

# Measuring and Improving the Performance of Network Mobility Management in IPv6 Networks

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**Abstract**—Measuring the performance of an implementation of a set of protocols and analyzing the results is crucial to understanding the performance and limitations of the protocols in a real network environment. Based on this information the protocols and their interactions can be improved to enhance the performance of the whole system. To this end, we have developed a network mobility testbed and implemented the NEMO Basic Support Protocol and have identified problems in the architecture which affect the handoff and routing performance. To address the identified handoff performance issues, we have proposed the use of Make-Before-Break handoffs with two network interfaces for NEMO. We have carried out a comparison study of handoffs with NEMO and have shown that the proposed scheme provides near-optimal performance. Further, we have extended a previously proposed route optimization scheme, OptiNets. We have compared the routing and header overheads using experiments and analysis and shown that the use of the extended OptiNets scheme reduces these overheads of NEMO to a level comparable with Mobile IPv6 route optimization. Finally, the paper shows that the proposed handoff and route optimization schemes enable NEMO Protocol to be used in applications sensitive to delay and packet loss.

**Index Terms**—Network mobility, mobile router, handoffs, route optimization

## I. INTRODUCTION

With the almost ubiquitous availability of computing and wireless communication capability in most electronic devices, the prediction that most devices will be connected to a network is fast becoming reality. An emerging form of this ubiquitous connectedness is vehicle networks, especially in public transport systems, which will enable groups of people to access network services, while on the move. In these environments, use of a dedicated device, a Mobile Router, reduces the required complexity of the end devices, and provides numerous opportunities for optimizing the performance and operational costs. The IETF Network Mobility working group has standardized the Network MObility Basic Support protocol (NEMO) [1] in which a Mobile Router manages the mobility of a moving network.

The performance of a moving network depends on the performance of the Mobile Router and the overhead of the network mobility management protocol. Hence, it is important to understand the impact of handoffs and protocol overhead in moving networks. Although numerous research activities have focused on the effects of IP extensions for providing support for host mobility, up to now there has been no systematic study of the performance of Mobile Routers and the network mobility management protocols. To address this, we have designed and implemented a testbed [2] and measured the

performance of mobility management protocols in network mobility settings. In this paper we use the testbed to measure and analyze the handoff performance with NEMO. We adapt two handoff performance enhancements, Fast Router Advertisements and Optimistic Duplicate Address Detection to minimize handoff latency for mobile networks. Then we show that the handoff performance of NEMO, even with these optimizations, is still not sufficient for performance-critical network applications, such as Voice over IP. To overcome this, we propose a Make-Before-Break handoff scheme. We analyze its performance, including interference between the network interfaces and management of the NEMO protocol state. Through the analysis it is shown that the proposed scheme enables lossless handoffs between networks with overlapping coverage area. In addition to studying the handoffs in NEMO, we perform extensive measurement and analysis of the protocol and routing overheads of NEMO in static and mobile scenarios. Again the analysis is used to show that it is necessary to reduce the overheads of the protocol. We reduce these overheads by extending the OptiNets [3] protocol.

In summary, the contribution of this paper is three-fold: 1) We design and implement a network mobility testbed for analyzing the performance of NEMO. 2) We propose a novel Make-Before-Break handoff scheme which enables lossless handoffs between networks with overlapping coverage areas. 3) We study the overheads in NEMO signaling and routing via extensive measurement and analysis and show that these overheads can be minimized by using the extended OptiNets route optimization scheme.

The rest of the paper is organized as follows. In the next section, we discuss network mobility management with the NEMO protocol. In Section III we present the design and implementation of the network mobility testbed. In Section IV, we propose and analyze MBB handoffs for NEMO. Reducing NEMO overheads using the extended OptiNets scheme is presented in Section V. This is followed by related work and the conclusions in Sections VI and VII respectively.

## II. NETWORK MOBILITY MANAGEMENT

There are broadly two methods of providing mobility support, namely through redirection and indirection. A well-known redirection scheme is the Session Initiation Protocol (SIP) [4]. The indirection based schemes use network agents to transparently re-route information. Mobile IPv6 (MIPv6) [5] and its variants are examples of schemes that use indirection. In this paper we will focus on indirection schemes based

on MIPv6 and NEMO. This section describes how NEMO manages the mobility of a moving network and presents a theoretical analysis of the handoff performance with NEMO and the communications overhead of using NEMO.

#### A. NEMO Operation

NEMO allows a Mobile Router (MR) to manage the mobility of the nodes inside a mobile network which are known as Mobile Network Nodes (MNNs) with the help of a fixed mobility anchor point, Home Agent (HA). When a MR is in its home network, it is connected directly to its HA, so that all traffic to and from the mobile network is delivered via the HA and the MR. The Mobile network is connected to the Internet via an IP-IP tunnel between the MR and the HA when the MR is away from home.

When a MR moves to a new network, it obtains a Care-of Address (CoA) and sends a Binding Update (BU) to its HA. The BU binds the new CoA of the MR with its permanent address (home address). The HA sends a Binding Acknowledgement (BA) to inform the MR of the status of the update. A tunnel is then established between the CoA of the MR and the address of the HA. The MR and its HA then deliver all traffic between the mobile network and the Internet via this tunnel. This overlay routing hides the mobility of the MR from the Correspondent Nodes (CNs) and also from the MNNs. Thus the MNNs do not need any mobility management capabilities to take advantage of the mobile Internet access.

A MNN, which is not capable of managing its own mobility is known as a Local Fixed Node (LFN). However, a mobile device managing its own mobility may enter a mobile network, treating it as a foreign network in which case the MNN is known as a Visiting Mobile Node (VMN). An example of this is a passenger with a MIPv6 capable mobile device entering a train with a mobile network. In this case, the MIPv6 VMN will send a BU to its own HA ( $HA_{VMN}$ ) informing it to deliver all traffic to its new CoA using IPv6 tunneling. This results in two, nested levels of mobility management since a MR manages the mobility of the mobile network. However, the VMN can use MIPv6 route optimization to communicate more directly with Correspondent Nodes (CNs) bypassing the  $HA_{VMN}$  using its CoA from the mobile network prefix.

