

1 Maintaining balance on a moving bus: the importance  
2 of three-peak steps whilst climbing stairs

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8 **Abstract**

9 In a previous work of the authors, the impact of bus acceleration in level walking  
10 was presented. However, climbing stairs is physically more challenging than level  
11 walking and results in a high number of falls, hence substantial medical costs.  
12 Understanding the impact of a dynamic environment, such as that of a bus, on  
13 people’s gait whilst walking on stairs, would enable the reduction, or even the  
14 elimination of balance-loss falls.

15 The gait of 29 healthy and regular bus users (20-80 y.o.) was monitored whilst  
16 ascending and descending a static and “moving” staircase. The tasks took place in  
17 a real double-decker bus which was initially stationary. When the bus was moving,  
18 ascending was tested during medium acceleration ( $+1.5\text{ m/s}^2$ ), while descending  
19 during medium deceleration ( $-1.5\text{ m/s}^2$ ), reproducing the most common movements  
20 aboard buses. Examining healthy people enables the identification of differences  
21 in gait that are accounted for the alteration in the bus environment and gives the  
22 opportunity to further consider the challenges mobility impaired passengers are  
23 experiencing.

24 After applying the method established in level walking, *chi-square* tests were  
25 performed on participants’ step type (resulting from the ground reaction force pro-  
26 file), taking into account participants’ age and gender and the bus acceleration.  
27 The outcomes revealed that age and gender affect people’s gait in a dynamic en-  
28 vironment. Moreover, there is a significant correlation between the increase of  
29 acceleration and the type of steps passengers use to sustain their balance, as the  
30 number of three-peak steps was increasing with the increase of bus acceleration.  
31 Hence, the bus environment forces people to use a walking style other than their  
32 natural one and older people in particular, unconsciously increase the contact area  
33 between their foot and the floor (three-peak steps) to increase balance. Surprisingly,

34 males appear less able than females to control balance. People's stair walking in a  
35 moving vehicle was investigated for the first time and has opened-up new horizons  
36 for gait analysis in dynamic environments.

37 *Keywords:* dynamic environment, bus acceleration, gait analysis, stair climbing,  
38 step type, three-peak steps

# 1 Introduction

As people grow older, they tend to have reduced body capabilities and balance, and therefore fall more frequently (Chong et al., 2009; O’Sullivan et al., 2013). In the UK, one in three people over 65 (3.4 million people) suffer a fall (AgeUK, 2010), with falls from stairs or steps (20%) being the most common reason for hospitalisation in older as well as in younger adults (Canadian Institute for Health Information, 2013). The older people become the more likely they are to suffer from fear of falling, which affects their quality of life and health. They might avoid undertaking activities and socialisation and as a result their physical and mental well-being reduces.

The World Health Organisation (2015) defines a fall as “an event which results in a person coming to rest inadvertently on the ground or floor or other lower level”. There are some 424,000 deaths per year directly due to a fall - thus falls are the second global cause of unintentional injury death - and 37.3 million falls that are severe enough to require medical attention. This introduces a substantial cost to societies as a result of medical treatment and loss of earnings (AgeUK, 2010; Centers for Disease Control and Prevention, 2015). In the People’s Republic of China, for every death resulting from a fall, there are four cases of permanent disability and 690 cases requiring medical care and missing work or school. Nevertheless, the vast majority of falls go unreported and often, even where medical treatment is provided, the injuries will not be recorded as fall-related.

In the UK, falls on buses are common, and reported to be in the region of 800 per day for those 65 years old and over (AgeUK, 2009) and one of the most common risk factors for transport operators in London (Transport for London, 2015). However, although the WHO definition is clear that a fall is “coming to rest”, actually it is

64 only the last stage of a sequence of events, starting with some form of loss of balance  
65 - a stumble, or trip for example - caused by some poor response to a stimulus (e.g.  
66 an unexpected change in floor/ground surface or a change in acceleration imposed  
67 by a moving object, such as a vehicle). A fall only occurs when the body's responses  
68 fail to recover from the resulting loss of balance, so it is important to consider the  
69 effects of such stimuli in terms of the initial loss of balance, rather than just when  
70 they result in an actual "fall".

71 Level walking is an activity that requires a level of stability, as a controlled  
72 fall is generated whilst the body weight is transferred from one limb to the other.  
73 The recurrent events of level walking in a static environment have been the focus  
74 of many biomechanical studies (Karekla, 2016) and the effect of the surrounding  
75 environment, especially when this is dynamic, have also been described elsewhere,  
76 using the example of a moving bus (Karekla and Tyler, Under revision). In this  
77 environment it was shown that people's walking style consists of seven types of steps,  
78 the most important one of which is the three-peak steps, which is considered as an  
79 unintended balance mechanism. Increasing the contact area between the plantar  
80 and the ground increases the support base and provides additional stability.

81 Climbing the stairs is more demanding than level walking, as it requires more  
82 body capabilities for the centre of mass (CoM) to be moved vertically within a  
83 support base that changes between an upper and a lower stair (Mayagoitia and  
84 Kitchen, 2009). Younger people appear to be more confident during stair nego-  
85 tiation, especially during stair ascending, whereas older people use more muscle  
86 strength at the ankle and knee joints during both stair ascent and descent and  
87 stand on one leg for longer during stair descent (Maganaris et al., 2018). In ad-  
88 dition, older adults present smaller foot clearance between their swinging foot and  
89 the edge of the stair (Kunzler et al., 2018), which results in slower transitions from

90 one stair to another (De Asha and Buckley, 2015). In general, people with poor  
91 balance and reduced grip strength, such as the older members of the society, present  
92 difficulty to ascend the stairs, and there is a higher likelihood for falls for those who  
93 find stair descending difficult (Verghese et al., 2008).

