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# Measurement of the integrated luminosities of the data taken by BESIII at root $\mathbf{s = 3 . 6 5 0}$ and 3.773 GeV 

Ablikim, M.; Achasov, M. N.; Albayrak, O.; Ambrose, D. J.; An, F. F.; An, Q.; Bai, J. Z.; Ferroli, R. Baldini; Ban, Y.; Becker, J.

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# Measurement of the integrated luminosities of the data taken by BESIII at $\sqrt{s}=3.650$ and $3.773 \mathrm{GeV}^{*}$ 

| ．Ablikim（麦迪娜） |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| J．Z Bai（白景芝） |  |  |  |  |  |  |
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|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| X．R．Li（李秀荣）$)^{29}$ Z．B．Li（李志兵）$)^{34}$ H．Liang（梁昊）${ }^{42} \quad$ Y．F．Liang（梁勇飞）${ }^{32} \quad$ Y．T．Liang（梁羽铁）${ }^{37}$ |  |  |  |  |  |  |
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| Q．M．Ma（马秋梅）${ }^{1}$ |  |  |  |  |  |  |
| Q．A．Malik ${ }^{44}$ Y．J．Mao（冒亚军）$)^{28}$ Z．P．Mao（毛泽普）${ }^{1}$ J．G．Messchendorp ${ }^{22}$ J．Min（闵建） |  |  |  |  |  |  |

[^1]

15 Henan University of Science and Technology, Luoyang 471003, China<br>16 Huangshan College, Huangshan 245000, China<br>${ }^{17}$ Hunan University, Changsha 410082, China<br>18 Indiana University, Bloomington, Indiana 47405, USA<br>19 (A)INFN Laboratori Nazionali di Frascati, I-00044, Frascati, Italy; (B)INFN and University of Perugia, I-06100, Perugia, Italy ${ }_{20}$ Johannes Gutenberg University of Mainz, Johann-Joachim-Becher-Weg 45, D-55099 Mainz, Germany<br>21 Joint Institute for Nuclear Research, 141980 Dubna, Moscow region, Russia<br>${ }_{22}$ KVI, University of Groningen, NL-9747 AA Groningen, The Netherlands<br>${ }^{23}$ Lanzhou University, Lanzhou 730000, China<br>${ }^{24}$ Liaoning University, Shenyang 110036, China<br>25 Nanjing Normal University, Nanjing 210023, China<br>${ }^{26}$ Nanjing University, Nanjing 210093, China<br>27 Nankai university, Tianjin 300071, China<br>${ }^{28}$ Peking University, Beijing 100871, China<br>29 Seoul National University, Seoul, 151-747 Korea<br>${ }^{30}$ Shandong University, Jinan 250100, China<br>${ }^{31}$ Shanxi University, Taiyuan 030006, China<br>32 Sichuan University, Chengdu 610064, China<br>33 Soochow University, Suzhou 215006, China<br>34 Sun Yat-Sen University, Guangzhou 510275, China<br>${ }^{35}$ Tsinghua University, Beijing 100084, China<br>36 (A)Ankara University, Dogol Caddesi, 06100 Tandogan, Ankara, Turkey; (B)Dogus University, 34722 Istanbul, Turkey; (C)Uludag University, 16059 Bursa, Turkey<br>37 Universitaet Giessen, D-35392 Giessen, Germany<br>38 University of Chinese Academy of Sciences, Beijing 100049, China<br>39 University of Hawaii, Honolulu, Hawaii 96822, USA<br>40 University of Minnesota, Minneapolis, Minnesota 55455, USA<br>41 University of Rochester, Rochester, New York 14627, USA<br>42 University of Science and Technology of China, Hefei 230026, China<br>${ }^{43}$ University of South China, Hengyang 421001, China<br>${ }^{44}$ University of the Punjab, Lahore-54590, Pakistan<br>45 (A)University of Turin, I-10125, Turin, Italy; (B)University of Eastern Piedmont, I-15121, Alessandria, Italy; (C)INFN, I-10125, Turin, Italy<br>${ }^{46}$ Wuhan University, Wuhan 430072, China<br>47 Zhejiang University, Hangzhou 310027, China<br>48 Zhengzhou University, Zhengzhou 450001, China<br>${ }^{a}$ Also at the Novosibirsk State University, Novosibirsk, 630090, Russia<br>${ }^{b}$ Also at the Moscow Institute of Physics and Technology, Moscow 141700, Russia<br>${ }^{c}$ Also at University of Texas at Dallas, Richardson, Texas 75083, USA<br>${ }^{d}$ Also at the PNPI, Gatchina 188300, Russia


