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Measurement of the inclusive-isolated prompt-photon cross section in $p\bar{p}$ collisions using the full CDF data set

T. Aaltonen,²¹ M. G. Albrow,¹⁵ S. Amerio,^{39b} D. Amidei,³¹ A. Anastassov,^{15,w} A. Annovi,¹⁷ J. Antos,¹² G. Apollinari,¹⁵ J. A. Appel,¹⁵ T. Arisawa,⁵¹ A. Artikov,¹³ J. Asaadi,⁴⁷ W. Ashmanskas,¹⁵ B. Auerbach,² A. Aurisano,⁴⁷ F. Azfar,³⁸ W. Badgett,¹⁵ T. Bae,²⁵ A. Barbaro-Galtieri,²⁶ V. E. Barnes,⁴³ B. A. Barnett,²³ P. Barria,^{41c} P. Bartos,¹² M. Baucé,^{39b} F. Bedeschi,^{41a} S. Behari,¹⁵ G. Bellettini,^{41b} J. Bellinger,⁵³ D. Benjamin,¹⁴ A. Beretvas,¹⁵ A. Bhatti,⁴⁵ K. R. Bland,⁵ B. Blumenfeld,²³ A. Bocci,¹⁴ A. Bodek,⁴⁴ D. Bortoletto,⁴³ J. Boudreau,⁴² A. Boveia,¹¹ L. Brigliadori,^{6b} C. Bromberg,³² E. Brucken,²¹ J. Budagov,¹³ H. S. Budd,⁴⁴ K. Burkett,¹⁵ G. Busetto,^{39b} P. Bussey,¹⁹ P. Butti,^{41b} A. Buzatu,¹⁹ A. Calamba,¹⁰ S. Camarda,⁴ M. Campanelli,²⁸ F. Canelli,^{11,ff} B. Carls,²² D. Carlsmith,⁵³ R. Carosi,^{41a} S. Carrillo,^{16,l} B. Casal,^{9,j} M. Casarsa,^{48a} A. Castro,^{6b} P. Catastini,²⁰ D. Cauz,^{48b,48c} V. Cavaliere,²² A. Cerri,^{26,e} L. Cerrito,^{28,r} Y. C. Chen,¹ M. Chertok,⁷ G. Chiarelli,^{41a} G. Chlachidze,¹⁵ K. Cho,²⁵ D. Chokheli,¹³ A. Clark,¹⁸ C. Clarke,⁵² M. E. Convery,¹⁵ J. Conway,⁷ M. Corbo,^{15,z} M. Cordelli,¹⁷ C. A. Cox,⁷ D. J. Cox,⁷ M. Cremonesi,^{41a} D. Cruz,⁴⁷ J. Cuevas,^{9,y} R. Culbertson,¹⁵ N. d'Ascenzo,^{15,v} M. Datta,^{15,ii} P. de Barbaro,⁴⁴ L. Demortier,⁴⁵ M. Deninno,^{6a} M. D'Errico,^{39b} F. Devoto,²¹ A. Di Canto,^{41b} B. Di Ruzza,^{15,p} J. R. Dittmann,⁵ S. Donati,^{41b} M. D'Onofrio,²⁷ M. Dorigo,^{48d} A. Driutti,^{48b,48c} K. Ebina,⁵¹ R. Edgar,³¹ R. Erbacher,⁷ S. Errede,²² B. Esham,²² S. Farrington,³⁸ J. P. Fernández Ramos,²⁹ R. Field,¹⁶ G. Flanagan,^{15,t} R. Forrest,⁷ M. Franklin,²⁰ J. C. Freeman,¹⁵ H. Frisch,¹¹ Y. Funakoshi,⁵¹ C. Galloni,^{41b} A. F. Garfinkel,⁴³ P. Garosi,^{41c} H. Gerberich,²² E. Gerchtein,¹⁵ S. Giagu,^{46a} V. Giakoumopoulou,³ K. Gibson,⁴² C. M. Ginsburg,¹⁵ N. Giokaris,^{3,†} P. Giromini,¹⁷ V. Glagolev,¹³ D. Glenzinski,¹⁵ M. Gold,³⁴ D. Goldin,⁴⁷ A. Golossanov,¹⁵ G. Gomez,⁹ G. Gomez-Ceballos,³⁰ M. Goncharov,³⁰ O. González López,²⁹ I. Gorelov,³⁴ A. T. Goshaw,¹⁴ K. Goulianos,⁴⁵ E. Gramellini,^{6a} C. Grosso-Pilcher,¹¹ J. Guimaraes da Costa,²⁰ S. R. Hahn,¹⁵ J. Y. Han,⁴⁴ F. Happacher,¹⁷ K. Hara,⁴⁹ M. Hare,⁵⁰ R. F. Harr,⁵² T. Harrington-Taber,^{15,m} K. Hatakeyama,⁵ C. Hays,³⁸ J. Heinrich,⁴⁰ M. Herndon,⁵³ A. Hocker,¹⁵ Z. Hong,⁴⁷ W. Hopkins,^{15,ff} S. Hou,¹ R. E. Hughes,³⁵ U. Husemann,⁵⁴ M. Hussein,^{32,dd} J. Huston,³² G. Introzzi,^{41e,41f} M. Iori,^{46b} A. Ivanov,^{7,o} E. James,¹⁵ D. Jang,¹⁰ B. Jayatilaka,¹⁵ E. J. Jeon,²⁵ S. Jindariani,¹⁵ M. Jones,⁴³ K. K. Joo,²⁵ S. Y. Jun,¹⁰ T. R. Junk,¹⁵ M. Kambeitz,²⁴ T. Kamon,^{25,47} P. E. Karchin,⁵² A. Kasmi,⁵ Y. Kato,^{37,n} W. Ketchum,^{11,ji} J. Keung,⁴⁰ B. Kilminster,^{15,ff} D. H. Kim,²⁵ H. S. Kim,^{15,bb} J. E. Kim,²⁵ M. J. Kim,¹⁷ S. H. Kim,⁴⁹ S. B. Kim,²⁵ Y. J. Kim,²⁵ Y. K. Kim,¹¹ N. Kimura,⁵¹ M. Kirby,¹⁵ K. Kondo,^{51,†} D. J. Kong,²⁵ J. Konigsberg,¹⁶ A. V. Kotwal,¹⁴ M. Kreps,²⁴ J. Kroll,⁴⁰ M. Kruse,¹⁴ T. Kuhr,²⁴ M. Kurata,⁴⁹ A. T. Laasanen,⁴³ S. Lammel,¹⁵ M. Lancaster,²⁸ K. Lannon,^{35,x} G. Latino,^{41c} H. S. Lee,²⁵ J. S. Lee,²⁵ S. Leo,²² S. Leone,^{41a} J. D. Lewis,¹⁵ A. Limosani,^{14,s} E. Lipeles,⁴⁰ A. Lister,^{18,a} Q. Liu,⁴³ T. Liu,¹⁵ S. Lockwitz,⁵⁴ A. Loginov,⁵⁴ D. Lucchesi,^{39b} A. Lucà,^{17,15,*} J. Lueck,²⁴ P. Lujan,²⁶ P. Lukens,¹⁵ G. Lungu,⁴⁵ J. Lys,^{26,†} R. Lysak,^{12,d} R. Madrak,¹⁵ P. Maestro,^{41c} S. Malik,⁴⁵ G. Manca,^{27,b} A. Manousakis-Katsikakis,³ L. Marchese,^{6a,kk} F. Margaroli,^{46a} P. Marino,^{41d} K. Matera,²² M. E. Mattson,⁵² A. Mazzacane,¹⁵ P. Mazzanti,^{6a} R. McNulty,^{27,i} A. Mehta,²⁷ P. Mehtala,²¹ C. Mesropian,⁴⁵ T. Miao,¹⁵ D. Mietlicki,³¹ A. Mitra,¹ H. Miyake,⁴⁹ S. Moed,¹⁵ N. Moggi,^{6a} C. S. Moon,^{15,z} R. Moore,^{15,gg,hh} M. J. Morello,^{41d} A. Mukherjee,¹⁵ Th. Muller,²⁴ P. Murat,¹⁵ M. Mussini,^{6b} J. Nachtman,^{15,m} Y. Nagai,⁴⁹ J. Naganoma,⁵¹ I. Nakano,³⁶ A. Napier,⁵⁰ J. Nett,⁴⁷ T. Nigmanov,⁴² L. Nodulman,² S. Y. Noh,²⁵ O. Normiella,²² L. Oakes,³⁸ S. H. Oh,¹⁴ Y. D. Oh,²⁵ T. Okusawa,³⁷ R. Orava,²¹ L. Ortolan,⁴ C. Pagliarone,^{48a} E. Palencia,^{9,e} P. Palni,³⁴ V. Papadimitriou,¹⁵ W. Parker,⁵³ G. Pauletta,^{48a,48b} M. Paulini,¹⁰ C. Paus,³⁰ T. J. Phillips,¹⁴ G. Piacentino,^{15,q} E. Pianori,²² J. Pilot,⁷ K. Pitts,²² C. Plager,⁸ L. Pondrom,⁵³ S. Poprocki,^{15,f} K. Potamianos,²⁶ A. Pranko,²⁶ F. Prokoshin,^{13,aa} F. Ptohos,^{17,g} G. Punzi,^{41b} I. Redondo Fernández,²⁹ P. Renton,³⁸ M. Rescigno,^{46a} F. Rimondi,^{6a,†} L. Ristori,^{41a,15} A. Robson,¹⁹ T. Rodriguez,⁴⁰ S. Rolli,^{50,h} M. Ronzani,^{41b} R. Roser,¹⁵ J. L. Rosner,¹¹ F. Ruffini,^{41c} A. Ruiz,⁹ J. Russ,¹⁰ V. Rusu,¹⁵ W. K. Sakumoto,⁴⁴ Y. Sakurai,⁵¹ L. Santi,^{48a,48b} K. Sato,⁴⁹ V. Saveliev,^{15,v} A. Savoy-Navarro,^{15,z} P. Schlabach,¹⁵ E. E. Schmidt,¹⁵ T. Schwarz,³¹ L. Scodellaro,⁹ F. Scuri,^{41a} S. Seidel,³⁴ Y. Seiya,³⁷ A. Semenov,¹³ F. Sforza,^{41b} S. Z. Shalhout,⁷ T. Shears,²⁷ P. F. Shepard,⁴² M. Shimojima,^{49,u} M. Shochet,¹¹ I. Shreyber-Tecker,³³ A. Simonenko,¹³ P. Sinervo,^{15,cc} K. Sliwa,⁵⁰ J. R. Smith,⁷ F. D. Snider,¹⁵ H. Song,⁴² V. Sorin,⁴ R. St. Denis,^{19,†} M. Stancari,¹⁵ D. Stentz,^{15,w} J. Strologas,³⁴ Y. Sudo,⁴⁹ A. Sukhanov,¹⁵ I. Suslov,¹³ K. Takemasa,⁴⁹ Y. Takeuchi,⁴⁹ J. Tang,¹¹ M. Tecchio,³¹ P. K. Teng,¹ J. Thom,^{15,f} E. Thomson,⁴⁰ V. Thukral,⁴⁷ D. Toback,⁴⁷ S. Tokar,¹² K. Tollefson,³² T. Tomura,⁴⁹ D. Tonelli,^{15,e} S. Torre,¹⁷ D. Torretta,¹⁵ P. Totaro,^{39a} M. Trovato,^{41d} F. Ukegawa,⁴⁹ S. Uozumi,²⁵ F. Vázquez,^{16,i} G. Velev,¹⁵ C. Vellidis,¹⁵ C. Vernieri,^{41d} M. Vidal,⁴³ R. Vilar,⁹ J. Vizán,^{9,ee} M. Vogel,³⁴ G. Volpi,¹⁷ P. Wagner,⁴⁰ R. Wallny,^{15,j} S. M. Wang,¹ D. Waters,²⁸ W. C. Wester III,¹⁵ D. Whiteson,^{40,c} A. B. Wicklund,² S. Wilbur,⁷ H. H. Williams,⁴⁰ J. S. Wilson,³¹ P. Wilson,¹⁵ B. L. Winer,³⁵ P. Wittich,^{15,f} S. Wolbers,¹⁵ H. Wolfe,³⁵ T. Wright,³¹ X. Wu,¹⁸ Z. Wu,⁵ K. Yamamoto,³⁷ D. Yamato,³⁷ T. Yang,¹⁵ U. K. Yang,²⁵ Y. C. Yang,²⁵ W. -M. Yao,²⁶ G. P. Yeh,¹⁵ K. Yi,^{15,m} J. Yoh,¹⁵ K. Yorita,⁵¹ T. Yoshida,^{37,k} G. B. Yu,¹⁴ I. Yu,²⁵ A. M. Zanetti,^{48a} Y. Zeng,¹⁴ C. Zhou,¹⁴ and S. Zucchelli^{6b}

