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# Loss of cortical control over the descending pain modulatory system determines the development of the neuropathic pain state in rats — Source link $\square$

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2	the neuropathic pain state in rats
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# 1 Abstract:

2	The loss of descending inhibitory control is thought critical to the development of chronic pain but
3	what causes this loss in function is not well understood. We have investigated the dynamic
4	contribution of prelimbic cortical neuronal projections to the periaqueductal grey (PrL-P) to the
5	development of neuropathic pain in rats using combined opto- and chemo-genetic approaches. We
6	found PrL-P neurons to exert a tonic inhibitory control on thermal withdrawal thresholds in uninjured
7	animals. Following nerve injury, ongoing activity in PrL-P neurons masked latent hypersensitivity and
8	improved affective state. However, this function is lost as the development of sensory hypersensitivity
9	emerges. Despite this loss of tonic control, opto-activation of PrL-P neurons at late post-injury
10	timepoints could restore the anti-allodynic effects by inhibition of spinal nociceptive processing. We
11	suggest that the loss of cortical drive to the descending pain modulatory system underpins the
12	expression of neuropathic sensitisation after nerve injury.
13	
14	

#### 1 Introduction:

2 There is a pressing need to better understand the causal mechanisms of chronic pain and develop 3 effective therapeutic strategies that will alleviate its societal burden (Breivik et al., 2006). The brain, as opposed to the periphery, has received increasing focus as a critical contributor to chronic pain 4 5 development (Ossipov, Dussor and Porreca, 2010; Denk, McMahon and Tracey, 2014; Baliki and 6 Apkarian, 2015). The descending pain modulatory system (DPMS) links brain and spinal cord to provide 7 potent and targeted regulation of nociceptive processing at multiple levels of the neuroaxis, including 8 the spinal dorsal horn (Millan, 2002; Tracey and Mantyh, 2007). Importantly, the DPMS can affect the 9 perception of pain and is a critical regulator of the development of the pain state following injury 10 (Eippert et al., 2009; Hughes et al., 2013; Drake et al., 2014; Hirschberg et al., 2017).

11 Typically, following acute injury, this descending regulation functions to inhibit spinal dorsal horn 12 circuits that that subserve damaged tissue and, in doing so, moderates central sensitisation (Vanegas and Schaible, 2004; Drake et al., 2014). However, net loss of inhibitory control has been noted in a 13 wide variety of human chronic pain disorders and descending inhibitory systems are depleted and 14 non-functional in animal models of persistent pain (Yarnitsky, 2010; Hughes et al., 2013, 2015; Staud, 15 16 2013; Bannister et al., 2015). Similarly, trait deficiencies in endogenous inhibitory control and/or its 17 engagement by peripheral injury are thought to impart individual vulnerability to chronic pain 18 (Edwards, 2005; Yarnitsky, 2010; Granovsky, 2013; Denk, McMahon and Tracey, 2014; González-19 Roldán et al., 2020). What causes this deficit / loss in function of the DPMS is not well understood but 20 could help identify critical and generalisable mechanisms of chronic pain development that lay the 21 foundation for the development of more effective therapeutic strategies.

In humans, the medial prefrontal cortex (mPFC) displays specific activity related to acute pain
processing, pain expectation and endogenous pain modulation (Lorenz *et al.*, 2002; Wager *et al.*, 2004;
Wiech and Tracey, 2009; Legrain *et al.*, 2011; Brooks, Davies and Pickering, 2017). Importantly, the
mPFC shows alterations in structure and function that are related to and, sometimes, predictive of the

1 transition to chronic pain (Apkarian et al., 2004; Baliki et al., 2006, 2012). Direct corticofugal 2 projections from the mPFC to the midbrain link it to the DPMS to provide a route to pain state regulation (An et al., 1998; Huang et al., 2019). The midbrain periaqueductal grey (PAG) is a core 3 4 component of the DPMS able to facilitate and/or inhibit spinal nociceptive processing via pain 5 modulatory brainstem nuclei including the rostral ventromedial medulla and locus coeruleus (Mantyh, 6 1983; Waters and Lumb, 2008; Ossipov, Dussor and Porreca, 2010; Drake et al., 2016). Notably, altered functional connectivity between the mPFC and PAG is observed in human patients with 7 8 musculoskeletal, neuropathic and inflammatory chronic pain suggesting that altered cortical control 9 may contribute to maladaptation of the DPMS and that this mechanism may be relevant to chronic 10 pain in general (Cifre et al., 2012; Yu et al., 2014; Chen et al., 2017; Mills et al., 2018; Segerdahl et al., 2018). 11

12 Recently, preclinical investigation has demonstrated the prelimbic cortex (PrL), a division of the rodent 13 mPFC, is able to affect noxious thresholds in neuropathic rats (Huang et al., 2019). However, whether 14 the role of PrL neurons that target the PAG (PrL-P) in sensory and/or affective aspects of the pain state 15 are dynamically altered during development of neuropathic pain is not known. To assess this question 16 we transfected PrL-P neurons with excitatory optogenetic and inhibitory chemogenetic actuator 17 proteins to allow selective manipulation of their activity (Zhang et al., 2010; Sternson and Roth, 2014). 18 This enabled their contribution to sensory and affective aspects of pain-like behaviour to be charted before and, at regular intervals, following peripheral nerve injury in rats. We also used 19 20 electrophysiological methods to investigate whether PrL-P neurons exert effects on spinal dorsal horn 21 nociceptive circuit activity to establish whether these effects are mediated by descending control.

#### 1 Methods:

### 2 Animals

All experimental and surgical procedures were conducted in accordance with the UK Animals (Scientific Procedures) Act (1998) and local ethical review. Adult male Wistar rats (n=56, 250-275g, Envigo, NL) were housed in the University of Bristol's Animal Service Unit with cage enrichment (e.g. cardboard tubes, wooden chews), on a reversed light cycle and with food/water provided ad libitum. Where possible animals were grouped house but were singly housed for up to seven days while healing from surgery occurred.

9 Experimental Design

10 This study's primary objective was to investigate the contribution of PrL-P neurons to the development of sensory and affective aspects of neuropathic pain. To achieve this, opto- and chemogenic actuator 11 12 proteins were expressed in PrL-P neurons to enable interrogation of the behavioural and 13 neurophysiological consequences of their selective and specific opto-activation and chemo-inhibition. 14 To selectively express actuator proteins in only PrL-P afferents we used an intersectional, Cre-15 dependent viral vector approach (Boender et al., 2014). 12 animals were used to develop the 16 intersectional viral vector methodology in vivo. Briefly, cre-dependent adenoviral vectors encoding 17 Channelrhodopsin2(ChR2) or the inhibitory DREADD, hM4Di, were delivered to the PrL. To restrict their expression to only those PrL neurons that project to the PAG we delivered a retrograde Canine 18 19 Adenoviral Vector (CAV2) that encodes Cre-recombinase to the PAG (Hnasko et al., 2006). CAV2 gains 20 access to neurons primarily via their synaptic terminals (Soudais et al., 2001) before being transported 21 retrogradely to the neuronal cell body leading to Cre expression. Thus Cre-dependent expression of 22 actuator proteins will only occur in those PrL neurons that synapse in the PAG. Control animals had 23 injection of Cre-dependent vectors to the cortex but no CAV-CMV-CRE to the PAG. Without Cre there 24 should be no expression of actuator proteins allowing the evaluation of off target of effects of CNO 25 (as well as identification of non-specific expression of actuators). Following expression of actuator

1	(n=24) and affective aspects (n=20) of pain like behaviour in neuropathic (TNT <sup>PrL-P.ChR2-hM4Di</sup> & TNT <sup>PrL-</sup>
2	<sup>P.Control</sup> ) and uninjured (Naive <sup>PrL-P.ChR2-hM4Di</sup> & Naive <sup>PrL-P.Control</sup> ) rats. This investigation used a longitudinal
3	design in which the contribution of PrL-P neurons to pain-like behaviour and nociceptive processing
4	were assessed before and up to 42 days following peripheral nerve injury. Five neuropathic rats were
5	then used in acute spinal electrophysiological experiments to assess effects of PrL-P neurons on
6	nociceptive processing in the spinal dorsal horn.
7	Animals were assigned to experimental groups from different cages and selected at random but with
8	no explicit randomization protocol. The experimenter was blinded to the experimental group and test
9	substance. Some animals were removed from the analysed data sets due to:
10	<ul> <li>lack of or off target transfection (n=4/44)</li> </ul>
11	<ul> <li>Incorrect placement of optic fibres outside the PrL (n=3/24)</li> </ul>
12	<ul> <li>overt stress behaviour noted during experimental testing (n=3/44)</li> </ul>
13	<ul> <li>incompatible laser stimulation parameters (1/24)</li> </ul>
14	<ul> <li>incorrect dosing schedule in CPP paradigm (1/20)</li> </ul>
15	Where appropriate, removal from one experimental protocol did not mean removal from the entire
16	investigation as for example incorrect placement of an optic fibre did not preclude data from this rat
17	being included for chemo-inhibition experiments which were not dependent on correct fibre
18	placement.
19	Surgery
20	All surgery was conducted using sterile technique. Throughout procedures animals were kept
21	hydrated and maintained at $37^{\circ}$ C using a thermostatically controlled heat mat. Post-surgery, all
22	animals were monitored closely until wounds had healed, and the animal reached pre-surgery body
23	weight.

