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Pirro G. Hysi, Pirro G. Hysi, Hélène Choquet, Anthony P Khawaja ...+29 more authors

Institutions: UCL Institute of Child Health, King's College London, Kaiser Permanente, University of Cambridge ...+10 more institutions

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1 Meta-analysis of 542,934 subjects of European ancestry identifies 336

2 novel genes and mechanisms predisposing to refractive error and

3 myopia

- 4 Pirro G. Hysi^{1,2,3 †}, Hélène Choquet^{4†}, Anthony P. Khawaja^{5,6†}, Robert Wojciechowski^{7,8†}, Milly S Tedja^{9,10†},
- 5 Jie Yin⁴, Mark J. Simcoe², Karina Patasova¹, Omar A. Mahroo^{1,5}, Khanh K Thai⁴, Phillippa M
- 6 Cumberland^{3,12}, Ronald B. Melles¹³, Virginie J.M. Verhoeven^{9,10,11}, Veronique Vitart¹⁴, Ayellet Segre¹⁵,
- 7 Richard A. Stone¹⁶, Nick Wareham⁶, Alex W Hewitt¹⁷, David A Mackey^{17,18}, Caroline CW Klaver^{9,10,19,20},
- 8 Stuart MacGregor²¹, The Consortium for Refractive Error and Myopia, Peng Khaw⁵, Paul J. Foster^{5,22}, The
- 9 UK Eye and Vision Consortium, Jeremy A. Guggenheim²³, 23andMe Inc., Jugnoo S Rahi^{3,5,12,24*}, Eric
- 10 Jorgenson^{4*}, and Christopher J Hammond^{1,2*}
- 11

12 Author Information:

- 13 1 King's College London, Section of Ophthalmology, School of Life Course Sciences, London, UK
- 14 2 King's College London, Department of Twin Research and Genetic Epidemiology, London, UK
- 15 3 University College London, GOSH Institute of Child Health, London, UK
- 16 4 Division of Research, Kaiser Permanente Northern California, Oakland, California, USA
- 5 NIHR Biomedical Research Centre, Moorfields Eye Hospital NHS Foundation Trust and UCL Institute of Ophthalmology,
 London, UK
- 6 Department of Public Health and Primary Care, Institute of Public Health, University of Cambridge School of Clinical
 Medicine, Cambridge, UK
- 21 7 Department of Biophysics, Johns Hopkins University, Baltimore, MD, USA
- 22 8 Wilmer Eye Institute, Johns Hopkins School of Medicine, Baltimore, MD, USA
- 23 9 Department of Ophthalmology, Erasmus Medical Center, Rotterdam, The Netherlands
- 24 10 Department of Epidemiology, Erasmus Medical Center, Rotterdam, The Netherlands
- 25 11 Department of Clinical Genetics, Erasmus Medical Center, Rotterdam, The Netherlands
- 26 12 Ulverscroft Vision Research Group, UCL Great Ormond Street Institute of Child Health, University College London, UK
- 27 13 Kaiser Permanente Northern California, Department of Ophthalmology, Redwood City, CA, USA
- 28 14 Institute of Genetics and Molecular Medicine, The University of Edinburgh, United Kingdom
- 29 15 Department of Ophthalmology, Harvard Medical School, Massachusetts Eye and Ear, Boston, MA, USA
- 30 16 University of Pennsylvania School of Medicine, Philadelphia, PA, USA
- 31 17 Department of Ophthalmology, Royal Hobart Hospital, Hobart, Tasmania
- 18 Centre for Ophthalmology and Visual Science, University of Western Australia, Lions Eye Institute, Perth, WA, WA 6009,
 Australia
- 34 19 Dept. Ophthalmology, Radboud University Medical Center, Rotterdam
- 35 20 Institute of Molecular and Clinical Ophthalmology Basel, Switzerland
- 36 21 QIMR Berghofer Medical Research Institute, Brisbane, Australia
- 37 22 Division of Genetics and Epidemiology, UCL Institute of Ophthalmology, London, UK.
- 38 23 Cardiff University, School of Optometry & Vision Sciences, UK
- 39 24 Department of Ophthalmology and NIHR Biomedical Research Centre Great Ormond Street Hospital NHS Foundation
- 40 Trust
- 41
- 42
- 43 ^{*t*} These authors jointly led this work
- 44 * These authors jointly supervised this work
- 45