(The CDF Collaboration)

- ¹*Institute of Physics, Academia Sinica, Taipei, Taiwan 11529, Republic of China*
²*Argonne National Laboratory, Argonne, Illinois 60439, USA*
³*University of Athens, 157 71 Athens, Greece*
⁴*Institut de Fisica d'Altes Energies, ICREA, Universitat Autònoma de Barcelona, E-08193 Bellaterra (Barcelona), Spain*
⁵*Baylor University, Waco, Texas 76798, USA*
^{6a}*Istituto Nazionale di Fisica Nucleare Bologna, I-40127 Bologna, Italy*
^{6b}*University of Bologna, I-40127 Bologna, Italy*
⁷*University of California, Davis, Davis, California 95616, USA*
⁸*University of California, Los Angeles, Los Angeles, California 90024, USA*
⁹*Instituto de Fisica de Cantabria, CSIC-University of Cantabria, 39005 Santander, Spain*
¹⁰*Carnegie Mellon University, Pittsburgh, Pennsylvania 15213, USA*
¹¹*Enrico Fermi Institute, University of Chicago, Chicago, Illinois 60637, USA*
¹²*Comenius University, 842 48 Bratislava, Slovakia;*
Institute of Experimental Physics, 040 01 Kosice, Slovakia
¹³*Joint Institute for Nuclear Research, RU-141980 Dubna, Russia*
¹⁴*Duke University, Durham, North Carolina 27708, USA*
¹⁵*Fermi National Accelerator Laboratory, Batavia, Illinois 60510, USA*
¹⁶*University of Florida, Gainesville, Florida 32611, USA*
¹⁷*Laboratori Nazionali di Frascati, Istituto Nazionale di Fisica Nucleare, I-00044 Frascati, Italy*
¹⁸*University of Geneva, CH-1211 Geneva 4, Switzerland*
¹⁹*Glasgow University, Glasgow G12 8QQ, United Kingdom*
²⁰*Harvard University, Cambridge, Massachusetts 02138, USA*
²¹*Division of High Energy Physics, Department of Physics, University of Helsinki, FIN-00014, Helsinki, Finland;*
Helsinki Institute of Physics, FIN-00014 Helsinki, Finland
²²*University of Illinois, Urbana, Illinois 61801, USA*
²³*The Johns Hopkins University, Baltimore, Maryland 21218, USA*
²⁴*Institut für Experimentelle Kernphysik, Karlsruhe Institute of Technology, D-76131 Karlsruhe, Germany*
²⁵*Center for High Energy Physics: Kyungpook National University, Daegu 702-701, Korea;*
Seoul National University, Seoul 151-742, Korea; Sungkyunkwan University, Suwon 440-746, Korea;
Korea Institute of Science and Technology Information, Daejeon 305-806, Korea;
Chonnam National University, Gwangju 500-757, Korea;
Chonbuk National University, Jeonju 561-756, Korea;
Ewha Womans University, Seoul, 120-750, Korea
²⁶*Ernest Orlando Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*
²⁷*University of Liverpool, Liverpool L69 7ZE, United Kingdom*
²⁸*University College London, London WC1E 6BT, United Kingdom*
²⁹*Centro de Investigaciones Energeticas Medioambientales y Tecnológicas, E-28040 Madrid, Spain*
³⁰*Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA*
³¹*University of Michigan, Ann Arbor, Michigan 48109, USA*
³²*Michigan State University, East Lansing, Michigan 48824, USA*
³³*Institution for Theoretical and Experimental Physics, ITEP, Moscow 117259, Russia*
³⁴*University of New Mexico, Albuquerque, New Mexico 87131, USA*
³⁵*The Ohio State University, Columbus, Ohio 43210, USA*
³⁶*Okayama University, Okayama 700-8530, Japan*
³⁷*Osaka City University, Osaka 558-8585, Japan*
³⁸*University of Oxford, Oxford OX1 3RH, United Kingdom*
^{39a}*Istituto Nazionale di Fisica Nucleare, Sezione di Padova, I-35131 Padova, Italy*
^{39b}*University of Padova, I-35131 Padova, Italy*
⁴⁰*University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA*
^{41a}*Istituto Nazionale di Fisica Nucleare Pisa, I-56127 Pisa, Italy*
^{41b}*University of Pisa, I-56127 Pisa, Italy*
^{41c}*University of Siena, I-56127 Pisa, Italy*
^{41d}*Scuola Normale Superiore, I-56127 Pisa, Italy*
^{41e}*INFN Pavia, I-27100 Pavia, Italy*
^{41f}*University of Pavia, I-27100 Pavia, Italy*

