

Manufacturing Metrology for c-Si Module Reliability and Durability

Part III: Module Manufacturing

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Abstract

This article is the third and final article in a series dedicated to reviewing each process step in crystalline silicon (c-Si) photovoltaic (PV) module manufacturing process: feedstock, crystallization and wafering, cell fabrication, and module manufacturing. The goal of these papers is to identify relevant metrology techniques that can be utilized to improve the quality and durability of the final product. The focus of this article is on the module manufacturing process. The c-Si PV module fabrication process can be divided into three primary areas; (1) stringing and tabbing, (2) lamination, and (3) integration of junction box and bypass diode(s). Each of these processing steps can impact the reliability and durability of PV modules in the field. The ultimate goal of this article is to identify appropriate metrology techniques and characterization methods that can be utilized within a module manufacturing facility to improve the reliability and durability of the final product. Additionally, a gap analysis is carried out to identify areas in need of further research and a discussion is provided that addresses new challenges for advanced materials and emerging technologies.

Keywords

Silicon solar cell; Photovoltaic module; Reliability; Durability; Stringing and tabbing; Interconnects; Lamination; EVA; Encapsulation; Bypass diode; Junction box

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19	2. Introduction	

20 With an increased attention to the environment and the limited supply of fossil fuels, production of
21 electricity through harnessing of renewable energy sources is critical to meeting future energy demands.
22 Although energy needs will likely be met through a variety of renewable sources, electricity produced
23 through solar photovoltaics (PV) will be a significant contributor. In order to make this technology cost-
24 effective, PV module output must maintain high performance for long periods of time (i.e. over 25
25 years). By increasing the lifetime of the PV module, the levelized cost of electricity (LCOE) will decrease
26 [1].
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30 It has been well documented that the energy production of a PV module will decrease over time as a
31 result of one or more degradation modes [2-5]. These degradation modes depend both on the module
32 construction and use environment. The often complex interactions that result in performance loss take
33 years to manifest so it is critical for manufacturers to understand and address reliability issue as early as
34 possible in the product lifecycle. Once a product design is qualified as reliable, quality control during the
35 manufacturing process is essential to ensure that each module will perform as expected.
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39 This article is the third and final article in a series dedicated to reviewing the impact of each processing
40 step on PV module performance and reliability and identifying relevant metrology techniques that can
41 be utilized to improve the quality and durability of the final product. The previous articles in this series
42 had a focus in the areas of silicon feedstock, crystallization and wafering (Part 1) and cell manufacturing
43 (Part 2). The focus of this article is the module manufacturing process (see **Error! Reference source not
44 found.**). The goals of this work are to:
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- 48 1. Identify known failure modes and degradation mechanisms induced during module
49 manufacturing
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- 51 2. Provide a review of the current state of metrology used during module manufacturing for
52 improved PV reliability and durability
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- 54 3. Perform a gap analysis and identify where improvements can be made
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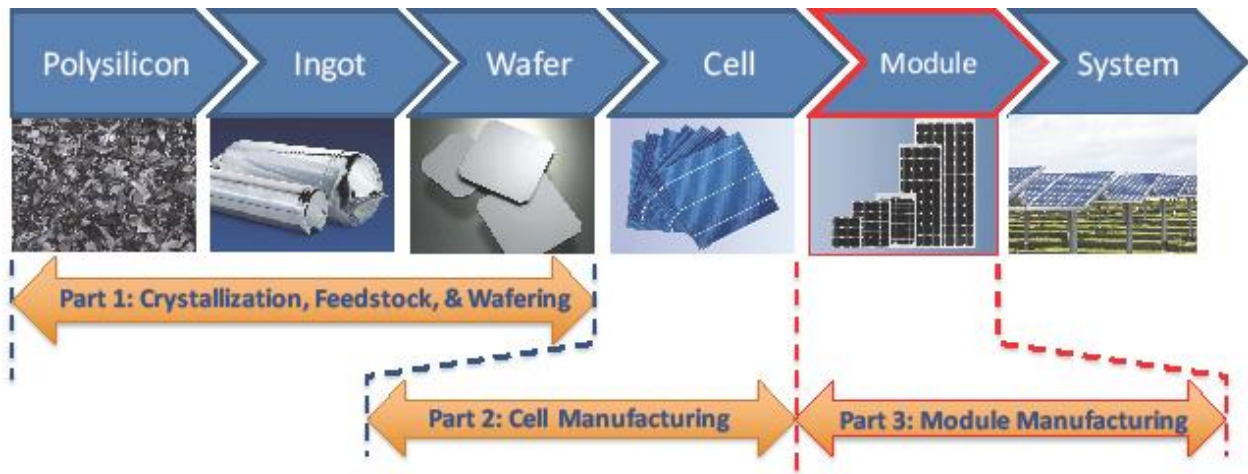


Figure 1. Depiction of c-Si PV manufacturing production areas across the supply chain highlighting module manufacturing as the focus of this article.

Within this article, fundamental properties of materials and components used during module manufacturing will be discussed with regards to their influence on module performance and reliability. The failure modes associated with each material, component, or processing step will be discussed with a focus on identifying the underlying physical and chemical degradation mechanisms. The ultimate goal of this article is to identify appropriate metrology techniques and characterization methods that can be utilized within a module manufacturing facility to improve the reliability and durability of the final product. Additionally, a gap analysis is carried out to identify areas in need of further research and a discussion is provided that addresses new challenges for advanced materials and emerging technologies.

Photovoltaic (PV) module manufacturing is the process of converting completed solar cells into a single, functional unit that is ready for field deployment. For PV modules based on standard crystalline silicon (c-Si) solar cells this process can be divided into three primary categories: (1) stringing and tabbing, (2) lamination, and (3) integration of the junction box and bypass diode(s). This module fabrication process has been in use for over three decades and is effective in producing standardized solar panels with sufficient power and durability for use in a variety of applications.

Because the power produced from a single solar cell is relatively small, several cells must be electrically connected together to form a practical PV module. Typical configurations for screen-printed, Aluminum back surface field (Al-BSF) cells involve the serial connection of cells, with the front contact of one cell connected to the back contact of the adjacent cell. This interconnection process is known as stringing and tabbing. Once the cells are electrically configured, they are encapsulated within a protective package to ensure reliable operation in the outdoor environment. This packaging scheme includes a frontsheet, backsheet, and encapsulant secured together during a lamination step. Finally, a junction box is secured to the backside of the module. The junction box is typically where string interconnections are made, module connector leads are attached, and bypass diodes are incorporated.

3. Stringing and Tabbing

3.1 Background

Single silicon solar cells typically produce around 0.6 V in direct sunlight. In order to achieve sufficiently high voltages required by system electronics (i.e. inverters), individual cells with similar current characteristics are connected in series through the “stringing and tabbing” process. In this step, tin plated copper ribbon (or tabs) are soldered to the cell busbars on the front side, with sufficient length extending beyond the edge of the cell to provide contact to the rear of the adjacent cell. A string is formed when several cells are placed in series and the excess ribbon from one cell is soldered to the metallic back contact of the adjacent cell. Conventional interconnects are soldered onto the cell busbars, extending much of the length along the busbar to improve the conductivity [6] and provide a fault tolerant connection in the event of cell fracture or solder joint failure. There are typically several strings of cells in a single module. Strings can be connected in series to further increase the voltage or in parallel to increase the current and may be formed internally (i.e. within the laminate) or externally within the junction box.

Two approaches to stringing and tabbing have been conducted: discrete and simultaneous. In the discrete method, the tabs are formed on the front surface, followed by stringing to the back surface. Simultaneous tabbing and stringing, where soldering to the front and back occur concurrently, has also been accomplished, resulting in a single thermal cycle, which could reduce the bow experienced by the wafer due to a balancing effect of the front and back surfaces. In 2006, Gabor *et al.* stated that the trend was towards combined tabbing and stringing, and reported that using a combined tabber-stringer resulted in no additional damage to the cells [7]. The soldering itself may be done with a hot soldering bar, infrared, induction, hot air, or lasers. Alternatively, conductive adhesives can be used in place of solder.

Several failure modes exist related to stringing and tabbing, evidenced both during the manufacturing steps as well as in the field. These include crack formation in Si during tabbing [8], poor solder joint formation resulting in solder bond failure, ribbon failure in the field due to mechanical fatigue, and corrosion of the ribbon. Predominantly, these failure modes are driven by the differences in the coefficient of thermal expansion (CTE) between copper ($17 \times 10^{-6} \text{ K}^{-1}$), silicon ($3 \times 10^{-6} \text{ K}^{-1}$) and glass ($9 \times 10^{-6} \text{ K}^{-1}$). For example, after soldering, the difference in CTE between silicon and copper will cause a buildup of internal stresses as the copper and silicon cool. Because of the increased contraction of copper during cooling, stress will form in the silicon wafer. Furthermore, the total current generated by the cells is increasing because of advances in cell efficiencies and the use of larger wafers. As attempts are made to limit the width of the ribbons, so as to reduce the shadowed area, thickness of the ribbons tends to increase. As the ribbon thickness increases, it becomes stiffer, thereby increasing the internal stress on the cell upon cooling after soldering [9]. On the other hand, the wafer thickness is being reduced to decrease silicon consumption, reducing the wafer’s tolerance to internal stress. The overall effect of the reduced wafer thickness and increased ribbon thickness is a greater tendency of wafer breakage following the stringing and tabbing process.

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4 A good solder joint is one where the solder wets the surface well and provides good adhesion between
5 the two metals. In the case of solar cells, the two metals of interest are Ag (from the cell busbar) and Cu
6 (from the interconnect). In theory, failure of the solder bond may occur at three different locations: (1)
7 at the Cu interconnect/solder interface; (2) at the Ag busbar/solder interface; or (3) within the solder
8 itself. In practice, failures at the Ag/solder interface, as well as within the solder itself appear to be the
9 dominant modes [10, 11]. These failures were reproduced after thermal cycling experiments, indicating
10 that thermal stresses due to CTE mismatch are the main driver for these modes. It should also be noted
11 that for the solder to adequately bond to the Ag busbars, any oxides must be properly removed with the
12 use of a flux.
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17 In the field, diurnal heating and cooling will cause additional expansion and contraction of the copper,
18 silicon and front glass. For example, since the CTE of glass is higher than that of silicon, an increased
19 temperature will cause the glass to expand more than the silicon, thereby applying strain on the silicon
20 as well as enlarging the gap between the cells. This increased gap width will strain the copper ribbons,
21 which will subsequently apply stress to the wafer. This stress will be applied to both the ribbon and the
22 bond between the ribbon and the busbar on the cell. The applied stress could cause the ribbon to
23 fracture, the bond to fail, or possibly even the silicon substrate to crack. As was already noted, ribbon
24 thicknesses are increasing, which could lead to greater field failures, since thicker ribbons are more
25 susceptible to thermal fatigue. It should also be noted that the encapsulant plays a key role in
26 determining the amount of stress experienced by the copper ribbon in the field, as the encapsulant can
27 either couple or reduce the impact of the expansion of the glass front cover to the copper ribbon,
28 depending on the encapsulant modulus of elasticity and its thickness [12].
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34 Additionally, corrosion of interconnects will cause failure of the module. In 2008, Wohlgemuth reported
35 [13] on module field failures between 1994 and 2005, wherein interconnect corrosion accounted for
36 45% of failures and cell or interconnect breakage accounted for 41% of failures. Current cycling has also
37 been observed to cause burning at interconnects [14]. Techniques to mitigate interconnect failure
38 include the use of lower CTE materials, stress relief bends, thinner ribbon, more pliable materials, and
39 built-in redundancy [15].
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43 The balance of this section will describe the failure modes associated with stringing and tabbing, with an
44 emphasis on the manufacturing steps, and a few comments about field-failures. Following the failure
45 mode descriptions, some details will be provided on in-line and off-line metrology techniques that can
46 be used to assist in product development, process control and quality management.
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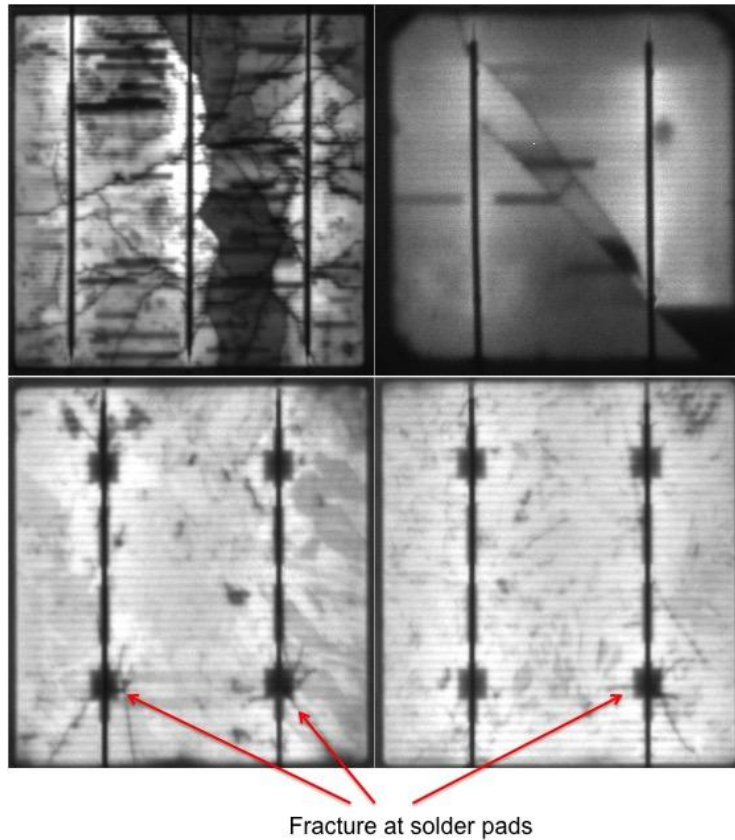
49 **3.2 Failure Modes**

50 **3.2.1 Microcrack Formation and Cell Fracture**

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53 Additional stress may be applied to the silicon cell itself, at the point of the busbar, due to the high CTE
54 of copper over Si [7]. Microcracks will become a larger issue with the reduction of wafer thickness to
55 below 150 μm , making the choice of the ribbon very important. In particular, the selection of ribbon
56 with a high yield strength can cause significant cell bowing and ultimately lead to cell fracture after
57 soldering [16]. Lower yield strength allows the ribbon to elongate (i.e. yield) during cooling, reducing the
58 stress on the wafer. The ribbon thickness also impacts breakage rates, as thicker ribbons tend to give
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4 rise to more wafer breakage [17]. Applied pressure from the soldering tool can also result in high stress.
5 It has been shown that the presence of defects near the busbar, due to soldering, result in a lower peel
6 force of the copper ribbon from the busbar [18]. Gabor *et al.* demonstrated the impact of ribbon
7 selection on cell damage, and concluded that ribbons with lower yield strength had a greater positive
8 impact on cell quality than ribbons with lower CTE [7].
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11 Cells will experience additional stress during lamination due to thermo-mechanical forces and in the
12 field due to mounting stress, snow or wind loads, and thermal cycling. These stresses will allow
13 microcracks that developed during stringing and tabbing to propagate and may ultimately lead to
14 complete cell fracture. Cell fracture may result in current mismatch and hot spot formation significantly
15 compromising module performance [19]. Therefore, minimizing microcrack formation is essential to
16 improve module reliability. Examples of cell fracture as detected from electroluminescence images are
17 shown in figure 2.
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52 **Figure 2. Electroluminescence images of fracture (top left) in a multicrystalline cell, (top right) in a monocrystalline cell, and**
53 **(bottom) at the solder pads on two multicrystalline cells.**

54 **3.2.2 Poor Solder Joint Formation/Solder Bond Failure**

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56 *Ex situ* testing of the ribbon-busbar bond through peel testing revealed that the selection of the silver
57 contact paste (screen printed) can have an impact on results [7]. In this study, for one paste, the peel
58 test resulted in separation at the Ag/Cu interface, while another paste resulted in damage to the Si
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4 below the busbar. Sunpower reported a 3% failure rate for hot solder joints, possibly due to weak solder
5 bond [20]. Long lifetime of the module, as it relates to the solder and ribbons, was attributed to: using
6 expanded metal interconnects, good solderability of the cell metallization, and using a compatible solder
7 metal alloy. In addition, the size of the solder bond is relevant, as too small a solder joint will result in
8 premature failure, while too large a bond results in greater stress applied to the wafer. Ribbon with
9 lower yield strength will also reduce the stress on the solder bond, as the metal ribbon will deform more
10 to accommodate the applied stress.

