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# Mechanisms regulating zygotic genome activation

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# Abstract

Following fertilization, the two specified gametes must unite to create an entirely new organism. The genome is initially transcriptionally quiescent, allowing the zygote to be reprogrammed to a totipotent state. Gradually, the genome is activated through a process known as the maternal-to-zygotic transition (MZT), which enables zygotic gene products to replace the maternal supply that initiated development. This essential transition has been broadly characterized through decades of research in several model organisms. Yet, we still lack a full mechanistic understanding of how genome activation is executed and how this activation relates to the reprogramming of the zygotic chromatin architecture. Recent work highlights the central role of transcriptional activators and suggests that these factors may coordinate transcriptional activation with other developmental changes.

# Introduction

During the first hours of animal development, the differentiated germ cells, the egg and the sperm, must be reprogrammed to a totipotent state. This process ensures that the newly formed zygotic genome can subsequently drive the differentiation of all the diverse cell types of the adult animal. This efficient reprogramming relies on maternally supplied RNAs and proteins that have been stockpiled in the oocyte. The ability of these maternal products to drive reprogramming was demonstrated more than half a century ago by John Gurdon. In a ground-breaking experiment, Gurdon generated the first cloned frog by transplanting the nucleus of a somatic cell into an enucleated egg<sup>1</sup>. Although similar somatic cell nuclear transfer (SCNT) experiments have enabled the successful cloning of mammals<sup>2</sup>, the process is inefficient, which suggests that additional epigenetic factors prime the paternal and maternal genomes for the transition to totipotency following fertilization.

Competing interests

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The zygotic genome remains transcriptionally silent while reprogramming takes place<sup>3,4</sup>. However, for the embryo to continue developing beyond this initial reprogramming phase, the zygotic genome must be expressed. Transcriptional control is passed to the zygote through a process known as the maternal-to-zygotic transition (MZT), during which the degradation of maternal products is coordinated with zygotic genome activation (ZGA)<sup>4</sup> (Box 1). There are many parallels between this rapid and efficient developmental transition and experimental reprogramming in culture<sup>5</sup>. Thus, understanding the mechanisms that underlie genome activation will inform our efforts to direct cellular reprogramming in culture, offering tremendous potential for both the modelling and treatment of disease.

The MZT is conserved across the kingdom Animalia. In many animals, this massive transcriptional shift coincides with changes to the cell cycle that are referred to as the midblastula transition (MBT)<sup>4</sup>. Prior to ZGA, embryos undergo rapid cellular divisions, switching between DNA replication (S phase) and division (mitosis) without pausing in gap phase<sup>6</sup>. As the MZT nears completion, the division cycle slows and a gap phase is introduced, providing cells time to grow prior to the next division. Collectively, these changes prepare the embryo for gastrulation, during which cells begin to migrate and differentiate into the major germ layers of the animal<sup>4</sup>.

In recent years, technological innovations have dramatically improved our ability to interrogate the processes that govern ZGA (Figure 1, Box 2). New live-imaging methods allow the expression of individual genes to be tracked with unprecedented spatial and temporal precision<sup>7,8</sup>. Likewise, the increased availability of high-throughput sequencing has fostered numerous assays that allow the transcriptome<sup>9</sup>, transcription-factor binding<sup>10</sup>, and chromatin structure<sup>11-13</sup> to be analysed genome wide. With the advent of single-cell and low-input sequencing methods<sup>14-18</sup>, both ZGA and the chromatin remodelling that accompanies it have been studied with increasing resolution.

In this Review, we discuss the mechanisms that regulate genome activation, focussing on aspects that have been studied in multiple model species (Figure 2) and highlighting the transcription factors at the centre of this process. We will also discuss the dynamics of ZGA, models of ZGA timing, and the interplay between ZGA and chromatin remodelling. For a more comprehensive discussion of maternal RNA degradation<sup>5</sup>, cell-cycle remodelling<sup>6</sup>, or chromatin dynamics during the MZT <sup>19,20</sup>, we direct you to current reviews.

# Models and mechanisms of ZGA timing

ZGA is not a single event, but rather a period over which transcription is gradually activated<sup>21-23</sup>. It is characterized by two transcriptional waves: a minor wave that occurs during the early cleavage divisions and a major wave that coincides with the first division-cycle pause in many species<sup>4</sup>. The timing of these waves and the number of division cycles varies widely across animals, but within species the process is tightly controlled and the timing is highly reproducible. Rapidly developing species like worms (*Caenorhabditis elegans*), frogs (*Xenopus laevis*), fish (*Danio rerio*), and flies (*Drosophila melanogaster*) complete the MZT and enter gastrulation only a few hours after fertilization. By contrast, in slower developing mammals such as mice (*Mus musculus*) and humans, the MZT takes one

or more days<sup>4</sup> (Figure 2). This difference between rapidly and slowly developing animals is thought to stem from the nature of the egg<sup>6</sup>. An egg that is abandoned in a predator-laden environment has different needs than one that is protected in a uterus and externally provided with nutrients. Of course, important differences exist within these simplistic categories. In the fly embryo, for example, cytokinesis is deferred in favour of speed; nuclei divide in a common cytoplasm until the end of the MZT, when cellularization takes place<sup>4</sup>. Although the particular needs of an egg dictate different modes of embryogenesis, many fundamental processes are conserved, and in all animals the accurate timing of the onset of ZGA depends on several intricately coordinated mechanisms.

#### Models that explain ZGA timing.

Delayed transcriptional activation of the zygotic genome is thought to be instrumental in allowing the genomes of the sperm and egg to combine and be reprogrammed to totipotency. Nonetheless, the reason for this initial transcriptional quiescence is not fully understood. In the simplest form, two mechanistic models exist to explain the lack of transcription at fertilization: (1) proteins required for transcriptional activation are not present or are inactive; or (2) all the factors required to drive activation are present, but inhibitors prevent expression of the genome

The first major model to explain the timing of ZGA was based on the idea that the early division cycles could regulate activation of the zygotic genome through changes in the ratio of nuclear to cytoplasmic components. In many species, the volume of the embryo does not change during the MZT, that is the volume of cytoplasm within the embryo remains constant. By contrast, during each division cycle both the nuclear volume and the nuclear content, in the form of DNA, increase. Collectively, this leads to a progressive increase in the nucleocytoplasmic ratio (N:C ratio). A landmark study showed that ZGA takes place two cell cycles earlier in polyspermic frog embryos compared with embryos fertilized by a single sperm<sup>24</sup>. The authors proposed that the embryo's increasing supply of nuclear material could titrate a maternally supplied repressor to gradually relieve transcriptional repression (Figure 3A). This model was supported by a series of additional experiments in frogs. In these studies, experimentally increasing the DNA content of the embryo by injecting plasmid DNA resulted in premature ZGA<sup>25</sup>, whereas increasing the cytoplasmic volume using cleavage inhibitors or physical constriction caused a delay in ZGA<sup>24</sup>. Similarly, transcription was activated early in mutant zebrafish in which the N:C ratio was artificially increased by creating dense patches of DNA through defects in chromosome segregation<sup>26</sup>. Despite this experimental evidence, the universality of this model was challenged by the discovery that haploid fly embryos execute ZGA with proper timing<sup>27</sup>. A detailed dissection using compound chromosomes revealed that only a subset of fly genes responds to the N:C ratio<sup>28</sup>. Similarly, although this ratio influences morphological changes in mice, it does not affect transcription on a global scale<sup>29</sup>.