- ⁴²University of Pittsburgh, Pittsburgh, Pennsylvania 15260, USA
⁴³Purdue University, West Lafayette, Indiana 47907, USA
⁴⁴University of Rochester, Rochester, New York 14627, USA
⁴⁵The Rockefeller University, New York, New York 10065, USA
^{46a}Istituto Nazionale di Fisica Nucleare, Sezione di Roma 1, I-00185 Roma, Italy
^{46b}Sapienza Università di Roma, I-00185 Roma, Italy
⁴⁷Mitchell Institute for Fundamental Physics and Astronomy, Texas A&M University, College Station, Texas 77843, USA
^{48a}Istituto Nazionale di Fisica Nucleare Trieste, I-33100 Udine, Italy
^{48b}Gruppo Collegato di Udine, I-33100 Udine, Italy
^{48c}University of Udine, I-33100 Udine, Italy
^{48d}University of Trieste, I-34127 Trieste, Italy
⁴⁹University of Tsukuba, Tsukuba, Ibaraki 305, Japan
⁵⁰Tufts University, Medford, Massachusetts 02155, USA
⁵¹Waseda University, Tokyo 169, Japan
⁵²Wayne State University, Detroit, Michigan 48201, USA
⁵³University of Wisconsin-Madison, Madison, Wisconsin 53706, USA
⁵⁴Yale University, New Haven, Connecticut 06520, USA
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A measurement of the inclusive production cross section of isolated prompt photons in proton-antiproton collisions at center-of-mass energy $\sqrt{s} = 1.96$ TeV is presented. The results are obtained using the full Run II data sample collected with the Collider Detector at the Fermilab Tevatron, which corresponds to an

* Corresponding author.

aluca@fnal.gov

[†]Deceased.

^aUniversity of British Columbia, Vancouver, BC, Canada.

^bIstituto Nazionale di Fisica Nucleare, Sezione di Cagliari, Monserrato (Cagliari), Italy.

^cUniversity of California Irvine, Irvine, CA, USA.

^dInstitute of Physics of the CAS, Na Slovance 2, 182 21 Praha 8, Czech Republic.

^eCERN, CH-1211 Geneva, Switzerland.

^fCornell University, Ithaca, NY, USA.

^gUniversity of Cyprus, Nicosia, Cyprus.

^hOffice of Science, U.S. Department of Energy, Washington, D.C., USA.

ⁱUniversity College Dublin, Dublin, Ireland.

^jETH, Zürich, Switzerland.

^kUniversity of Fukui, Fukui City, Fukui Prefecture, Japan.

^lUniversidad Iberoamericana, Lomas de Santa Fe, Distrito Federal, México.

^mUniversity of Iowa, Iowa City, IA, USA.

ⁿKinki University, Higashi-Osaka City, Japan.

^oKansas State University, Manhattan, KS, USA.

^pBrookhaven National Laboratory, Upton, NY, USA.

^qIstituto Nazionale di Fisica Nucleare, Sezione di Lecce, Via Arnesano, Lecce, Italy.

^rQueen Mary, University of London, London, United Kingdom.

^sUniversity of Melbourne, Victoria, Australia.

^tMuons, Inc., Batavia, IL, USA.

^uNagasaki Institute of Applied Science, Nagasaki, Japan.

^vNational Research Nuclear University, Moscow, Russia.

^wNorthwestern University, Evanston, IL, USA.

^xUniversity of Notre Dame, Notre Dame, IN, USA.

^yUniversidad de Oviedo, E-33007 Oviedo, Spain.

^zCNRS-IN2P3, Paris, France.

^{aa}Universidad Tecnica Federico Santa Maria, Valparaiso, Chile.

^{bb}Sejong University, Seoul, Korea.

^{cc}University of Toronto, Toronto, Ontario, Canada.

^{dd}The University of Jordan, Amman, Jordan.

^{ee}Universite catholique de Louvain, 1348 Louvain-La-Neuve, Belgium.

^{ff}University of Zürich, Zürich, Switzerland.

^{gg}Massachusetts General Hospital, Boston, MA, USA.

^{hh}Harvard Medical School, Boston, MA, USA.

ⁱⁱHampton University, Hampton, VA, USA.

^{jj}Los Alamos National Laboratory, Los Alamos, NM, USA.

^{kk}Università degli Studi di Napoli Federico II, I-80138 Napoli, Italy.

integrated luminosity of 9.5 fb^{-1} . The cross section is measured as a function of photon transverse energy, E_T^γ , in the range $30 < E_T^\gamma < 500 \text{ GeV}$ and in the pseudorapidity region $|\eta^\gamma| < 1.0$. The results are compared with predictions from parton-shower Monte Carlo models at leading order in QCD and from next-to-leading-order perturbative QCD calculations. The latter show good agreement with the measured cross section.