#### Abstract

Data sets were collected with the BESIII detector at the BEPC II collider at the center-of-mass energy of $\sqrt{s}=3.650 \mathrm{GeV}$ during May 2009 and at $\sqrt{s}=3.773 \mathrm{GeV}$ from January 2010 to May 2011. By analyzing the large angle Bhabha scattering events, the integrated luminosities of the two data sets are measured to be ( $44.49 \pm 0.02 \pm$ $0.44) \mathrm{pb}^{-1}$ and $(2916.94 \pm 0.18 \pm 29.17) \mathrm{pb}^{-1}$, respectively, where the first error is statistical and the second error is systematic.


Key words: Bhabha scattering events, integrated luminosity, cross section
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## 1 Introduction

In $\mathrm{e}^{+} \mathrm{e}^{-}$collider experiments, the number of events for $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{X}$ observed in a data set can be written as

$$
\begin{equation*}
N_{\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{x}}^{\mathrm{obs}}(\sqrt{s})=L(\sqrt{s}) \times \epsilon_{\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{x}}(\sqrt{s}) \times \sigma^{\mathrm{obs}}(\sqrt{s}), \tag{1}
\end{equation*}
$$

where X denotes some final state produced in $\mathrm{e}^{+} \mathrm{e}^{-}$annihilation, $N_{e^{+} e^{-} \rightarrow \mathrm{x}}^{\mathrm{obs}}$ is the number of events observed, $\epsilon_{\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{x}}$ is the detection efficiency for $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{X}, L$ is the integrated luminosity and $\sigma^{\text {obs }}(\sqrt{s})$ is the observed production cross section for the process $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{X}$ at center-of-mass energy $\sqrt{s}$.

To systematically study the properties of the production and decays of $\psi(3770)$ and D mesons, a data set was taken at $\sqrt{s}=3.773 \mathrm{GeV}$, with the BESIII detector at the BEPC II, from January 2010 to May 2011. So far, this data set is the world's largest $\mathrm{e}^{+} \mathrm{e}^{-}$collision data set taken around the $\psi(3770)$ resonance peak. In order to estimate the continuum contribution in studies of the resonance decays, another data set was taken in 2009 at $\sqrt{s}=3.650 \mathrm{GeV}$, which is far away from the resonance peak. The data taken at $\sqrt{s}=3.773 \mathrm{GeV}$ was accumulated in different periods of BESIII running; the first part was taken from January 2010 to June 2010 and the
second part was taken from December 2010 to May 2011. For convenience in the following, we call the data taken at $\sqrt{s}=3.650 \mathrm{GeV}$ the continuum data, and call the two parts of the data taken at $\sqrt{s}=3.773 \mathrm{GeV} \psi(3770)$ data A and $\psi(3770)$ data B, respectively.

In this paper, we present the measurements of the integrated luminosities of the data sets taken at $\sqrt{s}=3.650$ and 3.773 GeV by analyzing the large angle Bhabha scattering events.

