1	Mapping sleep's phenotypic and genetic links to the brain and heart: a systematic
2	analysis of multimodal brain and cardiac images in the UK Biobank
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4	Running title: Imaging genetics for sleep
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1 Abstract

2	Sleep is essential for the health of the brain and heart. Although sleep has been identified
3	as a factor in a few specific clinical outcomes, a systematic analysis of the relationship
4	between sleep and brain/heart and their genetic underpinnings is lacking. Medical images
5	can provide useful clinical endophenotypes for organ structures and functions. Here we
6	present a systematic genetic investigation of sleep-brain/heart connections using multi-
7	modal brain and cardiac images from over 40,000 subjects in the UK Biobank. We
8	identified novel phenotypic and genetic links between sleep and a wide range of imaging
9	traits, such as brain structures, white matter integrity, brain activities, as well as cardiac
10	structures and functions. We prioritized a number of imaging modalities and traits for
11	specific sleep conditions, such as the resting brain function measures in the somatomotor
12	network with narcolepsy. Sleep and imaging had overlapping genetic influences in 39
13	genomic loci, some of which showed evidence of shared causal genetic variants. In
14	conclusion, large-scale imaging genetic data illuminate the implications of sleep on brain
15	and cardiac health and their genetic links. An interactive web browser (<u>www.ig4sleep.org</u>)
16	has been developed to facilitate exploring our results.
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18	Keywords: Brain Imaging; Brain Health; Cardiac Imaging; Heart Health; Sleep; UK Biobank.
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1 A growing body of evidence suggests that poor sleep is a risk factor for physical, cognitive, 2 and mental health problems¹. Sleep traits (such as sleep quality and duration) and 3 disorders (such as insomnia and narcolepsy) are associated with various cardiovascular 4 diseases and brain disorders. For example, rapid eye movement sleep behavior disorder was found to be a strong predictor for the development of neurodegenerative diseases 5 such as dementia and Parkinson's disease^{2,3}. Sleep dysregulation and short sleep duration 6 7 in midlife were consistently linked to higher risk of Alzheimer's disease and other 8 dementias⁴. In addition, both insufficient and excess sleep duration may cause a higher 9 incidence of cardiovascular outcomes, including coronary heart disease⁵⁻⁷, hypertension⁸, atrial fibrillation^{9,10}, and stroke^{11,12}. Individuals with sleep disturbance were also more 10 11 likely to have mental and psychiatric disorders¹³, such as anxiety¹⁴, post-traumatic stress 12 disorder¹⁵, schizophrenia¹⁶, bipolar disorder, major depressive disorder (MDD), and attention-deficit/hyperactivity disorder (ADHD)^{17,18}. 13

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15 Magnetic resonance imaging (MRI) provides noninvasive and comprehensive measures 16 of the structure and function of the human organs, including the brain and heart. Imaging 17 traits generated from brain and cardiac MRI are well-established clinical endophenotypes 18 and have been widely used in early prediction and detection of cardiovascular, 19 neurological, and neuropsychiatric outcomes¹⁹⁻²². Using MRI data, several studies have 20 examined the sleep-related structural and functional alterations in the brain and heart²³⁻

21 ³⁰. Two major limitations of most existing studies have been i) the limited study sample 22 size, which was usually less than a few hundred; and ii) focusing on one single MRI 23 modality (or trait) and/or one sleep trait, such as hippocampal atrophy²⁵ and sleep duration³⁰⁻³². It is known, however, that large sample sizes are needed for MRI studies to 24 25 detect small effect sizes³³ and produce reproducible findings, especially for brain 26 functional MRI (fMRI) data³⁴. In addition, distinct MRI modalities and sleep conditions 27 may be relevant to different diseases and health-related complex characteristics²¹. 28 Therefore, a systematic analysis of multi-modal MRI data and multiple sleep conditions in 29 a large cohort would provide a more comprehensive understanding of sleep-related 30 changes in brain and heart structures and functions.

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Genome-wide association studies (GWAS) have shown that sleep disorders and traits are 1 2 heritable and have a polygenic genetic architecture³⁵⁻⁴⁵. The sleep-associated genetic variants are enriched for genes expressed in the brain and for metabolic and psychiatric 3 4 pathways⁴⁴. Genetic correlations between sleep traits and brain-related disorders (such as depression and schizophrenia) have been discovered, suggesting their shared 5 neurogenetic basis⁴⁶. On the other hand, both brain and cardiac MRI traits are also 6 7 heritable and hundreds of associated genetic loci have been identified in recent GWAS⁴⁷⁻ 8 ⁵⁸. However, few studies have ever integrated the multi-organ MRI measures and sleep 9 conditions to explore the genetic interactions among sleep behavior, brain and cardiac 10 structure/function, and related clinical endpoints.

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12 To overcome these challenges, here we examined the phenotypic and genetic sleep-13 brain/heart connections using multi-modal cardiac and brain MRI data from more than 14 40,000 subjects in the UK Biobank (UKB) study⁵⁹. We included three major brain MRI 15 modalities: 1) brain anatomical and neuropathological structures from structural MRI, including regional brain volumes⁵⁴ and cortical thickness traits⁶⁰; 2) white matter 16 17 microstructures from diffusion MRI, including multiple diffusion tensor imaging (DTI) 18 parameters⁵⁶; and 3) intrinsic and extrinsic functional organizations of the cerebral cortex 19 from resting and task fMRI, including functional connectivity and amplitude traits⁵⁸. For 20 heart, we used 82 traits extracted from short-axis, long-axis, and aortic cine cardiac MRI⁶¹, 21 including global and regional measures of 4 cardiac chambers (the left ventricle, right 22 ventricle, left atrium, and right atrium), as well as 2 aortic sections (the ascending aorta 23 and descending aorta). We mainly examined 7 self-reported sleep-related conditions in 24 the UKB study: sleep duration, getting up in the morning, chronotype (morning/evening) 25 person), daytime nap, insomnia (sleeplessness), snoring, and narcolepsy (daytime 26 sleepiness/dozing). Detailed information on these imaging and sleep data can be found 27 in the Methods section. A series of phenotypic, genetic, and predictive analyses were 28 conducted to understand the health implications of sleep. The overview of our study is 29 presented in Figure 1 and our results can be explored via the interactive web browser at 30 www.ig4sleep.org.

- 31
- 32 **RESULTS**

1 An atlas of sleep associations with multi-modal brain and cardiac MRI traits

2 We examined the phenotypic associations between 7 sleep conditions and a wide range of brain and cardiac MRI traits, including 101 regional brain volumes⁵⁴, 63 cortical 3 thickness measures⁶⁰, 110 DTI parameters⁵⁶, 92 parcellation-based network-level traits in 4 5 resting and task fMRI⁵⁸, respectively, as well as 82 heart imaging traits^{60,61} (**Table S1**). We 6 performed regression analysis with unrelated white British subjects (average n = 29,025, 7 Methods). Among the 3,780 (7×540) association pairs, 395 associations were significant 8 at the false discovery rate (FDR) level of 5% (by the Benjamini-Hochberg procedure) and 9 92 of them further passed the more stringent Bonferroni significance level ($P < 1.32 \times 10^{-5}$, 10 0.05/3780) (Figs. 2A, S1, and Table S2). Significant associations were observed for all the 11 7 sleep conditions and 6 groups of imaging traits. Below we highlight the associations 12 survived the Bonferroni multiple testing adjustment.

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14 Resting fMRI showed the strongest sleep associations among all 6 groups of imaging traits, 15 which were mostly related to sleep duration, narcolepsy, and getting up. Specifically, 16 sleep duration had widespread negative associations with resting fMRI traits (including 17 both functional connectivity and amplitude⁶² measures) in multiple functional networks (β range = [-0.062, -0.036], $P < 1.02 \times 10^{-5}$), with the strongest association in the 18 19 somatomotor network (β = -0.062, P = 3.11×10⁻¹³). Somatomotor function was important 20 in multiple sleep stages and poor sleep quality and shorter duration have been linked to increased somatomotor functional connectivity in young samples⁶³⁻⁶⁵. In addition, resting 21 22 fMRI traits positively correlated with easy getting up (β range = [0.036, 0.048], P < 23 1.28×10⁻⁵) and were mostly positively associated with narcolepsy (β range = [-0.051, 24 0.064], $P < 1.27 \times 10^{-5}$). Narcolepsy patients have been found to have alerted functional 25 organizations, although previous sample sizes were usually small, making conclusions 26 inconclusive^{66,67}. In our analysis, decreased functional connectivity was mainly found in 27 the default mode network, as well as between the auditory and orbito-affective as well 28 as ventral-multimodal networks⁶⁸. Functional connectivity in most of other networks had 29 positive associations with narcolepsy (Fig. S2). Compared to resting fMRI, task fMRI had 30 much weaker signals, with only two associations with getting up remaining after 31 Bonferroni correction (β range = [-0.039, 0.042], $P < 1.07 \times 10^{-5}$).

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1 To uncover detailed spatial association patterns and pinpoint sleep-related brain regions, 2 we further performed area-level resting fMRI analysis with 64,620 (360 × 359/2) 3 functional connectivity measures among 360 brain functional areas in 12 networks^{68,69}. 4 These high-resolution fMRI traits provided fine-grained information on the functional organization of the cerebral cortex. At the Bonferroni significance level ($P < 7.74 \times 10^{-7}$, 5 6 0.05/64,620), sleep duration had negative associations with most functional areas in the 7 somatomotor and auditory networks, as well as some areas of the visual (visual1 and 8 visual2), cingulo-opercular, and language networks (Fig. 2B). In contrast, most of the 9 positive associations with sleep duration were related to the areas of the default mode 10 network. Furthermore, we partitioned the default mode network into seven clusters⁵⁸, 11 showing that sleep duration was predominantly related to the hippocampal and visual 12 subclusters (Fig. S3A). As compared to long sleep duration, self-reported shorter sleep 13 duration was associated with lower functional connectivity in the default mode 14 network⁷⁰⁻⁷². The default mode network is an active network that integrates information⁷³ 15 and plays an important role in the awake brain⁷⁴. In addition to previous evidence that shorter sleep duration was related directly to higher levels of Amyloid- β^{75} , our findings 16 17 provided further evidence that reducing sleep duration had a significant impact on brain 18 functioning. For narcolepsy, positive associations were mainly in the somatomotor 19 network and the connections between the somatomotor and visual networks (Fig. 2C). In 20 contrast, negative associations with narcolepsy were found in the default mode network, 21 most of which were related to the visual subcluster (Fig. S3B). For getting up and daytime 22 nap, most of their associations were related to the visual and somatomotor networks 23 (Figs. S4A-S4B). Overall, these results showed that functional connectivity of the 24 somatomotor and visual networks had substantial connections with sleep conditions. In 25 the default model network, narcolepsy and sleep duration were associated with brain 26 functional activity differently than in other networks.