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I. INTRODUCTION

The measurement of the cross section for the production of inclusive prompt photons (γ) in proton-antiproton ($p\bar{p}$) collisions is an important test of perturbative quantum chromodynamics, probing the parton distribution functions (PDFs), and the parton-to-photon fragmentation functions (FFs) [1–3]. In addition, prompt-photon production is a major background for many other standard model (SM) processes such as Higgs-boson decays into photon pairs ($H \rightarrow \gamma\gamma$) and in searches for non-SM physics with final states containing photons [4–6]. The term “prompt” identifies photons that are produced directly in the hard interaction and do not arise from hadron decays. In $p\bar{p}$ collisions, events with prompt photons with transverse energy E_T^γ [7] smaller than approximately 100 GeV are produced predominantly via quark-gluon Compton scattering $qg \rightarrow q\gamma$, while at higher energies, the quark-antiquark annihilation process $q\bar{q} \rightarrow g\gamma$ plays a dominant role. In addition, prompt photons are produced by initial- and final-state radiation from partons; however, this contribution is suppressed by requiring the photon to be isolated. The first measurement of the prompt-photon production cross section in hadron collisions came from the CERN Intersecting Storage Rings pp collider, followed by measurements at the $Spp\bar{p}S$ collider [8–11]. More recent prompt-photon measurements have been performed at the Fermilab Tevatron Collider by the CDF and D0 collaborations using $p\bar{p}$ collisions collected at a center-of-mass energy $\sqrt{s} = 1.8$ and 1.96 TeV [12–14] and at the CERN Large Hadron Collider by the ATLAS and CMS collaborations using pp collisions at $\sqrt{s} = 7$ [15–19], 8 [20], and 13 TeV [21]. This article presents a measurement of the inclusive cross section for isolated prompt photons over the range $30 < E_T^\gamma < 500 \text{ GeV}$, based on the full data set collected by the Collider Detector (CDF) during Run II (2001–2011) of the Fermilab Tevatron Collider and corresponding to an integrated luminosity of 9.5 fb^{-1} [22].

II. CDF II DETECTOR

The CDF II detector [23] is a general-purpose spectrometer at the Fermilab Tevatron collider. It has a cylindrical geometry with approximate forward-backward and azimuthal symmetry. It includes a charged-particle tracking system consisting of silicon microstrip detectors and a cylindrical open-cell drift chamber, designed to

measure charged-particle trajectories (tracks) and momenta. The tracking system is contained within a 1.4 T axial magnetic field. It is surrounded by electromagnetic (EM) and hadronic calorimeters segmented in projective towers and used to identify and measure the energy and position of photons, electrons, hadrons, and clusters of particles (jets). The central calorimeters cover the region $|\eta| < 1.1$ and have electromagnetic transverse-energy resolution of $\sigma(E_T)/E_T = 13.5\%/\sqrt{E_T(\text{GeV})} \oplus 1.5\%$ and a tower segmentation of $\Delta\eta \times \Delta\phi \approx 0.1 \times 15^\circ$ in pseudorapidity-angular space [7]. At a depth corresponding approximately to the maximum energy density in the development of a typical EM shower, the EM calorimeters contain detectors that measure the transverse shower profile. The electromagnetic compartments of the calorimeter are equipped with a timing system measuring the arrival time of particles that deposit energy in each tower [24]. Drift chambers and scintillation counters located outside the calorimeters identify muons.

III. DATA AND SIMULATED SAMPLES

A. Event selection

Photons are reconstructed using clusters of (up to three) adjacent towers above threshold in the central EM calorimeter [25]. The pseudorapidity is restricted to the fiducial region $|\eta^\gamma| < 1.0$. The data are collected using a three-level online event-filtering system (trigger) [26] that selects events with at least one EM cluster consistent with a photon in the final state. Since there can be multiple collisions in the same bunch crossing, the event primary vertex ($p\bar{p}$ interaction point) is chosen to be the one that results in the best balance of the p_T of the photon; the z position of the reconstructed primary vertex is required to be within 60 cm of the center of the detector. The photon transverse energy is corrected to account for nonuniformities in the calorimeter response and calibrated using electrons from reconstructed Z-boson decays [27]. Photon candidates are required to satisfy $E_T^\gamma > 30 \text{ GeV}$ and to meet requirements on calorimeter isolation [28], on track isolation [28], and on the ratio of the energy deposited in the hadronic calorimeter to the energy in the EM cluster [25]. If more than one prompt-photon candidate is reconstructed ($\approx 1\%$ of the photon events), that with the highest E_T (leading photon) is chosen. Events with electrons from Z- and W-boson decays, which can be misidentified as

photons, are removed from the sample by requiring zero tracks, or at the most one soft track (track isolation ≤ 5 GeV), pointing to the EM cluster. This track is allowed to account for underlying event and pileup energy around the cluster. The number of electrons coming from W -boson decays is further reduced by requiring the missing transverse energy [7] of the event to be less than 80% of the transverse energy of the photon candidate. This requirement also reduces backgrounds arising from other sources that lead to energy imbalance, such as muons from cosmic rays that may emit bremsstrahlung radiation in the calorimeter and muons from beam-halo interactions with the beam pipe, which may in turn interact with the detector material producing photons. Finally, the EM signal timing is required to be consistent with the $p\bar{p}$ collision time [25]. The residual backgrounds from Z - and W -boson decays, cosmic rays, and the beam halo are expected to be less than 1% of the total sample. After applying all the selection criteria, 2.1×10^6 events remain in the $\gamma + X$ data sample. The dominant remaining backgrounds are due to jets misidentified as photons.

