Metabolic engineering of Saccharomyces cerevisiae for second-generation ethanol
production from xylo-oligosaccharides and acetate
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# 34 Highlights

- Integration of XOS pathway in an acetate-xylose-consuming *S. cerevisiae* strain;
- Intracellular fermentation of XOS, acetate and xylose improved ethanol production;
- Deletion of both *sorl* $\Delta$  and *gre3* $\Delta$  reduced xylitol production.

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## ABSTRACT

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42 Simultaneous intracellular depolymerization of xylo-oligosaccharides (XOS) and acetate 43 fermentation by engineered Saccharomyces cerevisiae offers an advance towards more cost-44 effective second-generation (2G) ethanol production. As xylan is one of the most abundant 45 polysaccharides present in lignocellulosic residues, the transport and breakdown of XOS in an 46 intracellular environment might bring a competitive advantage for recombinant strains in 47 competition with contaminating microbes, which are always present in fermentation tanks; 48 furthermore, acetic acid is a ubiquitous toxic component in lignocellulosic hydrolysates, deriving 49 from hemicellulose and lignin breakdown. In the present work, the previously engineered S. 50 cerevisiae strain, SR8A6S3, expressing NADPH-linked xylose reductase (XR), NAD<sup>+</sup>-linked 51 xylitol dehydrogenase (XDH) (for xylose assimilation), as well as NADH-linked acetylating 52 acetaldehyde dehydrogenase (AADH) and acetyl-CoA synthetase (ACS) (for an NADH-53 dependent acetate reduction pathway), was used as the host for expressing of two  $\beta$ -xylosidases, 54 GH43-2 and GH43-7, and a xylodextrin transporter, CDT-2, from Neurospora crassa, yielding the 55 engineered strain SR8A6S3-CDT<sub>2</sub>-GH432/7. Both  $\beta$ -xylosidases and the transporter were 56 introduced by replacing two endogenous genes, GRE3 and SOR1, that encode aldose reductase 57 and sorbitol (xylitol) dehydrogenase, respectively, which catalyse steps in xylitol production. 58 Xylitol accumulation during xylose fermentation is a problem for 2G ethanol production since it 59 reduces final ethanol yield. The engineered strain, SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>, produced ethanol 60 through simultaneous co-utilization of XOS, xylose, and acetate. The mutant strain produced 60% 61 more ethanol and 12% less xylitol than the control strain when a hemicellulosic hydrolysate was 62 used as a mono- and oligosaccharide source. Similarly, the ethanol yield was 84% higher for the

- engineered strain using hydrolysed xylan compared with the parental strain. The consumption of
  XOS, xylose, and acetate expands the capabilities of *S. cerevisiae* for utilization of all of the
  carbohydrate in lignocellulose, potentially increasing the efficiency of 2G biofuel production.
  Keywords: *Saccharomyces cerevisiae*, xylo-oligosaccharides, acetate, xylose, lignocellulosic
- 68 ethanol
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- 70

# 71 **1. INTRODUCTION**

72 The production of fuel ethanol from sugarcane is a major contributor to the ongoing 73 transition from fossil to renewable fuels and chemicals (Karp et al., 2021). To increase production 74 without a massive increase in land use requires intensification by utilising all of the fermentable 75 carbohydrate in the sugarcane, including that present in bagasse and straw, which is composed of 76 lignocellulose (Raj et al., 2022; Raud et al., 2019). Successfully accessing and fermenting this 77 fraction would also open up routes to using other agricultural by-products, such as straw and 78 forestry residues. Their procurement cost is relatively low, besides being an abundant non-food 79 feedstock (Ko and Lee, 2018). The lignocellulosic biofuel production process requires the 80 deconstruction of biomass into fermentable sugars and the conversion of sugars to biofuels (Li et 81 al., 2019). Due to the complex integration of cellulose, hemicellulose, and lignin in the structure 82 of lignocellulose, harsh pre-treatment is required to access the carbohydrate polymers for 83 enzymatic hydrolysis and fermentation, which can result in the production of by-products such as 84 furans, organic acids, phenols and inorganic salts which can inhibit microbial metabolism (Ask et 85 al., 2013; Kłosowski and Mikulski, 2021; Tramontina et al., 2020).

86 Pre-treatment aims to reduce the crystallinity of cellulose, and partially degrade 87 hemicellulose and lignin to increase the susceptibility of the biomass to enzymatic cocktails, which 88 in turn are necessary to breakdown polysaccharides into fermentable monomeric sugars 89 (Kłosowski and Mikulski, 2021; Sarkar et al., 2012; Sharma et al., 2020). However, during the 90 degradation of hemicellulose and lignin, acetic acid production is unavoidable as hemicellulose 91 and lignin are acetylated (Chen et al., 2019; Klinke et al., 2004). This is toxic to yeast metabolism, 92 reducing sugar fermentation efficiency and biofuel yield (Almeida et al., 2007; Kłosowski and 93 Mikulski, 2021; Salas-Navarrete et al., 2022). Weak organic acids, such as acetic acid, can diffuse

94 undissociated through the cell membrane and dissociate inside the cell, releasing protons and 95 lowering the internal pH value (Bellissimi et al., 2009; Kłosowski and Mikulski, 2021). To 96 overcome the inhibitory effect of acetic acid, Zhang et al., (2016) introduced an optimized route 97 for acetate reduction, through the expression of three copies of codon-optimized acetaldehyde 98 dehydrogenase - adhE (CO adhE) from Escherichia coli, and three copies of a mutated acetyl-99 CoA synthetase - ACS (ACS\*Opt) from Salmonellas enterica into a xylose-fermenting S. 100 cerevisiae strain, which produces recombinant NADPH-linked xylose reductase (XR) and NAD+-101 linked xylitol dehydrogenase (XDH), yielding strain SR8A6S3. This strategy enabled efficient 102 xylose fermentation with 29.7% higher ethanol yield and 70.7% lower by-product (xylitol and glycerol) production when cultivated in YP medium supplemented with 20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> 103 104 xylose, and 8 g L<sup>-1</sup> acetate under strict anaerobic (anoxic) conditions. The reduction of acetate to 105 ethanol serves as an electron sink to alleviate the redox cofactor imbalance resulting from XR and 106 XDH activities (Wei et al., 2013), with NAD<sup>+</sup> generated from the reductive metabolism of acetate 107 being available for XDH activity, thus reducing the production of xylitol and glycerol. Thus, this 108 strategy can provide multiple benefits for the ethanol industry (Zhang et al., 2016).