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Our area-level analysis also identified related functional areas for snoring, insomnia, and chronotype. Particularly, snoring was linked to resting functional connections in the frontoparietal network, especially the left and right 13I areas in the posterior orbital gyrus (**Fig. S4C**). The functional connectivity between the left/right 13L areas and frontoparietal, visual, cingulo-opercular, and dorsal attention networks was increased in snoring, while

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1 the functional connectivity with the default mode network decreased. Reduced 2 functional connectivity was also observed within the default mode network (Fig. S3C). 3 Associations with chronotype were mainly detected among cognitive networks, especially 4 between the cingulo-opercular and default mode networks (Figs. S3D and S4D). In 5 addition, insomnia was found to be negatively associated with resting functional 6 connections within the somatomotor network (Fig. S4E). In summary, sleep-related brain 7 functional variations were uncovered in specific brain areas, prioritizing related fMRI 8 biomarkers for future sleep research.

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10 Next, we observed significant associations between DTI parameters and multiple sleep 11 conditions, especially chronotype (Figs. 2A and S5). Being an evening person was 12 associated with higher mean diffusivity (MD) and axial diffusivity (AD) in multiple brain 13 white matter tracts, such as the anterior corona radiata, body of corpus callosum, 14 retrolenticular part of internal capsule, anterior limb of internal capsule, and superior 15 corona radiata (β range = [0.042, 0.048], $P < 1.23 \times 10^{-5}$). Increased MD and AD may 16 indicate abnormalities and healthy aging in glial tissue, in which the glial cells were 17 involved in the regulation of circadian rhythms⁷⁶. It has been reported that evening chronotype exhibited increased level of white matter changes⁷⁷. In addition, we observed 18 19 significant associations between snoring and the radial diffusiviy (RD) in the corticospinal 20 tract (β = 0.040, P = 1.32×10⁻⁶), and between daytime nap and the AD of the body of 21 corpus callosum tract (β = 0.042, P = 1.14×10⁻⁵). Compared to resting fMRI and DTI 22 parameters, structural MRI traits (regional brain volumes and cortical thickness) had less 23 phenotypic associations with sleep. Associations survived at the Bonferroni significance 24 level were all related to daytime nap, which was associated with reduced right cerebellum 25 white matter volume (β = -0.048, P = 1.30×10⁻⁵) and smaller cortical thickness of the left 26 pars triangularis and right insula (β range = [-0.041, -0.039], $P < 4.29 \times 10^{-5}$).

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Sleep also correlated significantly with cardiac MRI traits of the left ventricle, right ventricle, and right atrium (**Figs. 2A** and **S6**). Specifically, snoring was positively linked to both left and right heart structures, including the left/right ventricular end-diastolic volume (LVEDV and RVEDV), right atrium maximum and stroke volumes, and right ventricular end-systolic volume (RVESV) (β range = [-0.047, 0.064], $P < 1.20 \times 10^{-5}$). Snoring

may affect the cardiac function and increase the risk of cardiovascular disease especially 1 2 in women^{24,78}. In addition, getting up had widespread associations with the LVEDV, left 3 ventricular end-systolic volume (LVESV), left ventricular myocardial mass (LVM), RVEDV, 4 RVESV, and right ventricular stroke volume (RVSV) (β range = [0.045, 0.072], $P < 1.70 \times 10^{-1}$ ⁶). Furthermore, negative associations with RVEDV were found for daytime nap and 5 6 chronotype (β range = [-0.0613, -0.0610], $P < 1.30 \times 10^{-7}$). Human health is closely related 7 to sleep chronotypes⁴⁵, which are behavioral manifestations of circadian rhythms⁷⁹. In 8 perspective studies, early chronotype is often regarded as a sign of healthy sleep 9 behavior^{80,81}. Individuals with an early chronotype are typically at a reduced risk of developing cardiovascular diseases⁸² and heart failure⁸¹. Circadian and cardiovascular 10 11 diseases, however, remain elusive in terms of their underlying mechanisms. The present 12 study established a clear physiologic relationship between early chronotype and cardiac 13 health. Overall, we mapped phenotypic links between the brain and cardiac MRI traits 14 and sleep characteristics. These imaging endophenotypes may aid in future clinical 15 research and applications to better understand sleep's role in brain and heart-related 16 clinical outcomes.

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18 In the phenotypic analysis above, UKB subjects who had both imaging and sleep data were 19 considered, whereas more than 80% UKB subjects had only sleep data and thus were 20 excluded. In order to include these non-imaging UKB subjects in our study, we developed polygenic risk scores (PRS) by using GWAS summary statistics of imaging traits from 21 22 previous studies^{54,56,58,60}. These genetically predicted imaging traits allowed us to repeat 23 the above sleep-imaging association analysis in non-imaging UKB unrelated white British 24 subjects (average n = 217,254, removing relatives of imaging subjects, Methods). In this 25 PRS analysis, 276 significant associations were identified at the FDR 5% level, covering all 26 the 7 sleep conditions and 6 groups of imaging PRS. Among these 276 associations, 35 27 further passed the stringent Bonferroni significance level ($P < 1.32 \times 10^{-5}$) (**Table S3** and 28 Figs. S7-S8). The results of phenotypic and PRS analyses were largely consistent, indicating 29 that the sleep-imaging associations discovered by a small proportion of UKB imaging 30 subjects had good generalizability in the whole UKB cohort. For example, similar to the 31 phenotypic analysis, resting fMRI traits also had the largest proportion of significant 32 associations (97/276 at FDR 5% level and 25/35 at the Bonferroni level) in PRS analysis.

1 Furthermore, considering that imaging PRS were predicted with genetic information,

2 genetic factors may play an important role in influencing sleep-imaging associations. In

- 3 the following sections, we will examine these underlying genetic influences in more detail.
- 4

5 Characterizing sleep-imaging genetic overlaps in 39 genomic loci.

6 To understand the genetic co-architecture underlying sleep-imaging associations, we 7 characterized the genetic pleiotropy between sleep conditions and multi-modal brain and 8 cardiac MRI traits at their jointly significant GWAS loci. Briefly, we searched for sleep-9 significant genetic variants reported in the NHGRI-EBI GWAS catalog⁸³ (version 2022-07-10 09). Then we identified those variants (and variants in linkage disequilibrium [LD] with 11 them, $r^2 \ge 0.6$) that were also significant in GWAS of brain and cardiac MRI traits 12 (Methods). We found that a total of 39 genomic loci showed shared genetic influences on both sleep and imaging traits, covering regional brain volumes⁵⁴, DTI parameters⁵⁶, whole 13 14 brain independent component analysis (ICA)-based resting fMRI traits^{53,55,84}, parcellationbased resting and task fMRI traits⁵⁸, and cardiac MRI traits⁶⁰ (Table S4). We tagged 15 previous GWAS for a wide range of sleep conditions, including insomnia³⁹, chronotype^{85,86}, 16 17 daytime nap³⁹, depressive symptom (sleep problems)⁸⁷, getting up³⁹, hypersomnia⁸⁸, sleep duration^{37,89}, and snoring⁹⁰ (Fig. 3 and Table S5). Additionally, we estimated the 18 19 probability that sleep-imaging trait pairs shared causal genetic variants using the Bayesian 20 colocalization test⁹¹. We considered pairs with a probability of shared causal variant (PPH4) greater than 0.8 to be colocalized^{91,92}. Below we summarized the results for each 21 22 imaging modality group.

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24 There were 14 genomic loci where sleep-significant variants were associated with DTI 25 parameters (LD $r^2 \ge 0.6$). For example, shared genetic components between DTI 26 parameters and chronotype⁹³ were found in 7 loci, including 1g25.2 (sleep index variant 27 rs975025, tagged many DTI parameters, such as AD of the splenium of corpus callosum), 28 19p13.11 (rs9636202, mode of anisotropy (MO) of the external capsule), 3p12.3 29 (rs7429614, MO of the corticospinal tract), 6p22.2 (rs766406, MD of the inferior fronto-30 occipital fasciculus), 17g21.31 (rs72828815, MD of the anterior corona radiata), 11p15.5 31 (rs9795439, MO of the cingulum), 8p23.1 (rs2979256, FA of the inferior fronto-occipital 32 fasciculus) (Figs. 4A-4B and S9-S12). The 1q25.2, 19p13.11, and 11p15.5 regions had

1 strong evidence of shared causal genetic variants between chronotype and DTI 2 parameters (PPH4 > 0.976). Multiple sleep index variants were expression quantitative 3 trait loci (eQTLs) in brain tissues, such as rs975025 (for FAM163A and FAM20B), rs72828815 (for DBF4B and MEIOC), and rs9795439 (KRTAP5-4)⁹⁴. For sleep duration³⁷, 4 we found shared genetic influences in three loci, including 10p12.31 (rs12246842, MD of 5 6 the fornix), 6p22.1 (rs34556183, MD of the superior corona radiata), and 17g21.31 7 (rs1991556, MD of the anterior corona radiata) (Figs. S13-15). Colocalization was 8 detected in 10p12.31 (PPH4 = 0.836), and the sleep index variants rs34556183 and 9 rs1991556 were brain eQTLs of multiple genes such as ZSCAN31 and ARL17A.