A counterpart to the N:C ratio driven model, known as the maternal-clock model, posits that fertilization or egg activation initiates a biochemical cascade that serves as a molecular timer. The embryo receives many factors as maternally deposited mRNAs that are often held in a dormant state by inhibitory RNA-binding proteins. Even after this repression is released,

it takes time for these transcripts to be polyadenylated, translated, and transported to the nucleus. Thus, in theory, accumulation of any essential factor required to either activate transcription or alleviate repression could contribute to ZGA timing (Figure 3B). A few such factors have been identified to date, including components of the basal transcriptional machinery<sup>30,31</sup>, the fly maternal clearance factor Smaug<sup>32,33</sup>, and transcriptional activators in zebrafish and flies<sup>34-36</sup>.

Of course, these models are not mutually exclusive, and it is becoming increasingly clear that multiple processes coordinately regulate ZGA timing. Indeed, characterisation of the molecules involved in ZGA suggests that titration of maternal repressors, accumulation of transcriptional activators, and division-cycle lengthening collectively create a permissive environment for ZGA to occur.

#### Titration of maternal repressors.

The N:C ratio model posits the existence of one or more titratable, maternally supplied repressors (Figure 3A). Although gene-specific repressors have long been known<sup>37-39</sup>, this model predicts the existence of a highly expressed repressor that strongly binds DNA with little sequence specificity. The core histone proteins, H2A, H2B, H3, and H4, form an octamer around which DNA is wrapped to form nucleosomes, and together they fulfil these criteria, as they bind ubiquitously across the genome and inhibit transcription by limiting the ability of regulators to access DNA<sup>40</sup>. In frogs, levels of the core histone H3 regulate transcription both *in vitro* and in the embryo, supporting a role for histones in transcriptional repression immediately after fertilization<sup>41</sup>. Levels of core histones have similarly been shown to regulate ZGA in zebrafish, but in contrast to the original N:C ratio model, it is the levels of soluble, and not DNA-bound histones, that determine the timing of activation<sup>42</sup>. The density of histones bound to DNA remains steady through the early cleavage cycles; however, a drop in the concentration of unbound nuclear histones coincides with ZGA<sup>42</sup>. Free histones may initially buffer against premature transcription, but as activators accumulate and histone concentrations are reduced, the balance shifts in favour of activation. Thus, the increase in both nuclear content (DNA) and nuclear volume result in the titration of maternal repressor and transcriptional activation<sup>41-44</sup>.

#### Accumulation of transcriptional activators.

In addition to the titration of a maternally deposited repressor, the early embryo may lack one or more essential factors that must accumulate to enable transcription (Figure 3B). A classic example of a factor that is rate-limiting for ZGA is TATA-binding protein (TBP), a general transcription factor that, as part of the TFIID complex, promotes formation of the RNA polymerase II preinitiation complex<sup>45</sup>. In frogs, translation of TBP is upregulated immediately before the onset of the major wave of transcription and precocious TBP activity results in early ZGA<sup>31</sup>. In worms, another component of TFIID, TAF-4, is sequestered in the cytoplasm by repressor binding until a phosphorylation cascade triggered by fertilization results in its timely release and the onset of ZGA<sup>30</sup>. However, a molecular timer based on basal transcription factors does not explain how the appropriate subset of genes is selected for activation. Both general transcription factors that directly license the genome for

#### Division-cycle lengthening.

In many species, early embryonic development is characterized by a series of rapid division cycles, and the slowing of this cycle is coordinated with the major wave of ZGA (Figure 2). Since transcription is largely shut off when nuclei enter mitosis<sup>46</sup>, the rapid cleavage cycles of the early embryo leave only brief windows of time for transcription<sup>47,48</sup>. Fittingly, genes expressed very early in development tend to be short and lack introns<sup>47,49-51</sup>. The transcriptional output of the genome gradually increases as the division cycle slows, culminating in the major wave of ZGA as cells enter the first G2 pause. These observations suggest that division-cycle slowing could set the pace of genome activation (Figure 3C). In frogs, elongating the early cell cycle results in premature transcription, supporting this model<sup>52,53</sup>. By contrast, in zebrafish and flies, blocking division-cycle progression does not affect ZGA timing<sup>54-56</sup>. The relationship between these processes is likely complex. For example, in flies zygotically expressed inhibitors are required to slow the cycle<sup>57</sup>. Thus, although a rapid division cycle places limits on transcription, division-cycle slowing is interconnected with other mechanisms of ZGA regulation in some species.

# Chromatin remodeling in early embryos

In eukaryotes, the genome requires considerable compaction to fit inside the nucleus. DNA is spooled around octamers of histones to form nucleosomes, which are coiled into fibers and looped into higher-order structures<sup>58</sup>. In addition, post-translational modifications to these histones can influence chromatin structure. This organization modulates the ability of the transcriptional machinery to access the DNA, and thus, has a central role in gene regulation<sup>59</sup>.

After fertilization, chromatin from the sperm and the egg is unified to create an entirely new genome. Early transcriptional quiescence of the zygotic genome allows the chromatin, which comes from two distinct cell types, to be remodeled to a naive, globally accessible state. It remains unclear whether activation of the zygotic genome is instructive to the changes in the underlying chromatin or whether the changes in chromatin are required for genome activation. However, it is clear that these processes are intimately linked. In this section, we discuss the multiple levels of chromatin reorganization that occur in the early embryo, focusing on the general features that have been studied in multiple species (Figure 4). We highlight events that are shared between the maternal and paternal genomes. Nonetheless, it is important to recognize that these two distinct genomes undergo different processes as they are brought together in the zygote, and that in many species the paternal genome is repackaged through the exchange of histones for protamines<sup>19,20,60,61</sup>.