## 2 BESIII detector

The BESIII detector and the BEPC II collider [1] are major upgrades of the BES II detector and the BEPC collider [2]. The designed peak luminosity of the doublering $\mathrm{e}^{+} \mathrm{e}^{-}$collider, BEPC II, is $10^{33} \mathrm{~cm}^{-2} \cdot \mathrm{~s}^{-1}$ at a beam current of 0.93 A . The peak luminosity at $\sqrt{s}=3.773 \mathrm{GeV}$ reached $0.65 \times 10^{33} \mathrm{~cm}^{-2} \cdot \mathrm{~s}^{-1}$ in April 2011 during the $\psi(3770)$ data taking. The BESIII detector, which has a geometrical acceptance of $93 \%$ of $4 \pi$, consists of the following main components: 1) a small-celled, helium-based main draft chamber (MDC) with 43 layers. The average single wire resolution is $135 \mu \mathrm{~m}$, and the momentum resolution for $1 \mathrm{GeV} / c$ charged particles in a 1 T magnetic field is $0.5 \% ; 2$ ) an electromagnetic calorimeter (EMC) made of $6240 \mathrm{CsI}(\mathrm{Tl})$ crystals arranged in a cylindrical shape (barrel) plus two endcaps. For 1.0 GeV photons, the energy resolution is $2.5 \%$ in the barrel and $5 \%$ in the endcaps, and the position resolution is 6 mm in the barrel and 9 mm in the endcaps; 3) a Time-Of-Flight system (TOF) for particle identification composed of a barrel and two endcaps. The barrel part is made of two layers, each layer consisting of 88 pieces of 5 cm thick, 2.4 m long plastic scintillator. Each endcap consists of 96 fanshaped, 5 cm thick, plastic scintillators. The time resolution is 80 ps in the barrel, and 110 ps in the endcaps, corresponding to a $2 \sigma \mathrm{~K} / \pi$ separation for momenta up to about $1.0 \mathrm{GeV} / c ; 4$ ) a muon chamber system (MUC) made of $1600 \mathrm{~m}^{2}$ of Resistive Plate Chambers (RPC) arranged in 9 layers in the barrel and 8 layers in the endcaps and incorporated in the return yoke of the superconducting magnet. The position resolution is about 2 cm .

## 3 Method

In principle, any QED process can be used to measure the integrated luminosity of the data set using

$$
\begin{equation*}
L(\sqrt{s})=\frac{N_{\mathrm{QED}}^{\mathrm{obs}}(\sqrt{s}) \times(1-\eta)}{\sigma_{\mathrm{QED}}(\sqrt{s}) \times \epsilon \times \epsilon_{\mathrm{e}^{+} \mathrm{e}^{-}}^{\text {trig }}}, \tag{2}
\end{equation*}
$$

where $N_{\mathrm{QED}}^{\mathrm{obs}}$ is the observed number of events of the final state in question, $\sigma_{\text {QED }}$ is the production cross section, which can be determined by theoretical calculation, $\epsilon$ is the detection efficiency, $\eta$ is the contamination ratio and
$\epsilon_{\mathrm{e}^{+} \mathrm{e}^{-}}^{\mathrm{trig}}$ is the trigger efficiency for collecting the QED process in the on-line data acquisition.

Usually, the processes $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}, \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \gamma \gamma$ and $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mu^{+} \mu^{-}$are used to measure the integrated luminosity of the data because of their simpler final state topologies, larger production cross sections, higher detection efficiencies, as well as more precise expected cross sections available from theory. In this work, the large angle Bhabha scattering events of $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$are adopted. Throughout the paper, the symbol of " $(\gamma)$ " denotes the possible photon (s) produced due to Initial State Radiation or Final State Radiation.

## 4 Luminosity measurement

### 4.1 Event selection

In order to select candidate Bhabha events, it is required that there should be only two good charged tracks, with total charge zero, which are reconstructed in the MDC. Each track must originate from the interaction region $R_{x y}<1 \mathrm{~cm}$ and $\left|V_{z}\right|<5 \mathrm{~cm}$, where $R_{x y}$ and $\left|V_{z}\right|$ are the points of closest approach relative to the collision point in the $x y$-plane and in the $z$ direction, respectively. Furthermore, to ensure that the candidate charged track hits the barrel of the EMC, we require that the polar angle $\theta$ of the charged track satisfies $|\cos \theta|<0.80$.