94 For a large proportion of the literature, the interest was turned towards the  
95 motor coordination of the body and the synergies of muscles it engages at each joint  
96 (ankle, knee and hip) during stair walking, and the forces generated at each of these  
97 joints, as a result of the ground reaction forces, were of equal interest. Analysing  
98 some of these studies (detailed description can be found in Karekla (2016)), it was  
99 found that during stair ascending the ground reaction force (GRF) applied on the  
100 heel and toes was not significantly different between males and females. However  
101 females apply more force during mid-stance than males. During stair ascending,  
102 females apply more GRF on the heel and toes compared to males. No significant  
103 differences in GRF were observed between middle-aged and older people whilst they  
104 were ascending a staircase, however during stair descent, older people apply three  
105 times the force middle-aged people apply on their heel and toes.

106 Balancing on a static support surface is not as demanding for the body's sens-  
107 ory system as balancing in a moving environment, such as on a tilting or rotating  
108 surface. External perturbations on a flat surface, where subjects have been mech-  
109 anically forced to agitation either by an external force or by the transformation of  
110 the support surface, have shown that older individuals are less able to maintain  
111 the centre of mass within the support base (information collected after reading the  
112 whole material of Lord et al., 2007). In the case of perturbed gait during stair  
113 negotiation of a static staircase, older people appear to adopt a more conservative  
114 walking pattern compared to younger people Christina and Cavanagh, 2002.

115 Although gait perturbation on a static staircase has been studied previously,

116 to the authors' knowledge there has not been previous work on people's gait on a  
117 staircase that is subject to exogenous motion. A search on Google Scholar using the  
118 term "moving passenger on bus staircase" returned 24,200 results unrelated to the  
119 negotiation of dynamic staircases, whereas the term "person on moving staircase"  
120 returned 67,500 unrelated results. Hence, this reveals a gap in the research field  
121 of gait variability whilst negotiating dynamic staircases, a task undertaken by and  
122 affecting a great number of people every day.

123 The balance mechanisms people adopt during stair walking in dynamic envir-  
124 onments are expected to be more distinct than in level walking. Using the peak-  
125 detection algorithm described in (Karekla and Tyler, Under revision), this paper  
126 aims to identify people's walking style when they are negotiating stairs, in a static  
127 and a dynamic environment. The dynamic environment chosen for this work is a  
128 double-decker bus, a transport mode that many people in cities use for their every-  
129 day movements, especially in cities with intense bus services, such as London, Hong  
130 Kong, or Singapore. Despite the amount that buses are used, people - especially  
131 older - are unsatisfied with the level of service provided and report many incidents  
132 of loss of balance. This work is discussing the way the bus environment, in terms of  
133 its layout and acceleration, affects the natural way people walk on staircases when  
134 no external forces hinder their movement. The walking style observed in each envir-  
135 onment will also be presented and the differences between age groups and genders  
136 will be discussed.

## 137 **1.1 Natural gait during stair walking**

138 The gait cycle during stair walking is similar to that of level walking, in the sense  
139 that it involves recurring movements of the two limbs. Just like in level walking,  
140 the force that a person applies to the ground during walking generates an equal

141 and opposite force (reaction) from the ground to the person's plantar (Newton's  
142 third law). Ground Reaction Forces (GRF) have two components, a horizontal  
143 and a vertical one, but the main interest in this paper focuses around the vertical  
144 component.

145 Although during level walking the two peaks that define the M-shape curve of  
146 the GRF are of similar intensity (Karekla and Tyler, Under revision), in stair ascent  
147 the second peak has a larger intensity than the first (Figure1, a), whereas in stair  
148 descent the first peak has a larger intensity than the second (Figure1, b). Both  
149 ascent and descent consist of five phases (Shumway-Cook and Woollacott, 2007;  
150 McFadyen and Winter, 1988). However, some actions processed by the body in  
151 each phase differ between the two tasks (Table 1).



