# Manipulating autophagic degradation in human diseases: from mechanisms to interventions

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Targeted degradation, having emerged as a powerful and promising strategy in drug discovery in the past two decades, has provided a solution for many once undruggable targets involved in various diseases. While earlier targeted degradation tools, as exemplified by PROteolysis-TArgeting Chimera (PROTAC), focused on harnessing the ubiquitin-proteasome system, novel approaches that aim to utilize autophagy, a potent, lysosome-dependent degradation pathway, have also surfaced recently as promising modalities. In this review, we first introduce the mechanisms that establish selectivity in autophagy, which provides the rationales for autophagy-based targeted degradation; we also provide an overview on the panoply of cellular machinery involved in this process, an arsenal that could be potentially harnessed. On this basis, we propose four strategies for designing autophagy-based targeted degraders, including Tagging Targets, Directly Engaging Targets, Initiating Autophagy at Targets, and Phagophore-Tethering to Targets. We introduce the current frontiers in this field, including AUtophagy-TArgeting Chimera (AUTAC), Targeted Protein Autophagy (TPA), AUTOphagy-TArgeting Chimera (AUTOTAC, not to be confused with AUTAC), AuTophagosome TEthering Compound (ATTEC), and other experimental approaches as case studies for each strategy. Finally, we put forward a workflow for generating autophagy-based degraders and some important questions that may guide and inspire the process.

# Introduction

he majority of currently used drugs are inhibitors that utilize an occupancy-driven mode of action, in which the functional inhibition of target protein requires consistent binding, or occupancy, of the active site by drug compounds with high affinity [1, 2]. Most targets applicable for this mode of action fall into the categories of enzymes, ion channels and receptors, which possess well-defined active sites for high affinity inhibitor binding [3, 4]. However, these targets only cover <25% of known proteins of therapeutic interest, while the rest and the majority of disease-related targets, including many transcription factors, scaffold proteins, misfolded proteins, and other nonenzymatic proteins, are left undruggable [5]. These targets are involved in a wide spectrum of diseases including cancer, neurodegenerative diseases, and many other yet-unsolved clinical conditions, which leaves a significant vacancy without effective targeting methodologies [6].

This vacancy has been excitingly filled by emerging tools of targeted degradation, hijacking, and redirecting the cell-intrinsic quality control system by inducing proximity between a degradative cellular machinery and a desired target, as exemplified by PROteolysis TArgeting Chimera (PROTAC) and its variants [4, 7–11]. PROTAC is a type of bivalent compound consisting of a target ligand and an E3 ubiquitin ligase ligand, connected by a linker, which enables formation of a target-PROTAC-E3 ternary complex and subsequent target ubiquitination by the E3 [1, 2, 12, 13]. The ubiquitinated target is then degraded by the proteasome [14]. PROTACs are promising therapeutic modalities as several advantages of these degraders over traditional inhibitors have been observed, including an expanded target range covering many once-undruggable targets [2, 15, 16], the ability to silence

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both enzymatic and nonenzymatic functions [17–19], catalytic degradation and sustained effects [20–22].

Yet, PROTAC may not be a solution for all. While promising cases exist [23, 24], proteasomes may not be the optimal degradation route for protein aggregates related to Alzheimer's Disease (AD), Huntington's Disease (HD), Parkinson's Disease (PD), and other neurodegenerative disorders, as many of them reportedly inhibit proteasomes, are proteasome-resistant, or produce cytotoxic products when degraded by proteasomes [25-29]; Degradation of bulky targets by proteasomes may also be inefficient [30]; Targets containing nonprotein materials—usually bulky as well-are intrinsically difficult to be completely digested by proteasomes. These targets are involved in a spectrum of human diseases, such as the defective mitochondria in Down syndrome [31, 32] and other neurological disorders [33], excessive lipid droplets in nonalcoholic fatty liver disease (NAFLD) or nonalcoholic steatohepatitis (NASH) [34], intracellular bacteria including Streptococcus [35], Mycobacterium [36, 37] among others [38], as well as viruses. Therefore, modalities that harness another major cellular quality control machinery, lysosomes, have been receiving increasing attention to complement proteasome-dependent targeted degradation tools [39-44].

Lysosomes harbor >60 hydrolytic enzymes capable of degrading a wider variety of substrates than proteasomes, including not only proteins, but also saccharides, lipids, nucleic acids, and other substances [45]. Substrates can be delivered to lysosomes via pathways including endocytosis, which degrades substrates from the extracellular space and plasma membrane, and autophagy, which degrades intracellular materials [43]. The endocytosis pathway has been exploited by modalities such as LYsosome TArgeting Chimera (LYTAC), Molecular Degraders of Extracellular proteins through the asialoglycoprotein receptor ASGR (MoDE-A) and Antibody-based PROTAC (AbTAC), as discussed elsewhere [39, 41, 46–49]. Meanwhile, autophagy also gained special attention and interest from developers of targeted degradation tools [39-41, 50]. Among the major forms of autophagy: macroautophagy, chaperone-mediated autophagy, and microautophagy, macroautophagy has been the most attractive route for targeted degradation due to its vast capability of degrading cargoes that are bulky or complex in molecular nature [51, 52]. Multiple approaches aiming at degrading targets via macroautophagy (hereafter autophagy) have been reported, as exemplified by AUtophagy-TArgeting Chimera (AUTAC), Targeted Protein Autophagy (TPA), AUTOphagy-TArgeting Chimera (AUTOTAC; not to be confused with AUTAC), and AuTophagosome TEthering Compound (ATTEC) [32, 53-57]; These autophagy-based bivalent degraders, termed MacroAutophagy Degradation Targeting Chimera (MADTAC) by Alabi and Crews [41], together with other experimental approaches of autophagy-based targeted degradation, have offered novel opportunities for both therapeutic and research applications.

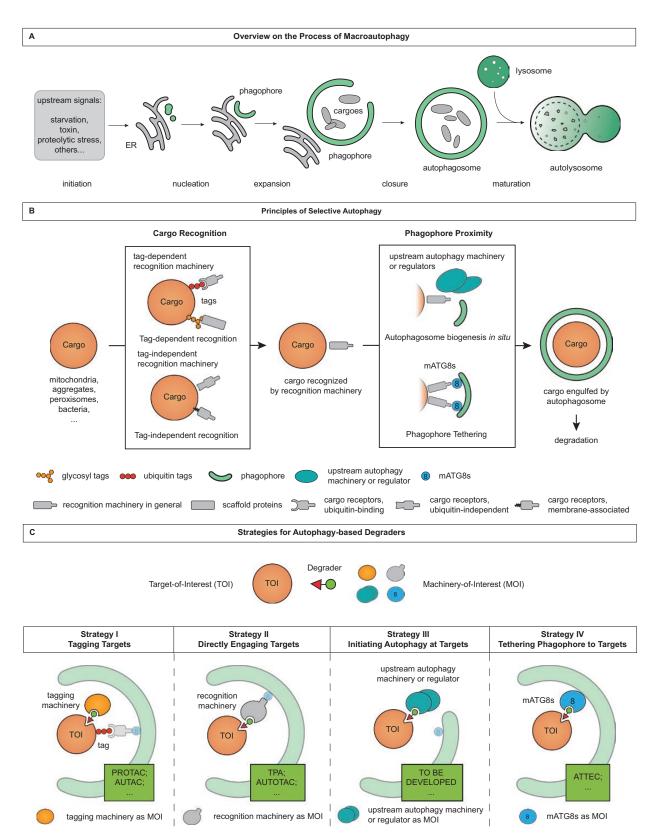
However, despite rapid progress, what we have harnessed is considerably limited compared with the full potential of autophagy, leaving many untouched opportunities. To further exploit the autophagy-lysosome system for targeted degradation, this review first introduces the cellular machinery and mechanisms that drive autophagy and establish selectivity in autophagy. With these as background, we turn to the design strategies for autophagy-based degraders, with AUTAC, TPA, AUTOTAC, ATTEC, and other reported approaches presented as case studies in corresponding sections. Last, we present future perspectives on the development of novel autophagy-based degraders.

#### Autophagy and selective autophagy

The primary morphological feature of autophagy is the formation of autophagosomes, double-membraned vesicles containing cellular materials referred to as cargoes [52]. Following upstream signals that initiate autophagy, phagophore is generated at specific ER subdomains in a process termed nucleation, which then expands and bends to engulf a portion of cytoplasm; closure of the phagophore gives rise to a double-membraned autophagosome, which eventually fuses with lysosomes, resulting in the formation of an autolysosome, to degrade cargoes and its inner membrane, as comprehensively reviewed elsewhere (Fig. 1A) [58-63]. The process of autophagosome biogenesis is driven by a series of core autophagy machinery (hereafter autophagy machinery): The ULK1 complex, the class III phosphatidylinositol 3-kinase complex 1 (PtdIns3K-C1), WIPI proteins, ATG2-ATG9, and two ubiquitin-like conjugation systems, the ATG12-ATG5 conjugation system and the mammalian Atg8-family proteins (mATG8s)-phosphatidylethanolamine (PE) conjugation system (see Table 1), which function in an organized and hierarchical manner, along with other participants [51, 61, 64–66]. For detailed discussions, see various reviews [58, 59, 63, 67-70].

Autophagy was initially discovered as a non-selective degradation pathway in response to starvation and other stresses [114]. However, it was later revealed that autophagic degradation of many cargoes, including mitochondria, peroxisomes, ER, and cytosolic pathogens, can be selective [115, 116]. Therefore, autophagy can be classified into two main types: bulk autophagy, where the phagophore may randomly engulf nearby cellular contents [59]; and selective autophagy, where the process of autophagosome biogenesis can be spatiotemporally coordinated in proximity with specific cargoes for selective engulfment and degradation [75, 117].

The key to selectivity lies in the panoply of cellular machinery that establishes proximity between the cargo and the phagophore, most of which fall into categories of cargo receptors, also known as cargo adaptors [73, 76], and scaffold proteins [118], collectively termed recognition machinery in this review. In brief, to bridge between cargo and phagophore, a typical recognition machinery should possess at least two basic activities: cargo recognition,



# Figure 1. Autophagy and selective autophagy.

(A) The process of autophagic degradation. Upon autophagy initiation, a membranous precursor to an autophagosome, termed a phagophore, is generated at specific sites on the ER in a nucleation process. Expansion and bending of the phagophore engulfs a portion of cytoplasm, referred as cargoes, and closure of the phagophore produces an autophagosome with double membranes. Finally, the autophagosome fuses with lysosomes to degrade cargoes together with its inner membrane. (B) Selective autophagy is mediated by a variety of recognition machinery

OXFORD UNIVERSITY PRESS and phagophore proximity [76, 118], as shown in Fig. 1B. Cargo recognition is the process that identifies cargo from other cellular materials [73, 119, 120]. In some cases, this requires cargo tagging, in which recognition machinery binds to tags or "eat-me" signals that are added to or exposed from a cargo. The best characterized tag to date is ubiquitin, while other tags, such as glycans, are also involved in marking specific cargoes [65, 120-122]. In other cases, the recognition machinery can specifically and directly bind to their cargoes, or are incorporated into cargoes like ER or mitochondria as a membrane component [76, 121]. As for phagophore proximity, while earlier studies have focused on cargo receptors as molecular tethers that simultaneously interact with cargoes and phagophore-coating mATG8s, an updated model emphasizes the participation of upstream autophagy machinery such as the ULK1 complex, which can be recruited to cargo and may drive autophagosome biogenesis spatiotemporally adjacent to the cargo [59, 73, 75, 76, 117]. The latter process, termed "cargo-induced autophagy," "precision autophagy," "phagophore formation in situ," or "on demand autophagosome biogenesis" [59. 76, 91, 110, 123] elsewhere, are collectively referred to as autophagosome biogenesis in situ in this review.

From the above discussion, it becomes apparent that selective autophagy and autophagy-based targeted degradation share a common basis, as both processes would induce proximity between the substrate and the phagophore. Thus, understanding the mechanisms of selective autophagy will provide great insights into development of autophagy-based degraders [40]. In the following sections, we briefly introduce how selective autophagy is driven by the cooperation of the aforementioned variety of cellular machinery.