Figure 1 shows the energy deposited in the EMC ( $E_{\text {EMC }}$ ) for the good charged tracks of events satisfying the above selection criteria, where the dots with red error bars are the continuum data, the yellow histogram is $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$Monte Carlo events and the light green histogram is $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mu^{+} \mu^{-}$Monte Carlo events. From the figure it can be seen that the requirement


Fig. 1. The distributions of the energy deposited in the EMC from the charged tracks associated with the selected events. The dots with red error bars are the continuum data, the yellow histogram is $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$Monte Carlo events and the light green histogram is $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mu^{+} \mu^{-}$Monte Carlo events.
$E_{\text {EMC }}>1.0 \mathrm{GeV}$ can cleanly separate the $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ $(\gamma) \mu^{+} \mu^{-}$events from the Bhabha scattering events. To further remove background from cosmic rays, the momentum of at least one of the two charged tracks in the candidate Bhabha events should be less than $E_{\mathrm{b}}+$ 0.15 GeV , where $E_{\mathrm{b}}$ is the calibrated beam energy.

After applying the above selection criteria, the accepted events are mostly Bhabha scattering events. But there may still be a small amount of background from $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{J} / \psi, \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \psi(3686) \rightarrow(\gamma) \mathrm{J} / \psi \mathrm{X}$ and $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \psi(3770) \rightarrow(\gamma) \mathrm{J} / \psi \mathrm{X}\left(\mathrm{J} / \psi \rightarrow \mathrm{e}^{+} \mathrm{e}^{-}\right.$and $\mathrm{X}=\pi^{0} \pi^{0}$, $\eta$, $\pi^{0}$ or $\gamma \gamma$ ). In order to remove these background events, the sum of the momenta of the two good charged tracks is required to be greater than $0.9 \times E_{\text {cm }}$. The remaining contamination from these background sources is estimated by Monte Carlo simulation, which will be discussed in Section 4.3.

### 4.2 Data analysis

The two oppositely charged tracks in the candidate Bhabha scattering events are bent in the magnetic field, so the positions of their two shower clusters in the $x y$ plane of the EMC are not back-to-back. To determine the observed number of Bhabha scattering events, we use the difference of the azimuthal angles of the two clusters in the EMC, which is defined as $\delta \phi=\left|\phi_{1}-\phi_{2}\right|-180^{\circ}$ in degrees, where $\phi_{1}$ and $\phi_{2}$ are the azimuthal angles of the two clusters in the EMC. Fig. 2 shows the $\delta \phi$ distribution of the candidate Bhabha scattering events selected from the continuum data.


Fig. 2. The distribution of $\delta \phi\left(\delta \phi=\left|\phi_{1}-\phi_{2}\right|-180^{\circ}\right)$ for the selected $\mathrm{e}^{+}$and $\mathrm{e}^{-}$tracks. The main part and the inset are shown with linear and logarithmic scale, respectively.

In the figure, the events in the "signal" regions between the red arrows are taken as the signal events, while the ones in the "sideband" regions between the
blue arrows are used to estimate the background in the $\delta \phi$ "signal" region. After subtracting the scaled number of the events in the sideband region from the number of events in the signal region, we obtain the numbers of the Bhabha scattering events observed from data, which are listed in the second row of Table 1.

