

Low gut microbiota diversity in early infancy precedes asthma at school age

Thomas Abrahamsson, H.E. Jakobsson, A.F. Andersson, B. Bjorksten, L. Engstrand and Maria Jenmalm

Linköping University Post Print



N.B.: When citing this work, cite the original article.

Original Publication:

Thomas Abrahamsson, H.E. Jakobsson, A.F. Andersson, B. Bjorksten, L. Engstrand and Maria Jenmalm, Low gut microbiota diversity in early infancy precedes asthma at school age, 2014, *Clinical and Experimental Allergy*, (44), 6, 842-850.

<http://dx.doi.org/10.1111/cea.12253>

Copyright: Wiley: 12 months

<http://eu.wiley.com/WileyCDA/>

Postprint available at: Linköping University Electronic Press

<http://urn.kb.se/resolve?urn=urn:nbn:se:liu:diva-109137>

1 **Low gut microbiota diversity in early infancy precedes asthma at school age**

3 **Thomas R Abrahamsson, MD, PhD¹**

4 **Hedvig E Jakobsson, PhD²**

5 **Anders F Andersson, PhD³**

6 **Bengt Björkstén, MD, PhD⁴**

7 **Lars Engstrand, MD, PhD^{2,3}**

8 **Maria C Jenmalm, PhD^{1,5}**

11 1. Department of Clinical and Experimental Medicine, Division of Pediatrics,
12 Linköping University, Sweden

13 2. Department of Microbiology, Tumor and Cell Biology, Karolinska Institutet,
14 Stockholm, Sweden

15 3. KTH Royal Institute of Technology, Science for Life Laboratory, School of
16 Biotechnology, Division of Gene Technology, Stockholm, Sweden

17 4. Institute of Environmental Medicine, Karolinska Institutet, Stockholm, and School of
18 Health and Medical Sciences, Örebro University Sweden

19 5. Department of Clinical and Experimental Medicine, Unit of Autoimmunity and
20 Immune Regulation, Division of Clinical Immunology, Linköping University, Sweden

22 Running title: Early gut microbiota diversity and asthma at school age

24 **Correspondence to:** Thomas Abrahamsson
25
26 Division of Paediatrics
27 Linköping University Hospital
28 SE-581 85 Linköping, Sweden
29
30 Phone: +46-(10)-1030000
31 Fax: +46-(13)-148265.
32 E-mail: thoab@telia.com

33 **ABSTRACT**

34 *Background:* Low total diversity of the gut microbiota during the first year of life is
35 associated with allergic diseases in infancy, but little is known how early microbial diversity
36 is related to allergic disease later in school age.

37 *Objective:* To assess microbial diversity and characterize the dominant bacteria in stool
38 during the first year of life in relation to the prevalence of different allergic diseases in school
39 age, such as asthma, allergic rhinoconjunctivitis and eczema.

40 *Methods:* The microbial diversity and composition was analyzed with barcoded 16S rDNA
41 454 pyrosequencing in stool samples at one week, one month and 12 months of age in 47
42 infants which were subsequently assessed for allergic disease and skin prick test reactivity at
43 seven years of age (ClinicalTrials.gov ID NCT01285830).

44 *Results:* Children developing asthma (n=8) had a lower diversity of the total microbiota than
45 non-asthmatic children at one week (p=0.04) and one month (p=0.003) of age, whereas
46 allergic rhinoconjunctivitis (n=13), eczema (n=12) and positive skin prick reactivity (n=14) at
47 seven years of age did not associate with the gut microbiota diversity. Neither was asthma
48 associated with the microbiota composition later in infancy (at 12 months). Children having
49 IgE-associated eczema in infancy and subsequently developing asthma had lower microbial
50 diversity than those that did not. There were no significant differences, however, in relative
51 abundance of bacterial phyla and genera between children with or without allergic disease.

52 *Conclusion and Clinical relevance:* Low total diversity of the gut microbiota during the first
53 month of life was associated with asthma but not allergic rhinoconjunctivitis in children at
54 seven years of age. Measures affecting microbial colonisation of the infant during the first
55 month of life may impact asthma development in childhood.

56

57

58

59 **Key words**

60 Asthma; allergic rhinoconjunctivitis; birth; children; diversity; hygiene hypothesis;

61 microbiota; molecular microbiology

62

63

64 **Introduction**

65 A limited microbial exposure may underlie the increase of allergic diseases in affluent
66 countries [1]. Recent reports indicate that a high diversity of the gut microbiota in infancy
67 may be more important than the prevalence of specific bacterial taxa [2-4]. The suggested
68 underlying rationale is that the gut immune system reacts to exposure to new bacterial
69 antigens and repeated exposure would enhance the development of immune regulation.
70 Although sharing several common features, the phenotype and the mechanisms underlying
71 the different allergic diseases such as asthma, eczema and allergic rhinoconjunctivitis (ARC)
72 are heterogeneous [5-7]. Also, the importance of and relationship with the intestinal
73 microbiota may differ between the different diseases. Previously, low gut microbial diversity
74 during the first month of life has been associated with subsequent eczema [2, 8-10] and
75 sensitization [2, 3, 8], but still there are no studies reporting low gut microbial diversity
76 preceding asthma development. This is probably primarily due to the fact that most of the
77 clinical follow-ups have been performed in infancy [2, 8-10], when allergic asthma and
78 rhinoconjunctivitis still are uncommon. It might also be a consequence of methodology
79 limitations. The microbial detection sensitivity of terminal restriction fragment length
80 polymorphism (T-RFLP) [8, 10] and denaturing gradient gel electrophoresis (DGGE) [3, 9],
81 which were employed in all studies except one [2], is low, since the median number of
82 peaks/bands detected in these studies was much lower than the expected number of bacterial
83 species. Recently, by employing high-throughput 16S rRNA gene sequencing, we could
84 confirm that low gut microbial diversity during the first month of life was associated with
85 subsequent sensitization and eczema at two years of age [2]. In contrast to previous studies,
86 we could also show that the differences in diversity were attributed to a specific bacterial
87 phylum, Bacteroidetes, and the bacterial genus *Bacteroides*.