109 Although SR8A6S3 can tolerate acetic acid present in lignocellulosic hydrolysates, many 110 other inhibitory compounds are also released during the pre-treatment steps (Kłosowski and 111 Mikulski, 2021). While less severe pre-treatment could be considered for achieving a lower 112 concentration of inhibitors, a large amount of cellulase and hemicellulase enzyme cocktails would 113 still be required for converting cellulose and hemicellulose into monomeric sugars, posing 114 unsolved economic and logistical challenges for the industry (Adsul et al., 2020; Chundawat et al., 115 2011; Himmel et al., 2007; Li et al., 2015). One possible strategy to achieve economic 2G ethanol 116 is to use S. cerevisiae strains genetically modified to transport and intracellularly utilize cellulose

and hemicellulose-derived oligosaccharides. Such a microorganism might have a competitive advantage over other microorganisms, such as contaminating bacteria and wild *Saccharomyces* and non-*Saccharomyces* species, which are not able to metabolize oligosaccharides, as well as requiring lower amounts of hemi/cellulolytic enzymes, which should translate into a cheaper process (Procópio et al., 2022).

In previous work, Li and co-authors (Li et al., 2015) expanded xylose utilization by an engineered *S. cerevisiae* strain, to incorporate the transport and intracellular hydrolysis of XOS to xylose monomers through the expression of two  $\beta$ -xylosidases, *GH43-2*, and *GH23-7*, and a XOStransporter, *CDT-2*, from *N. crassa* in a xylose-utilizing host strain. Both glycoside hydrolases (GH) catalyse the hydrolysis of 1,4- $\beta$ -D-xylosidic linkages in xylan (Mewis et al., 2016). The new strain could produce more than 30 g L<sup>-1</sup> of ethanol in 72h of cultivation in an optimized minimum medium (oMM) supplemented with 4% xylose and 3% XOS under anaerobic conditions.

129 In this work, we used the SR8A6S3 strain as a platform for the construction of a yeast strain 130 able to ferment XOS, xylose, and acetate into ethanol (Fig. 1). Genes encoding the XOS-131 transporter (cdt-2) and both of the  $\beta$ -xylosidases (gh43-2 and gh43-7) from N. crassa were 132 integrated into the SR8A6S3 genome (highlighted in the yellow area in Fig. 1). First, a high 133 expression cassette for *cdt-2* expression was integrated into the sorbitol (xylitol) dehydrogenase 134 *locus*, encoded by gene *sor1* gene, through the locus-specific CAS-9-based integration system 135 (Stovicek et al., 2015). Then, both gh43-2 and gh43-7 under the control of the GAP and CCW12 136 promoters, respectively, were integrated into the aldose reductase encoded by gre3 gene using the 137 same locus-specific integration tool. The resulting disruption of GRE3 and SOR1 was designed to 138 mitigate xylitol production and divert more carbon towards ethanol production in the recombinant 139 strain (Jeong et al., 2020; Toivari et al., 2004; Träff et al., 2001). Conversion of hemicellulosic-

- 140 derived residues into industrial products, such as 2G ethanol, can contribute to the progress of
- 141 global warming mitigation (Sun et al., 2021).

## 142



144 Fig. 1. Expected routes of XOS metabolism after expression of the XOS-transporter (CTD-2) and 145 beta-xylosidases (GH43-2 and GH43-7) from N. crassa in SR8A6S3, including xylose metabolism 146 by xylose reductase (XR) and xylitol dehydrogenase (XDH) from S. stipitis. The surplus NADH 147 produced during xylose fermentation can be exploited to detoxify acetate, reducing it to ethanol 148 through the exogenous acetate reduction pathway, involving conversion of acetate into acetyl-CoA 149 by acetyl CoA synthetase (ACS), production of acetaldehyde from the acetyl-CoA by the 150 acetylating acetaldehyde dehydrogenase (AADH) and ethanol production from acetaldehyde by 151 the action of alcohol dehydrogenase (ADH).

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# 153 **2. MATERIALS AND METHODS**

154 **2.1. Strains and media** 

155	<i>E. coli</i> strain DH5α was used for the construction and propagation of plasmids. <i>E. coli</i> was
156	cultured in Lysogeny Broth (LB) medium (5 g L <sup>-1</sup> yeast extract, 10 g L <sup>-1</sup> tryptone, and 10 g L <sup>-1</sup>
157	NaCl) at 37 °C and 100 $\mu/mL$ ampicillin (LBA) was added for selection when required. All
158	engineered S. cerevisiae strains used and constructed in this work are summarized in Table 1.
159	Yeast strains transformed with plasmids containing antibiotics were propagated on YPD plates
160	supplemented with the plasmid corresponding antibiotics, such as clonNAT (100 $\mu$ g mL <sup>-1</sup> ),
161	geneticin G418 (200 $\mu$ g mL <sup>-1</sup> ), hygromycin B (200 $\mu$ g mL <sup>-1</sup> ). The SR8A6S3-CDT <sub>2</sub> strain was
162	generated by integrating the CDT-2 transporter overexpressing gene cassette into the SOR1 locus
163	of the SR8A6S3 genome. To construct an XOS-utilizing strain the PGAP-GH43-7-TCYC-
164	PCCW12-GH43-2-TCYC1 was integrated at the GRE3 locus of SR8A6S3-CDT2, yielding strain
165	SR8A6S3-CDT <sub>2</sub> -GH43 <sub>2/7</sub> .

Strain	Description	Reference
SR8	Efficient xylose-consuming strain (evolved strain of D452-2	(Kim et al.,
	$leu2::LEU_[RS305_TDH3_p_XYL1_TDH3_T$ $ura3::URA3_pRS-X123$	2013)
	<i>his::HIS_</i> pRS3-X123, and <i>ald6::AUR1-C</i> pAUR_d_ALD6)	
SR8A6S3	SR8 expressing three copies of COadhE overexpression cassette and	(Zhang et al.,
	three copies of mutant Salmonella ACS gene overexpression cassette	2016)
SR8-XD	SR8 expressing one copy of CDT2, GH43-2, and GH43-7 overexpression	(Sun, 2020)
	cassette	
SR8A6S3-CDT <sub>2</sub>	SR8A6S3 expressing one copy of CDT2 overexpression cassette	This work

**Table 1**. The yeast strains used in this study.

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SR8A6S3-CDT2-SR8A6S3-CDT2 expressing one copy of GH43-2 and GH43-7 This workGH432/7overexpression cassette

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169 **2.2. Plasmids and strain construction** 

170 All plasmids and primers in this work are summarized in **Tables 2** and **S1**, respectively. 171 The guide RNA (gRNA) plasmids (Table 3) gRNA-sor-K and gRNA-gre-K were amplified from 172 Cas9-NAT by using primers pair DPO 089 and DPO-090, DPO 087 and DPO 088 carrying a 20 173 bp PAM sequence for SOR1 and GRE3 loci, respectively. The gRNAs were predicted by the 174 website: https://www.atum.bio/eCommerce/cas9/input. All gRNA sequences are listed in Table 3. 175 For genomic integration of CDT-2 through CRISPR-Cas9-based integration in the SOR1 176 gene site of SR8A6S3, CDT-2 donor DNA was amplified from plasmid pRS426-CDT2 using a 177 primer pair DPO 081 and DPO 082. Transformants with CDT-2 integration were identified by 178 PCR using primers DPO 083 and DPO 084 and the resulting strain was designated as the 179 SR8A6S3-CDT<sub>2</sub> (Table 1). The PCR reaction was performed using 1.25 µL forward primer, 1.25 180 µL reverse primer, DNA sample 1 µL, Phusion high-fidelity DNA polymerase master mix with 181 HF buffer (New England BioLabs) 12.5 µL, and nuclease-free water 9 µL.