#### B. Handoffs with NEMO

The handoff process in an IPv6 network mobility setting can be divided into three main parts:

- 1) Link layer handoff, in which the MR finds a new Access Point and associates with it. Thus the link layer handoff latency depends on the time it takes for the network interface to find a new Access Point and associate with it. This latency depends on the network technology.
- 2) IPv6 network attachment follows the link layer handoff. Network attachment of the MR consists of router discovery and CoA configuration. In router discovery the MR sends a Router Solicitation and receives a Router Advertisement (RA) from a new Access Router. The Access Router waits a random delay before sending the Router Advertisement message. This random delay is

between 0-500ms, so the average delay for receiving a Router Advertisement is 0.25s. The total delay of router discovery consists of the RTT between the MR and the Access Router and the random delay. After discovering the Access Router, the MR acquires a new CoA from the foreign network, using either IPv6 stateless address autoconfiguration or a stateful mechanism, such as DHCP. The configuration of a new CoA requires the MR to ensure that the address is unique. In IPv6 this is done using the Duplicate Address Detection (DAD) procedure. If the MR uses the standard DAD procedure, it needs to wait for the procedure to finish before it can use the address and register its new CoA with its HA. The latency created by DAD is configuration dependant, and involves a random delay between 0-1s. Minimum latency for the whole DAD procedure varies between 1-2s, with an average of 1.5s.

- 3) NEMO home registration latency, which represents the delay of the MR sending a BU to its HA and the HA replying with a BA. This consists of the propagation delays of the messages and the HA processing delays. The HA processing delay is dependent on the need for the HA to perform proxy DAD. Proxy DAD is performed only if the MR has a home address from a physical home link to guarantee that the home address is not used by another node on the link. Proxy DAD takes a minimum of 1 second.

Of the above factors, the network attachment latencies are independent of the access technology and network topology, and we use two techniques to minimize these latencies. The random delay associated with router discovery can be removed by using the Fast Router Advertisement mechanism proposed in [6]. The Duplicate Address Detection delay can be mitigated by using Optimistic DAD (ODAD) [7]. The theoretical handoff latencies given in Table I are derived and explained in Appendix A. The table does not include the link layer and NEMO signaling latencies.

It is evident from the above analysis, that the use of protocol optimizations, such as Fast Router Advertisements and Optimistic DAD, reduces the network attachment latency substantially. However, we still need to address the link layer handoff and the NEMO signaling latencies which have a significant impact on the handoff performance. This will be discussed further in Section IV-A.

#### C. Overhead of using NEMO

In NEMO the MR uses an overlay route via a fixed anchor point to hide the mobility from the nodes in the mobile

TABLE I  
THEORETICAL MINIMA FOR NETWORK  
LAYER HANDOFF LATENCIES WITH NEMO.

Handoff type	NEMO without optim.	With Fast RAs	With ODAD	With Fast RAs and ODAD
Home-Foreign	2.75s	2.5s	1.25s	1s
Foreign-Foreign	1.75s	1.5s	0.25s	0s
Foreign-Home	0.25s	N/A	0.25s	0s

network. This overlay routing leads to less than optimal routing and adds a protocol header overhead to every packet. In addition to the protocol header overhead for data packets, NEMO also incurs a signaling overhead between the MR and its HA every time the MR performs a handoff.

Use of NEMO introduces an overhead to each packet which a MNN and a CN exchange when the MR is in a foreign network. The overhead is caused by the IPv6 tunneling and it is 40 bytes for every packet. The signaling overhead of NEMO with LFNs is caused by the BU-BA exchange between the MR and its HA. The size of these messages depends on how IPsec is used to protect them [8]. The total mobility management protocol header overhead will be larger, if the MNN is a MIPv6-capable VMN which uses MIPv6 to guarantee session continuity and reachability. This leads to higher protocol overheads and also potentially inefficient routing. If the VMN uses route optimization (RO) with the CN, the per packet overhead will be reduced. However, route optimization requires extra signaling between VMNs and CNs. The per packet overhead, per MNN signaling overhead, and handoff related signaling overhead are presented in the table II. The values in the table are derived in Appendix B.

In addition to reducing the payload size available to applications, NEMO also introduces an extra routing leg to the routing path between MNNs and CNs. The effect of routing packets via a HA depends largely on the network topology. If foreign networks are topologically close to the home network and the HA, then the effect may be negligible, but in the case of intercontinental mobility the effects may be large on applications sensitive to the Round Trip Time (RTT), even if the long routing legs have no other effects, such as packet reordering, packet loss or packet duplication.

### III. NETWORK MOBILITY TESTBED

#### A. Testbed Architecture

Our testbed consists of three logical parts: 1) the wide area network connecting the Access Routers, the  $HA_{VMN}$  and  $HA_{MR}$ , and the CN, 2) the wireless access network consisting of two foreign access networks and a home access network, and 3) the mobile network which consists of the MR and a MNN connected to the MR via a LAN. The MNN can act either as a LFN or as a VMN. This logical topology of the test network is shown in Figure 1. Three IEEE 802.11b Access Points are used for wireless access. We use NISTNet [9] to emulate the Internet by introducing network latency between the nodes.

TABLE II  
OVERHEADS OF NEMO LFN, VMN AND MIPv6 MN IN BYTES.

Mob. man scheme	Per packet OH	Signaling OH/s	Signaling OH per handoff
NEMO LFN	40	N/A	208
NEMO VMN w/o MIPv6 RO	80	N/A	208
NEMO VMN with MIPv6 RO	64	1.66	208
MIPv6 MN with RO	24	1.33	424

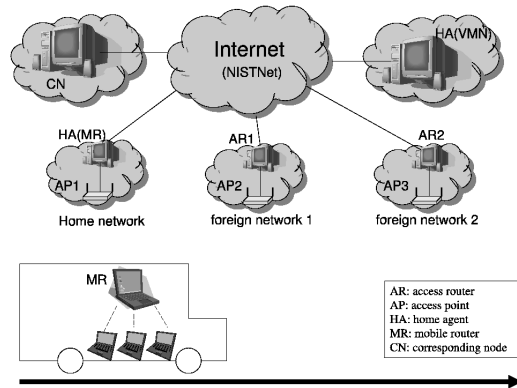


Fig. 1. Logical Network Topology of the Testbed.

