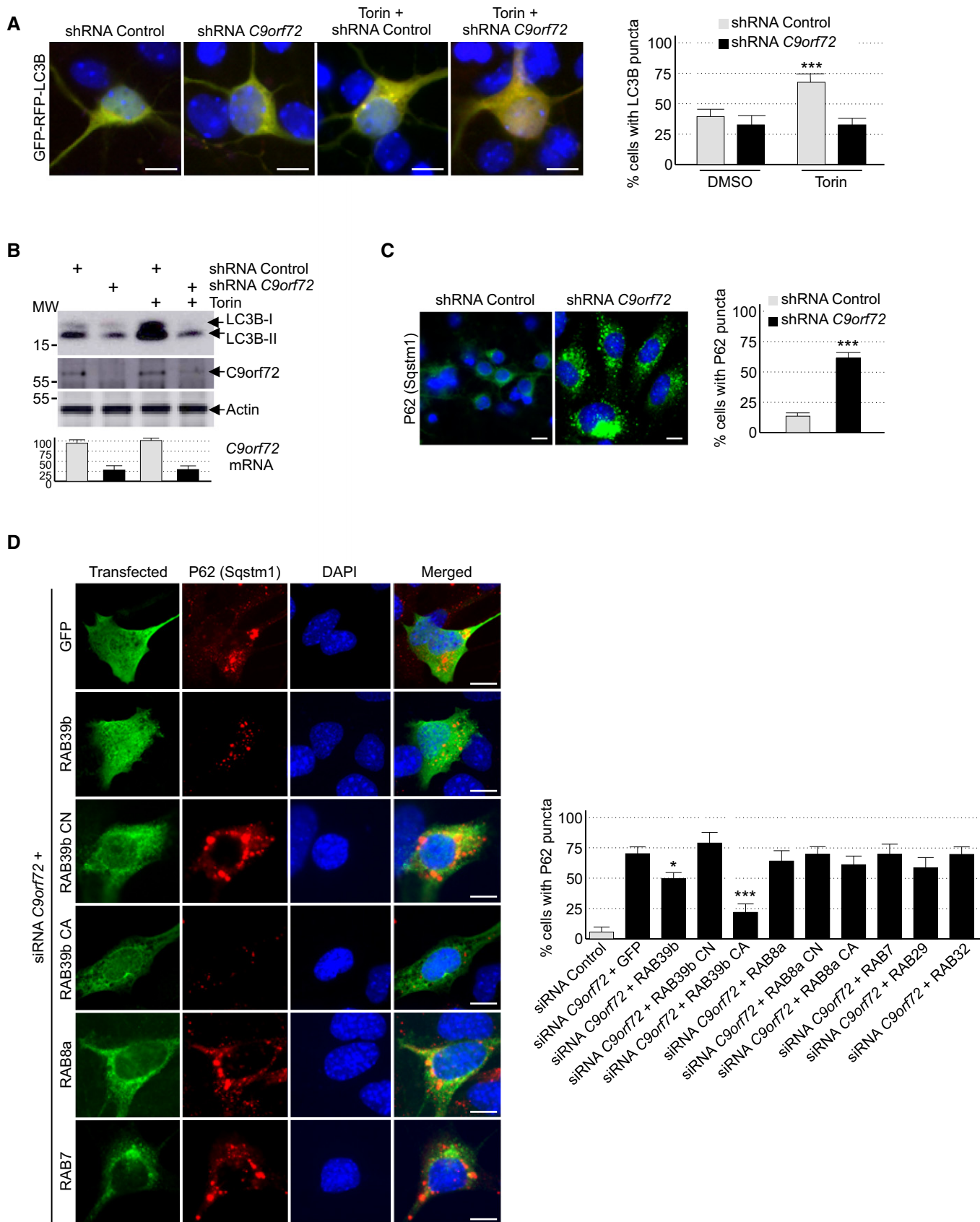


Figure 2.

effect was much more evident in torin-treated neurons since activation of the lipidation of LC3B was abolished by shRNA-mediated depletion of C9orf72 (Fig 2B). Identical results were observed in neurons treated with rapamycin instead of torin, or in GT1-7 neuronal cells (Fig EV2E). Depletion of at least 50% of C9orf72 expression was confirmed by Western blotting and, due to the poor quality of the commercial antibodies used, further confirmed by RT-qPCR (Figs 2B and EV2E). Also, we found that decreased expression of C9orf72 reduced but not totally abolished accumulation of LC3B-II in neuronal cells treated with bafilomycin A1, an inhibitor of autophagosome degradation (Fig EV2E). Overall, these data suggest that depletion of C9ORF72 has a partial deleterious effect on autophagy.

Autophagy is a crucial mechanism to clear misfolded or aggregated proteins. Thus, we tested whether depletion of C9ORF72 had any effect on the degradation of protein aggregate. The P62 protein, which is encoded by the *SQSTM1* gene, bridges aggregates of poly-ubiquitinated proteins to LC3B, thereby targeting these proteins toward autophagy. Furthermore, P62-positive aggregates are a histological hallmark of ALS-FTD patients with expansion of GGGGCC repeats in *C9ORF72* (Al-Sarraj et al, 2011). Immunofluorescence labeling of P62 indicated an accumulation of unresolved P62 aggregates upon depletion of *C9orf72* in primary cultures of embryonic mouse cortical neurons (Fig 2C). Identical results were obtained for GT1-7 neuronal cells or when partners of C9orf72, namely Smcr8 and Wdr41, were siRNA-depleted (Fig EV2F). As control, expression of optimized HA-tagged C9ORF72, which has a nucleotide sequence resistant to the siRNAs targeting endogenous mouse *C9orf72* mRNA, fully rescued autophagy dysfunction caused by siRNA-mediated depletion of C9orf72 (Fig EV2G). Of interest, while the long isoform of C9ORF72 rescued autophagy dysfunction, the short form of C9ORF72 was inactive in that respect (Fig EV2G). These results suggest that the short isoform of C9ORF72 is either a null variant or possesses a cellular function unrelated to autophagy.

### RAB39b corrects autophagy dysfunction caused by depletion of C9ORF72

Depletion of C9ORF72 alters autophagy, and C9ORF72 in complex with SMCR8 interacts and promotes GDP/GTP exchange of RAB8a and RAB39b, which are two Rab GTPases involved in autophagy

(Pilli et al, 2012; Seto et al, 2013). This questions the relevance of the interaction of C9ORF72 with RAB8a or RAB39b, notably to control autophagy. Importantly, expression of a constitutively active (Q68L, mutant CA) form of RAB39b, which is locked in its GTP conformation and consequently does not require any GEF activity, fully corrected autophagy alteration caused by a decreased expression of C9orf72 (Fig 2D). Expression of wild-type HA-tagged RAB39b had only a partial effect on autophagy rescue, while a GDP-locked constitutively negative (S22N, mutant CN) RAB39b did not rescue autophagy alterations caused by siRNA-mediated depletion of C9orf72. As control of RAB39b specificity, expression of RAB7, RAB29, RAB32, or wild-type, constitutively active or inactive RAB8A did not rescue autophagy alteration caused by C9orf72 depletion (Fig 2D). These results suggest that the GEF activity of the C9ORF72 complex toward RAB39b is important to control autophagy in neuronal cells.

### TBK1 phosphorylates SMCR8

Tandem-tag purification of C9ORF72 identified a putative weak interaction with TANK-Binding Kinase 1 (TBK1) (Table EV1). Of interest, mutations in *TBK1* lead to ALS (Cirulli et al, 2015; Freischmidt et al, 2015), and RAB8, which interacts with the C9ORF72 complex, is involved in the autophagic elimination of intracellular pathogens through the kinase TBK1 (Pilli et al, 2012). Thus, we tested whether the C9ORF72 complex would interact with the TBK1 kinase complex. Co-immunoprecipitation experiments indicated that the complex formed by C9ORF72 and SMCR8 did not bind to TBK1 directly, but interacted with all three TBK1 adaptor proteins (Fig 3A), namely TANK, SINTBAD (*TBKBP1*), and NAP1 (*AZI2*). These adaptor proteins are essential to direct TBK1 toward specific cellular compartments and functions, notably autophagy (Goncalves et al, 2011). These interactions question whether TBK1 phosphorylates C9ORF72. *In vitro* kinase assay demonstrated that TBK1 phosphorylates SMCR8, but not C9ORF72 or WDR41 (Fig 3B). We repeated that experiment using purified TBK1 overexpressed from HEK293 cells and recombinant HIS-C9ORF72, SMCR8, and WDR41 complex purified from baculovirus-infected insect cells. Mass spectrometry analysis identified SMCR8 serine 402 and threonine 796 as TBK1 phosphorylation sites (Fig 3C). Consistent with the consensus motif identified in other substrates of TBK1 (Ma et al, 2012), both SMCR8 serine 402 and threonine 796 are followed by a leucine.