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14 The morphological structure of the solder bond also plays a key role in durability. Metal diffusion from
15 the solder has been implicated in bond failure due to coarsening effects [21]. One study found tin and
16 silver between gridlines, indicative of diffusion from the ribbon over a 20 year period, suggesting that
17 the solder bond strength will change with time [22]. Over time, metallic diffusion within the solder joint
18 will cause increased grain size that can exacerbate thermo-mechanical failure, particularly in the
19 presence of voids and cavities. In addition to field-related effects, soldering conditions will impact
20 metallic diffusion. For example, both solder composition and time above liquidus during soldering tend
21 to impact the leaching of Ag from the busbar to the solder. In an effort to reduce Ag leaching from the
22 busbar, a small amount (~2%) of Ag is added to the solder [11]. For longer times above the solder
23 liquidus state, greater amounts of Ag will leach into the solder, causing less adhesion to the busbar. In
24 addition, the microstructure of the fired metallization has an impact on Ag leaching, with more porous
25 metallization leading to greater Ag loss [23].

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31 As the cell ages long-term stress relaxation and intermetallic diffusion can occur. The thermal stresses
32 introduced during the soldering step can be mitigated somewhat through the relaxation of the solder
33 over time. By using a thicker solder layer on the wire, a greater degree of relaxation occurs, which can
34 improve the durability of the solder/busbar interface [23]. It should be noted that the adhesive forces
35 observed in these tests showed a dependency on time, with significant increases in adhesion observed
36 within 24 hours after soldering [23]. In the field, over longer time periods, thermal cycling will cause Sn
37 and Pb crystallites to form within the solder, creating a more resistive interface and increasing the
38 susceptibility to fracture [24].

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42 One report indicated that loose connections are also possible in degraded modules, where intermittent
43 contact is made with individual cells. EL images showed the same cell with different halves of the cell
44 emitting light after successive thermal cycling [25]. Many interconnect systems are designed with
45 several bonds along the length of the ribbon, such that failure of a few bonds does not significantly
46 impact performance. However, a high fraction of bond failures will lead to an increased power loss, and
47 subsequent heating of the cell, which can accelerate additional failure modes. It should be noted that an
48 increase in current flowing through the cell interconnect would result in an increase in Joule heating,
49 thereby accelerating coarsening of the solder joint for that cell interconnect. Thus, solder bond failure
50 that effectively eliminates one cell interconnect will cause an increase in current flow through the
51 remaining "good" cell interconnect(s), accelerating solder bond coarsening and potentially leading to
52 further solder bond failures.

3.2.2.1 Impact of Lead-Containing and Lead-Free Solders

Cavities have been observed within the ribbon/busbar interface, due to poor soldering technique [11]. The use of lead within solder improves the solder bond for two reasons: improved wettability (to reduce the presence of cavities) and reduced soldering temperature (to reduce thermal contraction after soldering). However, lead is a toxic metal with a potential to cause harmful effects on brain, nervous system, liver and kidneys. Using solders containing lead can result in a hazardous waste classification for PV modules at the end of life, thus increasing the overall costs. This has caused a worldwide movement to altogether ban the use of lead in electronic products. 96.5%Sn/3.5%Ag and alloys with varying compositions of Sn-Ag-Cu have been proposed as a viable choice for Pb-free solder [26]. However, this variety of Pb-free solder has certain disadvantages over standard lead-containing solder such as about 40 °C higher melting point, poor wettability of certain variants, decreased life expectancy of soldering equipment like soldering tips [27]. Therefore, aggressive reliability studies have been performed on Pb-free solder in order to ensure durability of solder bonds in PV modules.

A US PV module manufacturer (ASE Americas) has used Pb-free solder in the modules since 1993 and independent reliability studies carried out at Arizona State University and Florida Solar Energy Center have shown that 96.5%Sn/3.5%Ag solder is at least as reliable as standard lead-containing solder [26]. In a comparative study of interconnects containing Pb-free (Sn3.5Ag) and standard (Sn36Pb2Ag) solders, Cuddalorepatta *et al.* have found that the void density from pre-test scanning electron microscopy (SEM) image analysis was 19% and 39% for Sn36Pb2Ag and Sn3.5Ag solders respectively [28]. This was attributed to lower wettability of Pb-free solder. However, during thermal cycling, the lead containing solder showed a linear increase in series resistance with the number of cycles while the Pb-free solder displayed a bilinear increase. A linear, non-conservative extrapolation of results observed during 1000 thermal cycles showed that Pb-free solder is about 3.5 times more durable than standard Pb containing solder.

The advantage of SnAgCu solder over 96.5%Sn/3.5%Ag solder are slightly lower melting point and better mechanical and wetting properties [29]. In a relative wettability study of two lead containing (SnAgPb and SnPb) and one Pb-free (SnAgCu) solder, Hsieh *et al.* observed that the Pb-free solder SnAgCu had lower contact angle and hence better wettability on Ag paste substrates than the lead containing variants [30]. However, the backscattered electron beam micrographs showed that significantly higher amount of intermetallic compounds were observed at solder/busbar interface for Pb-free solder than lead-containing solder; indicating potential reliability issues after thermal cycling. In another study, Hsieh *et al.* found that compared to the lead-containing solder (SnAgPb), the use of Pb-free solder (SnAgCu) resulted in higher series resistance of solar cells due to formation of uneven layer of intermetallic compounds [31].

The choice of Pb-free solder also impacts durability, with several reports of the Pb-free outperforming typical SnPb solder [10, 32]. The removal of Pb from solder causes a decrease in creep during thermal cycling, which contributes to its prolonged lifetime. However, by removing Pb from the solder, the number of voids increases, due to the lower wettability of the Pb-free solder on Ag. The use of more aggressive flux during soldering can improve the solder wettability, however it can have a detrimental effect on the lamination step. Ultimately, Cuddalorepatta *et al.* demonstrated that the effects of the

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4 void fraction within the Pb-free solder are not as great as the creep effects from SnPb solder, justifying
5 the use of Pb-free solder on the basis of improved thermal cycling tolerance.
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7 8 **3.2.3 Ribbon failure**

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10 Ribbon failure is defined as fracture of the ribbon itself. Fatigue of a metal is defined as a reduction in
11 material strength through repeated cycling, and can result in ultimate failure of the object under test. As
12 noted previously, diurnal heating causes expansion of the gap between cells, which can strain cell
13 interconnects. A sufficiently high number of cycles can lead to fracture of the cell interconnects. Meier
14 conducted lifetime tests for the copper ribbon by determining the degree of strain experienced by the
15 ribbon in a real PV module during thermal cycling, and then conducting high frequency stress/strain
16 experiments on just the ribbon. He predicted a lifetime of about 5000 cycles [33]. Jeong *et al.* also
17 discusses thermal cycling, but attributed failure to solder cracking, rather than ribbon failure [11].
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21 *Ex situ* testing of the copper ribbon showed that the thermally-driven stresses applied to the ribbon
22 could cause the ribbon to fracture within 30 years [34]. Bosco indicated that ribbon lifetime can be
23 extended through inclusion of a sufficiently long non-soldered region between cells. Meier also stated
24 that sufficient cycles will cause ribbon fracture, which may be exacerbated by the hardening
25 experienced by interconnects during soldering [33, 35]. These papers note that there is sufficient
26 deflection during thermal expansion and mechanical loading to apply significant stress to the ribbons.
27 Notwithstanding the cycle fatigue experienced by the ribbon, solder bond failure appears more common
28 than interconnect fracture in recent field-aged modules [21, 36]. It has been noted that two
29 interconnect ribbons are used for redundancy, thereby maintaining performance in the event of a single
30 interconnect failing. However, Shimizu *et al.* demonstrated differences in electroluminescence (EL)
31 images for cells with one failed interconnect [37]. In this case, when one of the two interconnects failed
32 (through busbar or back contact adhesion loss), only half of the cell was illuminated in EL. This process
33 also results in loss of fill factor and therefore of power. This event will further lead to current crowding,
34 where greater current is carried by one busbar than the other. As a result, the busbar and ribbon
35 carrying the current will experience higher temperatures, thereby increasing the risk for failure. Current
36 cell/module designs utilize three interconnect ribbons, which has further increased redundancy and
37 reduced the risk of complete failure.
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45 46 **3.2.4 Corrosion**

47 High system voltages, due to series connection of module in field-deployed systems, can result in large
48 potential differences between the cell circuit and the module frame. Several tests have indicated that
49 damp heat with positive voltage bias leads to significant corrosion of the interconnects, while negative
50 voltage bias leads to interconnect oxidation and peeling of the solder bond [38, 39]. This corrosion is
51 closely tied to the conductivity of the laminate used between the front glass and the silicon wafers. As it
52 relates to the laminate effects, Kuitche *et al.* found that there was a strong correlation between
53 delamination and broken cells or interconnects failure [40]. These effects clearly demonstrate that the
54 material properties of one component can have a significant impact on the durability of others.
55 Therefore, as durability testing continues, it may be necessary to look beyond the component in
56 question (e.g. interconnects) and examine interactions with other module components (e.g. laminate).
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3.3 Emerging Technologies

The previous sections dealt with the most widely manufactured cell technologies, namely *p*-type Al-BSF cells with screen-printed silver based metallization. As PV technology advances, novel cell architectures and interconnection technologies will be presented that enable higher efficiencies and lower costs than the current state of the art. These new technologies may exhibit the same degradation modes, new modes may be introduced, or accepted modes may be diminished. This section discusses very briefly some new cell architectures and interconnection strategies that show promise.

Rear side interconnects have been demonstrated that employ laser welding of the interconnect ribbon directly to the aluminum back contact [41-43]. The advantage of this technology is that it eliminates the need for screen printed silver pads on the rear side of the cell, which results in a reduction of the material costs and processing steps required for cell manufacturing. Additionally, laser welding has the potential to reduce the time and energy associated with stringing and tabbing process during module manufacturing. Initial aging data suggests this technique does not impact cell performance over time [42], however more reliability studies are needed to understand how this technology performs with respect to common failure modes such as cell fracture and interconnect durability.

Since the majority of the internal stress at the interconnect/wafer interface is due to heating during soldering and CTE mismatch, forming electrical connections at low temperature is desirable. Additionally, high efficiency heterojunction cells require low temperature interconnect formation to prevent a reduction in passivation quality at the a-Si:H/c-Si interfaces on the front and rear of the cell [44]. As an alternative to hot-solder bonding, ultrasonic bonding is a non-standard technique that shows good promise in terms of reliability [45]. In this method, ultrasound is able to join metals without excess heat being generated, and without the use of solder. By reducing the heat, the internal stress build-up has been reduced, and the lack of solder eliminates intermetallic diffusion of tin. While this approach was used to attach aluminum ribbons to thin-film Si cells, it may not be suitable for c-Si cells, which may suffer high breakage rates due to ultrasonic vibrations and the pressure of the ultrasonic tool on the cell. Conductive pastes have also been used for these purposes [46-51]. These pastes have shown good electrical contact, some with peel strengths comparable to soldering [46, 51], but long-term durability remains uncertain. Schwertheim *et al.* reports *ex situ* thermal cycling of conductive adhesive-bonded interconnects showing an increase in contact resistance after 50 cycles. However, this same report showed laminated heterojunction solar cells with conductive adhesives exhibiting very little change after 200 thermal cycles [48]. Owing to the more complex nature of conductive adhesives (e.g. filler to epoxy ratio, curing temperature and time, bleeding [52]), a great deal more work needs to be conducted before industrial implementation. Additional research on the aging and reliability of conductive adhesives as it relates to fielded modules is also required.

In recent years, novel metallization schemes have been proposed to increase cell efficiencies and reduce cost [53]. Due to price pressures in cell manufacturing, there is a growing interest in the ability to replace silver metallization with a copper based alternative [54, 55]. Alternatively, newer device architectures that allow for improved device efficiencies, such as *n*PERT (*n*-type passivated emitter, rear totally diffused cell), demand new metallization pastes in order to reduce recombination at the contacts. Although several challenges must be overcome before these technologies are implemented in large

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4 scale cell manufacturing, it is important to identify how this might influence the stringing and tabbing
5 step. This may require refined solder compositions, reduced processing temperatures, or alternative
6 soldering techniques to ensure reliable solder bonds and cell interconnects. Reliability studies of
7 modules that have successfully passed standard IEC qualification protocols are beginning to emerge for
8 modules that incorporate copper based metallization [56, 57]. Before widespread adoption of these
9 novel metallization strategies can occur, further research investigating the reliability of interconnection
10 schemes for these cells is required.
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14 Another approach to reduce costs and enable higher efficiency cell architectures is to eliminate
15 metallized busbars and utilize a multi-wire cell interconnection [58, 59]. This technique, used mainly for
16 silicon-heterojunction devices, reduces costs by lowering silver consumption and improves performance
17 by reducing series resistance and increasing the active area of the cell. There are also advantages in
18 terms of reliability due to the increase in the number of interconnect between cells. There is growing
19 interest in this multi-wire approach to cell interconnections, with the adoption rate in industry expected
20 to increase in the coming years [60].
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24 **3.4 Relevant Metrology techniques**

25 The materials and processing used for stringing and tabbing have a significant impact on the reliability
26 and durability of modules in the field. This section discusses the various metrology methods available to
27 evaluate potential materials and processing techniques to ensure reliable cell interconnection schemes
28 are being used in the manufacturing process.
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33 **3.4.1 Electrical Characterization Techniques**

34 Determining interconnect degradation may be accomplished via increases in series resistance (R_s), since
35 failure can lead to larger contact resistance and/or increased current concentration along single
36 interconnects. One of the methods employed to determine series resistance is to analyze the
37 illuminated or dark I-V curves of the cell and to extract series resistance using an appropriate model (e.g.
38 the two diode model). Several techniques have been proposed [61]. These techniques each suffer from
39 various limitations based on the assumptions of each model, such as requirements that short circuit
40 current (I_{sc}) vary linearly with illumination, that the cell be illuminated at different levels while
41 maintaining constant temperature, or that series resistance is invariant with current (which is incorrect)
42 [62]. Additionally, series resistance is a function of several factors, such as emitter resistance,
43 metallization resistance, and emitter/metal contact resistance. Therefore, not only does this method
44 produce series resistance values with varying degrees of uncertainty, it is difficult to separate the solder
45 bond resistance values from the series resistance of the cell. Within a string of cells, it is possible to use
46 I-V curves to identify weak cells, through sequential shading. As each cell is shaded, the subsequent
47 reduction in I_{sc} is monitored, and for those cells with poor performance (due to e.g. high series
48 resistance), the drop in I_{sc} will be lower. The cells with higher performance (due to e.g. lower series
49 resistance) will have a greater impact on I_{sc} [63].
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57 Field-testing of interconnects may be achieved through the use of a cell line checker tool. A transmitter
58 is connected to the leads of the module, which then applies an ac-signal to the circuit. By scanning a
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4 receiver over the surface of the module, high resistance and failed interconnects can be identified [64,
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7 8 **3.4.2 Imaging Techniques** 9