#### B. NEMO Implementation

The NEMO implementation is based on the MIPL Mobile IPv6 implementation by Helsinki University of Technology [10]. It consists of NEMO-based MR and NEMO capable HA prototypes for testing and measuring the performance of NEMO and its extensions. The MR uses the information from the link layer to trigger handoffs when it moves to a new wireless network.

#### C. Hardware and Software Configuration

Our hardware consisted of six desktop computers and five laptops with processor speeds between 350MHz and 3GHz and memory sizes between 128MB and 512MB. We used Cisco 1200 series WLAN Access Points and an integrated Intel IPW2100 card, a PCMCIA Lucent silver card and a Demarctech Prism 2.5 based PCMCIA card for our IEEE 802.11b wireless access network.

The use of 802.11b access networks for experiments in this paper affects the results of the experiments to some degree. However, since our analysis consists of comparison studies between different schemes for handoffs and routing, this isolates the effects of the access technology.

Our testbed used three modified software components in addition to standard IPv6 capable Linux operating system and a NEMO MR and a HA. Firstly, we used a modified *radvd* daemon [11] developed at Monash University to send Fast Router Advertisements [6] in all the experiments. Secondly, a modified DHCPv6 client and server were used in the MR and the Access Router to achieve the extended OptiNets route optimization described in Section V. Finally the CN, the VMN and the  $HA_{VMN}$  were equipped with MIPv6 capabilities to test nested mobility management. We used MIPL 2.0 for MIPv6 CN and  $HA_{VMN}$  and a modified VMN based on MIPL 1.1.

#### D. Experiment Setup

We used *iperf* [12] to generate and measure UDP and TCP traffic and *ethereal* [13] to capture packet traces. To understand the effect of NEMO handoffs on real time traffic, we generated UDP traffic with a small packet size. Additionally, we measured TCP traffic to analyze the effect of handoffs,

protocol header and routing overhead on bulk and interactive TCP traffic. We used the default maximum window size in Linux for TCP, 16Kbytes, for all measurements. We used downstream traffic in all handoff experiments, i.e. CN acted as the source of traffic and MNN as the sink which had an effect on packet loss<sup>1</sup>.

Table III contains the one-way latencies between the nodes in our testbed. The wireless links between the MR and the Access Routers in the testbed showed a latency of 2ms consistently in all experiments. We experimented with multiple values for Access Router -  $HA_{MR}$  latency, and chose a relatively small value for the Access Router -  $HA_{MR}$  latency to emulate the case where the HA is in the network of the same ISP. The effect of this latency on the overall handoff time was as described in Section II-B. We also experimented with other emulated latencies and noticed that these did not have a measurable effect on the handoff performance. However, the end-to-end network latency does have an effect on TCP performance of the MNNs. Therefore, we have measured the effect of different end-to-end network latencies on NEMO routing performance in Section V.

#### IV. A MAKE-BEFORE-BREAK HANDOFF MECHANISM FOR LOSSLESS NEMO HANDOFFS

There have been proposals for reducing Mobile IPv6 and NEMO signaling latencies [14], [15], but these proposals have been based on the assumption of the MR being connected to only one Access Point (AP) at a time. When the MR can connect to only one Access Point, it is forced to break the connection to its current network before reattaching itself to a new network. With this type of handoff, referred to as a Break-Before-Make (BBM) handoff, packet loss is hard to eliminate completely. However, if it is possible to simultaneously listen to multiple Access Points, the MR could establish a connection to the new network before breaking its current connection, thus mitigating or reducing the impact of handoff latency. This could be done by equipping the MR with multiple interfaces. We propose the use of two interfaces to enable Make-Before-Break (MBB) handoffs for reducing packet loss due to handoff latency.

In the proposed scheme, one interface is used for data communication, and the other is used for scanning for networks which can provide better connectivity. Once a network with

<sup>1</sup>The use of upstream traffic would have resulted in packet loss ending with the MR sending a BU in foreign to foreign and foreign to home handoffs, instead of the HA receiving the the BU.

TABLE III  
NETWORK LATENCY BETWEEN NODE PAIRS SET BY NISTNET

Node Pair	Network Latency
MR to AR	2 ms
AR to $HA_{MR}$	10 ms
AR to $HA_{VMN}$	50 ms
AR to CN	40 ms
$HA_{MR}$ to CN	40 ms
$HA_{VMN}$ to CN	40 ms
$HA_{MR}$ to $HA_{VMN}$	40 ms

better connectivity is found, the scanning interface takes over the data transmission, and the other reverts to a scanning role. This, as well as being access technology independent, allows lossless handoffs with uninterrupted connectivity for data communications since the MR maintains its connection to the old network using one interface, while performing a handoff to a new network using the other interface.

#### A. Make-Before-Break Handoff Algorithm using Two Network Interfaces

The proposed MBB handoff scheme uses the algorithm in Figure 2. The handoff decision can be made using techniques such as signal to noise ratio comparisons [16] combined with movement prediction algorithms [17]. The proposal is to compare the signal strength of the candidate Access Point with the current one. If the difference is greater than a threshold value, MR performs a handoff to the new network. An ideal threshold value would be high enough to prevent ping pong movement, but still trigger handoffs early enough to prevent packet loss. A dynamically adaptive algorithm for choosing and adjusting the threshold value would allow a MR to make more optimal handoff decisions and avoid fluctuations between access points.