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11 In addition, DTI-insomnia⁴² genetic overlaps were found in 6 loci, including 3p21.31 12 (rs10865954, FA of the fornix-stria terminalis), 16q12.1 (rs1544637, AD across the whole 13 brain), 15q26.1 (rs176647, FA of the posterior limb of internal capsule), 17q21.31 14 (rs2239923, MD of the anterior corona radiata), 9q22.31 (rs10156602, FA of the body of 15 corpus callosum), and 10p12.31 (rs12246842, MD of the fornix) (Figs. S16-S19). The sleep-16 significant variant rs10865954 was a brain eQTL for multiple genes such as NCKIPSD, 17 WDR6, and GMPPB. We also tagged daytime nap, snoring, and getting up in 17q21.31 18 (rs57222984, mean AD of the sperior fronto-occipital fasciculus). Hypersomnia had 19 genetic overlaps with MO of the cingulum (hippocampus) in 2p16.1 (rs359268) (Figs. S20). 20 Among these sleep-DTI regions, we also found shared genetic influences with well-being 21 spectrum, neuroticism, depression, risk-taking tolerance, autism spectrum disorder, 22 cognitive traits (e.g., intelligence, math ability, reaction time, and education), life 23 satisfaction, smoking, hypertension, and coronary artery disease, which might be a cause 24 or consequence of white matter changes in the brain. Overall, these results suggested 25 that sleep conditions were genetically associated with white matter integrity across 26 multiple genomic regions, where they also overlapped with neuropsychiatric disorders, 27 cognitive traits, and cardiovascular risk factors.

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For resting fMRI, we found the shared genetic influences with sleep in 9 genomic regions. Insomnia genetic loci were in LD ($r^2 \ge 0.6$) with resting fMRI traits in 4 regions, including 2q14.1 (rs62158170, such as the somatomotor network), 2p16.1 (rs12713372, the frontoparietal and posterior-multimodal networks), 4q24 (rs11097861, the default mode,

central executive, and salience networks), as well as 19q13.32 (rs429358, the default 1 2 mode network) (Figs. 4C and S21-S23). ICA-based⁸⁴ and parcellation-based⁵⁸ resting fMRI 3 traits showed similar overlaps. Strong colocalizations between insomnia and resting fMRI 4 were identified in 2q14.1, 4q24, and 19q13.32 (PPH4 > 0.951). The sleep index variant 5 rs62158170 (2q14.1) was a brain eQTL of PAX8. As one of the two variants in the APOE E4 6 locus, rs429358 (19g13.32) contributed to Alzheimer's disease risk. In rs429358, insomnia 7 also had shared genetic influences with task fMRI traits of the visual network (Fig. S24). 8 Snoring was genetically linked to resting fMRI traits in 12q14.3 (rs10878269, PPH4 = 9 0.976), 3p11.1 (rs145367119), and 17q21.31 (rs57222984), all of which tagged the triple 10 networks of psychopathology (the default mode, central executive, and salience 11 networks)⁹⁵ (Fig. S25-S27).

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13 In 2q14.1, 2p16.1, and 17q21.31, we observed shared genetic influences with sleep 14 duration, daytime nap, depressive symptom (sleep problems), and getting up (Figs. S28-15 **S29**). Chronotype-resting fMRI overlaps were found in 11q24.1 (rs3867239, the central 16 executive, salience, default mode, and attention networks, PPH4 = 0.975) and 2p16.3 17 (rs17396357, the central executive, salience, default mode, and attention networks) (Figs. 18 s30-s31). The rs17396357 was a brain eQTL of GTF2A1L, FOXN2, MSH6, STON1-GTF2A1L, 19 STON1, and LHCGR. In these regions, we also found genetic links (LD $r^2 \ge 0.6$) with 20 cognitive traits (e.g, cognitive decline, math ability and education), myocardial infarction, 21 type 2 diabetes, mental health (e.g., depression, schizophrenia, autism spectrum disorder, 22 and neuroticism), neurological disorders (e.g., Alzheimer's disease and Parkinson's 23 disease), and blood pressure.

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25 Sleep traits and regional brain volumes had shared genetic influences (LD $r^2 \ge 0.6$) in 20 26 genomic regions. Many brain regions were affected by 9 insomnia-related genomic loci 27 (10q24.32, 12q24.31, 4q24, 2q33.3, 5q14.3, 11q14.1, 12q14.3, 10p12.31, and 18q21.2), such as the left/right basal forebrain (rs12411886), cerebellar vermal lobules I-V 28 29 (rs28576953), left/right accumbens area (rs6855246 and rs13135092), cerebellar vermal 30 lobules VIII-X (rs55772859), left/right putamen (rs16903122, rs667730, and rs375051009), 31 total brain volume (rs61921611), and left/right lateral ventricle (rs12251016) (Figs. S32-32 **\$36**). Colocalizations between insomnia and regional brain volumes were identified in

10g24.32 and 11g14.1 (PPH4 > 0.853). Insomnia has previously been associated with 1 2 shrinking subcortical volumes and smaller cortical surface areas^{96,97}. These findings may 3 suggest the genetic basis of brain structure-insomnia connections. Chronotype also 4 overlapped genetically with the left/right putamen in 5q14.3 (rs12657776), as well as 5 other brain regions in 5 more loci, including 16q12.2 (rs4784256, such as brain stem), 6 9q22.2 (rs3138490, left amygdala), 11p15.5 (rs9795439, white matter), and 3q13.11 7 (rs34967119, right cuneus), and 2p11.2 (rs11681299, brain stem) (Figs. S37-S39). We 8 observed colocalization between sleep and chronotype in 9p22.2, 11p15.5, and 2p11.2 9 (PPH4 > 0.856). Furthermore, we found genetic overlaps for daytime nap in 5 additional 10 genomic loci: 1p31.1, 7p22.2, 17q21.31, 11q12.2, and 5q22.2. Daytime nap was 11 genetically linked to the cerebellar vermal lobules VIII-X (rs10782582), left/right lateral 12 ventricle (rs4557589), total brain volume (rs57222984), left/right cerebellum exterior 13 (rs174541), and left/right cerebellum white matter (rs2099810) (Figs. S40-S42). Both 14 11q12.2 and 5q22.2 had strong evidence of shared causal genetic variants between 15 daytime nap and regional brain volumes (PPH4 > 0.923). In addition, 17q21.31, 11q12.2, 16 and 4q24 (rs13109404, left accumbens area) regions contributed to the genetic links 17 between sleep duration and regional brain volumes. Similarly, 12q14.3, 14q32.2, and 17q21.31 were associated with both snoring and multiple regions, including the right 18 19 inferior parietal (rs10878269), left thalamus proper (rs2664299), right fusiform 20 (rs4792897), and total brain volume (rs57222984) (Figs. 4D and S43). We found 21 colocalization between snoring and regional brain volumes in 12g14.3 and 14g32.2 (PPH4 > 22 0.956). There were also genetic overlaps with getting up in 3 of the above loci: 17q21.31, 23 4q24, and 11q12.2.

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Genetic pleiotropy ($r^2 \ge 0.6$) was observed between sleep and cardiac MRI traits in 5 25 26 regions. Chronotype-heart overlaps were observed in 8p23.1 (rs11992186, regional peak circumferential strain), 22q13.1 (rs139911, ascending aorta maximum area), 11p11.2 27 28 (rs11605348, global myocardial-wall thickness), and 17q21.32 (rs11992186, RVEDV) (Figs. 29 **\$44-\$45**). Shared genetic influences were found in 11p11.2 with insomnia (rs10838708, 30 global myocardial-wall thickness) and in 17g21.31 with sleep duration, snoring, getting 31 up, as well as daytime nap (rs1991556 and rs57222984, regional myocardial-wall 32 thickness) (Figs. S46-S47). We also found genetic overlaps with mental health (e.g.,

neuroticism) and heart diseases (e.g., atrial fibrillation and coronary artery disease) in these loci. In summary, sleep had substantial genetic links with brain and heart structural and functional variations. Different sleep conditions, such as insomnia and daytime nap, may have genetic overlaps with the brain and heart in different genomic regions. Integrating imaging and sleep GWAS results may provide insight into sleep-related brain and heart health mechanisms.

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8 Genome-wide and local genetic correlation patterns

9 We quantified the genome-wide genetic similarity between sleep conditions and imaging 10 traits by genetic correlations (GC) estimated from cross-trait LD score regression⁹⁸. We 11 collected 19 sets of publicly available sleep GWAS summary statistics (Table S6) and 12 screened them with GWAS summary statistics of multi-modal imaging traits (Methods). 13 At 5% FDR level, significant genetic correlations were observed between sleep and all 14 imaging modalities (Fig. 5A and Table S7). We reported below the top-ranking significant 15 brain and cardiac MRI traits associated with sleep after applying the FDR correction (P <16 6.18×10⁻⁴).

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18 There were many resting fMRI traits associated genetically with sleep conditions in 19 agreement with our phenotypic association analysis. Particularly, sleep duration and 20 narcolepsy were genetically associated with the functional connectivity of multiple brain 21 networks, such as the somatomotor, visual, language, cingulo-opercular, and 22 frontoparietal (GC range = [-0.472, 0.508], $P < 6.18 \times 10^{-4}$). Other sleep traits were also 23 genetically correlated with more specific brain networks. For example, snoring was genetically associated with functional connectivity between the default mode and orbito-24 25 affective networks, as was daytime napping with functional connectivity between the 26 cingulo-opercular and frontoparietal networks (GC range = $[-0.286, 0.250], P < 6.00 \times 10^{-4}$). Besides functional connectivity traits, resting amplitude traits^{62,99,100} were linked to sleep 27 28 duration and narcolepsy, as well as snoring, with more area-level information revealed 29 (Fig. S48). For example, amplitude results consistently demonstrated that narcolepsy was 30 genetically associated with brain functional activity in the somatomotor and secondary 31 visual areas (GC range = [0.183, 0.418], $P < 6.00 \times 10^{-4}$). In addition, sleep duration had 32 negative genetic correlations with brain functional activity in the auditory, cingulo-

opercular, dorsal-attention, somatomotor, and visual areas (GC range = [-0.240, -0.149], $P < 6.00 \times 10^{-4}$). Snoring was positively correlated with brain functional areas in the default mode, frontoparietal, and orbito-affective networks (GC range = [0.137, 0.234], $P < 6.00 \times 10^{-4}$). Genetic links between sleep and brain functions were also observed in task fMRI (GC range = [-0.315, 0.488], $P < 6.00 \times 10^{-4}$, **Fig. S49**). Overall, sleep and brain function were genetically correlated throughout brain functional networks, with specific patterns confined to certain areas.