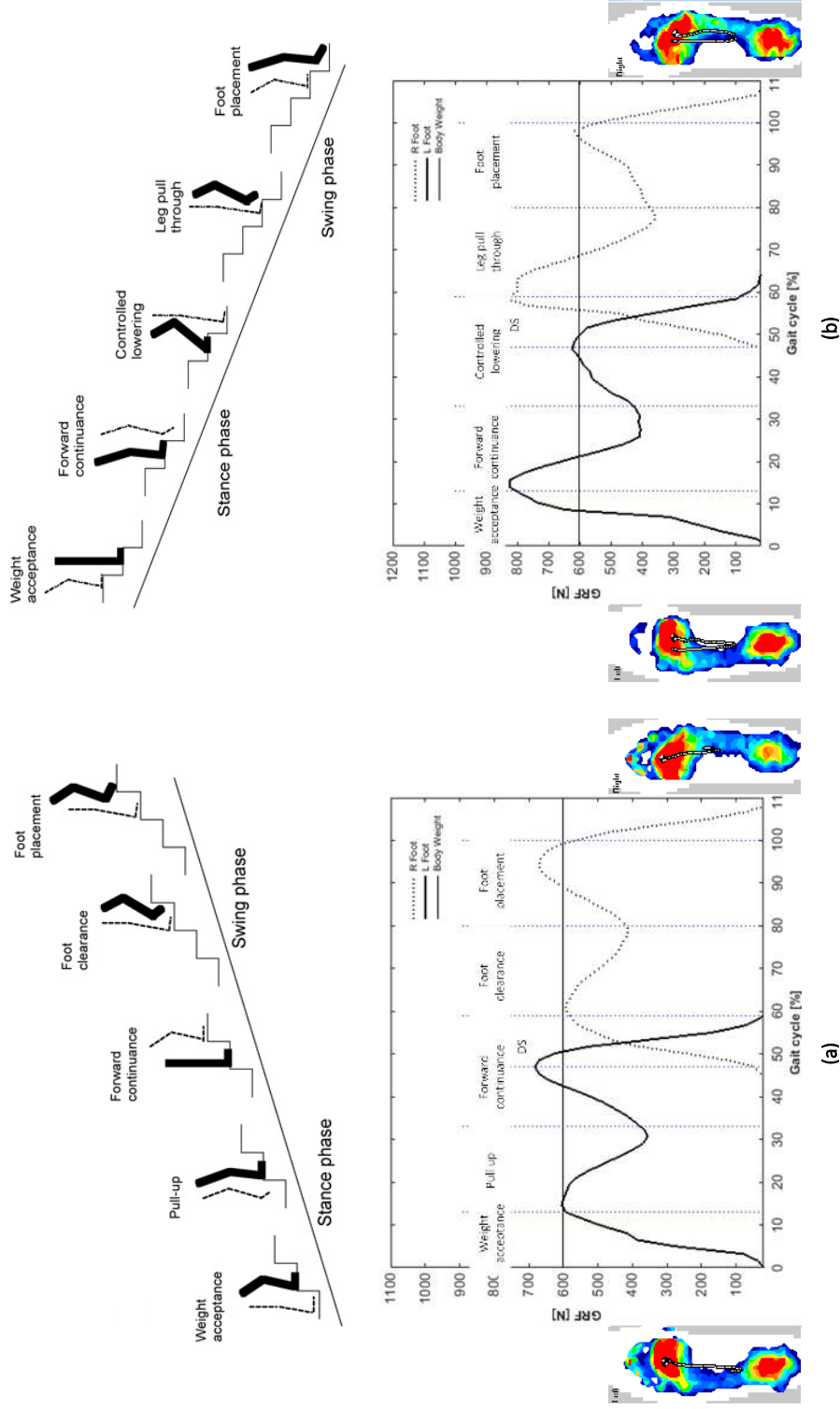


Figure 1: Gait cycle (top, picture taken and adapted from Novak et al., 2010) and GRF profile (bottom) whilst ascending (a) and descending (b) the stairs. The pressure maps illustrate the plantar areas under load during the presented steps.

Table 1: Gait cycle events during stair walking

Occurrence	Stair Ascend	Stair Descend
	<u>Stance</u>	
0 - 10%	<b>Weight acceptance:</b> the front part of the leading foot is in touch with the stair.	
10 - 30%	<b>Pull up:</b> the plantar is in full contact with the stair, as body weight is supported by the leading leg. The other leg is moved towards the upper stair.	<b>Forward continuance:</b> the back part of the plantar is in contact with the stair as body weight is supported by the leading leg. The other leg is moved towards the lower stair.
30 - 60%	<b>Forward continuance:</b> a controlled fall is generated with the GRF of this phase being higher than those of pull up, as the CoM has to be moved to a higher level. Double support is achieved towards the end of this phase.	<b>Controlled lowering:</b> the CoM is lowered in order for the swinging leg to reach the stair. GRF of this phase are lower than those in forward continuance. Double support is achieved towards the end of this phase.
	<u>Swing</u>	
60 - 80%	<b>Foot clearance:</b> the leading foot becomes the swinging foot which is not loaded as it is being moved towards a higher stair.	<b>Leg pull through:</b> the leading leg becomes the swinging leg and the CoM is stabilised between the moving support base. The plantar is not in contact with the stair.
80 - 100%	<b>Foot placement:</b> the swinging foot is placed on the next stair and is being prepared to accept the body weight in order to proceed with the movement.	

Note: Occurrence is given as the percentage of gait cycle

## 2 Methods

As people's stair walking in a moving vehicle is investigated here for the first time, it was crucial to invite healthy people to take part in this study. Any mobility difficulties of the participants will provide obscure outcomes of the real challenges of passengers during bus journeys.

Thus, 29 healthy and regular bus users, between 20 and 80 years old, were recruited for this study. Participants were divided into three age groups following Steenbekker and Van Beijsterveldt's analysis on balance (Steenbekkers and Van

160 Beijsterveldt, 1998): young (20 to 39 years old); middle-aged (40 to 59 years old)  
 161 and older (over 60 years old). More information on the sample size and the physical  
 162 characteristics of each age group are included in Table 2 below. In addition to being  
 163 regular bus users, all participants stated that they would like to travel upstairs on  
 164 a bus if they could (Karekla, 2016).

Table 2: Physical and demographic characteristics of the examined sample, mean (SD)

Characteristic	Young (n=12)	Middle-aged (n=8)	Older (n=9)
Gender (M/F)	7/5	4/4	5/4
Age (years)	31.1 (5.2)	49.8 (5.5)	66.7 (4.9)
Height (cm)	176.6 (10.0)	171.1 (9.8)	169.6 (11.2)
Weight (kg)	68.6 (17.7)	74.5 (13.9)	77.1 (12.1)
UST (sec)	30.1 (21.6)	7.7 (12.3)	7.4 (9.6)
TUAG (sec)	12.0 (1.8)	11.8 (1.5)	12.6 (2.0)
Step width (cm)	26.9 (9.4)	29.1 (5.7)	26.9 (7.4)
Step length (cm)	69.9 (8.7)	63.2 (10.1)	65.3 (10.9)
Leg power (Watt)	125.9 (84.0)	109.4 (54.9)	78.2 (46.2)
Arm Length (cm)	72.5 (5.0)	71.8 (5.0)	71.1 (5.5)
Grip strength (kg)	42.3 (13.4)	34.1 (11.3)	29.3 (7.1)

Note: Unipedal Stance Time (UST) test indicates risk of falling, Timed Up and Go (TUAG) test reflects balance deficits in gait.

165 A university laboratory (PAMELA, UCL) constituted the static environment.  
 166 PAMELA, or in other words the Pedestrian Accessibility Movement Environment  
 167 Laboratory ([www.cege.ucl.ac.uk/arg/pamela](http://www.cege.ucl.ac.uk/arg/pamela)), is located in London, UK and it  
 168 is a multisensory laboratory where the built environment can be simulated for the  
 169 assessment of pedestrian movement. In this laboratory, participants were asked  
 170 to negotiate five stairs, the dimensions of which comply with the regulations for  
 171 public buildings (Office of Public Sector Information, 2013): 175 mm riser, 240 mm  
 172 tread and 1140 mm width. The staircase was not constructed specifically for these  
 173 experiments, but it is part of the platform set up in the PAMELA laboratory. The  
 174 dynamic environment was simulated in a real double-decker bus, owned by UCL,  
 175 that was driving on a public road, but was not affected by the city traffic. The bus  
 176 staircase consisted of seven stairs with a riser of 240 mm, tread of 220 mm and free

177 width of 550 mm.