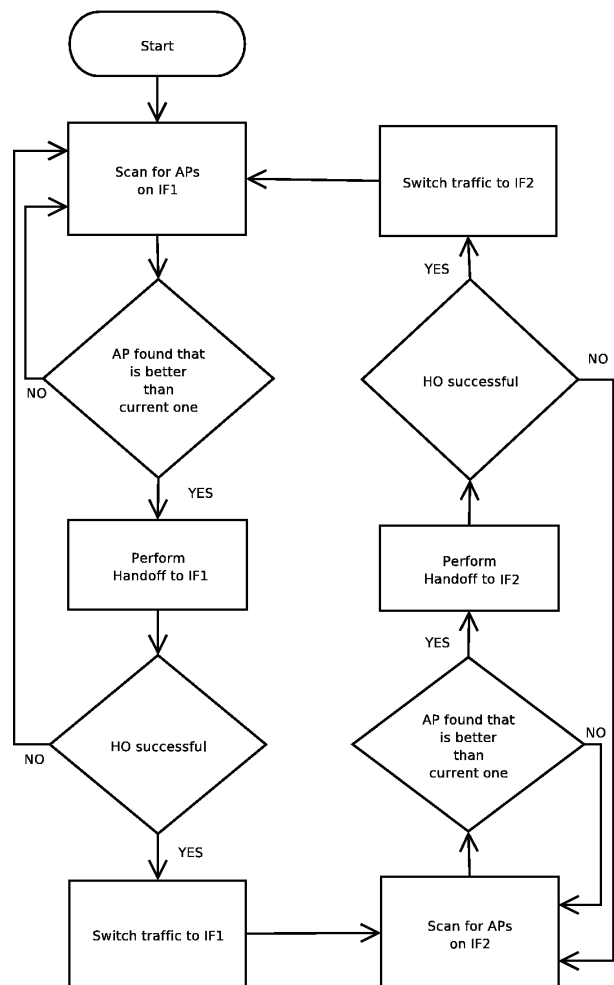


Fig. 2. Make-Before-Break Handoff Algorithm with Two Interfaces.

Using the algorithm it is possible to perform completely lossless handoffs, provided that the coverage of the old access network and the new access network overlap sufficiently and the handoff decision is done at the correct time. The required overlap ( $l_{overlap}$ ) depends on the speed of movement ( $v_{mr}$ ) and latency of the handoff ( $t_{ho}$ ):  $l_{overlap} = v_{mr} * t_{ho}$ . Thus, even with two interfaces it is worthwhile to minimize the handoff time.

### B. Analysis of Factors Affecting Make-Before-Break Handoff Performance

MBB handoffs are in theory lossless. However, in our experiments we found two major causes for packet loss. Firstly, the MR and its HA have inconsistent protocol state during NEMO handoffs due to NEMO signaling and binding management being designed for BBM handoffs. Secondly, the use of two co-located wireless interfaces in the MR results in inter-card interference. Addressing these two causes completely eliminated any packet loss which is evident from the results in Section IV-C. However, there are more general issues pertaining to the wireless network environment, such as fading, which could affect performance also during handoffs. In this paper we consider only the physical layer effects which are specific to the proposed scheme, namely the interference between the two co-located network interfaces in the MR.

We experimented with several 802.11b/g card pairs and found that the Intel-Prism card pair performed most consistently. Therefore, we used this pair of cards in our experiments for MBB handoffs.

802.11b/g has several channels, most of which overlap to some extent. Even the non-overlapping channels can cause interference in the case of co-located wireless interfaces due to the limited adjacent channel (1 and 6, 6 and 1, 6 and 11 or 11 and 6) rejection rate in most 802.11 hardware [18]. Therefore, the transmissions on the scanning interface will cause interference when the active interface is receiving data from an Access Point on a different channel. In the case of MBB handoffs, this interference occurs in two cases: 1) Active scanning of candidate Access Points using the scanning interface and 2) Transmissions of handoff signaling and outgoing traffic on the scanning interface during the handoff.

With active scanning, the scanning card sends a probe on each channel and waits for a response from Access Points for a certain period before moving on to the next channel. This decreases the scanning time when compared to passive scanning. However, the active scanning resulted in significant interference, as shown in Figure 3.

The effects of the inter-card interference during the handoff depend on the channels that the old and new Access Point use as can be seen in Figure 4, which compares the channel pairs 1-11 for non-adjacent channels, 6-11 for adjacent channels, and 10-11 for partially overlapping channels. The handoff between channels 1-11 was lossless, although the interarrival time fluctuated during the handoff, whereas the handoffs with the pairs 6-11 and 10-11 showed some packet loss.

The network latency between the MR and its HA causes them to have a different state for the CoA of the MR during

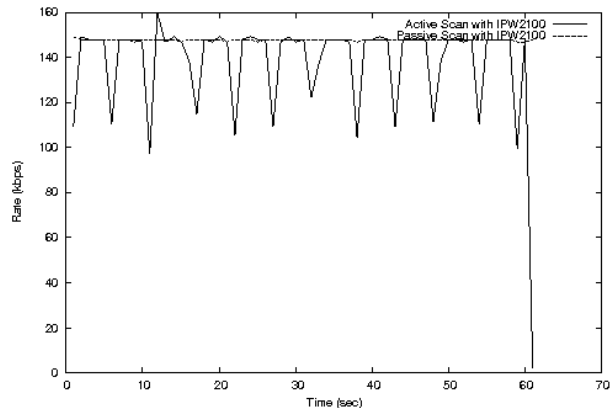


Fig. 3. Effects of Active Scanning on UDP Downstream Traffic.

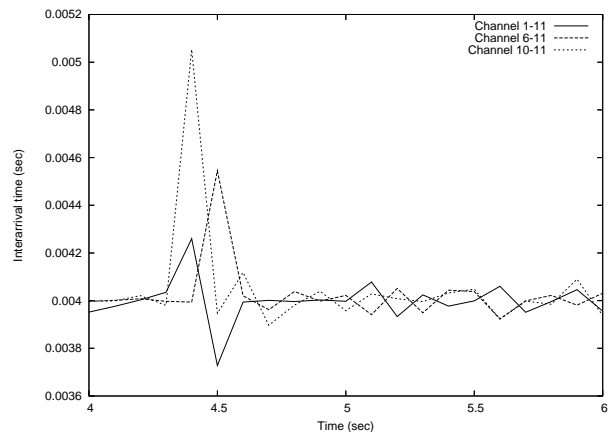


Fig. 4. Effects of Channel Separation on UDP Downstream Traffic during Handoff.

the time it takes for the BU to be delivered from the MR to its HA. During this time the HA will deliver packets to the old CoA, but the MR will send packets using the new CoA. NEMO and MIPv6 binding management would lead to the MR dropping the incoming packets due to the packets containing an incorrect CoA as described in [19]. The packet loss is the product of MR-HA delay and bandwidth, and thus the impact of this inconsistency could be significant for fat and long pipes, e.g a fast satellite connection. To overcome this, we modified the binding management in the MR to accept packets on the old CoA. This removed the packet loss completely during handoffs between foreign networks on channels 1 and 11. However, when performing a handoff between home and foreign networks, we observed another cause for packet loss: HA did not accept tunneled packets after getting the BU from the MR before Proxy DAD processing had finished. This resulted in a 1s period during which the HA dropped incoming packets from MR. We resolved this issue by allowing the HA to process incoming tunneled packets from the MR during the Proxy DAD process.

