Matroidal Degree-Bounded Minimum Spanning Trees

Rico Zenklusen

MIT

 $\min\{c(T) \mid T \text{ spanning tree in } G = (V, E), \text{ satisfying degree constraints}\}$

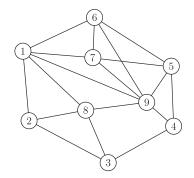
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Types of degree constraints

- Degree-bounded MST (the classic): $|T \cap \delta(v)| < B_v \ \forall v \in V.$
- Cut-constraints:

 $|T \cap \delta(S)| \leq B_S$ for $S \in S \subseteq 2^V$.

• 0/1-packing constraints:



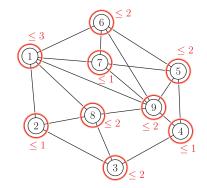
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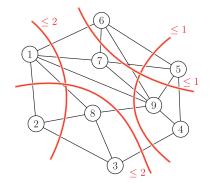
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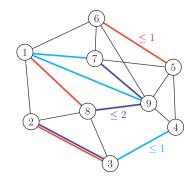
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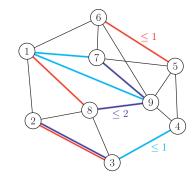
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 $|T \cap U| \leq B_U$ for $U \in \mathcal{U} \subseteq 2^E$.



Typical motivations for degree constraints

- Technical restrictions (VLSI design, telecommunication networks).
- Increase reliability by avoiding overloaded vertices.
- Reduce vulnerability against malicious attacks.

Trading slight infeasibility for "optimal" cost

At the example of degree-bounded MST

$$OPT = \min\{c(T) \mid T \in \mathcal{T}, |T \cap \delta(v)| \le B_v \; \forall v \in V\}$$

all spanning trees $(\subseteq 2^{\mathcal{E}})$

 Even checking feasibility is NP hard (e.g. if B_v = 2 ∀v ∈ V → Hamiltonian path problem.)

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Find tree T of cost \leq LP relaxation ($c(T) \leq OPT_f$), minimizing deg violation.

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$$OPT_f = \min c^T x$$

 $x \in P_{ST} = \operatorname{conv}(\{\mathbf{1}_T \mid T \in \mathcal{T}\})$
 $x(\delta(v)) \leq B_v \quad \forall v \in V$
 $|\not{k}|/\not{k}|/\langle 0 / \mathcal{N} \rangle^F$

• min{ $c(T) \mid T \in T, |T \cap \delta(v)| \le B_v + k \ \forall v \in V$ }

Previous results

Degree-bounded MST

+1 violation (no costs)	Fürer and Raghavachari (1994)		
• various super-constant	Könemann and Ravi (2002, 2003), Chaudhuri		
violations with $cost \leq OPT_f$	et al. (2005),		

• +2	Goemans (2006)
• +1	Singh and Lau (2007)

Generalized bounds

Laminar cut bounds:

• $+O(\log |V|)$

Bansal et al. (2010)

Bounds on arbitrary edge sets $x(U) \leq B_U \ \forall U \in \mathcal{U} \subseteq 2^E$:

• $+ \max_{e \in E} |\{U \in U \mid e \in U\}|$ Bansal et al. (2009)

Thinness bounds wrt $y \in P_{ST}$: $x(\delta(S)) \le y(\delta(S)) \quad \forall \emptyset \ne S \subsetneq V$ (thin trees): • $\times O\left(\frac{\log |V|}{\log \log |V|}\right)$ Asadpour et al. (2010)

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Obtain constant violation for constraints beyond degree-bounded MST?

Main results

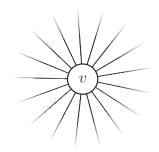
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Definition (Matroidal degree constraints)

 $\min\{c(T) \mid T \in \mathcal{T}, T \cap \delta(v) \in \mathcal{I}_v \ \forall v \in V\},$ where $\mathcal{M}_v = (\delta(v), \mathcal{I}_v)$ is a matroid $\forall v \in V$.