## Tag-dependent recognition

#### Ubiquitin

Ubiquitination has been the most studied tagging mechanism in selective autophagy [75, 121, 122]. During ubiquitination, the C-terminal Gly residue of ubiquitin is covalently attached to Lys (alternatively Met or Cys) residues on the substrate under the catalytic action of a series of enzymes: E1 activating enzymes, E2 conjugating enzymes, and E3 ligases, among which E3s directly interact with substrates and transfer a ubiquitin moiety to the substrate [14, 122, 124]. Ubiquitin tags are heterogeneous in structure as they can contain variable numbers of ubiquitin moieties (from monoubiquitin to polyubiquitin), have different linkage types between two adjacent ubiquitin moieties (such as K48- and K63-) or even branch out [125, 126]. This structural complexity endows ubiquitination with diverse functional outcomes including proteasomal degradation, autophagy, and many others [28, 125, 127, 128].

Reported autophagic cargoes that can be recognized via ubiguitination include misfolded proteins and their aggregates [129, 130], mitochondria 82,102,131-134], peroxisomes [103, 135], several species of cytosolic bacteria [104,136–142], ER [98], proteasomes [143, 144], and others, as summarized elsewhere [75, 120]. Ubiguitination of these cargoes requires recruitment and activation of corresponding E3s, which result from a variety of stimuli and pathways (such as the PINK1-Parkin pathway for mitophagy). These pathways are beyond our scope and thus will not be discussed in detail. Ubiquitinated cargoes are then recognized by a variety of recognition machinery, including ubiguitin-binding cargo receptors like SQSTM1/p62, NBR1, CALCOCO2/NDP52, TAX1BP1, OPTN, TOLLIP, and the recently identified CCDC50 [76, 145], together with scaffold proteins like WDFY3/ALFY [92,93,146], Huntingtin [94], WDR81 [147], UXT [148], and some TRIM family proteins [95, 149] (summarized in Table 1). They cooperate to direct ubiguitinated cargoes to autophagic removal.

The fact that cargoes can be directed to autophagic degradation via ubiguitination indicates that inducing target ubiguitination is a possible way to direct the target to autophagic destruction. Indeed, such attempts lead to autophagic degradation in some, but not all, reports. To better harness ubiguitination by autophagy-based targeted degraders, it is necessary to understand how ubiquitinated cargoes can be directed to autophagy rather than other routes-for instance, proteasomal proteolysis [40, 128]. In other words, does a type of autophagy-prone ubiquitin tag exist?

It is true that properties of ubiquitin tags affect their pathway preference. Proteins carrying longer polyubiquitin chains or multiple polyubiquitin chains are more readily captured by oligomeric p62 to form phase-separated condensates, which favors autophagic over proteasomal degradation [150-154]. However, the requirement on ubiquitin chain may vary according to the cargo or recognition machinery, as shorter ubiquitin chains seem more effective than longer ones in recruiting OPTN for mitophagy [77].

that typically possess two basic functions: cargo recognition, and phagophore proximity, to enable spatiotemporal proximity between the cargo and the growing phagophore for selective engulfment. To recognize cargoes, a recognition machinery may bind to tags like ubiquitin or glycans that are added to or exposed from cargoes, or may directly bind to specific cargoes. For phagophore proximity, a recognition machinery may recruit and activate upstream autophagy machinery or regulators to the site of cargoes, which may promote autophagosome biogenesis in situ; this machinery may also tether the cargo in close proximity to a phagophore by binding to phagophore-coating mATG8s. Altogether, these mechanisms drive cargo engulfment by a phagophore for degradation. (C) Based on the mechanisms of selective autophagy, four strategies for autophagy-based degraders emerge according to the machinery-of-interest (MOI) they harness. Strategy I, exemplified by PROTACs and AUTACs, induces proximity between targets-of-interest (TOIs) and tagging machinery to add autophagy-prone tags to TOIs, which can be then recognized; strategy II, such as TPA and AUTOTACs, directly recruits recognition machinery to TOIs; strategy III utilizes upstream autophagy machinery or regulators as MOIs to trigger autophagosome biogenesis at the site of TOIs; strategy IV, as represented by ATTECs, brings TOIs and mATG8s together to tether TOIs to a phagophore.

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# Table 1. Cellular machinery involved in selective autophagy

Group	Brief	Subgroup	Examples	Ref
Core Autophagy	Core autophagy machinery (hereafter	ULK1 Complex	ULK1*, FIP200*, ATG13, ATG101	[58, 63, 67, 69]
Machinery	autophagy machinery) are proteins that drive autophagosome biogenesis, building the autophagosome. In selective autophagy, autophagy machinery can be recruited to the cargo.	PtdIns3K-C1	VPS34, VPS15, ATG14L, Beclin-1, NRBF2	[58, 63, 67, 69]
		WIPIs	WIPI1, WIPI2, WIPI3, WIPI4	[63, 69]
		ATG2s-ATG9	ATG2A, ATG2B; ATG9A	[58, 63, 67, 69, 71]
		ATG12–ATG5 Conjugation System	ATG7, ATG10, ATG12, ATG5	[72]
		mATG8–PE Conjugation System	ATG4, ATG7, ATG3, ATG12- ATG5-ATG16L1; mATG8s (LC3A, LC3B*, LC3B2, LC3C, GABARAP, GABARAPL1, GABARAPL2)	[72–74]
Cargo Receptors	Cargo receptors are molecular tethers whose major function is to associate	Soluble, Ubiquitin-dependent Cargo Receptors	p62*, NBR1, NDP52*, TAX1BP1, OPTN*, TOLLIP, CCDC50	[75, 76]
	cargoes with a phagophore. For this purpose, cargo receptors interact with their cargoes (binding to tags or	Soluble, Ubiquitin- independent Cargo Receptors	NCOA4, STBD1, NUFIP1, AMBRA1*, TRIM5, TRIM20, TRIM21, CCT2	[77–81]
	to cargoes directly) and phagophore components (in most cases, mATG8s) simultaneously. Some cargo receptors are also capable of recruiting autophagy machinery to promote local autophagosome biogenesis	Membrane-associated Cargo Receptors	BNIP3, NIX, FUNDC1, BCL2L13, FKBP8, PHB2, Cardiolipin, Ceramide; CCPG1, TEX264, SEC62, ATL3, FAM134B, RTN3L, CALCOCO1, GOLPH3, PEX14	[82–90]
Scaffold proteins	Scaffold proteins basically coordinates cargoes, cargo receptors, autophagy machinery, and their regulators to promote their assembly and activation	N/A	ALFY, Huntingtin, UXT, WDR81, TRIM13, TRIM16, Galectin-3, Galectin-8, Galectin-9, NIPSNAP1, NIPSNAP2	[91–101]
Tags and Tagging Machinery	Some cargo receptors or scaffold proteins bind to tags rather than to cargoes directly. Tags could be added or exposed from cargoes	Ubiquitin Tagging	Ubiquitin*, various E3 ligases including Parkin (downstream of PINK1*), CHIP*, LRSAM1, and others	[102–104]
		Glycan Tagging	Glycans (exposed from damaged endosomes or lysosomes)	[65, 105, 106]
		N-degron Tagging	N-terminal Arg* (Nt-Arg, which can be added to protein N terminus by ATE1), and others	[98, 107–109]
Other Regulators	Some regulators could be recruited to the site of cargoes to promote local activity of autophagy machinery, cargo receptors, and other cellular machinery	N/A	TBK1*, AMPK, and others	[110–113]

Abbreviations: AMBRA1, autophagy and Beclin-1 regulator 1; AMPK, AMP-activated kinase; ATE1, arginyltransferase 1; ATG, autophagy-related; ATL3, atlastin GTPase 3; BCL2L13, BCL2 like 13; NIX, NIP3-like protein X; CALCOCO1, calcium binding and coiled-coil domain 1; NDP52, nuclear dot protein 52 kDa; CCDC50, coiled-coil domain containing 50; CCPG1, cell cycle progression protein 1; FKBP8, FKBP prolyl isomerase 8; FUNDC1, FUN14 domain containing 1; GABARAP, GABA type A receptor-associated protein; GOLPH3, Golgi phosphoprotein 3; LRSAM1, leucine rich repeat and sterile alpha motif containing 1; LC3, microtubule associated protein 1 light chain 3; NBR1, NBR1 autophagy cargo receptor; NCOA4, nuclear receptor coactivator 4; NIPSNAP, nipsnap homolog; NRBF2, nuclear receptor binding factor 2; NUFIP1, nuclear FMR interacting protein 1; OPTN, optineurin; PEX14, peroxisomal biogenesis factor 14; PHB2, prohibitin 2; PINK1, PTEN induced kinase 1; PtdIns3K-C1, class III phosphatidylinositol 3-kinase complex 1; FAM134B, family with sequence similarity 134, member B; RTN3L, reticulon 3 long isoform; FIP200, FAK family kinase-interacting protein of 200 kDa; SQSTM1/p62, sequestosome 1; STBD1, starch binding domain 1; CHIP, Carboxy terminus of Hsp70-interacting protein; TAX1BP1, Tax1 binding protein 1; TBK1, TANK binding kinase 1; TEX264, testis expressed 264, ER-phagy receptor; TOLLIP, toll interacting protein; TRIM, tripartite motif containing; ULK1, unc-51 like autophagy activating kinase 1; UXT, ubiquitously expressed prefoldin like chaperone; ALFY, Autophagy-linked FYVE protein; WDR81, WD repeat domain 81; WIPI, WD repeat domain, phosphoniositide interacting.

\*Asterisks indicate that the cellular machinery has been utilized for a targeted degradation purpose in proof-of-concept experiments or as a bona fide degrader.

A certain extent of preference of linkage type likely also exists, as K63- or M1-linked (linear) polyubiquitin chains are more potently captured by p62 oligomers and OPTN than K48-linked chains [152, 155–158], while K48- or K11-linked polyubiquitin are readily recognized by proteasomes [159, 160]. K63-polyubiquitination of cytosol-invading *Streptococcus*, triggered by S-guanylation of bacterial proteins, is also necessary for autophagic clearance of the bacteria [137].

However, these lines of evidence are mostly suggestive and no definitive autophagy-inducing ubiquitin tag can be concluded to date. Meanwhile, it is also important to note that properties of ubiquitin tags per se may not be the only factor affecting autophagic recognition. For example, mitochondrial fission is required for effective ubiquitin-mediated mitophagy, which is largely disrupted in fission-defective cells despite the fact that mitochondrial ubiquitination is unaffected [161, 162].

#### Glycans

Glycans have been reported to be another important degradative tag apart from ubiquitin, especially for damaged endosomes and lysosomes [65]. In these membranous compartments, lumen-facing proteins are highly glycosylated, while cytosol-facing proteins are not [163]. Upon membrane rupture, glycoproteins can be exposed to the cytosol, where they are recognized to trigger membrane repair or autophagic removal [105, 164]. We only discuss autopha-gy-related consequences of glycan exposure in this review, and for some discussion on how the choice between repair and removal is made, we direct readers to some recent reviews [105, 106]. In addition to these compartments, some species of cytosol-invading bacteria, such as *Streptococcus*, also express surface glycans that could be recognized by selective autophagy [138].

On the one hand, exposure of glycan tags can recruit sugar-binding E3s, such as SCF <sup>FBXO27</sup> and SCF <sup>FBXO2</sup> from the SCF (Skp1, Cullin, F-box containing) family, to ubiquitinate the target, which leads to ubiquitin-mediated recognition [138, 165–168]. On the other hand, exposed glycan tags can also be directly recognized by cytosolic Galectins, a family of  $\beta$ -galactoside-binding lectins [105, 169]. Reported Galectins that are involved in autophagic recognition of glycans are Galectin-3 and Galectin-8. These proteins are multifunctional scaffolds that can recruit a variety of effectors, including E3s such as Parkin [170], cargo receptors like NDP52 and TAX1BP1 [96,171,172], other scaffold proteins such as TRIM16 [97], and various autophagy machinery [97]. Galectins thus provide a bridge between glycan exposure and autophagic removal.

#### **N-degrons**

N-degrons are amino acid residues at the N terminus of proteins conventionally viewed as proteasomal-targeting tags that regulate the half-life of proteins [107]. While N-degrons are commonly recognized by E3s for subsequent proteasomal proteolysis [161], some N-degrons including Nt-Arg and others can be recognized by at least one cargo receptor, p62, for autophagic degradation of proteins, which was reported to be more prominent during proteolytic

stress (Table 1) [107, 173]. Known cargoes that are degraded in this way include HSPA5/BiP, CALR (calreticulin) and PDI (protein disulfate isomerase), which are ER-lumen chaperones that are exposed to the cytosol before arginylated at their N-terminus by ATE1 (arginyltransferase 1) [108]. Degradation of these chaperone proteins along with their misfolded clients and ER portions may alleviate ER stress [98]. Importantly, upon binding to the ZZ domain of p62, Nt-Arg also induces a conformation change of p62 that promotes p62 oligomerization and cargo recognition, which might also enhance its recognition of ubiquitinated cargoes [98, 108, 109, 174].