### C. Comparison of NEMO Handoff Performance with Make-Before-Break and Break-Before-Make Handoffs

We measured UDP packet loss for NEMO without Optimistic DAD, NEMO with Optimistic DAD and NEMO with

MBB handoffs using two interfaces. We used the Lucent card for the BBM handoffs in the comparison study (Section IV-C) since it showed the lowest link layer handoff latency when compared with the values seen with different cards. The results for UDP packet loss during a handoff for a 100kB stream from the CN to the LFN are shown in Figure 5. There is no packet loss for the MBB handoffs performed using two interfaces due to the simultaneous connectivity to both the old and the new network, whereas in the BBM handoffs (NEMO unoptimized and NEMO with ODAD) the handoff latency reflects directly on the packet loss.

The measurement results for TCP during home-to-foreign handoffs are presented in Figure 6(a). The negative effects of packet loss in BBM handoffs are amplified by the congestion control mechanisms, whereas the TCP traffic is not affected when using MBB handoffs. The foreign to foreign and foreign to home network handoff results in Figure 6(b) and Figure 6(c) are as expected for the BBM handoffs. In Figure 6(b), it is visible that the TCP throughput increases temporarily during the MBB foreign to foreign handoff due to the use of the new access network for sending acknowledgements, while still receiving data via the old access network. This increase is not visible in the handoffs to and from the home network.

The BBM handoff results presented in this section are dependent on the link layer technology. However, the results for MBB handoffs are independent of the link layer handoff latency. Therefore, the analysis of MBB handoff performance presented in this section is valid also for other link layer technologies.

In summary, we showed here that a MR can reduce the impact of handoffs by optimizing the IPv6 network attachment procedures with Fast Router Advertisements and Optimistic DAD. In the case of BBM handoffs packet loss is hard to avoid. MBB handoffs with two interfaces can achieve fully lossless handoffs. However, binding management and interference between the interfaces are potential limiting factors to the performance of the handoffs. The impact of interference depends on the network design, i.e. channel separation between adjacent cells, and the hardware design of the wireless interfaces in the MR. The interference can be minimized in

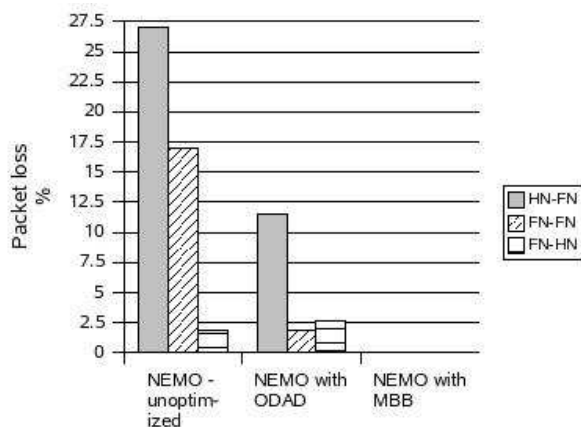
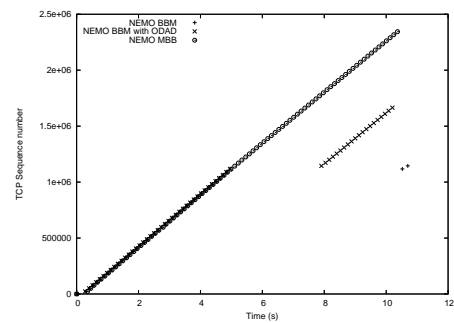
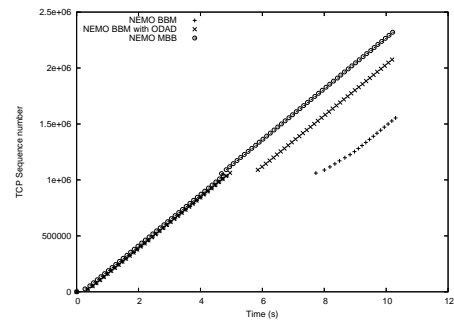


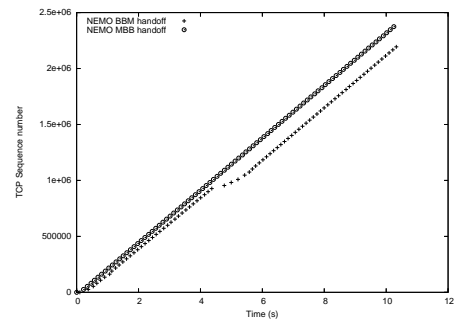
Fig. 5. UDP Packet Loss Comparison during Handoffs.



(a) Home-foreign network handoff



(b) Foreign-foreign network handoff



(c) Foreign-home network handoff

Fig. 6. TCP Sequence Number Diagrams for Handoffs.

the MR by separating the antennas as proposed in [18], or by modifying the wireless cards to avoid interference from the co-located transmitters for example by using bandpass filters or polarized antennas.

## V. REDUCING NEMO OVERHEAD

As discussed in Section II-C employing NEMO protocol gives rise to non optimal routing and protocol header overheads. In this section we extend the OptiNets route optimization scheme [3] to address these overheads.

### A. The Extended OptiNets Route Optimization Scheme for Visiting Mobile Nodes

In order to cater for the nodes present in the network that have no mobility capabilities the NEMO Basic Support protocol assumes that all nodes present in the mobile network have no MIPv6 capabilities. It is evident that this assumption restricts the MIPv6 enabled nodes from achieving better performance.