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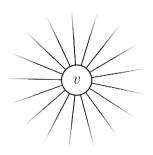
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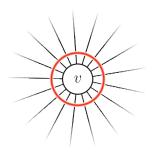
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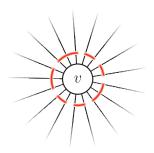
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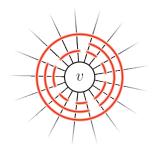
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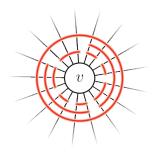
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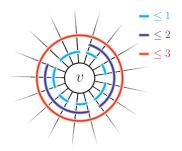
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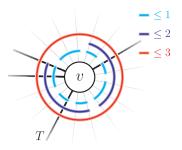
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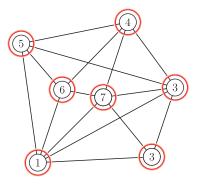
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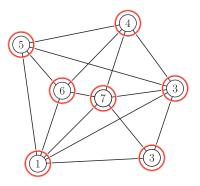
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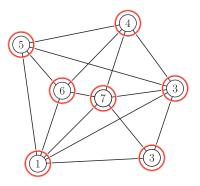
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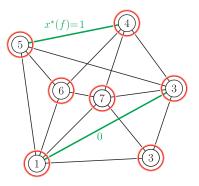
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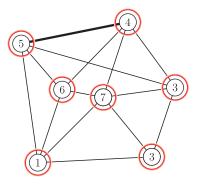
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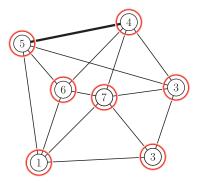
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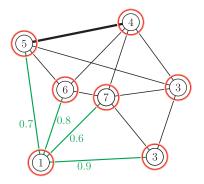
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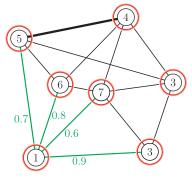
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$$z(\delta(1)) = 0.3 + 0.2 + 0.4 + 0.1 = 1 < 2$$

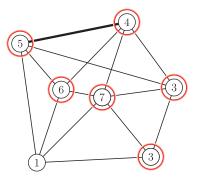
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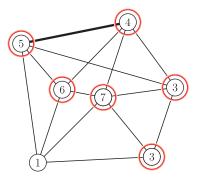
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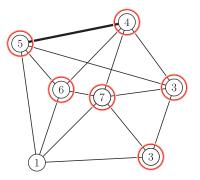
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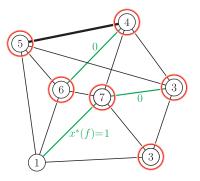
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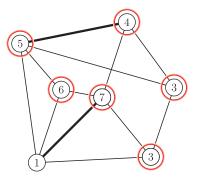
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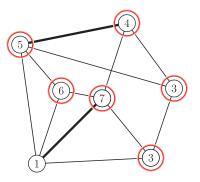
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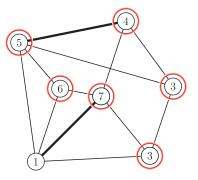
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Key property: x^* is sparse (in particular $|\operatorname{supp}(x^*)| \le 2|V| - 1$).

$$\begin{pmatrix} A_{ST} \\ A_{deg} \end{pmatrix} x^* \leq \begin{pmatrix} b_{ST} \\ b_{deg} \end{pmatrix}$$
$$x^* \geq 0$$



Iterative relaxation by Singh and Lau (+1 guarantee)

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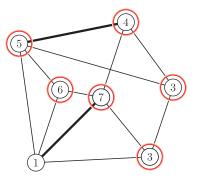
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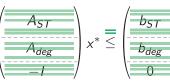
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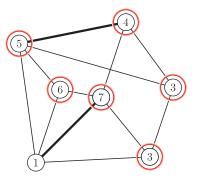
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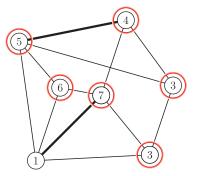
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Challenges with more general/matroidal constraints

Previous iterative relaxation/rounding approaches are hard to generalize to matroidal deg constraints (or other generalized constraint).

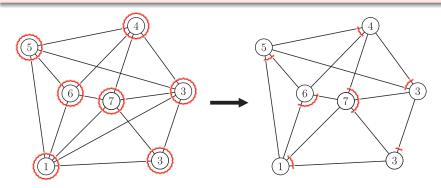
Some issues with previous iterative relaxation approaches

- Not sufficient sparsity to drop full degree constraints at some vertex.
- Previous approaches relied on the fact that each edge is only in a constant number of linear constraints (belonging to degree constraints).

High-level goal of our algorithm

Iteratively change constraints to approach matroid intersection problem instead of targeting ST polytope (which is a matroid base polytope).