88

89 A follow-up of this cohort at seven years of age, when respiratory allergic diseases are as
90 common as eczema, gave us the opportunity to assess whether microbial diversity and the
91 relative abundance of dominant bacteria in stool during the first year of life are also
92 associated with development of asthma and allergic rhinoconjunctivitis, and if the importance
93 of the gut microbiota composition during the first month of life lasts until school age. We also
94 hypothesized that the importance of and relationship with the intestinal microbiota differ
95 between the different allergic manifestations.

96

97

98 **Methods**99 *Subjects and sample collection*

100 The children included in this study were part of a larger study in South Eastern Sweden
101 between 2001 and 2005, evaluating allergy prevention in infants with family history of
102 allergic disease until two years of age with the probiotic *Lactobacillus reuteri* ATCC 55730
103 [11]. In this study the infant received *L. reuteri* or placebo daily from day 1-3 until twelve
104 months of age. Children admitted to the neonatal ward during the first week of life were
105 excluded. Stool samples were collected from the infants at age 5-7 days and at one month and
106 twelve months of age. The samples were immediately frozen at -20°C following collections
107 and later stored at -70°C. At two years of age, a follow-up with microbial analyses with
108 barcoded 16S rDNA 454-pyrosequencing was performed, relating microbial diversity in these
109 stool samples with the development of IgE-associated eczema during the first two years of
110 life [2]. All 20 infants with IgE-associated eczema and stool samples available from all three
111 sampling occasions were included in these analyses, and 28 infants without any allergic
112 manifestation were randomly selected as controls. In total 47 of these 48 children have now
113 completed the present seven-year follow-up. The child who dropped out did not have any
114 allergic manifestation at two years of age. Seventeen children belonged to the probiotic and
115 30 to the placebo group in the original study. All infants were breastfed for at least one
116 month, and no infant received antibiotics before one month of age. A written informed
117 consent was obtained from both parents before inclusion. The Regional Ethics Committee for
118 Human Research at Linköping University approved the study (M171-07). The study is
119 registered at ClinicalTrials.gov (ID NCT01285830).

120

121 *Clinical investigations*

122 A clinical follow-up was performed by research nurses at seven years of age (\pm 3 months).
123 Before the visit, the parents completed a questionnaire based on the International Study of
124 Asthma and Allergies in Childhood (ISAAC) questionnaire for 6-7 year old children
125 (<http://isaac.auckland.ac.nz/Index.html>), supplemented with questions regarding
126 gastrointestinal symptoms, antibiotic and probiotic intake during the last month, family size,
127 pets and parental smoking. Data pertaining infancy was collected in the two-year follow-up
128 [11]. The visits included structured interviews related to symptoms of allergic disease,
129 physical examination, spirometry and measurement of fractional exhaled nitric oxid (F_{ENO}).
130 Spirometry was performed with Jaeger Masterscope version 4.5 (Erich Jaeger GmbH,
131 Würzburg, Germany). Forced expiratory volume at 1 second (FEV_{1.0}), and the functional vital
132 capacity (FVC) were assessed. The FVC% was calculated from the ratio FEV_{1.0}/FVC. A
133 FVC% $<$ 80% was regarded as pathological. Reversibility test with FEV_{1.0} measurement before
134 and after inhalation of a β -agonist (1 mg Terbutaline) was regarded as positive if FEV_{1.0}
135 increased \geq 12% (<http://www.ginasthma.com>). The F_{ENO} was measured at a constant flow of
136 50 mL/s with NIOX-MINO (Aerocrine AB, Stockholm, Sweden). The cut off level for a
137 pathological F_{ENO} was 20 ppb, which is the 95% percentile in 7-9 year old children [12]. Skin
138 prick tests were done on the volar aspects of the forearm with egg white, fresh skimmed cow
139 milk (lipid concentration 0.5%) and standardized cat, dog, birch, peanut, mite (Der p) and
140 timothy extracts (Soluprick®, ALK, Hørsholm, Denmark). Histamine hydrochloride (10
141 mg/ml) was used as positive and albumin diluent as negative control. The test was regarded as
142 positive if the mean diameter of the wheal was \geq 3mm.

143

144 *Diagnostic criteria*

145 The child should have had symptoms of and/or have been treated for the actual allergic
146 disease during the last twelve months. Thus, children with allergic disease before school age

147 who did not have any symptoms during the last twelve months were defined as healthy.
148 Asthma diagnosis required at least one of following two criteria: 1. Doctor diagnosis and
149 asthma symptoms and/or medication during the last twelve months; 2. Wheeze or nocturnal
150 cough and a positive reversibility test and/or pathological FE_{NO} value. In Sweden most
151 children with asthma are asymptomatic when visiting the doctor, since they are efficiently
152 treated with inhaled corticosteroids. If the asthma diagnosis was based on doctors diagnosis,
153 medical records of the child was always reviewed to confirm that the diagnosis were
154 consistent with the GINA criteria (<http://www.ginasthma.com>). The diagnosis of ARC was
155 based on standard ISAAC question (<http://isaac.auckland.ac.nz/Index.html>) and required
156 watery discharge at least twice in contact with the same allergen and no signs of infection.
157 Urticaria was defined as allergic when appearing at least twice in conjunction with a certain
158 allergen. Eczema was defined as a pruritic, chronic or chronically relapsing non-infectious
159 dermatitis with typical features and distribution, as suggested by Hanifin and Rajka [13].
160 Eczema was classified as IgE-associated if the infant had also a positive skin prick test.

161

162 *16S rDNA sequencing and bioinformatics*

163 DNA extraction, 16S rDNA PCR amplification with primer pair 341F-805R targeting V3-V4,
164 PCR product purification, and 454 sequencing were performed as described previously [2].
165 De-noising, chimera removal and complete linkage clustering of sequences into Operational
166 Taxonomic Units (OTUs) were performed with AmpliconNoise [2]. 318,215 high quality,
167 typically 198 bp long, sequence reads remained, with 828 to 12,909 reads per sample (mean =
168 2257). These corresponded to 3048 unique sequences and 1856 OTUs, clustered at 97%
169 similarity level. Taxonomic annotations were conducted by BLAST searching the OTUs
170 against a local BLAST database of 16S rDNA sequences from the Ribosomal Database
171 Project (RDP) v. 10.10 [14]. OTUs lacking hits of of $\geq 95\%$ identity over an alignment of

172 length \geq 180 bp were classified as “no_match”. If multiple best hits (same score) were found,
173 the taxonomy was set to the most-detailed level of taxonomy shared by the best hits [2].