24 Stereotaxic injection / implants

Animals underwent recovery surgery for the delivery of viral vectors to the PAG and mPFC, and implantation of optic fibres over the PrL. Rats were anesthetized with Ketamine (50mg.kg<sup>-1</sup>; Zoetis, UK) / Medetomidine (0.3mg.kg<sup>-1</sup>; Vetoquinol, UK), prepared for surgery and placed in a stereotaxic frame (Kopff, Germany). PrL-P projections extend bilaterally from each hemisphere with the ipsilateral projection bring denser that the contralateral projection (~60 v 40% of total labelled cells from retrograde tracing (Floyd *et al.*, 2000)). We targeted this denser ipsilateral projection and the targeting of left or right pathways was counterbalanced amongst animals.

8 We wanted to investigate cortical control of the DPMS that routes via the PAG. The ventrolateral 9 column of the PAG is a known source of descending pain modulation (McMullan and Lumb, 2006a, 10 2006b) and it is the caudal section that receives ascending inputs from the lumbar spinal cord that 11 represents the hind-paws (Mouton et al., 1997). In the rat, the caudal ventrolateral PAG (vIPAG) is 12 primarily innervated from rostral portions of the PrL (Floyd et al., 2000). Therefore, we targeted 13 delivery of CAV2-CMV-Cre to the caudal vIPAG and Cre-dependent AAV vectors to the rostral PrL on 14 the same side.

A craniotomy was made over the PAG (AP: -7.5 to 8.5, ML: ±1.8 mm). CAV2-CMV-CRE (300nl / 4.95x10<sup>8</sup>
physical particles each site, Institut De Génétique Moléculaire De Montpellier, France) was delivered
to the vIPAG at two caudal sites; AP -7.5, ML 1.8 and DV 5.4 and AP -8.00, ML 1.8 and DV 5.4mm from
the brain surface with a 9° lateral to medial angle. Approximately 20nl of fluorescent microspheres
(RetroBeads, Interchim, USA) were included in the injectant in some animals to mark the injection site.
A second craniotomy was made over mPFC (AP: +3.0 to 5.0, ML ±0.4-0.8) allowing injection of CRE-

- 21 dependent AAVs encoding ChR2 and hM4Di :
- 22
- AAV2-EF1a-DIO-hChR2-EYFP (3.2 x 10<sup>12</sup>vg/ml, UNC Vector Core, USA) &
- AAV2-hSyn-DIO-hM4Di-mCherry (4.6 x 10<sup>12</sup> vg/ml, Addgene, USA)

1 These were mixed to equal titres and delivered to the rostral PrL at three anteroposterior locations

- 2 and at two dorsoventral levels.
- 3 1. AP: +4.2, ML ±0.6, DV -2.5 & -2.0 mm
- 4 2. AP +3.8, ML ±0.6, DV -3.3 & -2.5 mm
- 5 3. AP +3.20, ML ±0.6, DV -3.3 & -2.5 mm

6 Viral vectors were delivered using a pulled glass pipette (Broomall, USA) attached via silicon tubing to 7 a 25µl Hamilton syringe (Hamilton Company, USA). The whole system was filled with paraffin oil to 8 allow for back filling of the pipette tip with viral vector. Delivery of the vector was controlled using a 9 motorized syringe pump (Aladdin Syringe Pump, World Precision Instruments, USA), delivered at a 10 rate of 200nl per minute and pipettes were left in place for ~10 minutes following vector delivery to 11 allow for vector redistribution into the parenchyma.

12 An optic fibre (Lambda B, NA 0.66, length 4.4mm, width 200µm, light emitting length 2mm, Optogenix, 13 Italy) was inserted to AP +4.2, ML ±0.6, DV -3.3mm from the cortical surface to enable light delivery 14 across the full dorsoventral extent of the rostral PrL. Four skull screws were placed within separate 15 cranial plates (M1, 1mm diameter, 3 mm length, NewStar Fastenings, UK). The optic fibre was secured 16 to an adjacent scull screw using Gentamicin CMW DePuy bone cement (DuPuy Synthes, Johnson & 17 Johnson, USA). The craniotomies from the vector injections were then filled with artificial dura 18 (duraGel, Cambridge Neurotech, UK), the skull's surface covered with bone cement and the skin 19 incision closed using adsorbable suture (Vicryl, Ethicon Inc, Johnson & Johnson, USA) leaving the optic 20 fibre ferrule connector protruding.

21 Tibial Nerve Transection

Rats underwent recovery surgery for transection of the Tibial nerve (TNT) to produce a neuropathic pain state (Richardson *et al.*, 2015). This model was chosen for its gradual development of hypersensitivity as well as known contributions of descending pain modulatory system (Hughes *et al.*,

1 2013, 2015). Briefly, rats had induction of anaesthesia using isoflurane (5% in O<sub>2</sub>; Henry Schinn, UK) 2 and maintained at a surgical plane of anaesthesia using 2-3% isoflurane in  $O_2$ . The tibial nerve 3 contralateral to the transfected PrL-P pathway was exposed and transected before the wound closed. 4 An incision was made from below the hip, parallel to the femur and toward the knee. The underlying 5 connective tissue was dissected away and the fascial plane between gluteus superficialis and bicep 6 femoris was dissected to expose the branches of the sciatic nerve. The Tibial nerve was identified and 7 carefully freed from connective tissue. Two ligatures of sterile 5.0 braided silk (Fine Science Tools, 8 Germany) were tightly ligated approximately 5mm apart. The length of nerve between the two sutures 9 was then transected and removed leaving the ligatures in place. The overlying muscle and skin were 10 closed using adsorbable suture. Post-surgery no analgesic was provided so as to not interfere with 11 pain state development.

12 Nociceptive Testing

Rats underwent longitudinal nociceptive sensory testing before and after TNT. This was conducted with / without opto-activation and chemo-inhibition of PrL-P neurons to investigate their contribution to nociceptive threshold / pain-like behaviour in naïve and TNT rats. Neuropathic animals underwent testing for mechanical (Von Frey) before cold allodynia (acetone). There was more than 30 minutes between pre-CNO and post-CNO. All behaviour was recorded using a video camera (c930, Logitech, Switzerland) attached to a computer running video acquisition software (OBS Studio, Open Broadcaster Software) for offline analysis.

Heat Sensitivity: Thermal withdrawal latencies were measured for the hind-paw (Hargreaves *et al.*, 1988). Animals were habituated to the testing apparatus and experimenter for 10 minutes for at least 5 days prior to the start of the experiment. On experimental days animals were placed in a Plexiglass chamber, on top of a raised glass plate so that the infrared (IR) beam (Ugo Basile Plantar test, Italy) could be positioned under the plantar surface of the hind paws. The IR beam intensity was adjusted so that animals withdrew their paws at a latency of ~8s. Animals had IR light delivered to both left

and right hind paw with a ~4 min interstimulus interval between paws and hence >8 minute interstimulus interval between consecutive stimuli on the same paw to prevent sensitization. A cut off
latency of 15 seconds was used to prevent tissue damage and subsequent sensitization. Stability of
baseline withdrawal latency was considered to have been achieved when 3 consecutive latencies were
within 2 seconds of each other.

6 Punctate mechanical sensitivity: To assess mechanical sensitivity animals were placed in a Plexiglass 7 chamber on top of a raised metal grid to allow access to the plantar surface of the hind-paw. Rats 8 were habituated to the testing apparatus and experimenter for 10 mins at least 5 days before the start 9 of the experiment. Von Frey filaments (range 2.36-5.18mN) (Ugo Basile, Italy) were applied to the 10 lateral aspect of the plantar surface of the hind-paw. The 50% withdrawal threshold was determined 11 using the Massey-Dixon up-down method (Chaplan *et al.*, 1994)

Acetone: To assess cold sensitivity, rats were placed in a Plexiglass chamber on top of a raised metal grid to allow access to the plantar surface of the hind-paw. Using a 1ml syringe, a drop of Acetone (~0.1ml) was applied to the lateral aspect of the hind-paw and the number of nocicfensive events (paw shakes, licks and/or bites) recoded for up to 1 minute following application. This was repeated 3 times for each paw with an ISI of 2 minutes.