46 Abstract

- 47 Refractive errors, in particular myopia, are a leading cause of morbidity and disability world-wide and
- 48 their prevalence is rising, largely due to cultural and environmental changes. Genetic investigation is a
- 49 valuable tool to better understand the molecular mechanisms underlying abnormal eye development
- 50 and impaired vision. We conducted a meta-analysis of genome-wide association studies involving
- 51 542,934 European participants and identified 336 novel genetic loci associated with refractive error
- 52 that explain an additional 4.6% of spherical equivalent heritability, or an improvement by a third over
- 53 the previous estimates. Collectively, all associated genetic variants explain 18.4% of heritability and
- 54 improve the accuracy of myopia prediction (AUC=0.75). Our results suggest that refractive error is
- 55 genetically heterogeneous, driven by genes participating in the development of every anatomical
- 56 component of the eye. In addition, our analyses suggest that genetic factors controlling circadian
- 57 rhythm and pigmentation are also involved in the development of myopia and refractive error. These
- results may make possible predicting refractive error and the development of personalized myopia
- 59 prevention strategies in the future.

60

61 Refractive errors (RE) occur when converging light rays from an image do not clearly focus on the retina. 62 They are the seventh most prevalent clinical condition¹ and the second leading cause of disability in the 63 world². The prevalence of RE is rapidly increasing, mostly driven by a dramatic rise in the prevalence of one of its forms, myopia (near-sightedness). Although the causes of such a rise over a short time are 64 likely due to environmental and cultural changes from the mid-20th century³, RE are highly heritable⁴. 65 Several studies^{5,6} have previously sought to identify genes controlling molecular mechanisms leading to 66 67 RE and myopia. However, the variance and heritability that can be attributed to known genetic factors is 68 modest⁷ and our knowledge of pathogenic mechanisms remains partial. Here, we conduct a meta-69 analysis combining data from quantitative spherical equivalent and myopia status from large and 70 previously unpublished genome-wide association studies (GWAS) of more than half a million subjects 71 from the UK Biobank, 23andMe and the Genetic Epidemiology Research on Adult Health and Aging 72 (GERA) cohorts, with subsequent replication and meta-analysis with data previously reported from the

73 Consortium for Refractive Error and Myopia (CREAM).

74

75 Results

76 Association Results.

77 Analyses were restricted to subjects of European ancestry (Supplementary Figure 1) and combined 78 results from quantitative measures of spherical equivalent and categorical myopia status. Spherical 79 equivalent quantifies RE; a negative spherical equivalent, below a certain threshold defines myopia. We 80 used results obtained from GWAS of directly measured spherical equivalent in 102,117 populationbased UK Biobank participants⁸, and 34,998 subjects participating in the GERA Study⁹ and combined 81 82 them with results of analyses of self-reported myopia in 106,086 cases and 85,757 controls from the customer base of 23andMe, Inc. (Mountain View, CA), a personal genomics company¹⁰. Additionally, we 83 84 included results from an analysis on the refractive status inferred using demographic and self-reported 85 information on age at first use of prescription glasses among the UK Biobank participants not 86 contributing to the quantitative GWAS (108,956 likely myopes to 70,941 likely non-myopes, see 87 Supplementary Methods). All analyses were adjusted for age, sex and main principal components. To

obtain an overall association with RE, we meta-analyzed the results from all studies by using the z-scores

89 from the GWAS of the spherical equivalent and the negative values of z-scores from the case-control

90 studies (23andMe and UK Biobank), since myopia is negatively correlated with spherical equivalent. As

91 expected, the large total sample size of the discovery meta-analysis (N=508,855) led to a nominally large

genomic inflation factor (λ =1.94). The LD score regression intercept was (1.17), and the (intercept-

1)/(mean(chi^2)-1) ratio of 0.097 is fully in line with the expectations of polygenicity¹¹.