#### **DNA Methylation.**

Methylation of cytosine to 5-methylcytosine promotes transcriptional silencing during processes such as genomic imprinting<sup>62</sup> and X-chromosome inactivation<sup>63</sup>. Evidence that human and mouse genomes undergo global demethylation prior to ZGA suggests that this

mark could also contribute to the transcriptional silence of the early embryo<sup>64-66</sup>. By contrast, both frog and zebrafish genomes remain heavily methylated throughout early development<sup>67-70</sup>. In zebrafish, DNA methylation of the maternal genome is widely reprogrammed as the oocyte-specific methylation pattern is erased and replaced with one similar to that of the inherited paternal genome<sup>69,70</sup>. Although recent work suggests that DNA methylation may be involved in recruitment of the zebrafish genome-activating transcription factors Nanog and Pou5f3 to distal regulatory elements<sup>71,72</sup>, methylation at promoters is anti-correlated with accessible chromatin and early zygotic expression of the associated genes<sup>68-70</sup>. By contrast, methylated promoters are robustly transcribed during ZGA in frogs<sup>68</sup>. Furthermore, the genomes of worms and flies possess limited amounts of DNA methylation, suggesting that the functions of DNA methylation during ZGA may not be conserved<sup>73,74</sup>. Thus, DNA methylation is dynamic through the MZT, but the role of this mark in regulating activation of the zygotic genome remains unclear and is species dependent.

#### Histone modifications.

Post-translational modifications chemically alter histone tails in ways that impact nucleosome stability and the recruitment of transcriptional regulators<sup>59</sup>. Chromatin immunoprecipitation coupled with high-throughput sequencing (ChIP-seq) time course data have revealed widespread changes in the abundance of these marks over the MZT. Here we focus on a subset of modifications that have been broadly studied in multiple organisms: histone acetylation, which is associated with active gene expression, histone H3 lysine 4 trimethylation (H3K4me3), which is associated with active promoters, and H3K27me3, which is associated with repression<sup>59</sup>.

Histone acetylation is generally associated with chromatin accessibility and active transcription. As might be predicted, in many species histone acetylation increases during the MZT and is associated with genes that are activated during ZGA (Figure 4A). In flies, histone H4 lysine 8 acetylation (H4K8ac), H3K18ac, and H3K27ac are enriched at the transcription start sites (TSS) of genes expressed during the minor and major wave of genome activation<sup>75</sup>. Similarly, in mice and zebrafish, published<sup>16,76</sup> and preliminary<sup>35</sup> data indicate that H3K27ac increases on chromatin from the oocyte until the stage at which the genome is activated. Thus, an increase in histone acetylation marking actively transcribed genes appears to be a shared featured of ZGA and may be important in creating accessible regions of chromatin.

H3K4me3 is a canonical mark of activation that is often found at the TSS of genes<sup>77</sup>. In zebrafish, H3K4me3 is detected at many promoters prior to ZGA and appears to poise genes for activation<sup>78,79</sup>. Similarly, in frogs H3K4me3 emerges prior to genome activation but increases as the embryo progresses through gastrulation<sup>80,81</sup>. By contrast, in flies few promoters are marked with H3K4me3 prior to the major wave of ZGA, indicating that early transcription during the minor wave can occur in the absence of this chromatin signature<sup>75,82</sup>. Despite some differences, a dramatic increase in H3K4me3 accompanies the major onset of transcription in all three of these species (Figure 4B)<sup>75,78-80</sup>. This trend appears to be reversed in mice, where early embryos possess unusual, broad (5- to 10-kb)

domains of H3K4me3. As the genome becomes transcriptionally active these domains are largely restricted to conventional TSS-associated peaks (Figure 4B), and this reprogramming requires transcription<sup>16-18</sup>. Notably, knockdown of the demethylases responsible for this pruning causes developmental arrest and downregulation of many ZGA genes<sup>16,18</sup>, suggesting that these broad domains could play a role in preventing premature transcription prior to ZGA.

In contrast to the association of H3K4me3 with active transcription, H3K27me3 is correlated with transcriptional repression. Similarly to H3K4me3, a substantial increase in H3K27me3 is observed in many species during the MZT<sup>18,75,78-80,83</sup> (Figure 4B). Thus, the lack of transcriptional activity in early development is not globally imposed by this repressive histone modification. In both flies and worms, H3K27me3 is transmitted from the oocyte to the embryo and may function to regulate gene expression during  $ZGA^{83,84}$ . Nonetheless, like in other species there is a dramatic increase in H3K27me3 in flies as the genome is activated<sup>75</sup>. In most species, the increase in methylation at this residue occurs later in development than the increase in H3K4me3<sup>18,78-80</sup>. In fact in zebrafish, a number of regions that contain H3K4me3 are subsequently modified with H3K27me3<sup>78,79</sup>. The cooccurrence of the generally activating mark H3K4me3 and the repressive H3K27me3 has been termed bivalency and was first identified in embryonic stem cells<sup>85</sup>. This bivalent mark poises promoters of developmentally regulated genes in pluripotent cells<sup>86</sup> and may similarly poise promoters in the zebrafish embryo. Such bivalent domains have not been identified in mice, flies or frogs during the MZT<sup>17,18,75,80,87</sup>, but other combinations of posttranslational modifications may function to poise regulatory regions in these organisms. For the subset of histone modifications that have been studied in multiple organisms, it is clear that although there are interspecies differences, all examined genomes exhibit global shifts in their histone-modification profile as the embryo proceeds through the MZT. Future studies will be needed to resolve the causal relationship between these modifications and transcriptional activation.

#### Histone variants.

The constitution of a nucleosome can also be altered by replacing canonical histone proteins with histone variants<sup>88-92.</sup> The best-studied example is the germline-specific linker histone H1, which has been identified in multiple species. In flies, frogs, and mice, embryonic variants of linker histone H1 are replaced by their somatic counterparts at the major onset of ZGA (Figure 4C)<sup>93-95</sup>. These embryonic H1 variants are predicted to form less stable nucleosomes than their somatic counterparts and may, therefore, contribute to the naive chromatin environment of the early embryo. The importance of this variant has been demonstrated in flies, where a lack of embryonic H1 results in premature transcription and developmental arrest<sup>93</sup>.

More recently, a role for the histone variant H2A.Z (H2AFV in zebrafish) has been demonstrated to be instrumental in regulating DNA-methylation dynamics and transcription in zebrafish embryos<sup>96</sup>. Nucleosomes containing this histone variant and marked by H3K4me1 are anti-correlated with DNA methylation in sperm and function to protect regions of the genome from aberrant methylation. These 'placeholder' nucleosomes

similarly protect the early embryonic genome immediately after fertilization and play a role in directing the reprogramming of the DNA methylation state of the maternal genome. Misregulation of H2AFV localization results in aberrant gene expression, demonstrating a connection between histone variants, DNA methylation, and genome activation. Although this particular relationship between genome activation and chromatin reprogramming may be specific to zebrafish, placeholder nucleosomes may more generally serve as a platform for maintaining epigenetic information through early cell divisions<sup>96</sup>.