8

9 For DTI parameters, significant negative genetic correlations were consistently observed 10 between snoring and the multiple DTI-derived metrics (AD, MD, and RD) of the 11 corticospinal tract (GC range = [-0.202, -0.123], $P < 1.03 \times 10^{-4}$, **Fig. S50**). The corticospinal 12 tract is a major neuronal pathway carrying movement-related information from the 13 cerebral cortex to the spinal cord. Impaired corticospinal tract was observed in poor 14 cognitive performance and patients with obstructive sleep spnea¹⁰¹. A few genetic 15 correlations were also observed between regional brain volumes and sleep conditions, 16 especially insomnia (Fig. S51). Specifically, insomnia was genetically correlated with 17 increased brain volume in the left and right caudate (GC range = [0.138, 0.170], P < 7.02×10⁻⁵) as well as decreased total brain volume (GC = -0.132, $P < 2.15 \times 10^{-5}$). The 18 19 caudate is a well-known functional region for sleep and modulates multiple sleep 20 stages¹⁰². Additionally, we found genetic correlations between left ventricle and 21 chronotype, as well as between left atrium and snoring (GC range = [-0.192, 0.166], P < 10022 6.00×10^{-4} , Fig. S52). The left atrium has been reported to be associated with obstructive 23 sleep apnea in coronary artery disease¹⁰³.

24

25 In the above genome-wide genetic correlation analyses, sleep-imaging traits are assumed 26 to be genetically similar throughout the genome. To examine local patterns of shared 27 genetic basis between sleep and imaging and to localize coheritability in specific genomic 28 regions, we partitioned the genome into thousands of regions and performed local 29 genetic correlations analysis using LAVA¹⁰⁴ and SUPERGNOVA¹⁰⁵ pipelines. In both LAVA 30 and SUPERGNOVA, local genetic correlations were found at the FDR 5% level ($P < 1.87 \times 10^{-1}$ 31 ⁴ for LAVA, $P < 5.89 \times 10^{-5}$ for SUPERGNOVA) for all sleep conditions, and fMRI traits 32 contributed the largest proportion of significant findings (Figs. 5B, S53, and Tables S8-S9).

1 In comparison with LDSC, both methods revealed more genetic correlations with task 2 fMRI. For example, among the 777 significant local genetic correlations identified by LAVA, 3 46.98% (365/777) were related to task fMRI traits. More than 10% (39/365) of the task 4 fMRI-sleep local genetic correlations were located in the 19q13.32 region, which was the 5 locus identified in our association lookup analysis for task fMRI. In addition to 19q13.32, 6 other top-ranking putative pleiotropic regions for task fMRI were 16g12.2, 14g11.2, 7 7p15.1, and 15q26.2. Moreover, sleep-imaging genetic connections can vary across 8 genomic regions or even be opposites. For example, chronotype had a positive local 9 genetic correlation with left MBelt area task amplitude in 1p13.2 (GC = 0.574, P = 1.10×10^{-10} 10 ⁴), but negative correlation in 16q12.2 (GC = -0.466, $P = 1.87 \times 10^{-4}$). These results 11 demonstrate the complex genetic co-architecture of sleep and imaging traits.

12

13 Causal genetic relationships detected by Mendelian randomization.

14 To investigate the causal genetic links between sleep and imaging traits, we performed 15 Mendelian randomization (MR) analyses with their GWAS summary statistics (Methods). 16 At the Bonferroni significance level ($P < 3.67 \times 10^{-5}$), we found strong evidence of genetic 17 causal effects from brain structures to sleep conditions (Table S10). For example, 18 increased left ventral DC volume had genetic causal effects on being a morning person (β 19 = -0.102, SE = 0.021, $P = 1.71 \times 10^{-6}$). All of the MR methods tested indicated the same 20 causal genetic effects direction, and two of them were able to survive the Bonferroni 21 multiple testing procedure. In addition, larger subcortical volumes were causally related 22 to increased risk of daytime nap (β = 0.046, SE = 0.01, P = 3.81×10⁻⁶ for the left accumbens 23 area, and β = 0.038, SE = 0.009, P = 1.14×10⁻⁵ for the right hippocampus). By balancing adenosine and dopamine activity, the accumbens played an important role in regulating 24 25 sleep-wake patterns^{106,107}. Furthermore, there was a significant genetic causal 26 relationship between larger total brain volume and longer sleep duration (β = 0.055, SE = 27 0.01, $P = 1.08 \times 10^{-5}$). For DTI parameters, we found a causal link between higher MO of 28 the external capsule tract and being an evening person ($\beta = -0.085$, SE = 0.02, P = 6.04×10^{-10} 29 ⁶). All these results were summarized in **Figure S54.** There was no evidence of a causal 30 relationship in another direction (in which sleep traits was the exposure and brain 31 structural traits was the outcomes) after Bonferroni correction.

32

More genetic causal effects from brain structures to sleep were found at the FDR 5% level 1 2 (P range = $[1.71 \times 10^{-6}, 2.09 \times 10^{-3}]$). In total ,73 imaging-sleep causal pairs were identified, 3 most of which were related to DTI parameters (42/73) and regional brain volumes (29/73). 4 DTI parameters from multiple white matter tracts showed genetic causal effects on sleep 5 conditions, such as sleep duration, daytime nap, and chronotype. More volumetric traits 6 were found to have causal effects on sleep. For example, several MR methods have 7 consistently demonstrated that total brain volume has a causal genetic influence on 8 insomnia (β < -0.044, P < 1.93×10⁻⁴). In summary, our MR analysis suggested a causal 9 genetic pathway from brain structures to sleep conditions.

10

11 Sleep and mental health predictions by integrating multiple data types

12 According to our imaging genetics analyses, genetic data and multimodal brain and 13 cardiac images could possibly be combined to predict sleep conditions. In clinical and 14 epidemiological studies, sleep has also been reported to be affected by environmental 15 factors, behaviors, biomarkers, and disease status, which makes it desirable to develop 16 sleep prediction models that incorporate imaging genetics and other forms of data. In this 17 section, we performed sleep predictions based on a variety of data types. Our goal is to 18 understand the contributions made by these types of data and their relative performance 19 in predicting different sleep disorders and traits. Our analysis focused on multi-modality 20 brain and cardiac MRI traits, sleep polygenic risk score (PRS), biomarkers, disease status, 21 and 6 categories of environmental variables, including lifestyle and environment, 22 psychosocial factors, physical measures, local environments, and early life factors (Table 23 **S11**). We used a training, validation, and testing design, where all model parameters were 24 tuned on validation data, and prediction performance was assessed on independent 25 testing data. Detailed information on model training and adjusted covariates can be found 26 in the Methods section.

27

Figure 6A summarized the results of 7 different sleep conditions using different types of brain imaging data. All sleep conditions were significantly predicted by resting fMRI (prediction correlation β range = [0.040, 0.121], *P* range = [9.82×10⁻⁴, 4.05×10⁻²³]). The ICA-based and parcellation-based resting fMRI traits, as well as the amplitude and functional connectivity traits, showed similar trends, although their relative performance

1 may vary depending on the sleep characteristics being predicted. Each of the regional 2 brain volumes, DTI parameters, and task fMRI traits can significantly predict at least five 3 sleep conditions (Table S12). As for sleep duration, chronotype, and insomnia, adding 4 these additional imaging types will not improve prediction performance over and above 5 resting fMRI, suggesting that resting fMRI is largely responsible for the prediction power 6 of other imaging modalities. As an example, both regional brain volumes and task-related 7 fMRI traits were marginally significant in predicting sleep duration (β range = [0.030, 8 0.042], P range = $[2.47 \times 10^{-2}, 7.28 \times 10^{-4}]$). Using regional brain volumes, task fMRI traits, 9 and resting fMRI traits together did not result in a better performance than using resting 10 fMRI alone (β = 0.116 vs. 0.120). These results suggest that among the imaging modalities 11 tested in this study, resting fMRI showed the strongest correlation with the three sleep 12 traits, and it may be the most effective imaging modality to predict insomnia.

13

14 In the case of getting up, snoring, daytime nap, and narcolepsy, it will be beneficial to 15 integrate multiple imaging modalities rather than using one type of data. For example, 16 task fMRI had the best prediction performance in predicting snoring across different 17 imaging modalities (β range = [0.068, 0.085], $P = [2.81 \times 10^{-7}, 1.55 \times 10^{-10}]$). Snoring can also be significantly predicted by DTI parameters, regional brain volumes, and resting fMRI 18 19 traits (β range = [0.040, 0.063], *P* range = [1.25×10⁻³, 2.23×10⁻⁷]). When all these imaging 20 types were combined, the prediction accuracy increased to 0.103 ($P = 1.68 \times 10^{-14}$), 21 suggesting that each imaging type contributes additionally to the prediction of snoring. In 22 another example, when combining DTI parameters, regional brain volume, and resting 23 fMRI traits, the prediction performance moved up to 0.109 ($P = 1.14 \times 10^{-18}$) for nap during 24 day.

25

Next, we evaluated more data types in sleep prediction (**Fig. 6B**). Cardiac MRI traits were significantly predictive of 6 sleep traits, with the highest prediction accuracy observed for snoring ($\beta = 0.067$, $P = 1.57 \times 10^{-6}$). Insomnia can be more accurately predicted by using brain and cardiac MRI traits jointly ($\beta = 0.087$, $P = 8.39 \times 10^{-10}$, **Fig. S55**). In addition, sleep PRS were significant predictors for all sleep conditions (β range = [0.108, 0.201], P range $= [2.46 \times 10^{-15}, 1.71 \times 10^{-49}]$). Six different PRS methods were used, and all provided similar results (**Fig. S56** and **Table S12**). The combination of PRS and brain imaging data increases

prediction accuracy for all sleep traits, with narcolepsy showing the greatest improvement. PRS and imaging traits had a prediction accuracy of 0.108 ($P = 2.46 \times 10^{-15}$) and 0.124 ($P = 2.68 \times 10^{-20}$), respectively, for narcolepsy. The performance increased to 0.159 ($P = 5.15 \times 10^{-30}$) after combination.

