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Membrane bioreactors for syngas permeation and fermentation

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ABSTRACT

Syngas fermentation to biofuels and chemicals is an emerging technology in the biobased economy. Mass transfer is usually limiting the syngas fermentation rate, due to the low aqueous solubilities of the gaseous substrates. Membrane bioreactors, as efficient gas-liquid contactors, are a promising configuration for overcoming this gas-to-liquid mass transfer limitation, so that sufficient productivity can be achieved. We summarize the published performances of these reactors. Moreover, we highlight numerous parameters settings that need to be used for the enhancement of membrane bioreactor performance. To facilitate this enhancement, we relate mass transfer and other performance indicators to the type of membrane material, module, and flow configuration. Hollow fiber modules with dense or asymmetric membranes on which biofilm might form seem suitable. A model-based approach is advocated to optimize their performance. **ARTICLE HISTORY**

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KEYWORDS

Syngas; fermentation; membranes; bioreactors; hollow fiber membranes; mass transfer; biofilms

Introduction

Microbial conversion of syngas

Syngas fermentation is a process in which acetogenic microorganisms anaerobically convert mixtures of CO, H_2 , and CO_2 into organic products. When consuming CO, these fermentations co-produce CO_2 . Acetogens use the acetyl-CoA pathway, also referred to as the Wood–Ljungdahl pathway, for the reductive synthesis of acetyl-CoA from CO_2 , CO, and H_2 , energy conservation for growth, and assimilation of carbon from CO and CO_2 into biomass [1] – Figure 1. Acetyl-CoA is converted to different metabolic end products (carboxylic acids and respective alcohols), depending on the microbial metabolism.

Syngas is obtained from several industrial processes that convert fossil carbon sources, by gasification of biomass or organic waste material, and by electrolysis of water and CO_2 to H_2 and CO. If the used syngas is renewable, syngas fermentation may contribute to sustainable chemical production in a circular, biobased economy.

Mixtures of CO, CO₂, and H₂ are useful as a substrate for gas fermentation due to the Gibbs energy content of CO and H₂. Using solely CO₂ as a substrate is less straightforward because its activation would require another source of energy such as light or electricity [3].

Several companies have operated pilot and demonstration plants for the fermentative conversion of syngas to ethanol. LanzaTech is operating the production of bioethanol from syngas on a commercial scale [4]. Other alcohols (2,3-butanediol, 1-butanol, and 1-hexanol) and carboxylic acids (acetate, butyrate, and hexanoate) are examples of potential products [5–7]. For a general overview and description of syngas fermentation technology, we refer to the aforementioned papers.

As compared to carbohydrate fermentation [8], the main bottlenecks currently restricting syngas fermentation to valuable products are low extent and rate of substrate conversion (CO and H₂), and limited product titers and range. Metabolic and microbial engineering can be applied to improve microbial performance while process engineering can improve reaction conditions and provide smart product recovery strategies.

Mass transfer

The gas-liquid mass transfer rate is usually limiting the overall syngas fermentation rate, particularly in the case of a high cell density process [9]. Therefore, understanding this mass transfer is essential. The syngas mass

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Figure 1. Schematic representation of the simplified Wood–Ljungdahl pathway of acetogens and their (native) metabolic end products [1,2]. Acetogenic microorganisms are able to produce different combinations of the depicted organic products, depending on their metabolism.

transfer rate ($K_L a \cdot \Delta C$) includes a small driving force because of the low aqueous solubility of the gaseous substrates CO and H₂, relatively low absolute pressures, and low partial pressures due to the presence of CO₂ and sometimes N₂. This needs to be compensated by the high efficiency of contacting with the liquid medium [6], hence a high value of the volumetric mass transfer coefficient, $K_L a$. Thus, mass transfer limitations can be addressed by a selection of the bioreactor and operational conditions to achieve a higher concentration gradient ΔC , mass transfer coefficient K_L , and/or interfacial area *a* [10,11].

Membrane bioreactors as options for syngas conversion

Several bioreactor types have been studied for syngas fermentation. Mechanically mixed reactors such as stirred tank reactors (STRs) can achieve high volumetric power inputs by agitation, which even at low superficial gas velocities can provide reasonable gas-liquid mass transfer rates besides good liquid mixing. In STRs, higher impeller energy reduces the size of gas bubbles and thereby increases the interfacial area for mass transfer. However, costs associated with the excessive power input for very large reactors restrict economic feasibility for commercial syngas fermentation processes [12]. Additionally, locally high energy dissipation rates near the agitators and/or bursting gas bubbles at the surface can potentially damage sensitive microorganisms [13].

Non-agitated reactor systems have also been investigated as suitable configurations for syngas fermentation, using much less energy than STRs [14]. In trickle bed reactors, the liquid film contacting the gas phase is very thin and therefore the liquid resistance to mass transfer is diminished [11]. Monolithic biofilm reactors may achieve very high mass transfer but could be prone to clogging by biofilms [15]. Bubble columns and gas-lift reactors can achieve high mass transfer rates at low operational and maintenance costs at the industrial scale, but undesirable bubble coalescence may occur, especially in tall reactors.

Asimakopoulos et al. [16] recently reviewed different bioreactor configurations suitable for continuous syngas fermentation to added-value products and compared their performance in terms of high productivity rates and high product concentrations. The authors conclude that evolution and research on syngas fermentation platforms are oriented toward packed bed reactor and membrane modules combined with biofilm formation. Thus, membrane-based bioreactors have been reported as promising configurations for syngas conversion. Membranes can fulfill many applications but here we will review gas-liquid contacting applications and biofilm technology. Membrane processes have been claimed to offer several advantages such as low energy consumption, enabling continuous operations, being simple to operate and scale-up, and have inherent properties of high selectivity and high surfacearea-per-unit-volume [17,18]. Membrane contactors have the potential of controlling the extent of contact between syngas and fermentation broth without having to cope with flooding or foaming phenomena [19]. These claimed advantages should compensate for the cost of membrane modules. Membrane processes scale

up linearly, except the auxiliary equipment (transfer pump, piping, etc.) [20]. Thus, economic comparison with other processes will be scale-dependent, and, besides, require optimization of the processes that are compared.