### Figure 3. SMCR8 is phosphorylated by TBK1.

- Immunoblot analysis of HA-immunoprecipitated proteins and lysate of HEK293 cells co-expressing HA-tagged C9ORF72 and HA-tagged SMCR8 with Flag-tagged TBK1, NAP1, TANK, or SINTBAD.
- Immunoprecipitated HA-tagged C9ORF72, HA-tagged SMCR8, and HA-tagged WDR41 expressed in HEK293 were subjected to *in vitro* TBK1 kinase assay in the presence of  $\gamma$ -<sup>32</sup>P-radiolabelled ATP. Proteins were separated by SDS-PAGE migration and phosphorylation was detected by autoradiography (upper panel), while expression was detected by Western blotting (lower panel).
- Mass spectrometry identification of SMCR8 phosphorylation sites by *in vitro* TBK1 kinase assay of HIS-tagged C9ORF72:SMCR8:WDR41 complex purified from baculovirus-infected insect cells.
- Upper panel, representative images of immunofluorescence labeling of transfected constructs (green) and endogenous P62 (Sqstm1, red) on GT1-7 neuronal cells transfected with siRNA targeting the 3'UTR of *Smcr8* mRNA and plasmids expressing control GFP and HA-tagged wild-type or mutant SMCR8 (TA, S402A and T796A; TD, S402D and T796D; UD, S400D, S492D, S562D, and T666D). Lower panel, quantification of P62 aggregates.
- Upper panel, representative images of immunofluorescence labeling of transfected constructs (green) and endogenous P62 (Sqstm1, red) on GT1-7 neuronal cells transfected with siRNA targeting *Tbk1* and plasmids expressing control GFP and HA-tagged wild-type or mutant SMCR8 or RAB39b. Lower panel, quantification of P62 aggregates.

Data information: Scale bars, 10  $\mu$ m. Nuclei were counterstained with DAPI. Error bars indicate SEM. Student's *t*-test, \**P* < 0.05, \*\*\**P* < 0.001, *n* = 3. Source data are available online for this figure.

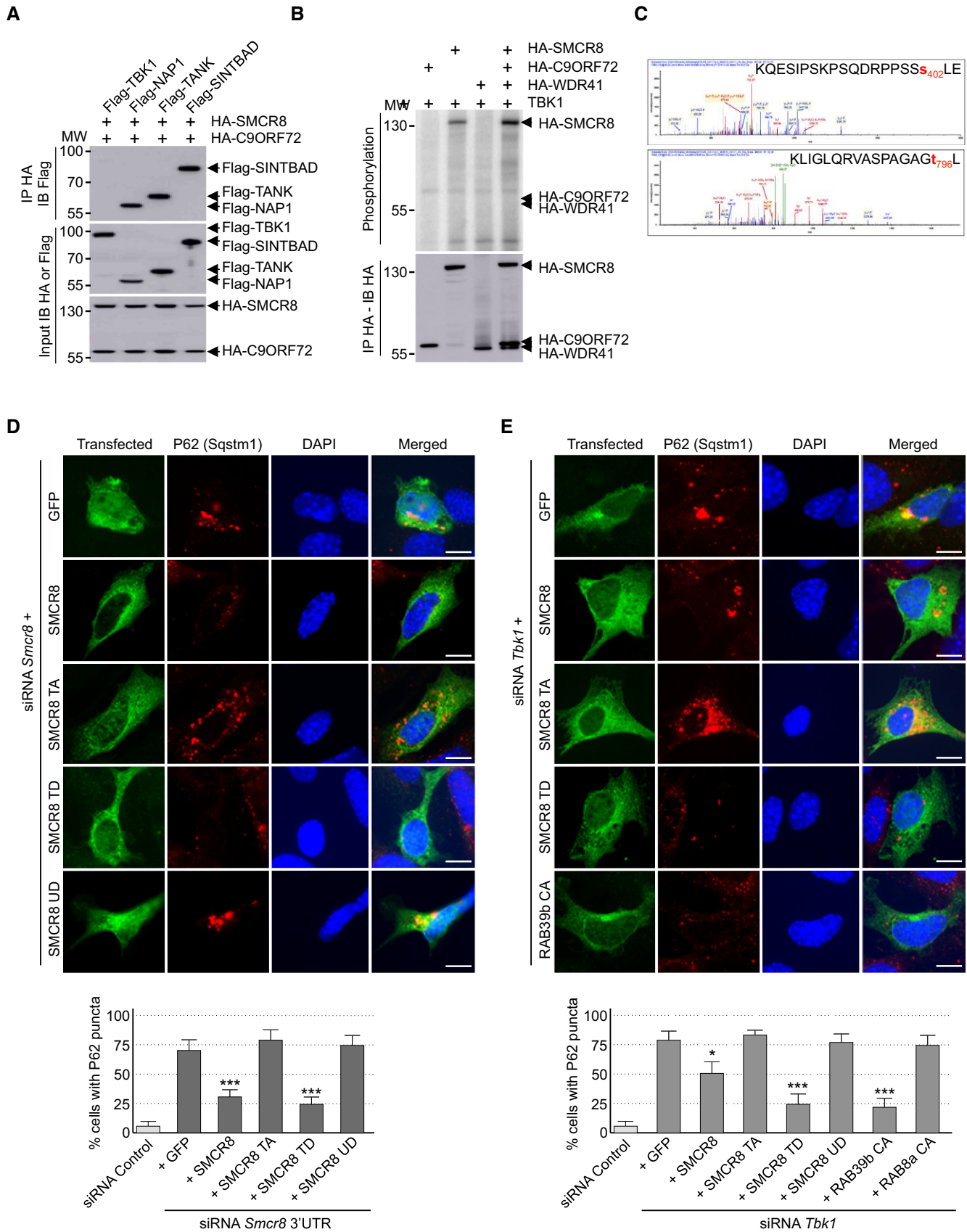


Figure 3.



### TBK1 phosphorylation of SMCR8 is important for autophagy

We constructed TBK1 phospho-dead (S402A, T796A) and phosphomimetic (S402D, T796D) mutants of SMCR8 and noted that these mutants were normally localized and expressed in transfected neuronal cells. Also, phospho-dead and phosphomimetic mutants of SMCR8 immunoprecipitated C9ORF72 and WDR41 as well as wild-type SMCR8, suggesting that mutation of SMCR8 S402 and T796 did not alter expression, stability, structure, and ability of SMCR8 to form a complex. Next, we tested whether SMCR8 phosphorylation was important for its cellular function. Depletion of *Smcr8* altered autophagy as illustrated by accumulation of P62 aggregates in neuronal GT1-7 cells transfected with a siRNA targeting the 3'UTR of *Smcr8* mRNA (Fig 3D). Depletion of endogenous *Smcr8* expression was confirmed by immunoblotting (Fig EV3A). As control, co-transfection of a siRNA-resistant HA-tagged SMCR8 cDNA corrected autophagy dysfunction due to reduced expression of *Smcr8* (Fig 3D). Importantly, expression of HA-tagged S402D and T796D double mutant of SMCR8 (mutant TD), which mimics a constitutive TBK1 phosphorylation of SMCR8, also corrected autophagy dysfunction caused by siRNA-mediated depletion of *Smcr8* (Fig 3D). In contrast, a phospho-dead (S402A, T796A; mutant TA) SMCR8 was unable to rescue autophagy alteration (Fig 3D), demonstrating importance of SMCR8 phosphorylation for its function. As a further control, a phosphomimetic mutant of SMCR8 unrelated to TBK1 (SMCR8 S400D, S492D, S562D, T666D; mutant UD) did not correct autophagy alteration caused by siRNA-mediated depletion of *Smcr8* (Fig 3D).

We then investigated whether SMCR8 phosphorylation was important for TBK1 cellular function. siRNA-mediated depletion of *Tbk1* promoted accumulation of P62 aggregates in neuronal GT1-7 cells (Fig 3E). Decreased expression of *Tbk1* was confirmed by immunoblotting (Fig EV3B). Of interest, expression of wild-type HA-tagged SMCR8 rescued only partially the dysfunction of autophagy caused by *Tbk1* depletion (Fig 3E). In contrast, transfection of the S402D and T796D mutants of SMCR8 (mutant TD), which mimics a constitutive TBK1 phosphorylation, fully corrected autophagy alteration caused by *Tbk1* depletion (Fig 3E). As controls, a phospho-dead (S402A, T796A; mutant TA) as well as a phosphomimetic mutant of SMCR8 unrelated to TBK1 (SMCR8 S400D, S492D, S562D, T666D; mutant UD) was unable to rescue autophagy alteration caused by siRNA-mediated depletion of *Tbk1* (Fig 3E). Importantly, depletion of *Tbk1* was also corrected by expression of constitutively active GTP-locked HA-tagged RAB39b, but not by constitutively active RAB8A (Fig 3E). Overall, these results suggest that phosphorylation of SMCR8 by TBK1 is important to control autophagy, but also that TBK1, C9ORF72 complex, and RAB39b belong to a common pathway regulating autophagy in neuronal cells.

