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# Mechanism of a COVID-19 nanoparticle vaccine candidate that elicits a broadly neutralizing antibody response to SARS-CoV-2 variants — Source link

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# 19 ABSTRACT (150 words)

20 Vaccines that induce potent neutralizing antibody (NAb) responses against emerging variants of 21 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) are essential for combating the 22 coronavirus disease 2019 (COVID-19) pandemic. We demonstrated that mouse plasma induced 23 by self-assembling protein nanoparticles (SApNPs) that present 20 rationally designed 24 S2GΔHR2 spikes of the ancestral Wuhan-Hu-1 strain can neutralize the B.1.1.7, B.1.351, P.1, 25 and B.1.617 variants with the same potency. The adjuvant effect on vaccine-induced immunity 26 was investigated by testing 16 formulations for the multilayered I3-01v9 SApNP. Using single-27 cell sorting, monoclonal antibodies (mAbs) with diverse neutralization breadth and potency were 28 isolated from mice immunized with the receptor binding domain (RBD), S2GAHR2 spike, and 29 SApNP vaccines. The mechanism of vaccine-induced immunity was examined in mice. 30 Compared with the soluble spike, the I3-01v9 SApNP showed 6-fold longer retention, 4-fold 31 greater presentation on follicular dendritic cell dendrites, and 5-fold stronger germinal center 32 reactions in lymph node follicles.

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# 35 **ONE-SENTENCE SUMMARY (125 characters)**

With a well-defined mechanism, spike nanoparticle vaccines can effectively counter SARSCoV-2 variants.

# 38 INTRODUCTION

39 The COVID-19 pandemic has led to more than 188 million infection cases and 4 million deaths 40 globally. Antibody responses to SARS-CoV-2 spike antigens can be sustained for several months 41 in most COVID-19 patients after infection (1-4). However, recently identified variants of 42 concern (VOCs) exhibit higher transmissibility and resistance to prior immunity as SARS-CoV-2 43 continues to adapt to the human host (5, 6). One such variant, B.1.1.7 (WHO classification: 44 Alpha), emerged from southeast England in October 2020 and accounted for two-thirds of new 45 infections in London in December 2020, with a higher transmission rate (43-90%) and risk of 46 mortality (32-104%) than previously circulating strains (7, 8). Other variants, such as B.1.351 47 (Beta) and P.1 (Gamma), also became prevalent in three provinces in South Africa and Manaus, 48 Brazil, respectively (6, 9, 10). The B.1.617.2 (Delta) variant, which was initially identified in 49 India, is becoming a dominant strain in many countries (11, 12) and responsible for the majority 50 of new COVID-19 cases. This variant was found to be  $\sim 60\%$  more transmissible than the highly 51 infectious B.1.1.7 variant (12). The rise of SARS-CoV-2 VOCs and their rapid spread worldwide 52 result in more infection cases, hospitalizations, and potentially more deaths, further straining 53 healthcare resources (10).

To date, eight COVID-19 vaccines have been approved for emergency use in humans, with more than 90 candidates assessed in various phases of clinical trials (*13*). With the exception of inactivated whole-virion vaccines, diverse platforms have been used to deliver the recombinant SARS-CoV-2 spike, such as mRNA-encapsulating liposomes (e.g., BNT162b2 and mRNA-1273), adenovirus vectors (e.g., ChAdOx1 nCoV-19 [AZD1222], CTII-nCoV, Sputnik V, and Ad26.COV2.S), and micelle-attached spikes (e.g., NVX-CoV2373). These vaccines demonstrated 65-96% efficacy in Phase 3 trials, with lower morbidity and mortality associated

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61 with COVID-19 disease (14-19). However, a notable loss of vaccine efficacy against new SARS-62 CoV-2 variants was reported, likely caused by spike mutations in the receptor-binding domain 63 (RBD; e.g., K417N, E484K, and N501Y), N-terminal domain (NTD; e.g., L18F, D80A, D215G, 64 and  $\Delta 242-244$ ), and other regions that are critical to spike stability and function (e.g., D614G and 65 P681R) (6, 11, 20-25). Among circulating VOCs, the B.1.351 lineage appeared to be most 66 resistant to neutralization by convalescent plasma (9.4-fold) and vaccine sera (10.3- to 12.4-fold) 67 (26), whereas a lesser degree of reduction was observed for an early variant, B.1.1.7 (27-29). 68 Based on these findings, it was suggested that vaccines would need to be updated periodically to 69 maintain protection against rapidly evolving SARS-CoV-2 (30-32). However, in a recent study, 70 convalescent sera from B.1.351 or P.1-infected individuals showed a more visible reduction of 71 B.1.617.2 neutralization than convalescent sera from individuals infected with early pandemic 72 strains (33). Together, these issues raise the concern that herd immunity may be difficult to 73 achieve, highlighting the necessity of developing vaccines that can elicit a broadly neutralizing 74 antibody (bNAb) response to current and emerging variants (25, 31). As previously reported (34-75 38), the production of a bNAb response relies on long-lived germinal center (GC) reactions to 76 activate precursor B cells, stimulate affinity maturation, and form long-term immune memory. In 77 particular, antigen retention and presentation within lymph node follicles are key to the induction 78 of long-lived GC reactions (34, 36, 39) and should be considered in the development of bNAb-79 producing vaccines (40).

80 We previously investigated the cause of SARS-CoV-2 spike metastability and rationally 81 designed the S2G $\Delta$ HR2 spike, which was displayed on three self-assembling protein 82 nanoparticle (SApNP) platforms, including ferritin (FR) 24-mer and multilayered E2p and I3-83 01v9 60-mers, as COVID-19 vaccine candidates (*41*). In the present study, we investigated the

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84 vaccine-induced NAb response to SARS-CoV-2 VOCs and mechanism by which SApNP 85 vaccines (e.g., I3-01v9) generate such a response. We first examined the neutralizing activity of 86 mouse plasma from our previous study (41) against four representative SARS-CoV-2 variants, 87 B.1.1.7, B.1.351, P.1, and B.1.617<sub>Rec</sub>, which was derived from an early analysis of the B.1.617 88 lineage (11) and shares key spike mutations with VOC B.1.617.2. Mouse plasma induced by the 89 S2GAHR2 spike-presenting I3-01v9 SApNP potently neutralized all four variants with 90 comparable titers to the wildtype strain, Wuhan-Hu-1. When a different injection route was 91 tested in mouse immunization, E2p and I3-01v9 SApNPs sustained neutralizing titers against the 92 four variants, even at a low dosage of 3.3  $\mu$ g, whereas a significant reduction of plasma 93 neutralization was observed for the soluble spike. Next, we examined the adjuvant effect on 94 vaccine-induced humoral and T-cell responses for the I3-01v9 SApNP. While detectable plasma 95 neutralization was observed for the non-adjuvanted I3-01v9 group, conventional adjuvants, such 96 as aluminum hydroxide (AH) and phosphate (AP), boosted the titers by 8.6- to 11.3-fold (or 9.6 97 to 12.3 times). Adjuvants that target the stimulator of interferon genes (STING) and Toll-like 98 receptor 9 (TLR9) pathways enhanced neutralization by 21- to 35-fold, alone or combined with 99 AP, in addition to a Th1-biased cellular response. We then performed antigen-specific single-cell 100 sorting and isolated 20 monoclonal antibodies (mAbs) from RBD, spike, and I3-01v9 SApNP-101 immunized mice. These mAbs were derived from diverse B cell lineages, of which some 102 neutralized the wildtype Wuhan-Hu-1 strain and four variants with equivalent potency. Lastly, 103 we investigated how SApNPs behave in lymph nodes and induce GCs by characterizing vaccine 104 delivery and immunological responses at the intraorgan, intracellular, and intercellular levels in 105 mice. The I3-01v9 SApNP showed 6-fold longer retention, 4-fold greater presentation on 106 follicular dendritic cell (DC) dendrites, and 5-fold higher GC reactions than the soluble spike.

107 Intact SApNPs in lymph node tissues could be visualized by transmission electron microscopy

108 (TEM). Our study thus demonstrates that a spike-presenting SApNP vaccine derived from the

109 "ancestral" SARS-CoV-2 strain may confer broad protection against emerging variants.

# 110 **RESULTS**

# 111 Spike and SApNP vaccine-induced neutralizing responses to SARS-CoV-2 variants.

112 We previously demonstrated that the rationally designed S2G $\Delta$ HR2 spike was more 113 immunogenic than the S2P spike (42), and SApNPs displaying 8-20 spikes outperformed soluble 114 spikes in NAb elicitation (41) (Fig. 1A). Notably, the I3-01v9 SApNP that presents 20 115 S2GAHR2 spikes induced a potent NAb response to both SARS-CoV-1 and SARS-CoV-2, as 116 well as critically needed T-cell responses (41). Because SARS-CoV-1 shares only modest 117 sequence similarity (~73% in the RBD) with SARS-CoV-2, we hypothesized that our vaccines 118 would protect against emerging variants that are much more closely related to the ancestral 119 SARS-CoV-2 strain, Wuhan-Hu-1.

120 We first assessed the neutralizing activity of polyclonal plasma induced by various spike 121 and SApNP vaccine formulations from our previous study (41) against the wildtype SARS-CoV-122 2 strain, Wuhan-Hu-1, as a baseline for comparison (Fig. 1B). Mouse plasma collected at week 5 123 after two intraperitoneal (i.p.) injections of adjuvanted vaccine antigens (50  $\mu$ g) was analyzed in 124 pseudoparticle (pp) neutralization assays (43). The soluble  $S2P_{ECTO}$  spike elicited the lowest 50% 125 inhibitory dilution (ID<sub>50</sub>) titers, whereas the soluble S2G $\Delta$ HR2 spike increased neutralization 126 with a 7.1-fold higher average  $ID_{50}$  titer, which did not reach statistical significance because of 127 within-group variation. All three spike-presenting SApNPs elicited superior neutralizing 128 responses than the soluble S2P<sub>ECTO</sub> spike (41). Notably, the I3-01v9 SApNP achieved the highest 129 potency, with an average ID<sub>50</sub> titer of 2090, which was 8.1-fold higher than the soluble  $S2P_{ECTO}$ 

130 spike. Despite differences in  $ID_{50}$  titers, the overall pattern remained the same as reported in our 131 previous study (41). The differences might be attributable to the inherent variation of 132 pseudovirus assays (43, 44). We then assessed plasma neutralization against four major SARS-133 CoV-2 variants (Fig. 1C, fig. S1A, B). The I3-01v9 SApNP induced a stronger neutralizing 134 response against variants, with 0.5-fold (B.1.1.7), 0.8-fold (B.1.351), 1.8-fold (P.1), and 1.0-fold 135  $(B.1.617_{Rec})$  higher (or 1.5-2.8 times) ID<sub>50</sub> titers compared with the wildtype strain (**Fig. 1C**). 136 Altogether, these results confirmed our hypothesis and highlighted the advantages of spike-137 presenting SApNPs.

138 Next, we examined the influence of injection dosage and route on the plasma neutralizing 139 response to various SARS-CoV-2 strains. To this end, we performed a mouse study, in which 140 three groups of mice were immunized with 5, 15, and 45 µg of the I3-01v9 SApNP three times 141 via i.p. injection. Remarkably, all four variants were neutralized by mouse plasma with 142 comparable  $ID_{50}$  titers observed across dose groups (**fig. S1C, D**). To examine whether routes of 143 injection affect the plasma neutralizing response against variants, we performed another mouse 144 study, in which a low dose  $(3.3 \mu g)$  of adjuvanted antigen was intradermally administered into 145 four footpads (i.e., 0.8 µg/footpad). At week 5, the large (~55-60 nm) E2p and I3-01v9 SApNPs 146 that present 20 S2G $\Delta$ HR2 spikes yielded higher ID<sub>50</sub> titers against the wildtype strain than the 147 soluble S2G $\Delta$ HR2 spike (Fig. 1D, fig. S1E, F), whereas a notable reduction of ID<sub>50</sub> titers against 148 the variants was noted for mouse plasma from the S2G $\Delta$ HR2 group (Fig. 1E, fig. S1E, F), 149 suggesting that multivalent display is critical for eliciting a broad neutralizing response. Overall, 150 the E2p and I3-01v9 SApNP groups exhibited similar or slightly stronger plasma neutralization 151 against the four variants relative to the wildtype strain, Wuhan-Hu-1 (Fig. 1E). Lastly, we 152 assessed longevity of the low-dose vaccination-induced neutralizing response by testing week-26

153 plasma against Wuhan-Hu-1 (Fig. 1F, fig. S1G, H). It is noteworthy that ID<sub>50</sub> titers at week 26 154 were at the same level as week 5, suggesting a long-lasting protective humoral immunity. In our 155 previous study, a panel of human NAbs was used to evaluate antigenicity of the stabilized 156 S2GΔHR2 spike and SApNPs and validate the SARS-CoV-2-pp neutralization assays (41). Here, 157 this antibody panel was tested against SARS-CoV-2-pps that carry spikes of the wildtype strain 158 and the four variants (Fig. 1G, fig. S1I). Lower potency against the B.1.351 and P.1 variants, 159 measured by the 50% inhibitory concentration (IC<sub>50</sub>), was observed for all human NAbs, with the 160 exception of NAb S309, which was identified from a SARS-CoV-1 patient (45). This finding is 161 consistent with recent reports on convalescent patient plasma (26-28). Interestingly, most human 162 NAbs remained effective against B.1.617<sub>Rec</sub> showing a similar pattern to the wildtype Wuhan-163 Hu-1 strain and B.1.1.7 variant, consistent with the results of a recent cohort analysis of 164 convalescent sera from individuals infected with early VOCs against a rising B.1.617 (33). As a 165 negative control, mouse plasma induced by the S2GAHR2-presenting I3-01v9 SApNP was tested 166 against pseudoviruses carrying the murine leukemia virus (MLV) envelope glycoprotein (Env), 167 or MLV-pps. Nonspecific MLV-pp neutralization was not detected for plasma samples produced 168 in two independent immunization experiments (fig. S1J, K).