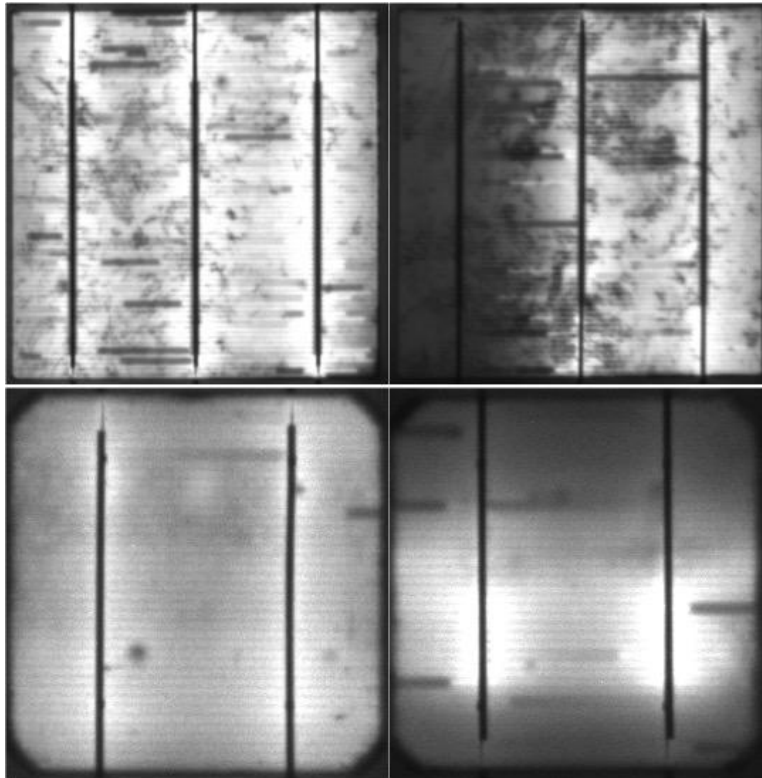
10 High-resolution images of the solder/wafer interface have been obtained using SEM techniques. In this
11 approach, the cell is removed from the module, mounted in an epoxy and the cross-section is exposed
12 through polishing. Chemical analysis is also possible through energy dispersive x-ray analysis (EDX),
13 permitting an in-depth study of the metallic phases near the bond [66, 67]. Clearly, this technique
14 requires destroying the original cell, but useful information about the solder bond quality, such as voids
15 and cracks, may be obtained, which could then be used for process optimization.
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18 Meier *et al.* measured the expansion of solar cells in a PV module by digital image correlation (DIC),
19 which required creating a spray pattern on the surface of the cells [33]. By measuring the expansion of
20 the cells, the strain on the ribbons could be determined. This technique does not lend itself to relevant
21 testing in the factory or field, but rather to diagnostic testing in the lab.
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24 Infrared (IR) thermography has been used to identify hot spots in solar panels for several years. Often,
25 the hot spots are due to reverse bias of a shaded cell or to areas of low shunt resistance. However, Joule
26 heating of the solder ribbon as a result of weak or failed solder bonds may also produce hot spots [68-
27 71]. These measurements can be made indoors (typically in the dark) under forward or reverse bias, or
28 under illumination (typically outdoors) with the cell or module either under short circuit conditions or
29 under load. Dark infrared imaging eliminates the heat generation due to cell mismatch (i.e. eliminates
30 reverse biasing of individual cells) and allows for better resolution of interconnect issues. In this
31 approach, current flows through the cell or module and areas of increased temperature are imaged
32 using an IR-sensitive camera, with wavelength sensitivity typically in the 3-10 μm range. In series-
33 connected cells, weak or failed solder joints will cause an increased current density through the
34 remaining good joints, which will cause a subsequent increase in temperature [70]. Therefore, the hot
35 spots actually correlate with regions of good solder joints, while the poor solder joints must be inferred.
36 The front glass of a module will cause thermal diffusion, effectively broadening the signal and reducing
37 the precision of this method. Imaging through the backsheet can reduce this effect, however the
38 junction box and module frame may obstruct the cells or interconnects. Pulsing the current while
39 obtaining a sequence of IR images, then digitally combining these images utilizing the pulsing frequency,
40 known as lock-in thermography, significantly increases the signal to noise ratios. This technique allows
41 for extremely precise imaging of the thermal sources within the module.
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49 EL Imaging has also been used to extract information regarding series resistance and interconnect
50 failure as shown in figure 3. EL imaging, which utilizes the near infrared (NIR) radiation produced from
51 band-to-band recombination in the active semiconductor layer, has been used at both the cell and
52 module level to provide localized visualization of resistance and to help quantify of the degree of failure
53 [72-74]. In this method, an electric potential is applied to the cell or module, generating a current
54 through recombination. This recombination process generates photons that are detected with an NIR-
55 sensitive CCD camera to provide spatial resolution of the device quality. In general, EL shows areas of
56 lower intensity for those regions with high resistance, as less current is able to flow. These darker areas
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4 have been correlated to power losses by Gazuz *et al.* [72]. A modified version of EL imaging, labeled as
5 R_s -EL imaging, was used to detect poor solder joints by extracting the luminescent and ohmic (i.e. series
6 resistance) component for each pixel from images taken at two voltages [75]. Differential EL imaging
7 easily revealed the location of cracks in the silicon after mechanical load tests, either parallel or
8 perpendicular to the busbars [76]. The locations of these cracks revealed which areas of the cells were
9 rendered inactive due to electrical discontinuities, allowing a “criticality” factor to be applied to the
10 types of cracks. For example, cracks parallel to the busbar were more detrimental to cell performance
11 than cracks perpendicular. Grid-finger failures have also been identified with EL, where rectangular dark
12 regions in EL were attributed to finger failures [76].



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43 **Figure 3. Electroluminescence images of (top left) typical multicrystalline cell, (top right) multicrystalline cell with a broken**
44 **interconnect, (bottom left) typical monocrystalline cell, and (bottom left) monocrystalline cell with variable contact**
45 **resistance along the busbar.**

47 **3.4.3 Mechanical/Thermo-Mechanical Metrology Techniques**

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49 A common method of determining adhesion strength between the interconnect and the silicon cell is
50 the peel test, where one end of the ribbon is pulled while the wafer is held still. The force required to
51 separate the ribbon from the wafer is indicative of the solder strength and hence the quality of the
52 bond, although damage to the silicon wafer through improper soldering can also impact results [77]. The
53 pull test can be useful during the optimization of the soldering process to assure that up to a certain
54 stress level the solder bond does not fail, however this test results in damage to the cell [78]. Hence it
55 cannot be used for routine in-line testing, although module manufacturers often use this technique to
56 qualify processes and equipment.

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4 In terms of the cycle fatigue of the ribbon itself, dynamic mechanical analysis (DMA) has been
5 conducted, where the ribbon is mounted between two clamps and a cyclic force is applied to the ribbon
6 until failure occurs [33]. This test is useful for determining ribbon cycle lifetime, provided the applied
7 stresses and geometry are relevant to the field. However, as for the pull test, DMA provides good input
8 for process optimization, but is not applicable for real-time process or quality control.
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11 Temperature cycling of modules has been shown to demonstrate failure through thermo-mechanical
12 modes, similar to what would be expected in the field, but at an accelerated rate. Additionally, thermal
13 cycling with applied current has identified weak solder joints faster than thermal cycling alone [20, 79].
14 Simple thermal cycling will screen modules for issues related to thermal expansion, but will not
15 discriminate against poor solder bonds. By applying current to the module (at or near short circuit),
16 modules with poor solder bonds will be identified. A second method proposed by Aoki *et al.*
17 demonstrated an ability to predict solder bond failure through increased resistance [80]. The use of
18 thermal cycling as a quality control method has been employed for several years, and the International
19 PV Module Quality Assurance Task Force (PVQAT) is working to extend this qualification test. For
20 example, Task Force 2 has identified that 200 thermal cycles is not sufficient as module with durable
21 interconnection schemes have been shown to survive several hundred thermal cycles [81]. Test
22 protocols that incorporate additional stressors prior to or after thermal cycling, including dynamic
23 mechanical loading, may lead to more stringent requirements for solder bonds quality and as a result
24 extend module lifetimes.
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31 **3.5 Gap Analysis**

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33 The PV and electronic industries have adopted some variant of the Pb-free solder as a material of choice
34 over standard Pb-containing solder due to toxicity of lead. The 96.5%Sn/3.5%Ag Pb-free solder has
35 approximately 40°C higher melting point than Pb-containing solder, however it has proven to be at least
36 as reliable as Pb-containing solder, if not more. The SnAgCu solder has advantages of slightly lower
37 melting point and better wettability than 96.5%Sn/3.5%Ag solder. Further research is required to predict
38 if the higher amount of intermetallic compounds found in SnAgCu have a detrimental impact on the
39 long-term reliability of solder bonds.
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43 Thermal cycling with current through the module has been used to test the durability of interconnects
44 and solder bonds in PV modules. Once interconnect breakage, solder joint failure, or cell fracture occurs
45 during thermal cycling, the state and distribution of mechanical loading on the modules can influence
46 the electrical connection at that point [7]. Also, it has been recently shown that the cracks generated in
47 c-Si cells during thermal cycling can heal themselves in subsequent thermal cycles [82]. These effects can
48 introduce a certain degree of uncertainty in the results of I-V measurements and EL imaging. There is a
49 need to further understand the effect of mechanical loading and subsequent thermal cycling on state of
50 electrical connection of broken interconnects and opening and closing of cracks in c-Si cells so as to
51 better interpret the results from I-V measurements and EL imaging.
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56 A metric that is commonly used to compare the reliability of various soldering techniques and
57 interconnection materials is pull strength. This metric, although useful in the evaluation of new solder
58 techniques, is not necessarily indicative of the reliability of a particular interconnect. Because the
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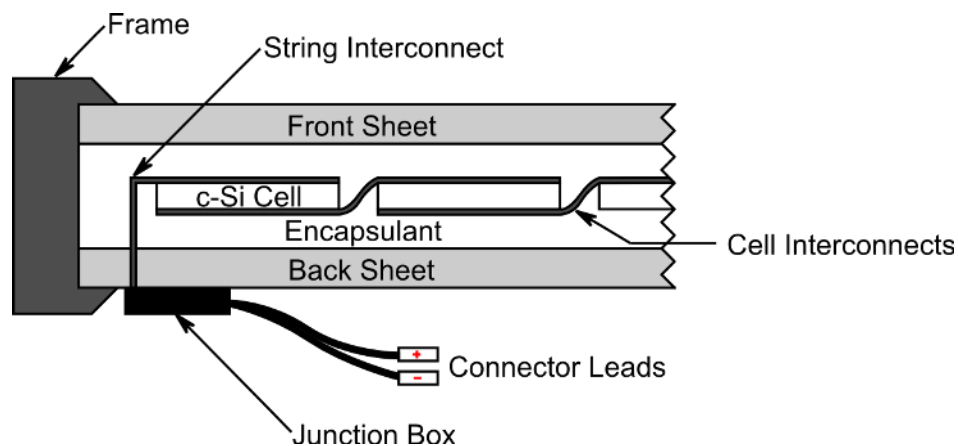
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4 module encapsulation provides mechanical support for the cells and interconnects, it is possible for
5 poorly adhered interconnects to continue to provide good electrical conduction over time. With the
6 ever growing need to reduce costs, research that investigates how various interconnection schemes
7 perform over time in terms of electrical conductivity are required. Various studies have shown that
8 issues such as corrosion, metal migration, and creep are responsible for degradation of
9 interconnects[83-85]. The relationship between the pull strength and these more fundamental
10 mechanisms is unclear and may vary depending on the materials and interconnection scheme, therefore
11 a more fundamental understanding of the relationship between adhesion and electrical performance
12 over time is required.

17 4. Lamination

19 4.1 Background

21 Photovoltaic (PV) modules rely on packaging materials to provide protection from external mechanical,
22 environmental and system induced stresses in order to ensure reliable performance over the
23 operational lifetime. The module packaging must also prevent potential hazards (e.g. electrical arcs,
24 ground faults, exposed circuitry) and ensure safe operation of the photovoltaic device. Packaging
25 materials, as depicted by the cross-sectional diagram in Figure 4, include protective frontsheets,
26 backsheets, internal encapsulants, and sealants/adhesives (both between the edge of the laminate and
27 the frame and as mounting adhesives on the backside for the junction box).

31 All packaging materials must withstand the environmental condition in which the module will be
32 operating. This includes high temperatures, humidity, rain, UV exposure, wind loading and others
33 depending on the location (e.g. ammonia vapors, salt water, dust). In many cases the packaging must
34 not just withstand these conditions, but must also prevent these conditions from reaching the active
35 internal components. Together these materials must remain mechanically secured and provide
36 adequate electrical insulation to prevent operational hazards. The following sections will discuss the
37 various module packaging components and the specific requirements for each.



56 **Figure 4. Cross-sectional diagram of a typical module packaging configuration.**

4.1.1 Frontsheet

In addition to providing protection to the active internal components, the frontsheet, or front cover, has several unique functions within the packaging scheme. Because it is located above the PV cells, the frontsheet must provide suitable transmission of the solar spectrum, low reflectance at oblique angles and have an appropriate index of refraction based on the properties of the encapsulant. Beyond the mechanical loading due to high winds and/or the mounting configuration, the front sheet is the first line of defense from any mechanical impact such as hail or other small debris. This requires a material with high impact resistance and sufficient tensile strength. Also, because this material is on the topside, it will experience extremely wet conditions due to rain and condensation. The frontsheet should also allow for sufficient interface adhesion with the encapsulant. Therefore the essential requirements for the frontsheet include high optical transmission (UV-VIS-NIR), low coefficient of thermal expansion, good UV stability, excellent weatherability, high impact resistance, and high electrical resistivity (i.e. good electrical insulation).

Typically, glass is used as the frontsheet material. Glass, specifically low iron soda-lime glass, exhibits all of the required electrical and optical properties. It is also impervious to water and water vapor and can be made very strong by heat strengthening. c-Si based modules almost exclusively utilize glass as the front cover, however there are some cases in which a flexible frontsheet may be required, such as building integrated photovoltaics (BIPV). Advantages of polymeric frontsheet are the lower weight constructions and the elimination of glass breakage. On the other hand, many polymeric films do not retain good light transmission over long time periods outdoors, and are generally permeable to water vapor. Fluoropolymers have been shown to retain good light transmission outdoors and are used in a number of flexible PV packages, although they are generally used with alternative PV technologies such as amorphous silicon (a-Si) or Copper Indium Gallium Selenide (CIGS) [86].