178 Participants repeated each task three times and were free to use handrails if  
179 needed. Their gait throughout the experiments was recorded by an in-shoe plantar  
180 pressure system (F-Scan mobile system, Tekscan Inc., Boston, USA, error order:  
181  $\pm 3\%$ ). The bus was initially stationary ( $0\text{ m/s}^2$ ), which allowed comparisons  
182 between the natural gait (static environment) and the walking style adopted due  
183 to the bus staircase design. The bus was thereafter moving at a “medium” acceler-  
184 ation rate ( $1.5\text{ m/s}^2$ ) in a straight line, which revealed the effect of acceleration on  
185 passengers’ gait. The bus acceleration was monitored using a 3D motion wireless  
186 system (MTw, Xsens Technologies, Netherlands). The equipment set-up on the  
187 person and on the bus are shown in Figure 2. When the bus was in motion, ascend-  
188 ing was tested during acceleration, simulating the real life situation during which a  
189 passenger is attempting to go upstairs when at the same time the bus is leaving a  
190 bus stop, whereas stair descending was tested during deceleration, replicating beha-  
191 viours of when a passenger, who has been sitting upstairs, intends to alight whilst  
192 the bus is already pulling into the stop. The examined level of acceleration was set  
193 in the range of accelerations passengers experience on the current bus service in  
194 London and bus driver training preceded the experiments, to ensure that this was  
195 achieved. The acceleration rate was checked in each run to make sure it was in the  
196 required range and if it was not the run was terminated and then repeated.

197 Before undertaking the above tasks, it was necessary to understand participants’  
198 preferences and requirements for a comfortable bus journey, in order to recognise the  
199 needs of bus passengers overall. Thus, participants were asked to respond to a pre-  
200 experiment questionnaire, which involved questions related to their travel frequency  
201 and scope, their seat preference, difficulty in performing tasks and comfort of the  
202 current service. After the completion of the experiments on the bus, participants

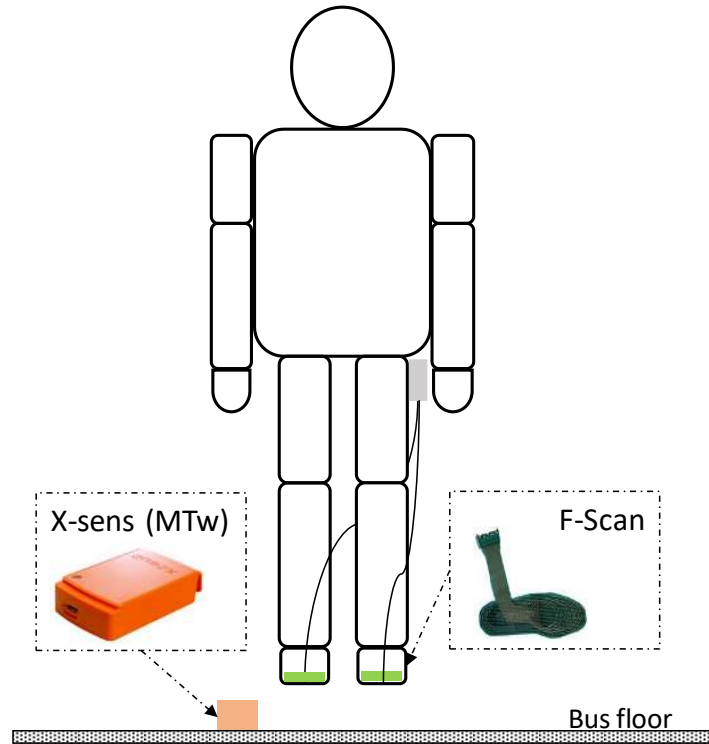


Figure 2: Experimental devices used for data collection

203 were asked to compare the examined service to the actual bus service as they  
 204 had experienced it in London, to assess the simulation in terms of the examined  
 205 acceleration and their difficulty in negotiating the stairs and to report any incidents  
 206 of balance loss they experienced. The answers to the questionnaires are out of the  
 207 scope of this paper, and details about the protocol and the outcomes can be found  
 208 in Karekla (2016).

209 Data analysis followed the process described in (Karekla and Tyler, Under revi-  
 210 sion) to identify the step types used by participants in all tested environments. To  
 211 avoid confusion, for the purpose of this study, a “step” refers to the alternating use  
 212 of a person’s lower limbs in order to achieve forward movement, whereas a “stair”  
 213 refers to one of a series of steps a person needs to climb or descend in order to go  
 214 from one level to another. Also, the term “stair negotiation” refers to the process  
 215 of climbing or descending stairs.

216 Unlike level walking, in which the first, second and third peak of the GRF

217 profile of each step were associated with the heel, middle-foot and toe area of the  
218 plantar, in stair walking, the first, second and third peak of the GRF profile of each  
219 step did not always correspond to the heel, middle-foot and toe area of the plantar  
220 respectively. Possible explanations for this are:

- 221 1. the different dimensions of the going of the two examined staircases (in the  
222 laboratory and on the bus),
- 223 2. the differences in foot size between participants which could enable some par-  
224 ticipants, especially women with small foot size, to place their entire plantar  
225 on the stairs of the static staircase, but leave part of their plantar hanging  
226 outside of the stair on the bus staircase or
- 227 3. that individuals have different techniques in negotiating stairs. For example,  
228 one person might use their heel as their first contact with the stair whilst  
229 descending, whilst another person might use their toes as the first contact  
230 when completing the same task.

231 Thus, to avoid bias in the results and to ensure that accurate comparisons can  
232 be made between environments and people, the seven different step types, that  
233 were identified in level walking, were grouped to one-peak steps, two-peak steps  
234 and three-peak steps when it came to stair negotiation. This is shown in Table  
235 3. Furthermore, as with the similar experiments with level walking (Karekla and  
236 Tyler, Under revision) the data derived from each foot of each participant were  
237 treated as separate cases and were not averaged between runs. The data were  
238 processed in MATLAB 2014.