5

6 Sleep conditions were also strongly predicted by biomarkers and environmental variables. 7 The highest prediction accuracy of biomarker was observed on snoring ($\beta = 0.081$, P = 8 4.98×10⁻¹⁰). Environmental variables were much better at predicting insomnia and getting 9 up than PRS and imaging traits. For example, the prediction accuracy of environmental 10 variables was 0.226 ($P = 5.25 \times 10^{-68}$) for insomnia, which was largely contributed by the 11 psychosocial factors ($\beta = 0.202$, $P = 2.15 \times 10^{-54}$, Fig. S57). More importantly, combining 12 PRS, imaging, and environmental variables resulted in a higher level of accuracy when 13 predicting all sleep traits. Narcolepsy and snoring were predicted similarly by the three types of data (β range = [0.108, 0.122]. *P* range = [2.46×10⁻¹⁵, 4.58×10⁻¹⁹] for PRS: β range 14 = [0.103, 0.124], *P* range = $[1.68 \times 10^{-14}, 2.68 \times 10^{-20}]$ for brain imaging; β range = [0.113, 15 0.121], P range = $[1.07 \times 10^{-11}, 1.01 \times 10^{-17}]$ for environmental variables), and the accuracy 16 17 increased by more than 50% when they were combined (β range = [0.180, 0.198], P range = [1.17×10⁻¹⁹, 8.01×10⁻³⁰]). In general, imaging, genetics, and environment all play key 18 19 roles in predicting sleep variations. Integrating multiple types of data could benefit sleep 20 prediction in clinical applications and research.

21

22 Mental health problems were highly comorbid with sleep disorders^{108,109}. In order to gain 23 a better understanding of how sleep contributes to mental health problems, we assessed 24 the predictive power of sleep traits for three mental health traits: depression, neuroticism, 25 and anxiety. We adjusted the same covariates as the above sleep prediction analysis and 26 additionally examined other data types (Methods). For all three mental health traits, 27 sleep traits and early life factors were among the top two strongest predictors (β range = 28 [0.178, 0.316], *P* range = [9.24×10⁻⁴³, 8.21×10⁻¹³⁵], Figs. 6C, S58, and Table S13). These 29 mental health traits were also significantly predicted by brain imaging and mental health PRS (β range = [0.034, 0.152], P range = [4.84×10⁻³, 8.43×10⁻²⁹], Figs. 6C and S58). A 30 31 combination of all predictors increased the prediction correlation to 0.413 for neuroticism, 0.353 for depression, and 0.277 for anxiety (P range = [5.65×10⁻⁹¹, 1.83×10⁻¹⁷⁶]). 32

1 Furthermore, when sleep conditions were controlled as covariates, the prediction power 2 of many traits was reduced, suggesting that sleep may partially explain their predictive 3 power (Figs. 6D and S58). For example, after conditioning on sleep, electronic devices 4 usage no longer had significant predictive power for neuroticism or depression; and 5 alcohol, physical activity, and sun exposure did not have significant predictive power for 6 anxiety. In addition, prediction performance of early life factors on neuroticism decreased from 0.178 ($P = 9.24 \times 10^{-43}$) to 0.147 ($P = 3.80 \times 10^{-32}$), suggesting 17.7% prediction power 7 8 of early life factors on neuroticism could be mediated by sleep. The proportion of 9 reduction was 16.1% for mental health PRS and 28.7% for disease status. Similar 10 reduction was also found in prediction power of PRS and early life factors for depression 11 and anxiety. In summary, sleep was an important predictor of mental health traits and 12 may be able to mediate the influence of genetic and nongenetic risk factors on mental 13 health.

14

15 **DISCUSSION**

16 Sleep is vital to both physical health and mental wellbeing. Understanding how sleep 17 interacts with human health is of great interest. This study aimed to identify novel 18 phenotypic and genetic connections between sleep-related conditions and human brain 19 and heart health by using multimodal imaging data as endophenotypes. In our study, we 20 found substantial links between sleep and the structure and function of the brain and the 21 heart, some of which can be explained by shared genetic influences. We examined the 22 genetic basis of sleep-imaging connections from a variety of perspectives, including the 23 genetic loci that were jointly significant, the genetic covariance at the local level, and the 24 genetic similarity across the genome. Based on MR analysis, sleep conditions have been 25 found to be more a consequence than a cause of brain structural differences along the 26 genetic pathway, matching the results in a recent study specially on sleep duration and 27 brain structure³². Using prediction analysis, we assessed the relative contributions of 28 genetic and imaging factors to sleep prediction and integrated them with additional 29 environmental data to provide a more accurate prediction. Future epidemiological and 30 clinical studies of sleep and comorbid conditions may benefit from these findings and 31 insights.

32

1 In our study, we found that sleep and brain functions are strongly linked through multiple 2 functional networks, including the default mode network. Several studies have shown that the default mode network activity is essential to conscious awareness^{74,110,111}, which 3 4 may provide an opportunity to investigate the relation between sleepiness and decreased 5 functional connectivity of the default mode network. Daytime sleepiness is generally 6 considered a clinical symptom of sleep disorders or a consequence of insufficient sleep. 7 The symptoms of insomnia and sleep deprivation are usually accompanied by 8 sleepiness¹¹², which both have been linked to reduced connectivity in the brain's default 9 mode network^{70,113}. Narcolepsy is a rare neurological disorder characterized by daytime 10 sleepiness and the presence, or absence, of cataplexy-like episodes^{114,115}. The cause of 11 narcolepsy is determined by both genetic and environmental factors¹¹⁶, and it may lead 12 to adverse cardiovascular events¹¹⁷. Our phenotypic and genetic results reveal possible 13 mechanisms of default mode network brain activity that underlie these sleep disorders.

14

15 The present study has a few limitations. First, our analyses were mainly based on 16 European subjects in the UKB study. In future studies, data from a broader range of 17 sources could be incorporated into the imaging genetics study of sleep, and findings 18 developed by UKB could be generalized to global populations. The current study focused 19 on the human brain and heart, which are two important organs of the human body. Using 20 abdominal images, such as those of the kidneys and liver¹¹⁸, it would be interesting to 21 determine how sleep is connected to more organs. Finally, we focused primarily on linear 22 relationships in our models. Several recent studies have demonstrated that certain sleep 23 traits may also have nonlinear phenotypic and genetic links (such as sleep duration^{30,31}). 24 In the future, advanced statistical and machine learning methods may be used to identify 25 more comprehensive relationships and improve prediction performance.

26

27 METHODS

28 Methods are available in the *Methods* section.

Note: One supplementary information pdf file and one supplementary table zip file areavailable.

31

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20

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10 AUTHOR CONTRIBUTIONS

B.Z. designed the study. Z.F., B.Z., J.S., X.Y., B.L., J.L., and T.L. analyzed the data. Y.L., Z.F.,
and B.Z. designed the website and developed online resources. Q.W., P.P., T.L., and H.Z.
provide comments and interpret the results. Z.F. and B.Z. wrote the manuscript with
feedback from all authors.

15

16 **CORRESPINDENCE AND REQUESTS FOR MATERIALS** should be addressed to B.Z.

17

18 COMPETETING FINANCIAL INTERESTS

- 19 The authors declare no competing financial interests.
- 20

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14		
15	METHODS	
16	Phenotypic sleep-imaging analyses.	
17	The UKB study (<u>https://www.ukbiobank.ac.uk/</u>) recruited approximately half a million	
18	participants aged between 40 and 69 years between 2006 and 2010 59 . The ethics approval	
19	of the UKB study was from the North West Multicentre Research Ethics Committee	
20	(approval number: 11/NW/0382). The UKB imaging study started in 2014 and aimed to	
21	collect multi-modal imaging data from 100,000 subjects ¹¹⁹ . Detailed procedures to	
22	generate brain and cardiac MRI traits used phenotypic analysis can be found in previous	
23	papers ^{54,56,58,60,61} . All these imaging traits were generated from the raw images in	
24	Category 100003 (<u>https://biobank.ndph.ox.ac.uk/showcase/label.cgi?id=100003</u>).	
25	Overall, we used 101 regional brain volumes and 63 cortical thickness measures from T1-	
26	weighted structural MRI image ^{54,60} , 110 DTI parameters from diffusion MRI image ⁵⁶ , 92	
27	functional activity (amplitude) and connectivity traits from resting-state and task-based	
28	fMRI image ⁵⁸ , respectively, as well as 82 cardiac MRI traits from the short-axis, long-axis,	
29	and aortic cine images ⁶¹ . These traits captured the structural and functional	
30	characteristics of the human brain, heart, and aorta. Briefly, the advanced normalization	
31	tools ¹²⁰ (ANTs) was used to generate regional brain volumes for 98 cortical and subcortical	
32	areas,	as well as 3 global brain volume measures, including the total gray matter volume,

1 total white matter volume, and total brain volume. Similarly, 63 global and regional 2 cortical thickness measured were also generated by ANTs. In addition, we applied the ENIGMA-DTI pipeline^{121,122} to diffusion MRI, and generated 110 tract-averaged 3 parameters, including the fractional anisotropy (FA), mean diffusivity (MD), axial 4 5 diffusivity (AD), radial diffusivity (RD), and mode of anisotropy (MO) for 21 predefined 6 major white matter tracts, as well as across the whole brain (5 × 22). For fMRI, we used a parcellation-based approach with the Glasser360 atlas⁶⁹ and partitioned the cerebral 7 8 cortex into 360 regions in 12 functional networks⁶⁸, including the primary visual, 9 secondary visual, auditory, somatomotor, cingulo-opercular, default mode, dorsal 10 attention, frontoparietal, language, posterior multimodal, ventral multimodal, and 11 orbito-affective networks. We calculated the mean amplitude of each network, the mean 12 functional connectivity for each pair of networks (including within the same network), 13 and the mean amplitude and mean functional connectivity of the whole cortex. As strong 14 signals were identified in resting fMRI, we also considered the 64,620 (360 × 359/2) area-15 level high-resolution resting functional connectivity measures, which can provide more 16 fine-grained details on functional organizations of cerebral cortex. The 82 cardiac MRI 17 traits were from 6 categories, including 64 traits of left ventricle, 4 of left atrium, 4 of right 18 ventricle, 4 of right atrium, 3 of ascending aorta, and 3 of descending aorta. See Table S1 19 for the complete ID list of these traits.