B. Simulated events

Simulated events from the PYTHIA Monte Carlo (MC) generator [29] are used in the background estimation and to evaluate the product of the detector acceptance (A) and the efficiency (ϵ) for signal events. Monte Carlo samples are generated with PYTHIA 6.216, a parton-shower generator at leading-order (LO) in the strong-interaction coupling, with the CTEQ5L PDFs [29]. The PYTHIA predictions include $2 \rightarrow 2$ matrix-element subprocesses. Higher-order QCD corrections are included by initial- and final-state parton showers. For the study of systematic uncertainties and for comparisons with the final results, events are also generated with the SHERPA 1.4.1 MC generator [30] with CT10 PDFs [31]. The SHERPA predictions include all the tree-level matrix-element amplitudes with one photon and up to three partons. This calculation features a parton-jet matching procedure to avoid an overlap between the phase-space descriptions given by the fixed-order matrix-element subprocesses and the showering and hadronization in the multijet simulation. The TUNE A [32,33] underlying event [34] model is used in the PYTHIA calculation. Monte Carlo events are passed through a GEANT-based simulation of the detector [35] and subjected to the same reconstruction and selection requirements as the data.

IV. SIGNAL FRACTION

After the event selection, the remaining background comes from the decays of hadrons (such as $\pi^0 \rightarrow \gamma\gamma$); they cannot be rejected on an event-by-event basis, so a statistical background-subtraction technique is used to measure the signal cross section. To evaluate the signal

fraction, an artificial neural network (ANN) is defined using as input the shower-shape, transverse profile, and isolation variables [36]. The inclusive-photon simulation is matched to data by applying the same corrections as derived in Refs. [13,37]. Further, MC events are reweighted to the observed instantaneous luminosity profile to account for luminosity-dependent effects. The expected ANN output distributions (“templates”) for signal and background samples are constructed using PYTHIA inclusive-photon and dijet MC predictions, respectively. These templates are validated using the $Z \rightarrow e^+e^-$ and dijet data samples [37]. To estimate the prompt-photon rate, the ANN output distribution observed in data is fit to a linear combination of signal and background ANN templates, using a binned maximum-likelihood method that accounts for uncertainties on both data and templates [38]. A fit is performed in each E_T^γ bin, yielding prompt-photon fractions in the E_T^γ range from 30 up to 500 GeV, as shown in Fig. 1 for an example E_T^γ bin. Figure 2 shows the resulting signal fraction (photon purity) as a function of E_T^γ . The systematic uncertainty on the signal fraction is estimated by varying the fit configurations (i.e., different binning and different fitting method [41]) and the values of the ANN input variables within their uncertainties. The dominant uncertainty on the shape of the ANN templates originates from the modeling of calorimeter isolation energy. The overall systematic uncertainty on the signal fraction is estimated to be 8% at low E_T^γ , 6% at high E_T^γ , and 3% on average for the intermediate E_T^γ range $40 < E_T^\gamma < 300$ GeV.

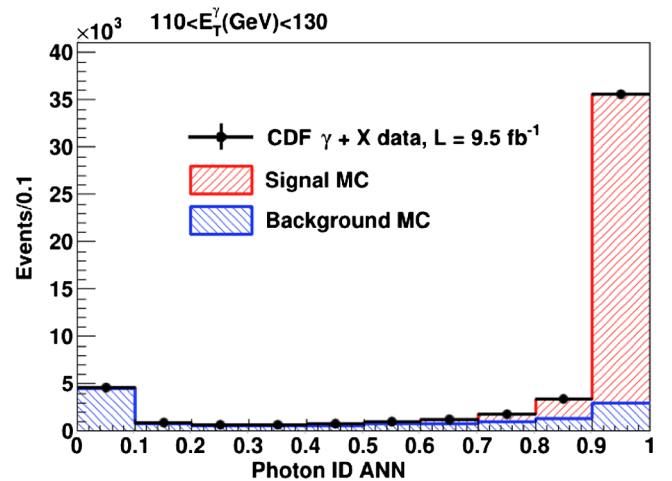


FIG. 1. Observed ANN output distribution (points), the templates for signal and background processes, and the resulting fit to the templates to the data distribution, for events restricted to the photon transverse-energy bin 110–130 GeV. The left-hatched histogram (blue in color) represents the background, and the right-hatched histogram (red in color) represents the signal, normalized so that the scale of the sum of the templates equals the total number of data events.

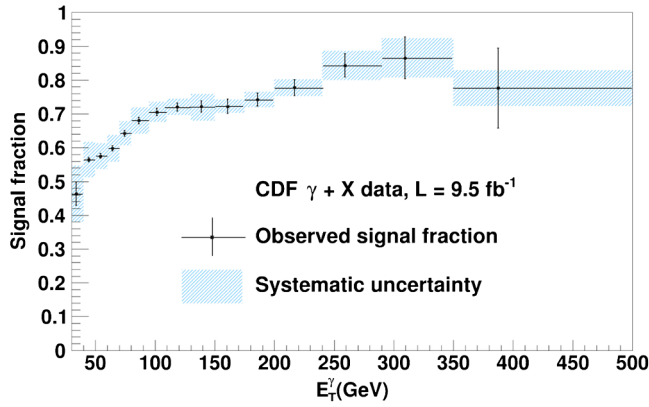


FIG. 2. Signal fraction as a function of leading-photon E_T . The points are plotted at the average E_T^γ of the data within each bin. The error bars represent the statistical uncertainty, while bands represent the total systematic uncertainty.