 \rightarrow Iteratively "remove" each edge {u, v} either from deg constraint at u or v. (this is similar in spirit to Goemans' algorithm, but works iteratively.)



- If each edge belong to at most one degree constraints, all matroidal degree constraints together form one single matroid.
- Resulting optimization problem is matroid intersection and thus integral.

Summary of further technical contributions

Further contributions on algorithm design level

- When removing edges from constraints: old constraint gets replaced by a possibly more complicated matroidal constraint (s.t. violation is bounded by slack).
- We fix tight ST constraints \rightarrow they help respect degree constraints.

New ideas for the analysis

- New argument to prove sparsity that exploits interplay of constraints.
- Exploit properties of low-dimensional faces of ST polytope (to deal with cases where many lin indep ST constraints are tight).

- H = (W, F): current graph, $F_2 \subseteq F$: edges currently in both constraints.
- N_w : current matroidal deg constraints with corresp. matroid polytope P_{N_w} .

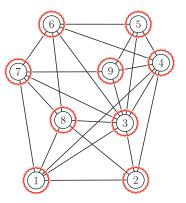
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- Delete 0-edges.
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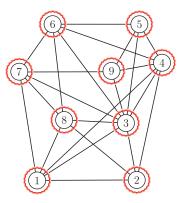
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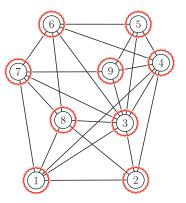
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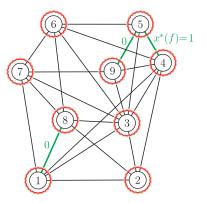
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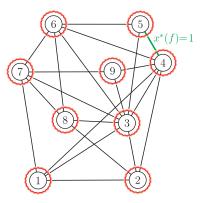
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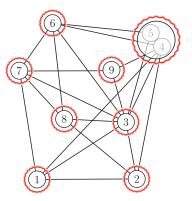
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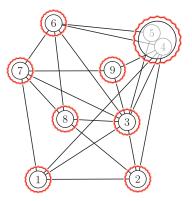
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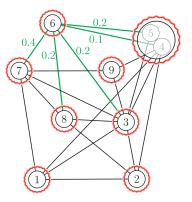
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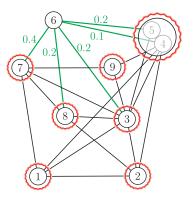
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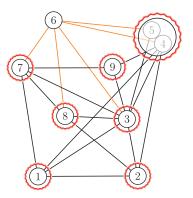
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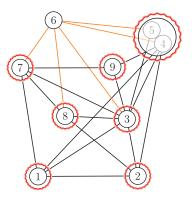
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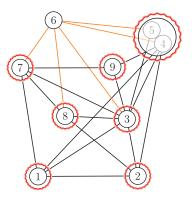
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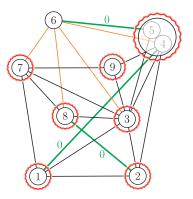
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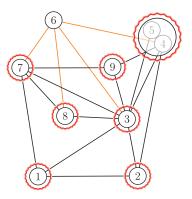
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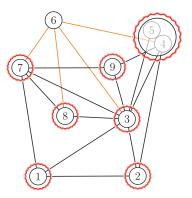
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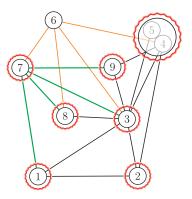
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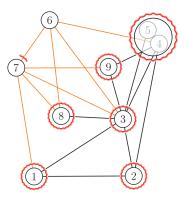
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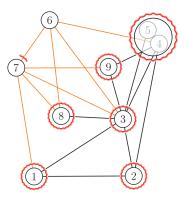
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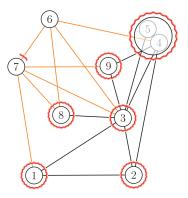
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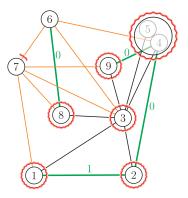
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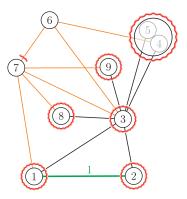
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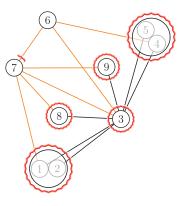
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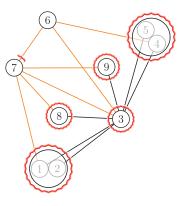
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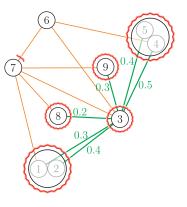
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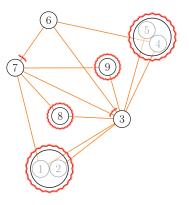
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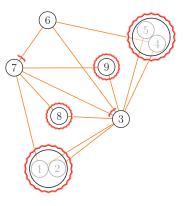
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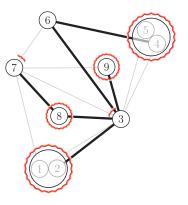
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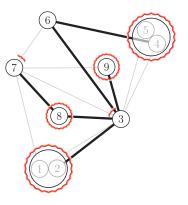
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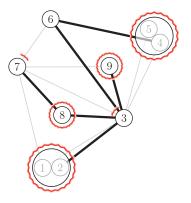
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Step 3: degree constraint adaptation