#### Tag-independent recognition

While phagophore targeting of some cargoes is mediated by tags, others are not. Such cargoes are targeted to a phagophore by recognition machinery that bind to cargoes directly; thus, unlike tag-dependent recognition machinery that could bind to a variety of cargoes as long as they are properly tagged, tag-independent recognition machinery usually only recognize specific cargoes [75, 76].

Many of this type of recognition machinery is anchored to membranous organelles via membrane-binding domains [76, 82, 175]. Some studied examples include CCPG1 [176-178], RETREG1/FAM134B [83,179-181], RTN3L [182, 183], TEX264 [184, 185], SEC62 [186], and ATL3 [187] for the ER; and BNIP3 [82], BNIP3L/NIX [82], FKBP8 [188], BCL2L13 [189-191], FUNDC1 [192], and PHB2 [193] for mitochondria (Table 1). In addition, cardiolipin and ceramide, two mitochondrial lipids that are exposed to the cytoplasm and bind to mATG8s in response to mitochondrial stress, can be also viewed as cargo receptors in this category [84,194,195]. Some other cases include the scaffold proteins NIPSNAP1 and NIPSNAP2, whose internalization into the mitochondrial lumen requires normal mitochondrial function. Thus, these proteins would accumulate on the surface of damaged mitochondria where they recruit p62, NDP52, and ALFY to mediate mitophagy [99].

Several other tag-independent cargo receptors are soluble. The Well-studied example is NCOA4, a dimeric cargo receptor that binds to the FTH1 subunit of the ferritin particle [77, 196]. Because a ferritin particle contains 48 FTH1 subunits, multivalent interactions between dimeric NCOA4 and ferritin result in phase-separation and formation of ferritin-containing condensates [197]. It remains unknown whether other tag-independent cargo receptors are also capable of forming phase-separated condensates with their cargoes [78, 110, 111, 198–201]. In addition, ubiquitin-binding cargo receptors may also directly recognize certain cargoes in a tag-independent manner, as reported for p62 [202, 203], NBR1 [204, 205], NDP52 [206, 207], OPTN [208], and TOLLIP [209] (Table 1).

## Autophagosome biogenesis in situ

The ULK1 complex and PtdIns3K-C1 are considered as two major protein assemblies involved in autophagy initiation [67, 210]. Together with ATG9-containing vesicles, ATG16L1, and other

effectors, are vital for forming the autophagosome precursor [63, 67, 211]. These upstream executors of autophagy can be recruited to cargoes, which may couple the early events of autophagosome biogenesis with the cargo [59, 123].

While tethering cargo to a phagophore by binding to mATG8s, some cargo receptors, including p62, NDP52, TAX1BP1, and CCPG1, are additionally capable of recruiting the ULK1 complex by interacting with RB1CC1/FIP200 [91, 123, 176, 212]. In addition, NBR1 and OPTN were shown to bind to FIP200 *in vitro* [177, 213]. Furthermore, both mitophagy receptors FUNDC1 [214] and BCL2L13 [191] can recruit ULK1, the latter of which is dependent on LC3B. Mitochondrial recruitment of the ULK1 complex is sufficient to trigger autophagic degradation of mitochondria, which bypasses mTORC1 or AMPK and can still occur in the absence of major ubiquitin-binding cargo receptors (p62, NBR1, TAX1BP1, NDP52, and OPTN) [112]; Recruitment of the ULK1 complex by TAX1BP1 to NBR1-containing condensates also mediates autophagic degradation is abolished by *ATG7* knockout [215].

What occurs following ULK1 complex recruitment to the cargo is not understood well, but the complex is activated at the site of the cargo, likely by crowding and autophosphorylation, which may coordinate nucleation events with cargo [112, 123, 212]. The mitochondria-localized ULK1 complex associates with nearby ER sheets, which may provide a nucleation platform [134]; in addition, the ULK1 complex seems to oscillate on and off mitochondria several times before a portion of the mitochondria is engulfed by a phagophore, suggesting multiple nucleation events [216, 217]. Nucleation may be positively regulated by cargo receptors, as the membrane-binding activity of FIP200 is allosterically enhanced by NDP52, which may promote cargo-bound ULK1 complex interaction with the ER [218]. After nucleation, it has been suggested that FIP200 can be outcompeted by phagophore-coating mATG8s from p62 to prevent excessive degradation of the autophagy machinery [123, 212]. Still, more research will be needed to elucidate the process.

Cargo recruitment of the ULK1 complex can be positively regulated by TBK1 (TANK binding kinase 1), a Ser/Thr kinase that can be recruited by cargo receptors including NDP52 [91, 112, 219], TAX1BP1 [220], and OPTN [219, 221]. TBK1 is activated following its recruitment to cargoes, which is suggested to result from local clustering and auto-phosphorylation [112, 222–224]. Activated TBK1 promotes recruitment of FIP200 by NDP52 [112] and OPTN [177]. In addition, phosphorylation by TBK1 also increases the affinity of p62 [221, 225, 226], NDP52 [72], TAX1BP1 [221], and OPTN [158, 221, 227] to ubiquitinated cargoes or mATG8s, which promotes cargo recognition and phagophore tethering.

Apart from ULK1, other autophagy machinery can be recruited to cargo as well. An interesting class of cellular machinery involved in coordinating these autophagy machinery are TRIM family E3s [228]. While some TRIMs participate in autophagy by regulating autophagy machinery such as ULK1 and Beclin-1 by ubiquitination as E3s, or functioning as cargo receptors [97], some TRIMs behave as scaffold proteins capable of forming structures termed "TRIMosomes" that may contain autophagy machinery including ULK1, Beclin-1 or ATG16L1, cargo receptors including p62, and regulators such as AMPK [110, 201, 229]. Thus, they may promote assembly and activation of autophagy machinery at the site of recognized cargoes.

ATG9, another upstream autophagy machinery, can be recruited to cargo as well. In mitophagy, this requires OPTN, and the abolishment of ATG9-OPTN interaction leads to mitophagy failure [230]; in pexophagy, ATG9 recruitment involves PEX14 (peroxisomal biogenesis factor 14), a peroxisomal surface cargo receptor together with scaffold proteins TNKS (tankyrase) and TNKS2 (tankyrase 2) [231]. ATG12-ATG5-ATG16L1 can also be recruited by cargo receptors including p62. NDP52. TAX1BP1. and OPTN, and scaffold proteins such as ALFY and some TRIMs [92, 97, 110, 232–235]. It seems that cargo receptors can enhance the enzymatic activity of ATG12-ATG5-ATG16L1, as shown in an in vitro experiment using NDP52, TAX1BP1, and OPTN [234]. Recruitment of this E3-like enzyme complex is thought to promote phagophore assembly at the site of cargo [72, 233, 236]. However, whereas both ATG9 vesicles and ATG16L1 vesicles are implicated in nucleation, whether their recruitment to cargo is sufficient to trigger autophagosome biogenesis remains unknown.

#### Phagophore tethering

Phagophore tethering results from interactions between cargo receptors and mATG8s, which coat the phagophore, allowing for engulfment of cargoes and exclusion of non-cargo materials [73, 76, 117]. Most cargo receptors interact with mATG8s via their LC3-interacting region (LIR), a conserved motif that docks into a LIR-docking site (LDS) on mATG8s. LIRs from different cargo receptors differ in structure and may exhibit diversities in affinity and binding preference towards individual mATG8s [73, 74]. Apart from the LIR, the ubiquitin-interacting motif (UIM) and motif interacting with ubiquitin (MIU) are two other mATG8-binding regions found in several cargo receptors, both of which dock into an UIM-docking site (UDS), a binding pocket that typically lies on the opposite side to the LDS [145, 237]. Furthermore, cardiolipin and ceramide also show atypical interactions with mATG8 [74, 194, 195]. Altogether, interactions between cargo receptors and mATG8s could provide insights into developing novel ligands for mATG8s, as seen in some recent work [238, 239].

As mentioned above, an interesting property of some cargo receptors is forming gel-like condensates with their cargoes via liquid–liquid phase separation (LLPS), which usually results from multivalent weak interactions between oligomeric cargo receptors and cargoes with multiple binding sites [150, 153–155, 240]. The most studied case in mammalian cells is seen with p62, which forms condensates with ubiquitinated proteins and is implicated in clearing aggregates and mitochondria, while a recent study found that NCOA4 also undergo LLPS with ferritin [150, 151, 197, 241–243]. Condensate formation contributes to autophagic clearance by increasing the local density of cargo receptors and facilitates

their interactions with phagophore-coating mATG8s [151, 241], and may promote phagophore bending to engulf a portion of condensates via a "wetting" process [244].

# Strategies for autophagy-based degraders

Here, autophagy-based degraders are defined as bivalent compounds that induce close proximity between a Target-of-Interest (TOI) and a phagophore by recruiting a Machinery-of-Interest (MOI), a cellular machinery involved in selective autophagy, to trigger selective, autophagic removal of the TOI. The mode-of-action of an autophagy-based degrader would be determined by the behaviors of the MOI it harnesses, which, as discussed above, can be classified into four major groups, including tag-dependent and tag-independent recognition, autophagosome biogenesis *in situ*, and phagophore tethering. Thus, four corresponding strategies for designing autophagy-based degraders arise, as depicted in Fig. 1C:

Degraders using the first strategy, *Tagging Targets*, aim at modifying targets with autophagy-prone "eat-me" tags by hijacking endogenous tagging machinery such as E3s. This hijacking is expected to be followed by tag-dependent autophagic recognition and phagophore delivery. Successful examples for this strategy are AUTACs, which mimic S-guanylation to induce target K63-polyubiquitination and subsequent autophagic clearance [32].

Degraders using the second strategy, *Directly Engaging Targets*, directly recruit recognition machinery to targets without tagging the target. Successful cases of this strategy include the TPA technology, exemplified by two compounds (BMF1-64 and BMF-1-141) that recruit p62 to desired targets for autophagic degradation [54]; and AUTOTACs, which utilize an activating ligand of p62 to induce target coaggregation with p62 [53].

Degraders using the third strategy, *Initiating Autophagy at Targets*, recruit key autophagy machinery or their regulators to a target, which is expected to trigger autophagosome biogenesis *in situ*—at the site of the target. Successful examples for this strategy include some experimental approaches recruiting ULK1, TBK1, or other autophagy machinery to organelles for degradative effects [112].

Degraders using the fourth strategy, *Tethering Phagophore* to *Targets*, tether targets to a nearby phagophore by bridging between the target and mATG8s, which may facilitate target engulfment by the phagophore. This is exemplified by ATTECs [55–57].

# Strategy I: tagging targets

# PROTAC

Because PROTACs are excellent examples of harnessing endogenous ubiquitination machinery to mark targets, it is natural to ask whether PROTACs can also induce autophagic degradation apart from proteasomal proteolysis. Recently, an approach recruiting IAP family E3s (IAPs, such as cIAP1, cIAP2, or XIAP) to two different OMM targets by selective non-genetic IAP-dependent protein erasers (SNIPERs), a variant of PROTAC, successfully induced mitophagy [230]; two OMM-localized proteins, HK1 and TOMM20, were fused with CRABP2, which is a protein moiety that can dimerize with IAPs in the presence of a bivalent compound, SNIPER(CRABP)-11 (Fig. 2A-i, Table 2). Administration of the compound leads to PINK1-independent mitophagy, which indicates that this system may be used in some cells where PRKN expression is low or in patients carrying PINK1 or PRKN mutations. The details, including the linkage types of ubiquitination, need to be further investigated.