182 To generate transformant strains expressing the GH43-7 GH32-2 gene cassette, the 183 sequence GH43-7-TCYC-PCCW12-GH43-2 was amplified from the genomic DNA of the XOS-184 consuming strain, SR8-XD (Table S1). Firstly, SR8-XD genomic DNA was prepared with the 185 Rapid Yeast Genomic DNA Extraction Kit (Bio Basic Inc., Markham Ontario, CA) and quantified 186 by NanoDrop ND-1000. Primer pairs of DPO 059 and DPO 063 were used to amplify the GH43-187 7-TCYC-PCCW12-GH43-2 gene sequence. The PCR product GH43-7-TCYC-PCCW12-GH43-2 188 was amplified again using a primer pair of DPO 062 and DPO 074 which has homology with 189 plasmid p426GPD. Similarly, the plasmid p426GPD was amplified using a primer pair of bioRxiv preprint doi: https://doi.org/10.1101/2023.02.04.527128; this version posted February 4, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

- 190 DPO 064 and DPO 065. PCR was performed using 1.25 µL forward primer, 1.25 µL reverse
- 191 primer, DNA sample 1 µL, Phusion high-fidelity DNA polymerase master mix with HF buffer
- 192 (New England BioLabs) 12.5 µL, and nuclease-free water 9 µL. Both the linear sequences were
- transformed into competent *E. coli* DH5α to form the plasmid p426-GH43<sub>2/7</sub> (Kostylev et al., 2015)
- 194 (Table S1). *PTDH3-GH43-7-TCYC-PCCW12-GH43-2-TCYC1* donor DNA was amplified from
- 195 plasmid p426-GH43<sub>2/7</sub> using a primer pair DPO\_057 and DPO\_058.
- 196
- 197 **Table 2**. Plasmids used in this study.

Plasmids	Description	Reference
pRS42K	pRS42K, Kanamycin resistance gene	(Liu et al., 2016)
p426GPD	pRS426-pTDH3-tCYC1	Addgene (#14156)
Cas9-NAT	P414-pTEF1-Cas9-tCYC1-NAT1	Addgene (#64329)
p426-CDT2	pRS425-pPGK1-CDT2-tCYC1	(Kim et al., 2014)
gRNA-sor-K	pRS42K carrying SOR1 disruption gRNA cassette	This work
gRNA-gre-K	pRS42K carrying GRE3 disruption gRNA cassette	This work
p426-GH432/7	pRS426- <i>pTDH3-GH43-7-<sub>T</sub>CYC- pCCW12-GH43-2 tCYC1</i>	This work

198

199 **Table 3**. gRNA used in this study.

gRNA (5'- 3')	Insertion locus	Plasmid	Reference
TGTGTCGAACCCTTATCAGT	SOR1	gRNA-sor-K	This study
TCCTCAATCATTCATTGAGA	GRE3	gRNA-gre-K	This study

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Transformation of yeast cells was carried out by the polyethylene glycol (PEG)-LiAc method (Gietz et al., 1995). One microgram of DNA was used for Cas9 or gRNA plasmid  $203 \qquad transformation, 1.5\,\mu g\,of\,donor\,DNA\,was\,used\,for\,homologous\,recombination.\,Correct\,integration$ 

was confirmed by PCR using primers DPO\_069 and DPO\_070. The recombinant strain was

205 designated as SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> (Table 1).

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# 207 **3.3. Enzyme activity assay and protein quantification**

208 SR8A6S3-CDT<sub>2</sub>-GH34<sub>2/7</sub> and SR8A6S3 were grown in 22 mL of yeast extract-peptone (YP) medium (10 g L<sup>-1</sup> yeast extract, 20 g L<sup>-1</sup> peptone) containing 2% glucose, 8% xylose, and 209 210 0.8% acetate (YPDXA) until late log phase before harvesting by centrifugation. Yeast cell pellets, 211 0.24 g for SR8A6S3-CDT<sub>2</sub>-GH34<sub>2/7</sub> and 0.21 g for SR8A6S3, were resuspended in buffer 212 containing 0.1 mM CaCl<sub>2</sub>, 50 mM Tris-HCl, 100 mM NaCl, 1 mM DTT, 0.1% Triton X, pH 7.4, 213 0.1mM PMSF (Thermo Fisher Scientific). The cells were disrupted by agitation using 1 g glass 214 beads and ultrasonic bath at 40% amplitude for 5 minutes on ice. The resulting lysates were 215 centrifugated at 14,000×g for 20 min at 4 °C, and the clarified supernatant was used as an enzyme 216 source for  $\beta$ -xylosidase assays.

217 β-xylosidase activity was measured according to Tramontina et al. (2016). Briefly, 30 μL 218 of the clarified supernatant and 50 μL of 5 mM ρ-Nitrophenyl-β-D-xylopyranoside (pNPX) 219 solution were added to 20 µL of reaction buffer (250 mM MES, and 5 mM CaCl<sub>2</sub>, pH 7), which 220 was then incubated at 30 °C for 60 min for the enzyme reaction. The reaction was stopped by 221 adding 100 µL of 2 M Na<sub>2</sub>CO<sub>3</sub> and the amount of p-Nitrophenol produced was estimated 222 spectrophotometrically at a wavelength of 405 nm and the absorbance converted to concentration 223 using a standard curve. One unit of enzyme activity was defined as the amount of enzyme 224 catalysing the hydrolysis of 1 µmol pNPX per minute in 1 mL of yeast intracellular lysate (µmol 225 mL<sup>-1</sup> min<sup>-1</sup>) "U mL<sup>-1</sup>", or per mg of total lysate protein (µmol mg<sup>-1</sup> min<sup>-1</sup>) "U mg<sup>-1</sup>", or per gram

226	of cells ( $\mu$ mol g <sub>CDW</sub> <sup>-1</sup>	<sup>1</sup> min <sup>-1</sup> ) under th	e described assa	y conditions.	The protein	concentrations	in
227	each sample were det	termined using th	ne Bradford dye	method (Brad	dford, 1976).		

