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Mechanisms of cognitive dysfunction in CKD

Viggiano, Davide ; Wagner, Carsten A ; Martino, Gianvito ; Nedergaard, Maiken ; Zoccali, Carmine ;
Unwin, Robert ; Capasso, Giovambattista

Abstract: Cognitive impairment is an increasingly recognized major cause of chronic disability and is commonly found in patients with chronic kidney disease (CKD). Knowledge of the relationship between kidney dysfunction and impaired cognition may improve our understanding of other forms of cognitive dysfunction. Patients with CKD are at an increased risk (compared with the general population) of both dementia and its prodrome, mild cognitive impairment (MCI), which are characterized by deficits in executive functions, memory and attention. Brain imaging in patients with CKD has revealed damage to white matter in the prefrontal cortex and, in animal models, in the subcortical monoaminergic and cholinergic systems, accompanied by widespread macrovascular and microvascular damage. Unfortunately, current interventions that target cardiovascular risk factors (such as anti-hypertensive drugs, anti-platelet agents and statins) seem to have little or no effect on CKD-associated MCI, suggesting that the accumulation of uraemic neurotoxins may be more important than disturbed haemodynamic factors or lipid metabolism in MCI pathogenesis. Experimental models show that the brain monoaminergic system is susceptible to uraemic neurotoxins and that this system is responsible for the altered sleep pattern commonly observed in patients with CKD. Neural progenitor cells and the glymphatic system, which are important in Alzheimer disease pathogenesis, may also be involved in CKD-associated MCI. More detailed study of CKD-associated MCI is needed to fully understand its clinical relevance, underlying pathophysiology, possible means of early diagnosis and prevention, and whether there may be novel approaches and potential therapies with wider application to this and other forms of cognitive decline.

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31 **Mechanisms of cognitive dysfunction in CKD**

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53

54 **Abstract**

55 Cognitive impairment is an increasingly recognized major cause of chronic disability, and is
56 commonly found in patients with chronic kidney disease (CKD). A better understanding of
57 the relationship between kidney function and cognition may help us to understand better
58 other forms of cognitive dysfunction. Patients with CKD have an increased risk (compared
59 with the general population) of both dementia and its earlier stage of mild cognitive

60impairment (MCI), with deficits in executive functions, memory and attention. Brain imaging
61in CKD patients has detected damage to white matter in the prefrontal cortex and, in animal
62models, in the subcortical monoaminergic and cholinergic systems, accompanied by
63widespread macro- and micro-vascular damage. Unfortunately, current interventions that
64target cardiovascular (CV) risk factors (anti-hypertensives, anti-platelet agents and statins)
65seem to have little or no effect on MCI-CKD, suggesting that the accumulation of uremic
66(neuro)toxins may be more important in this disorder than disturbed hemodynamic factors or
67lipid metabolism. Experimental models show that the brain monoaminergic system is
68susceptible to uremic neurotoxins and that this system is responsible for the altered sleep
69pattern commonly observed in CKD patients. Neuronal stem cells and the brain glymphatic
70system, shown to be important in Alzheimer's disease (AD), may also be involved. MCI-
71CKD needs to be studied in more detail to understand fully its clinical relevance, underlying
72pathophysiology, and possible means of early diagnosis and prevention; and whether there
73may be novel approaches and potential therapies with wider application to this and other
74forms of cognitive decline.

75

76 **Key points**

77Cognitive impairment is more common in patients with chronic kidney disease (CKD) and
78reduced renal function than in the general population.

79Brain dysfunction in CKD patients likely results from uremic (neuro)toxins interacting with
80neuronal stem cells, the brain vascular and glymphatic system, and catecholaminergic
81neurons.

82Targeting these mechanisms could potentially reduce the burden of dementia in CKD and
83might help in finding better treatments for other forms of cognitive impairment.

84

85 **Introduction**

86The widely used term 'cognitive dysfunction' has been defined in many different ways, but
87collectively refers to a combined deficit of brain processes that affect learning, memory, and
88sensory processing. It varies from mild cognitive impairment (MCI. See Box 1) to severe
89dementia, the latter characterized by a loss of independence in carrying out activities of daily
90living¹. MCI may be considered as a prodromal state before established dementia with an
91annual conversion rate of 1.9% per year². Although MCI is inherently unstable (patients may

92progress or revert to normal cognition), some investigators consider it to be a distinct clinical
93entity³. Despite the increasing prevalence and incidence of MCI and dementia, there remains
94a lack of disease-modifying drugs and a comprehensive biological understanding of MCI, as
95well as an understanding of the mechanisms that determine the transition from MCI to
96dementia.

97A significant number of patients with chronic kidney disease (CKD) suffer from cognitive
98dysfunction, and CKD is among the strongest risk factors for MCI and dementia. When
99considering the odds ratio, a 6-year longitudinal study in the general population listed CKD
100as the third major risk factor for MCI and dementia after stroke and chronic use of anxiolytics,
101and ahead of genetic factors⁴. Cognitive impairment can already be evident at early stages of
102CKD⁵ (Figure 1). However, the relationship between the severity of CKD (based on
103estimated Glomerular Filtration Rate, eGFR) and the severity of dementia/MCI is unclear.
104When taking into account age, cognitive changes at different CKD stages may be related to
105the presence and degree of albuminuria^{6–10}. Indeed, a separate study suggests that the
106duration of kidney disease, rather than the degree of renal impairment, correlates with brain
107dysfunction. Available long-term follow-up studies in CKD patients also suggest greater
108cognitive decline in those with higher levels of albuminuria, but an unclear effect of eGFR
109^{6,11}.

110Current techniques of renal replacement therapy have different effects on cognitive
111dysfunction: hemodialysis (HD) and peritoneal dialysis (PD) can treat effectively the acute
112uremic encephalopathy seen in some non-dialyzed patients with end-stage renal failure
113(ESRF), but have little effect on MCI, although there may be a slightly better outcome with
114PD^{12,13} compared with HD. Conversely, kidney transplantation is likely to play a protective
115role, although without complete reversal to normal cognition in healthy subjects¹⁴ (Figure 1).
116Several mechanisms have been proposed to explain the brain dysfunction seen in CKD,
117particularly focusing on the vascular damage and altered extracellular *milieu* that
118accompanies CKD.

119The CKD-cognitive impairment conundrum has become a major focus of the scientific
120community, as evident from the increasing number of published meta-analyses and review
121articles (see e.g.^{15–17}). However, much of the current literature does not discuss the topic in
122the context of recent developments in neuroscience and neurology, such as neural stem cells,
123brain glymphatics or subcortical modulatory systems (e.g., dopamine and norepinephrine
124systems); furthermore, the pace of new findings is so rapid that an updated perspective can be

125 justified. This is an ongoing and active field of research, and new functional tools to study
126 both the brain and kidney hold the promise of advancing our understanding of MCI-CKD.
127 This review summarizes our current knowledge of the pathogenesis of brain lesions
128 associated with CKD and their likely effects on cognition. The changes observed are
129 discussed in the context of uremic neurotoxicity and vascular damage^{18,19}. We focus on
130 brain dysfunction occurring in CKD, rather than in acute kidney injury (AKI), which has
131 been considered in detail elsewhere²⁰. Finally, our review does not discuss depression, which
132 is also detailed elsewhere²¹.

133

134 **1. Epidemiology of CKD and cognitive dysfunction**

135 With a prevalence ranging from 27% up to 62%^{18,22–24} (depending on the age and the study)
136 MCI is more common among CKD stage 1-4 patients than in a matched non-CKD population
137 (prevalence 11%-26%)^{22,23,25}. While MCI does not affect activities of daily living, 5-10% of
138 those affected will eventually progress to clinical dementia²⁶. The prevalence of dementia is
139 8-37% among HD patients^{10,25,27–29}, 4-33% among those on PD^{25,29} and around 7-22% in
140 kidney transplant patients^{14,30}. In contrast, the prevalence of dementia in the general
141 population is 5% (95% CI 4.5%–5.7%)³¹. Most of the studies comparing the prevalence of
142 MCI/dementia in CKD with the general population take into account age, gender and
143 education (which may affect the estimates). In Figure 1 we summarize an estimate of the
144 MCI trend in CKD, PD and HD patients according to kidney function and the age.

145 Regarding the age of onset of cognitive decline (in relation to first diagnosis) the data are
146 scanty. In the general population the incidence rate is negligible (less than 1%) below age 65
147 years and then increases exponentially as a function of age (7.4% at 70 years)^{32,33}.
148 Conversely, in kidney transplant patients the 10-year risk of dementia is 5% in those aged 55
149 years, but also increases linearly with age³². Similarly, the 10-year risk of dementia after HD
150 initiation is 20% in patients aged 65 years and again increases linearly with age³⁰. In addition,
151 data by Kurella Tamura et al³⁴ suggest a higher prevalence (about 10%) of cognitive
152 impairment even in relatively young subjects (21-44 years) with end-stage renal failure
153 (ESRF).

154 According to a 2019 study, the incidence rate for dementia per 1000 patients-years is 1.4 in
155 the non-CKD population and 10.7 in the ESRF population³⁵. In patients with eGFR
156 levels >60 ml/min, albuminuria, an early marker of endothelial damage and microvascular

157disease, is associated with the presence of MCI⁸. This has been confirmed repeatedly, with
158an unclear relationship to age: some papers report a greater effect of albuminuria in older
159patients⁷, others only in younger patients.

160Nephrologists need to be aware that a substantial proportion of their patients may have mild
161to severe forms of cognitive impairment, and the neurologist also needs to be cognizant of the
162presence and form of cognitive deficits occurring in patients with CKD. Indeed, MCI-CKD
163might be qualitatively different from the MCI seen in the general population in respect of its
164neuropsychological test patterns (e.g., better performance on Trail Making Test B) and EEG
165studies³⁶, MRI findings³. Ideally, current guidelines for MCI diagnosis and management
166should require an assessment of kidney function as part of the routine neurological work-up³⁷.

167

168 **2. Cognitive domains and correlated brain features in CKD**

169“Cognitive decline” is an umbrella term that encompasses all forms of dementia (such as AD),
170delirium or confusional states, and mild cognitive slowing. It should be noted that the terms
171“cognitive impairment” and “dementia” are often used as synonymous, the first being
172introduced as a non-stigmatizing term³⁸. Cognitive function is tested by various means,
173including by recalling memorized lists of numbers or drawing an object on request, or
174naming a figure. Cognitive impairment is subdivided into specific cognitive domains, such as
175attention, memory, visuospatial, language skills and executive functions (see Box 2).