17 Manipulation of PrL-P Neurons.

For experiments involving opto-activation of PrL-P neurons Naïve<sup>PrL-P.ChR2:hM4Di</sup>, Naïve<sup>PrL-P.Control</sup>. TNT<sup>PrL-</sup> 18 P.ChR2:hM4Di and TNT<sup>PrL-P.Control</sup> rats were tethered to a light source (445nm diode laser, Omicron Laserage, 19 20 Germany) using an optical fibre patch cable (FT200EMT, Thor Labs, USA) to connect the head-mounted 21 ferrule to the laser source allowing blue light to be delivered to the PrL via the implanted optic fibre. 22 Once stable baseline withdrawal latencies were obtained two light stimulation rounds (445nm, 10-15mW, 20hz, 10ms pulse width, starting 1 minute before initiation of the IR beam) and two no light 23 24 rounds were delivered to the PrL in a randomized order. Output of optic fibres were determined prior 25 to implant by measuring the light power at the fibre tip over a range of laser strengths using a monitor 1 (PM120D, ThorLabs, USA). The average withdrawal latency for light stimulation rounds was compared

2 to the average withdrawal latency for low-light stimulation rounds.

3 Experiments involving chemo-inhibition of PrL-P neurons were conducted on a separate day to opto-4 activation experiments. Once stable baseline withdrawal latencies for each hind paw were obtained 5 animals received clozapine-N-oxide (CNO), the selective ligand for the hM4Di receptor, via an intraperitoneal injection at a dose of 2.5mg.kg<sup>-1</sup>. Animals were placed back in the testing box and IR 6 7 hind paw stimulation started 10 minutes after CNO delivery. Withdrawal latencies to plantar IR 8 stimulation were recorded for both hind paws for at least 60 minutes post injection and the average 9 withdrawal latency for recordings 20-40 minutes following CNO delivery were compared to the 10 average baseline latencies for each paw.

The effect of chemo-inhibition of PrL-P neurons on mechanical withdrawal thresholds was assessed in TNT<sup>PrL-P.ChR2-hM4Di</sup> and TNT<sup>PrL-P.Control</sup> rats. Following, pre-CNO testing animals received systemic CNO (2.5mg.kg<sup>-1</sup>) via an i.p injection and returned to their home cage. 20 minutes following CNO injection animals were placed back in the testing chamber and allowed to habituate for 10 minutes. Von Frey testing was repeated at 30 minutes post-CNO. The 50% withdrawal threshold obtained following CNO was compared to pre-CNO withdrawal threshold for that day.

The effect of opto-activation of PrL-P neurons on the 50% withdrawal thresholds was assessed in TNT<sup>PrL-P.ChR2-hM4Di</sup> and TNT<sup>PrL-P.Control</sup> rats at a late state (>21 days). Rats underwent baseline Von Frey testing prior to blue light delivery as previously described. Following, blue light (445nm, 10-15mW, 20hz, 10ms pulse width) was delivered continuously starting 1 minute prior to Von Frey testing and continuing to the end of testing. The 50% withdrawal threshold was compared with and without optoactivation of PrL-P neurons.

23 Behavioural Testing

1 Conditioned Place Aversion: A second cohort of TNT rats that did not have longitudinal sensory testing 2 were tested in a conditioned place aversion paradigm to assess the contributions of PrL-P neurons to affective state. TNT<sup>PrL-P.ChR2:hM4Di</sup> and TNT<sup>PrL-P.Control</sup> rats were habituated to a three-compartment box 3 4 with a neutral compartment connecting two larger conditioning chambers. Chambers differed 5 in their visual and tactile cues ('bars' or 'holes' for flooring and vertical or horizontal striped wallpaper 6 with equal luminosity) to maximize their differentiation. A Baslar camera (acA1300-60 gm) with a 7 varifocal lens (Computar H3Z4512CS-IR) connected to EthovisionXT (Noldus, NL) was used to record 8 the time rats spent in each compartment. Rats were allowed to freely explore all three compartments 9 for 20 minutes on day 1 to obtain baseline preference. No rats exhibited excessive chamber bias (>80% 10 total time in a single chamber). After habituation, rats had TNT surgery and two days later started conditioning sessions (over 4 days) in which a compartment was paired with CNO (2.5mg.kg<sup>-1</sup> i.p) or 11 12 vehicle (2 sessions each). The chamber – drug pairings and the order in which they were presented 13 were randomized and counterbalanced amongst animals. For each pairing session, rats received CNO 14 or vehicle and were returned to their home cage for 10 minutes to prevent any negative association 15 between restraint/injection and conditioning compartment. Rats were then placed in the conditioning 16 compartment for 35 minutes. A single pairing session was conducted on each of the 4 days to prevent 17 carry over of any CNO effects. Pairing sessions for each rat were conducted at the same time on each 18 day. On the test day, animals were placed in the neutral compartment and allowed to freely explore 19 all three compartments for a total of 20 minutes and the time spent in each compartment recorded. 20 A 'preference score' was calculated by taking the percentage of time spent in the CNO-paired 21 compartment on test day (relative to the total time spent in all three chambers), normalized by the 22 percentage time spent in the same chamber on pre-test day (relative to total time spent in all three 23 chambers) (Meda et al., 2019). Preference scores for CNO and Vehicle paired chambers were 24 compared within each animal. Preference or aversion to CNO-paired chamber is expected to be influenced by the valence of chemo-inhibition of PrL-P neurons. Preference scores of < 1 indicate place 25 26 aversion and those > 1 preference.

#### 1 Electrophysiology

In vivo spinal dorsal horn recordings: TNT<sup>PrL-P.ChR2:hM4Di</sup> rats were terminally anaesthetised with 2 3 urethane (1.2-2g/kg i.p, Sigma). The spinal cord was exposed by laminectomy over T13-L3 spinal segments to allow access to the spinal cord (Leith et al., 2014; Drake et al., 2016). The animal was 4 5 placed in a stereotaxic frame with spinal clamps (Narishige Japan) and the spinal cord stabilised at T12 6 and L4, and a bath formed by skin elevation. A reference electrode was placed in nearby musculature. 7 The spinal dura matter was carefully removed using bent tipped needles (25G) under binocular vision. 8 The skin pool was filled with warm agar and, once cool, a recording window cut out and the void filled 9 with warm (~37°C) mineral oil. Using a hydraulic manipulator (Narishige, Japan) a 4 contact silicon 10 probe (Q-probe, NeuroNexus, USA) was advanced into the spinal dorsal horn and recordings of single 11 dorsal horn neurones made between 250 – 800µm deep to the surface. Neural activity was amplified 12 and digitised on a headstage microchip (RHD2132, Intan technology) and captured to computer at 30kHz using an Open Ephys acquisition system and associated software (OpenEphys, USA). 13

14 Low threshold brush and touch applied to the paw were used as a search stimulus as the recording 15 electrode was advanced into the spinal dorsal horn. Once single units were isolated non-noxious 16 and/or noxious mechanical (VonFrey filaments) and cold (acetone) were applied to the receptive field 17 on the lateral aspect of the hind leg/paw. Wide dynamic range neurones were identified by their graded response to non-noxious and noxious stimuli (≥15g Von Frey). A baseline stimulus – response 18 19 relationship was obtained by applying 4 and 15g von Frey filaments and a single drop of acetone to 20 the receptive field. This was repeated 3 times for each stimulus with a 10s inter-stimulus interval 21 between Von Frey filaments and 1 min between acetone drops. To optogenetically activate the PrL-P 22 neurons, blue light was delivered via the implanted optic fibre (445nm, 10-15mW, 20Hz, 10ms pulse 23 width) continuously starting 1 min prior to peripheral stimulation and lasting until the end of the 24 stimulus set (4 & 15g vF hairs and acetone stimuli were reapplied 3 times). The average number of

evoked action potentials for each stimulus was compared before, during and 5 mins following opto activation of PrL-P neurons.