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# 3.4. Fermentation and analytical methods

230 Anaerobic batch fermentation experiments were performed in 100 mL serum bottles with 231 30 mL fermentation media. Serum bottles were sealed with a butyl rubber stopper and then flushed 232 with nitrogen gas, which had been passed through a heated, reduced copper column to remove 233 traces of oxygen. Micro-aerobic batch fermentation experiments were performed in a 125 mL 234 Erlenmeyer flask with 30 mL of fermentation media. Both anaerobic and micro-aerobic cultures 235 were incubated in a rotary shaker at 100 rpm at 30 °C.

236 For all cultivations, yeasts were pre-grown in yeast extract-peptone (YP) medium (10 g L<sup>-</sup> 237 <sup>1</sup> yeast extract, 20 g L<sup>-1</sup> peptone) supplemented with 20 g L<sup>-1</sup> glucose, harvested by centrifugation 238 at 3,134  $\times$ g, at 4°C for 5 min, and washed three times with sterile distilled water. Washed yeast 239 cells were inoculated in serum bottles or Erlenmeyer flasks containing either: YP supplemented 240 with a mixture of glucose, xylose, and acetate (YPDXA); hemicellulosic hydrolysate (YPH); 241 hemicellulosic hydrolysate, xylose and acetate (YPXAH); hydrolysed xylan (YPXy); hydrolysed 242 xylan and acetate (YPAXy). Initial cell concentration varied according to the cultivation, OD<sub>600</sub> was 1 or 10. Xylan hydrolysis was carried out according to (Ávila et al., 2020). The hemicellulosic 243 244 hydrolysate from sugarcane straw was obtained by a two-stage procedure: mild acetylation at 60 245 °C, 30 min, 0.8% (w w<sup>-1</sup>) of NaOH and 10% (w w<sup>-1</sup>) of solids followed by hydrothermal pre-246 treatment at 190 °C, 20 min, 10% (w w<sup>-1</sup>) of solids. The hemicellulosic hydrolysate obtained after 247 the second step was enzymatically treated with a GH11 from Neocallimastix patriciarum 248 (Megazyme® Ireland) as detailed described elsewhere (Brenelli et al., 2020). Afterwards, the

hemicellulosic hydrolysate rich in XOS was concentrated approximately 5-fold in a rotary vacuum
evaporator. Table 4 shows the chemical composition of the XOS-rich hemicellulosic hydrolysate.

Table 4. Chemical composition of the XOS-rich hemicellulosic hydrolysate after treatment with aendoxylanase GH11 and concentration.

Component	AA	FA	FT	AR	AOS	GOS	Xyl	HMF	FL	XOS
Concentration (g L <sup>-1</sup> )	0.77	2.51	6.40	3.93	2.45	6.91	2.74	0.07	0.01	49.67

Legend: AA - acetic acid, FA - formic acid, FT – total phenolics, AR - arabinose, AOS - arabinooligosaccharides, GOS - gluco-oligosaccharides, Xyl - xylose, HMF - hydroxymethylfurfural, FL
- furfural, XOS - total xylo-oligosaccharides.

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258 Samples were taken using syringe and needle from serum bottles or manual single-channel 259 pipette (Gilson, USA) from Erlenmeyer flasks at appropriate intervals to measure cell growth and 260 metabolites concentrations. Cell growth was monitored as the optical density at 600 nm (OD600) 261 measured using a UV-visible Spectrophotometer (Biomate 5). The samples were centrifuged at 262 14,000×g for 10 min and supernatants diluted appropriately for the determination of glucose, 263 xylose, xylitol, glycerol, succinate, acetic acid, and ethanol by high-performance liquid 264 chromatography (HPLC, Agilent Technologies 1200 Series) equipped with a refractive index 265 detector (RID). Chromatography was done on a Rezex ROA-Organic Acid H+ (8%) column 266 (Phenomenex Inc., Torrance, CA) maintained at 60 °C, with 0.005 N H<sub>2</sub>SO<sub>4</sub> as eluent at a flow 267 rate of 0.6 mL min<sup>-1</sup>. Analyte concentrations were determined by using the RID detector.

## 268 2.3.1. Xylo-oligosaccharide quantification

269 enzymatic products were analysed by high-performance anion-exchange The 270 chromatography with pulsed amperometry detection (HPAEC-PAD) to detect xylose and XOS 271 produced by the xylanase enzymes. Separation was performed using a Dionex ICS-3000 272 instrument (Thermo Fisher Scientific, Sunnyvale, CA, USA) with a CarboPac PA100 column 273 (4×250 mm) and CarboPac PA100 guard column (4×50 mm), eluted with a linear gradient of A 274 (NaOH 500 mM) and B (NaOAc 500 mM, NaOH 80 mM). The gradient program was 15 % of A 275 and 2 % of B for 0-10 min, followed by 15-50 % of A and 2-20 % of B from 10-20 min, with a flow rate of 1.0 mL min<sup>-1</sup>. The integrated peak areas were converted to concentrations based on 276 277 standards ( $\times 1$  to $\times 6$ ).

278

## 279 3. RESULTS AND DISCUSSION

# 280 3.1. Cas9- based integration of CDT-2 expression cassette into the SOR1 locus

281 Although xylitol has a variety of uses in the food, cosmetic, nutraceutical, and 282 pharmaceutical industries (Queiroz et al., 2022), this metabolite may face competition from an 283 available carbon source, reducing the efficiency of ethanol production. S. cerevisiae strains possess 284 genes encoding enzymes capable of xylose reduction, such as GRE3, GCY1, YPR1, YDL124W, 285 YJR096W, and xylitol oxidation such as XYL2, SOR1, SOR2, XDH1, which can result in xylitol 286 formation during xylose fermentation (Wenger et al., 2010). To reduce xylitol production and 287 divert the carbon to ethanol production, SOR1 was replaced by a CDT-2 expression cassette in the 288 genomic DNA of strain SR8A6S3, yielding SR8A6S3-CDT2. The required integration of the CDT-289 2 cassette was confirmed by PCR analysis. Colony PCR was performed directly from 27 colonies 290 of the positive-control plate (Fig. S1). Once the desired integration was confirmed, both SR8A6S3291 CDT<sub>2</sub> and SR8A6S3 strains were compared in anaerobic and micro-aerobic batch cultures (**Fig.** 292 **2.A**, **2.B** and **3**) in YPDXA containing 20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> xylose, and 8 g L<sup>-1</sup> acetate, with 293 an initial OD600 of 1.

Deletion of *sor1* led to a reduced rate of xylose and acetate consumption under both anaerobic and micro-aerobic conditions (**Fig. 2.A**, **2.B**, and **3**). Under anaerobic batch cultivation, 75% of the initial xylose was consumed by the SR8A6S3 strain, while SR8A6S3-CDT<sub>2</sub> was only able to consume 53% of the original concentration within 72 h (**Fig. S2.A**). The xylose consumption rate of SR8A6S3 was also higher after 24h of anaerobic cultivation in comparison to SR8A6S3-CDT<sub>2</sub> (**Table 5**).