176 **2. 1. Attention**

177Patients with CKD show inattention (see Box 2) and impaired inhibitory control³⁹, which is
178the inability to suppress ongoing and inappropriate actions. Indeed, a young population with
179mild-moderate CKD has poor performance in a test of attention and inhibitory control, which
180depends on the duration of disease, rather than the severity of CKD³⁹. Furthermore, CKD
181patients have a slower EEG reaction when paying attention to a visual stimulus (termed
182“P300 wave event related potential”)⁴⁰, which was shown to be partially dependent on the
183presence of anemia⁴¹. Transplantation does not modify the attention and inhibitory control
184performances compared with non-transplanted CKD patients⁴². Many brain regions are
185required for attention and inhibitory control, such as the prefrontal cortex (PFC) and the
186Locus Coeruleus in the dorsal pons, which produces norepinephrine. Compared with normal
187controls, patients on HD show a reduced thickness and increased number of connections of
188the PFC⁴³. Furthermore, PET studies also show decreased metabolic activity in this region in

189ESRF patients compared with normal subjects⁴⁴. In the non-CKD population, the frontal gray
190matter volume is less affected in MCI⁴⁵, but can be reduced in other forms of dementia
191(fronto-temporal dementia, Alzheimer's Disease (AD) and Levy bodies dementia^{46,47}). An
192EEG study directly comparing MCI-CKD to MCI in the general population describes a more
193pronounced dysfunction in the frontal cortex³⁶.

194Data from animal models of CKD suggest an altered activation (number of neurons active at
195rest, indexed by c-Fos expression) of the PFC and of the norepinephrine neurons of the Locus
196Coeruleus⁴⁸ (see also Figure 2), possibly due to neuroinflammation⁴⁹. Another mechanism for
197the effect of CKD on norepinephrine neuronal function arises indirectly from the observation
198of altered tyrosine metabolism, the precursor for norepinephrine⁵⁰. Interestingly, antioxidant
199therapies and angiotensin-converting enzyme inhibitors may reverse these changes and
200should be explored in future as a possible protection against MCI/dementia in CKD.

201Attention deficits in CKD patients may be attributable to altered catecholamine-PFC circuitry.

202**2. 2. Memory**

203Memory storage and processing are likely to be served by different brain regions according to
204the type of memory (see Box 1). Both the implicit and explicit forms of memory appear to be
205altered by CKD⁵¹. Indeed, patients on HD compared with healthy controls had poorer scores
206when trying to recall a list of words (explicit memory) or images (implicit memory). The
207memory performance did not change when comparing before and after dialysis treatment.

208The storage/retrieval of explicit memories requires the integrity of the cerebral cortex and the
209hippocampus and the activity of cholinergic neurons in the Meynert nucleus. In animal
210models, CKD induces neuronal death in the hippocampus⁵² and reduces the activity of
211cholinergic neurons in the Meynert nucleus⁴⁹ (Figure 2).

212At the neuronal scale memories are stored as long-term modifications of their synapses. An
213experimental study in mice demonstrated a reduction in synaptic contacts in animals with
214reduced kidney function⁴⁹. Since memory traces are stored in the ordered connectivity of
215synaptic contacts among neurons^{53,54}, synaptic loss may underlie the reduced memory in
216CKD. Overall, data suggest that the interaction between cholinergic neurons and the cortex
217may be responsible for memory dysfunction in CKD.

218**2. 3. Language, visuospatial performance and executive dysfunction**

219Language skills are also affected in CKD, and this is the only cognitive domain linearly
220dependent on eGFR decline $¹⁰$. Language ability can be tested by presenting a

221 picture and asking the subject to name it (as with the Boston Naming test). Several studies
222 document poor naming performance in patients with CKD^{10,55-57}. MRI studies in CKD
223 patients support the anatomical integrity of cortical language areas and the origin of language
224 disturbances in CKD is still unknown.

225 Visuospatial abilities reflect the identification and localization of visual objects. It can be
226 tested, e.g., by asking someone to copy a complex figure (as in the Rey-Osterrieth Complex
227 Figure Test). Visuospatial performance is variably affected in CKD patients, depending on the
228 study^{56,58}, possibly because its impairment can be observed only in advanced CKD stages
229 and ESRF⁵. This is also supported by the absence of morphological alterations on MRI in the
230 occipital cortex of CKD patients, this being the region involved in visuospatial attention⁵⁹.

231 Finally, most of the literature supports the presence of “executive dysfunction” in CKD, with
232 an impairment in the Trail making tests (TMT-A and TMT-B), which addresses visual
233 attention and executive functions. These tests have been found to be consistently altered in
234 CKD patients in several studies. In a comparative study, the impairment in this cognitive
235 domain occurs with greater frequency in CKD compared with language, memory and
236 visuoconstructive abilities⁵⁶; it worsens over time in HD patients⁶⁰. It is also dependent on the
237 degree of kidney impairment, with a linear relation between both TMT-A and TMT-B scores
238 and eGFR⁶¹. Kidney transplant improves TMT-A scores when compared with patients on
239 HD⁶². As discussed above, the frontal lobe, which is the brain structure that is mainly
240 responsible for executive functions, is thinner in patients with CKD, possibly contributing to
241 this behavioral disorder. Notably, pediatric and adolescent patients with CKD already show
242 cognitive impairment in several domains⁶³, together with brain damage on MRI⁶⁴,
243 strengthening the concept that these changes are not solely due to the effect of ageing in
244 patients with CKD.

245 In summary, the cognitive impairment in CKD extends to several brain functional domains
246 and might be the result of damage to multiple cortical regions (particularly the frontal lobe),
247 and to subcortical modulatory neurons (particularly adrenergic neurons in the mesencephalon
248 and cholinergic neurons in Meynert's nucleus). In contrast, non-CKD dementia is
249 accompanied by structural MRI abnormalities of different brain regions: (i) in AD, at early
250 stage, the entorhinal cortex and cingulate, closely followed by the hippocampus, amygdala,
251 and parahippocampus; the atrophy then involves the temporal cortex and then other cortical
252 sites^{47,65}; (ii) in the Fronto-Temporal Dementia the frontal and temporal lobe are mostly
253 affected at MRI⁴⁶; (iii) in the Lewy Bodies Dementia the cingulate and superior temporal-

254 occipital cortex⁴⁷; (iv) in vascular dementia there is global cortical atrophy with involvement
255 of white matter (so-called “white matter hyperintensities”); compared to AD the frontal
256 cortex is more often involved, with lesions to association tracts by MRI⁶⁶.

257 **3. Intermediate phenotypes: Neuroanatomical alterations, sleep disorders and tremor**

258 In this section, we will use the term “intermediate phenotype” to describe a brain feature that
259 lies between the complex behavioral trait (e.g., dementia) and a putative underlying
260 molecular cause. Sometimes the terms “intermediate phenotype”, “biomarker” and
261 “endophenotype” are used loosely and interchangeably⁶⁷. This brain feature, measurable and
262 quantitative, is linked to simple neuronal networks and may be used as a proxy for the more
263 complex behavior: such a feature is likely to have a linear relationship to the molecular
264 changes accompanying CKD. Potential intermediate endophenotypes are the MRI brain
265 correlates of dementia/MCI (discussed above) and quantitative phenotypes such as altered
266 sleep pattern and motor control.

267 **3. 1. Sleep**

268 Sleep disorders can be quantified using polysomnography and actigraphy systems. Sleep
269 disorders in CKD are very common with the majority of patients complaining of some form
270 of sleep disorder⁶⁸. They include a reduction in total sleep time, insomnia/sleep
271 fragmentation, daytime somnolence, altered circadian rhythm, sleep apnea or restless legs
272 syndrome^{69,70}. Sleep apnea affects 34-56% of adult CKD patients^{71,72} and 56% of ESRF
273 patients on HD^{73,74}. It is usually absent in pediatric patients with CKD⁷⁵. In 22-27% of adult
274 CKD patients sleep apnea depends on a dysfunction of the neuronal drive (central sleep apnea,
275 CSA)^{76,77}. The nocturnal hypoxemia that accompanies sleep apnea in CKD patients is
276 associated with autonomic dysfunction and left ventricular hypertrophy^{78,79}, and predicts a
277 high risk for cardiovascular events in ESRF⁷⁸. A meta-analysis concluded that sleep quality
278 can be improved by renal replacement therapies (transplant, dialysis), even if it does not
279 return to normal levels, and it does not depend on the intensity of therapy⁶⁹.

280 Sleep disorders are tightly linked to MCI and dementia⁸⁰. They are indicative of an existing
281 brain damage. It is unclear if they induce further brain dysfunction, as pharmacological
282 restoration of sleep does not improve cognitive functions⁸¹. In humans inadequate sleep has
283 been associated with lower gray matter volume⁸². In animal models of CKD, serotonergic
284 neurons in the dorsal raphe and histaminergic neurons in the hypothalamus (Figure 2), which
285 are responsible for the maintenance of sleep patterns, show increased activity (indexed by
286 cFos expression)⁴⁸. Serotonergic neurons influence the sleep-wake pattern and attention

287memory and locomotor activity⁸³ and contribute to the depression and uremic anorexia seen
288in advanced CKD⁸⁴.

289Overall, the sleep patterns and sleep apnea in CKD could be an easily quantifiable and ideal
290parameter linking the behavioral scale phenotype to the molecular scale (Figure 3).

291

292**3. 2 Motor control and tremor**

293Motor control and hand tremor can be easily quantified using force plates and accelerometers.
294CKD is accompanied by changes in balance and gait control⁸⁵. Specifically, patients with
295ESRF and MCI have a slower gait speed^{23,61} and reduced single-leg standing time (indexing
296balance function)²³ that is not due to a reduced muscle strength. The control of hand posture
297(resulting in small oscillations or physiological tremor) is also likely to be modified in
298advanced CKD: it has been known for a longtime that acute uremic intoxication or “uremic
299encephalopathy” is accompanied by hand tremor⁸⁶. The assumption that hand tremor is a
300result of uremic toxins derives from the observation that HD greatly improves tremor in cases
301of uremic encephalopathy⁸⁷. The altered motor control in CKD patients is also evident as a
302slower reaction time to visual or auditory stimuli^{88,89} and voice tremor⁹⁰.