Altogether, our results demonstrate that spike-presenting SApNPs are more advantageous than soluble spikes in eliciting a strong neutralizing response to diverse SARS-CoV-2 variants. In our previous study, soluble SARS-CoV-2 spikes induced a more effective neutralizing response to SARS-CoV-1 than a scaffolded SARS-CoV-2 RBD trimer (*41*). Recently, a twocomponent RBD-NP vaccine showed reduced serum neutralization of variants bearing the E484K mutation (*46*). It is plausible that both the nanoparticle (NP) platform (one-component

SApNP *vs.* two-component NP) and the antigen type (spike *vs.* RBD) contribute to vaccinebreadth.

## 177 Adjuvant effect on vaccine-induced neutralizing antibody and T-cell responses.

178 Innate immunity plays an important role in regulating adaptive immunity, including humoral and 179 cellular immune responses (47-49). Adjuvant-formulated vaccines have been shown to recruit 180 and activate innate immune cells more effectively at injection sites and local lymph nodes (50-181 52). Among commonly used adjuvants, AH and AP create depots for the recruitment and 182 activation of antigen-presenting cells (APCs) at injection sites and sentinel lymph nodes (53, 54), 183 whereas oil-in-water emulsions such as MF59 promote antigen retention and APC stimulation in 184 lymph nodes (55). Pattern recognition receptor (PRR) agonists (e.g., STING, TLR3, TLR4, 185 TLR7/8, and TLR9 agonists) stimulate APCs at injection sites and nearby lymph nodes (47, 52, 186 56-59). Macrophage inhibitors (e.g., clodronate liposomes, termed CL) directly stimulate B cells 187 or inhibit antigen sequestration by subcapsular sinus macrophages, thus resulting in more 188 effective GC simulation in lymph nodes (60). Adjuvant combinations may generate a synergistic 189 immune response by simultaneously activating multiple pathways (52, 57).

190 To examine the effect of innate signaling pathways on SApNP-induced immune 191 responses, we tested 16 adjuvant formulations in a systematic study (Fig. 2A), in which mice 192 were immunized with the adjuvanted I3-01v9 SApNP (20 µg) via intradermal injections in four 193 footpads (i.e., 5  $\mu$ g/footpad). We first tested mouse plasma neutralization against the wildtype 194 Wuhan-Hu-1 stain. Mouse plasma at week 2 after a single dose was analyzed in SARS-CoV-2-195 pp assays, with most groups showing negligible or borderline  $ID_{50}$  titers (fig. S2A, B). We then 196 analyzed mouse plasma at week 5 after two injections (Fig. 2B, fig. S2C, D). The non-197 adjuvanted group showed detectable neutralization after two doses, with an average ID<sub>50</sub> titer of

198 160, which was used as a baseline in this analysis. By comparison, conventional adjuvants, such 199 as AH, AP, and AddaVax, increased ID<sub>50</sub> titers by 10.3-, 7.6-, and 12.5-fold, respectively. The 200 macrophage inhibitor CL boosted plasma neutralization by merely 1.6-fold relative to the non-201 adjuvanted group. Adjuvants that target various PRRs exhibited differential effects on plasma 202 neutralization, increasing  $ID_{50}$  titers by 1.1- to 34.2-fold. Notably, STING and CpG (TLR9) 203 substantially enhanced neutralizing titers, whereas TLR3, TLR4, and TLR7/8 agonists only 204 exerted a modest effect. In most cases, adjuvants combined with AP further boosted plasma 205 neutralizing activity. For example, when TLR4 and TLR7/8 agonists were mixed with AP, a 3.1-206 fold increase in  $ID_{50}$  titers was observed, suggesting a synergistic effect of stimulating multiple 207 immune pathways. Overall, STING and CpG, either alone or combined with AP, showed plasma 208 neutralization superior to that of any other adjuvant or adjuvant mix, increasing ID<sub>50</sub> titers by 21 209 to 34-fold compared with the non-adjuvanted group. This is consistent with the results of the S-210 Trimer (SCB-2019), which, when formulated with CpG 1018 (TLR9 agonist) and alum 211 adjuvants, induced potent NAb responses in nonhuman primates and human trials (61, 62). 212 Mouse plasma at week 8 showed further increases in  $ID_{50}$  titers (1 to 3-fold) for most adjuvant 213 groups (Fig. 2C, fig. S2E, F). Lastly, we examined mouse plasma at week 5 from the STING 214 and CpG groups against the B.1.1.7, B.1.351, P.1, and B.1.617<sub>rec</sub> variants (Fig. 2D, fig. S2G, H). 215 Both adjuvant groups exhibited potent neutralizing responses to the four variants, with ID<sub>50</sub> titers 216 comparable to the wildtype strain.

We previously demonstrated that the AP-formulated I3-01v9 SApNP induces interferon- $\gamma$ (IFN- $\gamma$ )-producing CD4<sup>+</sup> T helper 1 (Th1) cells and IFN- $\gamma$ /interleukin-4 (IL-4) double-positive memory CD4<sup>+</sup> T cells (*41*). Given the superior plasma neutralizing response observed for STING and CpG, we examined the impact of these two adjuvants on vaccine-induced T-cell responses. 221 IFN- $\gamma$ -producing CD4<sup>+</sup> Th cells are important for optimal antibody responses and the induction 222 of cellular immunity to clear viruses (63-65). To assess the effect of STING and CpG on 223 vaccine-induced Th cells, we isolated splenocytes from mice 8 weeks after vaccination and 224 cultured them in the presence of BALB/c mouse DCs pulsed with the spike-presenting I3-01v9 225 SApNP. Compared with the non-adjuvanted control, STING and CpG (TLR9) induced 3.7 and 226 5.5-fold more IFN- $\gamma$ -producing CD4<sup>+</sup> Th1 cells, and 5.5 and 16-fold more IL-4-producing CD4<sup>+</sup> 227 Th2 cells, respectively (Fig. 2E, fig. S2I). A visible but nonsignificant trend toward a higher 228 frequency of both Th1 and Th2 cells was noted in mice immunized with the CpG-formulated I3-229 01v9 SApNP than other formulations. Nonetheless, both adjuvants induced more IFN-y-230 producing CD4<sup>+</sup> Th1 cells than IL-4-producing CD4<sup>+</sup> Th2 cells, suggesting a dominant Th1 231 response in these mice. This is consistent with the results for the S-Trimer (SCB-2019), which, 232 when formulated with the AS03 adjuvant or mixed CpG 1018/alum adjuvants, induced Th1-233 biased cellular responses in mice (61). STING and CpG also enhanced CD8<sup>+</sup> T-cell responses by 234 6- and 10-fold, respectively, compared with the PBS control. Notably, this effect was more visible for CpG in terms of both the frequency and number of IFN- $\gamma$ -producing CD8<sup>+</sup> effector T 235 236 cells (Fig. 2F, fig. S2J).

Our results demonstrate that the I3-01v9 SApNP itself is immunogenic, and adjuvants can further enhance vaccine-induced NAb responses in plasma by up to 35-fold. The I3-01v9 SApNP, when formulated with the STING or TLR9 agonist, yielded the highest  $ID_{50}$  titers with robust CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses, highlighting their potential as adjuvants in the development of more effective SARS-CoV-2 vaccines.

# 242 Diverse variant-neutralizing mouse antibody lineages identified by single-cell analysis.

243 Although plasma neutralization confirmed the effectiveness of our newly designed SARS-CoV-2 244 vaccines (41) against variants, the nature of this response was unclear. It might result from 245 multiple NAb lineages that each target a specific strain (non-overlapping), a few bNAb lineages 246 that are each able to block multiple strains (overlapping), or a combination of both. Previously, 247 we used antigen-specific single-cell sorting to identify potent mouse NAbs elicited by an I3-01 248 SApNP that presents 20 stabilized HIV-1 Env trimers (66). Here, we applied a similar strategy to 249 decipher NAb responses induced by SARS-CoV-2 vaccines using mouse samples from our 250 previous study (41), for which potent plasma neutralization against four variants has been 251 verified (**Fig. 1C**).

252 Spleen samples from M4 in the spike group (S2GAHR2-5GS-1TD0) and M2 in the spike-253 SApNP group (S2GAHR2-10GS-I3-01v9-L7P), along with a control sample from M2 in the 254 RBD (RBD-5GS-1TD0) group were analyzed. Two probes, RBD-5GS-foldon-Avi and 255 S2GΔHR2-5GS-foldon-Avi, were produced, biotinylated, and purified to facilitate antigen-256 specific B-cell sorting (fig. S3A, B). Following antibody cloning, reconstituted mouse mAbs 257 were tested for neutralizing activity against the wildtype strain, Wuhan-Hu-1, in SARS-CoV-2-258 pp assays. A total of 20 mAbs, four from the RBD group (fig. S3C), six from the spike group 259 (fig. S3D), and 10 from the I3-01v9 SApNP group (fig. S3E), were found to be NAbs. The 260 genetic analysis of mAb sequences revealed some salient features of the vaccine-induced NAb 261 response in mice (Fig. 3A). Overall, these mAbs evolved from diverse germline origins. The 262 RBD-elicited mAbs appeared to use distinct germline variable (V) genes for both heavy chain 263 (HC) and  $\kappa$ -light chain (KC), or V<sub>H</sub> and V<sub>K</sub>, respectively, whereas the spike and I3-01v9 264 SApNP-elicited mAbs shared some common V<sub>H</sub> genes, such as IGHV14-1/3 and IGHV1S81. 265 This result was not unexpected because the RBD vaccine presents a structurally distinct antigen

to the immune system compared with the spike and I3-01v9 vaccines, which both present the S2GΔHR2 spike. These mAbs showed low levels of somatic hypermutation (SHM) with respect to their germline genes. Heavy chain complementarity-determining region (HCDR3) loops ranged from 4 to 12 aa in length, whereas most KCs contained 9 aa KCDR3 loops. Collectively, diverse germline genes and HCDR3 loops, accompanied by low degrees of SHM, suggest that many antibody lineages must have been generated upon vaccination, and some could achieve neutralizing activity without an extensive maturation process.

273 We then examined the biological function of these mouse mAbs. Neutralizing activity 274 was assessed in SARS-CoV-2-pp assays against the wildtype strain and four variants (Fig. 3B, 275 fig. S3F). Overall, diverse yet consistent patterns were observed for the three sets of mAbs. Both 276 the RBD vaccine (an RBD scaffold) and the two spike vaccines, albeit in different forms, 277 appeared to elicit potent NAbs against the wildtype strain. MAbs TRBD-R-4G5, S2GD-S-2C10, 278 and I3V9-R-1G9 showed similar IC<sub>50</sub> values (0.02-0.03 µg/ml) against Wuhan-Hu-1, on par with 279 the human NAbs CB6 (67) and CC12.1/3 (68) (Fig. 1F). All three vaccines elicited bNAb 280 responses, despite variation in potency for different mAbs against different strains. Notably, 281 I3V9-R-1G9, which was isolated from an I3-01v9 SApNP-immunized mouse, demonstrated high 282 potency across all four variants (IC<sub>50</sub>: 0.01-0.02  $\mu$ g/ml). This bNAb provided evidence that 283 individual bNAb lineages may critically contribute to the plasma neutralization of diverse 284 variants (Fig. 1C). All three vaccines generated NAbs that preferentially neutralize specific 285 SARS-CoV-2 strains. For example, TRBD-R-4B6 was more effective against the wildtype strain 286 and an early VOC, B.1.1.7, whereas S2GD-R-2E4 neutralized B.1.351, P.1, and B.1.617<sub>Rec</sub> with 287 greater potency. Notably, more than 60% (13) of the mAbs exhibited different patterns in the 288 neutralization of B.1.617<sub>Rec</sub> vs. VOCs B.1.351 and P.1, as indicated by the fold change in  $IC_{50}$ ,

289 suggesting that B.1.617 may represent a distinct SARS-CoV-2 lineage. Although RBD-isolated 290 NAbs likely neutralized SARS-CoV-2 by blocking its receptor binding, those spike-isolated 291 NAbs could target the RBD, N-terminal domain (NTD), or epitopes in the S2 subunit. Thus, we 292 tested these mAbs in an enzyme-linked immunosorbent assay (ELISA) against the RBD 293 monomer and S2G $\Delta$ HR2-5GS-1TD0 spike, both based on the wildtype Wuhan-Hu-1 backbone 294 (Fig. 3C, fig. S3G, H). Overall, all of the NAbs bound the RBD and spike with a half-maximal 295 concentration (EC<sub>50</sub>) of 0.034 µg/ml or lower, except for I3V9-R-2F2 (1.973 µg/ml for the RBD 296 and 2.051  $\mu$ g/ml for the spike). Most (15) NAbs showed greater binding affinity (or lower EC<sub>50</sub> 297 values) for the spike, suggesting that the two arms of the immunoglobulin (Ig) can each interact 298 with one RBD of the spike, resulting in an avidity effect. Notably, diverse binding patterns were 299 observed for I3-01v9 SApNP-elicited NAbs. Although I3V9-S-1C9 and I3V9-S-1F5 bound to 300 the spike more favorably than the RBD, as indicated by a 4.7 to 4.9-fold reduction of their  $EC_{50}$ 301 values, three NAbs from this group (I3V9-R-1G3, I3V9-R-1G9, and I3V9-R-2F10) preferred the 302 RBD monomer over the spike. This preference might be explained by steric hindrance when 303 these NAbs approach the RBDs on a trimeric spike at specific angles.