94 We found associations for 438 discrete genomic regions (Figure 1, Supplementary Table 1), defined by

95 markers contiguously associated at conventional level of GWAS significance^{12,13} of p<5x10⁻⁰⁸, separated

96 by more than 1 Mbp from other GWAS-associated markers, as recommended elsewhere¹⁴. Among them,

- 308 loci, including 14 on chromosome X, were not described in previous GWAS studies of refractive
 error⁷. The observed effect sizes were consistent across all the studies (Supplementary Table 1 and
- 99 Supplementary File 1). The association with RE was statistically strongest for rs12193446 (p=9.87x10⁻

 328), within *LAMA2*, a gene previously associated with RE^{5,6}, mutations of which cause muscular

101 dystrophy¹⁵. Consistent with these *LAMA2* properties, polymorphisms located within the genes coding

- 102 for both major *LAMA2* receptors, $DAG1^{16}$ (p=1.67x10⁻⁰⁸ for rs111327216) and *ITGA7*¹⁷ (p=8.57x10⁻⁰⁹ for
- rs17117860) which are also known causes of muscular dystrophy^{18,19}, were significantly associated with

104 RE in the discovery meta-analysis.

105 We compared our discovery meta-analysis findings with GWAS results from 34,079 participants in the

106 CREAM consortium, who were part of a previously reported meta-analysis⁷. To avoid any potential

107 overlap with the UK Biobank participants, only non-UK European CREAM participants were used for

replication. Despite the vast power differential, 55 of the SNPs that showed the strongest association in

their respective regions in the discovery meta-analysis were significant after Bonferroni correction in the
 replication sample. A further 142 had a false discovery rate (FDR) < 0.05 and 192 were nominally

111 significant at P < 0.05 (Supplementary Table 2). The effect sizes observed in the discovery and replication</p>

samples were strongly correlated (Pearson's r=0.91, Supplementary Figure 2). Meta-analysis of all five

cohorts (discovery and replication) expanded the number to 449 associated of regions of variable length

and number of SNPs (Supplementary Figure 3), of which 336 regions were novel (Supplementary Table
3).

116 Most of the 449 RE-associated regions contained at least one gene linked to severe ocular

117 manifestations in the Online Mendelian Inheritance In Man (OMIM) resource or other genes with

118 interesting link to eye disease (Supplementary Table 4). Although most loci identified through our meta-

analyses were novel, several of them hosted genes that harbor mutations leading to myopia or other RE

- 120 phenotypes. Several genes significantly associated with RE were linked to Mendelian disorders affecting
- 121 corneal structure, some of which code for transcription factors involved in corneal development²⁰
- 122 (Supplementary Table 5). Mutations in these genes cause corneal dystrophies (*SLC4A11*, p= 5.81×10^{-11} for
- rs1280632), megalocornea (*LTBP2*, p=1.91x10⁻²⁴, rs73296215) and keratoconus (*FNDC3B*, p=1.89x10⁻¹⁴, rs100771582, provide the described⁷). Eleven PE associated generative states as the second secon
- rs199771582, previously described⁷). Eleven RE-associated genes were linked to anomalies of the
- crystalline lens (Supplementary Table 6), including genes linked to autosomal dominant cataracts (*PAX6* previously linked to myopia²¹, p=8.31x10⁻¹¹, rs1540320; *PITX3*, p=1.05x10⁻¹⁰, rs7923183; *MAF*,
- previously influence to myopia , p=0.51×10 , 151540520; *PTAS*, p=1.05×10 , 157923183; *MAF*, 128 p=5.50×10⁻⁰⁹, rs16951312; *CHMP4B*, p=9.95×10⁻¹¹ , rs6087538; *TDRD7*, p=4.79×10⁻⁰⁸, rs13301794) and
- 129 lens ectopia (*FBN1*, $p=3.30x10^{-24}$, rs2017765; *ADAMTSL4*, $p=8.19x10^{-14}$, rs12131376). Some of the genes
- 130 affected several eye components. For example, *LTBP2 variants* are also associated with congenital

131 glaucoma²², and *COL4A3* (rs7569375, p= 1.14×10^{-08}) causes Alport syndrome, which manifests with

Biauconia, and COL4AS (15700375, P=1.14X10) causes Alport syndrome, which manifests w
 abnormal lens shape (lenticonus) and structural changes in the ratios

abnormal lens shape (lenticonus) and structural changes in the retina.