### ULK1 phosphorylates SMCR8

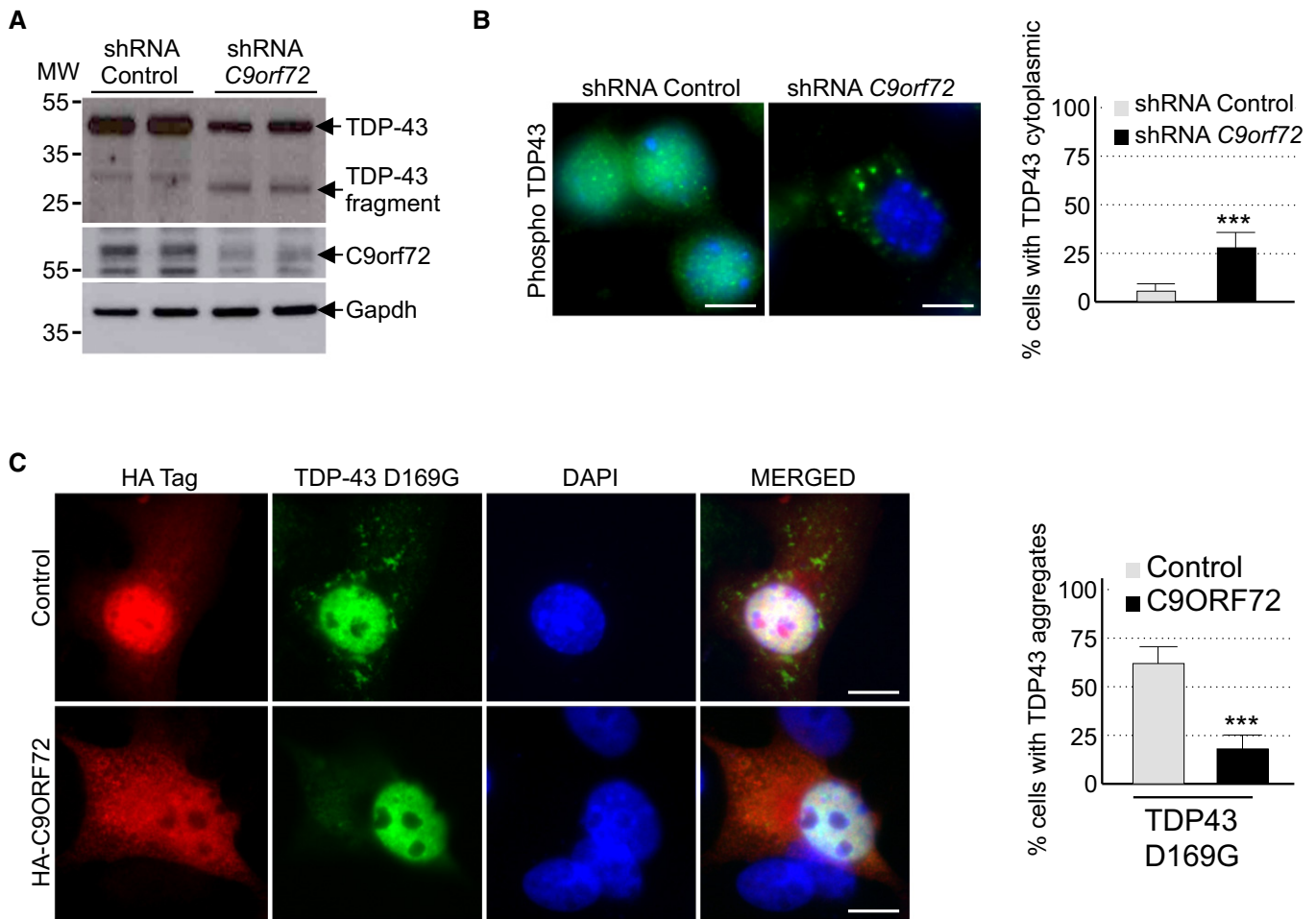
We noted that SMCR8 was initially identified as an interactant of the ULK1 kinase complex, which initiates autophagy (Behrends *et al*, 2010). Similarly, our tandem-tag purification of C9ORF72 identified a potential weak interaction with a component of the ULK1 kinase complex, namely Fip200, encoded by the *Rb1cc1* gene (Table EV1). Co-immunoprecipitation experiments confirmed that the complex formed by C9ORF72, WDR41, and SMCR8 interacts weakly with ULK1 and that this interaction is mostly dependent on

the presence of SMCR8 (Fig EV3C). Similar to TBK1, *in vitro* kinase assay demonstrated that ULK1 phosphorylates SMCR8 alone or in complex, but not C9ORF72 or WDR41 (Fig EV3D). Mass spectrometry analysis identified SMCR8 serine 400, serine 492, serine 562, and threonine 666 or serine 667 as ULK1 phosphorylation sites (Fig EV3E). However, depletion of *Ulk1* or of both *Ulk1* and *Ulk2* using siRNA in neuronal GT1-7 cells leads to only partial accumulation of P62 aggregates compared to siRNA-mediated depletion of *Tbk1* (Fig EV3F). Furthermore, a phosphomimetic mutant of SMCR8 (S400D, S492D, S562D, T666D; mutant UD) simulating constitutive phosphorylation of SMCR8 by the ULK1 kinase did not correct autophagy alteration caused by depletion of *Smcr8* (Fig 3D). Overall, these results suggest that in GT1-7 neuronal cells and in the time frame of our study, phosphorylation of SMCR8 by the TBK1 kinase plays a crucial role to regulate autophagy compared to ULK1.

### Decreased expression of C9ORF72 promotes aggregation of TDP-43

Brain sections of ALS-FTD patients are characterized by the presence of neuronal cytoplasmic inclusions containing the TAR DNA-binding protein 43 (TDP-43) that is abnormally ubiquitinated, phosphorylated, and truncated (Arai *et al*, 2006; Neumann *et al*, 2006). Since aggregates of TDP-43 are resolved by autophagy (Filimonenko *et al*, 2007; Ju *et al*, 2009; Urushitani *et al*, 2010; Wang *et al*, 2012; Barmada *et al*, 2014; Scotter *et al*, 2014), we tested whether decreased expression of *C9orf72* had any effect on TDP-43. Importantly, Western blotting assays demonstrated that shRNA-mediated depletion of *C9orf72* in mouse embryonic cortical neurons induced the accumulation of a ~30 kDa truncated fragment of Tdp-43 (Fig 4A). Similarly, immunofluorescence analysis against phosphorylated serine 409/410 of Tdp-43 confirmed accumulation of cytoplasmic aggregates of Tdp-43 in neurons depleted of *C9orf72* (Fig 4B). Note that the accumulation of Tdp-43 aggregates was evident in primary neuronal cultures upon 7 days of transduction with *C9orf72* shRNA lentivirus, while we did not detect accumulation of Tdp-43 aggregates in GT1-7 neuronal cells treated with *C9orf72* siRNA for 24 h, suggesting that accumulation of Tdp-43 may be cell or time dependent. Finally, mutations in *TARDBP*, encoding TDP-43, lead to aggregate of TDP-43 proteins and cause ALS-FTD (Gitcho *et al*, 2008; Kabashi *et al*, 2008; Rutherford *et al*, 2008; Sreedharan *et al*, 2008; Van Deerlin *et al*, 2008; Yokoseki *et al*, 2008). We found that overexpression of C9ORF72 reduced the aggregation of the D169G mutant of TDP-43 (Fig 4C). These results are consistent with previous works showing that enhancing autophagy corrects aggregation and toxicity of mutant TDP-43 (Wang *et al*, 2012; Barmada *et al*, 2014).

Phosphomimetic mutants of SMCR8 and constitutively active GTP-locked RAB39b correct autophagy alteration due to depletion of *Smcr8*, *C9orf72*, or *Tbk1*, suggesting that these proteins belong to the same pathway. Since TDP-43 regulates indirectly autophagy (Bose *et al*, 2011; Xia *et al*, 2016), we tested whether C9ORF72 or RAB39b can rescue autophagy misregulation caused by reduced expression of *Tardbp*. Depletion of Tdp-43 partly altered autophagy as illustrated by accumulation of P62 aggregates in neuronal GT1-7 cells transfected with a siRNA targeting *Tardbp* (Fig EV4). However, we found no correction of autophagy upon transfection of C9ORF72, SMCR8, or wild-type or constitutively active RAB8A or RAB39b



**Figure 4. Reduced expression of C9ORF72 promotes aggregation of TDP-43.**

**A** Immunoblot analysis of endogenous Tdp-43, C9orf72, and control Gapdh of E18 mouse cortical neurons transfected with lentivirus expressing either control shRNA or shRNA targeting *C9orf72* mRNA.

**B** Left panel, representative images of immunofluorescence labeling of endogenous phosphorylated Ser409/410-Tdp-43 on primary cultures of E18 mouse cortical neurons transfected with lentiviral particles expressing either control shRNA or shRNA targeting *C9orf72*. Right panel, quantification of cytoplasmic Tdp-43 aggregates.

**C** Left panel, representative images of immunofluorescence labeling of D169G mutant GFP-tagged TDP-43 on primary cultures of E18 mouse cortical neurons transfected with either HA-tagged control or HA-C9ORF72 plasmid. Right panel, quantification of cells with cytoplasmic aggregates of TDP-43.

Data information: Scale bars, 10  $\mu$ m. Nuclei were counterstained with DAPI. Error bars indicate SEM. Student's *t*-test, \*\*\**P* < 0.001, *n* = 3.

Source data are available online for this figure.