303The motor control modifications (postural instability, hand tremor, gait speed) in CKD are
304likely to be collateral features of cognitive impairment. Indeed, non-CKD cognitive
305impairment is accompanied (or preceded) by a slower gait speed⁹¹ and postural instability⁹².
306Furthermore, some forms of AD are accompanied by hand and postural tremor and limb
307bradykinesia⁹³.

308As discussed above, norepinephrine and serotonin neurons modulate sleep and subcortical
309motor circuits (e.g., the basal ganglia and the spinal cord), possibly mediating the gait and
310hand instability in CKD. A pictorial review of the hierarchical organization from molecular
311abnormalities to a complex behavioral scale is represented in Figure 3.

312

313**4. Mechanisms of cognitive dysfunction in CKD**

314The link between CKD and cognitive dysfunction or its intermediate phenotype is not well
315understood. In pediatric populations, genetic factors may play a role, whereas in adult
316populations CKD-related vascular factors and uremic (neuro)toxins may have a greater
317impact. Every proposed mechanism should be examined in more detail, because of the
318potential for new therapeutic approaches, which are still limited.

320 **4. 1 Genetic Factors**

321 There has been little attention paid to the role of genetic factors in the relationship between
322 CKD and cognitive function. However, a distinction must be made between pediatric and
323 adult CKD. In the pediatric population kidney diseases may have a clear genetic determinant
324⁹⁴, for example, in up to 30% of steroid-resistant nephrotic syndrome patients⁹⁵. In these
325 cases, neurocognitive impairment may derive from a genomic disorder that causes both CKD
326 and cognitive impairment⁹⁶. Indeed, some rare genetic syndromes are well known to cause
327 both cognitive impairment and kidney dysfunction, such as Bardet Biedl syndrome⁹⁷, Fabry
328 disease⁹⁸, Schmike immunosseus dysplasia⁹⁹, Joubert syndrome¹⁰⁰, tuberous sclerosis¹⁰¹ and
329 oculocerebrorenal syndrome of Lowe¹⁰².

330 Conversely, in adult CKD a genetic diagnosis is found in only 10% of cases¹⁰³ and in
331 pediatric steroid-sensitive CKD, almost no patient has a genetic diagnosis⁹⁵. In these cases a
332 genetic predisposition to cognitive impairment has been suggested from the observation that
333 genetic variants of α -Klotho, a CKD-dependent factor, affect cognition¹⁰⁴. Furthermore,
334 circulating α -Klotho has been linked to cognitive decline¹⁰⁵. The analysis of genetic loci
335 associated with adult CKD obtained from one million subjects, published in 2019, reports
336 147 loci relevant for kidney function¹⁰⁶. Among these, 13 polymorphisms had an Exonic
337 effect (SLC47A1, EDEM3, SLC22A2, PPM1J, RPL3L, EPB41L5, TSPAN9, KLHDC7A,
338 CPS1, C9, CACNA1S, SLC25A45, CERS2). Intriguingly, some of these genes are also
339 expressed in the brain, particularly in the striatum (SLC47A1, KLHDC7A, SLC25A45; Allen
340 Brain Atlas database), cortex (EDEM3, PPM1J, CERS2; Human Protein Atlas database),
341 cerebellum and hippocampus (TSPAN9, EPB41L5; Human Protein Atlas database).
342 Furthermore, some of these are related to diseases of the nervous system such as Infantile
343 onset spinocerebellar ataxia (TSPAN9, CACNA1S, RPL3L; Rare Diseases AutoRIF
344 ARCHS4 Predictions database) or AD (CACNA1S, WikiPathways database).

345 Finally, it is likely that any genetic predisposition to cognitive impairment in the general
346 population is also operative in CKD. In fact, experimental data in rodent models suggest that
347 the number of genes influencing memory and cognition is likely to be quite large¹⁰⁷.

348 Unfortunately, the quest for genetic risk factors in dementia and MCI using Genome-Wide
349 Association Studies (GWAS) has only identified gene variants with a small effect size. The
350 GA@ACE study comprised 4120 AD cases and 3289 controls, analyzing 7.7 million gene
351 variants¹⁰⁸ and found only one already known marker with Genome Wide significance,

352 APOE-rs429358. Other genetic variants had very small effects (such as CD33-rs3865444,
353 with OR=0.92). An additional genetic predisposition to MCI in CKD patients derives from
354 the genetic control of autoregulation of both renal and cerebral blood flow, which is discussed
355 further below.

356 When summing each small effects of all genetic variants in an individual, the “calculated
357 total risk score”, results are promising¹⁰⁹. For example, a genetic risk score based on eight
358 gene variants found a two-fold more rapid progression from MCI to AD when six or more
359 alleles were present¹¹⁰.

360 In summary, genetic risk for MCI/dementia in CKD is more easily recognized and important
361 in the pediatric population; in the adult population a genetic risk score from multiple variants
362 needs to be considered.

363

364 **4. 2 Non-genetic Factors**

365 Many different mechanisms have been proposed to link CKD and cognitive impairment. It
366 should be emphasized that the MCI/dementia link with CKD might actually represent one of
367 the very few established causes of cognitive impairment.

368 Most proposed mediators of brain damage are retained because of kidney dysfunction and
369 affect the brain through direct or indirect mechanisms. There are, however, notable
370 exceptions: (i) iatrogenic factors, namely dialysis, medications¹¹¹ and nutrition/diet; (ii)
371 comorbid conditions that cannot be easily separated from CKD such as hypertension and
372 cardiovascular disease; (iii) social factors and functional impairment (such as impairment in
373 using the telephone, preparing meals or shopping) that affect the psychological state of
374 patients with CKD.

375 Complex changes affect the blood composition of patients with CKD, which complicates
376 attempts to identify a unifying causative mechanism for CKD-MCI. Moreover, evaluation of
377 the mechanisms by which CKD-related changes in blood constituents might affect cognitive
378 function requires consideration of the time-scale over which cognitive decline occurs. Some
379 blood constituents (e.g., oxygen free radicals, volume status, electrolyte and acid-base
380 disturbances, and some uremic toxins and drugs) have effects within a short time-scale,
381 whereas others (e.g., amyloid deposits, inflammation, vascular dysfunction, nutrition, anemia)
382 require months or perhaps years to have an effect on the brain. Furthermore, the blood-brain
383 barrier (BBB) and blood-cerebrospinal fluid (BCB) barriers do not allow all blood

384 constituents to freely enter the brain parenchyma ¹¹². The brain is likely to develop
385 MCI/dementia over a relatively long time-scale (months to years) ³⁹ and clearly
386 MCI/dementia is a phenomenon that occurs at a higher scale of organization of neuronal
387 networks or above.

388 In the section that follows, we briefly review the few attempts that have been made so far to
389 interfere with MCI/dementia-CKD, before considering some possible underlying mechanisms
390 in more detail.

391

392 **4. 2. 1 Vascular dysfunction in CKD and cognitive decline**

393 **4. 2. 1. 1 Morphology of the brain vessels in CKD**

394 CKD has a high prevalence of atherosclerosis and endothelial dysfunction is almost
395 universal¹¹³. Vascular disease is associated with cognitive decline in non-CKD patients and is
396 an important factor in CKD morbidity ¹¹⁴. Brain capillaries and small vessels are not
397 accessible in patients with CKD; hence, a useful proxy is the study of retinal capillaries,
398 which can be quantified *in vivo*. In the retina, CKD stage 3 (or proteinuria >500mg/g
399 creatinine) is accompanied by greater arteriolar wall-to-lumen ratio, greater wall thickness
400 and greater inter-capillary distance¹¹⁵. Therefore, anatomical alterations in the brain macro-
401 and micro-circulation are present in patients with CKD.

402 The endothelial dysfunction (indexed by sVCAM-1, thrombomodulin, sICAM-1 and sICAM-
403 3) is a feature of CKD, HD and PD¹¹⁶, and is accompanied by an increased permeability of
404 the blood brain barrier ^{49,117}.

405

406 **4. 2. 1. 2 Hemodynamic changes that occur in CKD**

407 In non-CKD patients the vascular hypothesis of cognitive dysfunction is based on the
408 hypothesis that anatomical vascular changes are accompanied by reduced cerebral blood flow,
409 and consequent impairment in neuronal activities. Dysfunction of vascular pericytes in the
410 brain, mediated by, for example, endothelin, has been shown to participate in the altered
411 blood flow observed in dementia¹¹⁸.

412 As we shall see, patients with CKD show actually increased cerebral blood flow, which does
413 not support the more general paradigm of vascular dysfunction.

414 CKD is invariably accompanied by an increase in arterial blood pressure. Hypertension is a
415 known risk factor for dementia in non-CKD patients¹¹⁹.

416 Unexpectedly, in adult patients with CKD the global cerebral blood flow (measured by MRI
417 arterial spin labeling) is increased compared with healthy controls. The increase was more
418 evident in non-dialysis ESRF patients than in HD and PD patients. The changes did not
419 correlate with neuropsychological tests when anemia was taken into account¹²⁰. These results
420 were also confirmed in pediatric patients with CKD, who also showed an increase in global
421 cerebral blood flow (measured by MRI arterial spin labeling) compared with healthy controls,
422 though possibly due to a reduced hematocrit¹²¹. These findings lead to the counterintuitive
423 interpretation that a decrease in cerebral blood flow may improve cognition in CKD¹²². It is
424 plausible that the increased blood flow represents, in this case, a compensatory effect of
425 anemia.

426 These data and the lack of efficacy of anti-hypertensive drugs in MCI/dementia-CKD
427 strongly suggest that the vascular hypothesis of dementia in the general population may not
428 be correct or a complete explanation in CKD. However, it should be noted that patients with
429 ESRF on HD have more complex, acute and variable hemodynamic changes triggered by the
430 presence of an arterovenous (AV) fistula and the need for intermittent ultrafiltration.

431 The AV fistula has a remarkable cardio-circulatory effect. Its effect on cognition compared
432 with central venous catheter is unknown, although overall it is likely to be associated with a
433 better quality of life for dialysis patients¹²³. However, intermittent ultrafiltration, which
434 acutely reduces the blood volume, is also expected to reduce cardiac output and mean arterial
435 pressure¹²⁴, which in turn reduces splanchnic and brain perfusion. Indeed, at the end of an HD
436 session, cerebral blood flow is reduced, as demonstrated by [15O]H₂O PET-CT scan¹²⁵.