133 Association was also observed within or near 13 genes known to harbor mutations causing

134 microphthalmia (Supplementary Table 7), including *TENM3* (p=2.48x10⁻¹¹, rs35446926); *OTX2*

- (p=6.15x10⁻¹¹, rs928109); VSX2, (p=4.60x10⁻¹⁰, rs35797567); MFRP, (p=2.85x10⁻¹⁶, rs10892353) and the
 previously identified⁶ TMEM98, (p=3.49x10⁻⁴³, rs62067167). Association was also found for VSX1
- $(p=4.59 \times 10^{-08} \text{ for rs6050351})$, a gene that is closely regulated by VSX2²³ and believed to play important
- roles in eye development²⁴. Many of the genes nearest associated SNPs have been linked to inherited
- retinal disease (Supplementary Table 8), including 32 genes linked to cone-rod dystrophies, night
- 140 blindness and retinitis pigmentosa, and age-related macular degeneration (HTRA1/ARMS2). Among
- 141 genes in novel regions associated with RE, *ABCA4* (p=3.20x10⁻¹⁰ for rs11165052), and ARMS2/HTRA1
- 142 (p=5.72x10⁻²³ for rs2142308) are linked to macular disorders and numerous others to retinitis
- pigmentosa, retinal dystrophy and other retinal diseases, such as *FBN2*, (p=8.63x10⁻¹¹, rs6860901),
- 144 *TRAF3IP1* (p=5.71x10⁻¹⁶, rs7596847), CWC27 (p=1.84x10⁻¹⁸, rs1309551). Significant association was
- found near other genes of interest such as *DRD1* (p=4.51x10⁻¹⁶, rs13190379), a dopamine receptor.
 Together, these results are consistent with previous suggestions of light transmission and transduction
- 147 in RE^{7,25}.
- 148 *Wnt* signaling has previously been implicated in experimental myopia²⁶. We found significant association
- near several *Wnt* protein-coding genes (*WNT7B*, a gene previously associated with axial length²⁷,
- 150 p=1.42x10⁻²⁶ for rs73175083; WNT10A, previously associated with central corneal thickness²⁸,
- 151 $p=1.65 \times 10^{-17}$ for rs121908120 and *WNT3B*, $p=8.52 \times 10^{-16}$ for rs70600), suggesting that organogenesis
- 152 through *Wnt* signaling is likely to be involved in RE. Significant association were found at genes coding
- 153 for key canonical (e.g. rs13072632 within the *CTNNB1* gene, p=7.30x10⁻²⁷; *AXIN2*, rs9895291, p=1.40x10⁻¹
- ⁰⁸) and non-canonical *Wnt* pathway members (*NFATC3*, rs147561310, p=1.493x10⁻¹²) or at genes coding
- for both (*RHOA*, rs7623687, p=1.81x10⁻¹¹ or the previously described⁷ *TCF7L2*, rs56299331, p=9.38x10⁻⁴⁶;
- 156 Supplementary Table 9).
- 157 Similar to previous published analyses²⁵, we found associations for genes involved in sodium, potassium,
- calcium magnesium and other cation transporters (Supplementary Table 10). The involvement of genes
- related to glutamatergic synaptic transmission was also notable (Supplementary Table 11). Glutamate is
- a first synapse transmitter released by photoreceptors towards bipolar cells and is the main excitatory
 neurotransmitter of the retina, and expression of genes participating in glutamate signaling pathways is
- neurotransmitter of the retina, and expression of genes participating in glutamate signaling pathways is
 significantly altered in myopia models²⁹. These associations support the involvement in RE pathogenesis
- 163 of neurotransmission and neuronal depolarization and hyperpolarization that was also suggested
- 164 before⁷. Associations with *POU6F2* gene intronic variants (rs2696187, p=1.11x10⁻¹¹) also suggests
- 165 involvement of factors related to development of amacrine and ganglion cells³⁰. Other genes at RE-
- associated loci were annotated to infantile epilepsy, microcephaly, severe learning difficulty, or other
- 167 inborn diseases affecting the central nervous system (CNS) in OMIM (Supplementary Table 12).
- Polymorphisms in genes linked to oculocutaneous albinism (OCA) were significantly associated with RE (Supplementary Table 13), although typically association was found for SNPs not strongly associated
- 170 with other pigmentation traits³¹. Strong association with RE was found near the *OCA2* gene causing OCA
- type 2 ($p=1.37 \times 10^{-15}$, rs79406658), OCA3 (*TYRP1*, $p=1.18 \times 10^{-11}$, rs62538956), OCA5 (*SLC39A8*, $p=4.03 \times 10^{-11}$
- 17 (ype 2 (p=1.57x10 , 1579400058), OCAS (77771, p=1.18x10 , 1502538950), OCAS (5259A8, p=4.05x10) 17 , rs13107325), OCAS (*C10orf11*, p=1.73x10⁻¹⁶, rs12256171). In addition, significant association was
- found near genes linked to ocular albinism (OA) on chromosome X (*TBL1X* and *GPR143*³², p=2.20x10⁻¹⁸,
- 174 rs34437079) and Hermansky-Pudlak Syndrome albinism (*BLOC1S1*, p=2.4610⁻²², for rs80340147; note
- that this gene forms a conjoint read-through transcript the *BLOC1S1-RDH5* with *RDH5*). Other associated
- 176 markers were located within genes involved in systemic pigmentation also previously associated with
- 177 RE^7 , such as *RALY* (p=3.14x10⁻¹⁸, rs2284388), *TSPAN10* (p=2.22x⁻⁵⁰, rs9747347), as well as melanoma
- 178 (*MCHR2*, p=2.37x10⁻¹⁵ for rs4839756).
- 179
- 180