4.1.2 Backsheet

Backsheets, or back covers, provide protection to the PV cells from the rear side. Because they are behind the cells they are not required to be optically transparent. They are however, still exposed to the outdoor environment. Therefore, they must be moisture resistant and have adequate UV stability, as they will be exposed to UV radiation through the package and from diffuse light on the back surface. They should have sufficient mechanical properties in order to be scratch resistant and withstand puncture. Additionally, the internal surface of the backsheet must allow for adequate adhesion to the encapsulant and the external side must provide a surface in which a junction box can be attached and sealed to prevent water penetration.

Backsheets are typically multilayer structures comprised of three polymeric films. The middle layer is the core material that acts as the main permeation barrier and provides the required electrical isolation. The outer layer provides adequate protection from weathering and the inner-most layer is chosen to have good adhesion to the encapsulant. These layers are typically glued together with adhesives such as epoxy or polyurethane.

The core layer has traditionally been a polyester such as polyethylene terephthalate (PET) because it provides adequate performance at reasonable costs. Other possible core layers include other

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4 thermoplastics such as polyamide (PA) or polyolefin (PO) materials. Thin inorganic films such as alumina
5 or silicon oxide can be deposited on the inner core layer to reduce permeation and improve the
6 barrier properties of the backsheet [87-89]. In some cases aluminum foil has also been used for this
7 purpose [89]. The outer layers are often fluoropolymers, due to their resistance to environmental
8 effects. Specifically, the DuPont Tedlar[®] polyvinyl fluoride (PVF) film has been used extensively within PV
9 module backsheets over the past 30 years [90, 91]. Other fluoropolymers that have been used include
10 polyvinylidene fluoride (PVDF), tetrafluoroethylene hexafluoropropylene vinylfluoride (THV), and
11 polychlorotrifluoroethylene (PCTFE) [92, 93]. Although fluoropolymers are the most common outer
12 layer, some configurations utilize a stabilized PET or PA on the external surface. The inner layer is either
13 another fluoropolymer or a tie layer, which is typically an ethylene vinyl acetate (EVA) formulation that
14 provides good adhesion to the encapsulant.
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20 Interestingly, most backsheet films have relatively high water vapor transmission rates allowing water
21 vapor to penetrate into the module. Along with water vapor, other gases and liquid vapors are also
22 permeable such as oxygen or acetic acid [94, 95]. This has a significant impact on the occurrence and
23 progression of degradation and will be discussed further in Section 4.2.5. In contrast, glass back covers
24 are impermeable to such gases and liquid vapors and provide a much different operating environment
25 for the PV cells[96]. Such glass-to-glass packages can also employ edge seals to further prevent
26 moisture from entering the module. This type of packaging is however, much more common for
27 alternative PV technologies in which moisture is much more detrimental to the cells[97]. There is also
28 evidence to suggest a glass back cover may induce more strain within the cell interconnects affecting
29 reliability [98].
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34 **4.1.3 Encapsulant**

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36 An encapsulant, or pottant, is the material sandwiched between the front and back covers that is in
37 direct contact with the cells of the module. The role of the encapsulant is to provide protection to the
38 cells from physical, electrical, or chemical stressors during operation. Mechanically, encapsulants must
39 provide flexibility to prevent expansion or contraction of the package from applying mechanical stress to
40 the cells or interconnects while also maintaining its own mechanical integrity and adhesion to the front
41 and back covers, cells, busbars, and interconnects. Electrically, encapsulants must have high resistivity to
42 ensure proper electrical isolation of the active components. Additionally, adequate thermal conductivity
43 must be provided by the encapsulant to prevent excessive heating of the components. Because the
44 encapsulant is located directly on the top of the cell, the material must be optically transparent, provide
45 adequate optical coupling, and be UV resistant. Finally, the encapsulant must prevent corrosive or
46 destructive contaminants from reaching the cell surface.
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52 Encapsulants are typically in the form of a polymeric sheet consisting of a resin, curing agent, and other
53 various additives. Additives generally include cure accelerators, adhesion promoters and primers,
54 antioxidants, and UV stabilizers or absorbers. Upon heating the mixture cures, mechanically securing the
55 cells within the front and back cover. Because of this curing or lamination step, additional material
56 requirements are introduced for the encapsulant. The curing process should occur in a timely manner at
57 sufficiently low temperatures (less than 160 °C) to avoid stress introduced from differences in the
58 coefficients of thermal expansion for the internal components (i.e. copper interconnect ribbon and
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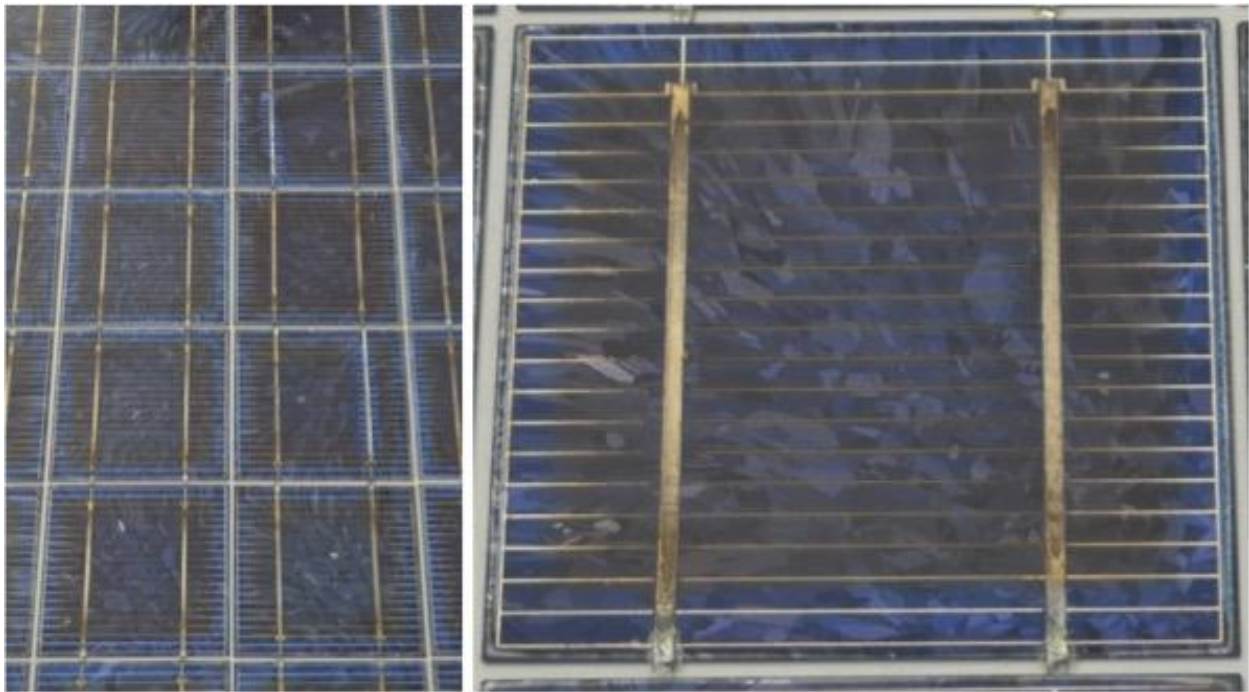
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4 silicon cell). Another factor that must be considered is the volume change due to curing. The material
5 should not shrink or expand to a point in which additional stress will be applied to the cells. Additionally,
6 the encapsulant should exhibit a relatively long shelf life, retain its desired properties after storage or
7 transportation, and cure in a repeatable and reproducible manner.
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10 In the PV industry, ethylene vinyl acetate (EVA) is the most predominantly used encapsulant with the
11 use of certain formulations dating back to the early 1980's [90, 99]. Although there are various
12 formulations that may exhibit somewhat different properties, EVA has been shown to perform all of the
13 required functions of the encapsulant [100]. Other polymers, including poly-ethylene-co-methacrylic
14 acid (ionomer), polyvinyl butyral (PVB), thermoplastic urethane (TPU), poly- α -olefin, poly-
15 dimethylsiloxane (PDMS) or other polysiloxanes (silicone) have been proposed for the same application.
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19 **4.2 Failure Modes and Degradation Mechanisms**

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21 A loss in the basic functions of the module packaging can lead to catastrophic failure of the PV module.
22 This packaging breakdown may result in complete failure of the module through electrical performance
23 loss or operational safety hazards. Generally, failure within the packaging scheme itself does not reduce
24 the electrical performance of the module. Instead, this failure results in the acceleration of a secondary
25 degradation mechanism, which the module packaging was meant to suppress. This section will review
26 the various failure modes of the module packaging materials themselves along with a discussion of
27 relevant failure modes influenced by the properties of the module packaging.
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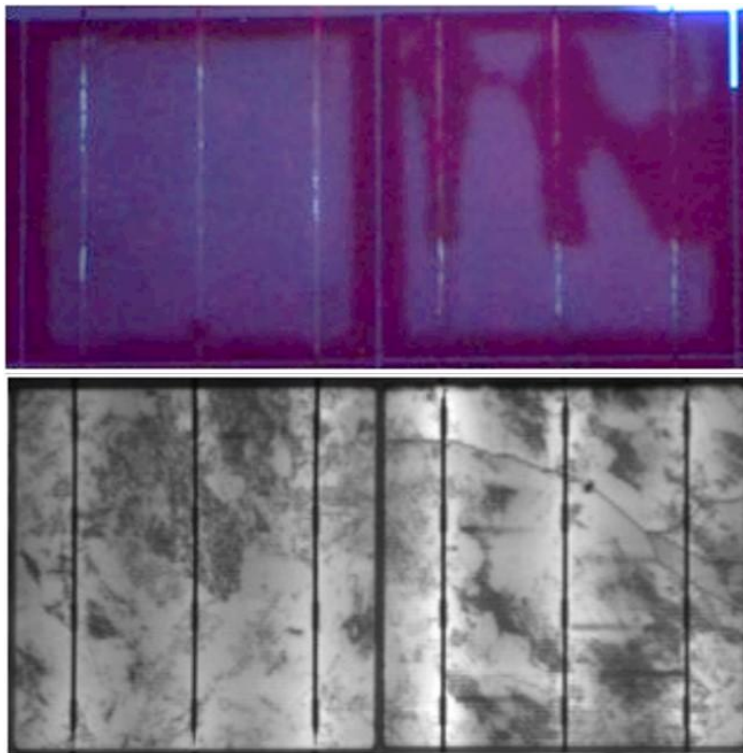
31 **4.2.1 Encapsulant Discoloration**



57 **Figure 5. Image of encapsulant discoloration above the center region of each cell in a field deployed module.**

58 Discoloration of the encapsulation materials is a visual indicator of chemical degradation within the
59 polymers. Because the encapsulant is above the cell, discoloration results in optical losses and a
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4 reduction in the light incident on the PV cell. Such discoloration of encapsulant materials, known as
5 yellowing or browning, has been linked to extended UV exposure [101]. Studies have shown that UV
6 exposure at elevated temperatures results in photochemical degradation of specific additives within the
7 EVA through the generation of chromophores [102, 103]. As aging continues, discoloration progresses
8 from light yellowing to more severe browning. In fielded modules, discoloration has been observed
9 almost exclusively above the center of the cell [104], as can also be seen in Figure 5. It was found that
10 oxidative destruction of the previously formed chromophores, known as photobleaching, occurred
11 wherever oxygen diffused into the encapsulant through the backsheet. This resulted in degraded but
12 non-discolored EVA. The occurrence of this type of degradation has been reduced significantly by careful
13 selection of EVA additives. Fluorescence imaging has been suggested as a method to detect this type
14 chemical degradation before visual discoloration takes place [105]. There is, however, little knowledge
15 of the actual chemical processes linking fluorophore (detected during fluorescent imaging) and
16 chromophore formation. Therefore, fluorophores should not be assumed to be a precursor
17 chromophore formation. In fact, fluorophores typically operate in the UV region where they could act
18 beneficially as a UV blocker or by dropping non-absorbed wavelengths down to where they could
19 produce energy in the PV cell. An example of a fluorescence image captured using a UV light source and
20 a standard optical camera is shown in figure 6.
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54 **Figure 6. (Top) Fluorescence image of two adjacent cells and (bottom) an electroluminescence image of the same two cells.**
55 **No fluorescence can be seen in the region above the crack and in between the cells due to oxidative photobleaching in these**
56 **regions.**
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4.2.2 Backsheet Degradation

Backsheet degradation is generally observed as cracking, discoloration, or inter-layer delamination [106, 107]. Unlike yellowing of the encapsulant, discoloration of the back sheet material will not directly impact module performance, as it is not within the optical path of the solar radiation. Although backsheet yellowing itself is not detrimental, it is often accompanied with other chemical or mechanical degradation of the backsheet [108]. As was the case for the encapsulant, UV exposure has also been linked to the discoloration in backsheet materials. UV degradation also causes embrittlement of the material, and often leads to cracking. UV radiation reaches the inner surface of the backsheet through the spaces in between cells, and also affects the back surface from diffuse reflection. Fluoropolymers often have very good UV-stability, so care should be taken when utilizing other polymers as the inner or outer backsheet layers. UV stability of all layers in the backsheet is recommended to ensure reliable operation.

Thermal and moisture instability has also been shown as a cause of chemical and mechanical degradation in backsheet materials. Typically this is observed as embrittlement and cracking of the backsheet [109]. Hydrolytic degradation under extended damp-heat conditions is a well-known failure mechanism for PET [110]. Failure of PET after several thousand hours in damp heat (85° C - 85% relative humidity) through a breakdown of the mechanical properties (e.g. cracking or reduction in tensile strength) has been observed by several groups [111-113]. Other work has suggested that PA and PO core layers exhibit less hydrolytic and thermal degradation than PET, and as expected most fluoropolymers show very little loss in mechanical properties after damp-heat [114]. It is important to note that Kempe and Wohlgemuth have shown, in regards to PET hydrolysis, that extended damp-heat correlates to hundreds or even thousands of years of field conditions depending on the operating environment meaning this type of degradation is irrelevant for PV applications[115]. This work emphasizes that accelerated testing can potentially lead to unnecessary over-engineering of the encapsulation, so correlation to field conditions should always be considered when evaluating a particular packaging scheme. When unstabilized polymers (e.g. non-fluoropolymers) are used as the outer layer, degradation has been observed in relatively short time-periods [116].

Delamination internally within the backsheet is another potential failure mode for backsheets [117, 118]. This is generally the result of mechanical degradation of one of the polymer layers, although it may also be a result of failure in the adhesive used between the layers. The multilayer structure is typically secured together with the use of a thin (3-6µm) adhesive layer [93, 119]. Studies have shown that delamination within the backsheet is less likely than delamination at interfaces with EVA because the adhesive fracture energy forces are much higher [88]. If however, degradation of the adhesive occurs, backsheet delamination may result. Adhesive degradation has been shown to accelerate with UV, humidity, and ammonia vapors [119, 120].

Finally, burn spots in the backsheet have also been observed in degraded modules [109, 117]. These burn spots are a result of excessive heating, typically at a cell interconnect. This is not a failure of the backsheet however; this is a failure of the cell or of the interconnection scheme. Although backsheets should be designed to withstand high temperatures in excess of 150° C, is not economically feasible to engineer backsheets to withstand extreme temperatures due to cell level failures.