300 Concerning acetate metabolism, the control strain consumed 71% of the initial acetate in 301 the medium, while SR8A6S3-CDT<sub>2</sub> consumed only 43% in 72 h of cultivation (Fig. 2.A, 2.B, and 302 S2.E). For glucose metabolism, no difference was observed between the two strains (Fig. 2). 303 However, despite the greater consumption of xylose and acetate by the control strain ( $83.08 \pm 1.46$ 304 versus 70.58  $\pm$  2.91 g L<sup>-1</sup>), SR8A6S3-CDT<sub>2</sub> had a slightly higher ethanol yield (**Table 5**) and 305 produced 66% less xylitol and 12% less glycerol as a by-product (Fig. S2). We observed that 306 glycerol was primarily coming from glucose for both cultivations. Great amount of total glycerol 307 was produced at 24 h of cultivation, 66% for SR8A6S3 and 60% for SR8A6S3-CDT<sub>2</sub>. Considering 308 these results, it is possible to conclude that in the control strain cultivation, the carbon source was 309 channelled towards metabolites whose pathways allowed the balance of redox cofactors, such as 310 xylitol and glycerol. Thereby, *sor1* is responsible for a significant amount of xylitol production 311 but sor  $I\Delta$  primarily slows down xylose metabolism. Whereas sor  $I\Delta$  enabled the engineered strain 312 to drive more carbon toward the desired product (ethanol). Presumably, this is because the NADH 313 / NAD<sup>+</sup> balance has changed while the ethanol yield has increased marginally via the pyruvate

decarboxylase (PDC) route as, relative to xylose, acetate metabolism is proportionally lower whenthe two strains are compared.

316 Some metabolites were measured to compare the fermentation profiles of SR8A6S3-CDT2 317 and SR8A6S3 (Fig. S2). Elimination of xylitol production through sor  $1\Delta$  increases the availability 318 of intracellular NADH, which enabled the recombinant cell to produce more ethanol per gram of 319 consumed sugar (ethanol yield). Deletion of the sorl gene activity does not eliminate xylitol 320 production since other genes encode enzymes capable of xylose reduction or xylitol oxidation, 321 resulting in xylitol production. However, under strict anaerobic cultivation, the xylitol amount was reduced from 1.9 g L<sup>-1</sup> to 0.69 g L<sup>-1</sup> comparing parental and recombinant strains, respectively (Fig. 322 323 **S2.C**). In principle, NAD<sup>+</sup> should be available to drive the xylitol to xylulose reaction.

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Fig. 2. Fermentation profiles of SR8A6S3 (A), SR8A6S3-CDT<sub>2</sub> (B), and SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> (C) when fermenting YP supplemented with 20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> xylose, and 8 g L<sup>-1</sup> acetate) under strictly anaerobic conditions. Data are presented as mean values and standard deviations of three independent biological replicates.

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331 Under strict anaerobic conditions, ethanol is the most important primary metabolite 332 produced in terms of re-oxidation of excess NADH and redox balancing, followed by the 333 production of glycerol (Jain et al., 2011), which is important to support xylulose production from 334 xvlitol. When oxygen is available in the flask, redox balancing of NADH / NAD<sup>+</sup> can also occur 335 through the electron transport chain, which should result in less xylitol accumulation in the 336 medium. We corroborated this hypothesis during batch cultivations under micro-aerobic 337 conditions, where lower xylitol production was observed for both strains (Fig. 3 and S3.C). Micro-338 aerobic batch fermentations were performed in complex YP media supplemented with 20 g L<sup>-1</sup> 339 glucose, 80 g L<sup>-1</sup> xylose, and 8 g L<sup>-1</sup> acetate with an initial OD<sub>600</sub> of 1 (Fig. 3 and S3).

Under micro-aerobic conditions the ethanol yields of SR8A6S3-CDT<sub>2</sub> and SR8A6S3 were  $0.39 \text{ g}_{\text{Ethanol}} (\text{g}_{\text{consumed sugars}})^{-1}$  and  $0.37 \text{ g}_{\text{Ethanol}} (\text{g}_{\text{consumed sugars}})^{-1}$ , respectively. As expected, xylitol yield was lower in SR8A6S3-CDT<sub>2</sub> than in SR8A6S3,  $0.004 \text{ g}_{\text{Xylitol}} (\text{g}_{\text{consumed xylose}})^{-1}$  against 0.009  $g_{\text{Xylitol}} (\text{g}_{\text{consumed xylose}})^{-1}$ , respectively. Until 48 h of cultivation, SR8A6S3-CDT<sub>2</sub> consumed 79% of the initial concentration of acetate, whereas SR8A6S3 consumed slightly lower amounts, 73%. After 48 h, both strains star oxidising the ethanol back to acetate (Fig. 3).

346



Fig. 3. Fermentation profiles of the SR8A6S3-CDT<sub>2</sub> (A), SR8A6S3 (B) when fermenting 20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> xylose, and 8 g L<sup>-1</sup> acetate under micro-aerobic conditions. Data are presented as mean values and standard deviations of three independent biological replicates.

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# 352 **3.2.** Cas9- based integration of a GH43-2\_GH43-7 expression cassette into the *GRE3 locus*

353 GRE3 is an important xylose-reducing enzyme expressed by S. cerevisiae strains, the 354 deletion of which decreases xylitol formation (Träff et al., 2001). Therefore, to further decrease 355 carbon diverted to xylitol formation, a cassette for GH43-2 and GH43-7 high expression was 356 integrated into the gre3 locus of SR8A6S3-CDT<sub>2</sub> using a CAS-9-based system (Stovicek et al., 357 2015), yielding the SR8A6S3-CDT2-GH432/7 strain. The desired integration of the GH432/7 358 sequence cassette into SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> was confirmed by colony PCR performed on 7 359 colonies from the positive-control plate (Fig. S4). Once correct integration was confirmed, a 360 positive transformant was then evaluated for growth in xylose and acetate, hydrolysed xylan, and 361 hemicellulosic hydrolysate.

Thereby, to investigate the latest engineered strain, a YP-based medium was used to cultivate SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> and measure xylose and acetate fermentation performance 364 compared with SR8A6S3-CDT<sub>2</sub> and their parental strain, SR8A6S3. Anaerobic batch cultivation 365 was carried out for xylose and acetate consumption evaluation, and ethanol and xylitol production 366 in high sugar content media (20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> xylose, and 8 g L<sup>-1</sup> acetate) with an initial 367 OD600 of 1 (**Fig. 2** and **S5**).