181 Functional properties of the associated markers

- 182 Among the significantly associated markers, 367 unique markers were frameshift or missense variants
- 183 (Supplementary Table 14). Several are non-synonymous, such as the R141L mutation (rs1048661) within
- 184 LOXL1, a gene that causes pseudoexfoliation syndrome and glaucoma³³ and A69S (rs10490924) in
- 185 *ARMS2*, associated with increased susceptibility to age-related macular degeneration³⁴. Other
- 186 associated variants with predicted deleterious consequences were located in several genes, such as RGR
- 187 (p=6.89x10-68, rs1042454), a gene previously associated with RE^{7,10} and also retinitis pigmentosa³⁵, and
- within the *FBN1* gene, near clusters of mutations that cause Marfan Syndrome and anterior segment
 dysgenesis³⁶.
- 190 Because the functional link between other associated variants and development of RE phenotypes is less
- obvious, we next performed gene-set enrichment analyses to identify properties that are significantly
- shared by genes identified by the meta-analysis. An enrichment analysis of Gene Ontology processes
- 193 (Supplementary Table 15) found enrichment for genes participating in RNA Polymerase II transcription
- regulation ($p=1x10^{-06}$) and nucleic acid binding transcription factor activity ($p=1.10x10^{-06}$), suggesting
- that many of the genetic associations we identified interfere with gene expression. "Eye development"
- 196 (p=6.10x10⁻⁰⁶) and "Circadian regulation of gene expression" (p=1.10x10⁻⁰⁴) were also significantly
- 197 enriched.
- 198 A transcription factor binding site (TFBS) enrichment analysis identified significant (FDR < 0.05) over-
- representation of sites targeted by GATA4, EP300, RREB1, for which association was observed in the
- 200 meta-analyses (Supplementary Table 16). Binding sites of transcription factors involved in eye
- 201 morphogenesis and development such as *MAF* (whose mutations cause autosomal cataract), *FOXC1* and
- 202 *PITX2* (anterior segment dysgenesis) or *CRX* (cone-rod dystrophy) were also enriched. *CRX* and *PAX4*,
- binding sites were also significantly enriched; these transcription factors are two of the regulators of
- 204 circadian rhythm and melatonin synthesis³⁷ alongside OTX2, for which SNP significant association was
- observed in our RE meta-analysis (p=6.15x10⁻¹¹ for rs928109). All of these enriched gene-sets are
- observed for the first time in a GWAS analysis, although the presence of some of the mechanisms that
- 207 relate them to RE and myopia were hypothesized before³⁸.
- 208 Many of the variants associated with RE in our analyses were located within or near genes that are
- expressed in numerous body tissues (Supplementary Figure 4), and in particular from the nervous
 system, consistent with our evidence of extraocular, central nervous system involvement in RE. W
- system, consistent with our evidence of extraocular, central nervous system involvement in RE. Within
 the eye, these genes were particularly strongly expressed in eye tissues such as cornea, ciliary body,
- the eye, these genes were particularly strongly expressed in eye tissues such as cornea, clifary body,
 trabecular meshwork³⁹ and retina⁴⁰ (Supplementary Figure 5, Supplementary Table 17). A stratified LD
- score regression applied to specifically expressed genes (LDSC-SEG)⁴¹ revealed the results of the GWAS
- are most strongly correlated with genes expressed in the retina and basal ganglia in the central nervous
- 215 system but these correlations are not significant after multiple testing correction (Supplementary Figure
- 216 6 and Supplementary Table 18). It is possible that the strength of these correlations was constrained by
- the fact that in most cases, available expression levels were measured in adult samples, while refractive
- 218 error and myopia are primarily developed in younger ages.
- A Summary data-based Mendelian Randomization (SMR) analysis⁴² integrating GWAS with eQTL data
- from peripheral blood⁴³ and brain tissues⁴⁴ found concomitant association with RE and eQTL
- transcriptional regulation effects for 159 and 97 genes respectively (Supplementary Tables 19 and 20). A
- similar analysis integrating GWAS summary data with methylation data from brain tissues found
- association with both RE and changes in methylation for 134 genes (Supplementary Table 21).
- 224
- 225