Table 3: Step types in level walking and stair negotiation

Definition	Level walking	Stair negotiation
Pressure is applied on the heel and toes. It is the most common step type in a healthy human’s gait.	Normal	Two-peak
Pressure is applied on the heel and the middle of the plantar.	Back two-peak	
Pressure is applied on the middle of the plantar and the toes.	Front two-peak	
Pressure is applied on the heel only.	Heel peak	One-peak
Pressure is applied on the middle of the plantar only.	Middle-foot peak	
Pressure is applied on the toes only.	Toe peak	
Pressure is applied on the heel, the middle of the plantar and the toes. The entire plantar is under pressure.	Three-peak	Three-peak

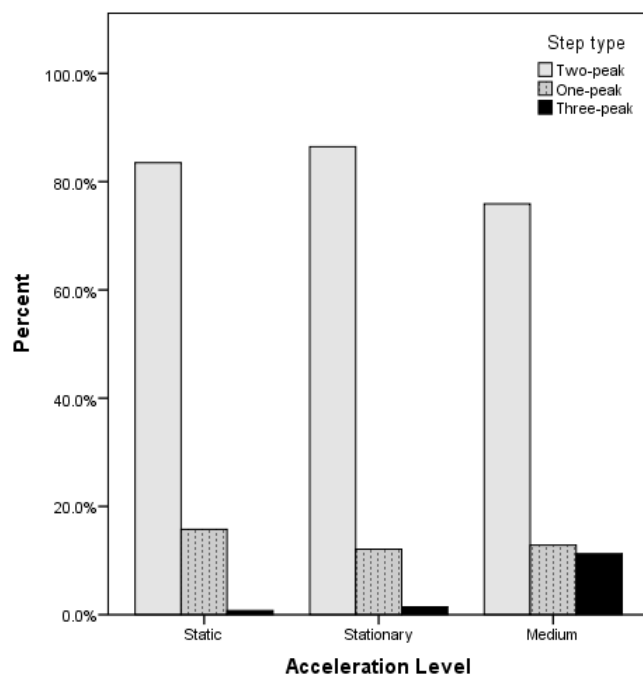
### 3 Results and Discussion

The influence of age, gender and acceleration level (categorical variables) on the observed step type was tested statistically by performing chi-squared tests (SPSS v.22). Each task (stair ascending and descending) was analysed separately and the results are discussed next.

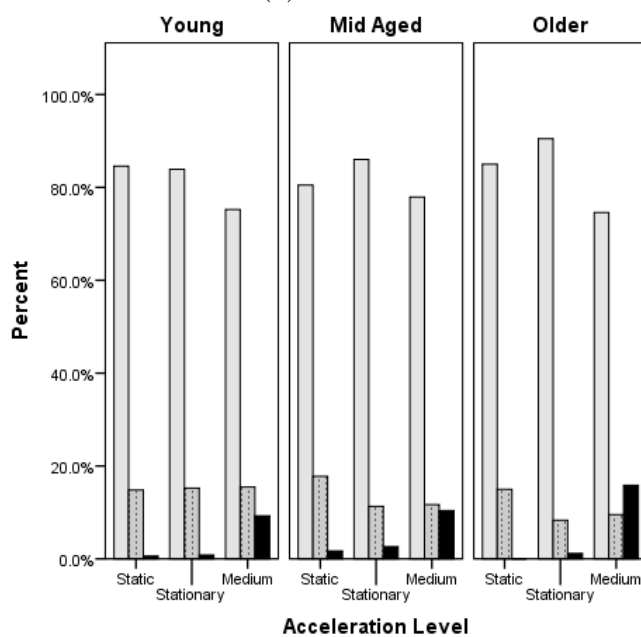
#### 3.1 Stair ascending during bus acceleration

Age and acceleration level were found to associate significantly with changes in step type (0.05 confidence level), when participants were ascending the stairs. However, step type was not influenced by participants’ gender. This are summarised in Table 4. The importance of different step types in this analysis is that the shift from one step type to another is beyond the participant’s conscious control. It is a subconscious response to the challenge of maintaining balance when “normal” walking conditions cease to apply. In this case, the “walking conditions” were dictated by the change in motion of the bus and the “step type” response was recorded across all participant groups as a good observable response to the environmental challenge imposed by the motion of the bus. The percentage of step types identified at each acceleration level are presented in Figure 3.

In the static environment, over 80% of participants’ steps were two-peak - which



(a) Overall



(b) Age Group

Figure 3: Step type distribution at each acceleration condition whilst ascending the stairs. The legend enclosed in graph (a) also applies to graph (b).



Table 4: Chi-square tests for step types observed in stair ascending during bus acceleration

	Age			Gender			Acceleration Level		
	Value	df	Asymp. Sig. (2-sided)	Value	df	Asymp. Sig. (2-sided)	Value	df	Asymp. Sig. (2-sided)
Pearson Chi-Square	133.38 <sup>a</sup>	12	.000	7.26 <sup>a</sup>	6	.297	169.32 <sup>a</sup>	24	.000
Likelihood Ratio	140.69	12	.000	7.23	6	.300	196.57	24	.000
N of Valid Cases	2484			2484			2484		

a: 0 cells (.0%) have expected count less than 5.

257 include normal steps - whilst one-peak steps were used 16% of the time in this  
 258 environment (Figure 3a). A trivial number of three-peak steps (0.75%) was observed  
 259 whilst ascending a static stair, which shows that the dimensions of the stair, in  
 260 conjunction to the stability of the environment in negotiation, did not require a  
 261 person to engage extra support mechanisms in order to maintain their balance.  
 262 In addition, the amount of one-peak steps used in the static environment, reveals  
 263 that the task was within participants' comfort zone in terms of balance, and hence  
 264 nothing was deterring them from using "less secure" step types.