368 In the first 24 h of cultivation, SR8A6S3-CDT2-GH432/7 had an increased xylose 369 consumption profile, compared with the SR8A6S3-CDT<sub>2</sub> strain. The latest engineered strain 370 consumed  $13.65 \pm 0.53$  g L<sup>-1</sup> of xylose, which represents 18% of the initial xylose concentration, and the immediate parent consumed  $10.73 \pm 0.53$  g L<sup>-1</sup> (15% of the initial xylose concentration). 371 372 During the same period, the acetate consumption profile was slightly higher for SR8A6S3-CDT<sub>2</sub>-373 GH34<sub>2/7</sub> than SR8A6S3-CDT<sub>2</sub>, 17% against 15% of the initial acetate concentration, respectively 374 (Fig. 2B and 2C). Following a similar line of analysis, the glycerol production profile, within the 375 first 24 h, was higher for SR8A6S3-CDT<sub>2</sub>-GH34<sub>2/7</sub> than for SR8A6S3-CDT<sub>2</sub> strain. The first 376 produced  $1.47 \pm 0.04$  g L<sup>-1</sup> and the second one achieved  $0.98 \pm 0.13$  g L<sup>-1</sup> of glycerol. Conversely, 377 within 24 and 72 h of cultivation, SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> consumed lesser amounts of xylose 378 and acetate than its immediate parent strain,  $28.84 \pm 0.66$  g L<sup>-1</sup> against  $34.61 \pm 3.45$  g L<sup>-1</sup> for xylose and  $1.81 \pm 0.14$  g L<sup>-1</sup> against  $2.38 \pm 0.14$  g L<sup>-1</sup> for acetate, respectively; as well as produced lesser 379 380 amounts of glycerol,  $0.34 \pm 0.04$  g L<sup>-1</sup> against  $0.66 \pm 0.12$  g L<sup>-1</sup>, respectively for SR8A6S3-CDT<sub>2</sub>-381 GH43<sub>2/7</sub> and SR8A6S3-CDT<sub>2</sub> (Fig. 2B and 2C). The change in the profile of xylose, acetate, and 382 glycerol for both strains in the first 24 h of cultivation and after this time, presumably is because 383 of the change in the balance of NADH / NAD<sup>+</sup>. Deletion of gre3 and increased production of 384 glycerol (within 24 h of cultivation) might result in higher availability of the cofactors required for 385 xylose metabolism (Fig. S6), which reflected better xylose consumption profile for SR8A6S3-386 CDT<sub>2</sub>-GH43<sub>2/7</sub> in the first 24 h of cultivation. After the depletion of glucose, the glycerol

387 production profile decreased for both strains (Fig. 2B and 2C) but  $gre3\Delta$  slows down xylose 388 metabolism.

389 Instead, compared with SR8A6S3 (Fig. 2A and 2B), the latest engineered strain had 390 impaired xylose and acetate consumption profiles during all times of cultivation. Within the first 391 24 h of cultivation, SR8A6S3 consumed  $22.53 \pm 1.24$  g L<sup>-1</sup> of xylose, which represents 28% of the initial concentration, and  $2.28 \pm 0.10$  g L<sup>-1</sup> of acetate. The doubly engineered strain after 72h 392 393 consumed only 56% and 40% of the initial concentration of xylose and acetate, respectively, 394 although, intriguingly, rate of xylose consumption was marginally higher than the parent strains 395 after 24h. However, SR8A6S3-CDT<sub>2</sub>-GH4 $3_{2/7}$  had a slightly higher ethanol yield compared to both 396 SR8A6S3-CDT<sub>2</sub> and SR8A6S3 (Table 5). Therefore, although xylitol production after 72h was 397 similar for SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> and SR8A6S3-CDT<sub>2</sub> deletion of both sor1 $\Delta$  and gre3 $\Delta$ , 398 which should increase the availability of intracellular NADH and NADP<sup>+</sup>, enabled cells to produce 399 more ethanol per gram of consumed sugar (ethanol yield) than the sor  $1\Delta$  single deletion (Fig. S6). 400 Moreover, the biomass production profile, which was analysed by measurement of OD<sub>600</sub>, of 401 SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> and SR8A6S3-CDT<sub>2</sub> was similar until 24 h but was lower for the 402 reference strain. The double-engineered strain and its immediate parent presented an increase in 403 biomass content of  $2.95 \pm 0.01$  and  $2.81 \pm 0.01$ , representing an increase of 376% and 384% of 404 OD<sub>600</sub> within the first 24 h of cultivation. In the meantime, SR8A6S3 achieved the growth of 584% 405 of initial cell concentration, achieving at 24 h of cultivation an OD<sub>600</sub> of  $3.90 \pm 0.03$ . The sor  $I\Delta$ 406 decreased the xylose consumption rate, while  $gre3\Delta$  increased this rate at 24 h, but still lower than 407 SR8A6S3 (Table 5).

408

# 409 Table 5. Fermentation profiles of SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>, SR8A6S3-CDT<sub>2</sub>, and SR8A6S3

# 410 under anaerobic conditions.

	At 24 h				At 72 h					
	rxylose	<i>r</i> xylose*	$P_{ m xylitol}$	PEthanol	rxylose	<i>r</i> xylose*	$P_{ m xylitol}$	$P_{ m Ethanol}$	YEthanol	Y <sub>Xylitol</sub>
SR8A6S3- CDT <sub>2</sub> -GH43 <sub>2/7</sub>	0.54±0.03	0.15±0.01	0.00±0.00	0.77±0.05	0.57±0.01	0.10±0.00	0.01±0.00	0.45±0.00	0.47±0.01	0.018.±0.000
SR8A6S3-CDT <sub>2</sub>	0.37±0.13	0.10±0.03	0.00±0.00	0.62±0.06	0.63±0.03	0.10±0.02	0.01±0.00	0.41±0.01	0.44±0.01	0.015.±0.001
SR8A6S3	0.94±0.06	0.19±0.01	0.00±0.00	0.74±0.00	0.83±0.02	0.14±0.00	0.03±0.00	0.47±0.00	0.42±0.00	0.032±0.0000
Parameters: (g L <sup>-1</sup> OD <sup>-1</sup> productivity	$r_{xylose}$ , x h <sup>-1</sup> ); $P_x$ r (g L <sup>-1</sup> )	ylose co <sub>ylitol</sub> , vol h <sup>-1</sup> ); Y <sub>Et</sub>	onsumpti umetric <sub>hanol</sub> , eth	ion rate ( xylitol j nanol yie	(g L <sup>-1</sup> h <sup>-1</sup> productive eld (g g <sub>e</sub>	<sup>1</sup> ); r <sub>xylose</sub> vity (g I onsumed ca	*, specif L <sup>-1</sup> h <sup>-1</sup> ); rbon source	ic xylos P <sub>Ethanol</sub> , <sup>1</sup> ); Y <sub>Xyli</sub>	e consur volumeti tol, xylite	nption rate ric ethanol ol yield (g
gconsumed carbo	n source <sup>-1</sup> ).									
Wen	iger et al.	. (2010)	screenec	l a large	number	of S. cer	evisiae s	trains fro	om wild,	industrial,
and laborate	ory back	grounds	to deter	mine the	e xylose-	positive	phenoty	pe. Of 6	647 stud	ied strains,
some wine	strains a <sub>j</sub>	ppeared	to be ab	ole to gro	ow mode	estly on	xylose. ]	By the a	pplicatio	on of high-
throughput	sequenci	ng to bu	ılk segre	egant ana	ulysis, th	ey were	able to	identify	a novel	XDH gene
homologous	s to SOR	l (which	n was cal	lled XDF	H) respo	onsible f	or this p	henotype	e. Next, 1	the authors
performed a	ı compre	hensive	analysis	s of the i	nvolven	nent of t	he genes	GCY1,	GRE3,	YDL124W,
YJR096W, Y	YPR1, SC	OR1, SO	R2, XDI	HI, XYLZ	2, and X	<i>KS1</i> in t	he XDH	<i>l</i> backgr	ound str	ain (which
has a xylose-positive phenotype) by single or combined deletion of the target genes. Single										
deletion of putative xylitol dehydrogenases (SOR1, SOR2, and XYL2) increased xylose utilization										
rate relative to the positive control; this phenotype was further enhanced when all three genes were										
deleted (sor	$1\Delta sor 2\Delta$	$\Delta xy l 2\Delta$ )	(Wenge	er et al., 2	2010).					