304 Lastly, we characterized these mouse NAbs in antigen-specific B-cell repertoires by next-305 generation sequencing (NGS), as previously demonstrated for NAbs isolated from HIV-1 306 SApNP-immunized mice and rabbits (66). Using the same RBD and spike probes (fig. S3A), 307 ~1500 splenic B cells were bulk-sorted from each of the three mice that were analyzed by single-308 cell sorting for mAb isolation (fig. S4A). Unbiased mouse antibody HC and KC libraries were 309 constructed and sequenced on an Ion S5 platform, which yielded up to 4 million raw reads (fig. 310 **S4B**). The antibody NGS data were then processed using a mouse antibodyomics pipeline (69) to 311 remove low-quality reads, resulting in 0.11-0.41 full-length HCs and KCs (fig. S4B).

312 Quantitative profiles of critical antibody properties, such as germline gene usage, the degree of 313 SHM, and CDR3 loop length, were determined for the RBD and spike-specific B-cell 314 populations (fig. S4C). All 20 single-cell-sorted mouse NAbs could well fall in the range of 315 these repertoire profiles, but some V<sub>H</sub>/V<sub>K</sub> genes that accounted for large portions of antigen-316 specific B cells, such as IGHV9 and IGHV5, were not used by any NAbs, suggesting that they 317 might give rise to non-neutralizing binding antibodies. Two-dimensional (2D) 318 divergence/identity plots were generated to visualize these NAbs in the context of NGS-derived 319 B-cell repertoires (Fig. 3D, fig. S4D-F). Somatic variants were identified for each NAb by 320 searching for sequences of the same  $V_H/V_K$  gene with a CDR3 identity cutoff of 90% (or 85%) 321 for evolutionarily more remote variants). For the most potent NAb, TRBD-R-4G5, from an 322 RBD-immunized mouse (M2), 34 HC variants were identified that overlapped with an "island" 323 of high sequence similarity to TRBD-R-4G5 on the plot, whereas more KC variants (1183) were 324 found, likely due to the lack of diversity in the KCDR3 region. A similar pattern was observed 325 for the potent bNAb, I3V9-R-1G9, from an SApNP-immunized mouse (M2). By comparison, 326 fewer putative somatic variants were identified for other NAbs in the antigen-specific B-cell 327 repertoires regardless of the sorting probe used (fig. S4D-F), suggesting that these NAbs either 328 were from less prevalent lineages or were generated in response to a previous injection (each 329 mouse received four doses) (41). Similar observations were reported for the vaccination of 330 nonhuman primates and humans in longitudinal repertoire analyses of single-cell-sorted NAbs 331 (70, 71).

332 Single-cell isolation identified a panel of mouse mAbs with different neutralization 333 breadth and potency against the wildtype SARS-CoV-2 strain and four major variants. The 334 ELISA analysis suggested that the I3-01v9 SApNP can elicit NAbs with more diverse angles of

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approach to their epitopes than the RBD and soluble spike vaccine. Structural analysis by
 crystallography and EM will provide a more detailed understanding of epitope recognition by
 these mouse mAbs.

# 338 Distribution and trafficking of I3-01v9 SApNP in mouse lymph node.

339 After validating these vaccines against variants at both the plasma and mAb levels, we studied *in* 340 *vivo* behaviors of the S2G $\Delta$ HR2 spike and two large 60-meric SApNPs to understand why 341 SApNPs outperform soluble spikes in bNAb elicitation. In principle, these SApNPs need to be 342 transported to lymph nodes, retained, and presented to various immune cell populations to induce 343 robust innate and adaptive immune responses. Here, we first examined the transport and 344 distribution of I3-01v9 SApNPs in mouse lymph nodes via footpad injections (10 µg/footpad). 345 The mice were sacrificed 12 h after single-dose (Fig. 4A) and prime-boost (Fig. 4B) regimens. 346 The axillary, brachial, and popliteal sentinel lymph nodes were isolated for histological analysis. 347 The lymph node tissues were stained with the human anti-spike antibody P2B-2F6 (72) to 348 characterize SARS-CoV-2 spikes presented on the I3-01v9 SApNPs. Consistent with our 349 previous study (73), SApNPs accumulated in lymph node follicles, regardless of the number of 350 doses. SApNPs were sequestrated in the center of lymph node follicles after a single dose (Fig. 351 4A, images on the left, schematics on the right) but were located along the outer layer of 352 expanded lymph node follicles after the second injection due to preexisting humoral immunity 353 (i.e., GC reactions) that was induced by the first dose (Fig. 4B, images on the left, schematics on 354 the right). Overall, the majority of SApNPs accumulated in lymph node follicles, but their 355 distribution differed slightly, depending on the doses.

In this context, we examined patterns of trafficking and lymph node follicle retention for
soluble S2GΔHR2 spike *vs.* the S2GΔHR2-presenting E2p and I3-01v9 SApNPs. To facilitate

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358 this analysis, the mice were sacrificed 2 h to 8 weeks after a single dose (Fig. 4C) and 2 h to 5 359 weeks after the boost (Fig. 4D). The injection dose was normalized to the total amount of protein 360 (10  $\mu$ g) per injection into each footpad (40  $\mu$ g/mouse). As shown in **Fig. 4C**, the S2G $\Delta$ HR2 361 spikes that trafficked into lymph node follicles at 2 h cleared within 48 h. In contrast, the two 362 large SApNPs accumulated in the subcapsular sinus at 2 h and then trafficked into follicles 12 h 363 after the single-dose injection. Remarkably, I3-01v9 SApNPs remained detectable in lymph node 364 follicles after 2 weeks, suggesting 6-fold longer retention than the S2GAHR2 spike (Fig. 4C). 365 The results for these protein nanoparticles are thus consistent with the pattern of size dependency 366 that was observed for ovalbumin-conjugated gold nanoparticles in our previous study (73), in 367 which small (5-15 nm) nanoparticles cleared shortly after the injection, whereas large (50-100 368 nm) nanoparticles were retained in lymph node follicles for weeks. Similar patterns of antigen 369 retention were observed after the second injection, although the boost appeared to exert a more 370 positive effect on the soluble spike, which could be detected in lymph node follicles at 48 h (Fig. 371 **4D**). Nonetheless, prolonged retention was observed for both E2p and I3-01v9 SApNPs 2 weeks 372 after the boost injection. Overall, the multivalent display of S2G $\Delta$ HR2 spikes on the I3-01v9 373 SApNP resulted in 325- and 4-fold greater accumulation in lymph node follicles compared with 374 the soluble spike 48 h after the single-dose (Fig. 4E) and prime-boost (Fig. 4F) injections, 375 respectively. These findings reveal the advantage of a leading vaccine candidate identified in our 376 previous study, S2GAHR2-10GS-I3-01v9-L7P (41), in terms of spike antigen retention in lymph 377 node follicles.

# 378 Retention and presentation of I3-01v9 SApNP on follicular dendritic cell dendrites.

Antigen retention and presentation in lymph node follicles are prerequisites to the stimulation of
robust B cell responses and GC reactions (*34, 36*). Resident cells spatially rearrange antigens and

381 present them to B cells. Follicular dendritic cells (FDCs) are resident stromal cells in follicles 382 and retain soluble antigens, immune complexes, virus-like particles (VLPs), viruses, and bacteria 383 (73-76). FDCs are also key to GC initiation, maintenance, and B-cell affinity maturation (37, 77, 384 78). Here, we hypothesized that FDCs comprise the major cell population in lymph node follicles 385 that retain SARS-CoV-2 spikes and spike-presenting SApNPs. To test this hypothesis, we 386 administered vaccines via footpad injections and collected mouse lymph nodes at the peak of 387 accumulation (12 h) after single-dose (Fig. 5A) and prime-boost (Fig. 5B) injections. Lymph 388 node tissue samples were stained with the anti-spike antibody P2B-2F6 (72) for the S2GAHR2 389 spike, as well as anti-CD21 and anti-CD169 antibodies for FDCs and subcapsular sinus 390 macrophages, respectively. The spike and SApNP (E2p or I3-01v9) signals colocalized with 391 FDC (CD21<sup>+</sup>) networks in lymph node follicles (Fig. 5A, B). This result confirmed the critical 392 role of FDC networks in mediating vaccine retention in lymph node follicles.

393 The induction of potent bNAb responses by spike-presenting SApNPs in mice suggests 394 the effective activation of naïve B cells and subsequent recalls by crosslinking B cell receptors 395 (76, 79, 80). We visualized the interface between FDC networks and B cells to better understand 396 how FDC networks present SApNPs to engage B cells. Briefly, fresh lymph nodes were isolated 397 and directly immersed in fixative. The processed tissue samples were sectioned and stained on 398 copper grids for TEM analysis. We first determined whether SApNPs, such as the S2GAHR2-399 presenting I3-01v9 SApNP, remain intact in vivo (fig. S5). Mouse lymph nodes were isolated 2 h 400 after the injection of a high dose (50 µg) of the non-adjuvanted I3-01v9 SApNP. The TEM 401 images revealed that round-shape granules corresponding to intact SApNP aligned on the 402 macrophage surface or inside endolysosomes of the macrophage in a lymph node (fig. S5). We 403 next studied the relative location between FDCs and I3-01v9 SApNPs and how FDCs present 404 SApNPs to B cells. Mouse lymph nodes were collected 2, 12, and 48 h after a single-dose (50 405 µg) and 12 h after the boost of the I3-01v9 SApNP vaccine. The FDCs exhibited the 406 characteristic morphology of long dendrites that surrounded and interacted with B cells in lymph 407 node follicles (Fig. 5C, fig. S6). Few I3-01v9 SApNPs were observed on FDC dendrites at 2 h 408 (fig. S6D), whereas notably more nanoparticles migrated to and aligned on FDC dendrites at 12 409 and 48 h (Fig. 5C, fig. S6A-C, yellow arrows). The TEM images indicated that FDCs can 410 present many SApNPs to neighboring B cells in this "hugging mode", in which their long 411 dendrites brace B cells to maximize interactions between multivalently displayed spikes and B 412 cell receptors. These results demonstrated the intrinsic nature of FDCs as a reservoir for the 413 sequestration, retention, and presentation of virus-like particles, or SApNPs with similar 414 molecular traits, to initiate GC reactions.

## 415 Robust germinal center reactions induced by spike-presenting SApNPs.

416 Long-lived GC reactions induce immune stimulation for B-cell selection and affinity maturation, 417 as well as production of immune memory and bNAb responses (34, 35, 40). Here, we 418 investigated whether the prolonged retention of S2GAHR2-presenting E2p and I3-01v9 SApNPs 419 induces more robust GCs in lymph node follicles than the soluble  $S2G\Delta HR2$  spike. 420 Immunohistological analysis was performed to characterize GC B cells (GL7<sup>+</sup>) and T follicular 421 helper (T<sub>fb</sub>) cells (CD4<sup>+</sup>Bcl6<sup>+</sup>). For the I3-01v9 SApNP, 2 weeks after immunization, we 422 observed robust GCs in lymph node B cell follicles (B220<sup>+</sup>) with well-formed dark zone (DZ) 423 and light zone (LZ) compartments, which contain GC B cells, FDCs, and T<sub>fh</sub> cells (35, 81-83) 424 (Fig. 6A). We then extended the analysis to the S2G $\Delta$ HR2 spike and spike-presenting SApNPs 425 2, 5, and 8 weeks after the single-dose injection (Fig. 6B, fig. S7A-C) and 2 and 5 weeks after 426 the boost (Fig. 6C, fig. S7D, E). Two metrics, the GC/FDC ratio (i.e., whether GC formation is

associated with an FDC network, %) and GC size (i.e., occupied area), were used. Overall, the
soluble spike and both large SApNPs induced robust GCs 2 weeks after immunization (Fig. 6B,
fig. S7A). The E2p and I3-01v9 SApNPs that present 20 spikes induced robust, long-lived GCs,
whereas the spike alone failed to sustain robust GCs at week 8 with either the single-dose (Fig.
6B, D) or prime-boost (Fig. 6C, E) injections. The I3-01v9 SApNP generated larger GCs than
the soluble spike, 2.0-fold larger after the single dose (Fig. 6B, D) and 2.4-fold larger after the
boost (Fig. 6C, E), measured at week 8.