Syngas fermentation using membrane bioreactor technology has not yet been optimized. This review aims to guide the optimization work required to achieve the full potential of this technology. Therefore, we will review the syngas mass transfer, membrane types, and membrane module and reactor configurations (including biofilm formation) used for syngas fermentation and relate these to process performance indicators.

Membrane (gas-liquid) contactors for syngas permeation: theory and principles

Syngas fermentation using a membrane contactor can be described by different physical and biological mechanisms [21]:

- 1. Bulk mixing of the gas entering the membrane module.
- 2. Gas boundary layer transport.
- 3. Transport through the membrane.
- Transfer from the membrane, dissolution, and diffusion into the biofilm (if present).
- 5. Diffusion through and consumption within the biofilm (if present).
- 6. Boundary layer transport through the liquid phase.
- 7. Mixing in the bulk liquid.
- 8. Consumption by suspended cells.

The individual mechanisms for mass transfer are explained in Section "Membrane materials types used for syngas permeation," as they directly depend on the membrane material.

The membrane provides a large and fixed interfacial area between the gas and liquid phase for facilitating the mass transfer. This area is independent of gas and liquid flow rates, and gas to liquid mass transfer can be achieved without spending energy on maintaining one phase dispersed within the other [22,23]. The chemical potential difference between substrate in the gas and liquid phase is the driving force for diffusive transport across the membrane. This may be expressed as concentration difference (ΔC). The transport through the membrane depends on the membrane material.

The microorganisms can be attached as a biofilm on the liquid side of the membrane surface or suspended in the liquid, where minor nutrients are provided such as ammonia, metal trace elements, or vitamins [21]. If the membrane provides physical support for biofilm attachment, it enables cell retention [24].

Although the applied transmembrane pressure difference is limited by the membrane material, using elevated pressures on either side is possible, leading to increased syngas solubility and hence an increase in the achievable mass transfer driving force, that is: the CO, H₂, and CO₂ concentration gradients (ΔC).

Membrane materials types used for syngas permeation

Different membrane materials have distinct functionalities, performances, and costs. For example, the gas mass transfer depends on the membrane bulk structure. Therefore, selecting an appropriate membrane is essential. Membranes for gas–liquid contacting can be classified with respect to their bulk structure: symmetric (microporous or dense) or asymmetric (integral or composite). The role and properties of different membrane material types are reviewed here. This includes mass transfer models such as summarized in Tables 1 and 2.

Microporous membranes

A microporous membrane consists of a polymer matrix (e.g. polypropylene, PP, and polyvinylidene fluoride, PVDF) with a rigid, highly voided structure and with randomly distributed and interconnected pores [26]. Before extensive research had been conducted, "microporous" was used for membranes with pore diameters between 0.01 and 1 μ m [27]. Nowadays, the use of such terminology in the literature can create confusion, because the current IUPAC definition classifies materials according to their pore size as microporous (<2 nm), mesoporous (2–50 nm), or macroporous

Tabl	le 1.	Expression	on of l	ocal	mass	transfer	coefficients.
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Mass transfer coefficient	Expression
Liquid	$k_l = Sh_l \frac{D_l}{d} \qquad (7)$
Gas	$k_g = Sh_g rac{D_g}{d}$ (8)
Microporous membrane	$k_m^m = \frac{D\varepsilon}{\delta \tau_m} $ (9)
Dense membrane	$k_m^d = rac{P}{\delta} = rac{S_m D_m}{\delta}$ (10)

Membrane material	Flat sheet geometry	Hollow fiber geometry ^a
Microporous	$\frac{1}{K_L} = \frac{1}{k_g H} + \frac{1}{k_m^m H} + \frac{1}{k_l} $ (11)) $\frac{1}{K_L} = \frac{d_o}{k_g H d_i} + \frac{d_o}{k_m^m H d_{lm}^m} + \frac{1}{k_l}$ (12)
Dense	$\frac{1}{K_L} = \frac{1}{k_g H} + \frac{1}{k_m^d H} + \frac{1}{k_l} $ (13)) $\frac{1}{K_L} = \frac{d_o}{k_g H d_i} + \frac{d_o}{k_m^d H d_{lm}^d} + \frac{1}{k_l} $ (14)
Integral asymmetric/ microporous-dense composite	$\frac{1}{K_L} = \frac{1}{k_g H} + \frac{1}{k_m^m H} + \frac{1}{k_m^d H} + \frac{1}{k_l} $ (15)) $\frac{1}{K_L} = \frac{d_o}{k_g H d_i} + \frac{d_o}{k_m^m H d_{lm}^m} + \frac{d_o}{k_m^d H d_{lm}^d} + \frac{1}{k_l} ^{\rm b}$ (16)

Table 2. Expression of overall mass transfer coefficients (based on the liquid side), for gas-liquid membrane contacting applications [25].

In the presence of a biofilm layer on the membrane, an extra mass transfer resistance term is included in the equation. ^agas at shell side and liquid at lumen side; ^bdense layer in contact with liquid.

(>50 nm). Microporous membranes are frequently used in gas transfer applications because of their high gas permeability. The membrane pores are intentionally filled with gas since gas diffusivity is much larger than liquid diffusivity. The substrates, therefore, diffuse through the membrane by gaseous diffusion. A drawback of microporous membranes is their low operational transmembrane pressure (TMP). Above a certain maximum critical pressure difference between feed (gas stream) and permeate (liquid side), called the bubble point pressure, bubbles are formed at the liquid side. This critical pressure difference depends on the gas-liquid interfacial tension, the contact angle between the liquid and polymer surface, and the diameter of the pore. On the other hand, pressures below a minimum critical difference cause water to fill the membrane pores [22], which significantly increases the mass transfer resistance through the membrane. To ensure that the gas-liquid interface is immobilized at the liquid side membrane interface, microporous membranes must be operated under a carefully controlled pressure difference between feed and permeate. High pressures of gaseous substrates can still be used when the liquid on the permeate side of the fibers is also pressurized [26].