174

175 *Statistical analyses*

176 The online version of Fast Unifrac (<http://bmf2.colorado.edu/fastunifrac/>) [15] was used to
177 calculate weighted sample distances by mapping our OTU sequences with BLAST onto the
178 Greengenes reference sequences (downloaded from the Fast Unifrac web page, May 2009)
179 and using the corresponding Greengenes tree. A Principal Coordinates Analysis (PCoA) plot
180 based on all pair-wise sample distance was created on the Fast Unifrac web page. Our OTU
181 sequences were mapped onto 154 Greengenes sequences. The Shannon diversity index was
182 employed to measure the biodiversity in samples. Briefly, it is a test that takes in account the
183 richness and the evenness of the species, typically with a value between 1.5-3.5 [16]. It was
184 calculated as $-\sum \log(p_i)p_i$, where p_i denotes the frequency of OTU i [17]. Calculations of the
185 index were made with the *R* software (<http://www.r-project.org/>) and the *R* package vegan
186 (<http://cran.r-project.org/web/packages/vegan/>), and differences in diversity were tested with
187 Mann-Whitney U-test, since the levels were not normally distributed. Evenness was
188 calculated with Pielou's evenness index as $-\sum \log(p_i)p_i / \log(S_{obs})$, where S_{obs} denotes the
189 number of observed OTUs in the sample. Since these levels are influenced by sequencing
190 depth, and sequencing depth differed between samples, we subsampled (with replacement)
191 1400 reads from each sample, counted the occurrences of the corresponding OTUs, and
192 performed the diversity calculations on these counts. Only four (out of 141) samples had
193 fewer than 1400 reads and were excluded from this part of the analysis. Statistical
194 significance testing over- and under-representation of the bacterial lineages was made at
195 phylum, class and genus (3% dissimilarity) levels with Mann-Whitney U-test, and p-values
196 were converted to False Discovery Rate values (q-values) to correct for multiple testing [18].

197 The X^2 test was employed for categorical data, unless the expected frequency for any cell was
198 less than five, when Fisher's exact test was employed. Student's t test were employed for
199 normally distributed continuous data. (SPSS 16.0, SPSS Inc, Chicago, IL, USA).

200

201 **Results**

202 At seven years of age, the prevalence of asthma was 17% (8/47), allergic rhinoconjunctivitis
203 28% (13/47), eczema 26% (12/47), allergic urticaria 9% (4/47), skin prick test reactivity 34%
204 (14/41) and IgE-associated eczema 27% (11/41). Low total diversity as measured by the
205 Shannon diversity index of the gut microbiota at one week and one month of age was
206 associated with asthma diagnosis in children at seven years of age (Table 1, Fig. 1a). Allergic
207 rhinoconjunctivitis, SPT reactivity (Table 1), eczema and IgE-associated eczema
208 (Supplementary Table 1) at this age did not associate with the gut microbiota diversity during
209 the first year of life, however. Neither did asthma have any significant association with total
210 microbiota diversity later in infancy (at twelve months) nor any consistent association with
211 the diversity of different bacterial phyla at any age (data not shown). Similar results were
212 obtained when comparing children with asthma, allergic rhinoconjunctivitis, SPT reactivity,
213 eczema and IgE-associated eczema with control children with no allergic manifestations (data
214 not shown). The evenness of the microbial composition according to Pielou's test at one week
215 and one month of age was lower in children with than without asthma (Fig. 1b). Also the
216 number of bacterial OTUs in stool samples tended to be low at one month of age in the
217 asthma group (Table 2). In order to evaluate whether sensitized infants who subsequently
218 developed asthma also had a different gut microbiota composition than sensitized infants who
219 did not, analyses were performed when only the 20 children with IgE-associated eczema at
220 two years of age were included. Indeed, the seven children having IgE-associated eczema in
221 infancy and subsequently developing asthma had a lower microbial diversity than those 13
222 children who did not (Supplementary Table 2), although the p-values reveal only a trend,
223 probably due to the lost of statistical power ($p=0.06$ and $p=0.09$ at one week and one month,
224 respectively). Thus, children with IgE-associated eczema in infancy who had developed
225 asthma at seven years of age had a median of the diversity index of 1.25 (interquartile range;

226 0.84-1.45) at one month of age compared to 1.53 (1.42-1.72) if they did not have asthma and
227 1.67 (1.51-2.14) if they did not have IgE-associated eczema at two years of age. No such
228 differences were seen for the other allergic manifestations (Supplementary Table 2). Despite
229 the association to asthma, there was no significant correlation between F_{ENO} levels and
230 microbial diversity (data now shown). However, the only child with pathological F_{ENO} levels
231 (>20 ppm) had very low diversity indices (0.69 at one week and 0.72 at one month).

232

233 There were no significant differences in relative abundance of bacterial phyla, classes and
234 genera between children with or without asthma (Table 3) or with and without ARC and
235 eczema (data not shown). Neither did Principal Coordinates Analysis based on Unifrac
236 sample distances reveal any clear separation of samples in relation to asthma (Supplementary
237 Fig. 1) or any other of the allergic diseases (data not shown).

238

239 There were no differences regarding potential confounders such as sex, birth order, caesarean
240 section, family history of allergic disease, breastfeeding, furred pets at home, antibiotics,
241 infections and probiotic supplementation between the children with and without asthma
242 (Table 4), nor between children with or without any other allergic manifestation (data not
243 shown). Neither were there any significant associations between these factors and microbial
244 diversity except for exclusive breastfeeding at one month, tending to be associated with low
245 diversity at one month of age ($p=0.05$, data not shown). Excluding the seven children who
246 were not breastfed exclusively at one month did not affect the comparison between asthmatic
247 and non-asthmatic infants ($p=0.001$, data not shown), however, neither did exclusion of
248 children who were delivered by caesarean section or were supplemented with probiotics, two
249 other factors that might affect the gut microbial diversity at one month ($p=0.009$ after
250 excluding children delivered with caesarean section and $p=0.03$ after excluding children in the

251 probiotic group, data not shown). No child received antibiotics during the first month of life.