If the VMNs within the mobile network were aware of the current location, then these nodes would be able to perform standard MIPv6 route optimization and avoid indirect routing via both HAs i.e.  $HA_{MR}$  and  $HA_{VMN}$ . In the OptiNets scheme this is achieved by having the MR advertise a topologically correct network prefix on its ingress interface. This enables the MIPv6 capable nodes within the mobile network to auto configure a location specific CoA. The MR acquires the topologically correct network prefix from the foreign network using DHCPv6 prefix delegation. As a part of the delegation process the Access Router updates its routing table to deliver packets to the prefix via the CoA of the MR. This ensures that MNNs will receive packets to their topologically correct CoAs as long as the MR is connected to the same Access Router.

In our implementation of OptiNets, the MR runs a DHCPv6 client on its egress interface and obtains a prefix from an Access Router running a DHCP server. The MR then advertises this prefix on its ingress interface using a special route optimization prefix option in the Router Advertisement message. Using this prefix the VMNs would auto configure a CoA for route optimization (RO-CoA). The active VMNs would then send a CoA Test init (CoTi) to the CN with the source address being the new CoA. Upon receiving a CoA Test (CoT) message from the CN the VMN sends a BU to the CN by generating a key by combining the new token from the received CoT and the token from a Home Test (HoT). VMN receives the HoT message similarly as in MIPv6 route optimization.

In this work we improve the OptiNets technique by restricting the use of the location specific CoA only for the purpose of route optimization with Correspondent Nodes. This ensures that only the VMNs which are actively communicating would perform a handoff when the MR changes its point of attachment to the Internet. Further we use a special ICMPv6 option in the Router Advertisement for the foreign network prefix advertised by the MR in order to ensure that non-mobility capable nodes do not use the prefix to configure addresses.

The extended OptiNets scheme reduces the per-packet overhead considerably. However, it creates a certain amount of extra signaling when compared with NEMO with LFNs. Prefix delegation is performed every time MR moves and results in a total of 180bytes being sent over the air interface in addition to the BU-BA exchange between the MR and the  $HA_{MR}$ . The remaining part of the signaling overhead results from MIPv6 route optimization. Every time a VMN switches to a new CoA, it performs a return routability test for the CoA, and sends a BU to the CN. The return routability test for the home address is performed every 210s. Based on the message sizes in Appendix B, the OptiNets handoff dependent signaling overhead is 388 bytes per handoff for MR and 216 per handoff for each VMN. In addition to this the VMNs perform MIPv6 return routability for their home address with the CN resulting in a signaling overhead of 360 bytes every 210s.

## B. Results and Discussion

We compared the TCP performance of a LFN, a VMN with no route optimization, a VMN with MIPv6 route optimization

(i.e. avoiding the  $HA_{VMN}$ ), and a VMN with OptiNets (i.e. avoiding both  $HA_{VMN}$  and  $HA_{MR}$ ). We measured the performance in a static case, in which the MR was located in a foreign network and a dynamic case in which the MR moved between two foreign networks. The results for the static case, as shown in Figure 7, indicate that the performance of the other schemes decreases as the latency between the MR and the  $HA_{MR}$  increases, whereas the performance of the OptiNets scheme is not affected. The results for the dynamic case in Figure 8 show that the performance of the OptiNets scheme is comparable with the static case. The TCP performance of the other schemes was the same as the static case, and therefore we only show the NEMO LFN performance for comparison.

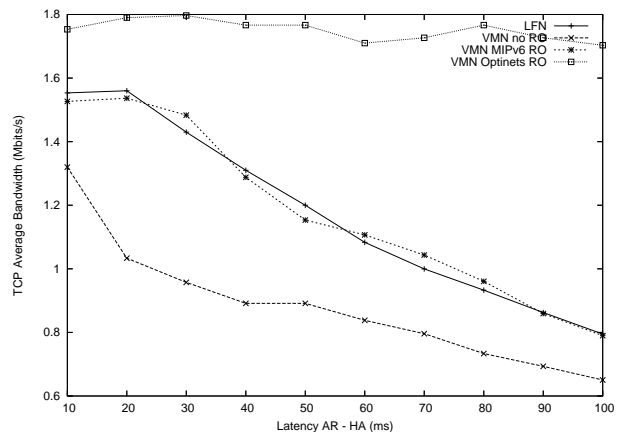


Fig. 7. TCP Performance Comparison in Static Case.

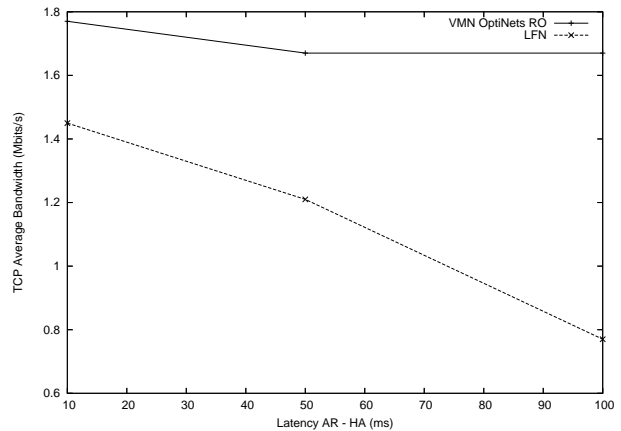


Fig. 8. TCP Handoff Performance Comparison for LFN, MIPv6 MN, OptiNets RO.

The per packet header overhead did not have an effect in the previous two measurements since the TCP performance was limited by the end-to-end latency and not by the available bandwidth (2Mbit/s) due to the use of the default TCP window size. In Figure 9 we analyze the relative overhead of the different schemes. We used a 64Kbit/s Constant Bit Rate stream with 220 Byte packets as traffic and calculated the amount of signaling and per packet protocol overhead relative to the total amount of data sent over the air interface between the MR and the Access Router. It can be seen that the use of OptiNets incurs the smallest total overhead of the NEMO variants regardless of the handoff frequency, when 1MNN

is communicating up to 1 handoff per second which is the maximum frequency specified in [5]. With OptiNets it can be seen that we are able to reduce the per packet overhead to a level comparable to that of a route optimized MIPv6 Mobile Node connecting directly to the Access Router, bypassing the MR.

We also analyzed the effect of multiple MNNs with the same traffic type as in Figure 9 and these results in Figure 10 indicate that the relative overheads of NEMO and OptiNets decrease as the number of MNNs increases. This is due to the aggregation of the mobility signaling.