The concentration profile of a gas species through a microporous membrane is shown in Figure 2(A).

The overall mass transfer resistance based on the liquid phase for a gas-filled microporous membrane is the sum of four resistances in series for gas phase, microporous membrane, biofilm (if applicable), and liquid phase – Equations (11) and (12).

Gas and liquid local mass transfer coefficients depend on the feed flow velocity; on module geometry and dimensions, which determines the thickness of the mass transfer boundary layer; and on the viscosity and the density as these influence the substrate diffusion coefficients. Each local mass transfer coefficient can be estimated using semi-empirical correlations. For k_g and k_l , expressions based on the Sherwood number have been reported – Equations (7) and (8) [28,29].

The gas transport through microporous membranes depends on the pore size range and it can be governed by molecular diffusion or Knudsen (free molecule) diffusion. The effective diffusion coefficient is therefore a function of bulk diffusion and Knudsen diffusion coefficients – Equation (1), with the latter depending on the pore diameter of the membrane, molecular mass of the gas, and temperature – Equation (2) [30].

$$\frac{1}{D_{eff}} = \frac{1}{D_g} + \frac{1}{D_k} \tag{1}$$

$$D_k = \frac{d_p}{3} \sqrt{\frac{8RT}{\pi M}}$$
(2)

Thus, when the pores are large enough, the interaction with the membrane material can be neglected and the diffusion coefficient in the microporous membrane equals the diffusion coefficient in gas [22].

It has been suggested that the deposition of proteins and cell debris and the presence of surfactants may cause the pore walls to become hydrophilic such that the pores fill with liquid, thereby rendering microporous membranes unsuitable for long-term operation [31].

Dense membranes

Dense or non-porous membranes usually consist of polymers such as silicone, structured by non-continuous passages in the polymer chain matrix [26]. Diffusion

occurs in the free volume between the polymer chains [32] and is described by the solution-diffusion model. The concentration profile of a gas species in the case of a dense membrane is shown in Figure 2(B).

Like for microporous membranes, for dense membranes the overall mass transfer resistance based on the liquid phase is the sum of each local resistance term – Equations (13) and (14). However, the mass transfer coefficient inside the dense membrane depends on the gas species permeability in the dense membrane and its thickness – Equation (10). The permeability of the gas molecules is controlled by two major parameters: diffusion coefficient and solubility coefficient in the dense membrane (also referred to as gas-membrane partition coefficient).

High gas permeabilities can be achieved in rubbery dense membranes, such as silicones, given their large free volume, due to the flexibility of the siloxane linkages in the polymer [33]. Due to this, syngas compounds (CO, H₂, CO₂, and N₂) are more soluble (two to seven times) in polydimethylsiloxane (PDMS) membrane than in water, although the respective diffusivities are three to four orders of magnitude lower. Dense glassy polymers with high free volume, such as poly(trimethylsilyl)propyne (PTMSP), polymethylpentene (PMP) and Teflon[™] AF (a commercial polymer consisting of perfluorinated dioxolane) [34] have intrinsically very high gas permeabilities, showing therefore great potential for the permeation of syngas. Merkel et al. [35] reported extraordinarily high CO, H₂, and CO₂ permeabilities in a PTMSP dense membrane. Although this is the most permeable polymer known, it is also highly susceptible to fast physical aging, a limitation for longterm operations.

Dense membranes can operate at high transmembrane pressures, up to 3×10^5 Pa in silicone membranes [31]. As a result, a large chemical potential gradient can easily be maintained, causing an increased mass transfer rate [36].

On the other hand, dense membranes, such as silicone membranes, are traditionally thicker $(100-400 \,\mu\text{m})$ than microporous membranes [37]. Together with their non-porous nature, this should lead to a higher membrane resistance to mass transfer. Nevertheless, now-adays the manufacturing of PDMS hollow fibers as thin as 20 μ m has been reported.

Silicone membranes also offer high resistance to chemical and mechanical stress and, in contrast to microporous membranes, they are not susceptible to pore-clogging (biofouling) or liquid entry in the pores [31].

Asymmetric membranes

Asymmetric membranes consist of an ultra-thin dense layer supported by a porous structure. In integral asymmetric membranes, the dense skin layer and the porous supports are formed from the same material, while composite asymmetric membranes consist of two or more layers from different materials that can be optimized independently [38]. The dense thin top layer selectively permeates compounds while the microporous layer provides mechanical strength. In either case, the liquid is in contact with the dense layer [25]. Gaseous species diffuse through the microporous layer,



Figure 2. Concentration profile of compound *i* when moving from the gas to liquid phase through a: hydrophobic microporous membrane (A), dense membrane (B) and integral asymmetric/composite membrane (C). C_i^{G} : concentration of *i* in the gas phase, C_i^{L} : concentration of *i* in the liquid phase, C_i^{M} : concentration of *i* in the membrane phase, δ_g : thickness of gas boundary layer; δ_i : thickness of liquid boundary layer; δ_m : thickness of membrane boundary layer, α : gas-membrane interface, β : membrane-liquid interface, γ : microporous-dense layers interface.

Table 3. Design parameters for membrane module selection. [26].

Parameter	Plate and frame	Spiral wound	Tubular	Capillary fibers	Hollow fibers
Manufacturing cost (\$/m²)	50–200	5–50	50-200	5–50	2–10
Concentration polarization/fouling control	Good	Moderate	Very good	Good	Poor
Permeate-side pressure drop	Low	Moderate	Low	Moderate	High
Suitability for pressured operation	Marginal	Yes	Marginal	No	Yes
Limitation to specific types of membrane material	No	No	No	Yes	Yes
Area per volume (m ² /m ³)	200–600	800-1000	-	-	2000-5000

subsequently solubilize and diffuse through the dense layer, and then enter the liquid at the wetted dense surface [39]. The concentration profile of a gas species in the case of an asymmetric membrane is shown in Figure 2(C).

Multi-layer composite membranes contain additional layers of different materials besides a microporous support layer, each with a designated function [39].