265 On the stationary bus, participants were negotiating a narrower staircase with  
 266 steeper stairs, compared to that in the static environment (Section 2). Although,  
 267 just like in the static environment, the bus was not moving, it was observed that  
 268 participants' gait consisted of more two-peak (+3%), fewer one-peak (-4%) and  
 269 more three-peak (+0.7%) steps. The three-peak steps in particular were almost  
 270 doubled on the stationary bus, but continued to be the least frequently used step  
 271 type. Hence, the dimensions of the stairs on the bus forced participants to alter  
 272 their natural way of walking, and in some cases to increase the level of stability,  
 273 in order to avoid a fall. At the same time, the stationary bus staircase was not  
 274 considered as a threat and participants sustained a level of confidence that they

275 would remain in control of their balance, thus they continued using a considerable  
276 amount of the least stabilising step type (one-peak).

277 The acceleration of the bus ( $1.5 \text{ m/s}^2$ ), forced participants to alter their walking  
278 style further in comparison to the previous tasks (static and stationary). Their gait  
279 pattern in this case consisted of fewer two-peak (-10.6%), an equal number of one-  
280 peak and more three-peak steps (+10%) than in the stationary case. Three-peak  
281 steps in particular increased by 10.5% and by 9.8% compared to those observed  
282 during ascending on a static and stationary staircase respectively. This outcome  
283 shows clearly that the movement of the bus had an effect on participants' ability to  
284 control their balance and hence they were using steps that increased contact with  
285 the stair.

286 Young participants were substituting two-peak steps with three-peak steps as  
287 the difficulty of the task was increasing (Figure 3b). Being the strongest of the  
288 examined sample (Karekla and Tyler, Under revision), they used more one-peak  
289 steps than middle-aged and older participants overall. Middle-aged participants  
290 used around 2% more three-peak steps in the static and stationary environment  
291 than younger and older participants. The profile of two-peak and one-peak steps  
292 they used, followed the overall sample (Figure 3a), however they used fewer one-  
293 peak steps than younger participants. Older participants, on the other hand, used  
294 more two-peak and three-peak steps, but fewer one-peak steps than the other two  
295 age groups. Especially during medium acceleration, older participants used around  
296 15% more three-peak steps than on the stationary stairs and 6.6% and 5.5% more  
297 compared to the younger and middle-aged groups respectively.

## 3.2 Stair descending during bus deceleration

Unlike ascending, the results for stair descending showed that gender is also a significant factor affecting step type. Age and acceleration level significantly affect a person's step type also during stair descending. The results of the *chi-square* tests are presented in Table 5.

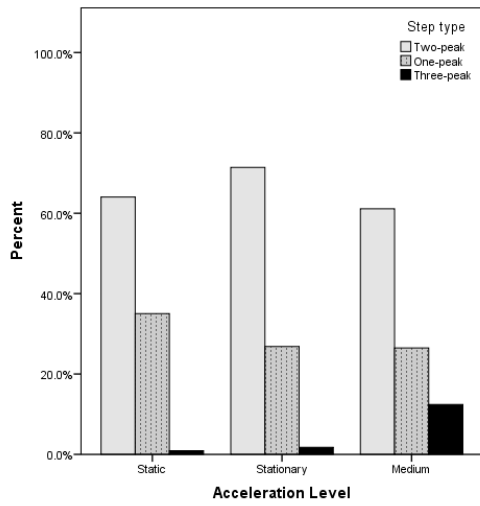
Table 5: Chi-square tests for step types observed in stair descending during bus deceleration

	Age			Gender			Acceleration Level		
	Value	df	Asymp. Sig. (2-sided)	Value	df	Asymp. Sig. (2-sided)	Value	df	Asymp. Sig. (2-sided)
Pearson Chi-Square	54.31 <sup>a</sup>	12	.000	22.17 <sup>a</sup>	6	.001	199.52 <sup>a</sup>	24	.000
Likelihood Ratio	55.27	12	.000	23.76	6	.001	208.11	24	.000
N of Valid Cases	1900			1900			1900		

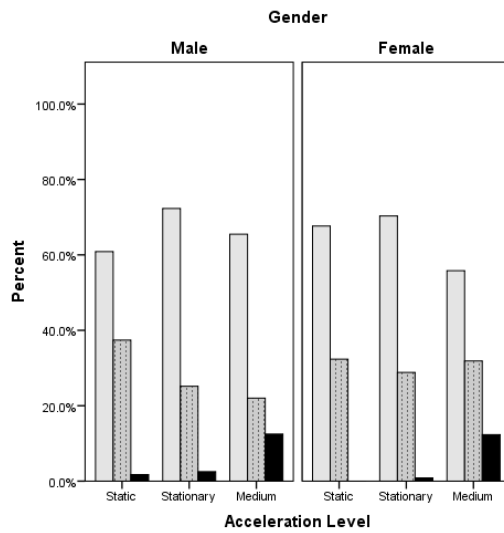
a: 0 cells (.0%) have expected count less than 5.

During stair descending in the static environment, 64% of the steps used by the general sample were two-peak, 35% were one-peak and only 1% were three-peak steps. Participants used the majority of one-peak steps on the static staircase, compared to the 27% of one-peak steps used on the stationary staircase and the 26.5% used during medium deceleration. This shows that naturally the most stabilising steps (three-peak steps) are not essential for these participants to descend a staircase and that they can sustain their balance even by using a considerable number of the least stabilising steps (one-peak steps).