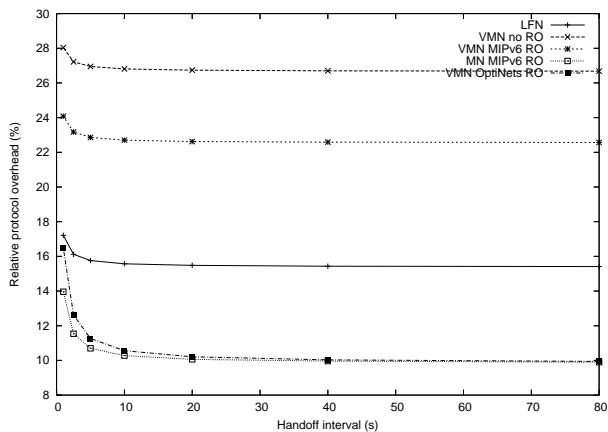


Fig. 9. Overhead Comparison for 1 MNN running CBR Traffic with varying Handoff Interval.

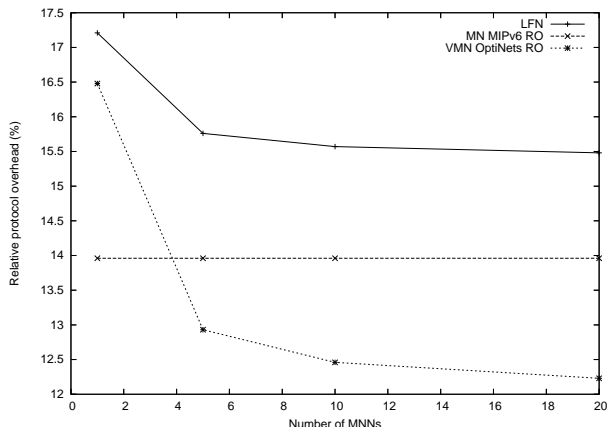


Fig. 10. Overhead Comparison for LFN, OptiNets RO and Mobile IPv6 MN, with varying Number of MNNs.

## VI. RELATED WORK

### A. Wireless Network Testbed

There are several testbeds related to network mobility. The OverDRIVE project [20] focused on UMTS enhancements and coordination of existing radio networks into a hybrid network to enable the delivery of spectrum-efficient multicast and unicast services to vehicles. The iCar [21] testbed utilized multiple access technologies for connecting a car network to the Internet. The Mobile Access Router (MAR) testbed [22] focused on evaluating the performance of vertical handoff and load balancing in a vehicular mobile network environment.

The Nautilus project testbeds have been designed to verify the applicability of the NEMO protocol implementations in different scenarios, such as the E-wheelchair [23]. Our network mobility testbed is geared towards evaluating the performance of NEMO, related IPv6 protocols and the proposed optimizations.

### B. Handoff Performance Improvement

Previous research on handoff performance improvement on the network layer has mostly focused on improving the performance of BBM handoffs since most mobile devices can only connect to a single access network at a time.

Hierarchical Mobile IPv6 [15] reduces the packet loss by introducing additional functionality to the foreign network infrastructure for localizing the handoffs. Fast Mobile IPv6 [14] emulates MBB handoffs by allowing a mobile device to connect virtually to its new and old Access Router at the same time. These approaches are well suited to networks with a large number of mobile devices since they allow for simple mobile devices by moving complexity to the edge of the network. However, in NEMO, the MR acts as an aggregation point for mobility management and routing, and thus the benefits of reduced complexity in the MR do not necessarily outweigh the costs of additional complexity in the infrastructure. Further, previous research [24] suggests that handoff prediction may be successful on the average only 50% of the time, thus reducing the performance of Fast Mobile IPv6 significantly.

MBB handoffs have been utilized in cellular networks at the link layer. However, as a part of the IP level mobility management MBB handoffs could be used independently of the underlying link layer technology.

### C. Route Optimization

Several route optimization techniques have been proposed in the context of single-level and nested mobile networks. The schemes for nested mobile networks, such as Kang et al's [25] proposal, Thubert's Reverse Routing Header (RRH) protocol [26] and Ohnishi et al [27] Hierarchical Mobile IPv6-based approach, reduce the overheads of multiple levels of nested Mobile Networks.

There are several schemes for route optimization for un-nested mobile networks. The Optimized Route Cache protocol (ORC) [28] reduces the overhead of tunneling by introducing correspondent routers that can be configured anywhere in the Internet to be an anchor router for the mobile network. The performance gained from using ORC scheme depends on the vicinity of correspondent routers to Correspondent Nodes. However, this scheme requires significant support from the network infrastructure. Jeong et al [29] proposed an optimization mechanism for MIPv6 enabled nodes in which the MR acts as a bridge and a Neighbor Discovery proxy between VMNs and the foreign link. Although this technique does not require any support from the infrastructure, it increases the signaling load on the wireless link since each MR performs neighbor discovery on the link for each MNN. Thus, it is more applicable to mobile networks with relatively few nodes. In this work we extended and implemented our previously



proposed OptiNets route optimization technique [3] which requires support from the Access Routers for prefix delegation, but scales to a larger number of Mobile Network Nodes.

## VII. CONCLUSIONS

In this paper we measured the performance of NEMO in a network mobility testbed. We analyzed the handoff performance and protocol and routing overheads of a NEMO based network mobility system. The analysis showed that unoptimized handoff performance of NEMO would be unsuitable for most applications due to handoff latencies of up to 2.75 seconds. Even with protocol optimizations the handoff latencies would still limit the suitability for performance sensitive applications, such as Voice over IP. Further, from the analysis it was evident that the protocol and routing overheads of NEMO would lead to inefficient use of scarce wireless network resources. To address these shortcomings we proposed the use of multiple interfaces for Make-Before-Break handoffs and extended our previously proposed OptiNets route optimization scheme.

The Make-Before-Break handoffs make it possible for a fast moving Mobile Router to take advantage of high speed but short range radio technologies without compromising the service it offers to Mobile Network Nodes. However, there are a number of potential drawbacks to using multiple interfaces in mobile devices, such as an increase in power consumption, interference caused by the usage of multiple interfaces and increased size and cost. These drawbacks apply mostly to Mobile Hosts and do not limit the use of multiple interfaces on Mobile Routers to the same extent for the following reasons:

- 1) An on-board Mobile Router is not limited by power constraints in the same way as battery powered mobile devices since it will be powered by the vehicle.
- 2) The ability to use physically separated external antennas on a Mobile Router will reduce the effects of interference.
- 3) A small increase in the size and cost of a Mobile Router can be easily justified by the fact this increase benefits a large number of nodes due to aggregation of mobility management at the Mobile Router.