437 Similarly, at the end of PD a reduced cerebral blood flow (measured by MRI arterial spin
438 labeling) has been observed compared with pre-dialysis^{120,122}. However, in the interval
439 between HD sessions (48h after the last dialysis session) a rebound effect has also been
440 described, with an increase in the mean blood flow velocity (measured by Doppler
441 ultrasound), partially related to changes in hemoglobin levels¹²⁶.

442 Therefore, the blood flow changes in HD and PD are of short duration, but whether these
443 chronic intermittent changes worsen cognition is unclear. However, the similar prevalence of
444 MCI/dementia in advanced CKD, in HD and in PD suggests that the effect of dialysis
445 treatment on brain hemodynamics is reversible and not additive in any way.

446

447 **4. 2. 1. 3. A genetic factor that causes impaired autoregulation**

448 Patients with CKD show on MRI focal white matter hyperintensities that are interpreted as
449 small ischemic regions¹²⁷. This poses the problem of whether cognitive dysfunction in CKD
450 is a form of “vascular dementia”, which has been studied widely in the general population.
451 Unfortunately, a formal comparison between vascular dementia and CKD-dementia has never
452 been carried out. An additional point of confusion is that vascular dementia is often linked to
453 hypertension, which is a common finding in CKD.

454 Hypertension has usually been associated with small lacunar infarcts and diffuse areas of
455 chronic ischemia (leukoaraiosis), chronic hypoperfusion and impaired cerebral autoregulation.
456 It also has a genetic predisposition, associated with genes governing endothelial function,
457 such as polymorphisms of angiotensin-converting enzyme, angiotensinogen, endothelin,
458 eNOS, and methylenetetrahydrofolate reductase (MTHFR)¹²⁸. In rats, a genetic variant of the
459 Add3 gene and a genetic deficiency of 20-HETE have been associated with impaired
460 autoregulation of both renal and cerebral blood flow^{129,130}. Specifically, genetically
461 hypertensive rats (Dahl salt-sensitive) show deficient formation of 20-HETE with an
462 impaired myogenic response of cerebral arteries and blood-brain-barrier leakage¹³⁰.

463 As discussed above, in CKD cerebral blood flow is augmented, rather than decreased, which
464 is opposite to what has been shown in vascular dementia. Thus, it is possible that a
465 dysfunction of endothelial cells or glial cells modifies the exchange of substances between
466 blood and neurons. Cerebral blood flow may be adequate or even increased, but the blood
467 brain barrier or brain glymphatic system might be dysfunctional.

468

469 **4. 2. 1. 4 Brain glymphatic system**

470 The glymphatic or perivascular system is a CNS clearance system formed by astroglial cells -
471 a cell species covering the whole cerebral vasculature - that efficiently eliminates soluble
472 proteins and various metabolites from the CNS¹¹², and is responsible for ~60% of β -amyloid
473 clearance¹³¹ (Figure 4). Vascular diseases, hypertension, diabetes, and neurodegenerative
474 diseases may all reduce glymphatic clearance¹³². Moreover, neuroinflammation and
475 depression have also been shown to suppress glymphatic clearance, perhaps explaining why
476 these conditions increase the risk of developing dementia¹³². Interestingly, glymphatic
477 clearing of waste products occurs primarily during sleep and in particular in stages 3-4

478 NREM sleep¹³³. Although it is not known how CKD affects the glymphatic system, the
479 existing literature suggests that glymphatic fluid transport may be suppressed in CKD and
480 that glymphatic dysfunction can lead to an accumulation of potential neurotoxic waste
481 products. As discussed above, sleep disturbance is common in CKD patients⁶⁸ and
482 accompanies the cognitive decline in MCI¹³⁴ and dementia¹³⁵. Furthermore, sleep apnea and
483 AD are both linked to reduced level of Amyloid- β in cerebrospinal fluid, indicating that
484 glymphatic clearance is suppressed in these conditions^{136,137}.

485

486 **4. 2. 2 Uremic (neuro)toxins and kidney neurotrophins**

487 The EUTOX database (<http://www.uremic-toxins.org/DataBase.html>) provides an updated
488 list of all known uremic toxins and their characteristics. According to EUTOX, 9% of the
489 known uremic toxins (7 over a total of 75 solutes) are associated with neurological and CNS
490 effects. HD efficiently eliminates water-soluble toxins and improves acute uremic
491 encephalopathy, but it is relatively ineffective when it comes to protein-bound or middle-
492 sized toxins, and does not ameliorate chronic cognitive dysfunction in patients with ESRF
493 (Table 1). The fact that this extracorporeal treatment does not improve cognitive dysfunction
494 in ESRF implicates protein-bound and larger molecular weight toxins in MCI/dementia in
495 these patients.

496 Many of the uremic bioproducts reported in Table 1 are known to exert a protective effect on
497 neurons, but most of them do not cross the BBB under basal conditions (as reported in Table
498 1), and so cannot make contact with neurons *in vivo* and are unlikely to provide
499 neuroprotection. Leakage of most of these products in uremia is currently untested, although
500 it is known that the BBB is less functional in advanced CKD. Conversely, some uremic
501 toxins easily cross the BBB under basal conditions and have detrimental effects on the
502 endothelium and vasculature. NPY is of particular interest among uremic toxins. This 36-
503 amino acid peptide has been implicated in neurodegenerative diseases such as AD¹³⁸. It is
504 produced centrally (within the brain by specific neurons) and peripherally in nerve endings.
505 Plasma NPY mostly derives from peripheral nerve endings, with an unclear correlation with
506 the NPY coming from the cerebrospinal fluid¹³⁹. However, it has been reported to readily
507 enter the brain from blood by diffusion across the BBB¹⁴⁰. Independent of BMI, serum NPY
508 levels are elevated in CKD patients¹⁴¹ and in patients with sleep apnea; continuous airway
509 positive pressure reduces NPY levels in these patients¹⁴². NPY levels predict a high risk for
510 cardiovascular events both in CKD and in ESRF patients¹⁴³.

511 Because cognitive impairment is much more frequent in patients with cardiovascular disease,
512 particularly in those with heart failure ¹⁴⁴, NPY may be implicated in the pathogenesis of
513 these alterations in CKD. In fact, high NPY levels in supratentorial cerebrospinal fluid, at
514 least in the short term, are associated with cognitive impairment in patients with subarachnoid
515 hemorrhage ¹⁴⁵. Finally, NPY is also responsible for endothelial dysfunction ¹⁴⁶, which makes
516 this substance a good candidate for brain impairment in CKD.

517 CKD patients often present with disturbed calcium and phosphate metabolism, high PTH and
518 FGF23 levels, and low α -klotho (see earlier) and calcitriol levels. PTH is listed among the
519 uremic toxins, but the evidence that this hormone is directly implicated in cognitive
520 impairment is uncertain ¹⁴⁷. PTH is a polypeptide of 84 amino acids, which is unlikely to
521 cross the BBB under normal conditions. Furthermore, the level of expression of the PTH
522 receptor in the brain is fairly low according to the Allen Brain Atlas. However, disturbed
523 mineral metabolism may have an impact on cognitive impairment in CKD patients through
524 pathways independent of PTH. The liver/bone/kidney isoform of alkaline phosphatase, an
525 enzyme key to bone metabolism, is also expressed in neurons of the cerebral cortex (data
526 from The Human Protein Atlas database: <https://www.proteinatlas.org/>). PTH is elevated in
527 patients with AD and correlates inversely with cognitive function in these patients ¹⁴⁸.

528 Experimental evidence suggests that high alkaline phosphatase, by dephosphorylating the
529 tau-protein, a protein considered central to the pathogenesis of AD, may promote the binding
530 of the same protein to muscarinic receptors (M1 and M3) in the hippocampal area, thereby
531 triggering a marked increase in intracellular calcium levels and triggering cell death ¹⁴⁹.

532 The phosphaturic hormone FGF23 increases early during the course of CKD and has been
533 associated with cardiovascular disease and cardiovascular mortality ¹⁵⁰. Moreover, in the
534 Framingham study, higher FGF23 levels are associated with a higher risk for dementia ¹⁵¹. α -
535 klotho, the obligatory co-ligand of FGF23 is highly expressed in brain after the kidney and
536 parathyroid glands ¹⁵². Circulating α -klotho, as well as kidney and parathyroid α -klotho, are
537 downregulated in CKD, but whether brain α -klotho is also affected is unknown. A recent
538 MRI-based study found that reduced circulating α -klotho associated positively with the risk
539 for dementia and cerebral deep white matter lesions, even after correction for reduced kidney
540 function and other risk factors ¹⁵³. Several genetic variants altering α -klotho protein levels are
541 associated with β -amyloid burden and risk for dementia ^{104,105,154}. Experimental evidence
542 links α -klotho with the function of serotonergic neurons, hippocampal function, depression
543 and dementia-like symptoms in animals ¹¹⁵. While low α -klotho or high FGF23 appear to

544 exert negative effects on brain function, α -klotho or FGF23 deficiency also impair neuronal
545 processes, particularly in the hippocampus and possibly also cerebellum, affecting memory,
546 behavior, and motor functions^{155,156}. A common denominator of α -klotho or FGF23
547 deficiency is high calcitriol and reducing calcitriol levels to normal reverses or prevents brain
548 alterations in experimental animal models¹⁵⁷. In contrast, patients with CKD often suffer
549 from low calcitriol levels. This apparent contradiction that both high and low calcitriol levels
550 are linked to impaired brain functions may indicate an optimal range of calcitriol levels
551 necessary for neuroprotection, microglial immune function, and vascular integrity^{158,159}. At
552 present there is no evidence supporting calcitriol supplementation to delay loss of
553 psychomotor functions in elderly populations with CKD¹⁶⁰ (Figure 5).