Asymmetric membranes combine the advantages of symmetric dense and microporous membranes (cf. microporous membranes), since the porous layer offers support, the ultra-thin dense skin layer provides high permselectivity like dense membranes, but at a lower mass transfer resistance, and neither layer shows high mass transfer resistance. Moreover, they can function at higher pressures than porous membranes without the biofouling and wetting of pores.

For syngas fermentation, where the rate of permeation is more important than permselectivity, the best candidates seem to be asymmetric membranes that offer high permeability for syngas compounds, suitable support for microbial growth (Section "Biofilm formation in HFM bioreactors") and includes a dense layer with sufficient mechanical stability, such as PDMS or PMP. Further research should focus on novel composite membranes with thin dense non-porous layers able to operate under high transmembrane pressure without a decline in the permeation rates [40].

Membrane module configurations for syngas fermentation

The membrane geometry, flat or tube-shaped, defines the membrane module configuration to be integrated into a bioreactor.

Flat membranes modules are mainly used for laboratory tests because they are easier to build, and sheet replacement is simple and fast. Usually, a single flat sheet is located between two plates that are equipped with the inlets/outlets of both phases. For large interfacial areas, flat membranes are used in plate-and-frame or in spiral-wound modules [25].

In tube-shaped configurations, many membrane tubes or fibers are packed into bundles and potted into tube sheets to form a module. Depending on tube diameter, this can be a tubular (>10 mm), capillary (0.5-10 mm), or hollow fiber (<0.5 mm) module. The membranes divide a module into a lumen-side, which is the space enclosed by the membranes, and a shell-side which is the space between the outer surface of the membranes and the housing [41].

The choice of the module configuration for syngas permeation in a bioreactor should balance several factors such as surface area per unit of liquid volume, membrane manufacturing cost, suitability for high-pressure operation, and fouling control. To guarantee an efficient performance, the module should maximize the mass transfer, reduce and control the fouling, work with low-pressure drops, and have a constant performance over its whole length [25].

For industrial syngas fermentation, the membrane should be packed in an efficient and economical way for maximizing interfacial area per volume unit.

Regarding this aspect, hollow fiber membrane (HFM) modules outcompete other configurations. Furthermore, they entail the lowest membrane manufacturing costs – Table 3. This explains why HFM modules are the preferred and most common modules for gas–liquid membrane contacting applications. Consequently, the performance of membrane contactors for syngas fermentation has almost exclusively been studied for HFM modules.

Hollow fiber membrane bioreactors for syngas fermentation

While the mass transfer coefficient within HFMs depends only on the material, as indicated in Equations (9) and (10), the mass transfer on the shell and lumen sides of an HFM module depends on flow conditions and fiber or module geometries. For this reason, significant efforts have been addressed to the improvement of the HFM material properties, but also to the optimization of packing density, fiber length and diameters, operative flow rates, pressures and concentrations, fluid physical properties, pressure drops, and breakthrough pressure [25].

In HFM modules, non-uniform flow at the shell side of the hollow fiber can occur due to: channeling, bypassing, mixing, entry region phenomena (caused by

fiber deformation), non-uniform fiber distribution, the polydispersity of fiber diameters, or presence of stagnant zones [25]. Since this maldistribution of flow leads to a reduction of mass transfer efficiency, much research is dedicated to improving the module design in terms of higher mass transfer coefficients at the shell side. Stanojević et al. [23] reviewed membrane contactor designs and operation, including different innovative types of modules for HFM contactors. One of the main strategies includes: modification of the standard geometry to promote flow perpendicular to the fibers, for example, using woven hollow fiber wound helically around a central core, using woven hollow fiber wound mounted diagonally in a rectangular box, or introduction of baffles in the module [29].

Published lab-scale syngas fermentation studies use commercial membrane modules or custom-built prototypes (Tables 4 and 5). These will be discussed later.

Flow configurations and patterns

The distinct flow configurations in HFM reactors and their respective advantages are summarized in Table 6.

For syngas fermentation, the gas feed can enter an HFM module from the shell or lumen side. In lumen/ tube-side feed (also known as inside-out) configuration, the gas that is supplied to the lumen of the HFM permeates through the membrane to the fermentation broth or biofilm across the membrane wall. In shell-side feed (outside-in) configuration the fermentation broth circulates inside the lumen of the fibers. Given the small inner diameter of the fibers, typically used for gas-liquid contacting, the outside-in configuration is not advised because biofilm formation in the lumen might block broth flow. Therefore, in the field of syngas fermentation, this configuration has been mainly tested for abiotic gas-liquid mass transfer measurements [13].

In terms of gas supply and depending on their design and fabrication, HFM modules can be operated in a dead-end or open-end configuration. In an openend configuration, both ends of the fiber bundle tube sheets are open. For lumen-side feeding, this implies that the gas feed is supplied from one end of the bundle, and the retentate exits from the opposite end. If gas is not recycled, inherently this configuration leads to a lower extent of gas conversion since there is loss of syngas substrate with the gaseous retentate. On the other hand, the gas velocity throughout the membrane is usually high. Therefore, the advective mass transport in the lumen is much faster than the diffusive transfer across the membrane, which results in relatively

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HFM bioreactor
Table 5.

Table 5.	. Continued.								
			Liquid recirculation		Product titers	Productivity	Syngas utilization	Biofilm	
Entry	Liquid operation mode	HRT (day)	velocity (mL min $^{-1}$)	Products	(g L ⁻¹)	(g L ⁻¹ day ⁻¹)	efficiency (%)	formation	Reference
6	Continuous	1.04	200	Ethanol	23.93 ^c	3.44	$CO \simeq 81 \%$		
							$H_2 \simeq 74\%$	Yes	[24]
				Acetic acid	ר≥8°	\simeq 2.8			
10	Continuous	5	500	Ethanol	15.0	NR	NR	Yes	[54]
11	Continuous	6	NR	Ethanol	4.2	0.47	NR	Yes	[51]
				Acetate	1.0	0.11			
12	Continuous	1.5	NR	Acetate	24.6	16.4	CO > 95%		
							$H_2 > 95\%$	Yes	[52]
13	Continuous	-	NR	Acetate	10.5	10.5	NR	Yes	[53]
				Butyrate	NR ^d	NR			
14	Continuous	6	500	Acetate,	3.6	0.4	$H_2 - 100\%$	Yes	[20]
				Butyrate	0.02	NR			
15	Continuous	≃16	1500	Hydrogen	NR	6.06 ^g	CO – 69.3%	Yes	[47]
16	Continuous	10	NA	Methane	NR	1.992 ^h	NR	Yes	[55]
$A_m/V_L - N_{OT}$ NA - Not	Membrane surface area per react Applicable	tor working volume.							