434 We further characterized GC reactions by flow cytometry. Fresh mouse lymph nodes 435 were disaggregated into a single cell suspension and stained with an antibody cocktail to quantify 436 GC B cells and  $T_{fh}$  cells (fig. S8A). The results were consistent with the immunohistological 437 analysis, in which all spike-based vaccine antigens, including the S2G $\Delta$ HR2 spike and SApNPs, 438 showed robust GCs at week 2 after the injection that declined over time, as measured at weeks 5 439 and 8 (Fig. 6F). The E2p and I3-01v9 SApNPs generated a larger population of GC B cells than 440 both the S2P<sub>ECTO</sub> and S2G $\Delta$ HR2 spikes at week 2 (fig. S8B, C). Although the boost dose had 441 little impact on the frequency of GC B cells and T<sub>fh</sub> cells, it appeared to extend GC formation 442 within lymph nodes (Fig. 6F, G), which may promote B cell development toward bNAbs. 443 Notably, the GC B cell and  $T_{fh}$  cell populations elicited by the soluble S2G $\Delta$ HR2 spike were 444 barely detectable 5 weeks after immunization (Fig. 6F, G). This result was reminiscent of a 445 recent study of an mRNA vaccine, in which GC reactions diminished to baseline levels at week 4 446 after a single-dose injection (84). The S2GAHR2-presenting I3-01v9 SApNP generated 3.7/5.2-447 fold more GC B cells and 3.7/4.4-fold more  $T_{fh}$  cells than the soluble S2G $\Delta$ HR2 spike at week 8 448 after one/two-dose immunization (Fig. 6F, G). Therefore, SApNPs that were retained on FDC 449 dendrites could present NAb epitopes to enable more effective B cell recognition than the soluble

450 spike, and consequently induce more robust and long-lived GCs in lymph nodes. Patterns of 451 trafficking and retention may be specific to antigen size, as shown previously (73) and in the 452 present study (Figs. 4 and 5), but GC reactions are largely determined by vaccine adjuvants. This 453 effect was briefly demonstrated for the E2p and I3-01v9 SApNPs, which were previously 454 formulated with the AddaVax and AP adjuvants (41). At week 2 after a single-dose injection, the 455 adjuvanted SApNPs induced stronger GC reactions than the non-adjuvanted groups (fig. S9). 456 This result can also explain the differences in plasma neutralization between the adjuvanted and 457 non-adjuvanted I3-01v9 SApNPs (Fig. 2).

458 NGS has been used to assess vaccine-draining lymph node B-cell responses (85). Here, 459 we characterized lymph node B cells at the repertoire level for three groups of mice immunized 460 with two doses (3.3 µg each) of the S2GAHR2 spike, E2p, and I301v9 SApNPs via footpad 461 injections. At this dosage, the spike showed less effective plasma neutralization of variants than 462 the large SApNPs (Fig. 1E). Given their differences in retention, presentation, and GC reaction 463 (Figs. 4-6), they were expected to yield different lymph node B-cell profiles. Interestingly, 464 antigen-specific sorting identified more spike-targeting lymph node B cells from the I3-01v9 465 SApNP group than both the spike and E2p SApNP groups (fig. S10A). The antibody NGS data 466 were processed by the mouse antibodyomics pipeline (69) (fig. S10B) to derive quantitative B-467 cell profiles (fig. S10C-E). Compared with the spike, the I3-01v9 SApNP appeared to activate 468 fewer  $V_H/V_K$  genes (fig. S10F, left two), while generating a larger population of spike-specific 469 lymph node B cells (fig. S10A). The three vaccine groups exhibited a similar degree of SHM for 470  $V_{\rm H}$  genes, with the I3-01v9 SApNP showing the highest SHM for  $V_{\rm K}$  genes (fig. S10F, middle 471 two). A highly uniform HCDR3 loop length distribution (~10 aa) was observed for mice in the 472 I3-01v9 SApNP group with little variation, as measured by the root-mean-square fluctuation

473 (RMSF) (fig. S10F, right two). In our previous studies (86, 87), a similar approach was applied 474 to assess hepatitis C virus (HCV) and Ebola virus (EBOV) vaccine-induced B cell responses in 475 the spleen, a major lymphoid organ (88), after mice received four i.p. injections. We observed 476 distinct B-cell profiles associated with the viral antigen and NP platform (86, 87). Here, the 477 lymph node B-cell profiles appeared to be rather different, revealing the complex inner workings 478 of another primary site for vaccine-induced immunity. Notably, I3-01v9 SANP exhibited more 479 "focused" B-cell activation and development in vaccine-draining lymph nodes, as indicated by 480 fewer activated germline genes and a narrower HCDR3 length distribution. More in-depth 481 studies are needed to investigate the effect of injection route, adjuvant, and lymphoid organ, in 482 addition to viral antigen and NP platform, on the resulting B-cell profiles. Single-cell immune 483 profiling and antibody isolation (89) may provide further insights into the clonality of vaccine-484 induced B-cell lineages within lymph nodes.

### 485 **DISCUSSION**

486 To end the COVID-19 pandemic, vaccines need to effectively block current and emerging 487 SARS-CoV-2 variants that evade NAb responses by mutating key epitopes on the viral spike 488 (31). To overcome this challenge, some suggested that COVID-19 vaccines need to be updated 489 on a regular basis (30-32), whereas others developed mosaic or cocktail vaccines for related 490 sarbecoviruses (46, 90). These vaccine strategies need to be evaluated for long-term protection, 491 because SARS-CoV-2 is evolving rapidly and may acquire new mutations to evade vaccine-492 induced immunity (e.g., B.1.617) (11). In our previous study (41), the spike-presenting SApNPs 493 induced a potent NAb response to SARS-CoV-1, which is evolutionarily much more distant to 494 the wildtype SARS-CoV-2 strain, Wuhan-Hu-1, than all its circulating variants. Emerging data 495 from human serum analysis suggested that vaccines derived from early pandemic strains may

496 provide broad protection against current variants (*33*). Based on these findings, we hypothesized 497 that SApNPs presenting stabilized ancestral Wuhan-Hu-1 spikes may provide an effective 498 vaccine against SARS-CoV-2 variants. In the present study, we sought to confirm this hypothesis 499 by testing four major variants and, if proven true, investigate the mechanism underlying such a 500 broadly protective vaccine.

501 We explored several critical aspects related to the vaccine response, with a focus on the 502 lead candidate identified in our previous study,  $S2G\Delta HR2-10GS-I3-01v9-L7P$  (41). We first 503 tested vaccine-induced mouse plasma, which represents a polyclonal response, against four 504 SARS-CoV-2 variants. Mouse plasma generated previously (41) and in new studies using 505 different regimens (e.g., injection route, dosage, and adjuvant) potently neutralized the variants. 506 Notably, SApNPs retained their high  $ID_{50}$  titers at a dosage as low as 3.3 µg, whereas 507 formulations with the STING and TLR9 agonists further enhanced the I3-01v9 SApNP-induced 508 neutralizing response. While plasma neutralization data may be interpreted with caution due to 509 assay variation (44), single-cell-sorted mAbs provided unambiguous evidence of the vaccine-510 induced bNAb response. Our results revealed that a plethora of NAb lineages were generated 511 upon vaccination, with I3-01v9 SApNP being the most effective at eliciting bNAbs. 512 Additionally, our results confirmed the necessity of a prime-boost strategy for eliciting a potent 513 NAb response, regardless of the regimen (e.g., injection route, dosage, and adjuvant). Such an 514 NAb response, once generated, can persist for an extended period of time post vaccination. 515 Although SARS-CoV-2 challenge in relevant animal models gives more accurate assessment of 516 vaccine protection (91), NAb titers have been found to be highly predictive of immune 517 protection from symptomatic infections in a large cohort study (92). Protein vaccines, despite the 518 well-established records of safety and effectiveness, have yet to be deployed to mitigate the

519 COVID-19 pandemic (93-95). One protein vaccine, NVX-CoV2373 (micelle-attached spikes 520 formulated with the Matrix- $M^{TM}$  adjuvant), showed ~90% efficacy in human trials (19). Our 521 study indicates that SApNPs displaying 20 stabilized spikes provide a promising protein vaccine 522 candidate that can be used either alone or as a booster for nucleic acid (e.g., mRNA and viral 523 vector) vaccines in the battle against emerging SARS-CoV-2 variants (11).

We explored the mechanism of SApNP vs. spike vaccines following the previously used 524 525 strategy to analyze the *in vivo* behaviors of antigen-attached gold nanoparticles (73). In principle, 526 SApNP vaccines must induce long-lasting GCs to facilitate the development of bNAbs. Effective 527 vaccine retention and presentation are critical for inducing and sustaining GC reactions, which in 528 turn promote the proliferation and affinity maturation of antigen-specific B cells. Indeed, we 529 found that the I3-01v9 SApNP, our leading vaccine candidate (41), elicited 6-fold longer 530 retention and 4-fold greater accumulation in lymph node follicles than the stabilized S2G $\Delta$ HR2 531 spike alone with a prime-boost regimen. This can be attributed to the intrinsic physiological 532 properties of lymph nodes that mediate vaccine trafficking and retention in follicles in a size-533 dependent manner, which would favor retaining large (> 50 nm) virus-like particles (73-75, 80, 534 96). Supporting this notion are the TEM images of retained SApNPs aligned on long FDC 535 dendrites, suggesting that such protein nanoparticles can present spike antigens to B cells for 536 rapid initiation and then sustain GC reactions in lymph node follicles for an extended period of 537 time. Specifically, the I3-01v9 SApNP generated 2.4-fold larger GCs and greater numbers of GC 538 B cells (5.2-fold) and  $T_{fh}$  cells (4.4-fold) than the soluble S2G $\Delta$ HR2 spike with the prime-boost 539 regimen. These findings provide quantitative evidence that spike-presenting SApNPs are 540 uniquely suited for inducing long-lived robust GCs in lymph node follicles. Our analyses thus

shed light on the mechanism by which the I3-01v9 SApNP can elicit a more effective bNAbresponse than the soluble spike.

543 Rational design of next-generation COVID-19 vaccines requires an in-depth 544 understanding of bNAb elicitation (31). Superior NAb (but not necessarily bNAb) responses 545 have been reported for several vaccine candidates that employ particulate display (90, 97-104). 546 The I3-01v9 SApNP elicited a potent bNAb response to four variants, overcoming a major 547 challenge facing the current COVID-19 vaccines. Mechanistic studies of vaccine trafficking, 548 retention, presentation, and GC reactions provided valuable insights into the spike and SApNP-549 induced immunity (95, 105, 106). Such knowledge, if can be obtained for other vaccine 550 platforms (e.g., inactivated whole virions, mRNAs, and viral vectors) will facilitate rational 551 selection of the most effective vaccine candidates to mitigate the pandemic and ultimately stop 552 the spread of SARS-CoV-2.

#### 553 MATERIALS AND METHODS

## 554 SARS-CoV-2 spike and SApNP vaccine antigens

555 The design, expression, and purification of a stabilized SARS-CoV-2 spike, S2GAHR2, and 556 three SApNPs that present either 8 or 20 S2G $\Delta$ HR2 spikes were described in our recent study 557 (41). Briefly, the spike gene of the SARS-CoV-2 isolate Wuhan-Hu-1 (GenBank accession no. MN908947) was modified to include the mutations <sup>682</sup>GSAGSV<sup>687</sup> and K986G/V987G, in 558 559 addition to truncation of the HR2 stalk ( $\Delta E1150$ -Q1208). The viral capsid protein SHP (Protein 560 Data Bank: 1TD0) was added as a C-terminal trimerization motif to stabilize the S2GAHR2 561 trimer, resulting in a soluble S2G $\Delta$ HR2-5GS-1TD0 spike (41). The S2G $\Delta$ HR2 spike was 562 genetically fused to ferritin (FR), multilayered E2p, and multilayered I3-01v9 with 5GS, 5GS, 563 and 10GS linkers, respectively, resulting in three S2GAHR2-presenting SApNPs (41). An

S2P<sub>FCTO</sub>-5GS-1TD0 spike construct that contained the mutations <sup>682</sup>GSAGSV<sup>687</sup> 564 and 565 K986G/V987G but without HR2 deletion (41) was included for comparison. All vaccine 566 antigens were transiently expressed in ExpiCHO cells and purified by a CR3022 antibody 567 column and size-exclusion chromatography (SEC) as described previously (41). Briefly, ExpiCHO cells were thawed and incubated with ExpiCHO<sup>TM</sup> Expression Medium (Thermo 568 569 Fisher) in a shaker incubator at 37 °C at 135 rotations per minute (rpm) with 8% CO<sub>2</sub>. When the cells reached a density of 10×10<sup>6</sup> ml<sup>-1</sup>, ExpiCHO<sup>TM</sup> Expression Medium was added to reduce 570 cell density to 6×10<sup>6</sup> ml<sup>-1</sup> for transfection. The ExpiFectamine<sup>TM</sup> CHO/plasmid DNA complexes 571 572 were prepared for 100-ml transfection in ExpiCHO cells according to the manufacturer's instructions. For a given construct, 100 µg of plasmid and 320 µl of ExpiFectamine<sup>TM</sup> CHO 573 574 reagent were mixed in 7.7 ml of cold OptiPRO<sup>™</sup> medium (Thermo Fisher). After the first feed on day 1, ExpiCHO cells were cultured in a shaker incubator at 33 °C at 115 rpm with 8% CO<sub>2</sub> 575 576 according to the Max Titer protocol with an additional feed on day 5 (Thermo Fisher). Culture 577 supernatants were harvested 13-14 days after transfection, clarified by centrifugation at 4000 578 rpm for 25 min, and filtered using a 0.45 µm filter (Thermo Fisher). The CR3022 antibody 579 column was used to extract SARS-CoV-2 antigens from the supernatants, followed by SEC on a 580 Superdex 200 10/300 GL column (for scaffolded RBD trimers), a Superose 6 16/600 GL column 581 (for the S2G $\Delta$ HR2 spike, with and without Avi-tag), or a Superose 6 10/300 GL column (for SApNPs). Protein concentration was determined using UV<sub>280</sub> absorbance with theoretical 582 583 extinction coefficients.