252 The number of reported infections during the first two years of life did not correlate

253 significantly with total diversity values (data not shown).

254

255

256 **Discussion**

257 Employing high-throughput 16S rRNA gene based molecular microbiology, we could
258 confirm and extend previous findings, showing that low intestinal diversity during the first
259 month of life is associated with an increased risk of subsequent allergic disease [2, 3, 8-10]
260 and that the effect remains in school age. In contrast to previous studies, however, our results
261 indicate that early gut microbial diversity may be more associated with asthma development
262 at school age than other allergic manifestations. Low gut microbial diversity has previously
263 been associated with IgE-associated eczema at two years of age in the same cohort as the
264 present one [2]. Interestingly, the present study indicates that the low gut microbiota diversity
265 in these infants with IgE-associated eczema at two years of age primarily was confined to
266 children subsequently developing asthma in school age. The absent correlation between the
267 infant gut microbiota and eczema in our study supports the result from a previous study
268 investigating the effect of the microbial diversity on an allergy development until school age
269 [3] and indicates that other factors, e.g. skin barrier dysfunction due to filaggrin mutations,
270 underlie persistent eczema [5]. There was no significant association between asthma and the
271 relative abundance of any phylum or genus, nor any significant sample clustering in asthmatic
272 infants. Thus, the total diversity seems to be more important than any particular microbial
273 group for asthma development, although the lack of significant difference between individual
274 phyla may also be due to low statistical power or in these analyses. Also, stool samples only
275 reflect the microbiota in the luminal space of the colon and not the small intestine and the
276 mucosa. Thus, there might be specific bacterial species important for prevention of asthma as
277 well as ARC, which are not revealed in this study.

278

279 Previous studies have not revealed any relationship between microbial diversity and asthma
280 development. This is probably primarily due to the fact that most of the clinical follow-ups

281 have been performed in young children [2, 8-10], when allergic asthma and
282 rhinoconjunctivitis still are uncommon. It might also be a consequence of methodology
283 limitations. The sensitivity of our analyses was higher than in previous diversity studies [3, 8-
284 10]. In the study by Bisgaard *et al.* [3], in which infant gut diversity was associated with
285 sensitization but not asthma in school age, the mean of bands/samples, were only 8.5 (with
286 DGGE) at 12 months of age, as compared to 69 OTUs/sample in our study. The community
287 resolution might still not have been high enough in our study to reveal an association between
288 specific bacterial species and asthma and ARC, however. Another important factor possibly
289 affecting the results is the variation of the gut microbiota composition in different countries
290 [19]. Whether our observations in Swedish children can be translated to children in other
291 regions of the world needs to be further investigated.

292

293 It is noteworthy that the most important differences appeared the first months of life,
294 supporting the theory that factors influencing the early of maturation of the immune system
295 might be especially important for subsequent asthma development [20]. Furthermore, the
296 results indicate that the immunological phenotype preceding asthma development in particular
297 is established during the first month of life. Viral lower respiratory tract infections (LRTIs)
298 have been suggested to be linked to asthma development among atopic children [7]. The
299 incidence of recurrent wheeze, which often are caused by LRTIs in infancy, was 50% in the
300 infants subsequently developing asthma at 7 years of age compared to 3% in those that did
301 not. It is tempting to speculate that infants subsequently developing asthma are more prone to
302 getting LRTIs, caused by respiratory syncytial virus or rhinoviruses, because of an attenuated
303 maturation of the immune system as a consequence of low stimulation from the gut
304 microbiota during the first months of life. Also, reduced mucosal barrier function may be
305 linked to high susceptibility of LRTIs, amplification of Th2 responses and subsequent asthma

306 development [7, 21]. Low salivary secretory IgA levels are associated with increased
307 prevalence of late onset wheeze in sensitized infants [22], and interestingly, also low
308 intestinal microbial diversity [23].

309

310 The present study does not explain why infants developing asthma have low gut microbial
311 diversity. The differences were not due to antibiotic treatment, which may increase the risk
312 for asthma development [24] as no child received antibiotics during the first month of life.

313 Also, while caesarean section has been linked to asthma development and affects gut

314 microbiota during the first month of life [25], the association between low diversity and

315 asthma remained when including only children born with vaginal delivery. Still, the

316 difference in diversity in neonates may be explained by other factors such as the biodiversity

317 in the homes (mattresses, dust etc.) [26, 27], in the surrounding environment [28] and in

318 family members (skin, mouth and gut) [29]. Also, hygienic practices may influence the

319 microbial diversity and allergy development [30]. Recently, children whose parents "cleaned"

320 their pacifier by sucking it were less likely to have asthma at 18 months of age than children

321 whose parents did not use this cleaning technique [31]. Infants with low gut microbial

322 diversity also had low microbial exposure via the respiratory mucosa. The maturation of the

323 respiratory mucosal immune system depends at least partly on bacterial colonization of the

324 lower airways [32]. Whether asthma, however, would be more related to the nature of

325 microbial colonization of the airways than eczema and allergic rhinoconjunctivitis require

326 further elucidation.

327

328 In conclusion, low total diversity of the gut microbiota during the first month of life was

329 associated with asthma in children at seven years of age. The early gut microbial diversity

330 seems to be most important for asthma development and did not apply to the other allergic

331 manifestations in school age in our study, although this might be a consequence of the
332 relatively few cases included.

333

334

335 **Acknowledgements**

336 We thank Mrs Lena Lindell, Mrs Elisabeth Andersson, Mrs Linnea Andersson and Mrs Eivor
337 Folkesson, Dr Göran Oldaeus and Dr Ted Jacobsson for their brilliant and enthusiastic work
338 guiding the families through the study and all the sampling procedures. We also thank Mrs
339 Anne-Marie Fornander for excellent technical assistance and Christopher Quince for assisting
340 with sequence noise removal.