gas recirculation; ^bwith liquid recirculation; ^c product titers for HRT of 8.33 days; ^donly trace amounts of butyrate produced; ^einitial pH, pH was not controlled; ^faverage productivity, calculated from available ⁹Productivity calculated from available the operational temperature and pressure). NR – Not Reported. ^aWith g data.

uniform syngas concentrations in the lumen, leading to high average fluxes [56].

In a closed-end configuration, the fiber bundles are sealed at one end [14,44] or are looped back to form a "U" shape in the bundle [53]. All the gas supplied to the membranes is delivered through the membrane fiber, allowing 100% extent of syngas transfer [14]. However closed-end HFM are usually susceptible to gas back-diffusion where produced CO₂ diffuses into the membrane lumen, which lowers the transfer rate of CO and H₂ [56]. Furthermore, in HFMs for a gas transfer, there is also the risk of water condensation in the HFM lumen. This problem can be caused by the supply of dry feed gas at the temperature of the aqueous fermentation medium [57,58]. Since the gas permeable membranes are also highly permeable to water, the gas inside the hollow fibers becomes saturated by water within a few centimeters from the gas feed entrance. Therefore a closed-end HFM should be designed such that the condensate and lumen gases are vented [57]. Perez-Calleja et al. [56] have stated that periodic venting of the lumen of the HFM has great potential to improve the gas transfer rate and extent, increasing the performance of the HFM module and decreasing the capital and operational costs. Steady-state operation of an open-end system with minimized gas outflow could be an equivalent option.

Depending on the relative flow directions of the two fluid phases, HFM modules can be classified as longitudinal/axial-flow (operated either counter-current or cocurrent) or as cross-flow. An axial flow pattern is often achieved in parallel hollow fiber bundles. Perez-Calleja et al. [56] reported higher dissolved gas concentrations toward the end of the membrane fiber when operating in co-current mode. Cross-flow is designed to provide a perpendicular flow to the membrane surface, which results in a higher mass transfer coefficient than that achieved with the parallel flow. The radial flow pattern can be imposed by using, for example, an HFM module with a perforated central tube to deliver the liquid feed to the shell-side, a helically wound bundle, or by introducing flow diverters and baffles in the HFM module design – Figure 3.

Side-stream and submerged HFMs

In stand-alone HFM modules, the liquid has a plug flow behavior, whereas liquid mixing is required to achieve pH control such as is necessary for most industrial fermentations. Thus, in case high-performance fermentation is desired, the liquid is circulated through a mixed compartment. The HFM module can be submerged in a mixed bioreactor (internal module) or can be coupled

	-		
Selection item		Option	Advantages
Gas supply configuration	Open-end		High gas velocities in membrane lumen; High average gas fluxes and transfer rates; No water condensation: No gas back diffusion.
	Closed-end		100 % Gas transfer.
Gas feed location	Shell-side feed Lumen-side feed		None Low susceptibility of blockage by biofilm growth.
Flow pattern	Axial Flow	Co-current Counter-current	Higher feed velocities.
	Cross Flow	Radial flow distribution Helically wound bundle Flow diverters and baffles "U" shape closed-end bundle	Uniform shell-side flow distribution; Avoids flow channeling, bypassing and dead zones; Higher mass transfer coefficient.

Table 6. Summary of flow configurations in a hollow fiber membrane reactor and their respective advantages.



Figure 3. HFM modules with (A) tubesheets at both ends; (B) a single tubesheet in a U shaped bundle; (C) one tubesheet and one sealed end; (D, E) gas feed entering the bundle from the perforations on the central tube and exiting from (D) the port on the housing or (E) the perforations toward the other end; (F) Baffles with alternating clearances at top and bottom to force the flow up and down. Based on [41].

with an external mixed tank. In the internal configuration, the HFM bundle is fabricated as an integral part of the fermentation vessel or submerged in the fermentation broth. In these systems, liquid mixing can be achieved by using a microporous membrane as a gas micro-diffuser, designated as a hollow fiber membrane bubble column reactor (HFM-BCR) [43,44]. This is also achieved by the implementation of impellers in the reactor [55], or the addition of a liquid recirculation circuit [10,59].

In the external configuration, the fermentation broth is circulated between the HFM module and a reservoir tank. This configuration is inherently very flexible, allowing the connection of available commercial modules to the external tank, therefore being investigated in several laboratory studies (Table 5). The fermentation broth is commonly supplied to the shell side of the membrane module and the liquid velocity entering the module is controlled by a cross-flow pump. If the external vessel is a stirred tank, the rotor can be used for additional mixing of the fermentation broth. The pump settings and tube sizing should be selected to minimize the shear stress induced on the microorganisms. The liquid feed, containing nitrogen source and mineral medium, is supplied to the external tank. Depending on the configuration and simplicity of the external tank, sensors can be installed, and the pH, temperature, and level of the broth can be controlled. Nonvolatile products are collected from the tank effluent. For simplicity, laboratory setups can use an overflow bottle as the external reservoir [59]. Both configurations have been tested in liquid batch, in sequential batch, or continuous configuration mode (Table 5). Gas delivery to the reactor is always continuous.