3 Histological Processing

Tissue collection and processing: Rats were killed with an overdose of pentobarbital (20mg/100g, i.p, Euthalal, Merial Animal Health) and perfused trans-cardially with 0.9% NaCl (1ml per gram) followed by 4% formaldehyde in phosphate buffer (PB). The brains were dissected out and post-fixed overnight in the same solution before cryoprotection in 30% sucrose in PB. Coronal sections were cut at 40µm using a freezing microtome and left free floating for fluorescent immunohistochemistry or mounted on slides to identify optic fibre tracts and/or injection sites for viral vector delivery using light microscopy.

11 Immunofluorescence: Tissue sections were incubated free floating on a shaking platform with 12 phosphate buffer containing 0.3% Triton-X100, 5% normal goat serum (Sigma) and primary antibodies 13 to detect EGFP (ab13970, Abcam) or mCherry (5993-100, BioVision) for 24 hours at room temperature. 14 After washing with PB, sections were incubated for 3 hours at room temperature with an appropriate 15 Alexa Fluor secondary antibody. Following, sections were washed before mounting on glass slides in 16 1% gelatin solution and, once dried, cover slipped using FluroSave reagent (345789, Merck-Millipore, 17 Germany). Sections were imaged on a Leica DM16000 inverted epifluorescence microscope equipped 18 with Leica DFC365FX digital camera and LAS-X acquisition software.

Transduction Mapping: To create maps of the distribution of transfected neurons within the mPFC, a series of coronal mPFC sections from 3 animals were manually plotted. Each section was paired to a matching coronal diagram from the Rat Brain Atlas (Paxinos and Watson, 2007), at ~120µm intervals (every third section). Using an epiflurescent microscope (Zeiss Axioskop II inverted microscope equipped with a CooLED pE-100 excitation system, filter blocks - red: filter set number 15 (DM 580 nm, BP 546/12 nm, LP 590 nm) and green: filter set number 09 (DM 510 nm, BP 450–490 nm, LP 515 nm), mCherry+ cells were plotted. The diagrams were digitised into the photo editing software GIMP.2

1 (Creative Commons) allowing superimposition to create conjunction maps indicating the extent of 2 labelled areas of the mPFC within each cohort. A digital grid was used to divide up the cortical field 3 and the number of positively labelled neurones counted within each 0.2mm<sup>2</sup> grid from each animal. 4 The consistency of positive labelled neurons within each grid square was represented on a grayscale 5 with black indicating positively labelled cells in all rats and white indicating no cells. To determine the 6 proportion of transfected neurons that co-expressed both ChR2-EYFP and hM4Di-mCherry composite 7 widefield images were taken at 20X magnification of every 6th section in a series of consecutive mPFC 8 section from ~+5.10 to +2.8 mm from bregma and from three experimental animals. From these 9 images the distribution of EYFP, mCherry, and co-localised neurons were quantified. 10 Drugs 11 ClozepineN-Oxide (Tocris, UK) was purchased and made up on the day of use in DMSO and diluted 12 with 0.9% NaCl to a final concentration of 2.5mg.ml<sup>-1</sup> and 5% DMSO. 13 **Quantification and Statistics** 14 All statistical analysis was conducted using GraphPad Prism 8. All data are presented as mean ± SEM. 15 Sample sizes were estimated from previous experience and with reference to literature (Hughes et al.,

2013; Drake *et al.*, 2016; Hirschberg *et al.*, 2017). Students t-test (paired and unpaired), repeated measures two way ANOVA or Mixed Model were used to compare groups as appropriate. This mixed model uses a compound symmetry covariance matrix and is fit using Restricted Maximum Likelihood (REML). Sidak's or Dunnett's post-test were used for comparisons between multiple groups and where appropriate. The number of replications (n) is the number of data points used in the statistical test that is either the number of animals for behavioural testing or the number of neurons for electrophysiological experiments.

23

#### 1 Results

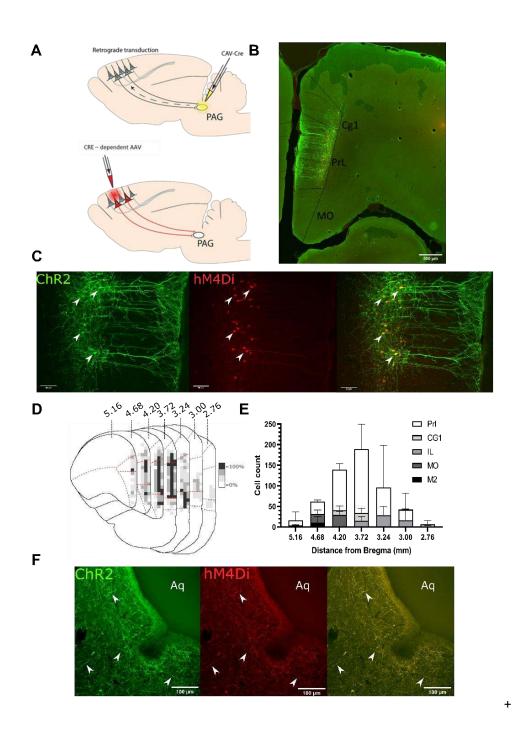
#### 2 Targeting mPFC $\rightarrow$ PAG neurons in the pre-limbic cortex.

3 To make selective manipulations of medial prefrontal cortex (mPFC) neurons that project to the PAG 4 we expressed the excitatory light activated ion channel, Channelrhodopsin2 (ChR2) and the inhibitory 5 ligand gated g-protein coupled receptor, hM4Di, in mPFC pyramidal neurons using an intersectional 6 and Cre-dependent approach (Fig 1A). This approach led to the expression of hM4Di-mCherry and/or Chr2-YFP on average in 248±71 mPFC pyramidal neurons (n=3 rats) that were located in layer 5/6 (Fig1 7 8 B,C). Colocalization of hM4Di-mCherry and Chr2-EYFP was found in 76.1±3.3% of labelled neurons 9 with 23.9±3.3% expressing hM4Di only and no cells that expressed ChR2-EYFP alone. The majority of 10 labelled neurones were found in the pre-limbic cortex (PrL versus Medial Orbital 72±1.5 vs 10.8±4.6%) 11 (Fig1 D&E). Successful targeting of the PrL-P neurons was confirmed by the presence of hM4Di-12 mCherry and Chr2-EYFP labelled fibres within the ventrolateral (vl)PAG (Fig 1F). In control animals, in 13 which no CAV-CMV-CRE was delivered to the vIPAG there was negligible expression of actuator protein in the mPFC after delivery of cre-dependent AAV vectors (Sup Fig1). 14

### 15 **PrL-P neurons bidirectionally regulate nociception in naïve rats.**

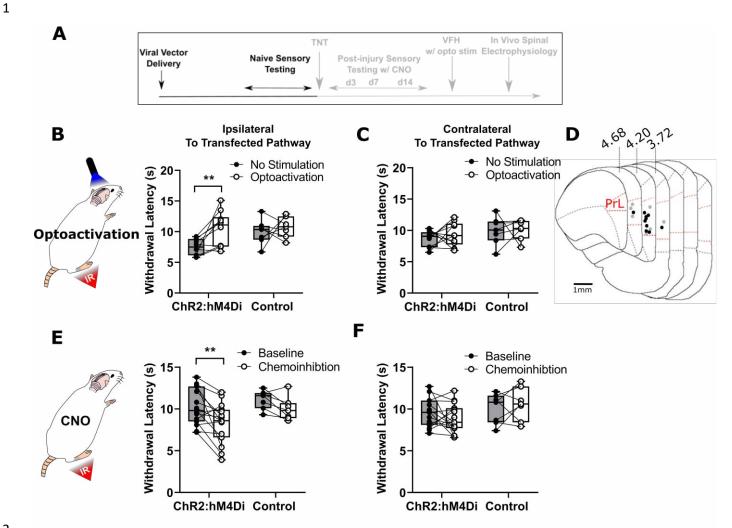
16 To determine the effect of PrL-P neurons on noxious withdrawal threshold in healthy animals, ChR2/hM4Di-expressing (Naïve<sup>PrL-P.ChR2:hM4Di</sup>) and control (Naïve<sup>PrL-P.Control</sup>) rats underwent Hargreaves' 17 18 testing with opto-activation or chemo-inhibition of PrL-P neurons (Fig 2A-F). Opto-activation of PrL-P neurons (10-15mW, 20Hz, 10ms pulse) in Naïve<sup>PrL-P.ChR2-hM4Di</sup> rats produced a significant increase in 19 20 thermal withdrawal latencies ipsilateral, but not contralateral, to the transfected PrL-P pathway (baseline versus PrL-P opto-activation =  $7.5 \pm 0.4$  vs  $10.4 \pm 0.9$  seconds, p=0.008, paired t-test, n=10) 21 (Fig 2 B & C). The equivalent illumination paradigm in Naïve<sup>PrL-P.Control</sup> rats did not alter ipsilateral or 22 23 contralateral withdrawal latencies (Fig 2B,C&D). Conversely, chemo-inhibition (2.5mg.kg-1 CNO i.p.) of PrL-P neurons in the same Naïve<sup>PrL-P.ChR2-hM4Di</sup> rats that received opto-activation significantly 24 decreased the average withdrawal ipsilateral, but not contralateral, to the transfected PrL-P pathway 25