4.2.3 Delamination

Delamination is one of the most documented failures in fielded PV modules [90, 109, 117, 118, 121, 122]. This can occur at any interface within the packaging including the frontsheet/encapsulant, encapsulant/cell, or encapsulant/backsheet interfaces. Delamination above the cell has a direct impact on the performance due to a reduction in incident radiation from light scattering and reflection at the exposed interface. Although not all delamination events directly influence performance, they significantly compromise the reliability of the PV module. Cell degradation and interconnect corrosion are accelerated as these delaminated interfaces become direct paths for moisture ingress within the module [123, 124]. Delamination, specifically on the backside of the cell or at the backsheet/encapsulant interface, is also a potential safety hazards as this often exposes the active circuitry and reduces the insulation resistance of the package.

There are a number of factors that influence delamination at a particular interface. When studying aged modules from harsh coastal climates, Dhere *et al.* has shown a correlation between impurity accumulation, specifically sodium and phosphorus, and a reduction in adhesion strength at the cell/EVA interface [125]. Although these modules did not exhibit delamination, other studies have confirmed that delaminated interfaces do in fact have high concentrations of impurities [122]. Furthermore, these studies identified that other driving forces in addition to elemental impurities, such as high levels of moisture and high temperatures, were required for delamination to occur [126].

The surface preparation of the glass prior to lamination can also have a significant impact on the quality and reliability of the interfacial adhesion. Depending on the type of contaminants that are to be removed, different cleaning procedures can be employed and may include mechanical processes, acid or base cleaning, ultrasonic baths, or detergent cleaning. In general, good adhesion is achieved when the glass surface exhibits a high surface energy. It was observed that even though industrial detergents resulted in lower surface energies (i.e. hydrophobic surfaces) the adhesion was equivalent to that observed in hot-acid rinses [89]. To further improve the adhesion between the glass and EVA, adhesion promoters, or primers, have been added within the EVA [127]. These primers are generally the least stable additive in EVA and can be the limiting factor in terms of the shelf life of the EVA. It may also be necessary to prepare the cell surface prior to lamination. Residual flux from the stringing and tabbing step may result in very poor adhesion to the cell. This is generally observed in the field as delamination near the cell busbars [109] as shown in figure 7.



Figure 7. Image of module with delamination of the encapsulant near the busbars on each cell.

Poor surface preparation may result in the formation of voids, or bubbles, in the encapsulant during lamination and these regions may become nucleation sites for delamination during operation. The lamination processing steps utilized during manufacturing should effectively minimize the occurrence of voids to ensure reliability. The temperature profile used during lamination is also critical in ensuring sufficient mechanical bonding of the laminate. Recent work indicates that an accelerated cooling process can improve the interfacial adhesion [128].

The mechanical properties of the encapsulant can also affect the occurrence of delamination. Encapsulants are required to have a relatively high elastic modulus to accommodate for any variations between the expansion and contraction of the cells, interconnects, or glass. A reduction in the elastic properties of the encapsulant may result in delamination in addition to increasing the stress levels within the cells and interconnects [40, 122].

4.2.4 Mechanical Failure of the Encapsulant

One typical failure mode identified in a number of field-deployed systems is broken or shattered module glass. Broken glass can pose a significant safety hazard not only from the sharp broken glass pieces, but also electrically through the loss of insulation resistance. Additionally, this provides a direct route for moisture and other contaminants to enter the module. The use of heat strengthened, tempered glass provides a significant increase in the fracture strength as compared to other non-tempered glasses. To provide assurance against this failure, the c-Si module qualification sequence, IEC 61215, includes an impact test for hail and large loads. Even with these qualification tests there may still be glass breakage in the field due to human interaction, extreme weather, or simply from thermo-mechanical forces. The use of a flexible front sheet may provide protection from this failure mode, however the optical, electrical, or permeation properties provided by the front glass may be compromised.

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4 Permanent deformation or movement of the encapsulant due to mechanical stresses, defined as creep,
5 has been identified as a potential issue when utilizing thermoplastic materials. The magnitude of creep
6 is dependent on the viscosity of the encapsulant at module operating temperatures and the stress
7 distribution within the module. A recent NREL experiment indicated that the dangers from creep have
8 been overestimated and that it may not be relevant for typical module installation environments [129].
9 It was shown that only modules with unrestrained front glass were susceptible to creep and that
10 mechanical support (i.e. module frame), or non-uniform temperature distributions, acted to resist the
11 occurrence of creep.
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15 The encapsulation also plays an important role in providing adequate mechanical support, and flexibility.
16 The elastic nature of the encapsulant is required to prevent stress build-up from the expansion and
17 contraction of the internal components within the laminate, as well as to absorb any external stress
18 from the mounting structure, or from snow or wind loads [12, 82]. It has been shown that the elastic
19 modulus significantly affects the ability of an encapsulant to protect the cell from mechanical stress
20 [130]. Since EVA exhibits a glass transition temperature within the operational range for PV modules,
21 the mechanical properties can vary significantly depending on the temperature [131]. If mechanical
22 stress is applied when the module is at sufficiently low temperatures (i.e. when the elastic modulus is
23 significantly increased) the potential for cell fracture or interconnect failure will increase which will
24 negatively impact module performance.
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30 **4.2.5 Influence of Encapsulation on Corrosion of Cells and Metallic Interconnects**

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32 One main function of the module packaging is to prevent harmful or corrosive contaminants from
33 reaching the PV cells. Corrosion, or oxidation, of the active components depends largely on the presence
34 of oxygen and water vapor [89, 103]. Therefore, it is essential that the encapsulation prevent significant
35 amounts of these reactants from reaching the cell surface, metallic gridlines or interconnects. The
36 permeation properties of water vapor and oxygen have been studied for a number of backsheets
37 materials and encapsulants [94, 132-134]. It has been shown that the permeation and diffusion
38 processes for water vapor and oxygen exhibit strong temperature dependence, even above glass
39 transition temperatures, allowing for an Arrhenius relationship to be applied. In one study it was
40 identified that PA-based backsheets exhibited a much stronger temperature dependence that resulted
41 in a comparatively lower permeability at lower temperature and comparatively higher permeability at
42 higher temperature than PET-based backsheets [94]. Because of the large temperature dependence of
43 the various encapsulant and backsheet materials, Hulsmann *et al.* recommended that materials
44 suppliers provide not only a single value for permeability and diffusivity, but include temperature
45 dependence as well.
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51 The level of moisture within a module encapsulant is governed not only by the water vapor transmission
52 rate of the backsheet and encapsulant but also, and often more dominantly, by the water vapor
53 diffusion coefficient of the materials and saturation limits of the encapsulant [133-136]. Kapur *et al.*
54 studied the properties of several encapsulants and identified that ionomer encapsulants exhibited much
55 lower diffusion coefficients than EVA and PO. This data was then utilized to predict the moisture content
56 during extended damp-heat testing (85° C - 85% relative humidity). The simulations show that at the
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4 center of a typical 15.6 x 15.6 cm cell, 1000 hours of damp heat resulted in 65 percent saturation of the
5 EVA and less than 2 percent saturation for the ionomer.
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8 Wohlgemuth and Kempe have shown, however, that extended damp-heat testing is not representative
9 of conditions experienced in the field, and testing beyond 1000 hours may result in unnecessary over-
10 engineering of the module packaging [137]. Moisture ingress simulations were carried out utilizing
11 historical weather data for a module with EVA and a typical backsheet. It was assumed that the diffusion
12 coefficient of the EVA is much less than that of the backsheet, and it was calculated that the moisture
13 content in the EVA at the back of the cell equilibrates in timescales of less than a day. Therefore, the
14 moisture content follows daily cycles of relative humidity. On the other hand, the moisture on the front
15 surface of the cell must diffuse sideways from the regions between cells and, depending on the distance
16 from the cell edge, the moisture profile will equilibrate on timescales of months or years [135, 138]. It
17 was shown that beyond the initial rise in moisture content in the EVA on the front side, the moisture
18 profile would deviate only slightly along with seasonal variations. This is due to the fact that the source
19 of moisture on the topside (i.e. the moisture content within the encapsulant at the back) fluctuates
20 throughout the day and is effectively much lower than would be observed for a steady state damp-heat
21 condition.
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27 Therefore, in regards to the properties of the backsheet, mild improvements in the water vapor
28 transmission rate are unnecessary and may in fact be detrimental as daily or seasonal temperature
29 variations may result in supersaturation, or trapping, of moisture within the encapsulant. Only once a
30 backsheet material with extremely low permeability is used, such as glass, will the moisture profile be
31 further enhanced. In this case however, moisture entering from the sides of the laminate will dominate,
32 and the properties of the edge seal will dictate the moisture content in the encapsulant[135]. The use of
33 glass-to-glass packages with edge seals, as is dominantly used for thin-film PV technologies, may be the
34 route to c-Si module lifetimes in excess of 30 years.
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38 Additional properties of the encapsulant should also be considered, as other reactive species, besides
39 water vapor and oxygen, may be present within the module. Acetic acid is a well-known by-product of
40 hydrolysis within EVA [131, 139], and will act as a catalyst in the corrosion of the cell metallization and
41 metallic interconnects. Acetic acid formation occurs in the presence of moisture, heat and UV. As
42 mentioned previously, the moisture content is generally low on the front of the cell where the majority
43 of UV radiation is present. In addition, typical EVA formulations contain UV blockers. Both of these
44 factors have significantly impeded acetic acid production and subsequent corrosion. It was also
45 generally believed that the “breathable” nature of the backsheet allowed for removal of any acetic acid
46 that was produced [139]. Recent studies have shown that as UV blockers degrade, the amount of acetic
47 acid significantly increases [140]. The same study has shown that the diffusion coefficients of acetic acid
48 in EVA are orders of magnitudes lower than for water vapor and oxygen. This has brought into question
49 the validity of whether acetic acid can actually diffuse out of the module packaging. Although more
50 research is required, the use of encapsulants that do not form acetic acid, such as PO or ionomer
51 encapsulants, may lead to improved module reliability in the long-term.
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4.2.6 Influence of Encapsulation on Potential Induced Degradation

The module encapsulation has a significant influence on the occurrence of potential induced degradation (PID) in c-Si PV modules [141]. High system voltages, resulting from serial connection of modules into strings, leads to a potential difference between the cell circuitry and the module frame. The potential difference drives current from the active components through the encapsulation to the grounded frame. This leakage current varies in response to the ambient conditions, including the module temperature and relative humidity, as the resistivity of the corresponding materials vary with temperature and absorbed moisture [142, 143]. Under wet conditions such as rain or dew formation, the leakage current increases significantly due to a reduction in the surface resistivity of the glass and a change in the potential field distribution in the module [144]. This leakage current consists of two components, an electronic current and an ionic current.

Ions, typically sodium ions originating from the soda-lime glass, are driven into the module and accumulate on the cell surface and within the cell. The observed electrical performance loss has been attributed to the presence of these ions within the cell [145]. The reader is referred to Part II of this work in which a detailed discussion of the mechanisms driving cell degradation is presented. The remaining discussion will focus on the influence of the encapsulation on the ionic transport within the packaging.

Standard safety and performance qualification requires that PV modules maintain adequate insulation resistance in both dry and wet conditions. However, when considering a module containing cells that are prone to PID, the encapsulation must adequately prevent ions, specifically sodium ions, from migrating to the cell surface in the presence of an electric field. This can be achieved by multiple methods. The first option is to eliminate the source of the sodium ions (i.e. the soda-lime front glass). This can either be accomplished through chemical treatment or by replacement with borosilicate glass, or other low-sodium containing glasses. Secondly, the encapsulant can be replaced with a high resistivity material that will reduce the overall leakage current and prevent ions from reaching the cell surface. Examples include ionomer or PO encapsulants. Recent work by Kapur *et al.* has demonstrated that incorporation of a thin-ionomer layer, between the glass and EVA, may be sufficient to prevent sodium ions from reaching the cell [146]. Lastly, surface treatment of the glass on the front side may be effective in reducing the effect of rain or condensation from significantly increasing the module leakage currents. This method may only have limited impact depending on the operation environment (e.g. desert climates), and may not completely prevent ion mobility during dry conditions.

4.3 Emerging Technologies

As the focus of the PV industry changes from reducing production costs (i.e. \$/W) to reducing the cost of energy produced (i.e. \$/kWh), an emphasis will be placed on developing a package that can withstand many years in the field. It is important to note that typical module constructions including fluoropolymer backsheets, EVA encapsulants, and low-iron soda-lime front glass have been effective in achieving module lifetimes in excess of 30 years [90]. In spite of the advances in EVA formulations over the years, EVA does continue to have properties that make it non-ideal, including variable mechanical properties with respect to temperature, relatively low resistivity, and the potential for acetic acid production. It

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4 has, however, remained the most economical choice for manufacturers and continues to provide
5 adequate performance.
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8 If the goal is to reach beyond 30 years, newer packaging schemes may be required. This may incorporate
9 encapsulants that exhibit superior mechanical properties, higher electrical resistivity, and lower water
10 vapor transmission and diffusion rates such as ionomer or PO based encapsulants. Other studies have
11 shown that a methodically matching of the backsheet and encapsulant based on their chemical
12 properties can result in effective economical solutions [132]. The best approach may even be to employ
13 a nearly hermetic seal that is provided by glass-to-glass packages and appropriate edge seals. Although
14 higher up front cost are often required for superior packaging schemes, the value gained in energy
15 production over the operational lifetime will general exceed the initial investment.
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19 In addition to improving the encapsulation properties for typical screen printed c-Si solar cells, newer
20 device architectures, such as back contact solar cells or advanced metallization schemes, may pose new
21 challenges that must be met by the module-packaging scheme.
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23 24 **4.4 Relevant Metrology Techniques**

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26 Qualification of materials prior to incorporation into the module manufacturing line is essential to
27 ensure reliability of the final product. Several techniques are available to quantify various properties of
28 the encapsulation materials. This includes methods for independent materials characterization as well
29 as characterization of the materials when laminated together within a test specimen or complete
30 module. This section will review the various techniques available for examining in-coming raw materials,
31 examining materials after lamination, and for failure analysis or degradation studies.
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34 35 **4.4.1 Chemical Characterization Techniques**

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37 Thermal analysis through differential scanning calorimetry (DSC) and thermal gravimetric analysis (TGA)
38 are typical baseline measurements performed on encapsulant materials to identify characteristic
39 temperatures associated with glass transitions, crystal melting transitions and curing/cross-linking [147-
40 152]. For EVA, and similar encapsulants, the level of cross-linking may be important for determining the
41 effectiveness of a particular lamination process. Some studies have suggested however, that the cross-
42 link density may be unimportant as the encapsulant will crosslink when deployed [129]. Along with DSC
43 several additional techniques are available to quantify the cross-link density or level of curing. These
44 include gel content test using the solvent extraction method [149, 153-156], size exclusion
45 chromatography with multi angle laser light scattering [129], viscometric detection, or rheological
46 measurements [155, 157]. Generally, these methods are destructive in nature or involve specialized
47 sample preparation that may only be useful for qualifying a potential encapsulant material or lamination
48 process prior to incorporation into the module manufacturing line. Non-destructive techniques, which
49 may be suitable for in-line evaluation of curing, include ultrasound monitoring [157] or optical
50 transmission methods [154]. Other relevant analysis techniques for encapsulants include X-ray
51 diffraction for evaluation of crystallinity [122] and the determination of water vapor transmission rate
52 and moisture diffusion coefficient [89, 132].
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4 During aging studies, several characterization techniques can be utilized to identify chemical changes
5 within the material to provide insight into the specific degradation mechanisms at work. The chemical
6 structure of the encapsulation materials including the encapsulant and backsheet can be determined
7 through Fourier transform infrared spectroscopy [155, 158-161]. Moisture ingress is often a crucial
8 parameter to monitor to assess module degradation, and can be quantified through the use of Raman
9 Spectroscopy [162-165], as well as through optical transmission/reflectance measurements [166]. As
10 discussed in Section 4.2.5, the formation of acetic acid is known to lead to corrosion within the module.
11 Typically destructive methods such as ion chromatography are used to quantify the amount of acetic
12 acid within the encapsulant [122, 140, 160]. Asaka *et al.* have recently proposed a method in which a
13 pH-sensitive fluorescent dye is introduced within the encapsulant so that fluorescent spectroscopy could
14 be used to determine the acid content non-destructively [167]. Fluorescent spectroscopy has also been
15 used to identify the formation of luminescent fluorophores, which may be linked to the chromophore
16 formation that results in EVA yellowing [100, 105, 168]. Once visual discoloration has occurred, a color
17 index is used to quantify the level of browning or yellowing [103].
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24 **4.4.2 Electrical Characterization Techniques**