Mass transfer under abiotic conditions

The typical approach to evaluate syngas to liquid mass transfer performance in HFM bioreactors is the design of experiments to measure, directly or indirectly, the dissolved gas concentrations in the liquid, in the absence of cells and biochemical conversion, and determine the mass transfer coefficients of the system from this concentration. The volumetric mass transfer coefficient, $K_i a_i$ is not only useful to compare mass transfer capacity of different setups and at different operational conditions, but also to provide valuable information for the reactor design or process modeling. Different HFM bioreactor configurations for the permeation of syngas substrates under abiotic conditions have been tested at laboratory scale and the respective $K_{L}a$ values have been reviewed, although not all literature values can be directly compared [14,42]. Therefore, a detailed explanation is discussed here. The approach to calculate $K_L a$ is highly dependent on the configuration and characteristics of the reactor (mixed external reservoir vessel, submerged in a reactor or stand-alone) and on the method and experimental design used.

In mass transfer studies with HFM modules including an external liquid recirculation configuration, the following equation applies in case of a liquid concentration gradient over the fiber length with no concentration gradients at the gas side.

$$\ln\left(\frac{C^*-C_0}{C^*-C_L}\right) = \frac{Q_L}{V_R} \left[1 - \exp\left(-K_L a \frac{L}{v_L}\right)\right] t \qquad (3)$$

 C^* , C_0 , and C_L are, respectively, the saturated concentration, the initial concentration, and actual concentration of dissolved gas in the aqueous phase in the reservoir tank (assuming well-mixed conditions), Q_L is the liquid recirculation rate through the membrane module, V_R is the working volume of the reservoir tank vessel, K_L the overall mass transfer coefficient, and according to the derivation of Ahmed and Semmens [37], a is the volume-specific interfacial area of the membrane module (per liquid volume in the membrane module), L is the hollow fiber length, v_L is the liquid velocity in the HFM module and t is the sampling time. Then, $K_L a$ for gas is determined by a dynamic method. During batch operation, the transient dissolved gas concentration in the well-mixed liquid reservoir is periodically measured and plotted against the sampling time according to Equation (3). $K_{L}a$ is obtained from the slope of this linear relation. Equation (3) can be rearranged to the exponential form to reduce errors resulting from linear regression.

Since $K_L a$ according to Equation (3) is calculated per liquid volume in the membrane module solely, it must be recalculated when accounting for the total working volume of the bioreactor, V_L , that is, the external reservoir working volume, $V_{R'}$ in addition to the membrane module liquid volume, V_M .

If the characteristic time for liquid recirculation (V_{R}/Q_L) is much shorter than the characteristic time for mass transfer $(1/K_La)$, Equation (3) simplifies to Equation (4) as follows:

$$\ln\left(\frac{C^*-C_0}{C^*-C_L}\right) = K_L a. \frac{V_M}{V_R}.t$$
(4)

Consequently, there is no concentration gradient along the fibers. This condition has been achieved at submerged HFM reactor configurations, for which K_La can similarly be determined by a dynamic method according to Equation (5) [10,43,44].

$$\ln\left(\frac{C^*-C_0}{C^*-C_L}\right) = K_L a. t$$
(5)

For stand-alone HFM reactors, $K_L a$ has been calculated by a steady-state mass transfer analysis (static method) according to Equation (6) [11,42].

$$K_L a = \frac{C_{L,out} \cdot Q}{V_M \cdot \Delta C_{lm}} \tag{6}$$

This applies to HFM reactors in a steady state in which there are gradients along the gas side as well as the liquid side of the fiber axis, but with no gradients perpendicular to the axis.

 $K_L a$ is based on membrane module liquid volume, V_M , $C_{L,out}$ is the quasi-steady-state concentration of dissolved gas in the liquid outlet, and ΔC_{lm} is the logarithmic mean dissolved concentration difference between saturated liquid (at the partial pressure of the gas) and liquid phase (calculated differently depending on cocurrent or counter-current flow operation).

The K_La values in Table 4 differ by three orders of magnitude and should be compared with caution given the different methodologies used for their calculation, the different volumes considered (total reactor working volume or solely liquid volume of the membrane module), and the different operational parameters used in distinct experimental setups [11,16]. It is crucial to report these factors in detail, particularly the HFM module volume, total system volume, and volume used to calculate the K_La [42].

Syngas fermentation performance in HFM reactors

Pure cultures

A minority of studies mentioned in Table 5 investigated HFMs submerged in bubble column reactors [43,44]. Yasin et al. [43] investigated the fermentation of a mixture of CO/CO₂ by Eubacterium limosum KIST612, which yielded acetate, and as by-products butyric and isobutyric acids with trace amounts of ethanol and 1-butanol. The liquid phase was operated in batch mode, whereas the syngas was continuously supplied through the hydrophobic microporous hollow fibers (closed-end), resulting in the formation of bubbles on the liquid side. In these types of systems, the HFM is used as a microsparger of the syngas substrates, and micro-bubbles delivered by the membrane not only contribute to K_1a but also to liquid mixing and to reduced gas retention in the liquid. Jang et al. [44] used an analogous experimental setup to study the effect of electrolyte addition to the medium on CO mass transfer to the liquid and on biomass, ethanol, and acetate production. This study utilized Clostridium autoethanogenum DSM10061 for the fermentation of CO/CO₂ (80:20) supplied via submerged microporous HFMs, with recirculation of the headspace gas. Addition of 1% MgSO₄ increased ethanol productivity, ethanol concentration, cell concentration and ethanol/acetate ratio. This was explained by a mass transfer increase due to bubble coalescence suppression in the presence of an electrolyte.

Other studies with pure cultures investigated HFM biofilm reactors coupled with an external reservoir. Shen et al. [24] tested an HFM module made of microporous hydrophobic polypropylene coupled with an agitated reservoir vessel for the continuous fermentation of syngas (20% CO, 5% H₂, 15%, CO₂, and 60% N₂) to ethanol and acetate and characterized fermentation performance at different syngas flow, liquid recirculation and dilution rates. A modest ethanol concentration of 23.93 g L^{-1} and ethanol to the acetic acid molar ratio of 4.79 was achieved for a liquid recirculation of 200 ml min⁻¹, a dilution rate of 0.12 day⁻¹ and for a syngas flow of 300 and 200 ml min⁻¹, respectively. Yet, this is the highest titer achieved for this type of reactor configuration and comparable to published data for reactors employing gas bubbling [24]. The maximum ethanol productivity of $3.44 \text{ g L}^{-1} \text{ day}^{-1}$ was reported at a different dilution rate (0.96 day^{-1}) . The authors reported the formation of a biofilm on the membrane surface but did not quantify mass or thickness. The formation of the biofilm proved to be beneficial as a cell retention method, as it was possible to grow the microorganism at a dilution rate higher than reported in

suspended growth syngas fermentation reactors using the same strain [24].