#### Nucleosome positioning and chromatin accessibility.

In zebrafish, reorganization of nucleosome positioning coincides with widespread genome activation. Well-positioned nucleosome arrays appear at the promoters of genes, marking many for future activation<sup>97</sup>. Recently, chromatin accessibility profiling has revealed that defined regulatory regions are established concomitantly with transcriptional activation in flies<sup>98</sup>, zebrafish<sup>71</sup>, mice<sup>99,100</sup>, and humans (Figure 4D)<sup>14,101,102</sup>. Early mouse embryos possess broad regions of open chromatin that are narrowed down to mark promoters by the major wave of ZGA<sup>100</sup>. Intriguingly, these broad regions often encompass transposable elements that are transiently expressed during ZGA in mice<sup>100,103</sup>. This burst of transposable element expression was initially assumed to be a side effect of global chromatin accessibility, however recent work suggests that it may contribute to both chromatin opening<sup>104</sup> and early gene expression<sup>105,106</sup>.

#### Chromatin domains.

Condensed chromatin fibers form loops that are clustered together into topologically associating domains (TADs)<sup>107,108</sup>. By keeping specific promoters in the proximity of enhancers or silencers, TADs play an important role in transcriptional regulation<sup>109</sup>. Recently, chromosome conformation capture (3C) techniques, such as HiC, have been used to assess changes in these 3D chromatin contacts over the course of the MZT<sup>110</sup>. In fly embryos, TAD boundaries are gradually established in concert with gene activation, but the formation of these boundaries does not depend on transcription (Figure 4E)<sup>111,112</sup>. Likewise, in mice, the genome lacks tightly defined TADs until after ZGA, and TADs are formed even when transcription is inhibited<sup>113,114</sup>. Although these studies support the notion that metazoan genomes are largely unstructured during the initial phase of embryogenesis, experiments in zebrafish demonstrate that prior to ZGA the genome is highly structured and that this organization is largely erased as the embryo transitions through the MZT<sup>115</sup> (Figure 4E). Together these data suggest that the dynamics of TAD formation during the MZT is not conserved amongst metazoans, and that higher order chromatin structure is formed independently of transcription.

#### Activators direct gene expression

By binding to specific DNA sequences, transcription factors direct the transcriptional machinery to particular genes. This specificity is of critical importance during ZGA, when it is estimated that 12–15% of the genome is transcriptionally activated<sup>4</sup>.

The first identified master regulator of ZGA was discovered in flies<sup>116</sup>. This transcription factor, Zelda (ZLD), is maternally deposited as mRNA and is translationally upregulated in the hour leading up to ZGA<sup>36,116-118</sup>. ZLD is required for the expression of hundreds of genes during both the major and minor waves of ZGA, and without this essential factor, fly embryos die before completing the MZT<sup>116,118</sup>. Since ZLD orthologs are limited to the insect clade<sup>119</sup>, it was initially unclear whether there were factors that function analogously in vertebrates. However, two independent studies identified Nanog, SoxB1, and Pou5f3 (Oct4) as activators of zebrafish ZGA<sup>34,120</sup>. Interest in these factors was stimulated by their identification as the most highly translated transcription factors in zebrafish embryos immediately after fertilization<sup>34</sup>. These factors are homologues of the mammalian 'pluripotency factors', which are known for their ability to reprogram differentiated cells to a stem cell-like state<sup>121</sup>. Importantly, although they are not phylogenetically related to ZLD, these transcription factors share several functional characteristics. Like ZLD, the zebrafish activators are translated early in development allowing them to activate the earliest expressed genes and poise hundreds of additional genes for activation during the major onset of ZGA<sup>116-118,120</sup>.

Advances in low-input sequencing methods have recently led to the first discoveries of mammalian genome activators. One study in human embryos found that OCT4-binding sites are enriched in accessible regulatory regions during ZGA, and knockdown of this factor results in downregulation of hundreds of ZGA genes<sup>14</sup>. By contrast, OCT4 motifs are not enriched in accessible regions identified by other chromatin profiling methods, leaving the role of OCT4 in human ZGA unclear<sup>101,102</sup>. Importantly, Oct4 is not involved in ZGA in mice, where it is only required later in embryogenesis $^{14,122}$ . Instead, the binding motif for a lesser known pluripotency factor, Nfy, is enriched in open chromatin during ZGA in mice and Nfy is required for expression of many ZGA genes<sup>99</sup>. Humans and mice do share at least one family of genome activators known as the DUX transcription factors. Dux (mouse) and DUX4 (human) activate hundreds of ZGA genes in these species, including endogenous retroviral elements (ERV), such as MERVL in mice and HERVL in humans<sup>123-125</sup>. DUX genes are zygotically expressed as part of the initial wave of ZGA and the mechanisms by which they are activated remain unknown<sup>123</sup>. Thus, some genome activators are maternally deposited as mRNAs and translationally upregulated, whereas others are regulated at the level of transcription. In all cases, genome activator proteins are not present at fertilization, suggesting their activity must be carefully controlled to prevent premature transcriptional activation.

Activators have been identified in many species (Table 1), but it is clear that additional transcription factors remain to be identified. Nanog, Pou5f3, and SoxB1 are required to drive the minor wave of genome activation in zebrafish, but it is less obvious what activates the major wave of genome activation. By contrast, in mammals the DUX transcription factors are expressed from the zygotic genome, but what drives their initial transcription is unknown. In addition, to date no similar transcriptional activators have been identified in frogs. Together these gaps in knowledge highlight the continued need to identify additional factors that regulate genome activation.

#### Potential mechanisms of genome activators.

As discussed above, multiple factors have been identified that are essential for activating transcription from the zygotic genome, but for many it is unclear whether they function predominantly to select genes for expression or whether they also function to directly activate transcription. Indeed, ZLD is bound to thousands of regulatory regions at least one hour before the associated genes are activated<sup>117</sup>, suggesting that although ZLD binding selects genes to be expressed during ZGA, additional proteins are required to initiate transcription. Indeed, the widespread effect of identified activators of ZGA may stem from their ability to regulate chromatin structure and define regulatory regions (Table 1).