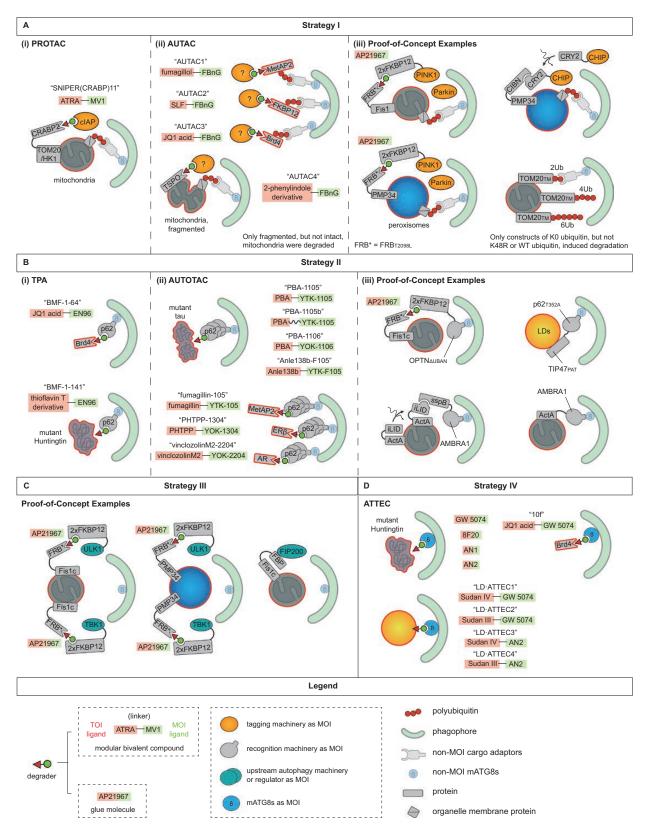
In contrast, mitochondrial recruitment of another E3, CRBN, via PROTAC does not trigger mitophagy. In this study, HT (HaloTag, a protein moiety that can be recognized by HTL, HaloTag ligand) was fused to TOMM20, and a PROTAC consisting of thalidomide (CRBN ligand) and HTL recruits CRBN to mitochondria. K48-polyubiquitin is observed on mitochondria, but neither LC3B recruitment nor degradation of mitochondrial markers (such as COX2) occurs (Table 2) [32].

It would be interesting to test whether or how PROTACs can induce autophagic degradation of other targets. Concerning organelles, it has been known that proteasomal proteolysis of some surface proteins, such as MFNs (mitofusins) on mitochondria [245–247], can promote autophagic degradation of the whole organelle. Thus, it might be possible to induce or enhance organelle autophagy by targeting these proteins to proteasomal degradation by PROTACs.

## AUTAC

S-guanylation was first identified as a cellular response to Streptococci invasion that induces marking of the bacterial surface by K63-linked ubiquitination and subsequent autophagic degradation [137]. Later, it was demonstrated to be a sufficient signal for autophagic removal of other cargoes as well [32]. Based on this, Autophagy-TArgeting Chimera (AUTAC) was developed as a novel autophagy-based targeted degradation tool. Generally, an AUTAC consists of an FBnG (p-fluorobenzylguanine, a derivative of S-guanyl with better pharmacological properties and reduced perturbation on endogenous cGMP-related signaling pathways) molety attached to a target ligand, which mimics S-guanylation and triggers K63-polyubiquitination upon binding to a target. AUTAC is effective on a variety of targets, including METAP2, FKBP12, BRD4, and mitochondria (using TSPO, an OMM protein, as a target) as illustrated in Fig. 2A-ii, and several model targets (including cytosolic, nuclear, and mitochondrial-localized) carrying the HaloTag (HT) moiety as the binding site for HTL-containing AUTACs (Tables 2 and 3).

Among these targets, BRD4 and a nucleus-localized model target are degraded by AUTACs despite the fact that autophagy occurs in the cytoplasm [32]. This could be explained by nuclear components entering the cytoplasm during mitosis, as



# Figure 2. Bona fide autophagy-based degraders.

(A) Approaches following Strategy I add autophagy-prone tags to TOIs. (i) A PROTAC, SNIPER(CRABP)11, recruits IAP family E3s to mitochondria surface proteins (CRABP2-tagged TOMM20-HK1) to induce mitochondrial degradation. (ii) AUTAC degraders succeeded in targeting METAP2, FKBP12, and BRD4 (a nucleus-localized protein) to autophagic degradation by inducing K63-polyubiquitination of these TOIs via an unknown E3. Moreover, another mitochondria-targeting AUTAC (binding to TSPO, a surface protein) lead to autophagic clearance of fragmented, but not intact, mitochondria. (iii) Other proof-of-concept experiments. PINK1<sub>A1-110</sub>-2xFKBP is recruited to mitochondria-localized FRB <sup>T2098L</sup>-FIS1,

degradation of BRD4 mostly occurs during the  $G_2$ -to- $G_1$  transition [32]. Another interesting observation was that the morphology of mitochondria influences the outcome of AUTACs, as only fragmented, but not intact, mitochondria can be degraded by AUTACs that target OMM proteins even though mitochondrial ubiquitination is observed in both cases, which may offer a means to distinguish between damaged and healthy mitochondria [32, 40, 248]. Mitochondria-targeting AUTACs can degrade CCCP-damaged mitochondria while sparing newly synthesized mitochondria, which can protect cells from CCCP toxicity and can also partially restore mitochondria homeostasis in fibroblasts derived from patients with Down syndrome—a genetic disease with prominent mitochondrial dysfunction [32].

Despite these exciting results, many mechanistic questions concerning AUTACs remain not well-investigated, the most critical one being how ubiquitination is induced by S-guanyl or its chemical analog; it is possible that they may directly recruit certain E3 (or E3s), in which scenario AUTACs would form ternary complexes in a PROTAC-like fashion. However, other possibilities exist and should be further tested. It is also unknown whether K63-polyubiquitination of TOIs is sufficient per se for autophagic clearance, and whether other downstream events of S-guanylation or its mimicry may also contribute to TOI degradation by autophagy.

Concerning mitochondria, it would be interesting to find out which proteins are ubiquitinated by the degraders by proteomic approaches. Another interesting finding was that mitochondrial accumulation of K63-polyubiquitin requires a considerable incubation time. In cells expressing an OMM protein fused with HT, mitochondrial accumulation of K63-polyubiquitin does not occur until 8 h after incubation with the FBnG-HTL degrader. At 8 h, mitochondrial K63-polyubiquitin dramatically increases, and remains at the level afterwards. A similar time-course was also recorded using another degrader, AUTAC4, which binds to the OMM protein TSPO. No mitophagy was observed in both studies. Due to the rapidity of enzymatic reactions, it is likely that ubiquitination of OMM proteins does occur prior to the time point when mitochondrial accumulation of ubiquitin is detected, but they are somehow removed. The underlying mechanisms could be studied in the future.

Other questions include whether AUTACs influence autophagy flux, whether the degraders induce off-target degradation as measured by proteomics, whether changing the linker would affect the outcomes, and whether this technology could be applied to a broader spectrum of TOIs, including protein aggregates and intracellular pathogens. A hook effect, which is typical for bivalent degraders where elevated levels of the linker interfere with ternary complex formation [11], was not obvious; it is uncertain whether this may imply a different mode of action or be simply due to the limited range of concentration tested, and further investigations are needed. While AUTACs binding to TSPO removed the whole mitochondria, whether and how membrane proteins can be degraded individually would be interesting to investigate. Moreover, it is necessary to confirm the effects of AUTACs *in vivo*.

#### Other experimental approaches

Apart from PROTACs and AUTACs, there are other reported approaches that induce autophagic degradation of various targets by ubiquitination. For instance, artificially tethering STUB1/CHIP, an E3, to peroxisomes using a light-induced dimerization CRY2-CIBN system also yields degradative effects [103]. CRY2, which was attached to CHIP in this study, can undergo a conformational change upon blue light treatment and bind to peroxisome-localized CIBN. Blue light-induced recruitment of CHIP triggers peroxisomal ubiquitination, p62 recruitment, and autophagic degradation (Fig. 2A-iii, Table 2) [103].

Another individual approach utilizes a modified E3 ligase, proximity-induced E3 (ProxE3). This E3 was constructed by fusing a HECT domain from NEDD4 (an E3), specifically catalyzing

or peroxisome-localized FRB T2098L-PMP34, respectively. PINK1 is a kinase that can recruit and activate Parkin, an E3. In another approach, CHIP, an E3, was attached to a CRY2 moiety that undergoes a conformational change and dimerizes with peroxisome-localized CIBN-PMP34 upon blue light treatment. Ectopic expression of linear ubiquitin chains on mitochondria by attaching them to the transmembrane domain of TOMM20 also induces mitophagy. In this case, only ubiquitin chains consisting of K0, rather than K48R and WT, ubiquitin moieties succeed; shorter chains containing 2 ubiquitin moieties are more effective than longer ones. (B) Approaches following strategy II recruit recognition machinery to TOIs. (i) TPA technology utilizes bivalent degraders that covalently bind to p62, a cargo receptor, which succeeds in degrading BRD4 and mutant Huntingtin via autophagy. (ii) AUTOTAC also utilizes p62 as part of the MOI. Apart from recruiting p62 to TOIs, AUTOTAC degraders induce oligomerization of p62, which activates the cargo receptor for mediating autophagy. AUTOTAC has succeeded in a variety of TOIs including both aggregating and soluble proteins. (iii) Other proof-of-concept approaches. In one system, 2xFKBP-OPTN dimerizes with mitochondria-localized FRB T2098L-FIS1c (C terminus of FIS1) upon treatment with AP21967. Another system utilizes mitochondria-localized iLID-ActA, which undergoes a conformation change and recruits sspB-AMBRA1 under blue light. Ectopically expressed cargo receptors, such as TIP47[PAT]-p62 T3524 (localized to LDs) and AMBRA1-ActA (localized to mitochondria), also yield degradative outcomes. (C) Approaches following strategy III aim to recruit upstream autophagy machinery or regulators as MOIs to TOIs. (i) ULK1 or TBK1, attached to 2xFKBP12 moieties, can be recruited to mitochondria or peroxisomes by dimerizing with FRB T2098L-FIS1c or FRB T2098L-PMP34 upon administration of AP21967, leading to degradation of TOIs. (ii) Ectopic expression of FIP200-binding peptide (FBP) on mitochondria by attaching it to FIS1c leads to FIP200 recruitment and mitochondrial removal. (D) Approaches following strategy IV induce proximity between TOIs and LC3B (presumably also other mATG8s) in order to tether the TOIs to phagophores, as exemplified by ATTEC. ATTEC degraders are effective on TOIs including mutant Huntingtin and other aggregates, soluble proteins like BRD4, and organelles such as LDs.

## Table 2. Bona fide autophagy-based degraders

Strategy	Approach	ΤΟΙ	MOI	Degrader	Results	Ref
Strategy I Tagging Targets	AUTAC	METAP2	Unknown	"AUTAC1," fumagillol attached to FBnG via a PEG linker	Targets are degraded	[32]
		FKBP12	Unknown	"AUTAC2," SLF attached to FBnG via a PEG linker	Targets are degraded	[32]
		BRD4	Unknown	"AUTAC3," JQ1 acid attached to FBnG via a PEG linker	Targets are degraded; degradation occurs during $G_2$ -to- $G_1$ transition in proliferating cells	[32]
		Mitochondria (TSPO)	Unknown	"AUTAC4," 2-phenylindole derivative attached to FBnG via a PEG linker	Targets are degraded; requires mitochondrial fragmentation but does not require PINK1	[32]
Strategy II Directly Engaging	TPA	BRD4	p62	"BMF-1-64," JQ1 acid attached to EN96 via a linker	Targets are degraded	[54]
Targets		Mutant Huntingtin (the length of the poly-Q stretch was not reported)	p62	"BMF-1-141," thioflavin T derivative attached to EN96 via a linker	Targets are degraded	[54]
	AUTOTAC	ERβ	p62	"PHTPP-1304," PHTPP attached to YOK-1304 via a linker	Targets are degraded	[53]
		AR	p62	"vinclozolinM2-2204," vinclozolinM2 attached to YOK- 2204 via a linker	Targets are degraded	[53]
		METAP2	p62	"Fumagillin-105," Fumagillin attached to YTK-105 via a linker	Targets are degraded	[53]
		tau <sup>P301L</sup>	p62	"PBA-1105," PBA attached to YTK-1105 via a linker; "PBA-1105b," PBA attached to YTK-1105 via a longer linker; "PBA-1106," PBA attached to YOK-1106 via a linker "Anle138b-F105," Anle138b attached to YTK-F105 via a linker	Targets are degraded p62 oligomerization is observed The length of the linker does not influence the outcome	[53]
		Huntingtin[Q103]	p62	"PBA-1106," "Anle138b-F105"	Targets are degraded;	[53]
		Huntingtin[Q97]-NLS	p62	"PBA-1105," "PBA-1106," "Anle138b-F105,"	WT Huntingtin is not degraded	[53]
		Huntingtin[Q97]-NES	p62	"PBA-1106"		[53]
		Desmin L385P	p62	"PBA-1105," "PBA-1105b," "Anle138b-F105"	Targets are degraded; WT Desmin is not degraded; the length of the linker does not influence the outcome	[53]
Strategy III Initiating Autophagy at		e degraders have been repor	rted yet			