Anggraini et al. [48] compared the performance of liquid batch syngas fermentation in an STR with and without an HFM integration, concluding that higher ethanol yield, ethanol titer, and ethanol-acetate ratio can be achieved in the former due to an increase in syngas mass transfer rates.

Abubackar et al. [9] reviewed a number of HFM reactor configurations patented for syngas fermentation. Tsai et al. [54], for example, showed the usefulness of an asymmetric HFM module that retained the cells as a biofilm layer in the membrane pores (shell side), of approximately 400 μ m. The syngas stream was fed to the shell side while ethanol and other products formed in the membrane pore biofilm were transferred through the membrane (hydration layer) to the liquid medium, which recirculated between the fiber's lumen and an external stirred reservoir. With this configuration, *Clostridium ragsdalei* produced 15 g L⁻¹ ethanol after 20 days of continuous operation.

Mixed cultures

Table 5 also includes studies that coupled HFMs with external reservoirs for the mixed culture fermentation of syngas to carboxylic acids or methane. Operational conditions tested include membrane interfacial area, syngas composition, continuous or batch liquid operation mode, temperature, and pH. Shen et al. [52] investigated the conversion of CO/H₂ (40:60) by mixed culture in a closed-end HFM biofilm reactor by varying the: membrane surface area, liquid operation mode, and temperature. The main products obtained for a sequential batch experiment at 35 °C were: acetate, butyrate, hexanoate, and octanoate. The main product at 55 °C was acetate (minimal butyrate detected), at a maximal concentration and productivity of 24.6 g L^{-1} and 16.4 g L^{-1} day⁻¹, respectively, for the continuous liquid operation of the reactor. More membrane area per working volume, hence higher available mass transfer area, led to increased production [52]. Clostridium and Thermoanaerobacterium strains played major roles in this at 35 and 55 °C. In mixed culture fermentation of carbon dioxide and hydrogen, again acetate was the major product [49,50,53]. Wang et al. [53] achieved the highest acetate titers (42.0 g L^{-1}) in a mixed culture HFM biofilm reactor, converting CO_2 and H_2 (40:60) in a liquid batch operation at 55 °C. Continuous liquid flow operation was also tested, reaching lower titers but acetate productivity up to 10.5 g L^{-1} day⁻¹ at a hydraulic retention time (HRT) of 1 day.

Luo et al. [55] tested biogas production in an HFM reactor for simultaneous continuous sewage sludge treatment and CO biomethanation at 55 °C. Complete consumption of CO was reported, with maximal productivity of methane of 1992 ml L^{-1} d⁻¹. The microorganisms mainly responsible for CO biomethanation were distributed differently in the liquid and in the biofilm formed on the HFM. The efficiency of microporous membranes used for the CO supply to the liquid was reported to be limited due to its relatively low bubble point pressure [55].

Biofilm formation in HFM bioreactors

As HFM bioreactors can support biofilm formation, this phenomenon needs attention. Biofilms are microbial consortia composed of one or more types of cells adhering to each other or to a surface, enclosed in a matrix of extracellular polymeric substances (EPS). Biofilm formation is linked to quorum sensing, a cell-tocell communication mechanism via self-produced extracellular chemical signals, which allows microorganisms to monitor the population density and regulate gene expression accordingly [60].

Depending on the hydrodynamic conditions achieved in the HFM, and the propensity of the microbial culture to form biofilms or to attach to the membrane material, a biofilm can form in the outer layer of the membrane and cell retention in the module can be achieved. For a liquid continuous operation, the biofilm attached to the membrane surface continues to grow until the biofilm reaches a thickness equilibrated with the operating conditions [61]. This membrane biofilm reactor is typically known as a counter-diffusional biofilm operation, that is, when the substrate (electron donor or acceptor) diffuses through the membrane toward a biofilm naturally forming on the membrane outer surface, while complementary substrates or nutrients typically diffuse from the bulk liquid into the biofilm [62]. Product usually diffuses to the bulk liquid, but depending on membrane characteristics, the volatile product can also diffuse to the lumen of hollow fibers. In that case, it would be stripped with the gas feed (in an open fiber configuration) or achieve equilibrium in a closed-end fiber.

Biofilm reactors can offer several advantages in comparison with planktonic cell configurations as they can enable higher cell density and stability. This can lead to higher volume-specific productivity, long-term continuous operation at high dilution rates without cell washout, and easier downstream processing [63]. Moreover, the biofilm offers a confined microenvironment that might protect cells from inhibitory compounds, substrates, or products [63,64].

An understanding of biofilm formation and composition is essential for the design and optimization of biofilm-based processes [63], although limited knowledge is available for anaerobic syngas-fermenting microorganisms. Philips et al. [65] observed that the addition of NaCl (200 mmol L^{-1}) to their growth medium strongly induced biofilm formation as a stress response of Clostridium ljungdahlii, a well-known syngas fermenting bacterium. The biofilm matrix was composed of extracellular proteins, polysaccharides, and DNA. Several other Clostridium species, including syngas fermenting bacteria, are also known to be capable of biofilm formation [66]. As shown in Table 5, biofilms have been formed in HFMs for syngas fermentation by Clostridium carboxidivorans P7, Clostridium ljungdahlii, and Carboxydothermus hydrogenoformans, although biofilm density (usually defined as the biomass concentration in the biofilm), biofilm thickness, molecular composition, or mechanical properties were not fully characterized. Shen et al. [24] concluded that liquid recirculation rates through the membrane module are crucial for the fermentation performance, as it is responsible for maintaining an appropriate thickness of the biofilm in the module. A high recirculation rate corresponds to high shear stress and results in biofilm abrasion, while a low recirculation rate tends to cause membrane biofouling in the HFM reactor. Zhao et al. [47] observed heterogeneous colonization of the membrane and mushroomshaped micro-colonies in the most populated regions of the fibers, typical of biofilms grown under low laminar flow conditions. The irregular radial biofilm distribution was related to the possibly uneven fiber packing in the module causing an irregular flow of liquid and substrate and nutrient maldistribution. Moreover, EPS accounted for 14% of the total attached biomass.