ZLD-binding sites are strongly correlated with regions of chromatin accessibility during the major wave of ZGA in flies<sup>117</sup>, and embryos that lack ZLD lose accessibility at many of these sites<sup>126,127</sup>. ZLD binding reduces the local nucleosome occupancy and may promote histone acetylation at the regulatory regions of early expressed genes<sup>75,126,127</sup>. Likewise, published<sup>66</sup> and preliminary data<sup>128</sup> suggest that Nanog and Pou5f3 are required for chromatin accessibility at enhancers of developmental genes during ZGA in zebrafish. Notably, recent preliminary work suggests that the binding of all three zebrafish activators (Nanog, Pou5f3, and SoxB1) is required to maintain nucleosome-free sites post-ZGA<sup>128</sup>. While knockdown experiments demonstrate that Nfy is needed for open chromatin during mouse ZGA<sup>99,129</sup>, Dux has only been shown to maintain sites of open chromatin in mouse embryonic stem cells (ESCs)<sup>123</sup>. Fittingly, the Nfy complex contains domains that interact with DNA in a histone-like manner<sup>130</sup>, allowing the complex to displace nucleosomes from DNA in vitro131. DUX4 can similarly displace histones in myoblasts and recruits the histone acetyltransferases p300/CBP to establish activating histone modifications<sup>132</sup>. Finally, although there is evidence that many of these factors function as 'pioneer factors' (Box 3), the CASE is arguably strongest for mammalian Oct4, which opens chromatin to promote induced pluripotent stem cell (iPSC) reprogramming<sup>133,134</sup>. Oct4 binds nucleosomal DNA both *in vitro* and in fibroblasts<sup>135</sup>, and recent work suggests that both Oct4 and Pou5f3 may function, in part, by recruiting the chromatin remodeller BRG1 (Smarca4a in zebrafish) to stabilize nucleosome positioning<sup>71,136</sup>. Thus, defining regions of accessible chromatin is a shared function of genome activators.

Genome activators may also influence the establishment of higher-order chromatin structure. Although TADs remain uncharted in human embryos, Oct4 and Sox2 have been shown to bind chromatin reorganization hotspots during iPSC generation and influence insulation strength at TAD borders<sup>137</sup>. In zebrafish embryos, these activators are enriched at sites bound by the architectural protein cohesin<sup>138</sup>, a key player in both ZGA and TAD formation<sup>107</sup>. Recent work suggests that ZLD also contributes to TAD boundary insulation and the formation of long-range contacts between active genes during ZGA<sup>111,112</sup>. Whereas loops between active genes are identified prior to the major wave of ZGA and are associated with ZLD binding, repressive loops are formed later<sup>112</sup>. These observations raise the possibility that genome activators help shape 3D genome reorganization by directing the binding of architectural proteins during ZGA.

Recently, single-molecule imaging has revealed that ZLD promotes formation of transient 'hubs' of another transcription factor, called Bicoid. Confinement of Bicoid to these hubs

creates sites of high local concentration that potentiate its binding to DNA<sup>139</sup>. Similar methods have revealed that Sox2 forms clusters of enhancers in ESCs<sup>140</sup>. Intriguingly, the transcriptional activation domains of both ZLD and Sox2 are predicted to be largely unstructured, which may contribute to this clustering function<sup>140,141</sup>. Based on these and emerging<sup>142,143</sup> studies, it is tempting to speculate that these transcription factors could broadly influence gene expression by forming hubs at chromatin boundaries. Nonetheless, the relationship between transcriptional hubs and TADs remains to be defined.

#### Misexpression of genome activators in development and disease.

Given the potent effects of activators on gene expression, it might come as no surprise that misexpression of these factors has serious consequences for the embryo. In some cases, these factors are essential for development. For instance, both lack of ZLD activity and excessive ZLD activity are lethal to the fly embryo<sup>116,144,145</sup>, demonstrating the need for precise regulation of this protein. In zebrafish, mutants that lack both maternal and zygotic *pou5f3* gene products display numerous developmental defects including delayed gastrulation and an inability to form endoderm<sup>146,147</sup>. However, the maternal and zygotic functions of this protein overlap such that mutants that lack only maternally provided *pou5f3* develop normally<sup>146,148</sup>. Similarly, zebrafish lacking maternal and zygotic *nanog* gene products fail to activate a number of zygotically expressed genes and die early in development, due in large part to defects in expression of genes required for the formation of the yolk syncytial layer<sup>149,150</sup>. However, zebrafish ZGA is only severely disrupted when at least two of the known genome activators are depleted in combination<sup>34</sup>. Thus, some genome activators function redundantly with other transcription factors or with their zygotic counterparts.

Long before the DUX proteins were implicated in ZGA, they were known for their role in facioscapulohumeral dystrophy (FSHD), an untreatable form of muscular dystrophy that progresses from the face to the lower limbs<sup>151</sup>. This disease is caused by misexpression of DUX4 in skeletal muscle cells, where it activates aberrant expression of germline- and stem cell-associated genes<sup>152-154</sup>. Activation of the pluripotency gene network is also a hallmark of cancer, where it may facilitate the proliferative potential of these cells<sup>155</sup>. Hence, the ability of genome activators to reprogram cells towards pluripotency also makes them potent drivers of tumor development. Accordingly, aberrant expression of Oct4, Nanog, and Sox2 have been associated with numerous forms of cancer<sup>156</sup>.

## **Conclusions and perspective**

In the transcriptional silence that follows fertilization, the genome is reprogrammed to prepare the embryo to give rise to a new animal. The transition from silence to widespread gene expression requires precise regulation. This is accomplished through several coordinated mechanisms, in which transcription factors play a central role. After fertilization, translational upregulation promotes accumulation of genome-activating transcription factors. In one model of ZGA timing, these factors begin to successfully compete with histones for DNA binding after reaching a critical threshold. Competition of this nature would integrate a readout of the N:C-ratio (histone concentration) with a readout

of the embryo's molecular clock (activator levels). However, this tidy model is likely oversimplified. Given that histones are newly synthesized in the early embryo<sup>157</sup>, their levels should also be regulated by molecular clock-based mechanisms. Regardless, competition for access to regulatory regions of individual genes would help explain differences in the timing of gene activation.

The same mechanisms that drive this transcriptional shift in the embryo function in other cellular reprogramming contexts, including the creation of iPSCs. Transcriptional profiling has revealed a remarkable overlap in the gene networks activated during iPSC generation and ZGA<sup>105,158</sup>. This overlap can be explained, in part, by the discovery that known master regulators of pluripotency also serve as genome activators in the embryo. These transcription factors direct chromatin remodeling in both of these reprogramming contexts, helping to erase the previous cell identity while creating a new one. These parallels demonstrate the ability of work in stem cell models to inform our understanding of embryogenesis, and vice versa. Despite our progress, the causal relationships between the major processes that accompany ZGA remain unclear, and the mechanisms by which many genome activators function have yet to be defined. For instance, although TADs form independently of transcription<sup>111,113,114</sup> and the artificial creation of chromatin loops drives gene expression in at least some contexts<sup>159</sup>, it has yet to be determined whether TAD formation is required for ZGA. Likewise, it is uncertain whether other chromatin changes are required for transcription or whether they are simply the byproduct of transcriptional activity<sup>160</sup>. Defining how transcription factors function during the initial stages of development will uncover the connections between chromatin remodeling, the mechanisms that govern ZGA, and other fundamental features required for developmental reprogramming.