K63-polyubiquitination, with an FKBP12 moiety [249]. As a well-established chemically induced dimerization (CID) system [250], an FKBP12 moiety can dimerize with an FRB <sup>T2098L</sup> moiety in the presence of a glue molecule (AP21967), which is used to recruit ProxE3 to desired targets [251]. In this case, FRB <sup>T2098L</sup> is fused with an OMM protein and carries an additional lysine-rich tail for more efficient ubiquitination (Table 2) [249]. Upon AP21967 treatment, recruitment of ProxE3 to mitochondria leads to mitochondrial K63-polyubiquitination, p62 recruitment, and perinuclear clustering of mitochondria; however, mitochondrial degradation is

130

Targets

## **Table 2. Continued**

Strategy	Approach	ΤΟΙ	MOI	Degrader	Results	Ref
Strategy IV Phagophore Tethering to Targets	ATTEC	Various Huntingtin mutants with different lengths of poly-Q stretches	LC3B*	GW 5074; ispinesib; AN1; AN2	Targets are degraded; WT Huntingtin is not degraded	[55]
		ATXN3[Q74]	LC3B*	GW 5074; AN1; AN2	Targets are degraded; WT ATXN3 is not degraded	[55]
		Various protein constructs with different lengths of poly-Q stretches	LC3B*	GW 5074; AN1; AN2	Constructs with 72Q, 53Q, 46Q, and 38Q are degraded, while the construct with 25Q is not	[55]
		BRD4	LC3B*	"10f," JQ1 acid attached to GW 5074 via a linker	Targets are degraded	[56]
		Lipid Droplets	LC3B*	"LD-ATTEC1," Sudan IV attached to GW 5074 via a linker; "LD-ATTEC2," Sudan III attached to GW 5074 via a linker "LD-ATTEC3," Sudan IV attached to AN2 via a linker; "LD-ATTEC4," Sudan III attached to AN2 via a linker	Targets are degraded	[57]

This table presents examples from reported autophagy-based targeted degradation approaches that generated *bona fide* autophagy-based degraders according to the strategies they follow. By using *bona fide*, we refer to degraders that bind to endogenous binding sites provided by the TOI or MOI, rather than to any additional protein moieties attached to the TOI or MOI. In fusion proteins, moieties introduced for observation purposes, such as Flag-tag and fluorescent proteins, are omitted here for simplicity. For complete information please refer to the original papers. For organelles, if a surface protein is chosen to provide the binding site, it is presented in parentheses. Names of compounds given by their developers are cited in quotation marks (e.g., "AUTAC1").

Abbreviations: AR, androgen receptor; ATXN3, ataxin 3; BRD4, bromodomain containing 4; ERβ, estrogen receptor 2; FKBP12, 12 kDa FK506-binding protein; iLID, improved light-induced dimer; METAP2, methionine aminopeptidase 2; NES, nuclear export signal; NLS, nuclear localization signal; PINK1, PTEN induced kinase 1; SspB, stringent starvation protein B; TSPO, translocator protein; WT, wild-type. Other mATG8s may be also involved.

not observed [249]. Thus, it seems that although ProxE3-induced K63-ubiquitination may be sufficient for p62 recruitment and mitochondrial clustering, but not for mitophagy, consistent with an earlier observation [252]. The effects of mitochondrial fragmentation could be tested here in the future.

In a less direct route, one study tested the outcomes of artificially recruiting PINK1, a kinase responsible for sensing mitochondrial depolarization and recruiting Parkin in the PINK1-Parkin pathway for mitophagy [82,102,131-133], to targets including mitochondria, peroxisomes, and lysosomes by the FKBP12-FRB T2098L CID system. In this study, two FKBP12 moieties are fused to a PINK1 that lacks its N-terminal 110 residues responsible for mitochondrial localization, while FRB <sup>T2098L</sup> is attached to organelle surface markers including FIS1, PMP34, and LAMP1 [253]. AP21967 treatment leads to PINK1 localization to all three targets, followed by Parkin recruitment and ubiquitination of targets (Fig. 2A-iii, Table 2); However, only mitochondria and peroxisomes are degraded, while lysosomes are not [105, 253]. This renders a possibility that lysosomal ubiquitination per se is not sufficient to trigger its autophagic removal, which may require other factors.

Ectopically expressing tandem ubiquitin chains on mitochondria can also lead to mitophagy [230]. Tandem ubiquitin chains, in which 2, 4, or 6 ubiquitin moieties are attached head to tail to mimic M1-polyubiquitin, are attached to an OMM protein for mitochondrial localization. Three types of tandem ubiquitin chains were tested, consisting of wild-type (WT) ubiquitin, K48R ubiguitin, and K0 ubiquitin, respectively, in which K0 ubiquitin had all lysine residues mutated to arginine, making it inaccessible to further branching by cellular enzymes [230]. The results showed only K0 chains succeed in inducing mitophagy, which indicates that linear polyubiquitin may function as a sufficient tag for mitophagy (Fig. 2A-iii, Table 2) [230]. Shorter chains with 2 tandem ubiquitin molecules, which recruit p62, NBR1, and OPTN in this study, are more potent in inducing mitophagy than longer chains. Unlike AUTAC, mitochondrial degradation in this study does not require artificial induction of mitochondrial fragmentation. Whereas this approach has been successful, developing autophagy-based degraders from it may be difficult; even though E3s that specifically build linear polyubiquitin exist (such as LUBAC), preventing linear polyubiquitin from branching in a clinically acceptable way may be so far difficult to achieve. Nevertheless,

it may be plausible to test the outcomes of recruiting such linear-specific E3s.

## Strategy II: directly engaging targets

## TPA

Cargo receptors are responsible for recognizing cargoes with or without tags to establish cargo selectivity, which makes directly recruiting cargo receptors to the target another appealing idea to induce target degradation by autophagy. This idea has been implemented by the Nomura group, which patented a technology termed TPA in 2019 [54]. This technology involves a series of bivalent compounds that can covalently bind to cargo receptors (and alternatively, other autophagy-related proteins) by one end, while binding to the target by the other end. Two compounds, BMF-1-64 and BMF-1-141, are revealed in their patent; these compounds are constructed by attaching EN96, a covalent ligand to p62, to JQ1 acid and thioflavin T derivative (a pan-aggregate binding ligand), respectively [54]. When tested in cells, these compounds succeed in degrading BRD4 and mutant Huntingtin (Fig. 2B-i, Table 3) [54]. This patent also reveals covalent ligands for OPTN, ALFY, and others, which may be utilized for developing more autophagy-based degraders.

#### AUTOTAC

In 2022, AUTOphagy-TArgeting Chimera (AUTOTAC) was reported as a novel autophagy-based degrader that harnesses p62 [53]. AUTOTACs were inspired by N-degrons, which serve not only as binding tags recognized by p62, but also activators of p62 by enabling its oligomerization [108, 174, 259]. Based on their earlier work [98, 174], structure-activity relationship studies using the ZZ domain of p62 were first conducted to identify Nt-Arg-mimicking ligands (known as autophagy-targeting ligands, ATL, in this study). This yielded 4 ligands: YOK-2204, YOK-1304, YTK-105, and YT-8-8, which are confirmed to promote p62 oligomerization while also increasing autophagy flux, as measured by p62 and LC3B turnover rate [53]. Several other p62 ligands with structural similarities (YTK-1105, YOK-1106, and YTK-F105) are also used in this study, but how they were identified and evaluated was not mentioned [53].

The technology was first tested on ER $\beta$ , AR, and METAP2. Administration of AUTOTACs (PHTPP-1304, vinclozolinM2-2204, and Fumagillin-105, respectively) leads to colocalization between targets and phagophore markers, accompanied by robust degradation of all three targets, as shown in Fig. 2B-ii, Table 3. As expected, this effect does not require targets ubiquitination. AUTOTACs are more potent on inhibiting downstream signaling pathways of target proteins, such as cell survival and migration, than the target ligands per se; for instance, the IC<sub>50</sub> for PHTPP-1304 is ~5-fold lower than for PHTPP alone. AUTOTACs also display persistent post-washout degradation, suggesting degrader recycling from lysosomes [53]. These effects are similar to what is observed in PROTAC [20–22].

Next, misfolded proteins and aggregates were chosen as targets. Two ligands, 4-phenylbutyric acid (PBA) and Anle138b, were used as target-binding ligands; the former binds to exposed hydrophobic regions, a hallmark for misfolded proteins, whereas the latter recognizes oligomeric species of misfolded proteins (summarized in Table 3) [53]. Their attachment to p62-binding ligands gives rise to four AUTOTACs: PBA-1105, PBA-1106, Anle138b-F105, and PBA-1105b. When tested on a mutant of Desmin that is known to aggregate, PBA-1105, PBA-1105b, and Anle138b-F105 proved effective while sparing the WT, nonaggregating Desmin. Other aggregate-prone mutant proteins, including tau P301L, Huntingtin[Q97]-NLS, Huntingtin[Q97]-NES, and Huntingtin[Q103], can also be selectively removed by AUTOTACs, while WT tau and Huntingtin are spared (Table 3); When different concentrations of degraders are tested, a hook effect is observed. Moreover, PBA-1105 removes aggregates of mutant tau from mouse brains in a dose-dependent manner [53]. However, correlating behavioral benefits of aggregate clearance were not studied.

AUTOTACs are somewhat special as they utilize allosteric activators as p62 ligands, which may also apply to other autophagy-based degraders that harness cellular machinery that require activation, such as ULK1 or Parkin. AUTOTACs are potent on degrading aggregating or soluble nuclear targets, a possible result from nuclear-cytoplasmic shuttling of p62 or release of nuclear components during mitosis, the mechanisms of which could be further studied. While p62 ligands were confirmed to bind to p62 and induce its oligomerization, their affinity to p62 was not measured and the ternary complex of TOI-degrader-p62 was not directly observed, which could be evaluated in the future. The study also concluded that linker length does not significantly influence degradative effects by comparing two degraders, PBA-1105 and PBA-1105b, with identical ligands but attached by linkers with different lengths (Fig. 2B-ii). Importantly, TOI degradation does not require the UBA domain of p62 responsible for ubiquitin binding, which indicates that AUTOTAC may be applicable to pathologies where the ubiquitin-binding function of p62 is disturbed, as observed in some cases of Paget disease of bone [260] and amyotrophic lateral sclerosis/ALS [261].

As AUTOTACs increase p62 turnover and autophagy level, it is possible that degradation of non-TOI cargoes, such as KEAP1 and other ubiquitinated proteins, may be also enhanced. This effect may be beneficial in cases where overall activation of autophagy is welcomed as in some neurodegenerative diseases [262, 263], or where KEAP1 inhibition is desirable [264], but may also introduce unintended off-target effects in other circumstances. Meanwhile, AUTOTACs may also compete p62 from its natural cargoes that are recognized via Nt-Arg [108], hindering their degradation. To test these possibilities, it is plausible to evaluate the effects of AUTOTACs on a proteomic level. So far, AUTOTACs have not been reported to degrade organelles, nor have the degraders been verified in animal models, which could be focused on by future studies.