226 Pleiotropy and genetic effects shared between RE and other conditions

- 227 Examining the GWAS Catalog⁴⁵, some of the genetic variants reported here were previously associated
- with RE, and with other traits, in particular intraocular pressure, intelligence and education; the latter
- two are known myopia risk factors (Supplementary Table 22). We used LD score regression to assess the
- 230 correlation of genetic effects between RE and other phenotypes from GWAS summary statistics
- 231 (Supplementary Table 23). RE genetic risk was significantly correlated with intelligence, both in
- childhood⁴⁶ (r_g =-0.27, p=4.76x10⁻⁰⁹) and adulthood (fluid intelligence score r_g =-0.25, p=1.56x10⁻³⁹),
- educational attainment (defined as the number of years spent in formal education, rg=-0.24, p=3.36x10
- 234 ⁵⁴), self-reported cataract (r_g =-0.31, p=4.70x10⁻¹⁰) and intraocular pressure (IOP, r_g =-0.14, p=1.04x10⁻¹²).
- 235 Higher educational attainment appears to cause myopia as demonstrated by Mendelian randomization
- 236 (MR) studies⁴⁷. A gene by environment interaction GWAS for spherical equivalent and educational
- attainment (using age at completion of formal full-time education as a proxy) was conducted in 66,242
- 238 UK Biobank participants. Despite the relatively well-powered sample, only one locus yielded evidence of
- statistically significant interaction (rs536015141 within *TRPM1*, p=2.35x10⁻⁰⁹, Supplementary Table 24),
- suggesting that the true relationship between RE and education is compounded by several factors and
 may not be linear in nature, as suggested recently⁴⁸. TRPM1 is localized in rod ON bipolar cell dendrites,
- 241 may not be linear in nature, as suggested recently⁴⁸. TRPM1 is localized in rod ON bipolar cell dendrite 242 and rare mutations cause congenital stationary night blindness⁴⁹, often associated with high myopia.
- 243 To further explore the nature of the relationship between RE and IOP, we built MR models using genetic
- effects previously reported for IOP⁵⁰. On average, every 1 mmHg increase in IOP predicts a 0.05-0.09
- 245 diopters decrease in spherical equivalent (Supplementary Table 25, Supplementary Figure 7). We also
- built a MR model to assess the relationship between intelligence and spherical equivalent, but statistical
- evidence in this case points towards genetic pleiotropy rather than causation (Supplementary Table 26).
- 248 This suggests that both myopia and intelligence are often influenced by the same factors, but without
- 249 direct causal path linking one to the other. We found no significant genetic correlations between RE and
- the glaucoma endophenotype vertical cup to disc ratio (r_g =-0.01, p=0.45), or hair pigmentation (r_g =-0.03,
- p=0.35). Therefore, RE and pigmentation may have different allelic profiles with limited sharing of
- 252 genetic risk.
- 253