20

21 We studied the sleep-imaging phenotypic relationships with 7 self-reported sleep traits: 22 the sleep duration ("About how many hours sleep do you get in every 24 hours? (please 23 include naps)", Data field 1160); getting up in morning ("On an average day, how easy do 24 you find getting up in the morning? 1) not at all easy; 2) not very easy; 3) fairly easy; 4) 25 very easy", Data field 1170); morning/evening person (chronotype, "Do you consider 26 yourself to be 1) definitely a 'morning' person; 2) more a 'morning' person than an 27 'evening' person; 3) more an 'evening' person than a 'morning' person; 4) definitely an 28 'evening' person?", Data field 1180); nap during day ("Do you have a nap during the day?" 29 1) never/rarely; 2) sometimes; 3) usually.", Data field 1190); sleeplessness/insomnia ("Do 30 you have trouble falling asleep at night or do you wake up in the middle of the night? 1) 31 never/rarely; 2) sometimes; 3) usually.", Data field 1200); snoring ("Does your partner or 32 a close relative or friend complain about your snoring?", Data field 1210); and daytime

dozing/sleeping (narcolepsy, "How likely are you to doze off or fall asleep during the 1 2 daytime when you don't mean to? (e.g. when working, reading or driving) 1) never/rarely; 3 2) sometimes; 3) often; 4) all of the time.", Data field 1220). We used the data coded by 4 the UKB study and removed the subjects with responses "do not know" or "prefer not to answer". In our phenotypic analysis, we used the white British imaging individuals in UKB 5 6 phases 1 to 3 data release (released up through 2020, average n = 29,025, mean age range 7 = (45,82), mean = 64.15, standard error = 7.67, and proportion of female was 51.6%). We 8 fitted linear models for each pair of sleep and imaging traits, in which we adjusted for the 9 effects of age (at imaging), age-squared, sex, age-sex interaction, age-squared-sex 10 interaction, imaging site code, the top 40 genetic principal components $(PCs)^{123}$, 11 volumetric scaling, head motion, head motion-squared, brain position, and brain position-12 squared^{53,55}. For regional brain volumes and regional cortical thickness measures, we 13 additionally adjusted for the total brain volume and global mean thickness, respectively, 14 to remove the global effects. The values greater than five times the median absolute 15 deviation from the median were removed in each imaging trait and continuous covariate 16 variable. The *P* values from two-sided t test were reported (R version 3.6.0).

17

18 We also performed the PRS-based association analysis for the same set of imaging traits. 19 Specifically, we used the GWAS summary statistics of these imaging traits released by previous imaging GWAS studies^{54,56,58,60,61} and constructed PRS based on PRS-CS¹²⁴. We 20 21 used all default parameters in the PRS-CS software (https://github.com/getian107/PRScs) 22 and generated the PRS for all non-imaging individuals in the UKB study (removing relatives 23 of the UKB imaging individuals). We then used these genetically predicted imaging traits 24 to repeat the above association analysis with the 7 sleep traits in non-imaging unrelated 25 white British UKB subjects (average n = 217,254, removing relatives of imaging subjects, 26 Methods). We adjusted for the effects of age (at baseline), age-squared, sex, age-sex interaction, age-squared-sex interaction, and the top 40 genetic PCs¹²³. 27

28

29 Genetic sleep-imaging analyses.

30 Our genetic analyses were mainly based on GWAS summary statistics of sleep-related 31 traits and imaging traits from previous studies. First, we systematically looked for the 32 genomic loci that were reported to be significant for both sleep and imaging traits. We

1 looked up the reported sleep-significant genetic variants in the NHGRI-EBI GWAS 2 catalog⁸³ (https://www.ebi.ac.uk/gwas/, version 2022-07-09). Among these sleepassociated variants (and variants in LD with them, $r^2 \ge 0.6$), we searched for those that 3 4 were also reported to be significant in previous GWAS of brain and cardiac MRI traits, including 101 regional brain volumes⁵⁴, 110 DTI parameters⁵⁶, 1,777 ICA-based resting 5 fMRI traits⁸⁴ (76 ICA-based amplitude traits and 1,701 ICA-based functional connectivity 6 traits), 1,985 network-level parcellation-based resting and task fMRI traits⁵⁸ (1,066 for 7 8 resting and 919 for task), and 82 cardiac MRI traits⁶⁰ (**Table S4**). For the sleep-significant 9 genetic variants, we also searched to see whether they were reported brain eQTLs on MetaBrain (https://www.metabrain.nl/)⁹⁴. Finally, we tested for whether the sleep and 10 11 imaging traits had shared causal genetic variants using the Bayesian colocalization test⁹¹. 12

13 We examined genetic correlation analysis between sleep and imaging traits via LDSC¹²⁵ 14 (https://github.com/bulik/ldsc/, version 1.0.1). We collected and used 19 set of publicly 15 available GWAS summary statistics for sleep traits (Table S6). For imaging traits, we screened the 101 regional brain volumes⁵⁴, 110 DTI parameters⁵⁶, 1,985 network-level 16 17 parcellation-based resting and task fMRI traits⁵⁸ (1,066 for resting and 919 for task), and 18 82 cardiac MRI traits⁶⁰. To provide more details of the brain functional organization, we 19 also examined the amplitude traits in each of the 360 brain regions for resting and task 20 fMRI, respectively. The LD scores were calculated by LDSC and were based on the 1000 21 Genomes European data. We used the default setups of LDSC, in which the HapMap3¹²⁶ 22 variants and the variants in the major histocompatibility complex region were removed.

23

24 Local genetic correlation analyses between sleep and imaging traits were performed 25 separately using LAVA¹⁰⁴ (https://github.com/josefin-werme/LAVA) and SUPERGONA¹⁰⁵ 26 (https://github.com/qlu-lab/SUPERGNOVA). We tested the same sets of GWAS summary 27 statistics as used in the above LDSC analysis. We followed the tutorials of the two 28 methods and used the default setups. In SUPERGONA, we removed all single nucleotide 29 polymorphisms (SNPs) with missing values in GWAS summary statistics and minor allele 30 frequency (MAF) less than 0.05. We used the provided 1000 Genomes European 31 reference panel and the genome partition file. In LAVA, we input the GWAS summary 32 statistics, the 1000 Genomes European reference genotype data file, and the provided

locus definition file. Within each locus, LAVA processed these input files and converted
the marginal GWAS summary statistics to locus-specific joint effects. LAVA first performed
univariate tests on all traits and loci to select those with sufficient local genetic signals.
Bivariate tests were then performed on the selected loci and traits to examine their local
genetic correlations.

6

7 We performed MR analyses using multiple methods in the two-sample MR package 8 (https://mrcieu.github.io/TwoSampleMR/), including MR Egger, simple median, weighted 9 median, panelized weighted median, and inverse variance weighted¹²⁷. Bidirectional MR 10 analyses were performed using GWAS summary statistics between each pair of sleep 11 conditions and imaging traits, including 101 regional brain volumes⁵⁴, 110 DTI 12 parameters⁵⁶, 92 network-level parcellation-based resting fMRI traits⁵⁸ (the same traits as in the phenotypic analysis), and 82 cardiac MRI traits⁶⁰. GWAS summary statistics of 13 14 sleep conditions obtained from the IEU GWAS database were 15 (https://gwas.mrcieu.ac.uk/). To select independent strong genetic instrumental variables, exposure GWAS summary statistics were clumped by using Plink¹²⁸ (v1.9) with 16 17 *P*-value significance threshold being 5×10^{-8} (p1) and the secondary significance threshold for clumped genetic variants was also set to be 5×10⁻⁸ (p2). Physical distance threshold 18 19 for clumping was kb = 1,000, LD threshold for clumping was r^2 = 0.01, and we used the 20 1000 Genomes European reference panel. Genetic variants of the exposure remained 21 were extracted from the outcome dataset via the *extract* outcome data() function with 22 default parameters. Harmonization was further performed to ensure the effects of each 23 genetic variant on exposure and outcome were corresponding to each other, which was 24 done by the *harmonise data function()* with level of strictness in dealing with SNPs action 25 = 2. Sleep-imaging pairs with number of SNPs less than 10 were discarded. MR was then 26 conducted with the *mr()* function with the above methods.

27

28 Integrative prediction models for sleep and mental health traits.

We developed prediction models for sleep by using genetic variants, imaging traits, and a wide range of other data types, such as biomarkers, disease status, and 6 categories of environmental variables, including lifestyle and environment, psychosocial factors, physical measures, local environments, early life factors, and cognitive functions (**Table**

S11). First, we considered performance of the following imaging predictors: 101 regional 1 2 brain volumes⁵⁴, 110 DTI parameters⁵⁶, 1,777 ICA-based resting fMRI traits⁸⁴, 1,985 network-level parcellation-based resting and task fMRI traits⁵⁸, 720 area-level 3 4 parcellation-based resting and task fMRI amplitude traits (360 each), and 82 cardiac MRI traits⁶⁰. We focused on the unrelated white British subjects and randomly divided the 5 6 data into three independent parts: training (average n = 20270), validation (average n =7 6790), and testing (average n = 6764). We estimated the effect sizes of these imaging 8 traits by ridge regression in the glmnet¹²⁹ package (R version 3.6.0). The same set of the 9 covariates as in the phenotypic association analysis were controlled. Model parameters 10 were tuned on validation data, and prediction performance was evaluated on testing data 11 by calculating the correlation between predicted and observed sleep traits. Next, we 12 examined the performance of genetic PRS for sleep traits. Data from all UKB white British 13 subjects were used as training data, except for those in the above validation and testing 14 data (and their relatives). We adjusted for the effects of age (at baseline), age-squared, 15 sex, age-sex interaction, age-squared-sex interaction, and the top 40 genetic PCs. We 16 developed using 6 different methods, including pruning and thresholding (P + T), 17 lassosum¹³⁰, LDpred2¹³¹, LDpred-funct¹³², DBSLMM¹³³, and PRSCS¹²⁴. The above validation 18 dataset was used to tune the parameters. We also used other data types as predictors 19 with ridge regressions. To help interpret and identify the top-ranking predictors from each 20 category, we also performed phenotypic associations between these variables and sleep traits and shred the results in Table S14 and our website. The performance was evaluated 21 22 on the subjects with all these data types in the testing dataset. Similar prediction models 23 were also developed on three mental health traits, where sleep traits were added as 24 predictors.