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# Glossary

#### **Totipotent:**

The property of a cell with the capacity to form all the cells of an organism, including extraembryonic tissues.

#### **Chromatin:**

The complex of DNA, RNA and protein that comprise the chromosomes of eukaryotes.

#### **Zygotic:**

Relating to the diploid, fertilized egg cell (zygote) that results from the fusion of an egg and a sperm.

#### Germ layers:

The three layers of cells (ectoderm, mesoderm, and endoderm) that are formed during gastrulation in the early embryo and differentiate to give rise to all of the organs and tissues of the body.

#### **Cleavage divisions:**

The rapid, modified cell cycle of the early embryo, which consists of only M (mitosis) and S (replication) phases and omits G1 and G2 gap phases. These cycles occur in the absence of cell growth and therefore result in no change in the size of the embryo.

#### Nucleocytoplasmic ratio (N:C):

The ratio of nuclear content to the cytoplasmic content in a cell or embryo.

#### **Polyspermic:**

Refers to an egg that has been fertilized by more than one sperm, and, thus, contains three or more copies of each chromosome.

#### Haploid:

Having a single set of chromosomes. Most animals have diploid somatic cells (with two paired sets of chromosomes) but produce haploid gametes.

#### **Compound chromosomes:**

Chromosomes formed by the attachment of two homologs through a single centromere that are therefore inherited together through mitosis and meiosis. These can be used to generate embryos deficient for an entire chromosome.

#### **Protamines:**

Small, basic proteins that are used in the place of histones to help package DNA in the sperm of some species.

#### **Demethylation:**

The process by which a demethylase enzyme removes a methyl group from a molecule.

#### **Transposable elements:**

DNA sequences that can move from one position within the genome to another.

#### Topologically associating domains (TAD):

Three-dimensional chromosome structures within which regions of DNA physically interact with each other with higher frequency than with regions outside.

#### **MERVL:**

A family of endogenous retroviruses (ERV) expressed in mouse embryos during zygotic genome activation. The human versions are known as HERVL.

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#### Box1:

#### Decay of maternal transcripts is coordinated with ZGA

Transcriptional activation of the zygotic genome is coordinated with the degradation of the maternally deposited transcripts that control the initial stages of development. Like zygotic genome activation (ZGA), maternal mRNA clearance is a gradual process. Some transcripts are eliminated soon after fertilization, whereas others are degraded only after the major onset of transcription<sup>4</sup>. Depending on the species, 30–40% of maternally deposited mRNAs are eliminated by degradation, and overall the levels of up to 60% of maternal mRNAs are considerably reduced<sup>5</sup>.

Maternal mRNA silencing is controlled by a variety of different RNA-binding protein complexes, which recognize sequences in the maternal RNAs to promote their degradation via cleavage, deadenylation, and decapping<sup>5</sup>. Recently, novel regulatory mechanisms, such as suboptimal codon usage<sup>161,162</sup> and RNA modifications (including N<sup>6</sup>-methyladenosine<sup>163,164</sup>), have been implicated as important determinants of RNA stability during the MZT.

Maternal mRNA clearance is required to remove repressive factors and enable zygotic transcription<sup>32,52,165</sup>. The massive RNA turnover that takes place during the MZT also permits the establishment of embryonic patterning, as many uniformly distributed maternal transcripts are replaced by spatially restricted zygotic transcripts<sup>50</sup>. Although maternal clearance is permissive for ZGA, zygotic transcription is required, in turn, for degradation of many maternal transcripts<sup>34,50,166,167</sup>. One mechanism by which this is accomplished is the early zygotic expression of microRNAs that are required for the clearance of hundreds of maternal transcripts<sup>168-170</sup>. The coordinated execution of ZGA and maternal RNA degradation creates the monumental transcriptome remodeling that is required to reset cellular identity in the embryo.

#### Box2:

# New technologies enable mechanistic insights into zygotic genome activation

The advent of single-cell sequencing has provided increased resolution with which to detect gene expression in the developing embryo<sup>171</sup>. Recently, these methods have been combined with advanced computational pipelines to generate transcriptome atlases of frog and zebrafish embryogenesis<sup>172-174</sup>, allowing the fate of individual cells to be traced from pluripotency to specification. Examining the branch points in these differentiation pathways will lead to the identification of novel lineage-defining transcription factors and expand our understanding of how such factors function. Further, new low-input sequencing techniques have allowed us to profile the transcriptome<sup>123,171</sup>, methylome<sup>64</sup>, and chromatin-accessibility landscape<sup>14,99</sup> of embryos despite the limited material available for these studies. The first maps of early human embryos have identified fundamental differences in the regulation of human and mouse zygotic genome activation (ZGA)<sup>14</sup>.

In the early embryo, the ability to detect ZGA by standard methods is limited by an abundance of maternally supplied RNAs. Assays that specifically select for nascent and, therefore, zygotic transcripts offer one way to circumvent this issue. In recent years, new methods utilizing metabolic labeling have improved the ease with which we are able to detect nascent transcription (Figure 1A). One such method, using 4-thio-UTP labeling followed by biotinylation and streptavidin pull-down, was recently used to profile the early zygotic transcriptome in zebrafish<sup>49</sup>. Other methods incorporate azide-modified uridine analogs into the nascent transcripts, which enables the labelled RNA to be conjugated to a variety of probes through click reactions and Staudinger reactions<sup>175</sup>. Alternatively, emerging data suggests that attachment of a fluorescent group allows early zygotic transcription to be detected visually<sup>35</sup>.

Increasingly sensitive, fast, and precise imaging technologies, such as super-resolution microscopy and light-sheet microscopy, have enabled researchers to image living embryos as they develop, and this capability has led to the identification of new structures and processes<sup>139,176,177</sup>. Advanced imaging methods have also improved the resolution with which ZGA is visualized. Single-molecule fluorescence in situ hybridization (FISH) allowed quantitative measurement of endogenous transcription, whereas live-cell imaging using fluorescently tagged RNA and proteins enabled simultaneous detection of temporal and spatial expression patterns $^{7,8,175,178}$ . These methods have been used to track the activation of individual genes during ZGA<sup>179</sup>, and have led to the discovery of subtle transcriptional phenomena, such as mitotic memory<sup>180</sup> and transcriptional bursting<sup>181,182</sup>. One effective way to track RNA, is by introducing repeats of the bacteriophage MS2 sequence to the transcribed region of a gene, which allows the expression of this gene to be monitored spatially and temporally<sup>183</sup> (Figure 1B). CRISPR/Cas9 technology offers additional ways to image specific transcripts in living embryos<sup>8</sup> and can be used in combination with DNA-FISH<sup>184</sup> or to introduce RNA reporters at endogenous loci to help avoid potential artifacts of these methods. For example, a catalytically dead version of Cas9 (dCas9) fused to a fluorescent protein can

be used to track either specific transcripts or genomic loci in live cells<sup>8,185,186</sup> (Figure 1C). Preliminary studies demonstrated that this approach can be used in live embryos to confirm a candidate gene as one of the initially transcribed loci in zebrafish<sup>35</sup>.