Syngas transfer to biofilm requires the use of large membrane areas while the membrane modules need to accommodate a desired volume of biofilm. Therefore, the challenge with HFM biofilm reactors is to achieve and control biomass accumulation on properly dimensioned membrane units that contain well-performing membrane materials [57].

Comparison with commercial syngas bioreactors

Membrane bioreactors and the current industrial-scale gas-lift bioreactors should be compared on syngas fermentation potential. However, no full-scale process data or conceptual studies at comparable operational conditions (syngas composition, pH, and temperature), the scale of the reactor, and microbial strain are available, as the only current commercial process is owned and protected by LanzaTech. From industrial data, a maximum mass transfer coefficient (K_La) of $374 h^{-1}$ has been estimated for CO in bubble columns for a superficial gas velocity of 0.14 m s⁻¹ [67]. Higher gas flow rates, lower pressure, and non-coalescing media could increase this value. On the other hand, values up to $1096 h^{-1}$ are found for lab-scale HFM reactors (Table 4), and such K_La values will not be scale-dependent in the case of modular scale-up of HFM reactors. Thus, HFM reactors need a smaller volume for transferring the same amount of syngas.

Exploiting high K_La values requires operation at high biomass concentration. At steady-state operation, HFM reactors with biofilms need little cell growth, whereas gas-lift reactors and bubble columns need either substantial cell growth or cell retention systems, for similar performance. Estimates of costs involved in this are not available.

Per m³, bubble column costs should decrease with increasing size because of decreasing area/volume ratio. HFM reactor costs per m³ are determined by membrane costs and membrane area per volume. Since this will not show many dependencies on the scale, HFM reactors become less likely to be competitive if very large production volumes are needed such as in the case of bulk products like ethanol. Fine chemicals may be a better target for HFM reactors.

Conclusions and future perspectives

The technical feasibility of membrane modules for syngas permeation and fermentation has been demonstrated at the laboratory scale. They can offer cell retention and high syngas mass transfer, potentially leading to high productivities. To achieve this, HFM modules with dense or asymmetric membranes such as PDMS or PMP, respectively, appear suitable. Gas feed configurations (open-/closed-end) and liquid pattern configurations (co-/counter-/cross-current) in these modules have not been systematically studied. Liquid flow operations, though, should prevent biofouling of the module while maximizing mass transfer rates. In the case of external liquid recirculation, external volumes should be minimized to avoid volumetric mass transfer losses. Comparison of published mass transfer performances of HFM reactors for syngas permeation is not straightforward given the variability between the setup, operational conditions, and calculation methodologies.

The economic feasibility of membrane modules for syngas supply in fermentation is not yet clear, because

it requires prior process optimization. However, the number of design parameters is large; they are related to:

- Mass transfer kinetics (membrane permeabilities, *K*_L*a* determination, and standardization)
- Reaction kinetics and stoichiometry
- Biofilm kinetics (cell growth and detachment)

Using mathematical models would allow a more systematic design and optimization of HFM reactors and an understanding of each variable's contribution to the overall performance.

Abbreviations

Abbreviation	Meaning
EPS	Extracellular Polymeric Substances
HFM	Hollow Fiber Membrane
HFM-BCR	Hollow Fiber Membrane Bubble Column Reactor
HRT	Hydraulic Retention Time
PDMS	Polydimethylsiloxane
PMP	Polymethylpentene
рр	Polypropylene
PTMSP	Poly(1-trimethylsilyl-1-propyne)
PVDF	Polyvinylidene fluoride
STR	Stirred Tank Reactor

List of Symbols

Symbols	Meaning	Unit
a	Interfacial area	m^{-1}
A _m	Membrane surface area	m
С	Dissolved gas	mol.m ⁻³
	concentration	2 1
D	Diffusion Coefficient	$m^2.s^{-1}$
d	Diameter	m
d _i	Inner Diameter of hollow fiber membrane	m
d _{Im}	Logarithmic mean diameter of hollow fiber membrane	m
d _o	Outer diameter hollow fiber membrane	m
Н	Dimensionless gas-water Henry coefficient	$\text{mol.m}^{-3}_{\text{gas}}.(\text{mol.m}^{-3}_{\text{liquid}})^{-1}$
k	Volume specific mass transfer coefficient	m.s ⁻¹
KL	Overall Mass Transfer Coefficient, based on liquid side	m.s ⁻¹
K _L a	Volumetric mass transfer coefficient, based on liquid side	s ⁻¹
L	Length of hollow fiber membrane	m
М	Molecular weight	kg.mol ⁻¹
Р	Permeability	$m^2.s^{-1}$
Q	Volumetric flow through the membrane module	$m^{3}.s^{-1}$
R	Ideal Gas Constant	$J.mol^{-1}.K^{-1}$
S	Solubility coefficient or Gas-membrane partition coefficient	mol.m ⁻³ _{membrane} .(mol.m ⁻³ _{gas}) ⁻¹
Sh	Sherwood number	(dimensionless)
		(continued)

(continued)

Т	Temperature	К
t	Sampling time	s
V	Volume	m³
V	Velocity	m.s ⁻¹
δ	Membrane thickness	m
ΔC	Concentration difference	mol.m ⁻³
ε	Porosity	
τ	Tortuosity	
Subscript		
*	Saturated	
eff	Effective	
g	In the gas phase	
k	Knudsen (diffusivity)	
Ι	In the liquid phase	
lm	Logarithmic mean	
L	Liquid	
L,out	Liquid outlet of	
	membrane module	
т	In the membrane	
М	Membrane module	
R	Reservoir	
р	Pore	
0	Initial	
Superscript		
d	Dense layer	
т	Microporous layer	

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