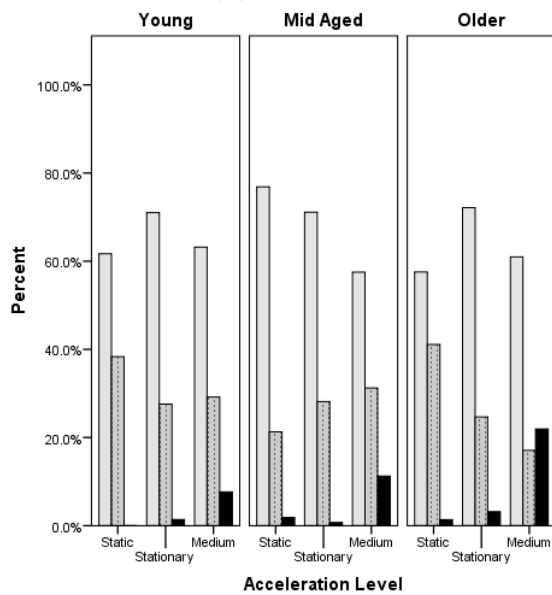
Moving to the stationary environment, the design of the bus staircase forced participants to decrease the number of one-peak steps (-8%), which were substituted by two-peak steps that increased by 7% compared to the static environment. Three-peak steps were also increased by 0.8%. Hence, negotiating the stationary staircase, participants required extra support which they found by increasing the



(a) Overall



(b) Gender



(c) Age Group

Figure 4: Step type distribution at each acceleration condition whilst descending the stairs. The legend enclosed in graph (a) also applies to graphs (b) and (c).

316 area of their plantar that is in contact with the ground.

317 Compared to the stationary environment, a similar amount of one-peak steps  
318 was used during stair descending at medium deceleration. However, the number  
319 of two-peak steps reduced by 10%, roughly reaching the number of two-peak steps  
320 using in natural gait (61%). The rest of the steps (12.4%) were three-peak steps,  
321 revealing a 12% increase compared to the static and stationary environments. From  
322 this behaviour, it can be seen that descending a staircase during medium deceleration  
323 offers the least stability and participants compensate for their reduced balance  
324 by using steps that increase their contact with the ground and hence their support  
325 base.

326 Male participants appeared to have more difficulty controlling their balance than  
327 females (Figure 4b). Unlike females, they used three-peak steps in all conditions.  
328 While negotiating the stairs on the stationary bus, males used fewer one-peak steps  
329 (-7%) but more three-peak steps (+1.7%) compared to females. During medium  
330 deceleration, females used 10% more of the less stabilising steps (one-peak steps),  
331 but equal amount of three-peak steps as the males. This is an unexpected outcome  
332 which contradicts the existing literature, as males are generally considered to have  
333 better balance (Hsue and Su, 2014; Lord et al., 1996). However, it could be that  
334 one or more other factors, such as body weight, might be influencing this outcome  
335 and this should be investigated further. Male participants of the examined sample  
336 are heavier than female participants (Karekla, 2016) and it has been shown that  
337 increased weight reduces mobility and therefore balance (Gaur and Parekh, 2015).

338 Regarding participants' age, the young ones used the most one-peak and the  
339 least three-peak steps compared to the other age groups, whereas they required  
340 no three-peak steps on the static stairs. They have the best balance of the entire  
341 sample and hence they could afford to use step types of reduced level of support,

342 as they could rely on their strong limbs to help them sustain balance. Middle-  
343 aged participants used two-peak steps more than the other participants. As the  
344 difficulty of the task was increasing between the static, stationary and moving bus  
345 cases, middle-aged participants were reducing the number of two-peak steps (-6%  
346 between the static and stationary environment and -14% between the stationary  
347 and moving bus) and were increasing the number of one-peak steps (+7% between  
348 the static and stationary environment and +3% between the stationary and moving  
349 bus). The reasoning behind this behaviour is questionable; as they have less natural  
350 balance than younger participants, one would expect them to seek more support as  
351 difficulty increases. This raises the question whether they used alternative balance  
352 mechanisms, such as handrail use, which should be investigated further. The older  
353 age group, just like middle-aged participants, used three-peak steps in all condi-  
354 tions, with the majority of them recorded during medium deceleration (22%). More  
355 precisely, during medium deceleration, they used 19% more three-peak steps com-  
356 pared to the stationary environment and 14% and 11% more compared to young  
357 and middle-aged participants respectively. At the same time, as the task difficulty  
358 was increasing, they were reducing the number of one-peak steps (-24% and -8%  
359 less than their natural gait and stationary bus respectively).

### 360 **3.3 Comparison of the stair negotiation tasks**

361 Considering the overall number of steps observed in the static environment between  
362 stair ascending (Figure 3a) and descending (Figure 4a), it can be seen that in  
363 descending two-peak steps were used approximately 20% less, whereas 20% more  
364 one-peak steps were observed compared to stair ascending. A similar number of  
365 three-peak steps was used in both tasks.

366 Although the amount of steps used continued to be different between ascend-

367 ing and descending, the effect of the layout of the bus staircase (stationary) was  
368 observed to be the same: more two-peak, fewer one-peak and more three-peak  
369 steps. This is due to the dimensions of the bus staircase which forced participants  
370 to incorporate more stabilising step types into their walking compared to the one  
371 they performed on the static staircase. The reduction of one-peak steps in stair  
372 descending was double that observed in stair ascending, which reveals that parti-  
373 cipants were using a more cautious walking pattern whilst descending the stationary  
374 bus staircase. The need for an increased support base was amplified when the bus  
375 was decelerating at a medium level, as an 33% increase in three-peak steps was  
376 observed when compared to number of three-peak steps used whilst ascending the  
377 bus staircase during medium acceleration. The step type variability observed in  
378 the stationary environment compared to the natural gait in both tasks, has made  
379 it evident that bus passengers start their journeys with an inherent disadvantage  
380 due to the bus staircase design, and even when the bus is stationary, they need  
381 to alter their natural gait in order to remain balanced. In fact, the dimensions of  
382 the bus staircase fall into the category of stairs that increase risk of falling and  
383 are considered highly unsafe for public health (Johnson and Pauls, 2010). A design  
384 that considers increased staircase width and stairs with longer treads would provide  
385 more stability (Novak et al., 2016).