554

555 **4. 4 Role of brain stem cells and neuroinflammation in CKD-dependent cognitive** 556 **impairment**

557 CKD is a pro-inflammatory dysmetabolic state with associated brain dysfunction.
558 Surprisingly little attention has been paid to the role of neural stem cells (or neural
559 precursor cells, NPCs) in CKD patients, given that NPCs play a key role in several
560 homeostatic brain functions and are extremely sensitive to their micro-environment. While
561 NPCs located within the sub-granular (SGZ) zones contribute via neurogenesis to
562 maintaining memory circuitry, behavior and spatial learning (e.g., endogenous NPCs produce
563 a constant albeit slow increase in hippocampal volume, which is needed for cognitive
564 functions and mood)^{161,162}, NPCs residing in the subventricular (SVZ) exert little or no
565 neurogenic functions, but contribute to counteracting metabolic dysfunction and/or
566 inflammatory processes), the so-called ‘bystander (or paracrine) effect’¹⁶³. This is due to the
567 ability of undifferentiated NPCs to secrete a milieu of homeostatic and/or neuroprotective
568 molecules (e.g., stem cell regulators, trophic factors and immunomodulators) that are thought
569 to be important, because, depending on the tissue microenvironment, they may stimulate
570 endogenous precursors to promote re-myelination or rescue (directly and indirectly) of
571 damaged axons and neurons^{162–164}. As a consequence, an additional pathogenic mechanism
572 that might contribute to irreversible glial and/or neuronal loss, and the neuronal functional
573 impairment underlying cognitive dysfunction in CKD patients, could be the effect of uremic
574 toxins, inflammatory cytokines (e.g., IL-1 β , IL-18, IL-6, TNF α), as well as anti-inflammatory
575 cytokines (e.g., IL-10) and free-radicals (Figure 4).

576 Among the inflammatory cytokines, IL-6 is increased 5-fold in HD patients, and permanently
577 perturbs NPC proliferation and neurogenesis¹⁶⁵. IL-10, which is increased in HD, maintains
578 NPCs in an undifferentiated proliferation state, rather than promoting differentiation¹⁶⁶. Both
579 TNF α and IL-1 β also increase in HD and can stimulate NPC proliferative capacity¹⁶⁷. At
580 present it is not clear if the differential effects of IL-10 and IL-1 β on NPCs have also different
581 cognitive effects. Free radicals, which are increased in HD and CKD patients may strongly
582 and negatively impact NPC function¹⁶⁸. Finally, cell-mediated innate immunity, thought to
583 be involved in toxic uremia as a potential pathogenic mechanism causing cognitive
584 impairment in CKD patients, might also be impaired when NPC homeostasis is disturbed¹⁶⁹.
585 In kidney transplant patients cyclosporin and mycophenolate have direct inhibitory effects on
586 NPCs¹⁷⁰, unlike everolimus¹⁷¹.

587 NPCs also play a role in neurodegenerative diseases such as AD: the deletion of genes
588 necessary for NPCs and neurogenesis (such as Tet1, 5hmC) lead to learning and memory
589 deficits in animal models^{172,173}.

590 It is becoming clear that uremic (neuro)toxins in CKD not only exert a detrimental effect on
591 neural cells, but also on NPCs, although this is still not yet widely appreciated. Given the
592 pleiotropic actions (homeostatic and reparative) of NPCs, and the accumulating evidence
593 showing that during CKD circulating uremic toxins (whose CNS trafficking is facilitated by
594 BBB damage) may impair CNS structure and function, this seems to be a new research
595 avenue and opportunity in MCI-CKD worth pursuing.

596

597 **5. Interventional studies**

598 Interventional studies are essential for the identification of mechanistic links between kidney
599 disease and brain alterations. At present most of the attempts to improve, prevent or delay
600 MCI/dementia in CKD have been unsuccessful. We will review some of these attempts to
601 make the reader aware of what has been already done. However, new drugs are now available
602 to relieve some of the symptoms of dementia, relatively new dialysis regimens are being used
603 today, and new anti-inflammatory therapies have been introduced for atherosclerosis and
604 stroke: we list these approaches, which may yet prove beneficial.

605 HD is life-saving in patients with ESRF and reverses acute encephalopathy. The acute uremic
606 encephalopathy or uremic delirium presents with impaired memory and attention, altered
607 consciousness and disorganized thinking in untreated patients with ESRF. Today it is a rare

608 clinical presentation, because patients with ESRF usually undergo planned dialysis before
609 this neurological syndrome can develop. When it does occur, neurological recovery may take
610 days after dialysis initiation and in at least one case report a patient remained comatose for 5
611 days after correction of uremia¹⁷⁴. This supports the notion that circulating and brain-
612 permeable small molecules, which are removed by dialysis, are likely to be responsible for
613 acute uremic encephalopathy. In contrast, MCI and dementia develop over longer time-scales
614 and reversibility is potentially possible only for MCI, rather established dementia.

615 However, the question is whether the clearance of blood toxins by HD can decrease the risk
616 of developing MCI-CKD. Unfortunately, the partial correction of blood composition with HD
617 seems unlikely to prevent or slow down MCI-dementia progression, which is suggested by a
618 similar prevalence of MCI/dementia among patients on HD compared with those with
619 advanced CKD who are not yet on dialysis¹⁷⁵. This inability of HD to attenuate MCI might be
620 due to a suboptimal and discontinuous treatment regimen (with a rapid increase in toxin
621 levels between dialysis sessions); however, more intensive dialysis regimens with improved
622 dialysis adequacy (Kt/V) also do not improve MCI-related outcomes¹⁷⁶. Therefore, it is
623 possible that some uremic (neuro)toxins, for example, medium-large size toxins and protein-
624 bound toxins (listed in Table 1) are not adequately removed by HD. Unfortunately, no data
625 are available on the effect of hemodiafiltration (HDF), which can potentially remove larger
626 size toxins, on cognitive function. A meta-analysis suggests that PD treatment may be more
627 advantageous than HD^{45,175}. However, there is inconsistency among studies, possibly because
628 the effect is very small. In a large study enrolling 52'332 HD patients and 3'292 PD patients,
629 the higher risk for cognitive impairment in HD disappeared after controlling for demographic
630 characteristics and competing risks of death¹⁷⁷.

631 Therefore, the slower dynamics of ultrafiltration/dialysis of the peritoneal system or better
632 removal of protein-bound toxins do not seem to lead to greater clinical improvement.
633 Similarly, kidney transplantation attenuates MCI, but does not lead to the recovery of
634 cognitive function to the levels of a control healthy population (see Figure 1)^{178,179}. This
635 suggests that MCI-CKD is not easily reversible or that the immunosuppressive drugs used to
636 avoid rejection also impinge on cognitive function.

637 Neuropsychological approaches are unlikely to improve MCI, because they do not remove
638 the organic substrates causing the problem, nor are interventions (alone) that aim at better
639 control of blood pressure or prevent cerebrovascular accidents (stroke and myocardial

640infarction)¹⁸⁰. This suggests that the vascular alterations observed in CKD are not the main
641cause MCI or that they do not respond to standard preventive strategies.

642Few interventional studies are available examining the diet/nutritional changes in CKD-MCI
643progression. Vitamin B and folate supplementation to reduce homocysteine did not result in a
644reduction in MCI-CKD¹⁸¹. L-carnitine improves cognitive function in a rat model of CKD,
645but no data are available in humans¹⁸². Vitamin D 25(OH)₂ levels can be low in CKD and
646hypo-vitaminosis D is associated with cognitive decline; however, no data are available on its
647supplementation to prevent MCI in CKD (see earlier).

648Antioxidants such as Vitamin E have been proposed to reduce brain oxidative stress and slow
649cognitive impairment in the general population, although with minimal effects in AD¹⁸³.

650Tempol, an antioxidant, has been tested in animal models of CKD with some neuroprotective
651effect¹⁸⁴.

652Unsaturated fatty acids such as omega-3, Mediterranean diet, and malnutrition are other
653dietary factors and nutritional aspects considered relevant in the general population, but with
654minimal effects on cognitive protection^{185,186}. No information on MCI-CKD is currently
655available with these approaches.

656Iron deficiency is also common in CKD and anemia is a risk factor for poor scores at
657neuropsychological tests. Unfortunately, no information is available on iron supplementation
658in CKD to prevent MCI. However, erythropoietin appears beneficial for cognitive
659dysfunction in CKD^{187,188}, which supports the role of CKD-dependent anemia in poor
660cognitive function. The recent introduction of hypoxia inducible factor (HIF) stabilizers as an
661oral alternative to injectable erythropoietin for anemia in CKD patients¹⁶⁹ awaits further
662assessment for its effect on MCI-CKD.

663The hypothesis of altered PTH/phosphate homeostasis in MCI-CKD has not been tested. At
664present there is only a single case report of the reversal of cognitive impairment after
665cinacalcet treatment¹⁸⁹.

666The neuroinflammatory hypothesis might have an additional support after the attempt to
667reduce atherosclerosis/endothelial dysfunction by targeting a postulated inflammatory
668mechanism. Canakinumab, an anti-interleukin-1 β antibody¹⁹⁰, and colchicine¹⁹¹ both
669reduced the effects of atherosclerosis and showed some effect on brain function. Specifically,
670Canakinumab reduced infarct size, cerebral oedema and improved neurological performance
671in an animal model of stroke¹⁹². Conversely, colchicine is neurotoxic when injected into the

672 brain¹⁹³, but if delivered in the bloodstream has anti-neuroinflammatory action potentially
673 useful for AD¹⁹⁴. Consistently, a trial on 4754 patients assigned to receive colchicine or
674 placebo showed neuroprotective effect of colchicine, with lower risk of stroke¹⁹¹.

675 This may support the hypothesis linking MCI-CKD to wider endothelial dysfunction.

676

677 **6. Conclusions**

678 Nephrologists need to be more aware of cognitive impairment in CKD. However, a major
679 problem is the lack of any mechanistic understanding and the paucity of data, and therefore
680 for any interventional strategies. More systematic and standardized neurophysiological
681 testing of CKD patients recruited to the increasing number of large prospective CKD cohorts
682 will help in defining more reliably the true extent of the problem of MCI-CKD and the
683 biomarkers linked to it. Hemodiafiltration or other high permeability membranes await
684 testing of their effect on cognition. Memantine, and acetylcholinesterase inhibitors (e.g.,
685 donepezil) that are used in dementia have an unclear role in CKD patients. Strategies to
686 improve endothelial and glymphatic function, and neuronal stem cell production in CKD are
687 still in their infancy. However, if any of these approaches can prove useful in MCI-CKD, this
688 could also be a significant step forward in treating dementia itself.