254 Conditional analysis and risk prediction

255 We subsequently carried out a conditional analysis⁵¹ on the meta-analysis summary results and found a

- total of 904 independent SNPs significantly associated with RE. 890 of these markers were available in
- the EPIC-Norfolk Study, an independent cohort that did not participate in the RE meta-analysis
- 258 (Supplementary Figure 8). These markers alone explained 12.1% of the overall spherical equivalent
- 259 phenotypic variance in a regression model or 18.4% (SE=0.04) of the spherical equivalent heritability.
- 260 Newly associated markers found in our meta-analysis, but not in the previous large GWAS⁷, explain 4.6%
- 261 (SE=0.01) of the spherical equivalent phenotypic variance in EPIC-Norfolk Study, which is an
- improvement of one third compared to heritability explained by previously associated markers⁷.
- 263 Predictive models, based on the above-mentioned 890 SNPs, along with age and sex, were predictive of
- 264 myopia (versus all non-myopia controls) with areas under the receiving operating characteristic curve
- 265 (AUC) of 0.67, 0.74 and 0.75 (Figure 2), depending on the severity cutoff for myopia (\leq -0.75D, \leq -3.00D
- and \leq -5.00D respectively). The performance of the predictions appears not to improve for myopia
- 267 definitions of -3.00D or worse, suggesting that the information extracted from our meta-analysis is more
- representative of the genetic risk for common myopia seen in the general population, than for more
- severe forms of myopia, which may have a distinctive genetic architecture.

Analysis of the distribution of effects and number of associated variants needed to explain all RE heritability

273 Using information from over half a million population-based participants SNPs identified in these

analyses still only explain 18.4% of the spherical equivalent heritability. We next assessed how many

275 common SNPs are likely to explain the entire heritable component of RE, and what sample sizes are

276 likely to be needed in the future to identify them, using the likelihood-based approach described

elswhere⁵². We estimate that approximately 13,808 (SE=969) polymorphic variants are likely to be

behind the full RE heritability. Similar to other quantitative phenotypic traits that are previously

published⁵², our analyses estimate that 10.3% (SE=1.0%) of the phenotypic variance is likely explained by
 a batch of approximately 543 (SE=81) common genetic variants of relatively large effect size and a

further 20.8% (SE=0.9%) of the entire phenotypic variance explained by the remainder. With increased

sample sizes, we project that the proportion of variance explained will continue to improve fast but will

- start plateauing for sample sizes above one million, after which further increases in sample size will
- 284 likely yield ever diminishing additional phenotypic variance (Supplementary Figure 9).
- 285

286 Discussion

Our results provide evidence for at least two major sets of mechanisms in the pathogenesis of RE. The first affect intraocular pressure, eye structure, ocular development and physiology, and the second are CNS-related, including circadian rhythm control. Contributors to RE include all anatomical factors that alter refractive power relative to eye size, light transmittance, photoconductance and higher cerebral functions.

292 The findings implicate almost every single anatomical components of the eye, which along with the

central nervous system participate in the development of RE. The healthy cornea contributes to 70% of

the optical refractive power of the eyes⁵³ and genes involved in corneal structure, topography and

function may directly contribute to RE through direct changes in the corneal refraction. Our results show

that several genes involved in lens development also contribute to RE in the general population. It isunclear if their contribution is mediated through alterations in biomechanical properties that affect

298 eyes' ability to accommodate, changes to the lens refractive index, or alterations in light transmission

299 properties that impair the ability to focus images on the retina.

300 Many retinal genes are implicated in the development of refractive error, reflecting the role of light in

301 mediating eye growth and the importance of the retina's role in light transduction and processing⁷.

- 302 Associations with RE at genes coding for gated ion channels and glutamate receptors point to the
- 303 photoreceptor-bipolar cell interface as a potentially key factor in RE. Rare mutations in several of our
- 304 associated genes cause night blindness, implicating the rod system in the pathophysiology of RE, but

305 many also affect cone pathways. The *TRPM1* gene, important for rod ON bipolar cell polarity⁵⁴, is also

306 implicated in the gene-education interaction analysis. Associations observed for the VSX1 and VSX2, its

- 307 negative regulator, genes implicate the cone bipolar cells⁵⁵.
- 308 The association with genes involved in pigmentation, including most of the OCA-causing genes, raises

309 questions about the relationship between melanin, pigmentation and eye growth and development.

310 These associations are unlikely to be influenced by any cryptic population structure in our samples,

311 which our analyses were designed to control. None of the major pigmentation-associated SNPs³¹ was

- directly associated with RE and there was no significant correlation of genetic effects between RE and
- 313 pigmentation.