### 4.3 Background estimation

For the accepted Bhabha scattering events, there may still be some residual background from $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{J} / \psi$, $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \psi(3686) \rightarrow(\gamma) \mathrm{J} / \psi \mathrm{X}$ and $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \psi(3770) \rightarrow$ $(\gamma) \mathrm{J} / \psi \mathrm{X}\left(\mathrm{J} / \psi \rightarrow \mathrm{e}^{+} \mathrm{e}^{-}\right.$and $\mathrm{X}=\pi^{0} \pi^{0}, \eta, \pi^{0}$ or $\left.\gamma \gamma\right)$, as well as some other hadronic decay processes. These are estimated by analyzing the Monte Carlo events, including $16.5 \mathrm{M} \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{J} / \psi, 51 \mathrm{M} \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \psi(3686), 198$ $\mathrm{M} \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \psi(3770) \rightarrow \mathrm{D} \overline{\mathrm{D}}, 15 \mathrm{M} \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \psi(3770) \rightarrow$ non$\mathrm{D} \overline{\mathrm{D}}$, and $183 \mathrm{M} \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ continuum light hadron events. Detailed analysis gives the contamination rates to be $\eta=1.7 \times 10^{-5}$ and $1.7 \times 10^{-4}$ for the candidate Bhabha scattering events selected from the continuum data and the $\psi(3770)$ data, respectively.

### 4.4 Detection efficiency for $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$

To determine the detection efficiencies for the Bhabha scattering events, we generated $400000 \mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$ Monte Carlo events with the Babayaga generator [3], within the polar angle range of $|\cos \theta|<0.83$ at $\sqrt{s}=$ 3.650 and 3.773 GeV , where $\theta$ is the polar angle for the $\mathrm{e}^{+}$and $\mathrm{e}^{-}$. By analyzing these Monte Carlo events with the same selection criteria as the data analysis, we obtained the detection efficiencies for $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$at $\sqrt{s}=3.650$ and 3.773 GeV , which are summarized in the fourth row of Table 1.

### 4.5 Integrated luminosities

Inserting the numbers of observed Bhabha scattering events, the detection efficiencies for $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$ obtained by the Monte Carlo simulation, the trigger efficiency and the visible cross sections within the polar angle range of $|\cos \theta|<0.83$ in Eq. (2), we determine the integrated luminosities of the continuum data, the $\psi(3770)$ data $A$ and the $\psi(3770)$ data B to be $(44.49 \pm 0.02 \pm 0.44)$ $\mathrm{pb}^{-1},(927.67 \pm 0.10 \pm 9.28) \mathrm{pb}^{-1}$ and $(1989.27 \pm 0.15 \pm 19.89)$ $\mathrm{pb}^{-1}$, respectively, where the first errors are statistical and the second are systematic and discussed in the next section. The total luminosity of the $\psi(3770)$ data is (2916.94 $\pm 0.18 \pm 29.17$ ) $\mathrm{pb}^{-1}$. Here, systematic uncertainties are completely correlated between the two parts of the data, and thus are added linearly when they are combined. Here, for the data sets used in the analysis, the trigger efficiency for collecting $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$events was determined to be $\epsilon_{\mathrm{e}^{+} \mathrm{e}^{-}}^{\text {trig }}=100 \%$ with the statistical error being less than $0.1 \%$ [4]. The numbers used in the luminosity measurements are summarized in Table 1.

Table 1. Summary of the numbers used in the determination of the luminosities, where $N_{\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}}^{\mathrm{obs}}$ is the number of candidate Bhabha scattering events selected from the data, $\epsilon$ is the detection efficiency, $\sigma$ is the visible cross section for the Bhabha scattering events and $L$ represents the integrated luminosity.

| samples | $\psi(3770)$ data A | $\psi(3770)$ data B | continuum data |
| :---: | :---: | :---: | :---: |
| $N_{\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}}^{\text {obs }}\left(\times 10^{4}\right)$ | $8412.9 \pm 0.9$ | $18140.3 \pm 1.3$ | $432.0 \pm 0.2$ |
| $\eta\left(\times 10^{-4}\right)$ | 1.7 | 1.7 | 0.17 |
| $\epsilon(\%)$ | 61.28 | 61.62 | 61.47 |
| $\sigma / \mathrm{nb}$ | 147.9599 | 147.9599 | 157.9393 |
| $L / \mathrm{pb}^{-1}$ | $927.67 \pm 0.10 \pm 9.28$ | $1989.27 \pm 0.15 \pm 19.89$ | $44.49 \pm 0.02 \pm 0.44$ |