# Table 3. Proof-of-concept experiments for autophagy-based targeted degradation

Strategy	тоі	MOI	Methods	Results	Ref
Strategy I Tagging Targets	Mitochondria (Omp25-HT)	CRBN	A HaloPROTAC, consisting of HTL attached to thalidomide via a linker, induces dimerization between HT and CRBN, thus recruiting CRBN to mitochondria	Targets are not degraded. K48- polyubiquitin is observed on mitochondria	[32]
	Mitochondria (HK1-CRABP2 or TOMM20-CRABP2)	IAP family E3s	SNIPER(CRABP)-11, consisting of ATRA attached to MV1 via a linker, induces dimerization between IAP family E3s with the CRABP2 moiety, thus recruiting IAPs to mitochondria	Targets are degraded	[230]
	Mitochondria (Omp25-HT)	Unknown	A HaloTag-based AUTAC consisting of HTL attached to FBnG via a linker recruits an unknown MOI to HT-expressing mitochondria	Only fragmented, but not intact, mitochondria are degraded, although ubiquitination can be detected on both	[32]
	HT	Unknown	A HaloTag-based AUTAC consisting of HTL attached to Cys-S-cGMP via a linker induces dimerization between HT and an unknown MOI	Targets are degraded	[32]
	HT, NLS-HT, and NES-HT	Unknown	An improved HaloTag-based AUTAC consisting of HTL attached to FBnG, rather than Cys-S-cGMP, via a linker recruits an unknown MOI to HT	All targets are degraded; NES-HT is degraded more efficiently than NLS-HT	[32]
	Mitochondria (TOMM20[TM]- FRB <sup>T2098L</sup> , with an additional Lys-rich tail)	ProxE3 (FKBP12- NEDD4[HECT])	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting ProxE3 to mitochondria	Targets are not degraded; K63- ubiquitination, p62 recruitment, retrograde transport and clustering of mitochondria are observed	[249]
	Mitochondria (FRB <sup>T2098L</sup> -FIS1)	PINK1 (PINK1[∆1-110]- 2xFKBP12)	The glue molecule AP21967 induced dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting PINK1 to mitochondria	Targets are degraded	[253]
	Peroxisomes (FRB <sup>T2098L</sup> -PMP34)	PINK1 (PINK1[∆1-110]- 2xFKBP12)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting PINK1 to peroxisomes	Targets are degraded	[253]
	Lysosomes (LAMP1- FRB <sup>T2098L</sup> )	PINK1 (PINK1[∆1-110]- 2xFKBP12)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting PINK1 to lysosomes		[253]
	Peroxisomes (CIBN-PMP34)	CHIP (CRY2-CHIP)	CIBN moiety cand undergo a conformational change upon treatment with blue light, which enables its binding to CRY2, thus recruiting CHIP to peroxisomes	Targets were degraded; Degradation bypassed HSP70 or HSC70	[103]
	Mitochondria (TOMM20 attached to 2, 4 or 6 tandem ubiquitin moieties)	N/A	Fusion proteins consisting of TOMM20 attached to 2, 4, or 6 tandem ubiquitin moieties are ectopically expressed on the OMM. Three types of tandem ubiquitin, consisting of WT, K48R, and K0 ubiquitin, respectively, were tested	Mitochondria expressing WT or K48R constructs are not degraded Mitochondria expressing K0R constructs are degraded, and short polyubiquitin chain containing 2 ubiquitin moieties are more effective than longer ones	[230]

# **Table 3. Continued**

Strategy	ΤΟΙ	MOI	Methods	Results	Ref
Strategy II Directly Engaging Targets	Peroxisomes (FRB <sup>T2098L</sup> -PMP34)	NDP52 (2xFKBP12- NDP52)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting NDP52 to peroxisomes	Targets are degraded The FIR, rather than the LIR, of NDP52 is required for degradation	[112
	Mitochondria (FRB <sup>T2098L</sup> -FIS1c)	NDP52 (2xFKBP12- NDP52)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting NDP52 to mitochondria	Targets are degraded FIR, rather than LIR, of NDP52 is required for degradation	[112
	Mitochondria (FRB <sup>T2098L</sup> -FIS1c)	OPTN (2xFKBP12- OPTN[ΔUBAN])	The glue molecule AP21967 inducsd dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting OPTN to mitochondria	Targets are degraded	[230
	Mitochondria (iLID-ActA)	AMBRA1 (AMBRA1-SspB)	iLID moiety can undergo a conformational change upon treatment with blue light, which enables its binding to sspB, thus recruiting AMBRA1 to mitochondria	Targets are degraded	[254
	Mitochondria	AMBRA1 (AMBRA1-ActA)	ActA can localize to the OMM, thus anchoring AMBRA1 to mitochondria	Targets are degraded Mitochondrial retrograde transport and phagophore colocalization are observed	[79]
	ER (Streptavidin-CD74)	p62 (p62-SBP)	SBP can dimerize with streptavidin in an inducible and reversible manner, thus recruiting p62 to ER	Targets are not degraded; LC3B recruitment is observed	[255
	Golgi (Streptavidin-Golgin84)	p62 (p62-SBP)	SBP can dimerize with streptavidin in an inducible and reversible manner, thus recruiting p62 to Golgi	Targets are not degraded; LC3B recruitment is observed	[255
	LDs	p62 (TIP47[PAT]-p62 <sup>T352A</sup> )	PLIN3/TIP47[PAT} can localize to the LD surface, thus anchoring p62 to LDs	Targets are degraded	[256
Strategy III Initiating Autophagy at Targets	Mitochondria (FRB <sup>T2098L</sup> -FIS1c)	ULK1 (2xFKBP12- ULK1)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting ULK1 to mitochondria	Targets are degraded	[112
	Peroxisomes (FRB <sup>T2098L</sup> -PMP34)	ULK1 (2xFKBP12- ULK1)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting ULK1 to peroxisomes	Targets are degraded	[112
	Mitochondria (FRB <sup>T2098L</sup> -FIS1c)	TBK1 (2xFKBP12- TBK1)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting TBK1 to mitochondria	Targets are degraded	[112
	Peroxisomes (FRB <sup>T2098L</sup> -PMP34)	TBK1 (2xFKBP12- TBK1)	The glue molecule AP21967 induces dimerization between FRB <sup>T2098L</sup> and FKBP12, thus recruiting TBK1 to peroxisomes	Targets are degraded	[112
	Mitochondria (FBP- FIS1c)	FIP200	FBP-FIS1c recruits FIP200 to mitochondria	Targets are degraded	[112

#### **Table 3. Continued**

Strategy	ΤΟΙ	MOI	Methods	Results	Ref
Strategy IV Phagophore Tethering to Targets	Mitochondria (CISD1[MTS]-p62 <sup>[321-342]</sup>	mATG8s	CISD1[MTS] localizes to outer mitochondrial membrane, thus anchoring p62 <sup>[321-342]</sup> to mitochondria where they recruit mATG8s	Targets are degraded	[257]
	Peroxisomes (PEX13[PTS]-eLIR)	mATG8s	PEX13[PTS] localizes to peroxisomes, thus anchoring eLIR to peroxisomes where they tether peroxisomes to phagophores	Targets are degraded	[258]
	ER (Streptavidin-CD74)	LC3B (SBP-LC3B)	SBP can dimerize with streptavidin in an inducible and reversible manner, thus recruiting LC3B to ER	Targets are not degraded	[255]
	Golgi (Streptavidin-Golgin84)	LC3B (SBP-LC3B)	SBP can dimerize with streptavidin in an inducible and reversible manner, thus recruiting LC3B to Golgi	Targets are not degraded;	[255]
	LDs (LDTS-eLIR)	mATG8s	LDTS localizes to LD surface, thus anchoring eLIR to LDs where they tethered LDs to phagophore	Targets are degraded	[258]

This table summarizes proof-of-concept experiments on autophagy-based targeted degradation according to the strategies they follow. In protein constructs, moieties that are unrelated to degradation purposes, such as Flag-tag and fluorescent proteins, are omitted here for simplicity. For full constructs please refer to the original papers. For organelles, if a surface protein is chosen to provide the binding site, it is presented in parentheses. Abbreviations: AR, androgen receptor; ATXN3, ataxin 3; ATRA, all-*trans* retinoic acid; BRD4, bromodomain containing 4; CD74, CD74 molecule; CIBN, N terminus of Arabidopsis CIB1 (cryptochrome-interacting basic-helix-loop-helix 1); CRABP2, cellular retinoic acid binding protein 2; CRBN, cereblon; CRY2, cryptochrome circadian regulator 2; ERβ, estrogen receptor beta; FBP, FIP200-binding peptide; FIS1, fission, mitochondrial 1; FIS1c, C terminus of FIS1; FKBP12, 12 kDa FK506-binding protein; FRB, FKBP12-rapamycin binding domain of mTOR; HECT, homologous to the E6-AP C terminus; HK1, hexose kinase 1; HSP70, heat shock protein of 70kDa; HSC70, heat shock cognate 71 kDa protein; HT, HaloTag; HTL, HaloTag ligand; IAP, inhibitor of apoptosis proteins; iLID, improved light-induced dimer; LAMP1, lysosomal associated membrane protein 1; METAP2, methionine aminopeptidase 2; NEDD4, NEDD4 E3 ubiquitin protein ligase; NES, nuclear export signal; NLS, nuclear localization signal; Omp25, 25 kDa outer membrane protein; PAT, Perilipin, ADRP, and TIP47; PINK1, PTEN induced kinase 1; TIP47, perilipin 3; SBP, streptavidin-binding protein; MTS, mitochondria-targeting sequence; PTS, peroxisome-targeting sequence; PMP34, solute carrier family 25 member 17; SspB, stringent starvation protein B; TOMM20, translocase of outer mitochondrial membrane 20; TSPO, translocator protein; WT, wild-type.

#### Other experimental approaches

Much attention has been paid to p62, the prototypic cargo receptor. In 2018, Itakura *et al.*constructed a chimeric protein consisting of a TIP47-derived PAT domain, which bind to LDs, and p62 <sup>T352A</sup>. The point mutation was introduced to abolish the p62-KEAP1 interaction for avoiding unintended alteration of the KEAP1-NRF2 pathway (Fig. 2B-iii, Table 2) [256]. The construct localizes to LDs and induces autophagy-dependent lipolysis, indicated by reduction of LD size and quantity, accompanied by a decreased triglyceride level [256].

While recruiting p62 to some targets yields degradative effects, it does not seem sufficient to target the ER or Golgi to autophagic degradation as observed in a recent study [255]. To control recruitment of p62 to targets, this study utilized a dimerization system in which streptavidin can dimerize with streptavidin-binding protein (SBP) in an inducible and reversible manner. SBP-fused p62 can be localized to the ER or Golgi by binding to streptavidin-fused organelle markers, CD74 and Golgin84, respectively [255]. Localization of p62 is followed by recruitment of LC3B to the organelles. However, neither autophagosome

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formation nor recruitment of lysosomes is observed for either target. Degradation of ER or Golgi proteins is also absent (Table 2) [255].

Apart from p62, other cargo receptors have also been tested. Recruiting NDP52 to mitochondria or peroxisomes via the FKBP12-FRB <sup>T2098L</sup> CID system successfully targets these organelles to autophagic degradation [112]. Of note, this degradative effect is observed in cells lacking PINK1 or mATG8s; furthermore, a NDP52 construct that is depleted of its SKICH domain, which is responsible for recruiting TBK1 and FIP200, fails to induce mitophagy even though it still bound to mATG8s (Table 2).

Recruiting OPTN to mitochondria using the same CID system also induces mitophagy [230]. OPTN used in this experiment was deprived of its ubiquitin-binding domain to avoid unintended ubiquitin-dependent recruitment. In this case, mitochondrial recruitment of OPTN is followed by colocalization between mitochondria and ATG9A, which is required for OPTN-induced mitophagy (Fig. 2B-iii, Table 2) [230].

Autophagy and Beclin-1 regulator 1 (AMBRA1) was reported to be a cargo receptor recruited to mitochondria in a

Parkin-dependent mechanism [265]. Ectopically expressing AMBRA1 on mitochondria by fusing AMBRA1 to ActA (actin assembly inducing protein from *L.monocytogenes*), an OMM-localizing peptide, induces mitophagy in a Parkin- and p62-in-dependent manner [79]. Later, the same group developed an optogenetic system that allows for reversible, light-controlled recruitment of AMBRA1 to mitochondria, which is also successful for inducing mitophagy (Fig. 2B-iii, Table 2) [254].

## Strategy III: initiating autophagy at targets

In 2019, a series of approaches that artificially tether upstream autophagy machinery and regulators to mitochondria or peroxisomes using CID-based protein constructs were reported by the Youle team [112]. It was shown that artificial recruiting of ULK1 to mitochondria or peroxisomes is sufficient to trigger autophagic clearance of these organelles without requiring global inhibition of mTOR or activation of AMPK, which are important upstream pathways regulating ULK1. The effect was suggested to result from ULK1 autophosphorylation and activation upon recruitment and clustering on organelle surfaces (Fig. 2C, Table 2) [112].