- The mechanisms linking pigmentation with RE are unclear. Foveal hypoplasia⁵⁶ and optic disc⁵⁷
- dysplasias are common in all forms of albinism⁵⁸. Although melanin synthesis is disrupted in albinism,
- both melanin and dopamine are synthesized through shared metabolic pathways. Disc and chiasmal
- lesions in albinism are often attributed to dopamine⁵⁹, but we found limited evidence supporting an
- association with RE for genetic variants involved in dopamine signaling. The scarcity of association with
- 319 RE for genes involved in dopamine-only pathways contrasts with the abundance of association for genes
- involved in pigmentation and melanin synthesis. This may suggest that melanin metabolism is connected
- to RE through other mechanisms that are independent from the metabolic pathways it shares with
 dopamine production. Melanin reaches the highest concentrations in the retinal pigment epithelium at
- the outmost layer of the retina, and anteriorly, in the iris and variations in pigmentation may affect the
- intensity of the light reaching the retina. Light exposure is a major protective factor for development of
- 325 myopia^{60,61} It is possible that pigmentation plays a role in light signal transmission and transduction.
- Animal model experiments suggest that in addition to local ocular mechanisms, emmetropization (the
- 327 process by which the eye develops to minimize refractive error) is strongly influenced by the CNS⁶². The
- 328 strong correlation of genetic risks between RE and intelligence and association found for genes linked to
- 329 severe learning disability support an involvement of the CNS in emmetropization and RE pathogenesis.
- 330 Results from gene-set enrichment analysis demonstrate an interesting evolution with increasing sample
- 331 sizes. While smaller previous studies were sufficiently powered to discover enrichment of low, cell-level
- properties, such as cation channel activity and participation in the synaptic space structures²⁵,
- 333 significantly more powered recent studies have found additional evidence for enrichment and
- involvement of more integrated physiological functions, such as light signal processing in retinal cells
- and others⁷. Beyond the identification of a much larger number of genes and explaining significantly
- higher proportions of heritability, our results, based in a considerably more statistically powered sample,
- uphold the previous findings and support the involvement of the same molecular and physiological
- 338 mechanisms that were previously described.
- 339 In line with expectations from a higher power of association to discover genes and gene sets individually
- responsible for even smaller proportions of the refractive error variance⁶³, we find evidence for even
- 341 higher regulatory mechanisms, that act more holistically over the eye development or integrate eye
- 342 growth and homeostasis with other processes of extraocular nature. For example, we found evidence
- 343 that binding sites of transcription factors involved in the control of circadian rhythm are significantly
- 344 enriched among genes associated with refractive error. Circadian rhythm is important in
- emmetropization and its disruption leads to myopia in animal knock-out models³⁸, potentially through
- 346 dopamine-mediated mechanisms, or changes in IOP and diurnal variations.
- 347 Most of the loci identified through our meta-analysis are not subject to particularly strong and
- 348 systematic evolutionary pressures (Supplementary Figure 10). The variability in minor allele frequencies
- observed across loci associated with RE may therefore be the result of genetic drift. However, given the
- 350 variety of the different visual components whose disruptions can result in RE, this variability may also be
- 351 the result of overall balancing forces which encourage high allelic diversity of genes involved in RE,
- providing additional buffering capacity to absorb environmental pressures⁴⁸ or genetic disruptions on
- 353 any of the individual components of the visual system.
- 354 Our results cast light on potential mechanisms that contribute to RE in the general population and have
- identified the genetic factors that explain a considerable proportion of the heritability and phenotypic
- 356 variability of RE. This allows us to improve significantly our ability to make predictions of myopia risk and
- 357 generate novel hypotheses on how multiple aspects of visual processing affect emmetropization, which
- 358 may pave the way to personalized risk management and treatment of RE in the population in the future.

360 Online Methods provided separately

361 **Figure 1**. All GWAS-associated regions from the main meta-analysis. Each band is a true scale of genomic

- regions associated with refractive error listed in Supplementary Table 1 (+250kbp on each side to make
- 363 smaller regions more visible). The different color codes represent the significance (p-value) for the
- 364 genetic variant within that region that displays the strongest evidence for association.



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- 368 **Figure 2**. Receiver Operating Characteristic (ROC) curves for myopia predictions, using information from
- 369 890 SNP markers identified in the meta-analysis. The three different colors represent three different
- 370 curves for each of the different definition of myopia: red all myopia (< -0.75D), blue moderate
- 371 myopia (< -3.00 D) and green severe myopia (defined as < -5.00 D).



False positive rate



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375 <u>References:</u>

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