341

342 The study was supported by grants from BioGaia AB, Stockholm, Sweden, the Ekhaga
343 Foundation, the Heart and Lung foundation, the Research Council for the South-East Sweden
344 (grant No. F2000-106), The Olle Engqvist Foundation, the Swedish Asthma and Allergy
345 Association, the Swedish Research Council, the University Hospital of Linköping, the
346 Söderberg Foundation, the Vårdal Foundation for Health Care Science and Allergy Research,
347 Sweden. T Abrahamsson, M Jenmalm have received honoraria for lectures and B Björkstén
348 for consulting from Biogaia AB

349

350

351 **References**

352

- 353 1. Holt PG, Björkstén B. Atopic versus infectious diseases in childhood: a question of
354 balance? *Pediatr Allergy Immunol* 1997;8:53-8.
- 355 2. Abrahamsson TR, Jakobsson HE, Andersson AF, Björkstén B, Engstrand L, Jenmalm
356 MC. Low diversity of the gut microbiota in infants with atopic eczema. *J Allergy Clin
357 Immunol* 2012;129:434-40.
- 358 3. Bisgaard H, Li N, Bonnelykke K, Chawes BL, Skov T, Paludan-Muller G, Stokholm
359 J, Smith B, Krogfelt KA. Reduced diversity of the intestinal microbiota during infancy
360 is associated with increased risk of allergic disease at school age. *J Allergy Clin
361 Immunol* 2011;128:646-52 e5.
- 362 4. Adlerberth I, Strachan DP, Matricardi PM, Ahrné S, Orfei L, Åberg N, Perkin MR,
363 Tripodi S, Hesselmar B, Saalman R, Coates AR, Bonanno CL, Panetta V, Wold A.
364 Gut microbiota and development of atopic eczema in 3 European birth cohorts. *J
365 Allergy Clin Immunol* 2007;120:343-50.
- 366 5. Eichenfield LF, Ellis CN, Mancini AJ, Paller AS, Simpson EL. Atopic dermatitis:
367 epidemiology and pathogenesis update. *Semin Cutan Med Surg* 2012;31:S3-5.
- 368 6. Abrahamsson TR, Sandberg Abenius M, Forsberg A, Björkstén B, Jenmalm MC. A
369 Th1/Th2-associated chemokine imbalance during infancy in children developing
370 eczema, wheeze and sensitization. *Clin Exp Allergy* 2011;41:1729-39.
- 371 7. Holt PG, Sly PD. Viral infections and atopy in asthma pathogenesis: new rationales for
372 asthma prevention and treatment. *Nat Med* 2012;18:726-35.
- 373 8. Wang M, Karlsson C, Olsson C, Adlerberth I, Wold AE, Strachan DP, Matricardi
374 PM, Åberg N, Perkin MR, Tripodi S, Coates AR, Hesselmar B, Saalman R, Molin G,
375 Ahrné S. Reduced diversity in the early fecal microbiota of infants with atopic
376 eczema. *J Allergy Clin Immunol* 2008;121:129-34.
- 377 9. Forno E, Onderdonk AB, McCracken J, Litonjua AA, Laskey D, Delaney ML, Dubois
378 AM, Gold DR, Ryan LM, Weiss ST, Celedon JC. Diversity of the gut microbiota and
379 eczema in early life. *Clin Mol Allergy* 2008;6:11.
- 380 10. Ismail IH, Oppedisano F, Joseph SJ, Boyle RJ, Licciardi PV, Robins-Browne RM,
381 Tang ML. Reduced gut microbial diversity in early life is associated with later
382 development of eczema but not atopy in high-risk infants. *Pediatr Allergy Immunol*
383 2012;23:674-81.
- 384 11. Abrahamsson TR, Jakobsson T, Böttcher MF, Fredrikson M, Jenmalm MC, Björkstén
385 B, Oldaeus G. Probiotics in prevention of IgE-associated eczema: a double blind
386 randomised placebo-controlled trial. *J Allergy Clin Immunol* 2007;119:1174-80.
- 387 12. Buchvald F, Baraldi E, Carraro S, Gaston B, de Jongste J, Pijnenburg MW, Silkoff
388 PE, Bisgaard H. Measurements of exhaled nitric oxide in healthy subjects age 4 to 17
389 years. *J Allergy Clin Immunol* 2005;115:1130-6.
- 390 13. Hanifin J, Rajka G. Diagnostic features of atopic dermatitis. *Acta Dermatol Venereol*
391 1980;(Suppl 92):44-7.
- 392 14. Maidak BL, Cole JR, Lilburn TG, Parker CT, Jr., Saxman PR, Stredwick JM, Garrity
393 GM, Li B, Olsen GJ, Pramanik S, Schmidt TM, Tiedje JM. The RDP (Ribosomal
394 Database Project) continues. *Nucleic Acids Res* 2000;28:173-4.
- 395 15. Hamady M, Knight R. Microbial community profiling for human microbiome projects:
396 Tools, techniques, and challenges. *Genome Res* 2009;19:1141-52.