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26 Electronic properties of individual materials, such as volume resistivity and dielectric strength, can be
27 measured using test specimens with known dimensions and standard electrical measurement
28 equipment. Close attention should be given to the environmental conditions, including temperature
29 and humidity, as these factors are known to affect the results.
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32 Measurements of insulation resistance can be performed on modules following the lamination process.
33 Insulation resistance is a measure of how well the module packaging insulates the active internal
34 components of the PV module and is used as pass/fail criteria within the standard qualification testing
35 sequence for crystalline silicon PV Modules (IEC 61215). Test conditions including the polarity, applied
36 voltage, duration and external ambient conditions (i.e. temperature and relative humidity) can affect
37 the measured value of insulation resistance [169]. Additional variations to this test include wet
38 insulation resistance and surface grounding with aluminum foil in order to expose flaws such as water
39 penetration or weak insulation. Long term insulation resistance testing, or high voltage bias testing, in
40 controlled environmental chambers or outdoors, has also been used as a tool to identify module
41 susceptibility to PID [39].
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46 **4.4.3 Mechanical Characterization Techniques**

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48 When investigating the use of a specific encapsulation or packaging material, the mechanical properties
49 of the individual material, both initially and after aging, must be considered. Dynamic Mechanical
50 Analysis (DMA) can be used to extract parameters such as the storage modulus and viscosity [147, 149,
51 170, 171]. A nanoindenter or durometer allows for a measurement of hardness [122, 156, 158]. Stress-
52 strain characteristics and subsequent determination of tensile strength, elastic modulus, and elongation
53 can be extracted with the use of a mechanical tension tester, or pull tester [134, 149, 158, 172, 173].
54 Additional measurements for glass specimens are also available. This includes four point bending
55 method to measure the fracture strength and grazing angle surface polarimetry to measure surface
56 stress in the glass.
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4 Once encapsulation materials are incorporated into the module, additional quantitative information
5 about the stress state of the module may be useful to evaluate a particular packaging scheme or
6 lamination process. Severe levels of stress can lead to fracture of the cell or to interconnect damage,
7 which can often be detected using electroluminescence or photoluminescence imaging. These
8 techniques, however, only identify extreme cases of stress. Measurements of laminate thickness or
9 relative distance of the front glass from an arbitrary back plane can be used to gauge the level of strain
10 within the module packaging materials. This analysis has been carried out with a series of
11 measurements using a high precision depth gauge or micrometer across the entire area of the module,
12 or through a non-contact method such as a laser surface geometry scan [174, 175]. These techniques
13 also provide a method to quantify the magnitude of encapsulant displacement, or creep, within a
14 module after aging as shown by Kempe *et al.* [129]. Further characterization of strain or
15 material/component displacement within a module can be carried out utilizing digital image correlation
16 on specially prepared samples, a technique which has been used for interconnect reliability studies
17 [176]. Finally, grazing angle surface polarimetry can also be used as a non-destructive technique to
18 quantify the surface stress in the glass following the lamination process.

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25 A quantitative measurement of the adhesion between the various interfaces within a module is one
26 potential method to estimate the durability of a specific packaging scheme against failure due to
27 delamination. Obtaining accurate and relevant adhesive measurements has however, remained a
28 challenge within the PV community. For new or aged modules, only a few methods are available. These
29 include the peel test and torsional extraction method, both of which are destructive in nature [125, 126,
30 177, 178]. These methods are generally referred to as qualitative, due to the occurrence of viscoelastic
31 deformation that occurs during the measurement, and can only provide a relative value of engineering
32 adhesion strength. These techniques can provide valuable insight during accelerated aging studies;
33 however, comparative studies between dissimilar materials are often convoluted due to variations in
34 the amount of work done to cause plastic deformation.

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39 Although measurements on actual modules are often preferred, several measurement techniques are
40 available for specially prepared samples that can provide very accurate adhesion results. These
41 techniques include the wedge test, double cantilever beam method, single cantilever beam method,
42 four point bend method, compressive shear tests, lap shear test, and blister tests [179, 180]. An
43 exhaustive literature survey including the details and limitations of each technique is beyond the scope
44 of this paper, however, recent work by Novoa *et al.* should be noted for its potential implication in
45 lifetime predictions [181]. With the use of cantilever based methods and controlled displacement,
46 fracture mechanics was applied in order to extract the debonding energy at interfaces within the
47 backsheet. With the ability to accurately measure extremely low debond/crack growth rates down to 10^{-8}
48 m/s, the results are much more representative of conditions and delamination mechanisms observed
49 during PV module deployment. With additional studies that focus on the impact of environmental
50 stresses on debonding kinetics, it may be possible to model and predict, based on the operating
51 conditions, when delamination will occur within a module for a specific set of encapsulation materials.
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4.5 Gap Analysis

The metrology techniques discussed in the previous section allow for qualitative or quantitative analysis of the various properties of the module or individual encapsulation materials. It is important to note that a single test or metrology technique is rarely sufficient to ensure a reliable product. Therefore, there is a need for a comprehensive set of metrology techniques for materials qualification/quality control, process qualification, and in-line process control in order to provide confidence in the durability of the final PV module. Fortunately, several groups are working toward this goal of identifying relevant quality assurance tests and protocols [182, 183].

There is a significant interest within the industry to improve light transmission into the cell in order to increase the short circuit current of the device. One approach to accomplish this is to provide an anti-reflective coating on the front surface of the glass [184]. There is, however, very little literature on the reliability of this coating and how it might impact module performance in the long-term. Additional studies, beyond the initial performance of anti-reflective coated glass, are required to better quantify and predict the long-term reliability of these coating.

Although there are several techniques discussed in Section 4.4.3 used to evaluate interfacial adhesion, there is no consensus on which methodology may provide the most valuable results. The critical parameter required to quantify interfacial delamination is the fracture energy in units of J/m^2 . Due to inaccuracies introduced from elastic deformation and geometrical considerations, several methods provide only qualitative results. Additional complications arise when considering the variety of methods used for surface preparation and the need to prepare specialized samples. There is a need for test methodologies that can identify the fracture, or debonding, energy at a particular interface, which could ideally be applied to actual modules. Furthermore, an understanding of the minimum amount of adhesion require for reliable operation is also required.

5. Integration of Junction Box and Bypass Diode(s)

Crystalline silicon PV modules consist of several cells serially connected within a module. Following the stringing, tabbing, and lamination of these cells into a module configuration, a junction box is attached. The junction box is where string interconnects are converted to module leads and bypass diodes are usually incorporated in the electrical configuration of the module circuitry. Some module designs have incorporated bypass diode within the encapsulation, however this is generally not the standard configuration. General requirements for the junction box are that it must adhere well to the module, provide sufficient electrical insulation from internal components, and prevent the penetration of moisture. Requirements of internal components and materials of the junction box are that they provide sufficient electrical insulation to prevent arcing between leads, withstand relatively high operating temperatures, provide adequate heat dissipation, and remain mechanically secured.

5.1 Junction Box

5.1.1 Background

PV module junction boxes perform the critical functions of connecting the internal cell circuitry to an external cable connector and enclosing the protective bypass diodes. PV module junction boxes are

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4 typically made from Noryl, a polymer blend of polyphenylene oxide and polystyrene, or Xyron, a
5 copolymer of modified polyphenylene ether and polystyrene [185] . The junction box is adhered to the
6 module backsheet using an adhesive foam tape, adhesive polymer, or a hot-melt polymer. The junction
7 box must provide sufficient protection from moisture to avoid corrosion of internal components. A
8 pottant could be used within the junction box, after all electrical connection have been made, to further
9 protect the internal components. For protection of the internal electrical connections from external
10 mechanical stress, UL requires the use of a strain relief for the exiting cables. Breakdown of the basic
11 functions of a PV module junction box will lead to catastrophic failure of the PV module through
12 excessive heating and/or arcing [186] . Due to economic constraints and the ever growing need to
13 reduce manufacturing costs, it is important that the quality and functionality of the junction box is not
14 compromised. Therefore, extensive qualification testing of new junction box designs and internal
15 components is recommended in order to avoid premature failure of PV modules in the field.
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21 **5.1.2 Failure Modes**

22 **5.1.2.1 Junction box delamination**

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24 If the adhesion between the junction box and the backsheet degrades over time, the potential for
25 detachment of the junction box increases. Once the junction box detaches, problems such as electrical
26 shorting, ground faults, or corrosion may occur. It is essential that the junction box remains in place
27 over the service lifetime of the module, which may include extreme weather conditions such as high
28 temperatures, high humidity, rain and snow. Miller *et al.* proposed a potential qualification test, in
29 which weight was applied to the junction box during extended damp heat conditions [185]. It was
30 determined that the materials systems used, including the junction box, adhesive and substrate, must
31 be compatible in order to provide sufficient adhesive strength over time.
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37 **5.1.2.2 Arc Fault**

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39 Within a PV system, parallel or series type of arc faults are possible. A parallel arc can occur between a
40 point in the PV module array and ground, or between two current carrying components with opposite
41 polarities. A series arc occurs when there is an open circuit in the PV module string. Both situations pose
42 serious safety concerns and create potential fire hazards [187]. Within a junction box, potential for
43 series and parallel type arcing exists. Poor solder joints between busbars and module connector leads,
44 failed bypass diodes, corroded electrical contacts or degraded electrical insulation are all potential
45 mechanisms that may result in open circuit conditions and lead to the occurrence of arcing within the
46 junction box. In order to avoid open circuit conditions, Wohlgemuth recommended designing modules
47 so that multiple failures were required in order for open circuit condition to occur[188]. This includes
48 the use of redundant electrical connections involving multiple solder points or the use of a mechanical
49 support along with the solder joint. Mechanical press fit contacts are known to be less robust than
50 soldered connections and can lead to infant mortality caused by arcing and melting within the junction
51 box [189]. The thermal design of the junction box should also be considered to prevent overheating of
52 electrical insulation, as well as to limit the maximum operating temperature of the bypass diode. This is
53 because both degraded electrical insulation and failed bypass diodes are potential sources of arc faults.
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4 Because bypass diodes also provide protection against series arcing, it is recommended that all bypass
5 diodes be verified as operational prior to leaving the manufacturing facility.
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7 8 **5.2 Bypass Diode** 9

10 **5.2.1 Background** 11

12 Ideally, a PV module in the field would consist of solar cells generating identical current throughout the
13 entire working lifetime of the module. Practically, however, situations such as electrical mismatching of
14 cells, partial shading, damage, or degradation can lead to conditions where certain cells generate less
15 current than other cells within the module. Not only does this condition lead to a reduction in power
16 output, it can result in the dissipation of power in the form of heat within the under-producing cells. This
17 leads to safety and reliability concerns for the PV system. These local hot-spots, caused by reverse
18 biasing of individual cells, can lead to further damage of the under-producing cells or may induce
19 thermal degradation of other module components and materials. The heat produced from these hot
20 spots can exceed 150 °C and may result in damaged cell interconnects, shunting of solar cell junction
21 and/or degradation of module packaging materials [190]. This condition must be considered in the
22 design of the module to avoid catastrophic failure. Bypass diodes are used to prevent the described
23 scenarios from resulting in irreversible damage to the module. Additionally, bypass diodes provide a
24 limited protection against series arcs by limiting the arcing voltages to 10–20 V [191].
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30 **5.2.2 Configuration of Bypass Diodes** 31

32 During the module interconnection process several cells are connected in series to form individual
33 strings. Each string is individually routed to the junction box to allow for the incorporation of bypass
34 diodes. The module layout determines the number of cells in a string thereby determining the number
35 of cells connected across a single bypass diode. Typically, one diode or two diodes in parallel are used
36 for a single string of cells depending on the rated current of the diodes. In general, bypass diodes are
37 used per every 12 – 24 cells [191]. For example, if a manufacturer included three bypass diodes per
38 module, for a 60 cell module there would be one diode for each string of 20 solar cells and in a 72 cell
39 module there would be one diode for every 24 cells [192, 193]. .
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44 **5.2.3 Ideal Diode Electrical Properties** 45

46 The normal mode of operation for a bypass diode is in the reverse bias condition. In this case it is ideal
47 that the diode has extremely low leakage current as to not affect the performance of the PV module or
48 unnecessarily heat the diode itself. Therefore, the reverse breakdown voltage of the diode is required to
49 be much higher than the voltage of the cell strings. For operating conditions when a module or cell is
50 partially shaded, the diode will be in the forward bias condition. In this condition it is desirable for the
51 power loss to be minimal [194].
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54 Originally, P-N junction diodes were used as bypass diodes. These diodes had reverse breakdown
55 voltages as high as 100 V with very low leakage currents and minimal power dissipation during normal
56 operation. During forward bias conditions P-N junction diodes suffer from high turn on voltages of
57 around 1 V. As the wafer size used for solar cells increased, the short circuit current of the module also
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4 increased, resulting in excessive power dissipation and heating in the P-N junction diodes. Therefore,
5 the PV industry adopted Schottky diodes that exhibit lower turn on voltages and lower power
6 dissipation when forward biased. Schottky diodes, on the other hand, suffer from relatively high leakage
7 currents when reverse biased.
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10 In an event of sudden transition from shaded to unshaded, the diode goes from forward bias to reverse
11 bias. In this case, it is required that the power consumption in the reverse bias be less than the power
12 consumption in forward bias to prevent thermal runaway.
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15 Bypass diodes must also be able to withstand extreme conditions such as overvoltage spikes. These
16 spikes may occur during module assembly caused by electrostatic discharge (ESD), during system
17 installation, or during field operation due to nearby lightning strikes [10].
18

19 Finally, if a bypass diode does fail in the field it is ideal that the diode fail in the short circuit rather than
20 open circuit. This condition will reduce the power output from the PV system, but will prevent any
21 further damage to the cells or to the PV system.
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23