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3 Figure 1. Transfected mPFC->PAG neurons arise mainly from the pre-limbic cortex. A - Intersectional viral 4 vector strategy using retrograde canine adenovirus and Cre-dependent adeno-associated viral vectors to express 5 genetically encoded actuators (both ChR2 and hMD4i) to target mPFC neurons that project to the PAG. B -6 Photomicrograph of mPFC showing labelled neurones residing mainly in the pre-limbic cortex. C – Pre-limbic 7 cortex with co-localisation of mCherry (hM4Di) and EYFP (ChR2) in neurons projecting to PAG (many examples 8 but several marked with white arrows). D – Conjunction plot illustrating location of mPFC $\rightarrow$ PAG neurons 9 throughout the medial prefrontal cortex (n=3 rats). Darker shading indicates positional overlap of positively 10 labelled (hM4Di) neurons from more than one animal (light = 1 animal, mid = 2, dark =3). Dotted red line demarks 11 the pre-limbic cortex. **E** - Comparative distribution of mPFC $\rightarrow$ PAG neurons throughout the cortex (mean ± SEM). 12 F – Photomicrograph showing ChR2-EYFP & hM4Di-mCherry containing fibres from mPFC projecting to the 13 ventrolateral region of PAG (many examples but several marked with white arrows).



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4 Figure 2. PrL-P neurons bidirectionally regulate nociception in naive rats. A - Experimental timeline. B -5 Illumination of PrL (445nm, 20Hz, 10-15mW, 10ms pulse, concomitant with hind-paw heating) in 6 Naive<sup>PrL.ChR2:hM4Di</sup> rats increases thermal withdrawal latencies on the ipsilateral hind paw but not in Naive<sup>PrL.Control</sup> 7 rats that do not express ChR2 (Paired t-test p=0.008, n=10 for ChR2:hM4Di group; p=0.55 n=7 for control group) 8 and C - Equivalent illumination of PrL has no effect on the thermal withdrawal latency in the contralateral hindpaw in either Naive<sup>PrL.ChR2:hM4Di</sup> or Naive<sup>PrL.Control</sup> (paired t-test p=0.40, n=10 for Naive<sup>PrL.ChR2:hM4Di</sup> rats; p=0.90, n=7 9 for the Naive<sup>PrL.Control</sup> rats). **D** – Optic fibre tip locations in the mPFC from Naive<sup>PrL.ChR2:hM4Di</sup> (•) and Naive<sup>PrL.Control</sup> 10 (0) rats. For simplicity, fibre placements are depicted in a single hemisphere.  $\mathbf{E} - CNO$  (2.5mg.kg<sup>-1</sup> i.p) in 11 Naive<sup>PrL.ChR2:hM4Di</sup> rats decreased withdrawal latencies (mean value at 20-40mins post injection) on the ipsilateral 12 paw but not in Naive<sup>PrL.Control</sup> rats (Paired t-test p = 0.006, n = 15 for Naive<sup>PrL.ChR2:hM4Di</sup> rats; p=0.55, n = 7 for 13 Naive<sup>PrL.Control</sup> rats) and **G** –CNO had no effect on the thermal withdrawal latency of the contralateral hind-paw 14 in either Naive<sup>PrL.ChR2:hM4Di</sup> rats or Naive<sup>PrL.Control</sup> rats (paired t-test p=0.24 n=15 for Naive<sup>PrL.ChR2:hM4Di</sup> rats; p=0.68, 15 16 n=7 for Naive<sup>PrL.Control</sup> rats).

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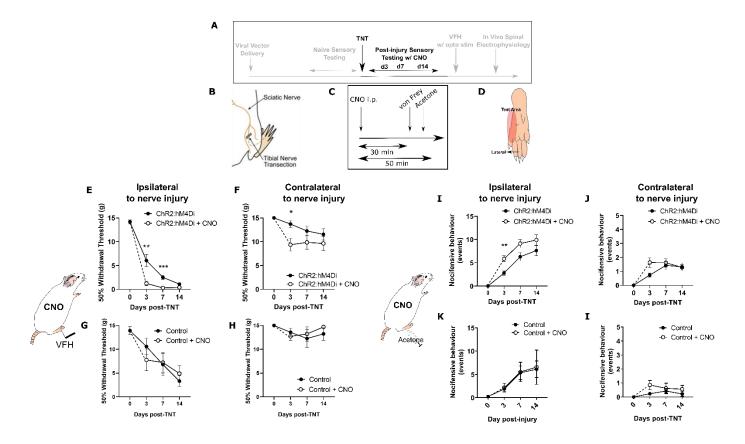
18 (Baseline vs chemo-inhibition of PrL-P =  $10.3 \pm 0.6$  vs  $8.3 \pm 0.6$  seconds, p=0.006, paired t-test, n = 15

19 (Fig 2 E&F)). CNO had no significant effect on withdrawal thresholds in Naïve<sup>PrL-P.ChR2-hM4Di</sup> rats (Fig

2E&F). These findings demonstrate that there is a tonic level of activity within the PrL-P pathway that
 dynamically regulates nociception in the absence of any process of sensitisation.

#### 3 Tonic activity in PrL-P neurons delays the development of neuropathic hypersensitivity.

4 The TNT model of neuropathic pain was used to assess the contribution of PrL-P neurons to the development of sensitisation (Fig 3A-D). TNT<sup>PrL-P.ChR2-hM4Di</sup> and TNT<sup>PrL-P.Control</sup> rats had nociceptive 5 6 sensory testing before and after CNO (2.5mg.kg<sup>-1</sup>, imp Fig 3C) longitudinally up to 42 days post-nerve 7 injury (suppl Fig 2). Chemo-inhibition of PrL-P neurons unmasked mechanical and cold hypersensitivity 8 In TNT PrL-P.ChR2-h M4Di rats, for the ipsilateral, nerve injured, hind paw at day three post nerve injury (Fig 9 3 E&I). The mechanical withdrawal threshold (von Frey) was reduced no average by 80% on day 3 post 10 TNT, from  $6.0\pm1.3g$  (pre-CNO) to  $1.2\pm0.5g$  (post-CNO) (2Way ANOVA. CNO F(1,30)=20.09 p=0.0001. Sidak's post-test day 3 pre-CNO vs CNO p=0.008, n=16) (Fig 3E). Similarly, the number of cold-evoked 11 12 nocicifensive behaviours (foot flicking, biting and grooming) was significantly increased ipsilaterally by PrL-P chemo-inhibition at day 3 post-TNT from 2.8±0.5 to 5.8± 0.7 events (2Way ANOVA. CNO 13 14 F(1,30)=9.6 p=0.004, Sidak's post-test day 3 pre-CNO vs Post CNO p=0.003, n=16) (Fig 3I). At 7 days 15 post nerve injury, PrL-P chemo-inhibition also significantly decreased the ipsilateral mechanical 16 withdrawal threshold from 2.5±0.5 to 0.30±0.06 grams (Sidaks post-test p=0.001, n=16, Fig 3E). For 17 the contralateral (uninjured) paw PrL-P chemo-inhibition significantly reduced mechanical withdrawal thresholds at day 3 post-TNT from 13.5±0.7 to 9.0±1.4 grams (2Way ANOVA. CNO F(1,30) = 5.77 18 19 p=0.02, Sidak's post-test day 3 pre-CNO vs CNO p=0.03, n=16) but not thereafter (Fig3 F). From 14 20 days post-nerve injury and up to 42 days PrL-P chemo-inhibition ceased to significantly change either 21 mechanical or cold-evoked nocifensive behaviour on the ipsilateral hind-paw (Fig3 E&I, Supl Fig 2). In