- 397 16. MacDonald GM. Biogeography: Introduction to Space, Time, and Life. John Wiley &
398 Sons inc 2003.
- 399 17. Hayek (1996) *Surveying natural populations*.
- 400 18. Robinson MD, McCarthy DJ, Smyth GK. edgeR: a Bioconductor package for
401 differential expression analysis of digital gene expression data. *Bioinformatics*
402 2010;26:139-40.
- 403 19. Grzeskowiak L, Grönlund MM, Beckmann C, Salminen S, von Berg A, Isolauri E.
404 The impact of perinatal probiotic intervention on gut microbiota: double-blind
405 placebo-controlled trials in Finland and Germany. *Anaerobe* 2012;18:7-13.
- 406 20. Prescott SL. Early origins of allergic disease: a review of processes and influences
407 during early immune development. *Curr Opin Allergy Clin Immunol* 2003;3:125-32.
- 408 21. Hansel TT, Johnston SL, Openshaw PJ. Microbes and mucosal immune responses in
409 asthma. *Lancet* 2013;381:861-73.
- 410 22. Sandin A, Björkstén B, Böttcher MF, Englund E, Jenmalm MC, Bråbäck L. High
411 salivary secretory IgA antibody levels are associated with less late-onset wheezing in
412 IgE-sensitized infants. *Pediatr Allergy Immunol* 2011;22:477-81.
- 413 23. Sjögren YM, Tomicic S, Lundberg A, Böttcher MF, Björkstén B, Sverremark-
414 Ekström E, Jenmalm MC. Influence of early gut microbiota on the maturation of
415 childhood mucosal and systemic immune responses. *Clin Exp Allergy* 2009;39:1842-
416 51.
- 417 24. Alm B, Erdes L, Möllborg P, Pettersson R, Norvenius SG, Åberg N, Wennergren G.
418 Neonatal antibiotic treatment is a risk factor for early wheezing. *Pediatrics*
419 2008;121:697-702.
- 420 25. Kero J, Gissler M, Grönlund MM, Kero P, Koskinen P, Hemminki E, Isolauri E.
421 Mode of delivery and asthma -- is there a connection? *Pediatr Res* 2002;52:6-11.
- 422 26. Ege MJ, Mayer M, Normand AC, Genuneit J, Cookson WO, Braun-Fahrlander C,
423 Heederik D, Piarroux R, von Mutius E. Exposure to environmental microorganisms
424 and childhood asthma. *N Engl J Med* 2011;364:701-9.
- 425 27. Sjögren YM, Jenmalm MC, Böttcher MF, Björkstén B, Sverremark-Ekström E.
426 Altered early infant gut microbiota in children developing allergy up to 5 years of age.
427 *Clin Exp Allergy* 2009;39:518-26.
- 428 28. Hanski I, von Hertzen L, Fyhrquist N, Koskinen K, Torppa K, Laatikainen T, Karisola
429 P, Auvinen P, Paulin L, Makela MJ, Vartiainen E, Kosunen TU, Alenius H, Haahtela
430 T. Environmental biodiversity, human microbiota, and allergy are interrelated. *Proc*
431 *Natl Acad Sci U S A* 2012;109:8334-9.
- 432 29. Dominguez-Bello MG, Costello EK, Contreras M, Magris M, Hidalgo G, Fierer N,
433 Knight R. Delivery mode shapes the acquisition and structure of the initial microbiota
434 across multiple body habitats in newborns. *Proc Natl Acad Sci U S A*
435 2010;107:11971-5.
- 436 30. Sherriff AGolding J. Hygiene levels in a contemporary population cohort are
437 associated with wheezing and atopic eczema in preschool infants. *Arch Dis Child*
438 2002;87:26-9.
- 439 31. Hesselmar B, Sjöberg F, Saalman R, Åberg N, Adlerberth I, Wold AE. Pacifier
440 cleaning practices and risk of allergy development. *Pediatrics* 2013;131:e1829-37.
- 441 32. Renz H, Brandtzaeg P, Hornef M. The impact of perinatal immune development on
442 mucosal homeostasis and chronic inflammation. *Nat Rev Immunol* 2012;12:9-23.
- 443
- 444

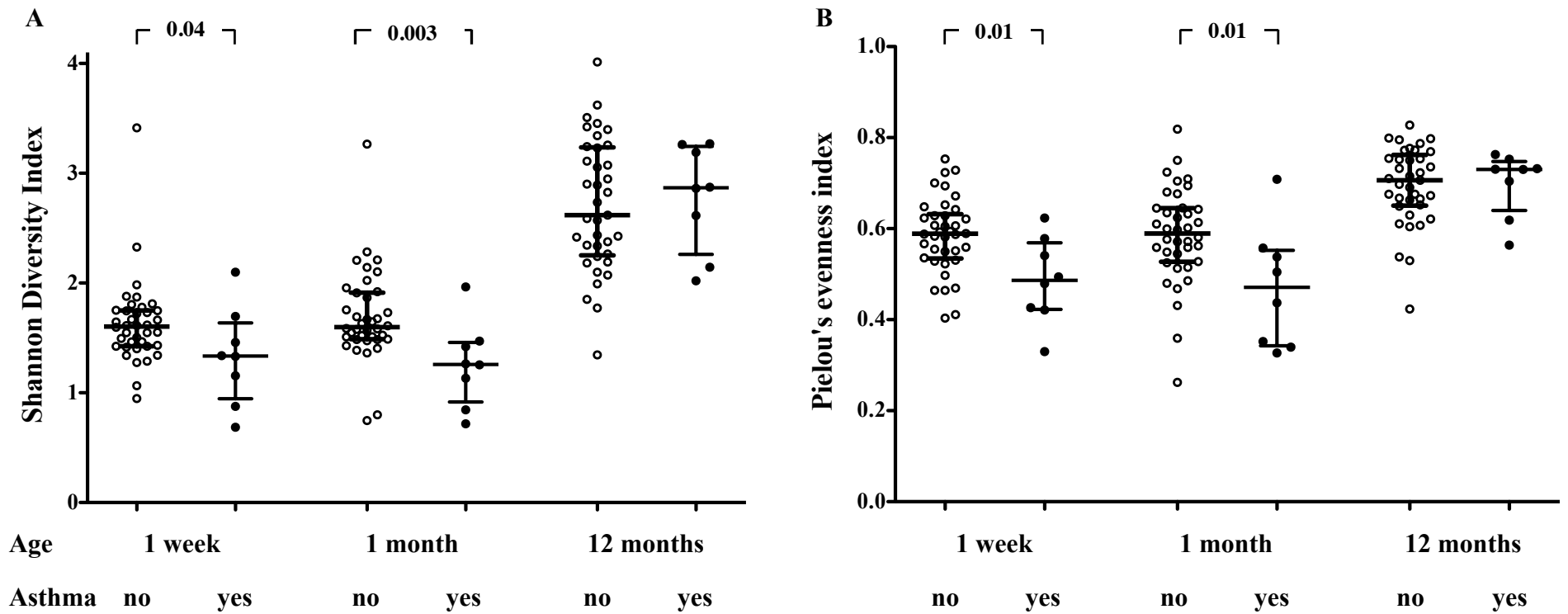


Fig 1.

The Shannon diversity index (a) and Pielou's evenness index (b) of the gut microbiota in stool samples at one week, one month and twelve months of age in infants with (black circles) and without (clear circles) asthma at seven years of age. The 25th, 50th and 75th percentiles are indicated. Groups were compared using Mann-Whitney U-test.