(Fig EV4). These negative results highlight the specificity of RAB39b toward TBK1 and C9ORF72, but also indicate that while TDP-43 regulates autophagy, this regulation is independent or downstream of the TBK1, C9ORF72, and RAB39b pathway. This is consistent with the recent report that loss of TDP-43 downregulates Dynactin 1 mRNA, which impairs autophagy at the late step of fusion of autophagosomes to lysosomes (Xia *et al*, 2016).

#### Decreased expression of C9ORF72 synergizes Ataxin-2 Q30x toxicity

Since decreased expression of C9ORF72 inhibits autophagy and promotes accumulation of aggregates of TDP-43, we searched for other proteins prone to aggregation that may accumulate upon depletion of C9ORF72. Ataxin-2 (encoded by the gene *ATXN2*)

is a cytoplasmic RNA-binding protein that interacts with the poly(A)-binding protein (PABP) and regulates mRNA stability (Kozlov *et al*, 2001; Yokoshi *et al*, 2014). Abnormal expansion over 34 glutamines in *ATXN2* leads to spinocerebellar ataxia type 2 (SCA2) (Imbert *et al*, 1996; Pulst *et al*, 1996; Sanpei *et al*, 1996), while intermediate expansion of polyQ (27–33 repeats) is an increased risk of ALS-FTD (Elden *et al*, 2010; Daoud *et al*, 2011; Ross *et al*, 2011; Van Damme *et al*, 2011; Lattante *et al*, 2014). We found that both HA-tagged Ataxin-2 with control length (Q22x) and intermediate size (Q30x) of polyQ localized diffusely into the cytoplasm of primary cultures of embryonic mouse cortical neurons (Fig 5A). Importantly, shRNA-mediated decreased expression of C9orf72 promoted accumulation of aggregates of Ataxin-2 with intermediate polyQ length in primary cultures of neurons (Fig 5A). Similar results were observed in GT1-7 neuronal cells or when

partners of C9orf72, namely Smcr8 and Wdr41, were siRNA-depleted (Fig EV5A). As a control, treatment of neuronal cells with bafilomycin A1, a drug that blocks autophagy, also promoted accumulation of Ataxin-2 Q30x aggregates (Fig EV5A). In contrast, depletion of C9orf72, Smcr8, or Wdr41 or bafilomycin treatment had no effect on the diffuse localization of Ataxin-2 with control (Q22x) polyQ size (Figs 5A and EV5A). Immunoblotting confirmed identical expression of HA-tagged Ataxin-2 Q22x upon siRNA-mediated depletion of C9orf72, Smcr8, or Wdr41 or bafilomycin treatment compared to control siRNA (Fig EV5B). Similarly, expression of HA-tagged Ataxin-2 Q30x is identical between control and siRNA-treated C9orf72, Smcr8, or Wdr41, but slightly increased upon bafilomycin A1 treatment (Fig EV5B). Consistent with a previous observation of an increased stability of Ataxin-2 with intermediate size of polyQ (Elden *et al*, 2010), we noted that HA-tagged Ataxin-2 Q30x is expressed at twofold to threefold higher levels than HA-tagged Ataxin-2 Q22x (Fig EV5B and C). As further controls, the effect of C9orf72 loss on aggregation of Ataxin-2 Q30x was specific since we did not observe increased aggregation of mutant SOD1, mutant FUS, huntingtin, or Ataxin-3 with polyQ expansion upon siRNA-mediated depletion of C9orf72 expression, at least in transfected cells and in the time frame of our study (Fig EV5D).

Next, we investigated whether decrease expression of C9ORF72 leads to any reduction in neuronal viability. Consistent with a previous report (Wen *et al*, 2014), neither siRNA- nor shRNA-mediated decreased expression of C9orf72 in neuronal primary cultures induced significant cell death, at least in the conditions and time frame of our study (Fig 5B). Similarly, expression of Ataxin-2 with control (Q22x) or intermediate (Q30x) size of polyQ had little effect on neuronal viability (Fig 5B). In contrast, decreased expression of C9orf72 and simultaneous expression of Ataxin-2 with intermediate size of polyQ (Q30x) induced neuronal cell death (Fig 5B). As a control, siRNA-mediated depletion of C9orf72 with concomitant expression of control Ataxin-2 (Q22x) did not reduce neuronal cell viability (Fig 5B).

To confirm these results *in vivo*, we developed zebrafish models with decreased expression of C9orf72 and which express Ataxin-2 with either normal or intermediate size of polyQ. Of technical interest, we used a reduced quantity (50%) of antisense morpholino oligonucleotides (AMOs) known to block translation of the zebrafish ortholog of C9ORF72 compared to a previous study (Ciura *et al*,

2013). In these conditions, we observed little toxicity and no abnormal motor phenotype associated with a reduction in C9orf72 (Fig 5C). Quantification of endogenous zebrafish C9orf72 mRNA by RT-qPCR confirmed a partial (50–60%) decreased expression of C9orf72 upon antisense AMO injection compared to control conditions (Fig EV5E). We also controlled by RT-qPCR the equal expression of HA-tagged Ataxin-2 Q22x or Q30x in injected zebrafish (Fig EV5F). Consistent with previous results (Elden *et al*, 2010), we noted a higher expression of Ataxin-2 Q30x compared to Ataxin-2 Q22x (Fig EV5F). Importantly, we observed no toxicity associated with the sole expression of Ataxin-2 with normal (Q22x) or intermediate (Q30x) length of polyQ (Fig EV5G). In contrast, the decreased expression of C9orf72 associated with the expression of Ataxin-2 with intermediate size of polyQ (Q30x) resulted in an abnormal motor behavior, specifically a reduced touch-evoked escape response (Fig 5C). Indeed, after stimulation by light touch to the fish tail, swimming distance, average velocity, and maximum velocity were significantly reduced in zebrafish embryos knocked down for C9orf72 and expressing Ataxin-2 with thirty glutamines (Fig 5D–F). As a control, decreased expression of C9orf72 with concomitant expression of Ataxin-2 with control length of polyQ (Q22x) had no significant pathogenic effect on swimming episodes following escape response (Fig 5D–F). Furthermore, the specificity of the phenotype was confirmed by injection of the same concentration of a control mismatch morpholino, which did not cause any locomotor phenotype (Fig EV5G–J). As further controls, expression of Ataxin-2 with either control or intermediate length of polyQ alone or with a mismatch antisense morpholino oligonucleotide also did not cause any locomotor phenotypes (Fig EV5G–J).

Finally, we analyzed the morphology of the axonal projections from spinal motor neurons by immunofluorescence against the synaptic vesicle marker Sv2. Similar to results presented above, knockdown of C9orf72 alone or the sole expression of Ataxin-2 (Q22x or Q30x) had no effect compared to control non-injected or mismatch AMO-injected fish (Fig 5G). Also, knockdown of C9orf72 with concomitant expression of Ataxin-2 with control size (Q22x) of polyQ had no toxic effect (Fig 5G and H). In contrast, the decreased expression of C9orf72 with simultaneous expression of Ataxin-2 with intermediate size of polyQ (Q30x) resulted in disrupted arborization and shortening of the motor neuron axons (Fig 5G and H). Overall, these results indicate that the partial knockdown of C9ORF72 does not induce major neuronal cell death but synergizes

### Figure 5. Reduced expression of C9ORF72 synergizes Ataxin-2 toxicity.

- A Left panel, representative images of organotypic cultures of E18 mouse cortical neurons co-transfected with HA-tagged ATXN2 with control (Q22x) or intermediate (Q30x) polyQ size and transduced with lentivirus expressing either control shRNA or shRNA targeting C9orf72 mRNA. Right panel, quantification of Ataxin-2 aggregates. Scale bars, 10  $\mu$ m. Nuclei were counterstained with DAPI.
- B Cell viability (tetrazolium assay) of GT1-7 neuronal cells co-transfected with HA-tagged ATXN2 with control (Q22x) or intermediate (Q30x) polyQ size and control siRNA or siRNA targeting C9orf72 mRNA. Error bars indicate SEM,  $n = 3$ .
- C Tracing of the swimming trajectories of 48 h post-fertilization zebrafish larvae following light touch.
- D–F Quantification of the touch-evoked swimming distance (D), average velocity (E), and maximum velocity attained (F) shows significant functional impairment of the zebrafish injected with HA-tagged ATXN2 with intermediate length of polyQ (Q30x) and antisense morpholino oligonucleotides (AMOs) against C9orf72 compared to control HA-tagged ATXN2 (Q22x) or to the sole injection of AMO against C9orf72.
- G Representative images of motor neurons visualized with anti-Sv2 immunohistochemistry show severe axonopathy in fish injected with both ATXN2 Q30x and AMO against C9orf72 compared to zebrafish injected with control ATXN2 Q22x or with AMO against C9orf72 alone.
- H Quantification of the motor neuron axonal length demonstrates a significant decrease in axonal length in 48 h post-fertilization zebrafish larvae injected with both ATXN2 Q30x and AMO against C9orf72 compared to controls.

Data information: Error bars indicate SEM. Student's *t*-test, \*\*\* $P < 0.001$  (A, B) or one-way ANOVA, \*\* $P < 0.01$  (D–F, H),  $n = 3$ .