# 584 Animal immunization and sample collection

585 Similar immunization protocols were reported in our previous vaccine studies (*41, 86, 87*).
586 Briefly, Institutional Animal Care and Use Committee (IACUC) guidelines were followed for all

587 of the animal studies. BALB/c mice (6 weeks old) were purchased from the Jackson Laboratory 588 and kept in ventilated cages in environmentally controlled rooms at The Scripps Research 589 Institute. The mouse studies were conducted according to Association for the Assessment and 590 Accreditation of Laboratory Animal Care guidelines, and the protocols were approved by the 591 IACUC. For the immunogenicity study, the mice were intraperitoneally immunized at weeks 0 592 and 3 with 200  $\mu$ l of antigen/adjuvant mix containing 5-50  $\mu$ g of vaccine antigen and 100  $\mu$ l of 593 adjuvant (41), or intradermally immunized at weeks 0 and 3 with 80  $\mu$ l of antigen/adjuvant mix 594 containing 3.3 µg of vaccine antigen and 40 µl of adjuvant. The intradermal (i.d.) immunization 595 was done through injections into four footpads, each with 20 µl of antigen/adjuvant mix. For the 596 mechanistic study of vaccine trafficking, retention, and induced GCs, the mice were immunized 597 at weeks 0 and 3 with 80 µl of antigen/adjuvant mix containing 40 µg of vaccine antigen per 598 mouse. To visualize the I3-01v9 SApNPs in lymph node tissues using TEM, each mouse was 599 immunized at weeks 0 and 3 with 140 µl of antigen/adjuvant mix containing 100 µg of vaccine 600 antigen (40 µl of adjuvant) into the two hind footpads. Vaccines were intradermally administered 601 into mouse footpads using a 29-gauge insulin needle under 3% isoflurane anesthesia with 602 oxygen. Blood was drawn from the maxillary/facial vein into an ethylenediaminetetraacetic acid 603 (EDTA)-coated tube 2 weeks after each immunization. Plasma was isolated from blood after 604 centrifugation at 14000 rpm for 10 min. Plasma was heat-inactivated at 56°C for 30 min, with 605 the supernatant collected after centrifugation at 8000 rpm for 10 min. Plasma was used in 606 pseudovirus neutralization assays to determine the vaccine-induced NAb responses. The axillary, 607 brachial, and popliteal sentinel lymph nodes were collected at the end timepoint for further 608 analysis.

## 609 **Experimental adjuvants and formulation**

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610 The adjuvants squalene-oil-in-water (AddaVax), aluminum hydroxide (AH), aluminum 611 phosphate (AP), 2'3'-c-di-AM(PS)2 (Rp,Rp) (STING ligand), monophosphoryl lipid A from S. 612 minnesota (MPLA-SM) R595 (TLR4 agonist), imidazoquinoline compound R848 (TLR7/8 613 agonist), and CpG ODN 1826, Class B (murine) (TLR9 agonist) were purchased from 614 InvivoGen. PIKA, a TLR3 agonist with enhanced T cell and antibody responses reported for a 615 Phase I rabies vaccine trial (107), was used as an adjuvant. PIKA was generously provided by 616 Yisheng Biopharma and included in this study as an adjuvant that activates the TLR3 pathway. 617 Macrophage inhibitors clodronate liposomes (Liposoma BV, catalog no. CP-005-005) were used 618 to eliminate subcapsular sinus macrophages in lymph nodes to promote more robust B-cell 619 activation. Mouse immunization was performed to examine the effects of 16 adjuvants or 620 adjuvant combinations on the I3-01v9 SApNP-induced immune response with respect to the non-621 adjuvanted vaccine (PBS instead of an adjuvant). Vaccine antigen and adjuvants were mixed 622 thoroughly 10 min before immunization. Each mouse was intradermally immunized at weeks 0, 623 3, and 6 with 120-140 µl of antigen/adjuvant mix containing 20 µg of vaccine antigen (I3-01v9 624 SApNP) and 80-100  $\mu$ l of adjuvant, which was evenly split and injected into four footpads. 625 Mouse blood was isolated at weeks 5 and 8 after two and three intradermal injections, 626 respectively. Spleens and lymph nodes were harvested at week 8 for immunological analyses. 627 Spleen samples were ground through a 70 µm cell strainer to release splenocytes into a cell 628 suspension. Splenocytes were spun down at  $400 \times g$  for 10 min, washed with PBS, and treated 629 with the ammonium-chloride-potassium (ACK) lysing buffer (Lonza). Splenocytes were then 630 frozen with 3 ml of Bambanker freezing media.

# 631 SARS-CoV-2 pseudovirus neutralization assay

632 The SARS-CoV-2-pp neutralization assays were described in our previous study (41). Briefly, 633 SARS-CoV-2-pps were generated by the co-transfection of HEK293T cells with the HIV-1 634 pNL4-3.lucR-E- plasmid (obtained from the National Institutes of Health AIDS reagent program; 635 https://www.aidsreagent.org/) and the expression plasmid encoding the S gene of five SARS-636 CoV-2 strains, including the wildtype Wuhan-Hu-1 strain (GenBank accession no. MN908947), 637 three VOCs (GISAID accession no. EPI\_ISL\_601443, EPI\_ISL\_678597, and EPI\_ISL\_792680 638 for B.1.1.7, B.1.351, and P.1, respectively), and B.1.617<sub>Rec</sub>, a reconstituted strain based on an 639 early analysis of the B.1.617 lineage (11). The HEK293T-hACE2 cell line (catalog no. NR-640 52511) and pcDNA3.1(-) vector containing the S gene of the wildtype Wuhan-Hu-1 strain 641 (catalog no. NR52420) were requested from the BEI Resources (https://www.beiresources.org/) 642 on September 23, 2020 and used in the pseudovirus neutralization assays (43). Based on 643 sequence alignment, spike mutations were incorporated into the S gene of the Wuhan-Hu-1 strain 644 (catalog no. NR52420) to create respective expression plasmids for B.1.1.7, B.1.351, P.1, and 645 B.1.617<sub>Rec</sub>. For B.1.617<sub>Rec</sub>, G142D, L452R, E484Q, D614G and P681R were included as 646 representative spike mutations in this SARS-CoV-2 lineage (11). SARS-CoV-2-pp neutralization 647 by immunized mouse plasma and human or mouse mAbs was performed according to our 648 previously described protocol (41). Using the same co-transfection expression system as 649 described above for the SARS-CoV-2-pps, we produced pseudoviruses carrying the murine 650 leukemia virus (MLV) Env, MLV-pps, for use as a negative control (41). Percent neutralization 651 data were analyzed using GraphPad Prism 9.1.2 software.  $ID_{50}/IC_{50}$  values were calculated using 652 constraints for percent neutralization (0-100%), whereas unconstrained neutralization plots are 653 shown in Fig. 1 and figs. S1-S3.

# 654 Enzyme-linked immunosorbent assay

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Each well of a Costar<sup>TM</sup> 96-well assay plate (Corning) was first coated with 50  $\mu l$  of PBS 655 656 containing 0.2 µg of the appropriate antigens. The plates were incubated overnight at 4 °C, and 657 then washed five times with wash buffer containing PBS and 0.05% (v/v) Tween 20. Each well 658 was then coated with 150 µl of blocking buffer consisting of PBS and 40 mg/ml blotting-grade 659 blocker (Bio-Rad). The plates were incubated with blocking buffer for 1 h at room temperature, 660 and then washed five times with wash buffer. Mouse mAbs, in the immunoglobulin G (IgG) 661 form, were diluted in blocking buffer to a maximum concentration of 10 µg/ml followed by a 10-662 fold dilution series. For each dilution, a total volume of 50  $\mu$ l was added to the appropriate wells. 663 Each plate was incubated for 1 h at room temperature and then washed five times with PBS 664 containing 0.05% Tween 20. A 1:5000 dilution of horseradish peroxidase (HRP)-conjugated goat 665 anti-human IgG antibody (Jackson ImmunoResearch Laboratories) was then made in wash 666 buffer (PBS containing 0.05% Tween 20), with 50 µl of this diluted secondary antibody added to 667 each well. The plates were incubated with the secondary antibody for 1 h at room temperature 668 and then washed six times with PBS containing 0.05% Tween 20. Finally, the wells were 669 developed with 50 µl of TMB (Life Sciences) for 3-5 min before stopping the reaction with 50 µl 670 of 2 N sulfuric acid. The resulting plate readouts were measured at a wavelength of 450 nm. The 671 ELISA data were analyzed to calculate  $EC_{50}$  values using GraphPad Prism 9.1.2 software.

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# Histology, immunostaining, and imaging

The mice were sacrificed 2 h to 8 weeks after a single-dose immunization and 2 h to 5 weeks after the boost immunization. The axillary, brachial, and popliteal sentinel lymph nodes were isolated for histological analysis. Fresh lymph nodes were rapidly merged into frozen section compound (VWR International, catalog no. 95057-838) in a plastic cryomold (Tissue-Tek at VWR, catalog no. 4565) using liquid nitrogen to preserve antigens on the cell membrane and 678 spike. Lymph node samples were stored at -80°C and sent to the Centre for Phenogenomics 679 (http://phenogenomics.ca) on dry ice for sample processing and imaging. Tissue sections (8 µm) 680 were cut on a cryostat (Cryostar NX70) and collected on charged slides. Sections were post-fixed 681 in 10% neutral buffered formalin and permeabilized in PBS containing 0.5% Triton X-100 682 before immunostaining. Protein Block (Agilent) was used to block nonspecific antibody binding 683 before incubating the sections with primary antibody overnight at 4°C. After washing in TBST, 684 the sections were incubated in fluorophore-conjugated secondary antibodies for 1 h at room 685 temperature. Lymph node tissue sections were stained with human anti-spike antibody P2B-2F6 686 (72) (1:50) and biotinylated goat anti-human secondary antibody (Abcam, catalog no. ab7152, 687 1:300), followed by streptavidin-HRP reagent (Vectastain Elite ABC-HRP Kit, Vector, catalog 688 no. PK-6100) and diaminobenzidine (DAB) (ImmPACT DAB, Vector, catalog no. SK-4105) to 689 study the distribution and retention of the soluble S2G $\Delta$ HR2 spike alone and S2G $\Delta$ HR2 spike-690 presenting E2p and I3-01v9 SApNPs. For immunofluorescent staining, tissue sections were 691 stained for FDCs using anti-CD21 antibody (Abcam, catalog no. ab75985, 1:1800) followed by 692 anti-rabbit secondary antibody conjugated with Alexa Fluor 555 (Thermo Fisher, catalog no. 693 A21428, 1:200), stained for B cells using anti-B220 antibody (eBioscience, catalog no. 14-0452-694 82, 1:100) followed by anti-rat secondary antibody conjugated with Alexa Fluor 674 (Thermo 695 Fisher, catalog no. A21247, 1:200), and stained for subcapsular sinus macrophages using anti-696 sialoadhesin (CD169) antibody (Abcam, catalog no. ab53443, 1:600) followed by anti-rat 697 secondary antibody conjugated with Alexa Fluor 488 (Abcam, catalog no. ab150165, 1:200). 698 Germinal center B cells were labeled using rat anti-GL7 antibody (FITC; BioLegend, catalog no. 699 144604, 1:250). T<sub>th</sub> cells were labeled using anti-CD4 antibody (BioLegend, catalog no. 100402, 700 1:100) followed by anti-rat secondary antibody conjugated with Alexa Fluor 488 (Abcam,

701 catalog no. ab150165, 1:1000) and Bcl6 antibody (Abcam, catalog no. ab220092, 1:300) 702 followed by anti-rabbit secondary antibody conjugated with Alexa Fluor 555 (Thermo Fisher, 703 catalog no. A21428, 1:1000). Nuclei were then counterstained with 4',6-diamidino-2-704 phenylindole (DAPI) (Sigma-Aldrich, catalog no. D9542, 100 ng/ml). The stained tissue sections 705 were scanned using an Olympus VS-120 slide scanner and imaged using a Hamamatsu ORCA-706 R2 C10600 digital camera for all bright-field and fluorescent images. Bright-field images of 707 stained S2G $\Delta$ HR2 spike and S2G $\Delta$ HR2 spike-presenting SApNPs in lymph node follicles and 708 fluorescent images of GCs were quantified using ImageJ software (108).

# 709 Electron microscopy analysis of protein nanoparticles and lymph node tissues

710 Electron microscopy (EM) analysis was performed by the Core Microscopy Facility at The 711 Scripps Research Institute. For the negative-staining EM analysis of protein nanoparticles, the 712 S2GΔHR2-10GS-I3-01v9-L7P SApNP samples were prepared at a concentration of 0.01 mg/ml. 713 Carbon-coated copper grids (400 mesh) were glow-discharged, and 10  $\mu$ l of each sample was 714 adsorbed for 2 min. Excess sample was wicked away and grids were negatively stained with 2% 715 uranyl formate for 2 min. Excess stain was wicked away and the grids were allowed to dry. For 716 the EM analysis of mouse tissues, the lymph nodes were dissected from each animal and 717 immersed in oxygenated 2.5% glutaraldehyde and 4% paraformaldehyde in 0.1M Na cacodylate 718 buffer (pH 7.4) fixative overnight at 4°C. After washing in 0.1 M sodium cacodylate buffer, the 719 tissue samples were post-fixed in buffered 1% osmium tetroxide and 1.5% potassium 720 ferrocyanide for 1-1.5 h at 4°C, rinsed in the same buffer, and then stained *en bloc* with 0.5% 721 uranyl acetate overnight at 4°C. The tissue samples were washed in double-distilled  $H_2O$  and 722 dehydrated through a graded series of ethanol followed by acetone, infiltrated with LX-112 723 (Ladd) epoxy resin, and polymerized at 60°C. Ultrathin lymph node sections (at 70-nm

thickness) were prepared for imaging. Samples were analyzed at 80 kV with a Talos L120C
transmission electron microscope (Thermo Fisher), and images were acquired with a CETA 16M
CMOS camera.