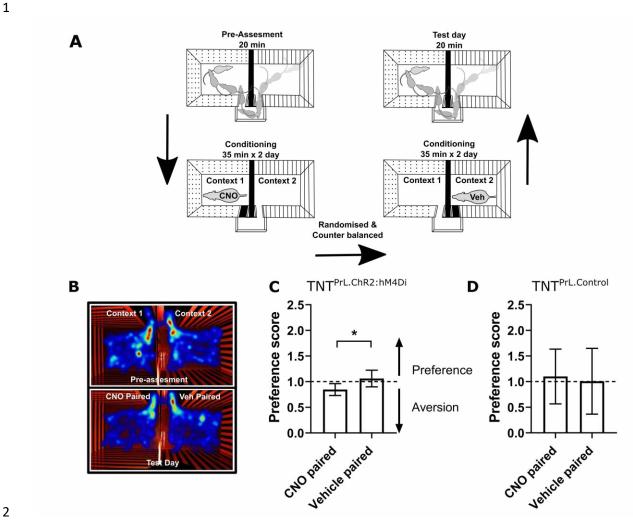
**Figure 3.** Inhibition of PrL-P neurons unmasks hypersensitivity in neuropathic rats. A – Experimental timeline. B –Tibial nerve transection (TNT) was used to produce the neuropathic injury. C- Sensory testing was conducted at 30 mins after systemic delivery of CNO and D- testing was conducted on the lateral plantar surface of the hind-paw in a receptive field adjacent to injured tibial nerve. E – In TNT<sup>PrL.ChR2:hM4Di</sup> rats, CNO (2.5mg.kg<sup>-1</sup> i.p) reduces mechanical withdrawal threshold at 3 and 7 days post nerve injury on the ipsilateral (injured) hind-paw (2-way ANOVA, Main effect CNO F(1,30)=20.09 p=0.0001, Time x CNO F(2, 60)=6.892 p=0.002. Sidaks post-test \*\*p<0.01, \*\*\*p<0.001, n= 16) and F - on the contralateral paw at 3 days post-injury (2Way ANOVA, CNO F(1,30) = 5.77 p=0.02, Sidak's post-test \*P<0.05, n = 16). G & H - In TNT<sup>PrL.ChR2:hM4Di</sup> rats, the same dose of CNO did not alter mechanical withdrawal thresholds on either the ipsilateral or contralateral hind-paw (2-way ANOVA, main effect; ipsilateral CNO F(1,14)=0.15 p=0.71, n=8 respectively). I – In TNT<sup>PrL.ChR2:hM4Di</sup> rats, CNO increased acetone-evoked nocifensive events at 3 days post injury on the ipsilateral paw (2-way ANOVA, main effect CNO F(1,30)=9.6 p=0.004, Sidak's post-test \*\*p<0.001, n=16) but not contralaterally (J – 2WAY ANOVA, main effect CNO F(1,29)=1.3 p=0.26, n=16). K&I - In TNT<sup>PrL.Control</sup> rats, CNO did not alter acetone evoked nocifensive behaviour (2-way ANOVA, main effect CNO ipsilateral F(1,12)=0.02, p=0.89, n=7; main effect CNO contralateral F(1,12)=2.2 p=0.16, n=7).

1 TNT<sup>PrL-P.Control</sup> rats, CNO failed to significantly change either mechanical withdrawal thresholds or cold 2 (acetone) evoked nocicfensive behaviour on either the ipsilateral or contralateral paw at any 3 timepoint post-TNT (Fig 3G,H, K, I). These results suggest that PrL-P neurons provide a tonic 4 descending drive to oppose peripheral sensitisation during the early stages of development of 5 neuropathic pain but this effect is lost as sensitisation becomes established after 14 days.

#### 6 Chemogenetic inhibition of PrL-P neuronal activity is aversive in TNT rats with latent sensitisation.

7 Neuropathic sensitisation is associated with negative affect (King et al., 2009; Hirschberg et al., 2017) 8 which raises the possibility that PrL-P neurons act to oppose the development of negative affect. If so, 9 then chemo-inhibition of PrL-P neurons in the early phase after nerve injury would be expected to cause aversion. To test this proposition, TNT PrL-P.ChR2-hM4Di and TNTPrL-P.Control rats had place aversion 10 11 testing with CNO conditioning between days 2 and 5 post-TNT (Fig 4A). TNT PrL-P.ChR2-hM4Di animals 12 showed an aversion to the CNO paired chamber (post-conditioning – pre-conditioning time = -13 82.9±24.7 seconds, n=8) (Fig 4B&C). We calculated the preference of each rat for the CNO or vehicle 14 paired chamber and found TNT <sup>PrL-P.ChR2-hM4Di</sup> animals showed a significantly reduced preference score 15 compared to the vehicle paired chamber (Fig 4C; CNO paired vs vehicle paired =  $0.8\pm0.04$  vs  $1.06\pm0.06$ , paired t-test p=0.04, n=8). TNT<sup>PrL-P.Control</sup> animals showed no difference in preference score for CNO and 16 17 vehicle paired chambers (Fig 4D, CNO paired vs vehicle paired =  $1.1\pm0.18$  vs  $1.00\pm0.21$ , paired t-test 18 p=0.81, n=9). These findings are consistent with PrL-P neuronal activity opposing the development of 19 negative affect in the immediate period after nerve injury

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3 Figure 4. Inhibition of PrL-P neurons produces aversion in neuropathic animals. A -conditioned place aversion 4 protocol. B- Example heatmap visualisation of the time spent within the testing chambers prior (top) and following conditioning with CNO or vehicle. C – Group data showing conditioning with CNO in TNT<sup>PrL.ChR2:hM4Di</sup> 5 rats 2-5 days after TNT produced aversion (paired t-test, p=0.04, n=8). E – CNO administration to TNT<sup>PrL.Control</sup> 6 7 rats did not produce aversion (Paired t-test, p=0.81, n=9

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#### 9 Restoration of PrL-P neuronal tone is analgesic in established neuropathic sensitisation.

10 To test whether the loss of function by PrL-P neurons in later stage neuropathic sensitisation could be reversed we employed opto-activation of PrL-P to test if it was still able to suppress sensitisation (Fig 11 5). Opto-activation in TNT<sup>PrL-P.ChR2:hM4Di</sup> rats (20Hz, 10ms, 10-15mW) produced an increase in the 12

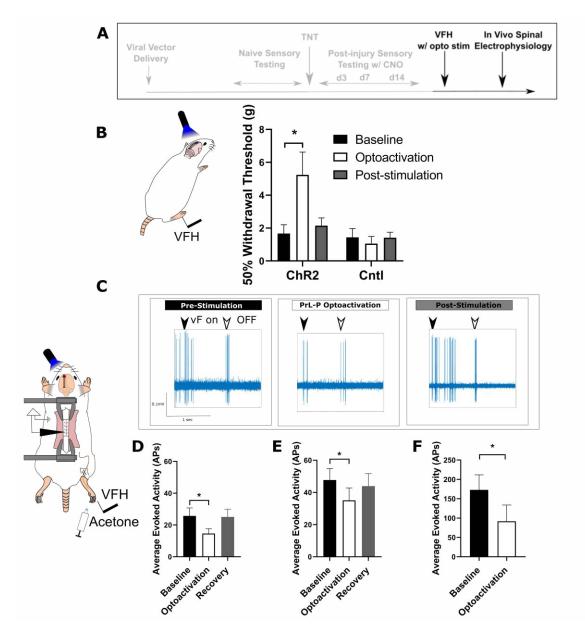
mechanical withdrawal threshold (Baseline vs opto-activation vs recovery = 1.7±0.5 vs 5.2±1.4 vs
2.1±0.5 grams, 1Way RM ANOVA, p=0.02, Sidak's post-test baseline vs opto-activation p=0.01, n = 9)
(Fig 5B). Equivalent illumination in TNT<sup>PrL-P.control</sup> rats did not change the mechanical withdrawal
threshold (baseline vs opto-activation vs recovery = 1.4±0.5 vs 1.0±0.4 vs 1.4±0.3, n=3) (Fig 5B). This
data indicates that the PrL-P neurons are still capable of supressing neuropathic sensitisation in late
stage TNT rats.