427 To assess the effect of endogenous xylitol-assimilating pathway genes on xylitol 428 production profile by an engineered S. cerevisiae industrial strain CK17 overexpressing Candida 429 tropicalis XYL1 (encoding xylose reductase) in both batch and fed-batch fermentation with xylose 430 and glucose as carbon sources, Yang et al. (2021) performed single deletion of the following genes: 431 XYL2 (yielding the strain CK17 $\Delta xyl2$ ), SOR1/SOR2 (yielding the strain CK17 $\Delta sor$ ), and XKS1 432 (yielding the strain CK17 $\Delta x ks l$ ) (Yang et al., 2021). According to the authors, the mutant sor $\Delta$ 433 had a reduced xylose consumption rate (12.4%) and xylitol production rate (4.7%), compared with 434 its parental strain CK17, which is consistent with our findings for SR8A6S3-CDT<sub>2</sub>. The strain 435 CK17 $\Delta xks1$  had the highest xylose consumption rate (0.65 g L<sup>-1</sup> h<sup>-1</sup>) and xylitol production rate (0.644 g L<sup>-1</sup> h<sup>-1</sup>), while the control strain consumed xylose and xylitol at 0.598 g L<sup>-1</sup> h<sup>-1</sup> and 0.549 436 437  $g L^{-1} h^{-1}$ , respectively (Yang et al., 2021).

438 The GRE3 gene was also deleted to improve xylose metabolism in S. cerevisiae CEN.PK2-439 1C expressing the xylose isomerase encoding gene xylA from Thermus thermophilus. The 440 recombinant gre3 $\Delta$  strains produced less xylitol than the parental strain (Träff et al., 2001). 441 According to the authors, deletion of GRE3 in S. cerevisiae decreased xylitol formation two- to 442 threefold but not completely as xylitol may also be formed by the products of other genes, such as 443 XDH (homologous to SOR1 gene), through the reduction of xylulose or putative XR enzyme 444 (Patiño et al., 2019; Richard et al., 1999; Wenger et al., 2010). Similarly, in the construction of a 445 S. cerevisiae strain expressing the isomerase pathway (xylA) from the anaerobic fungus 446 Orpinomyces sp. (GenBank No. MK335957), gre3 $\Delta$ , sor1 $\Delta$ , XYL3, and TAL1 were added to reduce 447 xylitol accumulation and increase the growth rate (Jeong et al., 2020).

448 On the other hand, overexpression of the endogenous genes *GRE3* and *XYL2*, coding for 449 nonspecific aldose reductase and xylitol dehydrogenase, respectively, under endogenous 450 promoters, enhanced the growth of *S. cerevisiae* on xylose in the presence of glucose in aerobic 451 shake flask cultivation (Toivari et al., 2004). However, significantly more xylitol was formed by 452 the CEN.PK2 strain overexpressing the *S. cerevisiae* enzymes in comparison to the strain that 453 carries *XR* and *XDH* from *S. stipitis*. Also, transcriptional analysis of xylose and glucose grown 454 cultures shows that the expression of *SOR1*, which encodes sorbitol dehydrogenase, was elevated 455 in transformed cultures. Thereby, the presence of xylose resulted in higher *XDH* activity and 456 induced the expression of the *SOR1* gene which also has *XDH* activity (Toivari et al., 2004).

GRE3 and SOR1 genes were considered for improving xylose fermentation based on theseprevious studies. In some of them, *sor1*\$\Delta\$ increased xylose utilization, and *gre3*\$\Delta\$ plus *sor1*\$\Delta\$ decreased xylitol accumulation. Similarly, we have observed that *gre3*\$\Delta\$ plus *sor1*\$\Delta\$ in *S. cerevisiae* SR8A6S3 decrease xylitol formation (**Table 5**). However, in contrast, *sor1*\$\Delta\$ alone did not increase the xylose consumption rate by SR8A6S3 (**Table 5**) as reported by (Wenger et al., 2010).

462

## 463 **3.3. GH43 beta-xylosidases are intracellularly active**

464 The activity of GH43-2 and GH43-7 in cell extracts of SR8A6S3 and SR8A6S3-CDT<sub>2</sub>-465 GH43<sub>2/7</sub> was determined with pNPX as substrate (Fig. 4). No  $\beta$ -xylosidase activity was detected 466 in the control strain, which is consistent with the absence of both genes gh43-2 and gh43-7 in its 467 genome. On the other hand, the strain SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> showed β-xylosidase activities of 27.74 U mL<sup>-1</sup>, or 114.53 U g<sub>CDW</sub><sup>-1</sup>, or 0.160 U mg<sub>Protein</sub><sup>-1</sup>. The expression of both GH43-2 and 468 469 GH43-7 is essential for converting XOS into xylose as the XR also acts as an XOS reductase, 470 producing xylosyl-xylitol as a potential dead-end product, as first presented by Li et al., (2015). 471 According to their work, despite the  $\beta$ -xylosidase GH43-7 having weak  $\beta$ -xylosidase activity, it 472 rapidly cleaves xylosyl-xylitol into xylose and xylitol (Li et al., 2015).