The Youle team also demonstrated that recruitment of FIP200, another component of the ULK1 complex, makes it possible to induce ULK1 complex assembly at the site of the target and subsequent target degradation. They constructed a fusion protein containing an ATG16L1-derived FIP200-binding peptide (FBP; 100-250 amino acids of ATG16L1) and FIS1c, which localizes to mitochondria and induces mitophagy [112]. When this peptide is attached to E3-dead PRKN, which can still translocate to mitochondria in response to mitophagy stimuli but cannot catalyze ubiquitination, it can mediate mitophagy by recruiting the ULK1 complex to mitochondria. This effect is observed even in cells lacking NDP52 or TBK1, which are normally required for recruiting ULK1 in mitophagy (Fig. 2C, Table 2) [112]. Artificially localizing TBK1 to mitochondria and peroxisomes via CID also yields degradative effects in an ULK1-dependent manner (Fig. 2C, Table 2) [112].

# Strategy IV: tethering phagophores to targets ATTEC

The function of tethering cargos to phagophores can be mimicked by bivalent degraders that simultaneously bind targets and mATG8s (or other docking sites on the phagophore). In 2019, Lu *et al.* reported two glue molecules that simultaneously bind to mutant Huntingtin and LC3B, screened from a library of >3000 compounds [55]. The compounds, GW 5074 and ispinesib, can selectively bind to mutated Huntingtin with an extended poly-Q stretch, but not WT Huntingtin. Consistent with *in vitro* results, these compounds lower mutant Huntingtin levels but spare WT Huntingtin when applied to primary cortical neurons derived from a murine model of HD without altering the cellular autophagy level. As expected for bivalent degraders, a hook effect was observed. An examination of chemicals with structural similarities identified two additional hits, AN1 and AN2, with similar effects (Fig. 2D). This type of degrader, termed AuTophagosome TEthering Compounds (ATTEC), was also tested on several artificial targets carrying poly-Q stretches with different lengths, and the studies concluded that a recognition threshold lies somewhere between 25Q and 38Q (see Table 3) [30, 55]. ATTECs are protective against toxicity induced by mutant Huntingtin in iPSCs derived from HD patients. When tested in animal models, ATTECs extend the lifespans of flies expressing mutated Huntingtin, and improve behavioral outcomes in a murine HD knock-in model. ATTECs are also effective against other expanded poly-Q-containing targets, such as mutant ATXN3 [55].

More recently, the same team succeeded in targeting LDs to autophagic degradation using LD-targeting ATTECs. These ATTECs are modular, and are constructed by attaching Sudan III or Sudan IV (lipid stains) to GW 5074 or AN2, the LC3B-binding compounds reported in their previous work, via a linker (Fig. 2D) [57]. A reduction of LD numbers is observed accompanied by colocalization of LDs and lysosomal markers, which indicates lipolysis. When the compounds were tested on mouse embryonic fibroblast cells, increased β-oxidation is observed, while the free fatty acid level in the culture medium is not significantly altered [57]. Moreover, ATTECs significantly reduce the body weight, number, and size of LDs in livers, as well as triacylglycerol and total cholesterol levels in livers and sera, when applied to obese mice and NASH mice [57]. Interestingly, this study also examined formation of a triacylglycerol-ATTEC-LC3B ternary complex via a modified ELISA assay. Reconstituted lipid droplets (adiposomes) may be another choice for assessing ternary complex, as they are structurally more similar to cellular LDs [266]. This could be tested in future studies.

Another team also succeeded in targeting BRD4 to autophagic degradation using a degrader consisting of a BRD4 ligand and GW5074 (Fig. 2D) [56]. Treatment with this compound, 10f, induces a robust decrease in BRD4 level in a dose-dependent manner, which becomes apparent as early as 2 h after administration. However, no hook effect was reported a in the given range of concentration. Colocalization of BRD4 with LC3B in cytoplasmic puncta may suggest a portion of BRD4 of becomes somehow "trapped" in the cytoplasm [56]. How this occurs is not well understood and should be further evaluated. It is known that BRD4 can be released during mitosis, as observed  $\overset{ imes}{\sim}$ in AUTAC [32]. Meanwhile, a portion of mATG8s is reported to shuttle from the nucleus to the cytoplasm, which is involved in delivering some of its nuclear binding partners, such as SIRT1 and Lamin B1, to autophagic degradation [267-269]. Thus, a hypothesis is that BRD4 degradation in this study may follow a similar mechanism.

While degradation is observed for several targets, there are still some questions unsolved for ATTECs. To date, all reported ATTECs do not alter autophagy flux [55–57]; however, they may compete with the endogenous binding partners of mATG8s, most importantly cargo receptors, and thus an influence on the

degradation of other autophagic cargoes is of particular concern. Meanwhile, it has been reported that mATG8s have nonautophagic functions, such as coating EDEMosomes [270] and loading cargoes into extracellular vesicles [271]. Whether these activities may also be involved in lowering of cellular TOI levels could be further studied. While ATTECs are capable of tethering TOIs to lipidated mATG8s that coat the phagophore, they also interact with free, unlipidated mATG8s. Therefore, it is possible that ATTECs first recruit soluble mATG8s to the cargo, before these mATG8s are attached to an expanding phagophore. This effect may be more prominent in cells with a lower basal autophagy level, or in cases involving nuclear TOIs, where it might be beneficial for TOI degradation.

It would be also important to elucidate how the currently used LC3B ligands bind to LC3B, presumably also to other mATG8s [55], and whether other reported ligands of mATG8s may also function well in ATTEC degraders [238, 239]. Whether ATTECs are catalytic is another question yet to be confirmed. In a kinetic model for degradation of mutant Huntingtin by ATTECs, degraders are presumed to be recyclable from lysosomes, but this has not been experimentally confirmed by, for instance, observing post-washout degradation [272]. Degradation of other TOIs, such as LDs and BRD4, likely follow different kinetics, which can be evaluated as well.

## Other experimental approaches

In 2022, a team designed a p62-based construct to induce mitophagy to reduce mitochondria carryover during mitochondria replacement therapy, a technique that transfer nuclear materials from the donor zygote to a recipient zygote to remove defective donor mitochondria [257,273-275]. The construct contains two major functional modules: a mitochondria-targeting sequence (MTS) from CISD1 (CDGSH Iron Sulfur Domain 1) and a p62 segment that covers its LIR (p62<sub>321-342</sub>). When expressed, mitochondria were found to aggregate at perinuclear regions in the early phase of transfection, before robustly degraded [257]. The mitophagy-inducing effect was not accompanied by significant alterations on mitochondrial membrane potential, cellular ROS levels, or mitochondrial proliferation. The offspring generated from the mouse embryos also exhibited little changes in growth, reproduction, and behavior compared with WT mice [257].

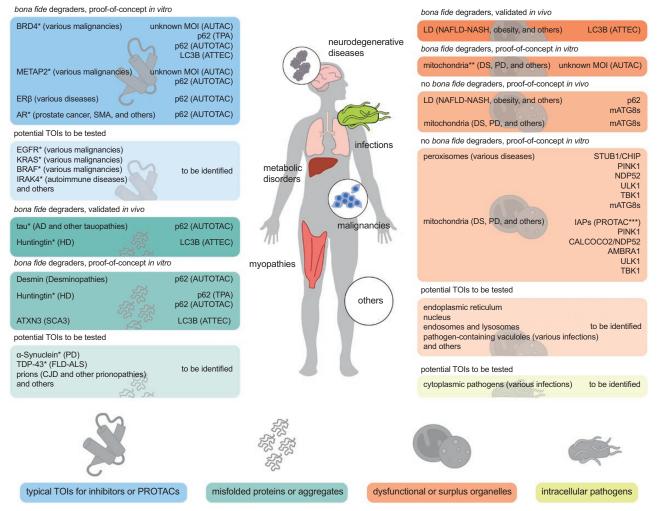
In a recent preprint, an attempt at localizing an mATG8-interacting segment from the cargo receptor OPTN to LDs succeeded in targeting LDs to autophagic degradation [258]. The protein construct basically contains OPTN[120-190], a segment proven sufficient for interacting with mATG8s, attached to a PLIN1-derived LD-targeting sequence (LDTS). Some mutations were introduced to enhance the mATG8-binding ability of this segment, including phospho-mimetic substitutions upstream of its LIR, and a point mutation at the first residue in the LIR from Phe to Trp (see Table 2). The modified OPTN segment is named engineered LIR (eLIR) [258]. The construct, LDTS-eLIR, localizes specifically to LDs and induces lipophagy in cultured cells. When the construct is specifically expressed in mouse liver using an adeno-associated virus vector, it lowers liver weight and markedly alleviates NASH pathology including liver steatosis and fibrosis, while leaving the serum lipid profile mostly unaltered [258]. In addition, attaching eLIR to a peroxisome-targeting sequence from PEX13, which targets the construct to peroxisomes, also induces pexophagy [258].

The team that recruited p62 to ER and Golgi via the SBPstreptavidin inducible dimerization system also tried recruiting LC3B to these targets, but no autophagic degradation is observed (Table 2) [255]. A possible explanation could be that recruited LC3B constructs are neither sufficient to activate the whole autophagosome biogenesis program, nor sufficient to induce phagophore engulfment of target compartments [255]. Because reticulophagy is usually accompanied by ER fragmentation [83, 182], it would be interesting to test whether inducing ER fragmentation additionally would promote its degradation by this approach.

## **Future perspectives**

Autophagy-based targeted degradation tools, such as AUTAC, TPA, AUTOTAC, and ATTEC, are emerging rapidly and have greatly expanded the landscape of targeted degradation. Together with other lysosome-based targeted degradation tools, such as LYTAC, MODE-A, and AbTAC, these promising modalities not only offer an alternative route to deal with the druggable or PROTACable targets, but also provides a promising choice to degrade many novel targets, especially dysfunctional organelles or cytoplasm-invading pathogens, or targets that may be less efficient to be countered by other means, such as protein aggregates, as summarized in Fig. 3. Apart from them, a number of proof-ofconcept designs have been proven effective on various targets as well. Taken together, these approaches have paved paths towards clinical applications of autophagy-based degraders. In this section, we briefly introduce a workflow for development and clinical translations of novel autophagy-based degraders as seen in Fig.4, while also presenting some important issues that may emerge during the process.

The cell employs a considerable variety of machinery, including tagging machinery, cargo receptors, scaffold proteins, autophagy machinery, and others, to mediate selective autophagy (Table 1). All of them are possible candidate MOIs that may be utilized for targeted degradation purposes, which endows autophagy-based targeted degradation tools with significantly more possible modes of action than E3-based PROTACs. However, only a small fraction of candidate MOIs have been tested to date (Table 2, Fig. 3). Thus, we suggest that future studies could expand the arsenal of MOIs by including other yet-untested MOIs into evaluations (Fig. 4, red panel).



\* PROTACs for these TOIs have been described \*\* selectively removes fragmented mitochondria \*\*\* the degrader bound to exogenously expressed OMM proteins

## Figure 3. Potential implications of autophagy-based degradation in diseases.

This figure presents TOIs that have been successfully degraded by autophagy-based targeted degradation approaches, as summarized in Tables 2 and 3, their current progress of development, and their implications in human diseases. Abbreviations: AD, Alzheimer's disease; AMBRA1, autophagy and Beclin-1 regulator 1; AR, androgen receptor; ATXN3, ataxin 3; BRD4, bromodomain containing 4; NDP52, calcium binding and coiled-coil domain 2; CJD, Creutzfeldt-Jakob disease; DS, Down syndrome; EGFR, epidermal growth factor receptor; ERβ, estrogen receptor beta; FLD-ALS, frontotemporal degeneration-amyotrophic lateral sclerosis; HD, Huntington's disease; IAPs, inhibitor of apoptosis proteins; IRAK4, interleukin 1 receptor-associated kinase 4; LD, lipid droplet; LC3B, microtubule associated protein 1 light chain 3 beta; mATG8s, mammalian Atg8-family proteins; METAP2, methionine aminopeptidase 2; MOI, machinery-of-interest; NAFLD, nonalcoholic fatty liver disease; NASH, nonalcoholic steatohepatitis; OMM, outer mitochondrial membrane PD, Parkinson's disease; PINK1, PTEN induced kinase 1; SCA3, spinocerebellar ataxia type 3; SMA, spinobulbar muscle atrophy; p62, sequestosome 1; CHIP, Carboxy terminus of Hsp70-interacting protein; TDP43, TAR DNA binding protein of 43kDa; TBK1, TANK binding kinase 1; TOI, target-of-interest; ULK1, unc-51 like autophagy activating kinase 1.