The extended OptiNets route optimization scheme enables a Visiting Mobile Node to bypass NEMO tunneling, and use its own mobility capabilities, such as Mobile IPv6. Although we have used only the Mobile IPv6 protocol in our measurements for OptiNets it is important to note that the scheme could be used with any other host mobility protocol, possibly with even greater performance increases. For example Session Initiation Protocol (SIP) [4] does not require extra headers for route optimization. Thus it would be possible to remove the per packet overhead of NEMO completely by using OptiNets with SIP.

In summary, we showed that the MBB handoff scheme and the extended OptiNets route optimization scheme alleviate the performance issues of the NEMO protocol. With these optimizations NEMO could be used even with applications highly sensitive to delay and packet loss.

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#### APPENDIX A: NEMO HANDOFF LATENCY CALCULATIONS

The total handoff latency equals to the link layer handoff latency  $T_{L2}$  + the IPv6 network attachment latency, consisting of Router Solicitation advertisement exchange  $T_{RS-RA}$  and DAD ( $T_{DAD}$ ) + NEMO protocol latency consisting of the RTT between the MR and its HA ( $T_{RTT}$ ) and possibly Proxy DAD ( $T_{PDAD}$ ).

When the MR moves from its home network to a foreign network the latency is:

$$T_{h2f} = T_{L2} + T_{RS-RA} + T_{DAD} + T_{RTT} + T_{PDAD}$$

Average value for  $T_{RS-RA}$  is 0.25s and the average value for  $T_{DAD}$  is 1.5s and the value for  $T_{PDAD}$  is 1s. The average value for the handoff then equals to  $T_{h2f} = T_{L2} + T_{RTT} + 2.75s$ .

When the MR moves between two foreign networks proxy DAD is not performed, thus the handoff latency becomes:

$$T_{f2f} = T_{L2} + T_{RS-RA} + T_{DAD} + T_{RTT}$$

This equals to a median of  $T_{f2f} = T_{L2} + T_{RTT} + 1.75s$ .

Finally when the MR returns home no DAD is performed since the HA acts as a proxy for the home and the link local addresses of the MR. Then the latency consists of only the L2 handoff latency, the RS-RA delay and the RTT between the MR and its HA.

$$T_{f2h} = T_{L2} + T_{RS-RA} + T_{RTT}$$

Thus the average minimum for handoff latency  $T_{f2h}$  is 0.25s + linklayer dependent delays when returning home.

#### APPENDIX B: MESSAGE SIZES AND OVERHEADS FOR NEMO, MOBILE IPV6 AND OPTI-NETS RO

The Table IV shows the message sizes in bytes for all signaling messages sent over the air interface, excluding IPv6 neighbor discovery and link layer signaling messages. IPsec Encapsulating Security Payload (ESP) [30] is used for protecting the HoT and HoTi messages and IPsec AH [31] for protecting BUs between the MR and the  $HA_{MR}$ . The NEMO protocol overhead consists of firstly per packet overhead, which depends only on the tunneling or route optimization. For a NEMO LFN this is always 40 bytes per packet due to use of the MR-HA IPv6 tunnel. For a VMN which uses Mobile IPv6 with tunneling with the  $HA_{VMN}$  the overhead becomes 80 bytes due to the double tunnel. For a VMN which uses Mobile IPv6 route optimization with a 24 byte extension header the overhead is 64 bytes per packet and for a MIPv6 MN it is 24 bytes.

Secondly nested mobility may result in per MNN time dependent signaling overhead which does not exist for LFNs

TABLE IV  
MESSAGE SIZES WITH IPSEC AND TUNNELING IN BYTES

Message	Base Size	With IPsec	With NEMO Tunnel
BU MR- $HA_{MR}$	80	104	N/A
BA $HA_{MR}$ -MR	80	104	N/A
BU MN-HA	80	104	N/A
BA HA-MN	80	104	N/A
DHCPv6 solicit MR-AR	96	N/A	N/A
DHCPv6 reply AR-MR	184	N/A	N/A
VMN MIPv6 BU VMN-CN	96	N/A	136
HoTi VMN- $HA_{VMN}$ -VMN	96	136	176
HoT CN- $HA_{VMN}$ -VMN	104	144	184
HoTi MN-HA-CN	96	136	N/A
HoT CN-HA-MN	104	144	N/A
VMN MIPv6 CoTi VMN-CN	56	N/A	96
VMN MIPv6 CoT CN-VMN	64	N/A	104
OptiNets VMN-CN CoTi	56	N/A	N/A
OptiNets VMN-CN CoT	64	N/A	N/A
MIPv6 MN-CN CoTi	56	N/A	N/A
MIPv6 MN-CN CoT	64	N/A	N/A
OptiNets BU VMN-CN	96	N/A	N/A
MIPv6 BU MN-CN	96	N/A	N/A

or is negligible for VMNs not using route optimization since they register with the  $HA_{VMN}$  only when entering the mobile network. MNs and VMNs performing MIPv6 route optimization send BUs and return routability messages to CNs according to Mobile IPv6 specification. This signaling consists of a CoA return routability test between the MN and the CN, a BU to the CN and a BU to the HA every time the MN moves and a HoA return routability every 210s, or every time before MN sends a BU to the CN, if this is more seldom than every 210s. Thus, the per MN time dependent overhead for a Mobile IPv6 MN becomes the size of HoTi + HoT protected with IPsec every 210s. For a VMN CoT, CoTi and BU are exchanged with a CN every 420s with HoTi and HoT packets being tunneled between the MR and the  $HA_{MR}$ .

Handoff signaling is handled solely by the MR and the  $HA_{MR}$  for a NEMO LFN and consists of a BU and a BA protected by IPsec. For a Mobile IPv6 MN the handoff signaling consists of a BU to its HA, and a CoTi-CoT-BU exchange with each CN.



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