25

26 Code availability

We made use of publicly available software and tools. The codes are available uponreasonable request.

29

30 Data availability

31 GWAS summary statistics of brain and cardiac MRI traits can be freely downloaded at BIG-

32 KP <u>https://bigkp.org/</u> and Heart-KP <u>https://heartkp.org/</u>. GWAS summary statistics of

1 sleep conditions used in this study are publicly available and the links can be found in

2 Table S6. The individual level UK Biobank data used in this study can be obtained from

3 <u>https://www.ukbiobank.ac.uk/</u>. We have built an interactive web browser to share our

- 4 results at <u>www.ig4sleep.org</u>.
- 5

6 Figure legends

7 Fig. 1 Overview of our imaging genetics studies for sleep.

8 Multimodal brain and cardiac imaging were used to investigate the relationship between 9 sleep and brain and heart health. We covered a full spectrum of imaging modalities, 10 including T1-weighted structural MRI, diffusion MRI, resting-state and task-based fMRI, 11 as well as short-axis, long-axis, and aortic cine images of cardiac MRI. Seven sleep 12 conditions were examined, including sleep duration, getting up in the morning, 13 chronotype, daytime nap, insomnia, snoring, and narcolepsy. Sleep-imaging connections 14 can be explained in part by shared genetic factors. A variety of analyses were conducted 15 throughout the paper in order to uncover the overlapping genetic influences, including 16 polygenic risk scores, identification of shared genetic risk loci and colocalization, genome-17 wise and local genetic correlations, Mendelian randomizations, and integrated 18 predictions.

19

20 Fig. 2 Phenotypic sleep-imaging associations.

21 (A) The -log10(p-value) of phenotypic correlations between 7 sleep traits and 6 groups of 22 imaging, including 101 regional brain volumes, 63 cortical thickness measures, 110 DTI 23 parameters, 92 resting fMRI traits, 92 task fMRI traits, and 82 heart imaging traits. See 24 Table S1 for more information of these imaging traits. The red and black dashed lines 25 indicate the Bonferroni significance level ($P < 1.33 \times 10^{-5}$) and the false discovery rate at 26 5%, respectively. Each sleep condition is labeled with a different color. (B) and (C) 27 Significant correlations between area-level resting functional connectivity traits and 28 narcolepsy (in B) and sleep duration (in C). We show the correlations that passed the 29 Bonferroni significance level ($P < 7.74 \times 10^{-7}$). Correlation estimates are indicated by the 30 color. Visual1, the primary visual network; and Visual2, the secondary visual network.

1

2 Fig. 3 Jointly significant genomic loci for sleep conditions and imaging traits.

3 (A) In the NHGRI-EBI GWAS catalog (https://www.ebi.ac.uk/gwas/, version 2022-07-09), 4 we found shared genetic influences between sleep and imaging traits in 39 genomic loci 5 (names are in black color). That is, sleep and imaging GWAS reported significant variants 6 in these loci and the index variants were in linkage disequilibrium (LD, $r^2 \ge 0.6$). Each 7 imaging modality is labeled with a different color. We tagged a wide range of reported 8 sleep traits and grouped them into chronotype (e.g., morningness and morning person), 9 insomnia (e.g., insomnia and insomnia symptoms), sleep duration (e.g., sleep duration 10 long sleep and short sleep), and others, such as snoring, hypersomnia, getting up, and 11 daytime nap. (B) We further summarized the results into a table, where the x-axis 12 represents the 39 genomic regions and v-axis displays the sleep traits. Each imaging 13 modality is labeled with a different color and the orange color is used when more than 14 one imaging modalities are observed in the locus.

15

Fig. 4 Selected genetic loci that were associated with both sleep conditions and imagingtraits.

18 (A) In 1g25.2, we observed colocalization between chronotype (index variant rs975025) 19 and the mean axial diffusivity of the splenium of corpus callosum tract (SCC AD, index 20 variant rs755699529). The posterior probability of Bayesian colocalization analysis for the 21 shared causal variant hypothesis (PPH4) is 0.976. (B) In 19p13.11, we observed 22 colocalization between chronotype and the mean mode of anisotropy of the external 23 capsule (EC MO, shared index variant rs9636202, PPH4 = 0.992). In this region, chronotype was also in LD ($r^2 \ge 0.6$) with reaction time, life satisfaction, smoking, 24 25 hypertension, and coronary artery disease. (C) In 4q24, we observed colocalization 26 between insomnia (index variant rs11097861) and functional connectivity among the 27 triple networks of psychopathology (the default mode, central executive, and salience 28 networks, index variant rs4417974, PPH4 = 0.952). (D) In 14q32.2, we observed 29 colocalization between snoring and volume of the left thalamus proper (shared index 30 variant rs2664299, PPH4 = 0.997). We also observed the shared associations (LD $r^2 \ge 0.6$)

with self-reported math ability, cognitive performance, smoking initiation, and
 educational attainment.

3

4 Fig. 5 Genetic correlations between sleep and imaging traits.

5 (A) We illustrated the pattern of significant genome-wide genetic correlation estimates (at the 5% false discovery rate level by LDSC, $P < 6.18 \times 10^{-4}$) between various groups of 6 7 imaging traits (left upper panel) and sleep conditions (left lower panel). We listed the 8 number of significant pairs for each imaging group and sleep condition on the right side. 9 (B) We illustrated pattern of significant local genetic correlation estimates (at the 5% false 10 discovery rate level, $P < 1.87 \times 10^{-4}$) between various groups of imaging traits (left upper 11 panel) and sleep conditions (left lower panel) by LAVA. We listed the number of significant 12 pairs for each imaging group and sleep condition on the right side.

13

14 Fig. 6 Integrative prediction analysis for sleep conditions and mental traits.

15 (A) Predicting 7 sleep conditions using multimodal brain imaging traits, including DTI 16 parameters, region brain volumes, ICA-based resting fMRI traits (resting ICA), 17 parcellation-based network and area-level resting and task fMRI traits (resting g360 18 network, resting g360 area, task g360 network, and task g360 area), and all modalities 19 (joint of all brain MRI traits). (B) Predicting 7 sleep conditions using multiple data types. 20 PRS, sleep polygenic risk scores of genetic variants, Brain imaging, multimodal brain 21 imaging traits. (C) The accuracy of neuroticism prediction analysis using different types of 22 data. PRS, neuroticism polygenic risk scores of genetic variants, Brain imaging, multimodal 23 brain imaging traits. (D) The accuracy of different data types in neuroticism prediction 24 analysis before (marginal) and after controlling sleep traits (conditional on sleep).



Genetic factors

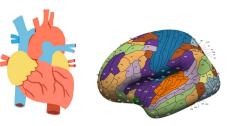
Overlapping genetic influences

- **Polygenic risk scores**
- Shared genetic risk loci and colocalization
- **Genetic correlations (global and local)**
- **Mendelian randomizations Integrated predictions**





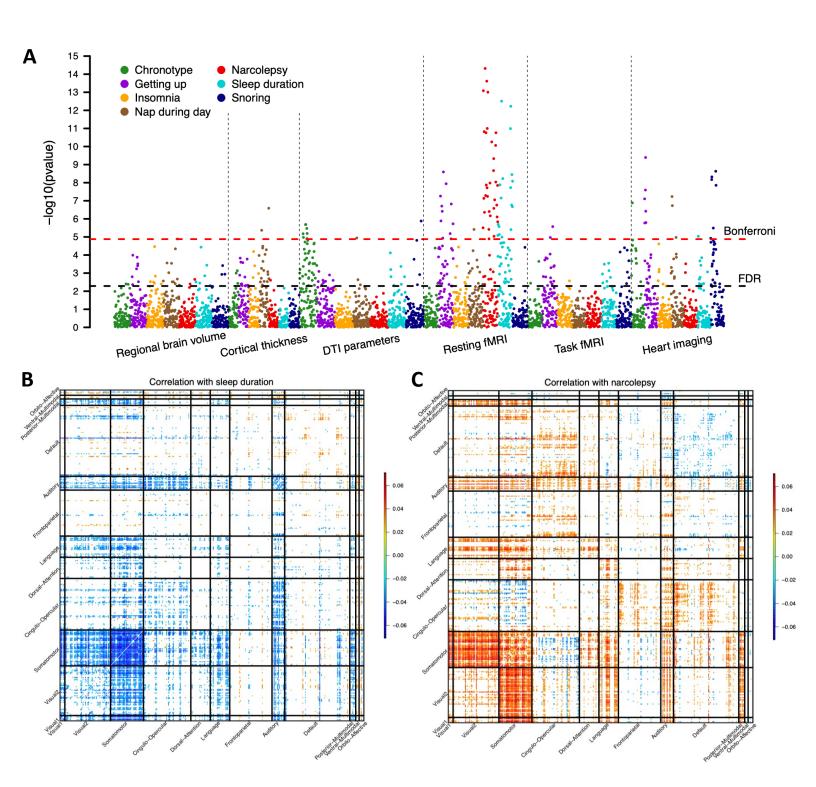
Sleep traits and disorders (e.g., sleep duration, chronotype, snoring, and narcolepsy)



Brain and heart heath (measured by multimodal brain and cardiac images)