# 727 Lymph node disaggregation, cell staining, and flow cytometry

728 Germinal center reactions, including the percentage of GC B cells (GL7<sup>+</sup>B220<sup>+</sup>) and T<sub>fh</sub> cells 729 (CD3<sup>+</sup>CD4<sup>+</sup>CXCR5<sup>+</sup>PD-1<sup>+</sup>), and the number of GC B cells and T<sub>fh</sub> cells were studied by flow 730 cytometry (fig. S5A). The mice were sacrificed 2, 5, and 8 weeks after a single-dose 731 immunization and 2 and 5 weeks after the boost immunization. Fresh axillary, brachial, and 732 popliteal sentinel lymph nodes were collected and mechanically disaggregated. These lymph 733 node samples were merged in enzyme digestion solution containing 958 µl of Hanks' balanced 734 salt solution (HBSS) buffer (Thermo Fisher Scientific, catalog no. 14185052), 40 µl of 10 mg/ml 735 collagenase IV (Sigma-Aldrich, catalog no. C5138), and 2 µl of 10 mg/ml of DNase (Roche, 736 catalog no. 10104159001) in an Eppendorf tube. After incubation at 37°C for 30 min, lymph 737 node samples were filtered through a 70  $\mu$ m cell strainer and spun down at 400  $\times$  g for 10 min. 738 The supernatant was discarded, and the cell pellet was resuspended in HBSS blocking solution 739 containing 0.5% (w/v) bovine serum albumin and 2 mM EDTA. The nonspecific binding of Fc 740 receptors was blocked using anti-CD16/32 antibody (BioLegend, catalog no. 101302) on ice for 741 30 min. Cocktail antibodies, Zombie NIR live/dead stain (BioLegend, catalog no. 423106), 742 Brilliant Violet 510 anti-mouse/human CD45R/B220 antibody (BioLegend, catalog no. 103247), 743 FITC anti-mouse CD3 antibody (BioLegend, catalog no. 100204), Alexa Fluor 700 anti-mouse 744 CD4 antibody (BioLegend, catalog no. 100536), PE anti-mouse/human GL7 antibody 745 (BioLegend, catalog no. 144608), Brilliant Violet 605 anti-mouse CD95 (Fas) antibody 746 (BioLegend, catalog no. 152612), Brilliant Violet 421 anti-mouse CD185 (CXCR5) antibody

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747 (BioLegend, catalog no. 145511), and PE/Cyanine7 anti-mouse CD279 (PD-1) antibody 748 (BioLegend, catalog no. 135216) were then mixed with the cells and placed on ice for 30 min. 749 After washing cells with HBSS blocking solution after antibody staining, the samples were fixed 750 using 1.6% paraformaldehyde (Thermo Fisher Scientific, catalog no. 28906) in HBSS on ice for 751 30 min. The cell samples were stored in HBSS blocking solution for the flow cytometry study. 752 Sample events were acquired by a 5-laser BD Biosciences LSR II analytical flow cytometer with 753 BD FACS Diva 6 software at the Core Facility of The Scripps Research Institute. The data were 754 further processed using FlowJo 10 software.

# 755 DC production, T cell culture, activation, and flow cytometry analysis

756 Mouse bone marrow (BM) was cultured in RPMI 1640 medium containing 10% fetal bovine 757 serum (FBS) and recombinant mouse Fms-like tyrosine kinase 3 ligand (Flt3L, 50 ng/ml) and 758 stem cell factor (SCF, 10 ng/ml) for 9 days as previously described (109). To induce DC 759 activation, immature DCs were incubated with lipopolysaccharide (LPS, 100 ng/ml) plus R848 760 (Resiguimod, 100 ng/ml) overnight, which activated TLR4 or TLR7/8 signaling, respectively. 761 Cells were harvested for the experiments. CD11c<sup>+</sup> DCs were sorted using magnetic beads 762 (Miltenyi-Biotech, CA). Splenic mononuclear cells from each group of immunized mice were cultured in the presence of DCs pulsed with or without I3-01v9 SApNP ( $1 \times 10^{-7}$  mM) in 763 764 complete IMDM medium containing IL-2 (5.0 ng/ml). Cells were collected 16 h later for 765 intracellular cytokine staining and flow cytometry. All antibodies used for immunofluorescence 766 staining were purchased from eBioscience (San Diego, CA), BioLegend (San Diego, CA) or BD 767 Biosciences (San Jose, CA). Magnetic microbead-conjugated streptavidin was purchased from 768 Miltenyi-Biotech (Auburn, CA). Recombinant human IL-2 protein was purchased from R&D Systems (Minneapolis, MN). Recombinant mouse Flt3 ligand (Flt3L) and mouse SCF were 769

purchased from Shenandoah Biotech (Warwick, PA). Cells were stained with appropriate
concentrations of mAbs. Dead cells were excluded using Fixable Viability Dye (eBioscience,
CA). Flow cytometry was performed using LSRII (BD Bioscience, CA).

# 773 Bulk and single-cell sorting of SARS-CoV-2 antigen-specific mouse B cells.

774 Spleens or lymph nodes were harvested from mice 15 days after the last immunization, and the 775 cell suspension was prepared. Dead cells were excluded by staining with the Fixable Aqua Dead 776 Cell Stain kit (Thermo Fisher, catalog no. L34957). FcyIII (CD16) and FcyII (CD32) receptors 777 were blocked by adding 20 µl of 2.4G2 mAb (BD Pharmigen, catalog no. N553142). The cells 778 were then incubated with 10 µg of a biotinylated RBD-5GS-foldon-Avi trimer or biotinylated 779 S2GΔHR2-5GS-foldon-Avi spike. Briefly, the probes were generated by the biotinylation of 780 Avi-tagged SARS-CoV-2 antigens using biotin ligase BirA according to the manufacturer's 781 instructions (Avidity). Biotin excess was removed by SEC on either a Superdex 200 10/300 782 column (GE Healthcare) for the RBD probe or a HiLoad Superose 6 16/600 column (GE 783 Healthcare) for the spike probe. In the SEC profiles, the probe peak was well separated from the 784 peak of biotin ligase (fig. S3A). Cells and biotinylated proteins were incubated for 5 min at 4 °C, 785 followed by the addition of 2.5 µl of anti-mouse IgG fluorescently labeled with FITC (Jackson 786 ImmunoResearch catalog no. 115-095-071) and incubated for 15 min at 4 °C. Finally, 5 µl of 787 premium-grade allophycocyanin (APC)-labeled streptavidin was added to the cells and incubated 788 for 15 min at 4 °C. In each step, the cells were washed with 0.5 ml of PBS and the sorting buffer (PBS with 2% FBS). FITC<sup>+</sup> APC<sup>+</sup> probe-specific B cells were sorted using MoFloAstrios EQ 789 790 (Beckman Coulter). For bulk sorting, positive cells were sorted into an Eppendorf microtube 791 with 20 µl of lysis buffer. For single B-cell sorting, individual positive cells were sorted into the 792 inner wells of a 96-well plate with 20 µl of pre-reverse transcription (RT) lysis mix containing

793 0.1  $\mu$ l of NP40 (Sigma-Aldrich), 0.5  $\mu$ l of RNAse Inhibitor (Thermo Fisher), 5  $\mu$ l of 5× First 794 Strand Buffer, and 1.25  $\mu$ l of DTT from the SuperScript IV kit (Invitrogen), with 13.15  $\mu$ l of 795 H<sub>2</sub>O per well.

#### 796 Antibody cloning from Env-specific single B cells and antibody production.

797 The antibody cloning of SARS-CoV2-2 antigen-sorted single B cells was conducted as follows. 798 A mix containing 3 µl of Random Hexamers (GeneLink), 2 µl of dNTPs, and 1 µl of SuperScript 799 IV enzyme (Thermo Fisher) was added to each well of a single-cell-sorted 96-well plate that 800 underwent thermocycling according to the program outlined in the SuperScript IV protocol, 801 resulting in 25 µl of cDNA for each single cell. cDNA (5 µl) was then added to a polymerase 802 chain reaction (PCR) mix containing 12.5  $\mu$ l of 2× Multiplex PCR mix (Qiagen), 9  $\mu$ l of H<sub>2</sub>O, 803 0.5  $\mu$ l of forward primer mix, and 0.5  $\mu$ l of reverse mouse primer mix (110) for heavy and  $\kappa$ -804 light chains within each well. A second PCR reaction was then performed using 5  $\mu$ l of the first 805 PCR as the template and respective mouse primers (110) according to the same recipe as the first 806 PCR. The PCR products were run on 1% Agarose gel and those with correct heavy and light 807 chain bands were then used for Gibson ligation (New England Biolabs), cloning into human IgG 808 expression vectors, and transformation into competent cells. Mouse mAbs were expressed by the 809 transient transfection of ExpiCHO cells (Thermo Fisher) with equal amounts of paired heavy and 810  $\kappa$ -light chain plasmids. Antibody proteins were purified from the culture supernatant after 12-14 811 days using Protein A bead columns (Thermo Fisher).

#### 812 NGS and bioinformatics analysis of mouse B cells.

813 Previously, a 5'-rapid amplification of cDNA ends (RACE)-PCR protocol was developed for the

814 deep sequencing analysis of mouse B-cell repertoires (69). In the present study, this protocol was

815 applied to analyze bulk-sorted, RBD/spike-specific mouse B cells. Briefly, 5'-RACE cDNA was 816 obtained from bulk-sorted B cells of each mouse with the SMART-Seq v4 Ultra Low Input RNA 817 Kit for Sequencing (TaKaRa). The IgG PCRs were set up with Platinum Taq High-Fidelity DNA 818 Polymerase (Life Technologies) in a total volume of 50  $\mu$ l, with 5  $\mu$ l of cDNA as the template, 1 819 µl of 5'-RACE primer, and 1 µl of 10 µM reverse primer. The 5'-RACE primer contained a 820 PGM/S5 P1 adaptor, and the reverse primer contained a PGM/S5 A adaptor. The mouse 3'-Cy1-821 3/3 -C<sub>µ</sub> inner primers and 3'-mC<sub>k</sub> outer primer (110) were adapted as reverse primers for the 5'-822 RACE PCR processing of heavy and  $\kappa$ -light chains. A total of 25 cycles of PCR was performed 823 and the expected PCR products (500-600 bp) were gel purified (Qiagen). NGS was performed on 824 the Ion S5 GeneStudio system. Briefly, heavy and  $\kappa$ -light chain libraries from the same mouse 825 were quantitated using a Qubit 2.0 Fluorometer with the Qubit dsDNA HS Assay Kit and then 826 mixed at a 3:1 ratio before being pooled with antibody libraries of other mice at an equal ratio for 827 sequencing. Template preparation and (Ion 530) chip loading were performed on Ion Chef using 828 the Ion 520/530 Ext Kit, followed by sequencing on the Ion S5 system with default settings (86). 829 The mouse Antibodyomics pipeline (69) was used to process raw NGS data, derive quantitative 830 profiles for germline gene frequency, the degree of SHM, and CDR3 loop length distribution, 831 and generate 2D divergence/identity plots to visualize mAbs in their respective repertoires (86).

#### 832 Statistical analysis

Bata were collected from 4-7 mice per group. All of the statistical analyses were performed and graphs were generated using GraphPad Prism 9.1.2 software. In the analysis of vaccine-induced plasma neutralization, different vaccine groups were compared using one-way analysis of variance (ANOVA), whereas for a given vaccine group  $ID_{50}$  titers of the same plasma sample against different variants were compared using repeated measures one-way ANOVA. In both

- 838 cases, they were followed by Dunnett's multiple comparison post hoc test. For the vaccine
- 839 accumulation and GC study, different vaccine groups were compared using one-way ANOVA,
- followed by Tukey's multiple comparison *post hoc* test. Statistical significance was indicated as
- 841 the following: ns (not significant), p < 0.05, p < 0.01, p < 0.01, p < 0.001, p < 0.001, p < 0.001.
- 842

#### 843 SUPPLEMENTARY MATERIALS

- 844 Supplementary material for this article is available at <u>http://xxx/xxx/xxx</u>.
- fig. S1. Spike and spike-presenting SApNP vaccine-induced neutralizing antibody responses
- against the wildtype SARS-CoV-2 strain and four variants.
- fig. S2. Immune responses against the wildtype SARS-CoV-2 strain and four variants induced by
- the I3-01v9 SApNP formulated with different adjuvants.
- 849 fig. S3. Single-cell isolation and functional evaluation of monoclonal neutralizing antibodies
- 850 from mice immunized with the RBD, spike, and SApNP vaccines.
- **fig. S4.** Unbiased repertoire analysis of bulk-sorted SARS-CoV-2 antigen-specific mouse splenic
- B cells and tracing of mouse neutralizing antibodies in the NGS-derived repertoires.
- **fig. S5**. SARS-CoV-2 spike-presenting SApNP interaction with macrophages in a lymph node.
- fig. S6. TEM images of SARS-CoV-2 spike-presenting I3-01v9 SApNP interaction with FDCs in
- a lymph node.
- **fig. S7**. Immunohistological analysis of SARS-CoV-2 spike/spike-presenting SApNP vaccine-induced GCs.
- os / madeda Ges.
- 858 fig. S8. Flow cytometry analysis of SARS-CoV-2 spike/spike-presenting SApNP vaccine-859 induced GCs.
- 860 fig. S9. Adjuvant effect on SARS-CoV-2 spike/spike-presenting SApNP vaccine-induced GCs.