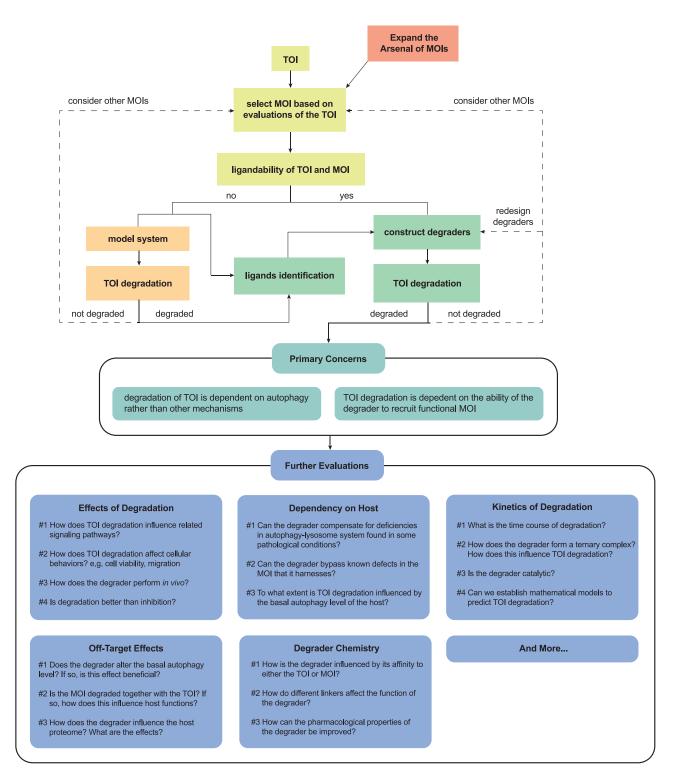
## **Choosing MOIs based on evaluations of TOIs**

The workflow for generating autophagy-based degraders starts from evaluating the TOI. Whereas TOIs for proteasomes are limited to proteins, TOIs for autophagic degradation fall in a significantly wider range, including soluble proteins, aggregates, organelles, and cytosolic pathogens, which vary in their chemical nature, size, structure, subcellular localization, biological behavior, and many other properties that may affect degradation (Fig. 4). An example has been provided by AUTAC: when a model target is localized to the cytoplasmic and nucleus, by adding an NES or NLS, respectively, the cytoplasmic

target is degraded more efficiently than the nucleus-localized target [32]. Still, whether and how properties of TOIs influence autophagic degradation have not been well understood in general and deserve greater attention.

The properties of TOIs may also influence their compatibility with MOIs. Because so many MOIs with different functions exist, a natural hypothesis is that, for a given TOI, the effects of recruiting different MOIs would vary, and an optimal MOI with the highest degradation efficiency would exist. It would be of significance to systematically compare different combinations of

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## Figure 4. Developing and evaluating autophagy-based degraders.

The workflow for generating an autophagy-based degrader starts from evaluating the properties of the TOI and selecting MOIs from a cellular arsenal (yellow panels and the red panel). If both the TOI and MOI are ligandable, the ligands could be attached by a linker to convert them into a bivalent compound (green panels). If no ligands are applicable for the TOI or MOI, the compatibility of the pair could be primarily evaluated in a model system (orange panels). Validated pairs then undergo ligand identification in order to obtain a bivalent compound. Once obtaining the bivalent compound, cellular validation can be applied to the compound to test whether it can induce autophagic degradation of the TOI in a process requiring recruitment of a functional MOI (cyan panels). If these tests are not passed, the bivalent compound can be redesigned, or another MOI can be selected to repeat the development process. Validated bivalent degraders can be then subjected to further evaluations based on their other properties.

TOIs and MOIs, perhaps in a model system, to find out how TOI properties would influence their preference to MOIs. This may guide MOI choice for a given type of TOI in the future (Fig. 4, yellow panels).

When organelles are chosen as TOIs, a surface protein is usually chosen to provide a binding site, which enables degradation in some reported cases (Tables 2 and 3). The same principle may apply to protein complexes as well, in which targeting a subunit may remove the whole complex. However, whether or how a membrane protein or a subunit can be individually targeted without affecting the whole organelle is currently unknown. It is also known that some pathogens can evade destruction by interfering with various steps in autophagy, and whether they could be targeted via autophagy is uncertain [38]. In addition, some aggregates could be lysosome resistant and may even propagate between cells via exocytosis of lysosomes. Applying autophagy-based degradation tools on such TOIs should be accompanied with caution.

#### Developing and assessing bivalent compounds

Autophagy-based degraders and PROTACs share the common nature of bivalent compounds [40], which come in two forms: modular degraders, which are constructed by attaching two monovalent ligands via a linker [276]; and nonmodular degraders, known as molecular glues, which are bivalent by themselves and require no linker (see Fig. 2 legend) [277, 278]. While modular degraders are constructed based on a knowledge of the individual ligands and thus can be designed rationally, many molecular glues were identified by screening, as were the mutant Huntingtintargeting ATTEC compounds [55, 277–280]. In either way a bivalent compound capable of binding to both the TOI and the MOI is obtained (Fig. 4, green panels). Methodologies and technologies for identifying ligands, evaluating linkers, and constructing such compounds have been well-developed and discussed extensively elsewhere [1, 8, 13, 41, 281–286].

The compound could be first applied to test systems such as cultured cells to assess whether it can induce TOI degradation, measured primarily by a decrease in protein level (Fig. 4, green panels). If a compound does not induce any decrease in the protein level, as measured by immunoblotting or other means. it is unlikely to function and could be redesigned, by changing the attachment points for linkers on the ligands, changing linkers in structure, or switching to other ligands. Otherwise, it may indicate that the currently tested MOI may not be compatible with the TOI at all. Multiple rounds of designing may be required before a compound is validated (Fig. 4, rightward dashed arrows) [276]. During this process, it is important to set up controls carefully to rule out other causes of lowered protein level, such as reduced gene expression, and to verify that degradation occurs via the autophagy-lysosome pathway rather than other routes, such as proteasomes (Fig. 4, cyan panels). For modular compounds, the degradation should be also dependent on the bivalent compound rather than any of the individual ligands to rule out ligand-induced

instability and degradation of the TOI. Degradation should also be dependent on a functional MOI that is recruited by the compound.

#### **Optional: evaluating TOI-MOI pairs in model systems**

Only a few approaches have generated bona fide degraders to date, while the rest and majority utilize model systems of CID, LID, or ectopic expression to induce TOI proximity with MOIs. Due to the fact that the concentration of the dimerization agent in a CID system can be titrated, CID models, especially knock-in models that express the TOI at the endogenous level, are preferred. Such model systems could be applied to rule out some candidate MOIs that are unlikely to induce TOI degradation or used in scenarios where either the TOI or MOI lacks proper ligands (Fig. 4, orange panels and leftward dashed lines). In canonical CID systems like FKBP12-FRB T2098L, neither the TOI nor MOI require ligands; otherwise, systems similar to dTAG or HaloPROTAC could be used, where only one protein needs to be ligandable while the other one is fused to an FKBP12 F36V moiety or a HT moiety, binding to AP1867 or HTL, respectively [287-289]. Alternatively, LID systems may be used to mark a portion of the cytoplasm to see whether the TOIs in this region can be selectively removed, while TOIs in the unilluminated regions are unaffected. For MOIs validated in model systems, such as CHIP, NDP52, and ULK1, identifying their ligands and generating degraders would be the following steps (Fig. 4, green panels).

## Further evaluations and special concerns

Some properties exhibited by PROTAC, such as the hook effect, catalytic degradation, and advantages of degradation over inhibition by the target ligand per se [2], are also expected for autophagy-based degraders (Fig. 4, blue panels). Indeed, some of these traits have been observed in some degraders and should be further evaluated in others to better understand their pharmacological behaviors [53, 55, 57]. Meanwhile, autophagy-based degraders are also expected to encounter unique issues, as briefly discussed in this section.

Basically, the effectiveness of degraders would be dependent on functionality of the host autophagy-lysosome pathway, which can be compromised in disease conditions including lysosomal storage disorders, neurodegenerative diseases, and others [43, 290–292]. It is important to assess whether degraders can compensate for the defects in these scenarios, for instance, by bypassing the affected machinery or restoring its functions. It is also possible to combine targeted degradation with other therapeutic approaches, such as autophagy modulators or enzyme replacement therapy [43].

While a single E3 molecule is theoretically sufficient to ubiquitinate a TOI molecule in PROTAC, multiple copies of some MOIs may be required to crowd at the TOI to efficiently trigger autophagic degradation. For example, it was speculated that a cluster with at least 30 ULK1 molecules is necessary to form early autophagic structures [293], which may pose a quantitative threshold for ULK1-harnessing degraders. Recruitment of ULK1 by CID is sufficient to trigger autophagic removal of mitochondria or peroxisomes [112]. Because these TOIs provide multiple binding sites for multiple ULK1 molecules, it is possible that recruited ULK1 may spontaneously cluster and become activated, and it would be interesting to test whether ULK1 can also effectively target TOIs that do not encourage spontaneous crowding. Here, our hypothesis is that MOIs with quantitative thresholds may require a minimum "density" of binding sites of the TOI to be efficiently activated. In addition, the stoichiometry of the "ternary complex" in this scenario is unlikely to be 1:1:1, as in PROTACs [294]. Assessing such MOIs and their ternary complexes *in vitro* may require novel methods.

PROTAC is featured by event-driven pharmacology, in which transient formation of ternary complexes, rather than prolonged occupancy of TOI active sites by inhibitors, is sufficient to induce TOI ubiquitination and degradation [6, 10]. This may also apply to autophagy-based degraders that induce enzymatic modifications of TOIs. However, this may not be the case for other types of autophagy-based degraders. For instance, it is likely that ATTECs shall interact with both TOI and mATG8s continuously to maintain a proximity between them, though they also do not have to occupy the active site because the silencing effect is provided by degradation, rather than inhibitory binding. Further studies are needed to verify this hypothesis.

LLPS is involved in multiple aspects of autophagy, such as regulation of the autophagy machinery and coaggregation of cargoes with cargo receptors [153, 154, 240, 242]. It would be important to evaluate whether LLPS is also implicated in degradation induced by autophagy-based degraders, by assessing whether they would incorporate TOIs into phase-separated condensates, and whether they could also remove aggregates or inclusions with low fluidity seen in some neurodegenerative diseases [295–297].

## Conclusion

To date, targeted degradation tools like PROTACs have successfully harnessed the ubiquitination-proteasome system. To expand the field of targeted degradation, novel methodologies that manipulate the autophagy-lysosome system have emerged, showing great potential to degrade a significantly wider range of targets, including aggregating proteins, dysfunctional organelles, cytoplasmic pathogens, and others. However, the potential of this pathway for targeted degradation has been far less than fully excavated. Understanding the mechanisms of selective autophagy, the pathways that target cellular cargoes for autophagic degradation, can inspire the design and development of novel bifunctional degraders that trigger target degradation by autophagy.

Delivery of cellular cargoes to selective autophagy requires two steps: recognition of cargo, which distinguishes the cargo from other cellular materials; and establishment of cargo-phagophore proximity, which ensures cargo engulfment by the phagophore. These two steps form the basis of autophagy-based degraders, from which various strategies and approaches have emerged. We have proposed four major strategies for autophagy-based degraders: *Tagging Target*, *Directly Engaging Target*, *Initiating Autophagy at Target*, and *Phagophore-tethering of Target*, which correspond to the major mechanisms involved in establishing cargo selectivity. While the process towards novel autophagy-based degraders will be inspired and aided by methodologies utilized for developing PROTAC and other degraders, unique and novel problems are expected to emerge. Therefore, we also provide a workflow that may guide development and validation of autophagy-based targeted degradation tools.

In summary, we have presented a mechanism-based blueprint that may inspire the development of novel autophagy-based degraders, which would be the next major step in the field of targeted degradation.

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## **Author contributions**

Q.Z. provided conceptual inputs and constructive suggestions. Y.Z., X.L., and Q.Z. conceived this manuscript, designed the graphic illustrations, and prepared the draft. B.L. contributed to discussion of the content, reviewed, and edited the manuscript. D.J.K. helped to edit the manuscript for initial submission.

# **Conflict of interest**

Q.Z. and B.L. hold the position of Editorial Board Member for *Life Medicine* and are blinded from reviewing or making decisions for the manuscript. B.L is one of the shareholders of ATTEC-related biotech companies and inventors of ATTEC-related patents.

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