## 7 PrL-P produces analgesia in neuropathic pain by inhibition of dorsal horn nociceptive responses.

8 To better understand the mechanism by which the PrL-P neurons suppress neuropathic sensitisation, TNT<sup>PrL-P.ChR2:hM4Di</sup> rats were tested in acute spinal electrophysiology experiments. Opto-activation of 9 10 PrL-P neurons attenuated the evoked responses of spinal dorsal horn wide dynamic range (WDR) 11 neurons (Fig 5C-F). The number of action potentials evoked by a punctate mechanical stimulus with a 12 4g and 15g Von Frey hair was reduced on average by 43% and 23% respectively (Fig 5D&E. 4g vF, baseline vs opto-activation vs recovery = 25.7±5.0 vs 14.63±3.1vs 25.0±45.0 action potentials. Mixed 13 14 Model (REML), Fixed effect Treatment p=0.007. Dunnett's post-test baseline versus opto-activation p=0.009, n=9; Fig 5E, 15g vF, baseline vs opto-activation vs recovery =  $45.17 \pm 6.9$  vs  $34.5 \pm 6.9$  vs 43.915 16  $\pm$ 7.8. Mixed Model (REML) p=0.10, Dunnett's post-test baseline versus opto-activation p=0.04, n=9). 17 Similarly, cold-evoked spinal WDR neuron activity was significantly reduced by opto-activation of PrL-18 P neurons (Fig 5F, average reduction of 47%, Baseline vs opto-activation =  $172.0 \pm 38.8$  vs  $91.7 \pm 42.2$ 19 action potentials, paired t-test, p=0.04, n=4). This data indicates that the PrL-P neurons are acting to 20 suppress neuropathic sensitisation (punctate and cold allodynia) at a spinal level through the 21 engagement of the DPMS.

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2 Figure 5. Activation of PrL-P neurons produces analgesia in neuropathic rats by inhibition at a spinal level. A -3 Experimental timeline. B - Delivery of blue light (445nm, 20Hz, 10-15mW, 10ms pulse, concomitant with hindpaw stimulation) produced a significant increase in mechanical withdrawal thresholds in TNT<sup>PrL.ChR2:hM4Di</sup> rats (RM 4 5 ANOVA, Treatment F(1.12, 8.96)=8.07 p=0.02, Sidak's post-test \*p<0.05, n=9) but not TNT<sup>PrL.Control</sup> (RM ANOVA, 6 Treatment F(1, 2)=0.35 p=0.61, n=3). C – Example raw data trace illustrating suppression of von Frey hair evoked 7 spinal dorsal horn neuron activity during blue light (420nm, 10-15mW, 10ms duration, concomitant with hindpaw stimulation) delivery to the PrL in TNT<sup>PrL.ChR2:hM4Di</sup> rats (arrows demark beginning and end of stimulus). **D** – 8 9 Group data illustrating significant suppression of 4g evoked spinal dorsal horn neuronal activity by illumination of the PrL in TNT<sup>PrL.ChR2:hM4Di</sup> rats (Mixed Model (REML), p=0.007, Dunnet's post-test \*p<0.05, n=10). E -10 Illumination of the PrL in TNT<sup>PrL.ChR2:hM4Di</sup> rats also significantly supressed 15g evoked spinal dorsal horn neuronal 11 12 activity (Mixed model (REML), p=0.10, Dunnet's post-test \*p<0.05, n=10.) F - Delivery of blue light to the Prl In TNT<sup>PrL.ChR2:hM4Di</sup> rats significantly decreased acetone evoked spinal dorsal horn neuronal activity (paired t-test, 13 14 p=0.04, n=4

#### 1 Discussion

2 By using a longitudinal study design, we have been able to reveal the dynamic contributions of pre-3 limbic to periaqueductal grey communication to neuropathic pain state development. In uninjured 4 animals, we found PrL-P neurons to exert tonic inhibitory control over evoked noxious withdrawal 5 responses suggesting they were involved with the moment to moment regulation of nocifensive 6 behaviour. Following tibial nerve injury, rats developed mechanical and thermal allodynia that 7 plateaued at around 14 days post injury. Chemo-inhibition of PrL-P neurons at 3- and 7-days post-8 injury revealed latent hypersensitivity both ipsilateral and contralateral (day 3 only) to nerve injury 9 and produced place aversion in a conditioned place preference paradigm. However, chemo-inhibition 10 of PrL-P neurons at more than 14 days post-injury failed to significantly affect mechanically or 11 thermally evoked pain-like behaviour. These findings are consistent with there being a tonic activity 12 in PrL-P neurons that suppresses hypersensitivity early after nerve ligation but this is lost with time as 13 the neuropathic pain phenotype emerges. Despite the loss in function, opto-activation of PrL-P 14 neurons during later stages of neuropathic pain produces anti-allodynic effects in neuropathic animals 15 achieved, at least in part, by inhibitory effects on spinal nociceptive processing. We suggest that this 16 cortical – midbrain – spinal network allows executive control of nociception and regulation of pain and 17 that it is the loss of cortical drive to the descending pain modulatory system that underpins the 18 expression of neuropathic sensitisation after nerve injury.

Loss of endogenous inhibitory control of CNS pain processing (Staud, 2013) and altered functional connectivity between the mPFC and the PAG is a shared feature of a wide variety of human chronic pain conditions (Jensen *et al.*, 2012; Yu *et al.*, 2014; Chen *et al.*, 2017; Segerdahl *et al.*, 2018) – our findings suggest these changes are causally related. The human mPFC, including the anterior cingulate cortex (ACC), is increasingly recognised as a key locus in the development and maintenance of chronic pain, with changes in structure and function that are associated with, and sometimes predictive of, the transition to chronic pain (Apkarian *et al.*, 2004; Baliki *et al.*, 2012; Hashmi *et al.*, 2013; Baliki and

1 Apkarian, 2015). The PAG is a key node in the DPMS but also an important orchestrator of autonomic 2 and sensorimotor systems that is engaged to support mPFC function in aversive learning, emotional 3 modulation and pain modulation which are all relevant to the chronic pain phenotype (Keay and 4 Bandler, 2001; Franklin et al., 2017; Rozeske et al., 2018; Huang et al., 2019). In humans, changes in 5 functional connectivity between the mPFC/ACC, and the PAG are commonly observed in experimental 6 paradigms that produce emotional, attentional and placebo/nocebo influences on pain as well 7 following delivery of analgesic drugs and often interpreted as reflecting engagement of the DPMS 8 (Wager et al., 2004; Wiech et al., 2014; Wanigasekera et al., 2018; Oliva et al., 2020). Moreover, 9 changes in the functional connectivity between regions of the mPFC and the PAG are often correlated 10 with changes in pain perception and/or disease progression, (Cifre et al., 2012; Hemington and 11 Coulombe, 2015; Harper et al., 2018; Segerdahl et al., 2018; Wanigasekera et al., 2018; González-12 Roldán et al., 2020). Here we provide evidence in rodents that the PrL, a component of the rodent 13 mPFC, can engage the DPMS to affect nociception and loss in PrL-P neuron function is causally related 14 to the development of the neuropathic pain state in rats. We suggest that changes in functional 15 communication between the mPFC and the PAG, whether trait, age or disease related, likely manifest 16 as alterations in the descending control of spinal nociception.

17 Pre-clinical findings suggest that loss of mPFC – PAG functional communication is explained by both 18 local and inter-regional network alterations. In neuropathic rodents, at 7-10 days post-nerve injury, 19 there is a decline in spontaneous and evoked PrL layer 5 pyramidal cell activity including those that project to the PAG (Cheriyan and Sheets, 2018; Mitrić et al., 2019). This reduction in PrL projection 20 21 neurones excitability is produced by enhanced feedforward inhibition from local GABAergic 22 interneurons, and driven by inputs from the basolateral amygdala (Zhang et al., 2015; Cheriyan et al., 23 2016; Kiritoshi, Ji and Neugebauer, 2016; Cheriyan and Sheets, 2018; Huang et al., 2019). Opto-24 inhibition of BLA inputs to the PrL releases PrL-P neurons to engage descending inhibitory control from 25 the PAG (Huang et al., 2019). PrL-P neurons are glutamatergic and target both GABAergic and 26 glutamatergic neurones in the PAG (Franklin et al., 2017; Huang et al., 2019) but engage descending

1 inhibitory control from the PAG that is associated with release of local GABAergic control (Tovote et 2 al., 2016; Huang et al., 2019). We suggest that the BLA – PrL – PAG – spinal network is central to the 3 expression of neuropathic pain and therapeutics that reengage cortical control of descending pain 4 modulation may be effective in treating both sensory and affective disturbances in chronic pain. 5 However, whilst BLA inputs to the PrL drive sensory hypersensitivity and negative affect by reducing 6 descending inhibition of the spinal dorsal horn, BLA inputs to the dorsal cingulate regions mitigate 7 pain related aversion (Meda et al., 2019). Additionally, in neuropathic rodents, the E/I ratio of BLA 8 inputs into infralimbic projections to the PAG remain unchanged (Cheriyan and Sheets, 2018). Thus, 9 there appears regional specific alterations to BLA-mPFC-PAG neuronal networks that must be 10 considered if novel and effective CNS therapeutic strategies are to be realised.