- 861 fig. S10. NGS analysis of SARS-CoV-2 spike-specific lymph node (LN) B cells from mice
- 862 immunized with the spike and SApNP vaccines.

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- 1124
- 1125 Figure Legends

1126 Fig. 1. SApNP vaccines induce broadly neutralizing plasma responses to four 1127 representative SARS-CoV-2 variants. (A) Molecular surface representations of two spike (S2P<sub>ECTO</sub>-5GS-1TD0 and S2GAHR2-5GS-1TD0) and three spike-SApNP (S2GAHR2-5GS-1128 ferritin [FR], S2GAHR2-5GS-E2p-LD4-PADRE [E2p-L4P], and S2GAHR2-10GS-I3-01v9-1129 1130 LD7-PADRE [I3-01v9-L7P]) vaccines. Representative EM image of S2GΔHR2-10GS- I3-01v9-1131 L7P SApNPs is shown on the right. (B) Neutralization of the wildtype Wuhan-Hu-1 strain by 1132 mouse plasma induced by five different vaccines at week 5 after two intraperitoneal (i.p.) 1133 injections (n = 5 mice/group). ID<sub>50</sub> titers derived from SARS-CoV-2-pp neutralization assays are 1134 plotted, with average  $ID_{50}$  values labeled on the plots. (C) Mouse plasma neutralization against 1135 Wuhan-Hu-1 and the B.1.1.7, B.1.351, P.1, and B.1.617<sub>Rec</sub> variants at week 5 after two i.p. 1136 injections of the adjuvanted S2GAHR2-10GS-I3-01v9-L7P vaccine (Left panels 1-5: percent 1137 neutralization plots; Right panel: ID<sub>50</sub> plot). In (B) and (C), the plasma samples were generated 1138 in our previous study (41), in which mice were immunized with 50 µg of adjuvanted vaccine 1139 antigen. (**D**) Neutralization of mouse plasma against the wildtype Wuhan-Hu-1 strain induced by 1140 the S2GAHR2 spike and two large SApNPs at week 5. Vaccines were administered via 1141 intradermal (i.d.) footpad injections (0.8 µg/injection, for a total of 3.3 µg/mouse). (E) Mouse

1142 plasma neutralization against Wuhan-Hu-1 strain and the B.1.1.7, B.1.351, P.1, and B.1.617<sub>Rec</sub> 1143 variants at week 5 after two i.d. footpad injections. (F) Neutralization of mouse plasma against 1144 Wuhan-Hu-1 induced by the S2G $\Delta$ HR2 spike and two large SApNPs at week 26. In (B)-(F), the  $ID_{50}$  values are plotted as mean  $\pm$  SEM. The data were analyzed using one-way ANOVA for 1145 comparison between different vaccine groups or repeated measures ANOVA for comparison of 1146 1147  $ID_{50}$  titers from the same plasma sample against different SARS-Cov-2 strains. Dunnett's multiple comparison post hoc test was performed. ns (not significant), \*\*p < 0.01, \*\*\*\*p < 0.011148 1149 0.0001. (G) Neutralization of five SARS-CoV-2 strains by eight human monoclonal antibodies. 1150 The IC<sub>50</sub> values were calculated with the % neutralization range constrained within 0.0-100.0% 1151 and color-coded (white:  $IC_{50} > 10 \mu g/ml$ ; green to red: low to high).

1152 Fig. 2. Adjuvants enhance the I3-01v9 SApNP vaccine -induced plasma neuralization of 1153 both the wildtype strain and four variants. (A) Schematic representation of mouse 1154 immunization with the I3-01v9 SApNP with diverse adjuvant formulations and functional assessment by SARS-CoV-2-pp neutralization assays and T-cell analysis. Conventional 1155 1156 adjuvants, STING/TLR agonists, macrophage inhibitors, and adjuvant combinations were 1157 compared to non-adjuvanted control (PBS). (B, C) Mouse plasma neutralization against the 1158 wildtype SARS-CoV-2 strain, Wuhan-Hu-1, at weeks 5 and 8 after two and three footpad 1159 injections, respectively. ID<sub>50</sub> titers derived from SARS-CoV-2-pp neutralization assays are 1160 plotted, with average  $ID_{50}$  values labeled on the plots. (**D**) Neutralization against four variants by 1161 mouse plasma from STING (top) and CpG (bottom)-formulated vaccine groups. ID<sub>50</sub> titers derived from SARS-CoV-2-pp neutralization assays are plotted. Neutralization data were 1162 1163 analyzed using either one-way ANOVA (B-C) or repeated measures one-way ANOVA (D) to 1164 compare  $ID_{50}$  titers. Dunnett's multiple comparison *post hoc* test was performed. Splenic

1165 mononuclear cells derived from mice in the STING and CpG groups (n = 5 mice/group) at week 1166 8 were cultured in the presence of BALB/C DCs pulsed with I3-01v9 SApNP ( $1 \times 10^{-7}$  mM). 1167 Cells were harvested 16 h following re-activation. (**E**) Production of IFN- $\gamma$ -producing Th1 CD4<sup>+</sup> 1168 T cells and IL-4-producing Th2 CD4<sup>+</sup> T cells. (**F**) IFN- $\gamma$ -producing CD8<sup>+</sup> effector T cells. T-cell 1169 responses were analyzed using one-way ANOVA followed by Tukey's multiple comparison *post* 1170 *hoc* test. ns (not significant), \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001.

Fig. 3. Single-cell isolation identifies vaccine-elicited mouse neutralizing antibody lineages 1171 1172 with diverse breadth and potency. (A) Genetic analysis of 20 mouse antibodies identified from 1173 M2 in the RBD-5GS-1TD0 trimer group (four), M4 in the S2GAHR2-5GS-1TD0 spike group 1174 (six), and M2 in the S2G∆HR2-10GS-I3-01v9-L7P SApNP group (ten). Antibodies isolated by 1175 the RBD and spike probes are highlighted in light gray and orange shade, respectively. (B) 1176 Neutralization of five SARS-CoV-2 strains by 10 RBD and spike-elicited mouse antibodies (left) 1177 and 10 SApNP-elicited mouse antibodies (right). The IC<sub>50</sub> values were calculated with the 1178 % neutralization range constrained within 0.0-100.0% and color-coded (white:  $IC_{50} > 100 \mu g/ml$ ; 1179 green to red: low to high). (C)  $EC_{50}$  (µg/ml) values of 20 mouse antibodies binding to the two 1180 SARS-CoV-2 antigens, the RBD monomer and S2GAHR2-5GS-1TD0 spike, both with the 1181 Wuhuan-Hu-1 backbone. Antigen binding was measured by ELISA in duplicate, with mean 1182 value and standard deviation (SD) shown as black and red lines, respectively. (D) Divergence-1183 identity analysis of selected mouse NAbs in the context of RBD/spike-specific splenic B cells. 1184 HCs and KCs are plotted as a function of sequence identity to the template and sequence 1185 divergence from putative germline genes. Color coding denotes sequence density. The template 1186 and sequences identified based on the V gene assignment and a CDR3 identity of 90%/85% or 1187 greater to the template are shown as black and orange/magenta dots on the 2D plots, with the

1188 number of related sequences labeled accordingly. The 2D plots for other NAbs are shown in fig.
1189 S4D-F.

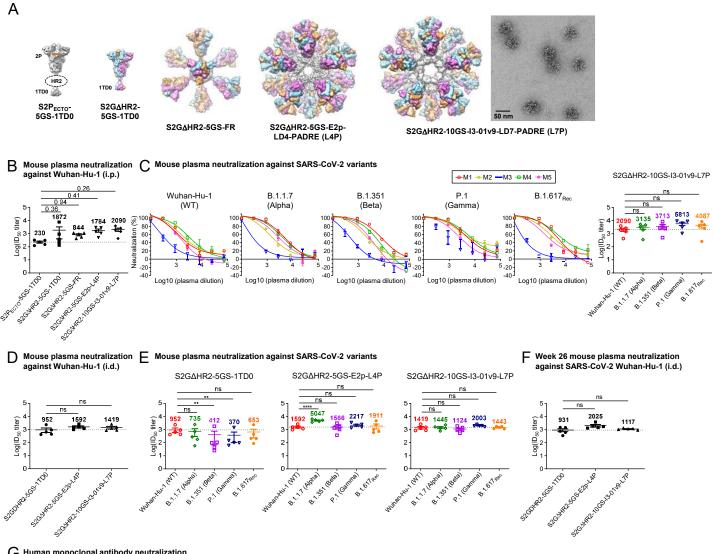
### 1190 Fig. 4. SARS-CoV-2 SApNP vaccines induce long-term lymph node follicle retention. (A, B)

1191 S2GΔHR2-presenting I3-01v9 SApNP vaccine distribution in a lymph node 12 h after (A) a 1192 single-dose or (**B**) prime-boost footpad injections (10  $\mu$ g/footpad, 40  $\mu$ g/mouse). A schematic 1193 illustration of SApNPs in lymph node follicles is shown. (C, D) Histological images of the 1194 S2GΔHR2 spike and S2GΔHR2-presenting E2p and I3-01 SApNP vaccine trafficking and 1195 retention in lymph node follicles 2 h to 8 weeks after (C) single-dose or (D) prime-boost 1196 injections, with a scale bar of 50  $\mu$ m shown for each image. (E, F) Quantification of vaccine 1197 accumulation in lymph node follicles 48 h after (E) a single-dose or (F) prime-boost injections. 1198 Data were collected from more than 10 lymph node follicles (n = 3-4 mice/group). The data 1199 points are expressed as mean  $\pm$  SD. The data were analyzed using one-way ANOVA followed by 1200 Tukey's multiple comparison post hoc test. \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*p < 0.001.

1201 Fig. 5. SARS-CoV-2 SApNP vaccines interact with follicular dendritic cells (FDCs) and are 1202 presented on FDC dendrites to B cells. (A, B) S2G $\Delta$ HR2 spike and S2G $\Delta$ HR2-presenting E2p 1203 and I3-01 SApNP vaccine interaction with FDC networks in lymph node follicles 12 h after (A) 1204 a single-dose or (**B**) prime-boost injections (10  $\mu$ g/footpad, 40  $\mu$ g/mouse). Vaccine antigens (the 1205 S2GAHR2 spike and S2GAHR2-presenting E2p and I3-01 SApNPs) colocalized with FDC 1206 networks. Immunostaining is color-coded (Green: CD21; Red: CD169; White: anti-spike), with 1207 scale bars of 500 µm and 100 µm shown for a complete lymph node and an enlarged image of a 1208 follicle, respectively. (C) Representative TEM images of an FDC surrounded by multiple B cells. 1209 S2GΔHR2-presenting I3-01 SApNPs (vellow arrows) presented on FDC dendrites.

1210 Fig. 6. SARS-CoV-2 SApNP vaccines induce robust long-lived germinal centers. (A) Top: 1211 Representative immunohistological images of germinal centers at week 2 after a single-dose 1212 injection of the S2GAHR2-presenting I3-01 SApNP vaccine (10 µg/injection, 40 µg/mouse). 1213 Bottom: Germinal center B cells (GL7<sup>+</sup>, red) adjacent to FDCs (CD21<sup>+</sup>, green) in lymph node 1214 follicles (left) and T<sub>fh</sub> cells in the light zone (LZ) of germinal centers (right). Scale bars of 500 1215 μm and 50 μm are shown for a complete lymph node and an enlarged image of a follicle, 1216 respectively. (B, C) Quantification of germinal center reactions using immunofluorescent 1217 images: GC/FDC ratio and sizes of germinal centers 2, 5, and 8 weeks after (B) single-dose or 1218 (C) prime-boost injections (n = 4-7 mice/group). The GC/FDC ratio is defined as whether the 1219 germinal center formation is associated with an FDC network (%). (D, E) Representative 1220 immunohistological images of germinal centers in mice immunized using S2GAHR2 spike or 1221 S2G $\Delta$ HR2-presenting E2p and I3-01 SApNP vaccines at week 8 after (**D**) single-dose or (**E**) 1222 prime-boost injections, with a scale bar of 50 µm shown for each image. (F, G) Quantification of 1223 germinal center reactions using flow cytometry: percentage and number of germinal center B 1224 cells and  $T_{fh}$  cells 2, 5, and 8 weeks after (F) single-dose or (G) prime-boost injections. The data 1225 points are shown as mean  $\pm$  SD. The data were analyzed using one-way ANOVA followed by 1226 Tukey's multiple comparison *post hoc* test for each timepoint. ns (not significant), \*p < 0.05, \*\*p1227 < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001.