689

690

691 **BOX 1: MCI**

692 **Mild cognitive impairment (MCI):** a term used to identify subjects at risk to develop
693 dementia, but whose cognitive deficit is so mild that it does not impinge upon daily activities.
694 The diagnosis is based on symptoms reported/observed by patients, caregivers, informants
695 and clinicians. The symptoms include memory impairment, language difficulties, attention
696 deficit, disorientation and altered visuospatial skills. MCI is only a risk state: 90-95% of
697 subjects with MCI actually do not progress to dementia. However, while dementia is
698 irreversible, 14-44% of MCI subjects can recover to normal cognitive function. After the
699 introduction of the Diagnostic and Statistical Manual of Mental Disorders version 5 (DSM-5),
700 dementia has been renamed as major Neurocognitive disorder (major NCD) and MCI as mild
701 NCD. Correspondingly, the criteria for MCI have changed. The Petersen's criteria for MCI
702 are: (a) subjective decline in memory (b) memory impairment on neuropsychological test (c)
703 intact daily functioning (d) no dementia. The DSM-5 criteria for MCI are: (a) concern (of the

704 patient or of the clinician) of a mild decline in cognitive function (b) cognitive decline by
705 standard cognitive assessment (c) independence in everyday activities (d) cognitive deficits
706 not explainable by delirium, psychosis, severe depression.

707

708

709 **BOX 2: glossary**

710 **Attention:** the cognitive domain involved in the selection of a specific information
711 within a sensory channel (filtering), ignoring all the other data. It requires the activity of the
712 prefrontal cortex, with modulation by the dopaminergic system

713 **Inhibitory control:** the ability to suppress ongoing and inappropriate responses to
714 potentially relevant stimuli. A classical test is the Stroop task, requiring to name the font color
715 of a printed word, neglecting the meaning of the word itself.

716 **Memory:** the cognitive domain involved in the registration and recall of information.
717 Different and independent types of memory exist, such as explicit memory (for words,
718 history etc), implicit memory (for actions, skills), short term and working memory (with
719 limited number of items that can be recorded and short permanence) and long-term memory.
720 Although no single brain region can be linked to memory, at the neuronal scale the
721 phenomenon most likely correlated to memory is called “long term potentiation” and “long
722 term depression”, which are sustained modifications of the efficiency of the neuronal contacts
723 or synapses.

724 **Language skills:** the cognitive domain dealing with repeating, understanding and
725 producing words, sentences, language. They require the function of two main areas in the
726 dominant (left) cortex, that is the motor- and sensitive- language areas (Broca’s and
727 Wernicke’s areas)

728 **Visuospatial ability:** the cognitive domain dealing with the analysis of visual
729 information, recognition of images and reproducing drawings. It requires the integrity of the
730 occipital cortex.

731 **Executive functions:** a psychological construct indicating mental processes
732 necessary for goal-directed behavior. The term derives from subjects with damage of the
733 frontal lobe, who can show normal language, learning, memory and reasoning on specific
734 tasks; notwithstanding, their everyday tasks are disorganized and poorly planned: their
735 “cognitive resources” such as learning, memory, reasoning, language are poorly coordinated,

736 that is they exhibit an “executive dysfunction”. No direct access to executive functions is
737 possible, therefore they comprise many different psychological terms such as attention and of
738 inhibition, working memory, cognitive flexibility, planning, fluid intelligence, reasoning and
739 problem solving etc. It requires the integrity of the frontal lobe and its modulation by
740 dopamine and cholinergic neurons from the mesencephalon and basal forebrain respectively.

741 **Catecholamine neurons:** a small group of neurons (less than one million) mainly
742 localized in the encephalic trunk and comprising adrenergic/noradrenergic and dopaminergic
743 neurons. These neurons innervate large parts of the brain through a massive arborization of
744 their axons. They can subservise both simple computational task (such as indicating the
745 presence or absence of a reward) and tonic activity on target regions, such as the striatum and
746 the cortex, thereby controlling wakefulness and attention.

747 **Polysomnography.** An overnight sleep study based on neurophysiologic parameters
748 (EEG, electrooculography, electro-myography), respiratory patterns, pulse oxymetry, heart
749 rate. In clinical practice it is used, for example, for the diagnosis of Sleep Apnea and the
750 characterization of sleep disturbances.

751 **Actigraphy.** Usually a portable device (e.g. in the form of a bracelet) equipped with
752 motion sensors (accelerometers, gyroscopes, GPS), aimed at recording and characterizing the
753 motor activity and posture of a subject during the day or over several days. Step-meters are a
754 cheap and simple type of actigraphic recordings. Since sleep is accompanied by specific
755 postures (usually supine position) and immobility, actigraphic recordings can be used as a
756 first approach to study 24h sleep patterns in large populations, being inexpensive and
757 comfortable to wear (as opposed to polysomnography).

758 **Sleep apnea.** This is a common respiratory problem characterized by recurrent
759 episodes of apnea/hypopnea (no or reduced airflow) during sleep. The apneic episodes can
760 originate from a dysfunction of the brain respiratory centers (central sleep apnea) or from
761 alteration of the upper airways (e.g., pharynx and larynx) or the tone of their muscles
762 (peripheral sleep apnea).

763 **Dysautonomia.** Altered function of the autonomic nervous system (sympathetic and
764 parasympathetic) with consequent maladaptive control of orthostatic blood pressure,
765 digestion, and bladder emptying.

766 **Force plates.** A device to study where the body weight is released onto the floor over
767 time, and its magnitude. The position of the sum of all forces acting between the body and the

768 floor can be measured by force plates and is called Center of Pressure. The position of the
769 Center of Pressure changes over time during movements (such as walking) or even during
770 simple stance.

771 **Accelerometers.** Devices measuring the acceleration of an object over time. These
772 sensors are usually very small and can be applied onto the hand to measure hand tremor.
773 Even the widely available smartphones or game controllers may serve for this purpose.

774 **Blood-brain barrier (BBB) and blood-cerebrospinal fluid (BCB) barrier.** The
775 phenomenon by which many substances (such as India ink) and drugs injected into the blood
776 remain within the cerebral capillary bed without entering into the parenchyma (BBB) or the
777 cerebrospinal fluid (BCB). The anatomical substrate for this phenomenon is thought to
778 involve the peculiar structure of the brain capillary endothelium and the presence of a
779 pericapillary glial sheet.

780 **NREM sleep.** Using EEG it is possible to appreciate the oscillatory dynamics of
781 sleep, which is composed of 4-5 cycles of a long period without eye movements (non-rapid-
782 eye movements: NREM) followed by short rapid eye movement stage (REM). The NREM
783 state is further composed of progressive EEG alterations which can be classified in 4 stages.

784

Table 1. List of uremic neurotoxins uncleared or insufficiently cleared by dialysis and current therapeutic regimens. The impact on the brain is analyzed on cellular/tissue scale, intermediate phenotype scale and behavioral scale. BBB+: the toxin crosses the blood brain barrier; BBB-: the toxin does not cross the BBB (predicted from <https://www.cbligand.org/BBB/>). NA: data not available

Name	Impact on the CNS			Solubility- protein bound; BBB crossing
	Microscopic scale (Cells/tissue)	Intermediate phenotypes	Behavioral scale	
Asymmetric Dimethyl Arginine (ADMA)	Endothelial dysfunction ²¹⁰	Vascular brain injury ²¹⁷ , slower gait speed ²¹⁸	Cognitive impairment	Water-soluble BBB-
beta-2-microglobulin	NA	NA	NA	Middle BBB-
3-Carboxy-4-methyl-5-propyl-2-furanpropanoic acid (CMPF)	Inhibition of brain-to-blood transport of metabolites ²¹¹	Sleep ²¹⁹		Protein-bound BBB+
Cystatin C	NA	Glymphatic solute clearance ²²⁰	NA	Middle BBB-
FGF23	Endothelial	NA	Memory	Middle

	dysfunction ²¹²		deficits ¹⁵¹	BBB-
Hippuric acid	Endothelial dysfunction ²¹³	BBB dysfunction	Uremic encephalopathy	Water-soluble BBB+
IL-1 beta	Neurodegeneration of dopamine neurons ¹⁹⁵	NA	Executive function, memory	Protein-bound BBB-
IL-6, TNF-alpha	Neuroinflammation ¹⁹⁶	Reduced glymphatic drainage ²¹⁴	NA	Middle BBB-
Indole-3-acetic acid	Effect on neuronal stem cells ¹⁹⁷	NA	NA	Protein-bound BBB+
Indoxylsulphate/p-cresylsulphate	Neuroinflammation and altered glial function ¹⁹⁸	NA	Cognitive impairment ²²¹	Protein-bound BBB+
Leptin	Neuroprotective; apoptosis in neural stem cells ; adiposity-dependent neurotoxicity ^{199,200}	NA	NA	Protein-bound BBB-
Methylglyoxal	Effects on neural stem cells, Amyloid deposition ^{201,202}	Lower gray matter	Memory, executive functions ²⁰²	Protein-bound BBB+
Neuropeptide Y	Endothelial	Sleep	NA	Middle

(NPY)	dysfunction ¹⁴⁶	regulation		BBB+
Parathyroid hormone (PTH)	NA	Gait instability ²¹⁵	NA	Middle BBB-
Spermidine/putrescine	NA	NA	NA	Protein-bound BBB-
Putative kidney neurotrophins				
Erythropoietin	Increases brain aquaporin 4 (glymphatic pathway) ²⁰³	Neuronal oxygenation	Improves behavioral deficit	Middle BBB-
Hydrogen sulfide (H ₂ S)	Promotes neuronal stem cell differentiation; Neuroprotective effects ^{204,205}	NA	Improves behavioral deficit	Water-soluble BBB+
Uric acid	Protective on dopamine system; Promotes neuronal stem cell differentiation ^{206,207}	Increases brain perivascular spaces (glymphatic pathway) ²¹⁶	NA	Water-soluble BBB+
Vitamin D-calcitriol	Decreases neuroinflammation; promotes neurogenesis ^{208,209}	NA	Maintains global cognitive performance ²²²	Protein-bound BBB+

786

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789

790 **Conflicts of interest**

791 All authors report no conflict of interest

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794 **References**

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1315 **Figure Legend**

1316 **Figure 1.** Schematic representation of the evolution of mild cognitive impairment (MCI) as a
1317 function of CKD stage at fixed age (A) and as a function of age (B). The effect of replacement
1318 therapies (HD, PD) in the end stage phase (ESRF) are also reported. A: The percent of mild
1319 cognitive impairment, screened with tests such as the Mini Mental State Examination (MMSE)
1320 or the Montreal Cognitive Assessment (MoCA), increases as a function of CKD stage/eGFR
1321 (and possibly as a function of the time spent in that stage). B: the risk for MCI increases as a
1322 function of time (age) in the general population. In patients with ESRF treated with
1323 hemodialysis (HD) the risk remains greatly above the general population. Peritoneal dialysis
1324 (PD) gives some advantage. Patients with mild CKD and kidney transplantation restores the
1325 slope of the risk curve towards that of the general population; however, the initial gap
1326 developed before transplantation is not “repaired” and therefore average cognitive
1327 performances in transplanted patients remain below the non-CKD population. Lines are
1328 estimates based on selected studies reported in Supplementary data. The confidence intervals
1329 are derived from the variations among selected studies.