11 We find that PrL-P neurons alter sensory and affective aspects of neuropathic pain, at least in part, via 12 actions on spinal dorsal horn nociceptive processing. Recently, Huang et al dissected BLA-PrL-PAG 13 circuitry and demonstrated contributions of spinal noradrenergic alpha-2 receptor and serotonergic 14 5-HT receptor 1/2 signalling to PrL effects on pain-like behaviour in neuropathic rats (Huang et al., 15 2019). However, expression of these receptors in the spinal ventral horn confounds interpretation of 16 effects on sensory/nociceptive versus motor processing (Shi et al., 1999; Perrier et al., 2013). Here we show that, in neuropathic animals, peripherally evoked spinal dorsal horn wide dynamic range (WDR) 17 18 neurons are inhibited by PrL-P neuronal activity confirming that the PrL is able to engage descending 19 pain modulatory systems that originate in the PAG. Spinal WDR neurons are a known target of 20 descending modulatory systems and their activity correlates well with both withdrawal reflexes and 21 pain perception (Maixner et al., 1986; You et al., 2003; McMullan and Lumb, 2006a; Drake et al., 2016). 22 It is significant from a therapeutic perspective that the PrL is able to affect nociceptive information early in the ascending pathway, likely prior to extensive integration with other nociceptive / non-23 24 nociceptive information which would allow for selective and potent actions on the pain experience 25 and pain state development (Heinricher et al., 2009).

1 Spinal noradrenergic alpha -2- signalling masks latent mechanical and cold allodynia at early, but not 2 late, time points post tibial nerve injury and shows a remarkably similar chronology to that observed 3 in this study (Hughes et al., 2013). These observations, supported by those of Huang et al, suggests 4 that spinal noradrenaline (NA) release mediates a large part of the PrL analgesic actions in the spinal 5 dorsal horn (Hughes et al., 2013, 2015; Huang et al., 2019). Our findings indicate that the progressive 6 loss of spinal noradrenergic tone in this neuropathic model is due to the loss in top-down executive 7 control from PrL-P neurons but this is yet to be definitively tested. Despite this loss in function, 8 potentiation of spinal NA signalling with reuptake inhibitors can prevent the development of 9 neuropathic pain and reverse neuropathic hypersensitivity in late stage neuropathic animals (Hughes 10 et al., 2015), and NA reuptake inhibitors are currently used to treat neuropathic pain in human 11 patients (Finnerup et al., 2015). Therapeutic strategies aimed at lifting the enhanced feedforward 12 inhibition in the PrL combined with potentiation of spinal NA signalling could provide a novel approach 13 to treat neuropathic pain in humans. Although, targeted approaches are likely necessary as chemo-14 activation of Locus Coeruleus projections to the spinal dorsal horn and mPFC produce pain relief and 15 conflicting negative affect in neuropathic rats (Hirschberg et al., 2017).

16 At early post-injury timepoints, chemo-inhibition of PrL-P neurons produced place aversion indicating 17 that loss of cortical control over the PAG and associated DPMS worsens the affective state of injured 18 animals. This change in affective state is likely secondary to effects on spinal nociceptive processing 19 as neuropathic animals show ongoing pain like behaviour and its relief, for instance by stimulating 20 spinal NA receptors, produces place preference in neuropathic rats (King et al., 2009; Hirschberg et 21 al., 2017). However, direct effects of PrL-P neurons on affective processing should not be overlocked 22 given the role of the PAG in fear, anxiety and depression (Tovote, Fadok and Lüthi, 2015; George, 23 Ameli and Koob, 2019). Interestingly, Rozekse et al showed dorsal medial prefrontal cortex projections 24 to the PAG regulate the appropriate expression of aversive memories suggesting that loss of functional 25 communication between the PrL and PAG may lead to generalised aversion and negative affect 26 (Rozeske et al., 2018). The PrL has previously been shown to contribute to pain related anxiety,

deficiencies in reward processing and avoidance behaviour (Lee *et al.*, 2015; Wang *et al.*, 2015; Zhang *et al.*, 2015; Liang *et al.*, 2020). Our findings add to the growing picture of mPFC-PAG communication
as a critical regulator in a range of disease relevant features including emotional coping, aversive
learning and autonomic control including nociceptive processing and, now, neuropathic pain state
development (Franklin *et al.*, 2017; Rozeske *et al.*, 2018; Huang *et al.*, 2019).

6 In summary, we have identified specific contributions of PrL-P neurons to regulate nociception in 7 healthy animals and charted their dynamic contributions to neuropathic pain state development 8 following injury. Our findings suggest that PrL-P neurons engage descending inhibitory control of the 9 spinal dorsal horn to regulate CNS nociceptive processing and moment to moment noxious threshold 10 to affect behaviour. Following nerve injury, tone in PrL-P neurons initially constrains the spatiotemporal development of neuropathic hypersensitivity but there is a progressive loss in the 11 12 functional communication between the PrL and PAG as the pain state develops. Our findings aid 13 interpretation of human clinical observations that demonstrate altered functional connectivity 14 between the mPFC and PAG is an important mechanism in the development of chronic pain.

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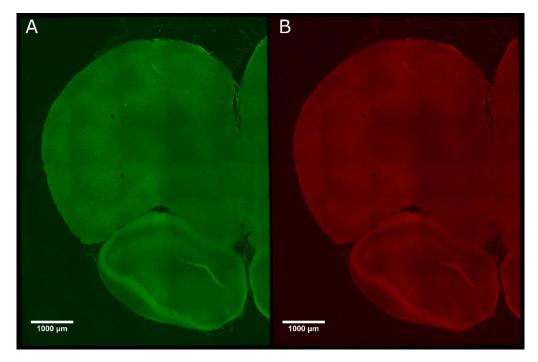
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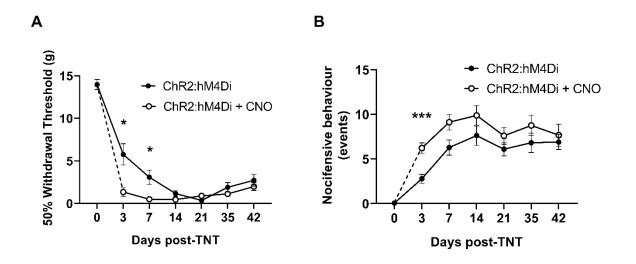
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11



- 1
- 2 Supplementary Figure 1. There was negligible expression of ChR2-EYP and hM4Di-mCherry in control
- 3 animals that did not receive CAV-CMV-CRE into the periaqueductal grey. Photomicrograph of mPFC from
- 4 showing negligible expression of ChR2-EYFP (A) or hM4Di-mCherry (B) in PrL.Control rats.
- 5
- 6



1

2 Supplementary Figure 2. Chemo-inhibition of PrL-P neurons affects nocicfensive behaviour in early but not

3 late timepoints post injury in neuropathic animals. A – In TNT<sup>PrL.ChR2:hM4Di</sup> rats systemic delivery of CNO

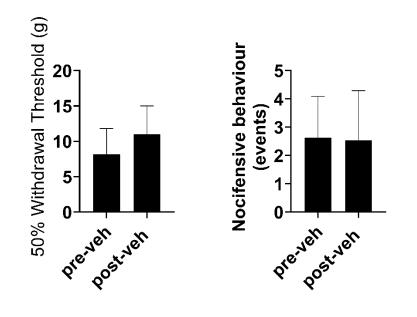
4 (2.5mg.kg<sup>-1</sup> i.p.) significantly reduces mechanical withdrawal threshold at 3 and 7 days post injury on the

5 ipsilateral (injured) hind-paw (Mixed Model (REML), Fixed effects CNO F(1,28) = 7.26 p=0.002, Time x CNO

7 (2.5mg.kg<sup>-1</sup> i.p.) significantly increases the cold (acetone) evoked nocicfensive events at 3 days post injury on

8 the ipsilateral (injured) hind-paw (Mixed Model (REML), Fixed effects CNO F(1,30)=6.3 p=0.02, Time x CNO

9 F(5,98)=0.6 p=0.70, Sidak's post-test \*\*\*p=0.0006, n= 16).



2

3 Supplementary Figure 3. Delivery of vehicle does not affect sensitisation in TNT<sup>PrL.ChR2:hM4Di</sup> rats at 7 days

4 **post-TNT.** Delivery of Vehicle (sterile saline with 5% DMSO, i.p.) does not alter mechanical withdrawal

5 thresholds (Paired t-test 0.4, n=3) (A) or cold evoked nocicfensive behaviour (Paired t-test 0.86 (B) in

6 TNT<sup>PrL.ChR2:hM4Di</sup> rats (n=3).

7