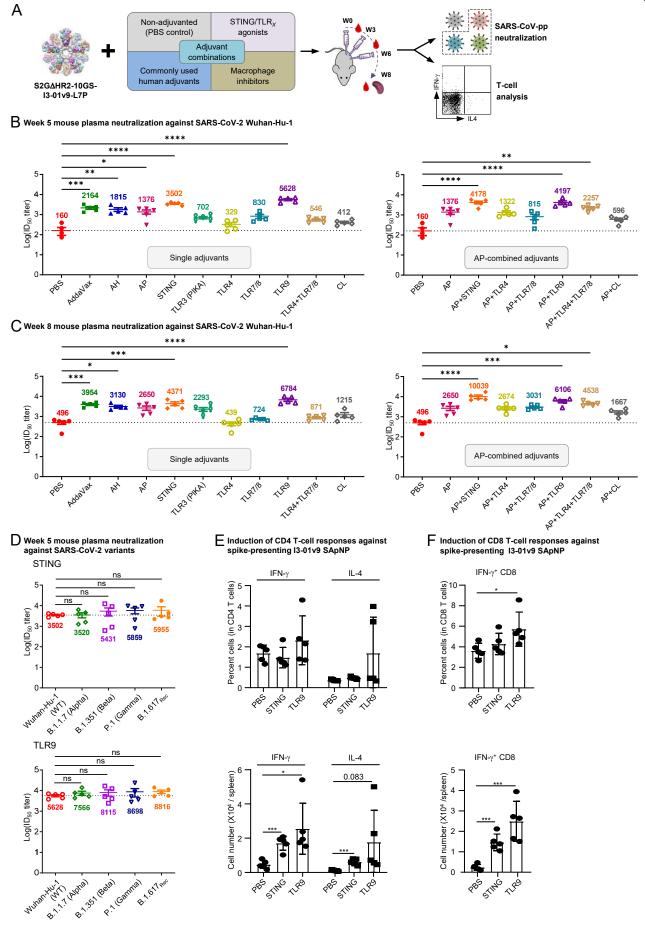
# Figure 1



G Human monoclonal antibody neutralization against SARS-CoV-2 variants (IC<sub>50</sub>, μg/ml)

mAb	Wuhan-Hu-1	B.1.1.7	B.1.351	P.1	B.1.617 <sub>Rec</sub>	
IIIAD	(WT)	(Alpha)	(Beta)	(Gamma)	B. 1.017 Rec	
CR3022	>10	>10	>10	>10	>10	
B38	0.70	>10	>10	>10	0.33	
CB6	0.04	0.16	>10	>10	0.06	
S309	8.22	3.22	0.11	0.15	0.35	
CC12.1	0.05	0.20	8.33	>10	0.04	
CC12.3	0.03	0.06	>10	>10	0.03	
C105	0.41	0.45	>10	>10	0.34	
P2B-2F6	0.84	0.35	>10	>10	>10	

# Figure 2



## Figure 3

A Antibodies identified from SARS-CoV-2 RBD, spike, and nanoparticle-immunized mice by single-co	II sorting <sup>a</sup>
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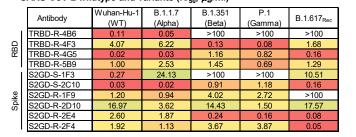
<i>י</i> ،	Antibodies identified from SAK3-COV-2 KBD, spike, and hanoparticle-initialized frice by single-cell softing						orung -
	Antibody	V <sub>H</sub> family <sup>⊳</sup>	V <sub>H</sub> identity <sup>b</sup>	HCDR3 (# aa) °	V <sub>κ</sub> family <sup>b</sup>	V <sub>K</sub> identity <sup>b</sup>	KCDR3 (# aa) °
	TRBD-R-4B6	IGHV2-2*02	97.6%	WGYWYFDV (8)	IGKV8-24*01	98.0%	QQHYSTPLT (9)
	TRBD-R-4F3	IGHV1-12*01	95.6%	DDYYALDY (8)	IGKV8-30*01	97.0%	QQYYSYPWT (9)
	TRBD-R-4G5	IGHV1-5*01	94.9%	DYYGYGNY (8)	IGKV12-44*01	99.6%	QHHYGTPLT (9)
	TRBD-R-5B9	IGHV1S126*01	88.0%	RDYYGNNPFDF (11)	IGKV2-137*01	97.7%	MQHLEYPYT (9)
	S2GD-S-1F3	IGHV1-7*01	95.9%	SEYGNLYYAMDY (12)	IGKV3-4*01	97.6%	QQSNEDPLT (9)
	S2GD-S-2C10	IGHV1-14*01	98.6%	FTKVEGY (7)	IGKV3-2*01	97.3%	HQSKEVPWT (9)
	S2GD-R-1F9	IGHV14-1*02	98.6%	WEGRAMDY (8)	IGKV10-94*01	95.8%	QQYSKLPYT (9)
	S2GD-R-2D10	IGHV14-3*02	95.1%	WDNAAYYYGMDY (12)	IGKV14-111*01	95.7%	LQYDELYT (8)
	S2GD-R-2E4	IGHV1S81*02	92.8%	YGRYFDC (7)	IGKV14-130*01	98.2%	LQFYEFPYT (9)
	S2GD-R-2F4	IGHV1-37*01	95.8%	ERHY (4)	IGKV14-100*01	98.9%	VQYDQFPYT (9)
	I3V9-S-1C9	IGHV14-1*02	98.6%	GDFDY (5)	IGKV4-55*01	96.1%	QQWGTYPPRT (10)
	I3V9-S-2D8	IGHV14-3*02	95.6%	GLYDYDGSPFAY (12)	IGKV10-96*01	96.8%	QQGNTLPYT (9)
	I3V9-S-2D10	IGHV14-1*02	95.5%	GDGNY (5)	IGKV4-57*01	97.8%	QQRSSYPIFT (10)
	I3V9-R-1G3	IGHV1S81*02	94.2%	DGSSAY (6)	IGKV9-120*01	97.1%	LQYAGSPFT (9)
	I3V9-R-1G9	IGHV1-18*01	93.2%	DGYPYYYAMDY (11)	IGKV6-15*01	97.9%	QQYNSYPWT (9)
	I3V9-R-1F5	IGHV1-82*01	92.5%	SWDGLVFAY (9)	IGKV4-55*01	93.3%	QQWNNFPPT (9)
	I3V9-R-2E11	IGHV1S81*02	92.2%	DGSIAY (6)	IGKV9-120*01	98.5%	LQYASSPYT (9)
	I3V9-R-2F2	IGHV1-18*01	89.4%	DRYDRYFDV (9)	IGKV4-55*01	92.9%	QQWNNFPPT (9)
	I3V9-R-2F5	IGHV1-20*02	96.2%	SHDYPFDY (8)	IGKV9-123*01	97.5%	LQRNAYPYT (9)
	I3V9-R-2F10	IGHV1-18*01	92.9%	DGYPYYYALDY (11)	IGKV12-98*01	97.6%	QQLYSTPLT (9)

<sup>a</sup> Splenic B cells from three mice immunized with the RBD-5GS-1TD0 trimer, S2GAHR2-5GS-1TD0 spike, and S2GAHR2-10GS-I3-01v9-L7P NP were sorted to isolate monoclonal neutralizing antibodies. Antibodies are named as [vaccine]-[probe]-[sorting index]. [vaccine]: TRBD, S2GD, and I3V9 stand for RBD-5GS-1TD0, S2GAHR2-5GS-1TD0, and S2GAHR2-10GS-I3-01v9-L7P, respectively. [probe]: R and S stand for RBD-5GS-foldon-Avi-Biot and S2GAHR2-5GS-foldon-Avi-Biot, respectively. Spike and RBD-sorted antibodies are highlighted in light gray and light brown shade, respectively.

ov/igblast/)

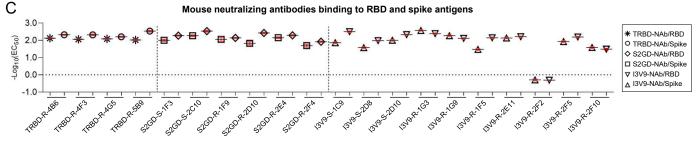
b V<sub>H/K</sub> gene assignment and identity were determined by IGBLAST (<u>https://www.ncbi.nlm.nih.</u>
 c H/KCDR3 sequence and length are determined following the Kabat numbering scheme.

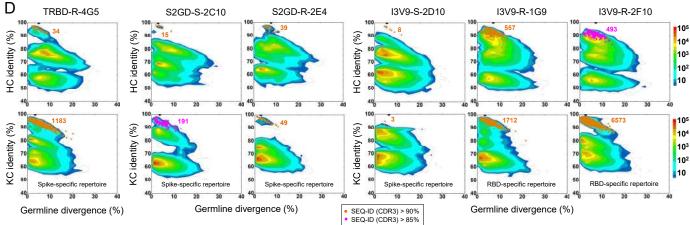
#### $B\,\text{RBD}$ and spike-elicited mouse neutralizing antibodies against SARS-CoV-2 wildtype and variants (IC<sub>50</sub>, µg/ml)



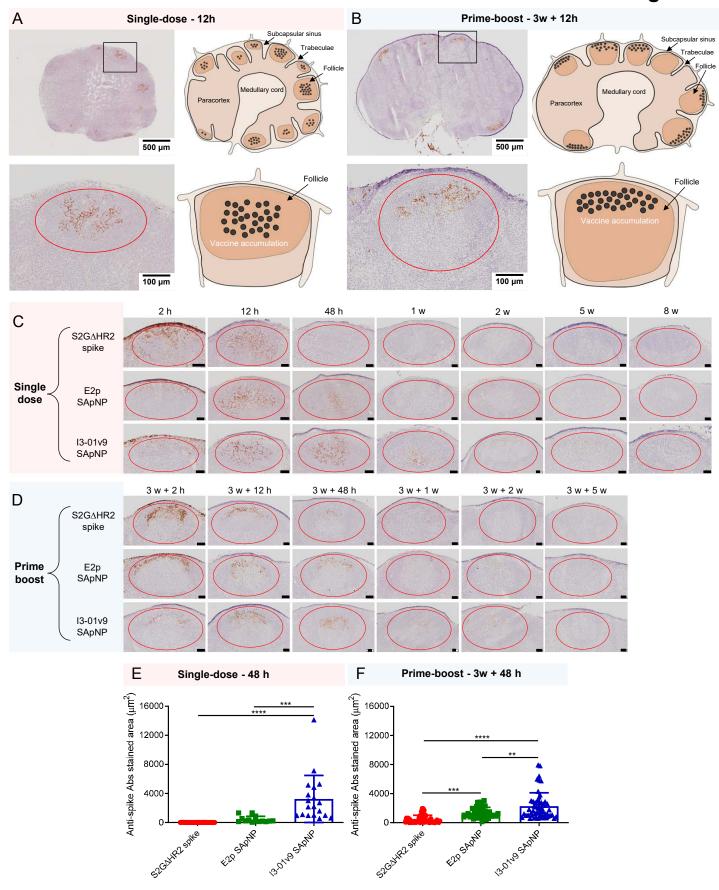
Spike nanoparticle-elicited mouse neutralizing antibodies against SARS-CoV-2 wildtype and variants (IC<sub>50</sub>, µg/ml)

	Antihodu	Wuhan-Hu-1	B.1.1.7	B.1.351	P.1	B.1.617 <sub>Rec</sub>
	Antibody	(WT)	(Alpha)	(Beta)	(Gamma)	
	I3V9-S-1C9	0.12	0.09	0.05	0.07	71.85
	I3V9-S-2D8	>100	2.63	11.82	6.47	>100
₽	I3V9-S-2D10	0.09	0.05	0.10	0.18	93.65
SApNP	I3V9-R-1F5	9.29	3.08	6.24	3.10	8.35
13-01v9 SA	I3V9-R-1G3	0.03	0.03	10.43	9.31	0.04
	I3V9-R-1G9	0.02	0.01	0.01	0.01	0.01
	I3V9-R-2E11	0.15	0.05	>100	>100	0.11
	I3V9-R-2F2	3.60	14.35	3.17	1.58	>100
	I3V9-R-2F5	2.58	3.66	3.76	8.76	2.65
	I3V9-R-2F10	54.52	>100	14.10	11.74	77.34

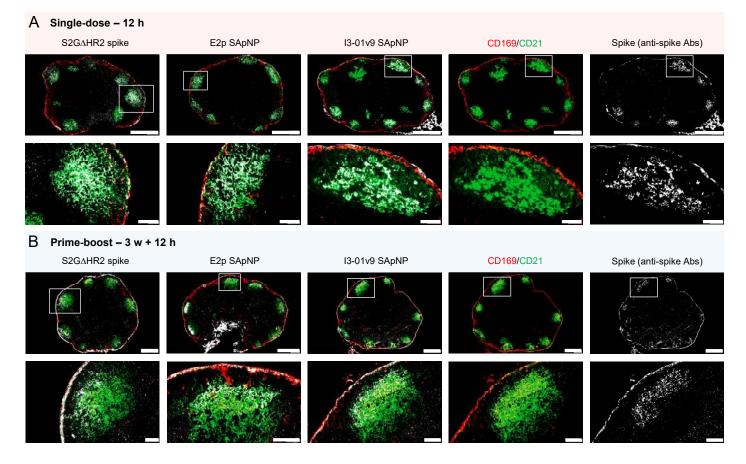




# Figure 4



# Figure 5



C I3-01v9 SApNPs (yellow arrow) are aligned on FDC dendrites