### 4.6 Systematic error

In the measurements of the integrated luminosities, the systematic errors arise from the uncertainties associated with the Bhabha event selection, the Monte Carlo statistics, the background estimation, the signal region selection, the trigger efficiency and the generator.

In order to estimate the systematic uncertainty due to the $\cos \theta$ requirement, we also determine the integrated luminosities with the selection requirements of $|\cos \theta|<$ 0.75 and 0.70 . The differences from the standard selection of $|\cos \theta|<0.80$ are all less than $0.5 \%$ for both the continuum data and $\psi(3770)$ data. To be conservative, we take $0.75 \%$ as the systematic error due to the $\cos \theta$ selection in this work. The systematic uncertainty due to the MDC measurement information, which includes the uncertainties due to the MDC tracking efficiency and the momentum requirement, is determined to be $0.3 \%$ by comparing the integrated luminosities measured with and without the MDC measurement information. The systematic uncertainty due to the $E_{\text {EMC }}$ energy selection requirements is determined to be $0.2 \%$, by comparing the $E_{\text {EMC }}$ distributions of the data and Monte Carlo events. The uncertainty from the EMC cluster reconstruction is determined to be $0.03 \%$ by comparing the efficiencies of the data and the Monte Carlo events.

The uncertainty from the Monte Carlo statistics is $0.1 \%$. The uncertainty in the background subtraction is negligible. The uncertainty due to the $\delta \phi$ signal region selection is estimated to be $0.01 \%$ by comparing the integrated luminosities measured with different signal regions. In these measurements, we use the trigger efficiency for collecting $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow(\gamma) \mathrm{e}^{+} \mathrm{e}^{-}$events of $\epsilon_{\mathrm{e}^{+}+{ }^{-}}^{\text {trig }}=100 \%$ with the statistical error being less than $0.1 \%$ [4]. Therefore, we take $0.1 \%$ as the systematic uncertainty due to trigger efficiency. The uncertainty due
to the Bhabha generator is $0.5 \%$, which is cited from Ref. [3].

Table 2 summarizes the above systematic uncertainties in the luminosity measurement. The total systematic error is determined to be $1.0 \%$ by adding these uncertainties in quadrature.

Table 2. The relative systematic uncertainties in the luminosity measurement.

| sources | $\Delta^{\text {sys }}(\%)$ |
| :---: | :---: |
| $\|\cos \theta\|<0.80$ | 0.75 |
| $E_{\mathrm{EMC}}^{\mathrm{e}}>1 \mathrm{GeV}$ | 0.2 |
| $E_{\mathrm{EMC}}{ }^{-}>1 \mathrm{GeV}$ | 0.2 |
| MDC information | 0.3 |
| EMC cluster reconstruction | 0.03 |
| Monte Carlo statistics | 0.1 |
| background estimation | 0.0 |
| signal region selection $(\delta \phi)$ | 0.01 |
| trigger efficiency $[4]$ | 0.1 |
| generator $[3]$ | 0.5 |
| total | 1.0 |

## 5 Summary

By analyzing the Bhabha scattering events, we measure the integrated luminosities of the data taken with the BESIII detector at $\sqrt{s}=3.650$ and 3.773 GeV to be $(44.49 \pm 0.02 \pm 0.44) \mathrm{pb}^{-1}$ and $(2916.94 \pm 0.18 \pm 29.17) \mathrm{pb}^{-1}$, respectively. These luminosities can be used for normalization in studies of $\psi(3770)$ production and decays, as well as in studies of D meson production and decays.

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