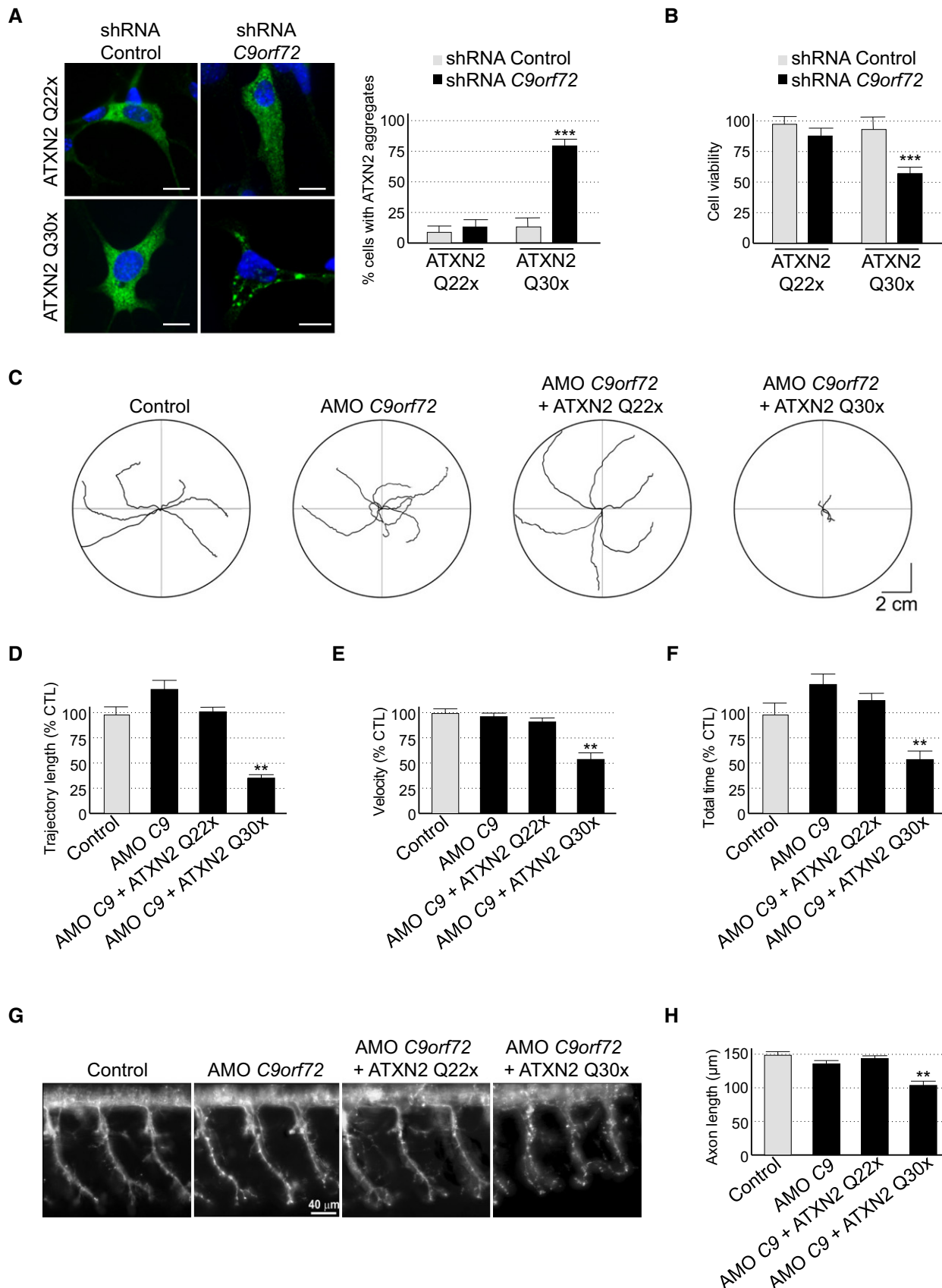


Figure 5.

the toxicity of Ataxin-2 with intermediate length of polyQ, resulting in alterations of the motor neuron and of the locomotor phenotype *in vivo*.

## Discussion

In conclusion, we found that C9ORF72 belongs to a complex containing the SMCR8 and WDR41 proteins. This complex is phosphorylated by TBK1 and ULK1 kinases, is a GDP/GTP exchange factor for RAB8a and RAB39b GTPases, and regulates autophagy (Fig 6). These results are reminiscent of the complex formed by folliculin (FLCN) and the folliculin-interacting protein-1 and folliculin-interacting protein-2 (FNIP1 and FNIP2), which contain divergent DENN modules presenting some homology with SMCR8 and C9ORF72, respectively (Zhang *et al*, 2012; Levine *et al*, 2013). FLCN is a tumor suppressor protein disrupted in various cancers and the Birt-Hogg-Dubé syndrome, which presents GTPase-activating activity for RagC/D regulating mTORC1 activity (Petit *et al*, 2013; Tsun *et al*, 2013). Also and similar to the C9ORF72 complex, FLCN is a GEF for Rab GTPases, is phosphorylated by ULK1, and is involved in the control of autophagy (Nookala *et al*, 2012; Dunlop *et al*, 2014).

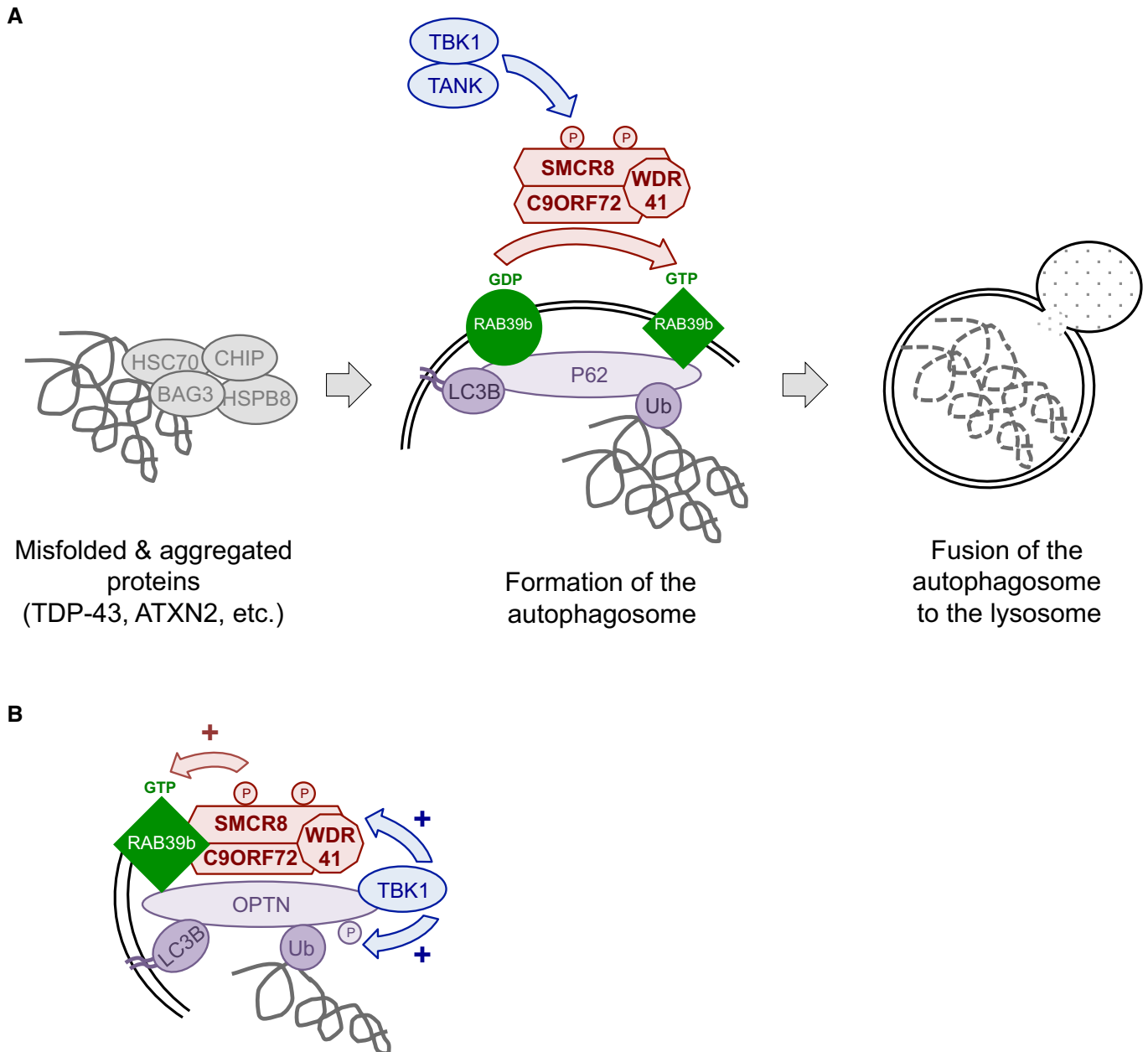
However, our results indicating that C9ORF72 in complex with SMCR8 interacts with RAB8a and RAB39b are different from a previous report where C9ORF72 was found to interact with RAB1, RAB5, RAB7, and RAB11 (Farg *et al*, 2014). These discrepancies are inherent to the different approaches used in these two studies. Notably, we tested C9ORF72 in complex, while Farg and colleagues studied C9ORF72 in isolation. Similarly, composition of the immunoprecipitation washing buffers is different with 50 mM Tris in Farg and colleagues versus 50 mM Tris with 150 mM NaCl in our study. Moreover, we found that commercial antibodies against C9ORF72 were of poor specificity to carry immunoprecipitation of endogenous proteins. A second divergence between these two studies is that Farg and colleagues observed that siRNA-mediated depletion of C9orf72 increases LC3B-II levels in basal condition. In contrast, we observed little effect of siRNA- or shRNA-mediated depletion of C9ORF72 on LC3B in basal condition, but observed a significant inhibition of LC3B lipidation when autophagy flux was investigated. These differences may originate from the different degree of siRNA-mediated depletion of C9ORF72 and/or from the different cells employed (primary E18 cortical neurons and GT1-7 cells in our study versus SH-SY5Y cells in Farg and colleagues) since basal autophagy and LC3B levels are known to vary according to the cell types.