386 Based on the above, descending appears to be more difficult than ascending as  
387 participants were constantly and unintentionally using a combination of all three  
388 step types in order to successfully descend the stairs. In addition, and as it was  
389 observed in level walking (Karekla and Tyler, Under revision), the external forces  
390 generated by the bus movement force people to adopt a more stable walking pattern  
391 by increasing the contact area between their foot and the floor. This effect is more  
392 pronounced in older people, who used approximately 6% more three-peak steps

393 during acceleration and 11% more three-peak steps during deceleration compared  
394 to the other two age groups. Considering the dimensions of the bus staircase, it  
395 seems impossible for any of the participants of this sample to have been able to  
396 place their entire plantar on the stairs whilst maintaining a forward facing posture.  
397 Thus, it can be speculated that participants were tilting their feet so as to fit on  
398 the stairs. This is a behaviour that has been observed in older people by previous  
399 researchers, who have been observed to alter their posture towards the direction of  
400 the handrail whilst negotiating stairs (Maganaris et al., 2018). Despite the fact that  
401 gender was not a critical factor associated with step type during stair ascending,  
402 males showed that they are in general less able to control their balance when the  
403 bus is moving, as they used less destabilising steps and more stabilising steps than  
404 females during stair descending. This result might be driven from the behaviour  
405 of the young and middle-aged males of the sample who used more one-peak steps  
406 than older participants. According to the results regarding double support time, a  
407 gait event that encloses information about people's stability, which are presented  
408 in Karekla and Tyler (2018) and were derived from these experiments, males of the  
409 middle-aged group presented the highest variability in their balance and seemed to  
410 be less able to control their balance when the bus was moving. A possible reason  
411 for this could be that middle-aged were the only ones from the general sample  
412 that increased the number of destabilising steps (one-peak steps) when the bus was  
413 moving compared to the other two environments (static and stationary staircase).  
414 This suggests that physical strength might be irrelevant when it comes to balancing  
415 in dynamic environments and that the literature around male stability needs to be  
416 updated.

417 Lower and higher acceleration levels were also tested as part of this experimental  
418 process (Karekla, 2016), but the results are not presented in this paper. It is



419 important, however, to mention that three-peak steps were used less during low  
420 acceleration and more during high acceleration compared to medium acceleration,  
421 avoiding one-peak steps when possible. Therefore, as bus acceleration increases  
422 participants use a walking style that increases their contact with the ground, and  
423 therefore their stability.

## 424 **4 Conclusions**

425 The walking pattern of 29 healthy bus users, between 20 and 80 years old, was  
426 investigated whilst ascending and descending stairs. An excessive use of three-peak  
427 steps was identified throughout the experimental task, which led to the interesting  
428 observation that the bus environment and movement forces people to unconsciously  
429 alter their natural gait and increase the support base when negotiating stairs. To  
430 achieve better stability when the bus is moving, the dimensions of the staircase  
431 would need to be altered to comply with the buildings regulations for health and  
432 safety. However, the constraints imposed by the limited dimensions of the vehicle,  
433 required in order for it to function within an urban traffic stream, mean that it  
434 would be very difficult and costly to increase the width of the stairs. Even though  
435 the length of the bus, and therefore the tread of the stair, can be redesigned,  
436 the width of the bus staircase is constrained by the dimensions of the road, as the  
437 vehicle needs to fit into the dimensions of the traffic lanes. Modern buses (including  
438 the one used in these experiments) have reduced the number of turns in the stairs  
439 (thus reducing the number of stairs with different goings). Providing a completely  
440 straight staircase, for example, would necessitate the removal of several seats and  
441 loss of standing/wheelchair space on the vehicle. Therefore, altering the dimensions  
442 of the bus would solve only part of the problem, but changing the way the bus moves

443 and setting a specific level of acceleration ( $\pm 1.0 \text{ m/s}^2$ ) above which bus drivers are  
444 not authorised to accelerate (Karekla and Tyler, 2018) will make buses safer and  
445 more accessible. Work is ongoing with a bus operator to assess the implications of  
446 such a control of acceleration, both for the journey times of the vehicle and also on  
447 driver behaviour. Transport operators could make use of these findings to increase  
448 passenger satisfaction, and hence demand, as by enabling people to walk naturally  
449 during their bus journeys will reduce fear of falling and more people will be using  
450 public transport systems for their everyday activities.

451 As with every scientific work that seeks solutions to problems following a mi-  
452 croscopic approach, this work also suffers from some limitations that would need  
453 to be addressed in future investigation. The developed algorithm, through which  
454 the main variable of this work (step type) has been derived, was based on a limited  
455 sample size and bus movements. To increase the accuracy of the methodology a  
456 bigger sample in size and in age range, as well as mobility difficulties would need  
457 to be examined. The presented work focused on the vertical force applied to the  
458 plantar. However, the bus movement generated a force that has a horizontal and  
459 lateral component which also affect the way people distribute their weight onto  
460 their plantar. Thus, analysing gait in respect to these forces would also increase  
461 the accuracy of the results and would produce a more complete idea of the factors  
462 that govern the way people negotiate dynamic environments.

463 The fact that the outcomes of this study were derived after monitoring the gait  
464 of people who are able to walk unaided, reveals the importance of investigating  
465 the gait of those who naturally have difficulties in walking and to analyse the way  
466 they cope in such environments. This will enable us to further understand the  
467 challenges dynamic environments impose onto people and to reduce fall-related  
468 injuries for all. The type of steps people use during stair negotiation and whilst

469 they carry shopping, luggage, or travel with accompanying persons is also worth  
470 exploring as many bus passengers travel under these circumstances on a daily basis.  
471 Furthermore, the presented environment is only a part of people's everyday life.  
472 Other dynamic environments would have to be investigated in order to provide  
473 further understanding around people's walking. This will enable the advancement  
474 of walking aids as well as the design of prostheses so that their users can walk  
475 naturally in any environment. The fact that even the most able participants had  
476 to alter the gait so as to remain upright can be taken into account by the footwear  
477 industry.

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## Author Declaration

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We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us.

We confirm that we have given due consideration to the protection of intellectual property associated with this work and that there are no impediments to publication, including the timing of publication, with respect to intellectual property. In so doing we confirm that we have followed the regulations of our institutions concerning intellectual property.

We further confirm that any aspect of the work covered in this manuscript that has involved human patients has been conducted with the ethical approval of all relevant bodies and that such approvals are acknowledged within the manuscript.