V. CROSS SECTION MEASUREMENT

The differential cross section for the production of isolated prompt photons in a given phase-space bin is calculated as

$$\frac{d^2\sigma}{dE_T^\gamma d\eta^\gamma} = \frac{N f^\gamma}{\Delta E_T^\gamma \Delta \eta^\gamma \mathcal{L} A \times \epsilon}, \quad (1)$$

where N is the number of data events in a given E_T^γ bin after applying the full selection, f^γ is the signal fraction, ΔE_T^γ is the width of the E_T^γ bin, \mathcal{L} is the integrated luminosity, and $A \times \epsilon$ is a correction factor. Since the cross section is measured for $|\eta^\gamma| < 1.0$, $\Delta \eta^\gamma$ is set to 2.0.

The factor $A \times \epsilon$ combines corrections for acceptance, resolution effects, and efficiencies for selecting and reconstructing the photon to infer the results at the particle level (i.e., generator level). The correction is computed from the bin-by-bin fraction of simulated particle-level prompt photons in the reconstructed signal events, as determined by the PYTHIA MC calculation. The numerator is obtained by applying the same requirements to the PYTHIA-simulated events as those applied to data. The denominator is obtained by selecting generated particles [42] in the fiducial region, with $E_T^\gamma > 30$ GeV and the same energy isolation requirement as in the data. The photon efficiency is calibrated by comparing the selection efficiencies for $Z \rightarrow e^+e^-$ events in data and in simulation [37]. The data-to-simulation ratio is then used to correct the simulated photon efficiency.

The largest sources of systematic uncertainty for the factor $A \times \epsilon$ arise from the photon energy scale at high E_T ($\approx 6\%$) and from the MC generator choice ($\approx 8\%$). The latter is determined by a comparison of results from the PYTHIA and SHERPA MC calculations. The overall systematic uncertainty on the factor $A \times \epsilon$ is estimated to be approximately of 10%.

VI. THEORETICAL PREDICTIONS

The predicted prompt-photon production cross section is calculated using the fixed-order next-to-leading-order (NLO) program MCFM 6.8 including nonperturbative fragmentation at LO [43]. The calculation uses the MRST2008 NLO PDFs and the GdRG LO FFs [44]. The MCFM prediction is a parton-level calculation that does not include a model for the underlying event energy. This prediction is corrected for the nonperturbative effects of parton-to-hadron fragmentation and for underlying event energy. A correction factor $C_{UE} = 0.91 \pm 0.03$ is defined as the overall ratio of the cross section obtained using the PYTHIA MC generator, with and without modeling of both multiple-parton interactions and hadronization [13].

The nominal renormalization (μ_R), factorization (μ_F), and fragmentation (μ_f) scales are set to the photon transverse energy ($\mu_R = \mu_F = \mu_f = E_T^\gamma$). The scale uncertainty is evaluated by varying the three scales simultaneously between the extreme values $E_T^\gamma/2$ and $2E_T^\gamma$.

In addition to comparison with the perturbative-QCD prediction above, we also compare the measured cross section to predictions from the PYTHIA and SHERPA MC generators. Both are calculated at the particle level, meaning that the photon isolation energy is estimated using generated hadrons and the selection criteria are applied to the hadron jets and are directly comparable to our measurement.

VII. RESULTS

The differential cross section results for the production of isolated prompt photons are listed in Table I, together with statistical and systematic uncertainties. The systematic uncertainties on the differential cross section are determined by propagating the sources of uncertainty considered for f^γ and $A \times \epsilon$. At low E_T^γ , the total systematic uncertainty is dominated by the uncertainties in the ANN-template modeling ($\approx 16\%$), while the dependence of the $A \times \epsilon$ factors on the event generator gives the dominant contribution ($\approx 10\%$) to the uncertainty at intermediate and high E_T^γ . The uncertainty from the energy scale introduces an uncertainty on the measured cross section that varies between $\approx 3\%$ and $\approx 8\%$ as E_T^γ increases. Finally, there is an additional 6% uncertainty on the integrated luminosity [45].

These results are compared with the theoretical predictions in Fig. 3. The ratio of the measured cross section over the predicted ones is shown in Fig. 4. The full error bars on the data points represent statistical and systematic uncertainties summed in quadrature. The inner error bars show statistical uncertainties only. The NLO predictions are shown with their theoretical uncertainties arising from the choice of factorization, renormalization, and fragmentation scales.

TABLE I. Measured cross section for the production of prompt isolated photons within the pseudorapidity region $|\eta^\gamma| < 1.0$, in bins of E_T^γ [Eq. (1)]. $\langle E_T^\gamma \rangle$, the average E_T^γ within each bin, is listed for illustration of the steeply falling spectral shape. The measured-cross section uncertainties given are statistical only. The column $\delta\sigma_{\text{sys}}$ gives the systematic uncertainties. The additional 6% luminosity uncertainty is not included in the table.