1330

1331 **Figure 2.** Schematic representation of brain modifications accompanying chronic kidney
1332 disease (CKD), possibly mediated by uremic neurotoxins or lack of kidney neurotrophins.
1333 Catecholamine neurons in the encephalic trunk/hypothalamus may be particularly sensitive to
1334 the uremic milieu, thereby mediating the alterations in sleep patterns, mood, attention. These
1335 may in turn impinge on memory, thus giving the emergence of Mild cognitive impairment
1336 (MCI) and then dementia. LC: locus coeruleus. NE: norepinephrine neurons; 5HT: serotonin
1337 neurons; DA: dopamine neurons; SN/VTA: Substantia Nigra/Ventral Tegmental Area; H:
1338 histamine neurons; TM: tuberomammillary (hypothalamus), Ach: acetylcholine neurons; PFC:
1339 prefrontal cortex.

1340

1341 **Figure 3.** The hierarchical organization connecting CKD to behavior. Cognitive impairment
1342 is a complex behavioral pattern that can be screened using questionnaires and summarized in
1343 a single score but is actually a multidimensional phenomenon comprising multiple cognitive
1344 domains. Therefore, its relation with the direct effects of CKD (e.g. the biochemical changes
1345 in plasma) is complex and difficult to understand. Intermediate phenotypes are quantitative
1346 phenotypes connected to the complex behavioral alterations, but with simpler biological
1347 substrates, which are more easily connected to the molecular derangements of CKD.

1348

1349

1350 **Figure 4.** Uremic neurotoxins enter the brain via the blood brain barrier (BBB) and blood-
1351 CSF barrier (BCB) and leave the brain via the glymphatic system that is a polarized brain
1352 fluid transport system that connects with meningeal and peripheral lymphatic vessels for
1353 export of metabolic waste. CSF in the subarachnoid space is driven into the periarterial space
1354 by arterial pulsatility and mixes with interstitial fluid thus dragging waste product not only for
1355 export along the perivenous spaces but also within different areas of the brain including NPC
1356 niches, located within the sub granular (SGZ) zone and the sub ventricular zone (SVZ).
1357 Within these NPC niches uremic neurotoxins might interfere with proper functioning of
1358 endogenous NPCs, such as neurogenesis and homeostatic functions, thus further contributing
1359 perturb brain tasks during CKD.

1360

1361 **Figure 5.** Mechanism of action of some of the uremic (neuro)toxins and their interaction with
1362 genetic predisposing factors.

Figure 1

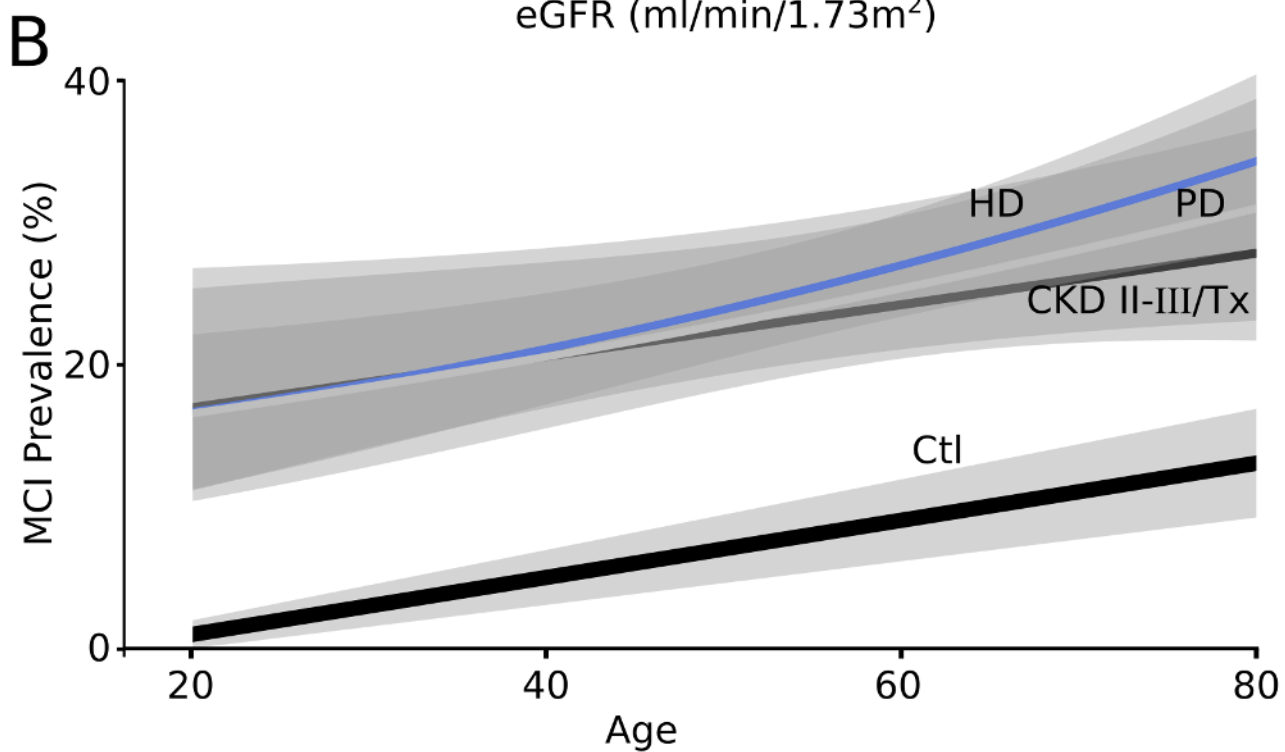
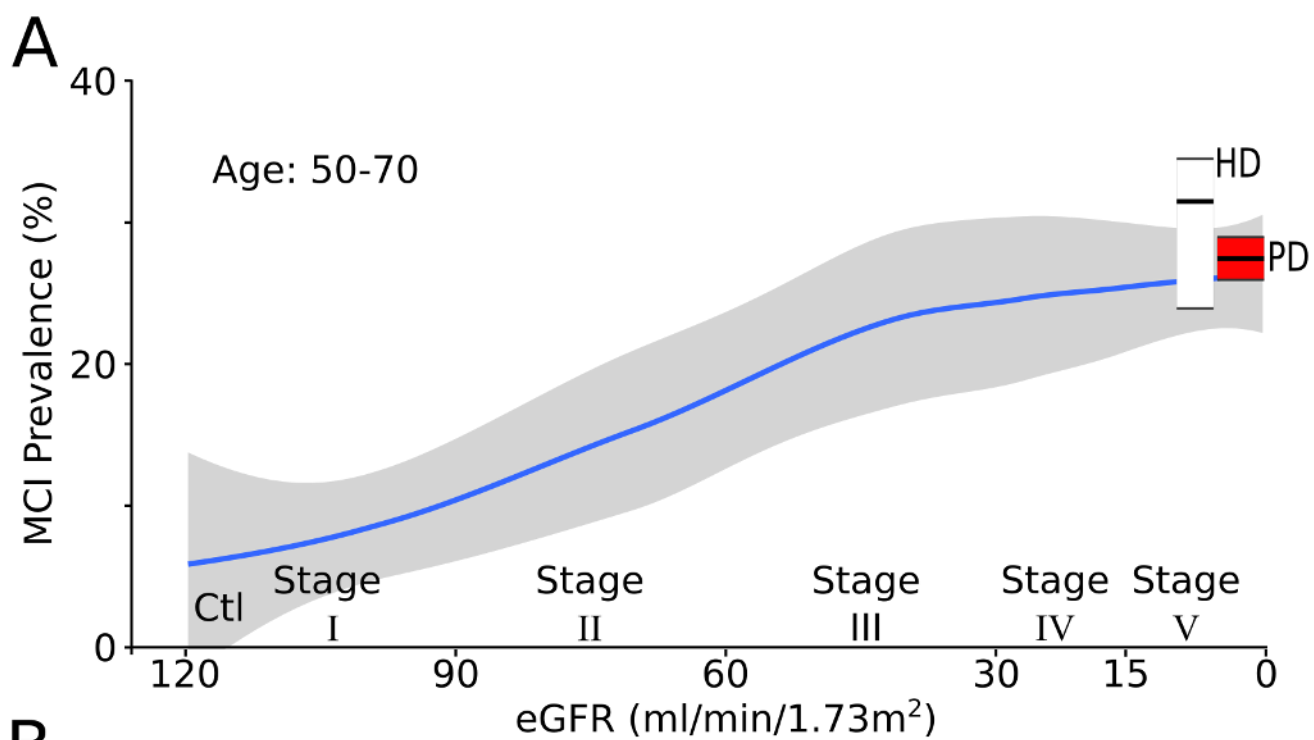