Importantly, a role of C9ORF72 in autophagy is consistent with the increased accumulation of P62 and susceptibility to inhibition of autophagy observed in human neurons derived from C9ORF72 iPS cells (Almeida *et al*, 2013). Similarly, accumulation of TDP-43- and P62-positive protein aggregates upon reduction in C9ORF72 expression is reminiscent of a dysfunction of autophagy and reproduces key histopathological features of ALS-FTD patients (Neumann *et al*, 2006; Al-Sarraj *et al*, 2011). In that aspect, it is also striking to note that various mutations causing ALS-FTD are found in genes involved in protein clearance pathways, including *UBQLN2*, *CHMP2B*, *VCP*, *OPTN*, *SQSTM1*, and *TBK1* (Skibinski *et al*, 2005; Johnson *et al*, 2010; Maruyama *et al*, 2010; Deng *et al*, 2011; Fecto

*et al*, 2011; Cirulli *et al*, 2015; Freischmidt *et al*, 2015). Thus, our work linking decreased expression of C9ORF72 to partial deficient autophagy provides further support to compromised protein clearance mechanisms in ALS-FTD. However, it is important to note that our siRNA approach resulted in loss of 75% or more of *C9orf72* expression, while C9ORF72 levels are reduced by 50% or less in brain of individuals with ALS-FTD. Similarly, mutations in *TBK1* cause disease through a haploinsufficiency mechanism, while our siRNA reduced *Tbk1* expression by nearly 80%. Thus, we can only speculate that partial reduction in C9ORF72 or TBK1 activity in patients may result in a suboptimal autophagy pathway, which in turn may contribute to disease pathogenesis.

A second significant conclusion of this study is that constitutively active GTP-locked RAB39b corrects autophagy alteration caused by either loss of TBK1 or C9ORF72. Thus, we propose that TBK1, C9ORF72 complex, and RAB39b belong to a common pathway regulating autophagy in neuronal cells (Fig 6A). This model is similar to the ULK1-mediated phosphorylation of the guanine nucleotide exchange factor DENND3 that activates Rab12 and promotes autophagy (Xu *et al*, 2015), suggesting that phosphorylation of GDP/GTP exchange factors may be a widespread and novel pathway to activate Rab GTPase in autophagy. Since RAB39b and RAB8a interact with P62 and OPTN, our results also support a model where autophagy receptors, such as P62 or OPTN, function as essential hubs to gather autophagy substrates with LC3B but also with specific Rab GTPases and their GEF effectors and kinase regulators in order to initiate autophagy precisely at the site of protein aggregates, dysfunctional organelles, or intracellular pathogens (Fig 6B). This model is supported by the interaction and phosphorylation of P62 or OPTN by TBK1 in xenophagy (Wild *et al*, 2011; Pilli *et al*, 2012), but also by the recent reports of OPTN and TBK1 importance for the PINK1-Parkin mitophagy pathway, which is altered in Parkinson's disease (Heo *et al*, 2015; Lazarou *et al*, 2015; Matsumoto *et al*, 2015). In that aspect, mutations in the X-linked *RAB39b* gene lead to early-onset Parkinson's disease (Wilson *et al*, 2014), while atypical parkinsonism has been observed in rare cases of individuals with GGGGCC expansion in *C9ORF72* (Wilke *et al*, 2016).

This work also raises several questions. First, we noted that the C9ORF72 complex acts as a GEF toward RAB8a and RAB39b; however, we did not test all existing Rab proteins and the C9ORF72 complex may potentially regulate various other Rab GTPases. Similarly, it is unclear which Rab proteins initiate and regulate autophagy in which tissue, developmental time, or conditions. In that aspect, the precise molecular functions of RAB8 and RAB39 in autophagy remain also to be elucidated. Binding of RAB8a and RAB39b to P62 suggest that these Rab GTPases may act on formation of the autophagosome. However, whether these Rab GTPases can also regulate the transport or fusion of the autophagosome to multivesicular body or lysosome is to be determined. Also, we found that phosphorylation of SMCR8 by TBK1 is important to control autophagy in neuronal cells. However, it remains to test the importance of SMCR8 phosphorylation on the GDP/GTP exchange activity of the C9ORF72 complex. Likewise, the signaling pathways activating TBK1 is yet unclear. Indeed, TBK1 activation may require an upstream kinase (Heo *et al*, 2015), while a second and non-exclusive model proposes that local concentration of TBK1 through its recruitment via OPTN may auto-activate TBK1 through trans-autophosphorylation (Matsumoto *et al*, 2015). Furthermore, SMCR8



**Figure 6. Tentative model of C9ORF72 function.**

- A C9ORF72 in complex with SMCR8 and WDR41 acts as a GDP/GTP exchange factor for RAB39b GTPase, which interacts with the P62 autophagy receptor. Phosphorylation of SMCR8 by TBK1 potentially promotes C9ORF72 GEF activity and enhances autophagy turnover of proteins such as TDP-43 or Ataxin-2 with intermediate polyQ size. In the absence of C9ORF72, autophagy clearance of these proteins is reduced and TDP-43, P62, or Ataxin-2 with intermediate length of polyQ accumulates into cytoplasmic aggregates.
- B Autophagy receptors such as P62 or OPTN act as hubs to gather Rab GTPases with their GEF effectors and kinase regulators to initiate autophagy precisely at the site of damaged organelles, protein aggregates, or intracellular pathogens.

is also interacting with proteins of the ULK1, mTOR, and AMPK kinase complexes (Table EV1) and SMCR8 is reported phosphorylated by mTOR (Hsu *et al*, 2011) and AMPK (Hoffman *et al*, 2015; Schaffer *et al*, 2015), but with unknown consequences.

Also intriguing is the accumulation of TDP-43 aggregates upon depletion of C9ORF72 in primary culture of E18 cortical mouse neurons but not in GT1-7 cells. This discrepancy may originate from

the reduced time frame (24–48 h) of analysis in GT1-7 cells compared to primary neuronal culture transduced with shRNA lentivirus for 7 days. In support of our work, a causal link between altered autophagy and accumulation of cytoplasmic aggregates of TDP-43 is long established (Filimonenko *et al*, 2007; Ju *et al*, 2009; Urushitani *et al*, 2010; Wang *et al*, 2012; Barmada *et al*, 2014; Scotter *et al*, 2014) and is consistent with the TDP-43-positive

neuronal inclusions observed in ALS-FTD patients with mutation in genes involved in autophagy or in protein clearance pathway, including *UBQLN2*, *TBK1*, *OPTN*, *SQSTM1*, *VCP*, or *GRN* (Hu et al, 2010; Brady et al, 2013; review in Majcher et al, 2015 and in Taylor, 2015). However, this questions whether the aggregates of TDP-43 observed in the vast majority of sporadic ALS-FTD cases are also caused by some deficiency in autophagy and/or protein clearance mechanisms, and if so, what would be the underlying pathogenic mechanisms. In that aspect, recent evidences of accumulation of TDP-43 aggregates in aging human brain (Uchino et al, 2015) might be related to the known decline of autophagy with age (Sun et al, 2015).