1 **Tables**
2

Table 1. The Shannon diversity index of the total microbiota during the first year of life in children with asthma, allergic rhinoconjunctivitis and positive skin prick test at seven years of age.

	<u>Asthma at 7 years of age</u>			<u>At 7 years of age</u>		
	<u>Yes</u> n=8 median (iqr)	<u>No</u> n=38 median (iqr)	P-value*	<u>Asthma</u> n=8 median (iqr)	<u>Healthy**</u> n=23 median (iqr)	P-value*
1 week	1.34 (0.95-1.64)	1.60 (1.42-1.75)		0.04	1.34 (0.95-1.64)	
1 month	1.26 (0.92-1.46)	1.60 (1.49-1.91)	0.003	1.26 (0.92-1.46)	1.58 (1.48-2.10)	0.007
12 months	2.87 (2.26-3.24)	2.62 (2.25-3.24)	0.79	2.87 (2.26-3.24)	2.82 (2.32-3.25)	0.96
	<u>ARC at 7 years of age</u>			<u>SPT pos at 7 years of age</u>		
	<u>Yes</u> n=13 median (iqr)	<u>No</u> n=33 median (iqr)	P-value*	<u>Yes</u> n=14 median (iqr)	<u>No</u> n=27 median (iqr)	P-value*
1 week	1.61 (1.25-1.75)	1.55 (1.42-1.74)		0.80	1.71 (1.38-1.75)	
1 month	1.59 (1.42-1.89)	1.57 (1.44-1.83)	0.87	1.62 (1.42-1.88)	1.55 (1.47-1.92)	0.87
12 months	2.83 (2.22-2.98)	2.68 (2.28-3.26)	0.48	2.70 (2.32-3.21)	2.62 (2.22-3.24)	0.79

*Mann Whitney U-test. Iqr= interquartile range.

**Healthy= non-sensitised children without any allergic symptoms 0-7y.

Table 2. The median of all OTUs and taxonomic classified OTUs (bacterial genus)/infant in stool samples during the first year of life in children with and without asthma at seven years of age

	Asthma at 7 years of age					
	1 week		1 month		12 months	
	Yes n=8 median (iqr)	No n=39 median (iqr)	Yes n=8 median (iqr)	No n=39 median (iqr)	Yes n=8 median (iqr)	No n=39 median (iqr)
OTUs	15 (10-22)	16 (13-18)	14# (12-17)	18# (14-22)	51 (40-73)	47 (33-59)
Classified OTUs	15 (8-22)	15 (12-18)	14 (12-15)	17 (14-21)	50 (39-71)	47 (33-59)
Classified OTUs to genus level	13 (6-19)	12 (10-15)	11* (10-12)	14* (11-17)	39 (30-46)	33 (22-45)

iqr=interquartile range. #p=0.09, *p=0.06 with Mann Whitney U-test.

Table 3. The mean of the relative abundance of dominant phyla (bold), classes and genera (relative abundance >1% at any age) in stool samples obtained at various ages from infants who did or did not develop asthma at seven years of life.

	Asthma 1 week		Asthma 1 month		Asthma 12 months	
	Yes n=8 mean % (SD)	No n=39 mean % (SD)	Yes n=8 mean % (SD)	No n=39 mean % (SD)	Yes n=8 mean % (SD)	No n=39 mean % (SD)
Actinobacteria	26 (32)	23 (24)	48 (36)	34 (27)	5 (5)	14 (17)
<i>Bifidobacterium</i>	25 (33)	22 (24)	47 (35)	32 (27)	4 (5)	13 (17)
<i>Collinsella</i>	<1	<1	<1	1 (2)	<1	<1
Proteobacteria	18 (22)	19 (18)	12 (12)	13 (13)	5 (9)	17 (21)
<i>Enterobacteriaceae</i> (unclassified)	18 (22)	11 (17)	11 (13)	6 (11)	<1	2 (4)
Bacteroidetes	7 (20)	14 (22)	5 (9)	17 (21)	12 (12)	10 (11)
<i>Bacteroides</i>	7 (19)	12 (19)	5 (9)	14 (20)	8 (10)	9 (11)
<i>Parabacteroides</i>	<1	2 (5)	<1	1 (4)	<1	<1
<i>Prevotella</i>	<1	<1	<1	<1	3 (8)	<1
Firmicutes	49 (36)	44 (28)	34 (36)	36 (25)	80 (15)	70 (18)
Bacilli class	33 (29)	29 (24)	7 (4)	15 (13)	2 (4)	7 (12)
<i>Streptococcus</i>	4 (5)	15 (16)	4 (4)	10 (12)	2 (3)	4 (7)
<i>Enterococcus</i>	18 (21)	6 (13)	1 (2)	3 (6)	<1	2 (10)
<i>Lactobacillus</i>	<1	1 (3)	1 (2)	1 (3)	<1	<1
<i>Staphylococcus</i>	10 (12)	7 (9)	1 (2)	1 (2)	<1	<1
Clostridia class	15 (19)	14 (14)	27 (35)	18 (21)	71 (12)	58 (20)
<i>Veillonella</i>	3 (4)	5 (8)	1 (1)	2 (4)	2 (3)	2 (2)
<i>Lachnospiraceae</i> <i>Incertae Sedis</i>	3 (9)	<1	2 (5)	2 (6)	7 (5)	5 (5)
<i>Peptostreptococcaceae</i> <i>Incertae Sedis</i>	<1	1 (3)	<1	1 (2)	4 (4)	4 (4)
<i>Erysipelotrichaceae</i> <i>Incertae Sedis</i>	<1	<1	<1	2 (7)	3 (3)	4 (5)
<i>Clostridium</i>	<1	1 (3)	5 (9)	1 (6)	<1	1 (3)
<i>Faecalibacterium</i>	<1	<1	<1	<1	2 (3)	3 (4)
<i>Ruminococcus</i>	<1	<1	<1	<1	1 (1)	2 (3)
<i>Anaerostipes</i>	<1	<1	<1	<1	4 (6)	1 (1)
<i>Anaerococcus</i> (Unclassified)	<1	<1	<1	1 (6)	<1	<1
<i>Lachnospiraceae</i> (Unclassified)	<1	<1	<1	<1	8 (8)	6 (6)
<i>Erysipelotrichaceae</i> (Unclassified)	<1	<1	<1	<1	3(5)	<1
<i>Ruminococcaceae</i>	<1	<1	<1	<1	1 (1)	1 (1)
Verrucomicrobia	<1	<1	<1	1 (4)	2 (4)	2 (5)
<i>Akkermansia</i>	<1	<1	<1	1 (4)	2 (4)	2 (5)