Figure 2

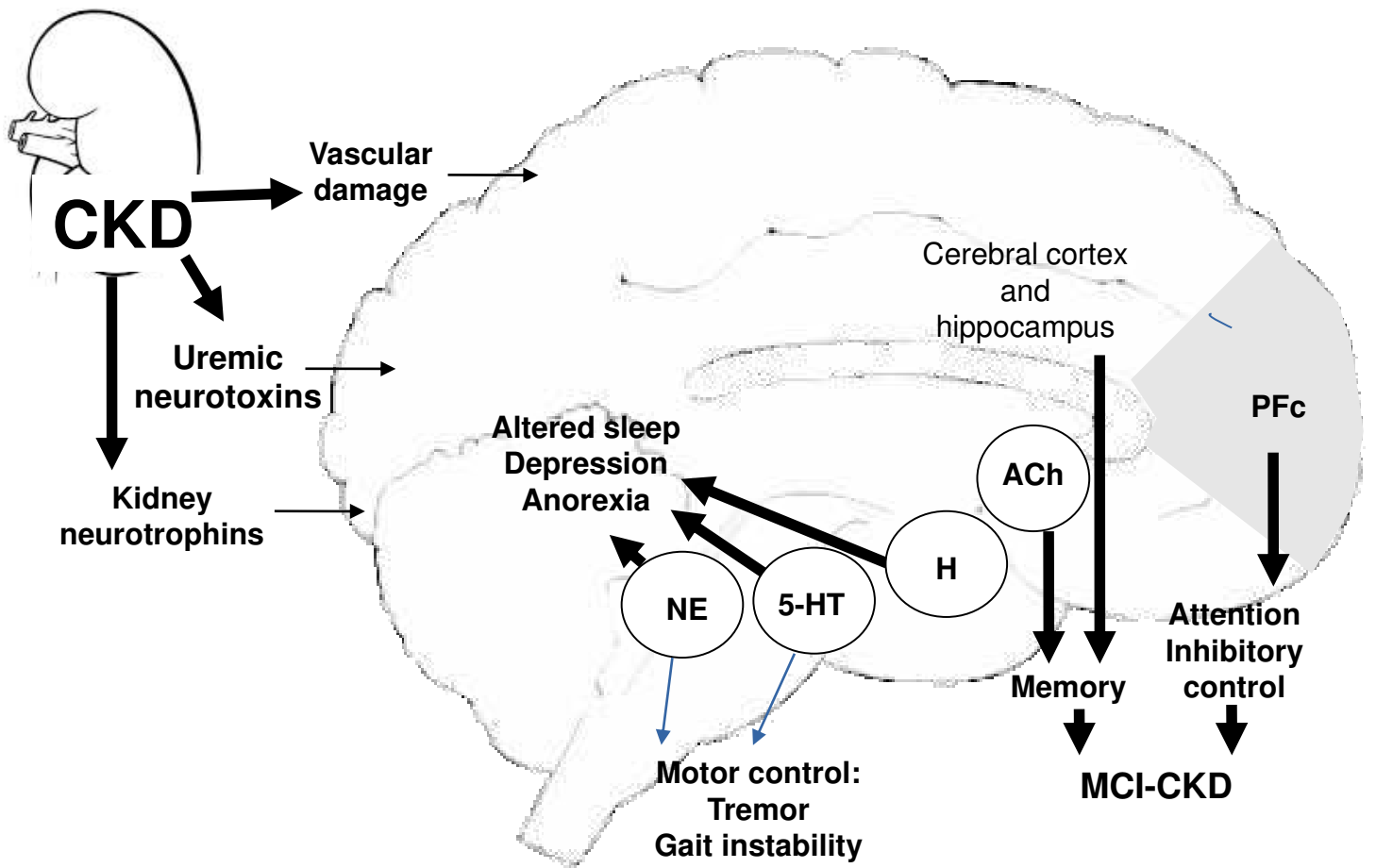


Figure 3

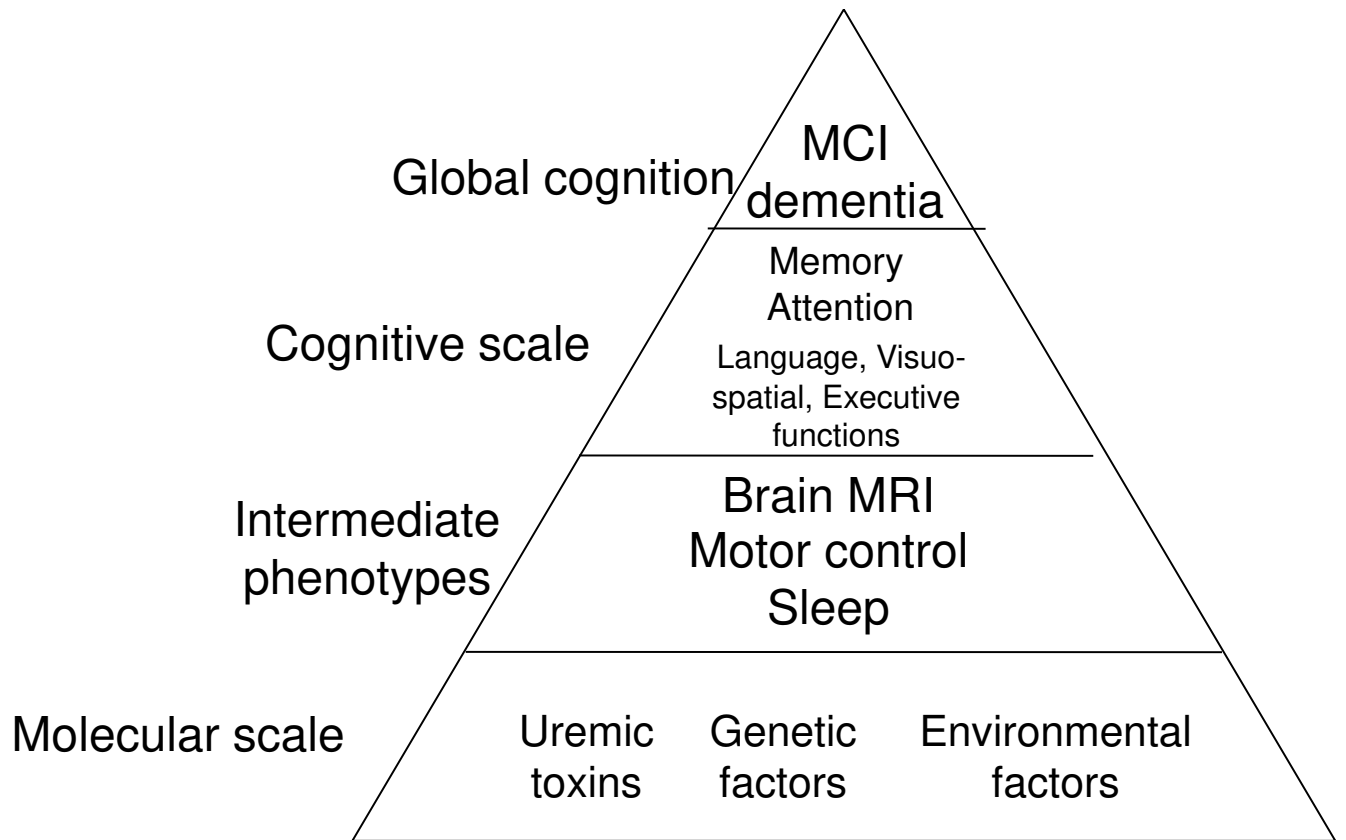


Figure 4

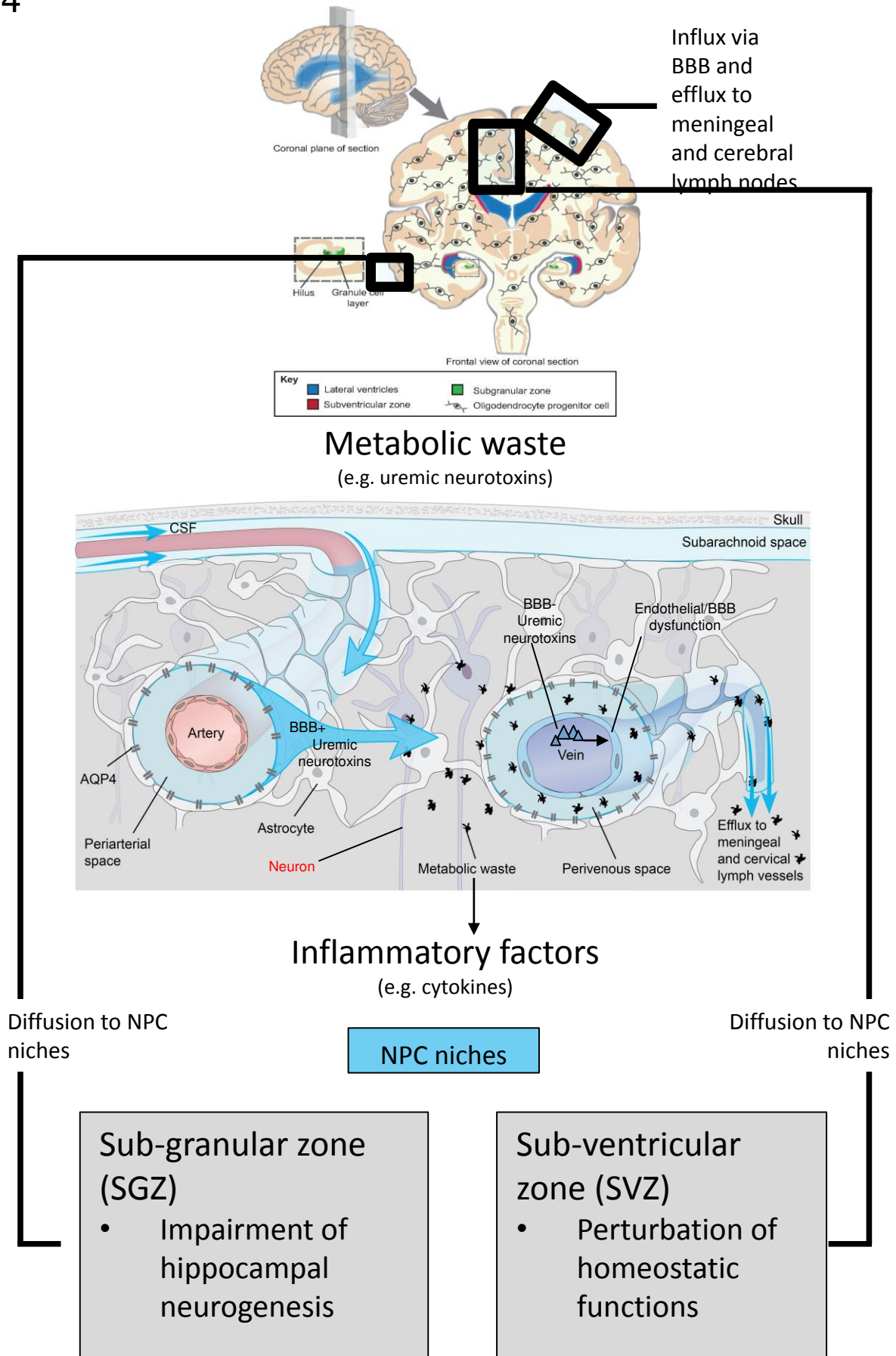


Figure 5