E_T^γ (GeV)	$\langle E_T^\gamma \rangle$ (GeV)	$d^2\sigma/(dE_T^\gamma d\eta^\gamma)$ (pb/GeV)	$\delta\sigma_{\text{sys}}$ (%)
30–40	34.1	$(5.49 \pm 0.41) \times 10^1$	23.3
40–50	44.3	$(1.72 \pm 0.23) \times 10^1$	17.2
50–60	54.3	$(6.72 \pm 0.11) \times 10^0$	14.9
60–70	64.4	$(2.95 \pm 0.04) \times 10^0$	14.6
70–80	74.5	$(1.45 \pm 0.02) \times 10^0$	13.7
80–90	84.6	$(6.87 \pm 0.10) \times 10^{-1}$	13.2
90–110	99.7	$(3.03 \pm 0.05) \times 10^{-1}$	12.8
110–130	118.7	$(1.32 \pm 0.03) \times 10^{-1}$	12.7
130–150	138.8	$(5.65 \pm 0.15) \times 10^{-2}$	13.1
150–175	160.9	$(2.37 \pm 0.08) \times 10^{-2}$	12.6
175–200	185.9	$(1.03 \pm 0.03) \times 10^{-2}$	12.4
200–240	216.8	$(4.01 \pm 0.12) \times 10^{-3}$	13.2
240–290	259.2	$(1.16 \pm 0.05) \times 10^{-3}$	14.1
290–350	309.4	$(3.08 \pm 0.23) \times 10^{-4}$	15.1
350–500	387.6	$(1.83 \pm 0.29) \times 10^{-5}$	16.1

The NLO calculations agree with the data up to the highest E_T^γ -values considered. Observed cross sections are moderately larger than the central values for the NLO calculation for low E_T^γ but agree within the theoretical uncertainty of the NLO calculation.

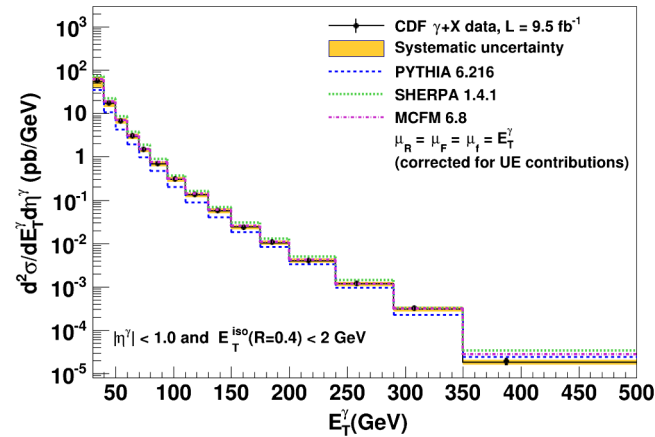


FIG. 3. Measured $\gamma + X$ cross section as a function of leading photon transverse energy. Data (markers) are centered at the average E_T^γ of each bin for illustration of the steeply falling spectral shape. Data are compared with the PYTHIA, SHERPA, and MCFM predictions (dashed lines). The vertical error bars show the statistical uncertainties, while the shaded areas show the systematic uncertainties. The 6% luminosity uncertainty on the data is not included. A correction C_{UE} to account for parton-to-hadron fragmentation and underlying event effects is applied to the MCFM theoretical predictions, as explained in the text.

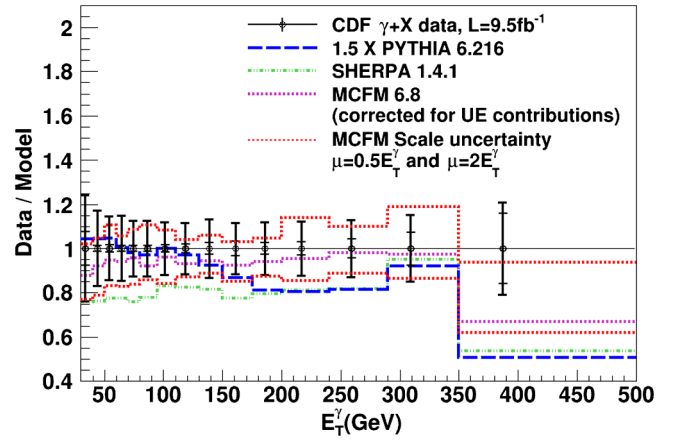


FIG. 4. Data-to-model ratio of the inclusive prompt-photon differential cross section as a function of the photon transverse energy, in the pseudorapidity region $|\eta^\gamma| < 1.0$. “Model” stands for experimental and theoretical results (markers and dashed lines, respectively). The inner error bars on the data points show statistical uncertainties. The full error bars show statistical and systematic uncertainties added in quadrature. The 6% luminosity uncertainty on the data is not included. The LO PYTHIA prediction is multiplied by a factor of 1.5.

The PYTHIA and SHERPA predictions are also shown in Figs. 3 and 4. The shape of the measured-cross section distribution is well described by both models. The PYTHIA prediction underestimates the observed cross section by more than a factor of 1.5 across the whole E_T^γ range. This is possibly due to the lack of higher-order terms in the PYTHIA photon + jet matrix elements. The SHERPA calculation is approximately 1.1 to 1.2 times larger than the observed cross section, nearly uniformly across the E_T^γ range. This calculation includes up to three jet emissions associated with the observed photon, but it is missing virtual corrections in the matrix elements of the subprocesses, which could possibly explain the discrepancy with data. Other possible reasons are related to nonperturbative QCD processes, such as mistuned fragmentation subprocesses leading to excessive rates of photon production through fragmentation.

VIII. CONCLUSIONS

A measurement of the differential cross section for the inclusive production of isolated prompt photons in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV is presented using the full data set collected with the CDF II detector at the Tevatron. The cross section is measured as a function of photon transverse energy E_T^γ in the central pseudorapidity region $|\eta^\gamma| < 1.0$. The measurement spans the E_T^γ kinematic range from 30 to 500 GeV, thus extending the reach by 100 GeV from the previous CDF measurement [13]. Comparisons of our measurement to three theoretical predictions are discussed. Both PYTHIA and SHERPA predictions correctly describe the shape of the differential cross section. The PYTHIA generator predicts a smaller cross section compared to the

data and the SHERPA prediction. The data are in good agreement with the fixed-order NLO MCFM calculation.

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