24 **5.2.4 Failure Modes**

25 **5.2.4.3 Electrostatic Discharge (ESD)**

26 Electrostatic Discharge (ESD) is a phenomenon that occurs when there is a sudden, intense amount of
27 current exchanged between two objects. ESD incidents generally involve a spark that can be seen or
28 heard, with lightning being the most extreme example. There is however, ESD that occurs below human
29 perception, which can be detrimental to sensitive electron components [195]. The environment in which
30 the object is handled determines the extent of ESD. Most ESD sensitive components, including most
31 semiconductor-based electronic devices, are assembled in an environment that is designed to contain
32 ESD within acceptable limits by employing standards such as ESD 20:20 or IEC 61340-5-1. Precautions
33 include grounding of all conductive materials, installing equipment on conductive floor mats, requiring
34 grounded wrist straps for employees, and maintaining higher humidity environments. These precautions
35 are followed in almost all electronics manufacturing facilities.
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38 Within a PV module there are no materials or components, with the exception of the bypass diode,
39 which are sensitive to ESD. This may lead to the easing of well-known ESD precautions within module
40 manufacturing facilities [196]. Individual diodes and complete junction boxes undergo testing, including
41 IEC 61000-4-2 or JESD22-A114D-HBM, to determine susceptibility to ESD according to various models
42 [12]. These qualification tests conclude whether the electronic properties of devices can withstand an
43 ESD event up to a certain voltage. However, latent failures can be induced by ESD events with voltage
44 spikes well below the maximum ESD tolerance limit of the device. These latent failures in bypass diodes
45 could ultimately lead to premature failure of the PV module [197]. Therefore, ESD precautions are
46 recommended within the manufacturing facility so as not to affect the long-term reliability of the diode.
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49 **5.2.4.4 Mechanical Damage**

50 During junction box assembly, the electrical leads of the diode may require mechanical manipulation
51 prior to being inserted within the electronic housing, depending on the geometry and configuration. The
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4 method by which each lead is adjusted can have a significant impact on the integrity of the device.
5 Improper bending can lead to mechanical stress on the die [14]. This mechanical stress, along with high
6 temperatures or thermal cycling, can degrade electrical properties of the diodes and lead to field
7 failures.
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10 **5.2.4.5 Thermal Runaway**

11
12 In a semiconductor device, thermal runaway occurs when the current flowing through the device
13 exceeds a certain critical point. At this point the temperature of the device increases excessively,
14 resulting in more available charge carriers and higher currents. These higher currents in turn further
15 heat the device until catastrophic failure occurs due to thermal damage. Within a diode this failure
16 occurs when the rate of power generation exceeds the cooling capabilities of the system [198].
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19
20 Bypass diodes may experience this behavior in the field when rapidly returning to reverse bias from a
21 high temperature forward bias state. Figure 8 (a) depicts a diode in the reverse bias state within an
22 unshaded module. In a typical bypass diode, the reverse leakage current is negligible and the diode
23 operates at the ambient temperature within the junction box. When the sub-string of cells
24 corresponding to the diode is shaded, the diode becomes forward biased and the current generated by
25 the rest of the sub-strings begins to flow through the diode. This continuously increases the
26 temperature of the diode until thermal equilibrium is reached as represented by Figure 8 (b). When the
27 shading is quickly removed, the diode returns to the reverse bias state, while its temperature remains
28 the elevated as represented in Figure 8 (c). This elevated temperature results in an increase in the
29 reverse leakage current through the diode compared to the original state. If the power dissipation in the
30 diode is greater than the heat dissipation allowed by the thermal design of the diode and junction box,
31 the temperature of the diode increases further. As the temperature increases, the leakage current also
32 increases resulting in thermal runaway behavior that ends in diode failure as represented in Figure 8 (d).
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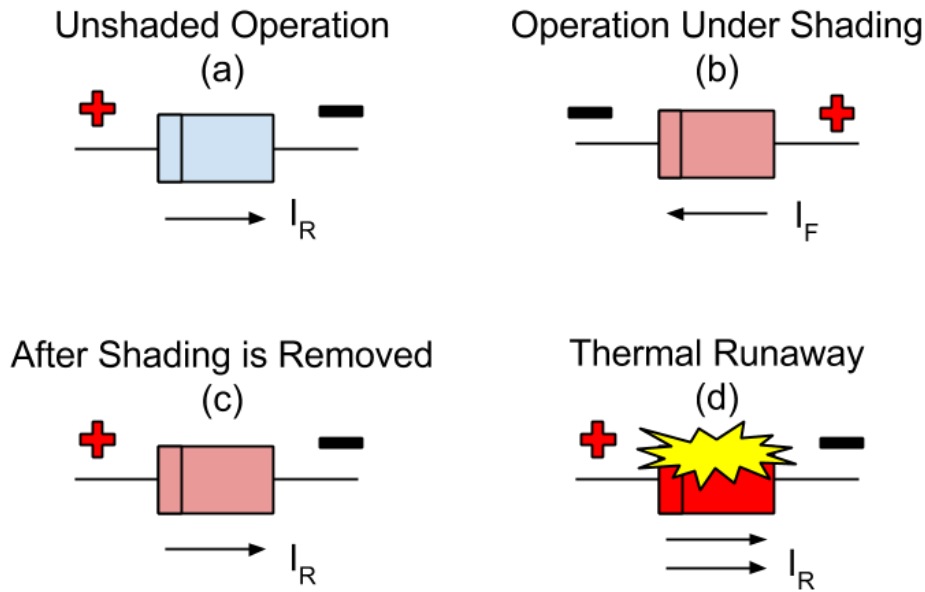


Figure 8. Diagram depicting a thermal runaway event within a bypass diode in sequence (a)-(d). Arrows below each figure represent the direction of current flow within the diode and the number of arrows represents the relative magnitude. The color of the diode represents the relative temperature; blue represents ambient temperature, light red represents elevated temperatures, and dark red represents excessive temperatures.

A test was carried out by Posbic *et al.* in which bypass diodes were forward biased between 12-15 A to heat up the diode to various temperatures up to 150°C [193]. At the given temperature, the devices were reversed biased and the leakage current characteristics were recorded. It was found that for various diode types, excessive leakage currents were observed and generally led to failures in the open circuit condition. A recommendation was provided to include a similar test in the qualification testing of PV module bypass diodes.

The junction box design, including the shape, layout and materials, has a significant effect on the operating temperature of the diodes. Uchida *et al.* determined that for a typical junction box in which three diodes are used, the center diode temperature was affected by the adjacent diodes, resulting in an increased operating temperature [199]. Experiments were carried out within an environmental chamber, in which after application of a forward current, a reverse voltage was applied to the diode. The test was carried out in steps of increased forward current, reverse voltage and environmental chamber temperature to identify at which point thermal runaway and diode failure occurs for various junction box designs. It was determined that the junction box shape and use of potting affected when the failure occurred. Additionally, in all cases the center diode failed earlier than the outer diodes. Recently, a theoretical framework to predict the vulnerability of bypass diodes to thermal runaway based on the parameters derived from the manufacturers datasheet has been proposed [143].

5.2.4.6 Thermal Fatigue

For a module experiencing extended hot-spot conditions in the field, the bypass diode will be continuously in the forward bias condition. This condition may lead to excessive heating beyond the rated junction temperature of the diode. The IEC 61215 bypass diode test requires the diode junction

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4 temperature to be less than the rated maximum junction temperature in controlled operating
5 conditions. TamizhMani *et al.* reported on the temperatures commonly observed during this test, as
6 well as the alarming rate of failures due to excessively high diode junction temperatures [200]. Even for
7 modules that passed this test, the actual diode junction temperature was very close to the rated
8 maximum junction temperature, commonly reaching temperatures between 150 to 200°C. This raises
9 concerns for the long-term reliability of these silicon-based diodes as they experience similar conditions
10 repeatedly in the field, even after passing IEC qualification.

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14 Studies to evaluate the effect of junction box designs on the performance of diodes under extended
15 forward bias conditions were carried out at NREL [201]. Several junction box designs and diodes were
16 used in this testing. Two thermal conditions were applied including long-term high temperatures, and
17 thermal cycling. When these conditions were supplemented with forward biasing of the diode,
18 representing a hot-spot condition, degradation of the diodes was observed for junction boxes with poor
19 heat dissipation. For the high temperature endurance testing no diodes failed, however some diodes
20 experienced increased forward turn-on voltages. Thermal cycling, with an applied forward current, led
21 to the failure of several diodes and increased reverse leakage currents in other diodes. It was seen that
22 the junction box design had a tremendous effect on the results of this testing as at least one junction
23 box type resulted in no degradation of the diodes for each test.

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28 The results of the tests for thermal fatigue and thermal runaway confirm the requirement of a junction
29 box designed to allow for adequate heat dissipation. The location, layout and size of the junction box
30 along with thermal conductance of the materials, including the potting, should all be considered in the
31 design of a PV module junction box.

32 33 34 35 **5.3 Relevant Metrology Techniques**

36 37 **5.3.1 Thermal Characterization Techniques**

38
39 Forward biased, or activated, bypass diodes operate at relatively high temperatures, and can be
40 identified in fielded modules with the use of an infrared camera [70, 202]. Although it may be difficult to
41 accurately determine the diode operating temperature through simple thermal imaging, this can be
42 used as a tool to identify damaged or underperforming modules.

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44
45 Identifying the operating temperature of a diode is critical to ensure reliable operation when in the field.
46 The bypass diode test within the IEC 61215 qualification sequence is required to ensure that the
47 maximum operating temperature, evaluated at an ambient of 75°C and a forward current of 1.25 I_{sc}, is
48 less than the rated junction temperature of the bypass diode. There are two methods available to
49 determine the junction temperature of the diode.

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51
52 The first method is the T_{lead} method. In this method the temperature at the leads of the diode (T_{lead}),
53 the forward current passing through the diode (I_F) and the forward voltage drop across the diode (V_F)
54 is experimentally measured and the junction temperature (T_J) is calculated from following formula:

$$55$$
$$56 \quad T_J = T_{lead} + R_{th} \times I_F \times V_F$$

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4 where $R_{th(J-L)}$ is thermal resistance of the diode from junction to lead, as provided by the
5 manufacturer.
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8 The second method involves extraction of the temperature data from the forward voltage versus
9 junction temperature curve, generally provided on the diode datasheet. This technique requires a
10 measurement of the forward voltage of the diode at a specific current. Typically the forward voltage of
11 the Schottky diodes reduces linearly as the junction temperature is increased. The junction temperature
12 can be extracted from data specifying the junction temperature as a function of forward voltage at a
13 given current, which is often provided by the manufacturer.
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16 It has been reported that the T_{lead} method is prone to errors introduced from variations in thermal
17 resistance of the diode [199]. Some diode manufacturers provide the thermal resistance of diode
18 junction to case, instead of diode junction to lead. Therefore, in this case it would be necessary to attach
19 temperature sensors (e.g. thermocouples) on the diode case to estimate the junction temperature.
20 However, the diode case is made of thermally insulating material, and attaching temperature sensors to
21 case can introduce additional errors. Additionally, the measured junction temperature using T_{lead}
22 method was shown to be less than the temperature measured by V_F-T_J method [199]. Therefore, the V_F-
23 T_J method should be preferred whenever the forward voltage versus junction temperature data is
24 available.
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29 **5.3.2 Electrical Characterization Techniques**

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31 The current-voltage characteristics of the diode are measured to calculate the important diode
32 parameters such as forward voltage (V_F), forward current (I_F), reverse voltage (V_R) and reverse leakage
33 current (I_R). The reverse breakdown voltage (V_{Br}) is defined as the minimum reverse voltage, V_R at which
34 the diode breaks down. Although this data is often provided on the datasheet provided from the
35 manufacturer, each specific diode will have some deviation from the specified characteristics. It may be
36 beneficial to verify the performance of a representative sample of diodes when evaluating a new diode
37 type or manufacturer.
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41 In a given sample of diodes undergoing accelerated testing, the distribution of V_F , and I_R can be
42 monitored before, during and after the testing in order to study the statistical changes in the diode
43 parameters. These types of experiments can provide information about progression of degradation in
44 diodes.
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46

47 **5.3.3 Techniques for Identification of Failed Bypass Diodes**

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49 Diode failure may occur during the diode manufacturing, module assembly, or during fielded operation.
50 Several techniques are available to detect a failed bypass diode.
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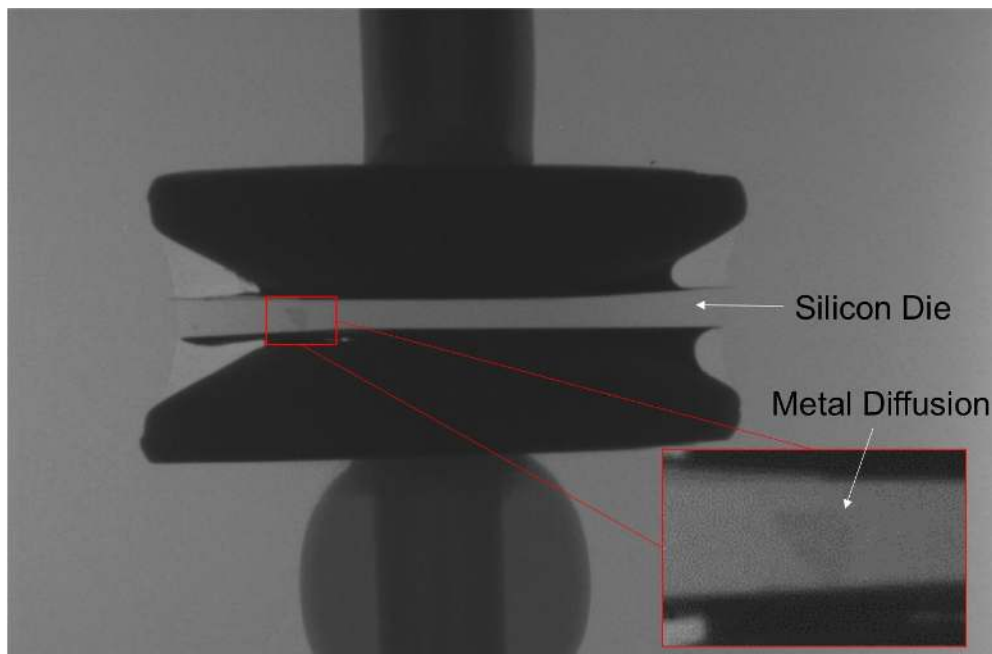
53 Prior to leaving the module manufacturing facility, a failed diode can be identified in several ways
54 depending on whether the failure is in the short circuit or open circuit condition. During module quality
55 control, a significant reduction in the power observed in the I-V characteristics, or a dark string of cells
56 observed in electroluminescence imaging can be attributed to a short-circuited bypass diode. A failed
57 diode can also be identified directly by passing a forward current through the diode and measuring the
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4 voltage. A zero or near zero voltage would indicate a short circuit failure while an open circuit would
5 identify an open circuit failure.
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8 In the field, failed diodes can be identified by taking I-V curves of a PV module measured while
9 sequentially shading each string of cells. Open circuit failures will be identified by no power output,
10 while operational bypass diodes will only reduce the power output [18]. Short circuit failures or active
11 diodes will be identified during an unshaded I-V measurement.
12

13
14 A non-contact voltage tester, known as a cell line checker, can also be used to detect open or short
15 circuit failures in bypass diodes in field deployed modules. Short circuit failures in bypass diodes are easy
16 to detect as they typically result in loss of one-third power in commercial 60-cell modules with three
17 bypass diodes. This device can be specifically used for detecting open circuit failures in bypass diodes.
18 The process involves attachment of a transmitter to the module leads and checking the response by
19 scanning the receiver along the module interconnects under conditions of partial shading. When a string
20 of cells inside the module is partially shaded and the diode is working properly, the current is bypassed
21 through the diode. In this scenario the receiver will show no response as it is scanned along the module
22 interconnects of that string. In case of a failed bypass diode in the open circuit condition, the current
23 cannot be bypassed, and the receiver detects current flow through the shaded string.
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28 X-ray imaging is a non-destructive technique that can be utilized to perform root-cause failure analysis
29 on diodes. The transparency of elements to X-rays reduces with increase in atomic mass. Therefore, the
30 silicon die appears transparent while the metallic components within a diode appear opaque in an X-ray
31 image. Figure 9 shows an X-ray image of a diode failed by thermal runaway. In this case it appears, that
32 the high temperature led to metal diffusion inside the silicon die as shown in the inset.
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57 **Figure 9. X-ray image of a failed bypass diode highlighting a region in which metal is present within the silicon die.**
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5.4 Emerging Technologies

When maximum power point tracking (MPPT) is performed at the string level using a string inverter, a single shaded module can significantly reduce the power output of the entire string. There is potential for significant improvement in system energy yield if MPPT is carried out at the module level. Module level power electronics (MLPE) refers to devices such as power optimizers and micro-inverters that carry out MPPT at module level [203]. MLPE devices are becoming more popular due to increased energy yield and system reliability as compared to string inverters. They also provide the added benefits of ease of installation and improved safety. “AC Modules” with integrated power electronics are also being proposed to further reduce the costs and time associated with installation [204]. Smart or active bypass diodes with significantly lower forward voltage drop than Schottky diodes are also being developed [205]. These devices may become more common due to their ability to reduce power loss during events of shading and ease of integration into the module laminate.