#### Box3:

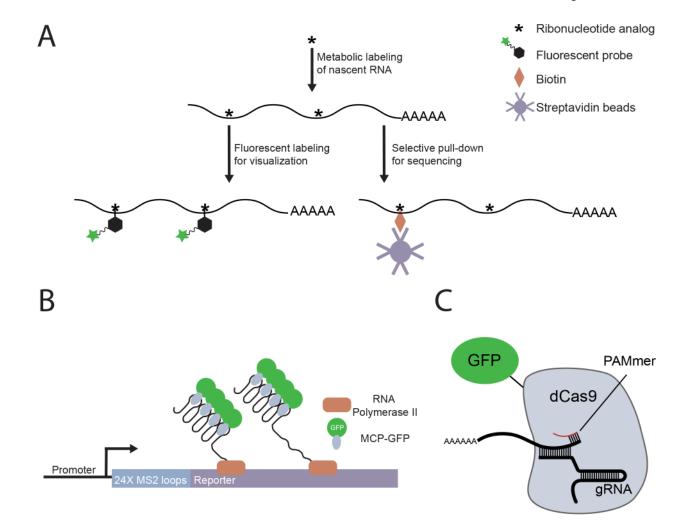
#### Genome activators share characteristics with pioneer factors

Pioneer factors are specialized transcription factors that are capable of binding to regions of silent chromatin that are inaccessible to most other DNA-binding factors<sup>135</sup>. This term was first used to describe the mammalian transcription factor FoxA1, a master regulator of liver cell fate<sup>187,188</sup>. Based on this archetype, pioneer factors open the local chromatin to poise associated genes for rapid activation upon the arrival of additional factors<sup>189</sup>. Pioneer factors use this ability to facilitate dramatic transcriptional shifts during cell-fate reprogramming. Genome activators are frequently referred to as 'pioneers' based on evidence that they can bind to largely inaccessible chromatin and mediate increased chromatin accessibility<sup>135</sup>. This pioneering activity is a feature of many of the factors that reprogram the genome during ZGA to establish the embryonic transcriptional program<sup>126,127,133,135</sup>.

Pioneer factors impact chromatin through a variety of mechanisms. Some pioneers function by recruiting chromatin regulators, such as chromatin remodeling complexes and histone-modifying enzymes<sup>135,136,190</sup>. For FoxA1, simply binding to a nucleosome is enough to open the chromatin<sup>191</sup>. FoxA1 disrupts interactions between neighboring nucleosomes and displaces histone H1 using a protein domain that structurally resembles this linker histone<sup>192,193</sup>. This function is reminiscent of the genome activator Nfy, which uses two histone-like domains to displace nucleosomes from the DNA<sup>130,131</sup>. FoxA1 binds nucleosomal DNA along a single face of the DNA helix, leaving the opposite face in contact with histones<sup>192</sup>. In fibroblasts, Oct4 and Sox2 similarly bind intact nucleosomes by targeting partial versions of their canonical DNA motifs<sup>134</sup>, suggesting that this form of interaction could be a common mechanism in reprogramming.

Pioneer factors function as master regulators of cell fate based on their unique ability to convert silent chromatin into active cis-regulatory elements. This function is of critical importance in the early embryo when these elements are established *de novo* by genome activators in preparation for ZGA. Although genome activators appear to share the ability to regulate chromatin, the mechanisms by which they do so are likely distinct. Thus, to obtain a more nuanced understanding of their function, the mechanism of each factor should be characterized individually.

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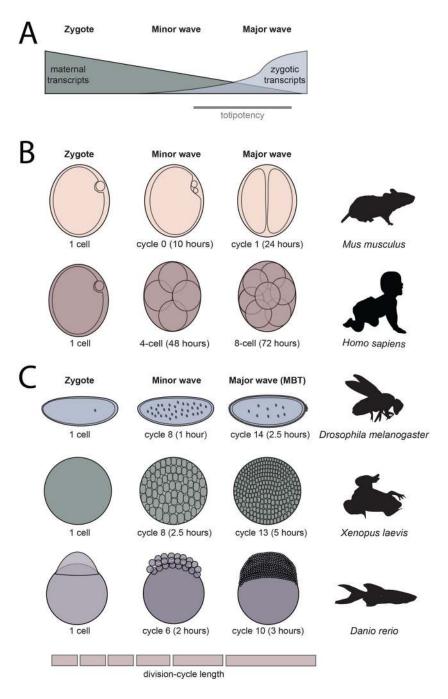


#### Figure 1: New technologies enable precise detection of zygotic genome activation

(A)Detection of nascent transcripts by metabolic labeling: Cells are supplied with ribonucleoside or ribonucleotide analogs (for example, 5-ethynyl uridine or 4-thio-UTP) to label actively transcribed RNA. The labeled RNA is functionalized through coupling to a biophysical probe, such as a fluorescent azide for visualization or a biotin group for selective pull-down and sequencing.

(B) Detection of nascent transcripts using MS2-based reporters: A series of MS2 sequences is introduced adjacent to a gene of interest. As the MS2 motifs are transcribed they form RNA stem loops that are bound by a maternally provided MS2 coat protein fused to fluorescent protein (MCP-GFP) to collectively produce a fluorescent spot.

(C) Detection of transcripts by RNA-targeted deadCas9 (dCas9) fused to a fluorescent protein (for example, GFP): The dCas9-GFP fusion protein is targeted to RNA through interaction with a guide RNA (gRNA), which contains sequence that is complementary to the RNA of interest, and a DNA oligo that contains the protospacer adjacent motif (PAM), known as a PAMmer.



#### Figure 2. Zygotic genome activation is conserved across animals.

(A) In the first hours of life, animals undergo a process called the maternal-to-zygotic transition (MZT) in which the clearance of maternal products is coordinated with the activation of zygotic transcription. A totipotent state (gray bar) is established during this transition.

(B,C) Key stages of zygotic genome activation are outlined for five model species, indicated on the right. The absolute time (in hours post fertilization) is indicated below. All species begin life as a single-cell zygote. Zygotic transcription initiates in an early minor wave, which is later followed by a major wave of genome activation.

(B) In mice and humans, early cell divisions do not occur as rapidly as in externally fertilized organisms such as frogs, zebrafish and flies (C). Nonetheless, as in other species, genome activation is a gradual process with a minor wave and major wave of transcription. (C) In frogs, zebrafish, and flies, the rapid division cycles that characterize early development gradually slow over the course of the MZT. In these species, the major wave of genome activation coincides with the mid-blastula transition (MBT). The MBT involves the end of synchronous division cycles, the introduction of a gap phase (G2) to the cell cycle, and additional, species-specific developmental changes.