Finally, we found that partial loss of C9ORF72 promoted accumulation of P62 in aggregates, but had only mild effect on LC3B levels. Similarly, reduced expression of C9orf72 had little effect on neuronal cell viability. This is consistent with the absence of neurodegenerative phenotypes observed in mouse depleted of *C9orf72* expression (Lagier-Tourenne et al, 2013; Koppers et al, 2015). These results may suggest that either the activity of C9ORF72 is redundant with other proteins or that C9ORF72 is not crucial for basal autophagy but may play a more restricted role in a specific autophagy subpathway. In support of that latter hypothesis, we found that decreased expression of C9ORF72 promotes specifically the aggregation of Ataxin-2 with intermediate length of polyQ. These results support the co-occurrence of expanded GGGGCC repeats in *C9ORF72* with intermediate length of polyQ repeats in Ataxin-2 in ALS-FTD patients (Elden et al, 2010; Daoud et al, 2011; Ross et al, 2011; Van Damme et al, 2011; Lattante et al, 2014). However, why the decreased expression of C9ORF72 promotes the aggregation and toxicity of Ataxin-2 with polyQ expansion, but not the aggregation of Ataxin-3 or Huntingtin with polyQ expansion, is intriguing and the cause of this specificity remains to be explored. In that aspect, both TDP-43 and Ataxin-2 are RNA-binding proteins, thus questioning whether a selective autophagy of RNA-protein granules (RNaphagy) is controlled by C9ORF72 and altered specifically in ALS-FTD (Buchan et al, 2013; Fujiwara et al, 2013). It is also possible that we missed the deleterious effect of C9ORF72 loss on other polyQ proteins due to the reduced time frame of our study or to other inherent limitations of cell cultures. Similarly, it is possible that Ataxin-2 with a control length (22Q) of polyglutamine might form microaggregates that would be toxic on a longer time period of analysis. This hypothesis is sustained by the observation that Ataxin-2 with both normal and intermediate length of polyQ synergizes the toxicity of TDP-43 in fly, however with normal polyQ size being less toxic than intermediate polyQ length (Kim et al, 2014). Thus, murine models would be instrumental to test the pathological consequences of loss of C9ORF72 in the presence of Ataxin-2 or other proteins with polyglutamine expansion. It also remains to test whether decreased expression of C9ORF72 may synergize toxicity of other stress, notably some that are inherent to the expanded GGGGCC repeats such as accumulation of GGGGCC RNA foci and/or RAN-translated DPRs. This hypothesis is particularly appealing in light of the association of C9ORF72 mRNA expression with patient survival (van Blitterswijk et al, 2015) and the recent reports of no overt neurodegenerative phenotype in BAC transgenic mouse models with normal expression of C9orf72 but overexpression of expanded GGGGCC repeats. These mice present RNA foci and aggregates of dipeptide repeat proteins but develop only subtle behavioral

phenotypes (O'Rourke et al, 2015; Peters et al, 2015). Similarly, mice depleted of *C9orf72* expression in brain or in neurons present no overt neurodegenerative phenotypes (Lagier-Tourenne et al, 2013; Koppers et al, 2015). These results suggest that the sole loss of C9ORF72 or the expression of GGGGCC RNA and DPR in isolation is not sufficient to be pathogenic, but it remains to test whether a reduced expression of C9ORF72 would synergize the toxicity of GGGGCC RNA or DPRs. This synergic model is consistent with the absence of ALS/FTD patients with null alleles or missense mutations in *C9ORF72*, as well as by increasing genetic evidences of oligogenicity in ALS-FTD (Ferrari et al, 2012; Van Blitterswijk et al, 2012; van Blitterswijk et al, 2013; Cady et al, 2015; Lattante et al, 2015a; Pottier et al, 2015).

In conclusion, our results support a double-hit mechanism in ALS-FTD, where the sole decreased expression of C9ORF72 does not explain alone the pathogenicity of the expanded GGGGCC repeats but may synergize other stress such as Ataxin-2 with intermediate polyQ length, while association with other stress such as GGGGCC RNA foci or Ran-translated DPRs remains to be formally tested. Finally, if loss of C9ORF72 leads to partial dysfunction of autophagy, one may hope that pharmacological compounds activating autophagy may contribute to alleviate some pathological features of ALS-FTD.

## Materials and Methods

### Constructions

PCMV6 containing C-terminally Flag-tagged human cDNAs of *SQSTM1* (P62), *OPTN*, *SMCR8*, *WDR41*, *ULK1*, *NAP1*, *SINTBAD*, *TANK*, and *RAB* GTPases were purchased from OriGene. Optimized cDNAs for human N-terminally HA-tagged *C9ORF72* and Flag-tagged *TBK1* cloned into pcDNA3 were purchased from GenScript. Human cDNAs of *ATXN2* with 22 or 30 glutamines were fused to an N-terminal HA tag and cloned into pcDNA3. Constitutively active Q68L and negative S22N mutants of N-terminally HA-tagged *RAB39b* were constructed by inverse PCR. Similarly, mutations of C-terminally HA-tagged *SMCR8* phosphorylation sites (S400, S402, S492, S562, T666, and T796D) in alanine or aspartate were constructed by inverse PCR.

### HA-Flag tandem affinity purification

$12 \times 10^6$  Neuro-2A cells were transfected with 48  $\mu$ g of either control- or C9ORF72-Flag-Ha plasmid using Fugene HD (Promega) for 24 h, and proteins were purified by Ha-Flag tandem purification kit according to the manufacturer's instruction (Sigma-Aldrich). The bound proteins were visualized by silver staining (SilverQuest, Invitrogen) after separation on a 4–12% bis-Tris Gel (NuPAGE), and interactant proteins were identified using NanoESI-Ion Trap (Thermo Fisher).

### Immunofluorescence

Coverslips were incubated for 10 min in PBS with 4% paraformaldehyde, washed with PBS, and incubated in PBS plus 0.5% Triton X-100 for 10 min. The cells were washed three times with PBS, and

the coverslips were incubated for 1 h with primary antibody against the HA tag (26183, Pierce). P62/Sqstm1 (ab56416, Abcam) or anti-phospho-TDP-43 (pS409/410, Cosmo Bio). After washing with PBS, the coverslips were incubated with goat anti-mouse secondary antibody conjugated with Alexa 488 (Interchim SA) for 1 h, washed twice with PBS, and incubated for 2 min in PBS/DAPI (1/10,000 dilution). Coverslips were rinsed twice before mounting in Pro-Long media (Molecular Probes) and were examined using confocal microscope.

### Immunoprecipitation

$6.25 \times 10^5$  HEK293 cells (1 well of a 6-well plate) were co-transfected for 24 h with 1  $\mu$ g of plasmids expressing HA-tagged cDNA and 1  $\mu$ g of plasmids expressing Flag-tagged cDNA using Fugene HD (Promega). Cells were scraped into RIPA buffer (50 mM Tris-HCl pH 7.6, 150 mM NaCl, 1% NP-40) and centrifuged for 15 min at 18,000 g at 4°C; 20  $\mu$ l of pre-washed HA magnetic beads (Dynabeads) was added, and immunoprecipitation was carried for 1 h at 4°C with constant rotation. After three washes with 50 mM Tris-HCl pH 7.6, 150 mM NaCl, 0.05% Tween, bound proteins were eluted in SDS-PAGE loading buffer and analyzed by Western blot using antibodies against the Flag tag (PA1-984B, Pierce) or the HA tag (26183, Pierce). For endogenous immunoprecipitation, SMCR8 1D2 mouse monoclonal antibody was incubated with mouse brain extract overnight in RIPA buffer. Pre-cleared A/G magnetic beads (Life Technologies) were added, and immunoprecipitation was carried out for 1 h at 4°C with constant rotation. The beads were washed three times with 50 mM Tris-HCl, 150 mM NaCl, 0.05% Tween and then boiled at 95°C for 5 min in SDS-PAGE loading buffer. Bound proteins were analyzed by Western blot using antibodies against SMCR8 (1D2), C9ORF72 (22637-1-AP, Proteintech), WDR41 (NBP1-83812, Novus Biological), Rab8a (11792-1-AP, Proteintech), Rab39b (12162-1-AP, Proteintech), Rab5 (11671-1-AP, Proteintech), Rab7 (Ab137029, Abcam).

### In vitro GDP/GTP exchange assay

About 40 pmol (~2  $\mu$ g) of purified recombinant GST-RAB protein was loaded with 1  $\mu$ Curie of  $\alpha^{32}$ P-labeled GDP (Hartmann Analytic) in 10  $\mu$ l of buffer assay (150 mM NaCl, 50 mM Hepes, 1 mg/ml BSA, 2.5 mM EDTA, pH 7.5) for 30 min at 30°C. Increased quantities of recombinant purified C9ORF72 or C9ORF72/SMCR8/WDR41 complex were added and incubated for further 30 min at 30°C. Twenty microliters of pre-washed GST magnetic beads (Dynabeads) was added, and pull-down was carried out for 30 min at 4°C. After three washes in reaction buffer, radioactivity was measured by using a scintillation counter (Beckman Coulter).

### In vitro phosphorylation

Eight micrograms of recombinant purified C9ORF72/SMCR8/WDR41 complex was incubated for 30 min at 30°C with 10  $\mu$ Curie of  $\gamma^{32}$ P-labeled ATP in 20  $\mu$ l of kinase buffer assay (150 mM NaCl, 20 mM HEPES, 2 mM MgCl<sub>2</sub>, 25 mM  $\beta$ -glycerophosphate, 100  $\mu$ M orthovanadate, pH 7.5) with or without 2  $\mu$ g of recombinant purified ULK1 or TBK1 protein (OriGene). The reaction was stopped by the addition of SDS-PAGE loading buffer, boiled for 3 min at 90°C,

and run on 4–12% bis-Tris Gel (NuPAGE). The gel was then either used for Western blotting assay or dried and imaged (Typhoon scanner, GE Healthcare).