No significant difference with Mann Whitney U-test

Table 4. The background factors and other allergic manifestations in children with and without asthma at seven years of age

	Asthma at 7 years of age		P*
	Yes	No	
	% (n/N)	% (n/N)	
Probiotic group	25 (2/8)	38 (15/39)	0.69
Boys	88 (7/8)	51 (20/39)	0.11
Older sibling	38 (3/8)	51 (20/39)	0.70
Maternal atopy	88 (7/8)	87 (34/39)	1.00
Asthma in family	75 (6/8)	46 (18/49)	0.25
Cesarean section	13 (1/8)	23 (9/39)	0.68
Breastfeeding (exclusive) at 1 m	88 (7/8)	85 (33/39)	1.00
Breastfeeding (any) at 1 m	100 (8/8)	100 (39/39)	1.00
Breastfeeding (any) at 12 m	13 (1/8)	38 (15/39)	0.23
Furred pets at birth	0 (0/8)	8 (3/39)	1.00
Antibiotics 0-12 m	25 (2/8)	21 (8/39)	1.00
Infections 0-12m mean (sd)	5.3 (3.4)	5.6 (2.6)	0.71
Infections 12-24m mean (sd)	6.1 (2.9)	5.3 (4.0)	0.57
Day-care at 12 months of age	0 (0/8)	5 (2/39)	1.00
Day-care at 24 months of age	88 (7/8)	77 (30/39)	0.67
Parental smoking (prebirth)	0 (0/8)	15 (6/39)	0.57
Parental smoking at 7 y	0 (0/8)	13 (5/39)	0.57
Probiotics at 7 y (last month)	0 (0/8)	28 (11/39)	0.17
Family size at 7 y mean (sd)	4.3 (0.71)	4.3 (0.76)	0.82
Recurrent wheeze (≥ 3) at 2 y	50 (4/4)	3 (1/39)	0.002
IgE-associated eczema 2 y	88 (7/8)	37 (13/35)	0.02
Skin prick positive at 7 y	60 (3/5)	31 (11/36)	0.32
Allergic rhinoconjunctivitis at 7 y	50 (4/8)	23 (9/39)	0.19
Allergic urticaria at 7 y	13 (1/8)	8 (3/39)	0.54
Eczema at 7 y	38 (3/8)	23 (9/39)	0.40

* Chi2 test was employed for categorical variable. Fisher's exact test was used when the expected frequency for any cell was less than five. Student t-test was employed for continuous variables.

1 **Supplementary tables**

2

Supplementary Table 1. The Shannon diversity index of the total microbiota during the first year of life in children with and without eczema and IgE-associated eczema at seven years of age.

	Eczema at 7 years of age		P-value*	IgE-associated eczema at 7 years of age		P-value*
	Yes	No		Yes	No	
	n=12	n=34		n=11	n=30	
	median	median		median	median	
	(iqr)	(iqr)		(iqr)	(iqr)	
1 week	1.65 (1.36-1.75)	1.55 (1.40-1.74)	0.58	1.70 (1.34-1.75)	1.55 (1.43-1.79)	0.89
1 month	1.54 (1.41-1.66)	1.57 (1.46-1.92)	0.48	1.49 (1.40-1.63)	1.58 (1.48-1.92)	0.20
12 months	2.84 (2.37-3.16)	2.62 (2.17-3.25)	0.68	2.83 (2.34-3.19)	2.62 (2.21-3.24)	0.73

*Mann Whitney U-test. Iqr= interquartile range

3

4

Supplementary Table 2. The Shannon diversity index of the total microbiota during the first year of life in children with asthma, allergic rhinoconjunctivitis, eczema and positive skin prick test at seven years of age, when only the **20 children with IgE-associated eczema at two years** were included

	Asthma at 7 years of age			ARC at 7 years of age			Eczema at 7 years of age		
	Yes n=7 median (iqr)	No n=13 median (iqr)	P-value*	Yes n=9 median (iqr)	No n=11 median (iqr)	P-value*	Yes n=10 median (iqr)	No n=10 median (iqr)	P-value*
1 week	1.34 (0.88-1.70)	1.73 (1.44-1.77)	0.06	1.70 (1.33-1.78)	1.46 (1.34-1.75)	0.34	1.71 (1.46-1.75)	1.46 (1.09-1.79)	0.29
1 month	1.25 (0.84-1.45)	1.53 (1.42-1.72)	0.09	1.49 (1.34-1.89)	1.40 (0.80-1.60)	0.60	1.55 (1.37-1.74)	1.34 (0.79-1.58)	0.15
12 months	2.87 (2.14-3.26)	2.83 (2.27-3.23)	0.91	2.87 (2.63-3.13)	2.57 (2.07-3.34)	0.73	2.88 (2.54-3.21)	2.53 (2.06-3.29)	0.41
	SPT pos at 7 years			IgE-associated eczema at 7 years					
	Yes n=6 median (iqr)	No n=11 median (iqr)	P-value*	Yes n=8 median (iqr)	No n=9 median (iqr)	P-value*			
1week	1.73 (1.50-1.75)	1.54 (1.43-1.79)	0.62	1.73 (1.42-1.75)	1.58 (1.46-1.80)	1.00			
1 month	1.61 (1.40-1.76)	1.18 (0.79-1.62)	0.19	1.48 (1.33-1.65)	1.52 (0.81-1.83)	0.85			
12 months	2.83 (2.34-3.19)	3.09 (1.85-3.37)	0.76	2.86 (2.50-3.23)	2.63 (2.03-3.28)	0.44			

*Mann Whitney U-test. Iqr=interquartile range