- Find $w \in W$ s.t. $z(\delta(w) \cap F_2) \leq 4$.
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- Go back to Step 1.



For $v \in V$, only ≤ 1 deg adaptations impacts $\delta(v) \Rightarrow$ violation ≤ 4 .

Updating degree constraints

Contraction of 1-edge



- Contract f in N_{w_1} and N_{w_2} .
- $N_{w_{1,2}}$ is disjoint union of N_{w_1} and N_{w_2} .

Deletion of 0-edge



• Delete f from N_{w_1} and N_{w_2} .

Removing edges from deg constr. (a bit more involved)



Update is done such that: i) $T \in \mathcal{T}$ satisfies $N'_w \Rightarrow T$ violates N_w by $\leq \lceil z(U) \rceil$. ii) Current LP sol remains feasible.

• $\delta^D(w) \subseteq \delta(w)$: edges not yet removed from N_w .

Lemma

If k linearly indep degree constraints of P_{N_w} are tight wrt $x^* \Rightarrow x^*(\delta^D(w)) \ge k$.

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Lemma

If k linearly indep degree constraints of P_{N_w} are tight wrt $x^* \Rightarrow x^*(\delta^D(w)) \ge k$.

- Total # of linearly indep tight deg constraints at start of algo.: $|\mathcal{D}| \leq \sum_{w \in W} x^*(\delta^{D}(w)) = \sum_{w \in W} x^*(\delta(w)) = 2x^*(F) \stackrel{x^* \in P_{ST}}{=} 2(|W| - 1).$
- Total # of linearly indep & tight ST constraints: $|\mathcal{L}| \stackrel{\text{uncrossing}}{\leq} |W| 1$.
- $|\operatorname{supp}(x^*)| = |F| \le |\mathcal{L}| + |\mathcal{D}| \le 3(|W| 1).$

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∃ adaptation step in first iteration

$$egin{aligned} &\sum_{w\in W} z(\delta^D(w)) = \sum_{w\in W} z(\delta(w)) = \sum_{w\in W} \left(|\delta(w)| - x^*(\delta(w))
ight) \ &\leq 2|F| - \sum_{w\in W} x^*(\delta(w)) = 2|F| - 2x^*(F) \leq 4(|W| - 1). \end{aligned}$$

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$$\sum_{w \in W} z(\delta^D(w)) = \sum_{w \in W} z(\delta(w)) = \sum_{w \in W} (|\delta(w)| - x^*(\delta(w)))$$

 $\leq 2|F| - \sum_{w \in W} x^*(\delta(w)) = 2|F| - 2x^*(F) \leq 4(|W| - 1).$

In later iterations, this averaging argument does not work anymore

- For some nodes $w \in W$ we will have $\delta^D(w) = \emptyset$.
- We improve sparsity with 2nd type of deg adaptation (\rightarrow another +4 in violation).

Conclusions

- Even for very general degree constraints (matroidal degree constraints), a tree of cost ≤ OPT can be obtained with a constant additive degree violation.
- Targeting matroid intersection instead of single matroid seems like an interesting plan in iterative relaxation framework.
- Extensions to other problems?
- Obtaining an additive violation < 8?
- Constant multiplicative errors for special families of cut constraints?
- Constant-thin spanning trees (implies constant factor approx for ATSP)?

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Thank you!

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