Sleep-brain/heart connections inferred by imaging genetics

Figure 1





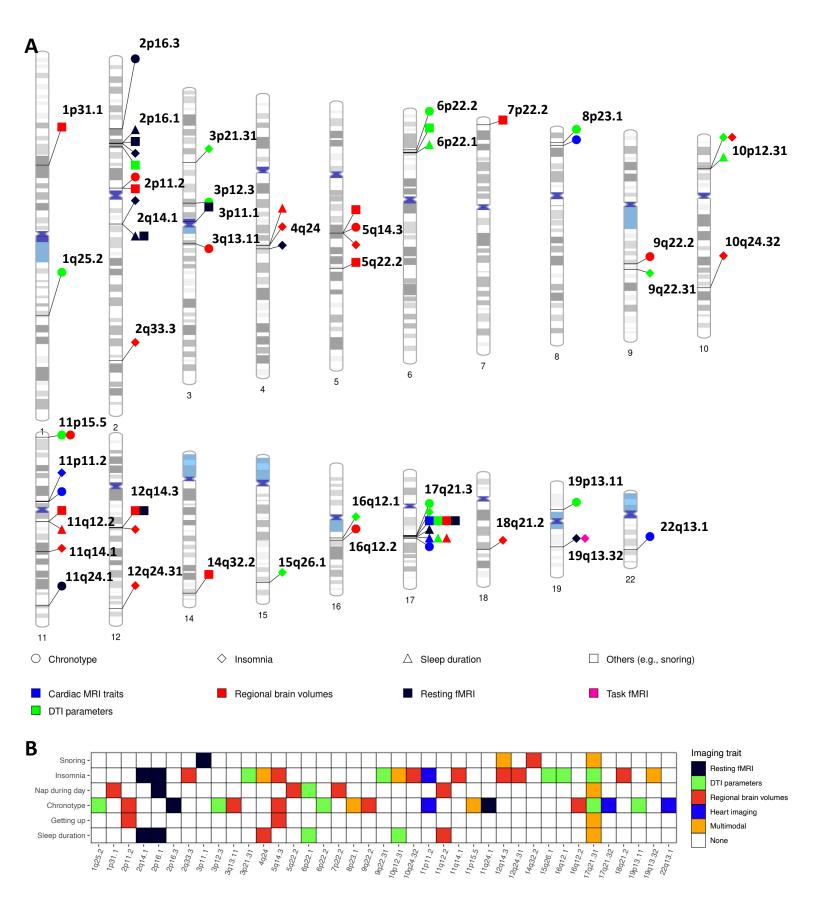
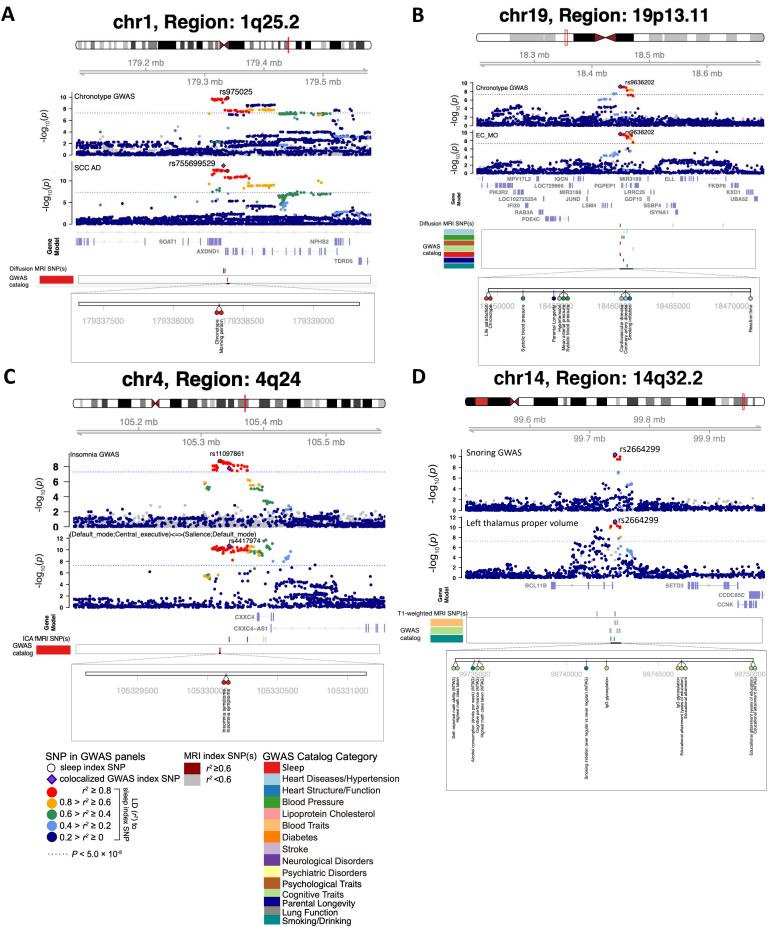
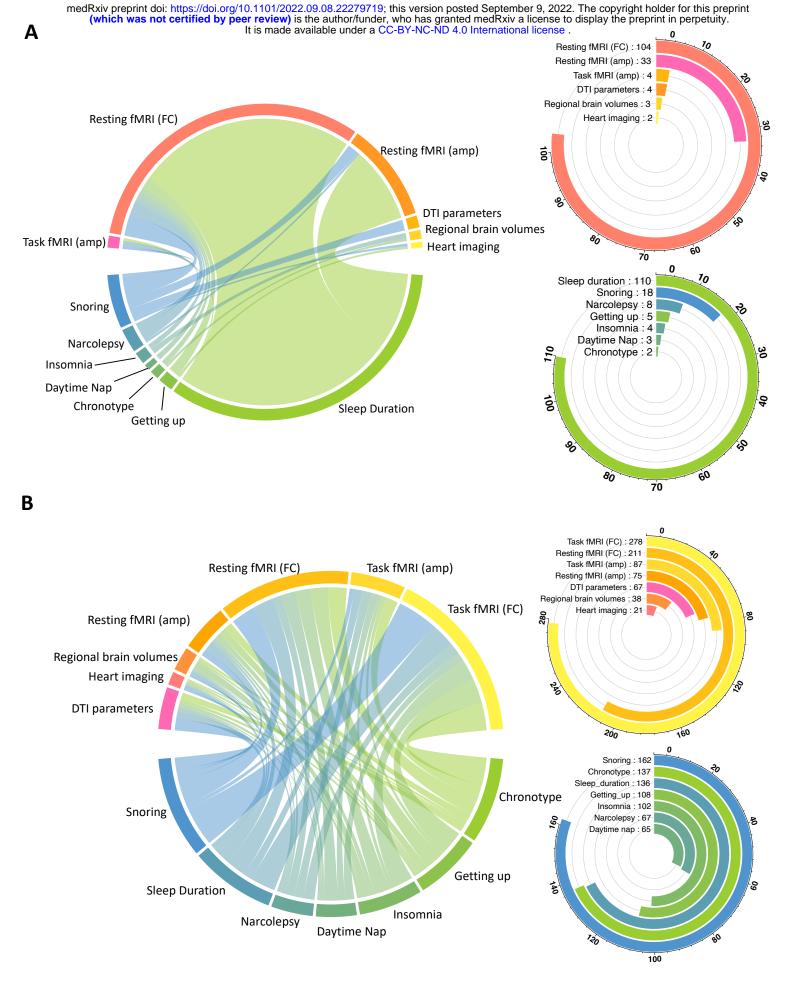


Figure 3





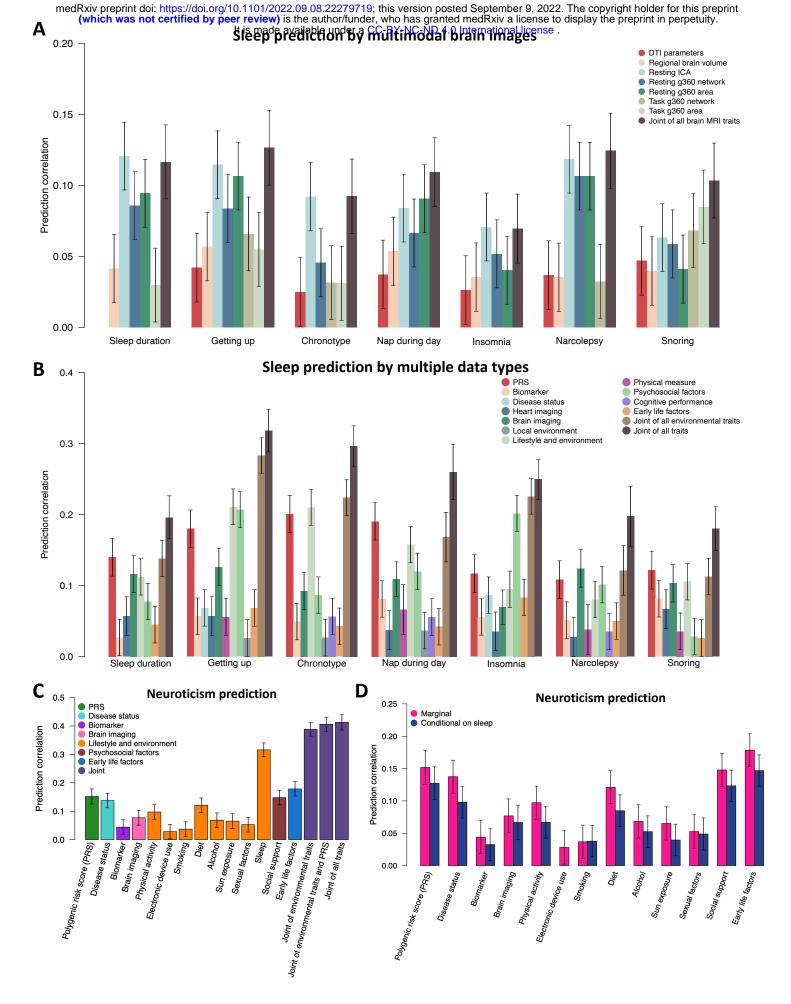


Figure 6