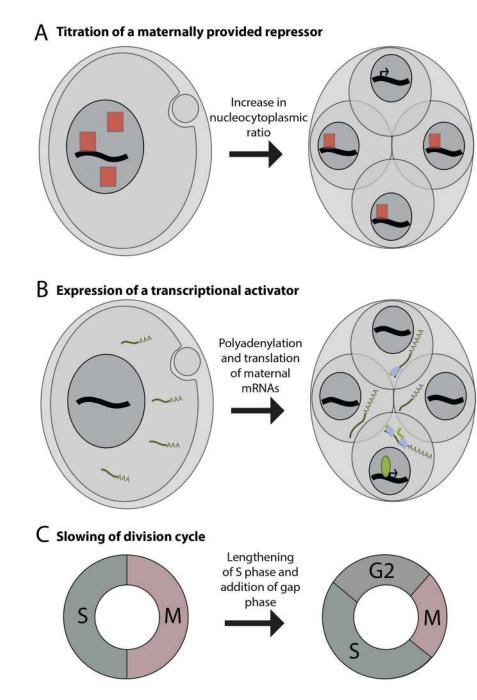


Figure 3. Several mechanisms contribute to the timing of zygotic genome activation.

(A) A maternally supplied repressor (red square) prevents transcription in the early embryo. As the ratios of genetic material (black line) or nuclear volume (grey circle) to cytoplasm increase with each cell division, the repressor is titrated and transcription initiates in cells in which repressor concentration has fallen below a threshold level

(B) The early embryo lacks a key transcriptional activator (green oval). Polyadenylation and translation of maternally supplied mRNA leads to its accumulation. Once a threshold level has been reached, the factor enables expression of its target genes.

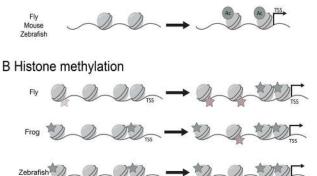
(C) The rapid early cell cycles consist of only a DNA replication (S) phase and mitosis (M). At the major wave of zygotic genome activation, the cell cycle slows and a gap phase (G2) is introduced, reducing the time restraint initially placed on transcription.





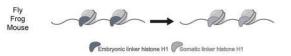
ZGA

A. Histone acetylation



	-
H3K4me3 I H3K27me3	

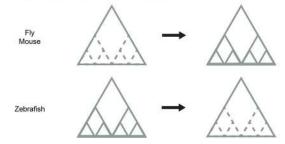
C Histone variants



#### D Nucleosome positioning



## E Topologically associating domains



#### Figure 4. Chromatin is reprogrammed during zygotic genome activation.

(A) In flies, mice, and zebrafish, histone acetylation increases over the course of ZGA, marking genes for activation during this transition. TSS, transcription start site.
(B) In flies, the early embryo may contain low levels of H3K27me3, and both H3K27me3 and H3K4me3 increase sharply during the major wave of genome activation. In frogs, H3K4me3 is present in the early embryo at low levels and increases over the course of ZGA. H3K27me3 is established later, during the major wave of transcription. In early zebrafish, H3K4me3 appears to poise genes for activation. During the major wave of ZGA, H3K4me3 levels increase and H3K27me3 is established. H3K27me3 co-marks histones with

H3K4me3, forming bivalent domains. In mice, unusual broad domains of H3K4me3 are restricted to TSS-associated peaks during ZGA with H3K27me3 established later.(C) In flies, frogs, and mice, embryonic variants of linker histone H1 are replaced with their somatic counterparts at the major onset of ZGA. In flies, it has been shown that incorporation of the somatic H1 variant is instrumental for genome activation to occur.(D) Defined cis-regulatory elements (CRM), characterized by open chromatin, are established during ZGA in flies, zebrafish, mice, and humans.(E) In flies and mice, the boundaries of topologically associating domains (TADs) are

established concurrently with ZGA. In zebrafish, TADs are present in the early embryo but are lost prior to the major wave of genome activation.

# Table 1:

Genome-activating transcription factors

Genome Activator	Organism	Conservation	Developmental requirement	Chromatin regulation
Zelda (ZLD)	Drosophila melanogaster	Insects	Maternal mutants fail to complete cellularization and die before the end of MZT. Zygotic mutants die in late embryogenesis <sup>116,144</sup> .	In embryos that lack maternal ZLD, nucleosome occupancy is increased <sup>127</sup> and chromatin accessibility is lost <sup>126</sup> at ZLD-binding sites during ZGA.
Pou5f3	Danio retio	Jawed vertebrates, paralog of Oct4	Maternal mutants show a mild delay in epiboly <sup>194</sup> . Maternal and zygotic mutants exhibit a developmental delay and arrest at gastrulation <sup>147</sup> , failed endoderm formation <sup>195</sup> , and patterning defects <sup>196</sup> .	Knockdown in the embryo results in decreased accessibility at binding sites during $ZGA^{71}$ .
Sox19b	Danio rerio	Metazoans	Knockdown of the functionally redundant SOXB1 family (SOX1/2/3/19) results in delayed epiboly, a shortened anterior-posterior axis, and impaired CNS development <sup>197</sup> .	
Nanog	Danio retio	Vertebrates	Knockdown impairs endoderm formation, and embryos die at the end of gastrulation <sup>198</sup> . Mutants lacking maternal and zygotic gene products display impaired epiboly, failure to form axial structures, and massive cell death at the end of gastrulation <sup>128,149,150</sup> .	Knockdown in the embryo results in decreased accessibility at binding sites during $ZGA^{71}$ .
XNO	Mus musculus	Placental mammals	Knockout embryos arrest before the morula or blastocyst stage <sup>124</sup> .	Induction in mESCs remodels chromatin to resemble that of the early embryo <sup>123</sup> .
NFY	Mus musculus	Eukaryotes	Knockdown in the embryo results in arrest at the morula stage <sup>99</sup> .	Knockdown in the embryo results in decreased accessibility at binding sites during ZGA <sup>99</sup> . Displaces nucleosomes from DNA <i>in vitro</i> <sup>131</sup> .
DUX4	Homo sapiens	Placental mammals		Histone H3 is depleted at binding sites following induction in myoblasts <sup>132</sup> .
OCT4	Homo sapiens	Jawed vertebrates	Null embryos collapse during blastocyst formation <sup>199</sup> .	Required for open chromatin at binding sites in $\mathrm{mESCs^{136}}$ .

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CNS, central nervous system; mESCs, mouse embryonic stem cells; MZT, maternal-to-zygotic transition; ZGA, zygotic genome activation