### Neuronal cell cultures, transfection, and treatments

Primary cortical neurons were prepared from C57Bl/6 E18 embryos and grown on poly-L-lysine-coated 24-well plates in neurobasal medium (NBM) supplemented with  $1 \times B27$ , 0.5 mM L-glutamine, and 100 IU/ml penicillin/streptomycin at 37°C with 5% CO<sub>2</sub>. Neurons were transduced at Day 3 with recombinant lentivirus expressing either control shRNA or shRNA against *C9orf72* (SK02-040236-00-10 SMARTvector 2.0 hEF1a Lentiviral Mouse 3110043O21Rik shRNA, Thermo). After overnight incubation, lentivirus was removed and fresh media were added. After 5–7 days, neurons were analyzed by immunofluorescence or by Western blot analysis. GT1-7 cells were grown in 10% fetal bovine serum, gentamicin, and penicillin at 37°C in 5% CO<sub>2</sub>, plated in DMEM and 0.1% fetal bovine serum, and transfected for 24–48 h using Lipofectamine 2000 (Fisher Scientific) and/or RNAiMax (Fisher Scientific) with siRNA control or targeting *C9orf72*, *Smcr8* 3'UTR, or *Tbk1* (ON-TARGETplus, Dharmacon). Neurons were treated with either 10  $\mu$ M rapamycin (Millipore) for 15 h or 100 nM of bafilomycin (Sigma) for 15 h or 250 nM of Torin-1 (Tocris) for 2 h before analysis.

### Recombinant protein production and purification

For RAB GTPases, *E. coli* BL21(RIL) pRARE competent cells (Invitrogen) were transformed with pet28-GST-RAB GTPase vectors, grown at 37°C in 400 ml of LB medium supplemented with kanamycin until OD<sub>600</sub> = 0.5, 0.5 mM IPTG was added and the culture was further incubated for 4 h at 30°C. Harvested cells were sonicated in 300 mM NaCl, 50 mM Tris-Cl pH 7.5, 1 mM DTT, 5 mM EDTA and centrifuged for 20 min at 20,000 g and recombinant GST-RAB GTPase proteins were purified using the GST purification Kit (Novagen), quantified, dialyzed, and stored in 150 mM NaCl, 20 mM HEPES, 2 mM MgCl<sub>2</sub>, 20% glycerol. Concerning C9ORF72 complex,  $2 \times 10^6$  of SF9 (*Spodoptera frugiperda*) cells (one T25 flask) were co-transfected with 500 ng of *Bsu36I*-linearized BAC10:KO1629 DNA and 2  $\mu$ g of pMF-Dual vectors containing either HIS-C9ORF72, SMCR8, or WDR41 and incubated at 27°C for 6 days. Harvested baculovirus were then tested, amplified and used to infect 2 l of SF9 cell culture for protein production and purification using the HIS purification kit (Novagen) with sonication and washing in 500 mM NaCl, 50 mM Tris-Cl pH 7.5, 50 mM imidazole, elution with 150 mM NaCl, 50 mM Tris-Cl pH 7.5, 1 mM DTT, 5 mM EDTA, 200 mM imidazole, dialysis, and storage in 150 mM NaCl, 20 mM HEPES, 2 mM MgCl<sub>2</sub>, 20% glycerol.

### Monoclonal antibody production

To generate anti-SMCR8 monoclonal antibodies, 8-week-old female BALB/c mice were injected intraperitoneally with 100  $\mu$ g of recombinant purified SMCR8:C9ORF72 complex and 200  $\mu$ g of poly (I/C) as adjuvant. Three injections were performed at 2-week intervals, and 4 days prior to hybridoma fusion, mice with positively reacting sera were re-injected. Spleen cells were fused with



Sp2/0.Agl4 myeloma cells as described by De StGroth and Scheidegger (1980). Hybridoma culture supernatants were tested at Day 10 by ELISA for cross-reaction with recombinant purified C9ORF72:SMCR8 complex. Positive supernatants were then tested by immunofluorescence and Western blot on HA-tagged SMCR8 and HA-tagged C9ORF72 transfected COS-1 cells. Specific cultures were cloned twice on soft agar. Specific hybridomas were established, and ascites fluid was prepared by injection of  $2 \times 10^6$  hybridoma cells into Freund adjuvant-primed BALB/c mice. All animal experimental procedures were performed according to the European authority guidelines.

### Western blotting

Proteins were denatured for 3 min at 95°C, separated on 4–12% bis-Tris Gel (NuPAGE), transferred on nitrocellulose membranes (Whatman Protran), blocked with 5% non-fat dry milk in Tris-buffered saline (TBS) buffer, incubated with anti-Flag (PA1-984B, Pierce), HA (26183, Pierce), C9ORF72 (22637-1-AP, Proteintech), LC3B (ab51520, Abcam), GAPDH (ab125247, Abcam), TDP-43 (3449S, Cell Signaling), SMCR8 (1D2, 1/200) in TBS plus 5% non-fat dry milk, washed three times, and incubated with anti-rabbit or anti-mouse peroxidase antibody (1:3,000, Cell Signaling) for 1 h in TBS, followed by washing and ECL chemoluminescence revelation (Amersham ECL Prime).

### Zebrafish studies

Adult and larval zebrafish (*Danio rerio*) were maintained at the ICM fish facility and bred according to the National and European Guidelines for Animal Welfare. Experiments were performed on wild-type embryos from AB and TL strains. Hb9:GFP Tg(Mnx1:GFP) transgenic lines were used to label motor neurons and their axonal projections. All procedures for zebrafish experimentation were approved by the Institutional Ethics Committee at the Research Center of the ICM and by French and European legislation. The *ATXN2* constructs were used in all the experiments described here at the final DNA concentration of 75 ng/μl. Embryos were maintained at 28°C and manually dechorionated using fine forceps at 24 hpf. Only zebrafish without developmental abnormalities (qualified as malformed) and the swimming trajectories at 48 h post-fertilization were selected and their swimming trajectories appropriately traced. For the percentage analysis, behavioral analysis, and axonal projections, more than three independent experiments were performed for each of the conditions described here. Antisense morpholino oligonucleotides (AMOs) were designed complementary to bind to an upstream ATG that would block both transcripts of the zebrafish *C9orf72* (*C9orf72*) and synthesized from GeneTools. The *C9orf72* AMO sequence was ATGTGGAGGACAGGCTGAAGACAT and known to bind to the following sequence in the *C9orf72* mRNA: [(ATG)TCTTCAGCCTGTCTCCACAAT]. A control AMO (mismatch), containing five mismatch nucleotides with the *C9orf72* AMO sequence and not binding anywhere in the zebrafish genome, was used to assess the specificity of the observed phenotype (ATTcTcGAGcACAGcCTcAAGACAT). For the genetic interaction experiments, microinjections were performed at 0.2 mM for *C9orf72*-AMO and 0.2 mM for *C9orf72*-mis. At these concentrations, *C9orf72* and mismatch AMOs do not lead to phenotypic features

associated with deficient swimming or morphological abnormalities (Ciura et al, 2013; Lattante et al, 2015a,b). For the percentage analysis, behavioral analysis, and axonal projections, more than three independent experiments were performed for each of the conditions including co-injections of *ATXN2* Q22x and *ATXN2* Q30x alongside *C9orf72* and mismatch AMOs. Zebrafish embryos at 48 hpf were analyzed for any morphological abnormalities and touched lightly at the level of the tail with a pipette tip with their locomotor behavior scored. Thus, for each injection set, larvae and embryos were separated into the following groups: dead, curly, and monster groups (developmentally aberrant fish). TEER episodes were performed only in zebrafish that appeared morphologically normal (normal TEER observed) and were recorded for each of the conditions with a Grasshopper 2 Camera (Point Grey Research) at 30 Hz. The videos were then analyzed using the manual tracking plugin of ImageJ 1.45r software, and the swim duration, swim distance, and maximum swim velocity of the fish were calculated as previously described (Ciura et al, 2013; Lattante et al, 2015b). To correlate gene expression with cell morphology, the axonal projections of motor neurons in selected HB9 zebrafish embryos GFP-positive at 48 hpf. In addition, axonal projections were labeled using the synaptic vesicle marker, SV2 as previously described (Kabashi et al, 2010). Fluorescent images of fixed embryos were taken using the fluorescence Automated Inverted Microscope System Olympus IX83 equipped with a Hamamatsu ORCA-flash 2.8 digital camera. Image acquisition was performed with the Olympus cellSens software. Axonal projections from primary motor neurons at a defined location in the intersomitic segments were determined. Analysis of Z-stacks by fluorescence microscopy was performed in three to four axonal projections per animal. The axonal length to the first branching was determined by tracing the labeled axon from the spinal cord to the point where it branches using ImageJ. These values were averaged for each of the animals analyzed (minimum 15 zebrafish per condition) for the various conditions. Primers for RT-qPCR quantification of HA-tagged *ATXN2* injected in zebrafish are F-TGGTTCTCCAGCTCCTGTCT and R-TGACCACTGATGACCACGTT. *ATXN2* levels were normalized to endogenous *Gapdh* (QuantiTect Primer Assay, Dr\_gapdh\_1\_SG).

### Statistical analysis

All cell experiments are represented as average  $\pm$  standard error of mean (SEM) with significance determined using Student's *t*-test. All data values for the zebrafish experiments are represented as average  $\pm$  standard error of mean (SEM) with significance determined using one-way ANOVAs.

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### Author contributions

Experiments were performed by CS, MLC, AG, IKC, CJC, MOA, FR and SC. Data were collected and analyzed by CS, AP, SC, EK, and NCB. The study was designed, coordinated, and written by CS, SC, EK, and NCB.

### Conflict of interest

The authors declare that they have no conflict of interest.

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