The success of MLPE or active bypass diode technologies will depend on their long-term reliability. Currently there is no internationally accepted test standard for reliability testing of MLPE devices and inverters due to large variation in their power rating, operating temperature and other performance specifications. Even if the modules with integrated active bypass diodes pass the IEC 61215 qualification test sequence, there is limited field data available about their long-term performance. Integration of complex power electronics comprising of several components inside the PV module may result in reduced overall reliability of the PV module itself. Wherever the MLPE device is externally attached to the module, in case of failure, the device can be replaced independently. However the replacement of a failed device could be difficult in case of integrated MLPE, and the whole PV module may need to be replaced.

There is a need for the development of test standards that evaluate the long-term reliability testing of MLPE devices. Even before a consensus on the test standard is reached, the reliability of MLPE devices can be improved by replacing the components with known higher failure rates, such as electrolytic capacitors, with components known to have lower failure rates such as ceramic capacitors, film capacitors and inductors, wherever applicable.

5.5 Gap Analysis

In general, characterization and detection of bypass diode failures is often difficult and rarely carried out. Currently, there are no methods to monitor the progress of degradation in the bypass diodes in field-deployed modules. Therefore, only catastrophic failures (e.g. degradation of module packaging materials due to excessive heating) are detected and reported. New techniques are needed to detect latent failures in bypass diodes as well as to efficiently detect problems with bypass diodes in field deployed modules. Qualification of diodes, based on avoiding failures such as thermal runaway, should be implemented to avoid premature failure in the field. Additionally, a test protocol is necessary to ensure the bypass diodes in the modules are working before modules are shipped from the manufacturing facility.

The bypass diode test and other qualification tests, such as ESD test, are designed for reliability testing of the systems, and not individual components. This means, a good diode can fail if enclosed in a

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4 junction box with poor thermal design. It would be useful to have a quantitative measure for the
5 thermal resistance of the junction box so as to rate the junction boxes according to their heat dissipation
6 capabilities. Similarly, a good diode can be rendered useless due to poor electrical connection within a
7 junction box. Testing and qualification is needed for both individual components and integrated systems
8 to ensure adequate reliability.
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10 11 **6. Summary**

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13 The operational lifetime of a PV system is a key factor when evaluating the economics of solar energy
14 production. The longer a system operates, the cheaper the overall cost of energy produced.
15 Performance of PV modules reduces over time due to one or more degradation modes. Along with loss
16 of energy generation, certain degradation modes can also pose a significant safety hazard [206].
17 Operational hazards in photovoltaic system are typically a result of electrical faults due to exposed
18 circuitry, or degradation of materials responsible for electrical isolation. In extreme cases, fires can
19 result from failure of the particular components [187]. The failure modes and degradation mechanisms
20 discussed in this paper can be classified by their impact on the system as either a loss of performance,
21 an operational safety hazard or both.
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23
24 By understanding the underlying physical and chemical mechanism driving degradation, manufacturers
25 can produce PV modules that last longer and perform better. Each process step has an impact on the
26 long-term reliability and durability of the PV module. Metrology can play a crucial role in validating and
27 maintaining high quality production in regards to both performance and reliability. A summary
28 highlighting the key takeaways from each section is provided below.
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33 34 **6.1 Stringing and Tabbing**

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36 Several different failure modes can develop during or as a result of the cell interconnection processes.
37 The failure modes identified are microcrack formation and cell fracture, solder bond failure, ribbon or
38 interconnect failure, and corrosion. Microcracks, which may lead to cell fracture and increased module
39 series resistance, can develop due to stresses caused by differences in coefficient of thermal expansion
40 or applied pressure from soldering. Methods to avoid cell damage during soldering include using a low
41 yield strength ribbon to allow for expansion during cooling and simultaneous stringing and tabbing
42 reducing the thermal stress induced from the two soldering processes. Increased resistance also results
43 from solder bond failure, which can be a result of poor solderability of the cell metallization,
44 incompatible solder metal alloys, inappropriately sized solder joints, or metal diffusion from the solder.
45 Ribbons can fail as a result of thermally-driven stresses, resulting in increased resistance and current
46 crowding. Corrosion, although driven generally by moisture and other contaminants within the
47 laminate, has also been linked to the laminate conductivity and both negative and positive biases during
48 operation.
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51 A number of metrology techniques including methods for individual component as well entire modules
52 have been used to characterize and predict durability issues during the stringing and tabbing process
53 step. Interconnect degradation can be determined through measurements of module series resistance,
54 which can be measured using illuminated or dark I-V curves, a cell line checker tool in the field, or
55 qualitatively through electroluminescence imaging. Electroluminescence imaging has also been used to
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4 identify grid-finger failures, cell fracture, and interconnect failure. Infrared thermography, both steady
5 sate and lock-in, can be used to evaluate hot spots that form due to weak or failed solder bonds
6 resulting in Joule heating of the solder ribbon. Additionally, thermal cycling can be used as a method to
7 stress solder bonds and interconnects, to screen modules for issues related to thermal expansion.
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10 There are also several component level tests that can be used to quantify properties of materials used
11 and determine the quality of solder bonds or interconnects. The peel test can be used to determine the
12 adhesion between the cell interconnect and the silicon substrate. The pull test can be used to determine
13 the maximum stress level before failure. Dynamic mechanical analysis is useful in determining ribbon
14 cycle lifetime. Information about solder bond morphology and microstructure can be obtained through
15 electron microscopy techniques.
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19 **6.2 Lamination**

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21 Lamination is the process of encapsulating interconnected cells to provide mechanical support, offer
22 protection from environmental stresses, and ensure safe and reliable operation. A typical packaging
23 scheme, or laminate, utilizes a glass front cover, multilayer polymer backsheet, and internal encapsulant
24 such as EVA. Failure of the fundamental functions of the module packaging can lead to safety hazards,
25 degradation of internal components or complete failure of the module. The failure modes identified for
26 the module packaging itself were discoloration, delamination, mechanical failure, and backsheet
27 degradation. The laminate also has a significant influence on degradation mechanisms of the active
28 internal components including potential induced degradation within the cell and corrosion of the cell
29 interconnects, metallic front or rear contacts, and cell antireflection coatings.
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34 Metrology techniques were discussed which focused on methods for extracting properties of raw
35 materials, for understanding materials interactions after lamination, and for failure analysis or aging
36 studies. Methods for extraction of various properties of the encapsulation materials have been
37 identified that include: chemical analysis for determination of critical glass transition temperatures,
38 curing temperatures, level of cross-linking or curing, chemical structure, morphology, impurity content
39 (e.g. moisture, acetic acid), and moisture/oxygen transmission and diffusion rates; mechanical analysis
40 for determination of hardness, storage modulus, elastic modulus, viscosity, tensile strength, fracture
41 strength, glass surface stress, and laminate stress state; electrical analysis for volume resistivity,
42 dielectric strength, and module insulation resistance; and finally interfacial adhesion analysis through
43 various adhesive strength measurement techniques. There is a wide range of techniques available,
44 however a comprehensive series of tests or thorough quality assurance protocol is required to ensure
45 materials and lamination processes being used will provide adequate performance over the operational
46 life-time of the module. There are several groups working to identify the key metrics required to
47 evaluate potential materials or processing conditions prior to incorporation into the lamination step of
48 the module manufacturing line.
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55 **6.3 Junction Box and Bypass Diode**

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57 Breakdown of the basic functionality of the module junction box and protective bypass diodes can have
58 a significant impact on the reliability and durability of the PV module. Junction box delamination can
59 result in electrical shorting, ground faults, or corrosion. Series arcing within the junction box is also a
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4 potential result when there is an open circuit condition between two nearby points. This can be caused
5 by poor solder joints between string interconnects or module connector leads, failure of bypass diodes,
6 corrosion of electrical contacts, or degradation of electrical insulation. There are a number of
7 mechanisms that result in bypass diode failure, which include electrostatic discharge (ESD), thermal
8 runaway, and thermal fatigue. Bypass diodes are sensitive to ESD, which can occur within a module
9 manufacturing facility and lead to premature failure of a PV module if proper ESD precautions are not
10 taken. The properties of the diode must also be considered, including the current-voltage characteristics
11 and junction operating temperature, to avoid thermal runaway when the diode rapidly returns to
12 reverse bias from a high temperature forward bias state and to avoid thermal fatigue if extended hot-
13 spot conditions occur in the field. In the field, the operating condition of bypass diodes can be identified
14 (including identification of failed diodes), through the use of infrared thermography, module current-
15 voltage measurements, or with a non-contact voltage tester (i.e. diode checker).
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21 Until only recently, reports on the field performance and reliability of bypass diodes have been missing
22 from the literature. Several techniques have been discussed that allow one to monitor the functionality
23 of bypass diodes in order to prevent catastrophic failure of the module through overheating or arcing.
24 Additionally, new techniques to monitor the performance of bypass diodes in the field, prior to failure,
25 need to be developed. There is also a need to qualify the use of diodes based on their resistance to
26 failure as well as their compatibility with the junction box system and module electrical characteristics.
27 In general, testing of individual components and materials along with evaluation of the entire system is
28 essential to ensure reliable performance of c-Si PV modules.
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32 **7. Conclusion**

33
34 This article provided an overview of the processing steps involved in manufacturing c-Si PV modules,
35 which included stringing and tabbing, lamination, and junction box and bypass diode(s) integration. The
36 key aspects of processing were discussed with a focus on identifying the issues impacting module
37 reliability and durability. Fundamental failure modes and mechanisms resulting from each step in the
38 module fabrication process were discussed and relevant metrology techniques were identified. A
39 comprehensive summary of metrology techniques is provided in Table 1 to help guide the reader to
40 relevant literature for a particular application.
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44 The goal of module manufacturing is to incorporate finished c-Si solar cells into a functional package
45 that allows for rapid deployment and reliable operation. Each processing step and material used during
46 this process can impact the reliability and durability of the final product. Adequate quality assurance
47 programs must be implemented by manufacturers to qualify incoming materials, qualify process
48 equipment and procedures, and provide feedback for process control. This will involve the use of
49 metrology for characterization of individual materials or components and evaluating their interactions.
50 This article identifies a variety of relevant metrology techniques that can be utilized for these purposes
51 with the ultimate goal of improving confidence in module reliability and durability.
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56 The literature contains a wide range of in-depth studies and investigations regarding module
57 manufacturing and reliability and is a valuable resource for module manufacturers. The need to
58 condense this vast array of literature into a readily accessible and functional form was identified and
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fulfilled with this article. In addition to the literature survey of c-Si module manufacturing process steps, failure modes, and metrology techniques, new and emerging technologies were discussed and areas of further research were identified.

8. Acknowledgments

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Table 1. Relevant Metrology Techniques for Module Manufacturing

Application	Parameter	Technique(s)
Solder-bond resistance	Series Resistance	I-V measurements[61, 62]
	Hot-spot detection	Infrared imaging[69, 71]
	Spatially resolved current distribution within a cell	Electroluminescence Imaging[72, 74-76, 207]
Solder-bond contact adhesion/stress-state	Displacement due to thermal expansion	Digital image correlation[35]
	Interfacial adhesion	Pull test[78]
	Interface morphology	Scanning electron microscopy[66, 67]
	Interconnect failure	Non-contact voltage testing[64, 65] Electroluminescence Imaging[72, 74-76, 207]
Mechanical properties of encapsulation materials	Hardness	Nanoindenter
		Durometer[122, 156, 158]
	Storage modulus	Dynamic mechanical analysis[12, 147, 149, 161, 170, 171]
	Viscosity	
	Tensile strength	Stress-strain measurements[112, 149, 158, 172, 173]
	Elastic modulus	
Fracture strength (glass only)	Four-point bending method	
Surface stress (glass or laminate only)	Grazing angle surface polarimetry	
Module/laminate stress state	Laminate thickness	Micrometer measurements[174, 175]

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	Laminate bow or bending	Depth measurements[129] Surface geometry scan[175]		
	Component/materials displacement	Digital image correlation[176] Electroluminescence or photoluminescence Imaging		
Chemical properties of encapsulation materials		Thermal analysis	DSC, DMA, TGA[147-149, 152, 156]	
	Cross-linking/curing	Differentiation scanning calorimetry [149] Rheological measurements/ dynamic mechanical analysis [147, 149] Gel content test using solvent extraction method[147, 149, 153, 154, 156] Size exclusion chromatography with multi angle laser light scattering[129] Viscometric detection[129] Ultrasonic/Acoustic methods[149, 157] Optical transmission spectroscopy[154]		
		Chemical structure	Fourier transform infrared spectroscopy[122, 158, 160, 166] Raman Spectroscopy[162, 164]	
			Encapsulant crystallinity	X-ray diffraction[122]
		Moisture permeability	Water vapor transmission rate measurements[94, 133-135, 138] Moisture diffusion coefficient measurements [94, 133-135, 138]	
			Chemical degradation analysis of encapsulants	Moisture ingress
		Acetic acid content		Ion chromatography[140, 160] Fluorescence spectroscopy of pH-sensitive dye additives[167]
	Discoloration/chemical breakdown of encapsulant			Fluorescent spectroscopy[101, 105, 168] Determination of color (yellowing/browning) index[108]
		Encapsulation adhesion		Adhesion strength

		Cantilever methods[179, 181]
		Four point bend method
		Compressive shear test[179, 180]
		Lap-shear tests[179]
		Blister tests
Electrical properties of encapsulation materials	Volume resistivity	Current-Voltage measurements
	Dielectric strength	Partial discharge test
		Capacitance measurements
	Insulation resistance/leakage current	High potential testing (multiple variations)[169]
		Wet high potential testing
Long-term high voltage biasing[141, 143]		
Operational Properties of Bypass Diodes	Performance characteristics	Current-Voltage Measurements
	Thermal characteristics	Diode operating temperature measurements
		Junction box thermal resistance measurements
		Infrared Imaging

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