473 Within the context of XOS-to-ethanol production, other fungal xylanases have also been 474 functionally expressed in S. cerevisiae, for example,  $\beta$ -xylosidase from Aspergillus oryzae 475 NiaD300 and xylanase II from Trichoderma reesei QM9414, which had activities in S. cerevisiae 476 MT8-1 of 234 U  $g_{CDW}^{-1}$  and 16 U  $g_{CDW}^{-1}$ , respectively (Katahira et al., 2004);  $\beta$ -xylosidase from T. reesei QM9414 gave an activity of 6 nmol min<sup>-1</sup> mg<sub>Protein</sub><sup>-1</sup> in S. cerevisiae M4-D4 (Fujii et al., 477 478 2011); Sakamoto and co-authors (2012) expressed an endoxylanase (T. reesei) and a β-xylosidase (A. oryzae) in S. cerevisiae MT8-1 and their activities were 41.2 U g<sub>CDW</sub><sup>-1</sup> and 16.8 U g<sub>CDW</sub><sup>-1</sup>, 479 480 respectively (Sakamoto et al., 2012); and most recently, endoxylanase from T. reesei QM6a was 481 expressed in S. cerevisiae EBY100 giving activity of 1.197 U mg<sup>-1</sup> (Tabañag et al., 2018).





483

484 **Fig. 4.** Intracellular β-xylosidase activity of SR8A6S3 and SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> pellet 485 extracts. The strains were cultured in YP-medium supplemented with 20 g L<sup>-1</sup> glucose, 80 g L<sup>-1</sup> 486 xylose, and 8 g L<sup>-1</sup> acetate) under microaerobic conditions until the late log phase. The intracellular

GH43-2 and GH43-7 activities with pNPX as substrate were calculated relative to mg of protein
and g of cell dry weight.

489

## 490 **3.4.** Fermentation of hydrolysed xylan by the engineered SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> strain

491 To evaluate XOS utilization, strain SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> and the parental (control) 492 strain SR8A6S3 were cultivated under micro-aerobic conditions at 30 °C, in a YP medium 493 supplemented with hydrolysed xylan (YPXyl) and a mix of hydrolysed xylan plus acetate 494 (YPAXyl) media. These media were designed to mimic a hemicellulosic hydrolysate but without 495 the presence of inhibitory compounds, which can negatively influence yeast fermentations (Cola 496 et al., 2020; Kłosowski and Mikulski, 2021). The engineered strain and its parental strain were 497 cultivated in YPXy (Fig. 5B and 5D), and in YPAXyl (Fig. 5A and 5C) with an initial OD<sub>600</sub> of 498 10 and, as expected, the engineered strain, SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>, produced higher titers of 499 ethanol than the parental strain SR8A6S3 in all conditions tested.

500 Xylobiose (X2) and xylotriose (X3) were the main carbon sources available in the medium. 501 X2 concentrations decreased during the growth of both strains, SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>, and 502 SR8A6S3 (Fig. 5), although SR8A6S3 did not express either heterologous xylanolytic enzymes 503 or an XOS-transporter. One explanation could be that X2 entered the cell through a natural 504 transport system in S. cerevisiae and was converted into the non-metabolizable compound xylosyl-505 xylitol by XR (xylose reductase), as observed previously by Li and colleagues (Li et al., 2015). It 506 is important to note that S. cerevisiae can consume disaccharides such as maltose, sucrose, and 507 trehalose, which are up taken through the action of membrane transporters (Lagunas, 1993). The 508 uptake of sucrose (disaccharide composed of glucose and fructose) can occur via the proton-509 symport (*Mall1p*) (Marques et al., 2018). While trehalose (disaccharide composed of two glucose)

can be taken up via Agt1p-mediated trehalose transport followed by intracellular hydrolysis
catalysed by trehalase *Nth1*. Further, *AGT1/MAL11* gene is controlled by the *MAL* system. Maltose
is transported to the cytosol by an energy-dependent process coupled to the electrochemical proton
gradient (Lagunas, 1993).

514



515

Fig. 5. Fermentation profiles of SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> (A and B) and SR8A6S3 (C and D)
during batch cultivation in YPAXyl (YP medium containing hydrolysed xylan and acetate), A and
C, and YPXyl (YP medium containing hydrolysed xylan), B and D. Cultivations were performed

519 at 30 °C and 100 rpm with an initial  $OD_{600}$  of 10. Data are presented as the mean value and standard 520 deviation of two independent biological replicates.

521

522 Within the first 24 h of cultivation, SR8A6S3 depleted all xylose present in the medium 523 (Fig. 5C and 5D) while SR8A6S3-CDT<sub>2</sub>-GH4 $3_{2/7}$  spent more time fermenting xylose completely (Fig. 5A and 5B). At the same time, the doubly engineered strain consumed  $6.77 \pm 0.03$  g L<sup>-1</sup> of 524 X2, which represents 30% of the initial X2 concentration and  $1.79 \pm 1.08$  g L<sup>-1</sup> of X2 (8% of the 525 526 initial X2 concentration) respectively for the cultivations in YPXyl and YPAXyl. The presence of 527 acetate changed the X2 consumption profile by SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> (Fig. 5A). Intriguingly, 528 the presence of X2 changed the acetate consumption profile by the parent strain, which consumed 529 4% of the initial acetate concentration until 24 h and 10% of the initial acetate concentration within 530 first 48 h of cultivation (Fig. 5C). The slight acetate reduction within 24 and 48 h of cultivation 531 might be affected by the oxidation of ethanol (Xu et al., 2022), which peak was at 24 h (Fig. 5C). 532 Concerning the X3 consumption profile, the parent strain SR8A6S3 barely metabolized X3 in 533 either medium (Fig. 5C and 5D). Conversely, the engineered strain started to metabolize X3 after 534 24 h. The higher initial concentration of X2 than X3 probably interfered in X3 transportation. Instead, in 24 – 48 h, SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> consumed 2.67  $\pm$  0.85 g L<sup>-1</sup> and 1.73  $\pm$  1.32 g L<sup>-1</sup> 535 536 of X3 from YPXyl (Fig. 5B) and YPAXyl (Fig. 5A) cultivations, respectively. After 72 h of 537 cultivation, no substantial decrease in X3 amount was observed for XOS-consuming strain 538 cultivations.

539 The ethanol yield from SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> was much higher than the control in both 540 media (**Table 6**). Furthermore, although in 96 h of cultivation both strains consumed 541 approximately the same amount of X2 in YPXyl (**Fig. 5B** and **5D**), only strain SR8A6S3-CDT<sub>2</sub>- 542 GH43<sub>2/7</sub> appeared to ferment it to ethanol. Deletion of *gre3* and *sor1* delayed xylitol production 543 by SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> strain. Interestingly, similar amounts of xylitol were observed for 544 both control and engineered strains when cultured in YPAXyl,  $0.59 \pm 0.00$  g L<sup>-1</sup> and  $0.57 \pm 0.10$  g 545 L<sup>-1</sup>, respectively. However, at 24 h of cultivation for SR8A6S3 and 48 h for SR8A6S3-CDT<sub>2</sub>-546 GH43<sub>2/7</sub>.

547

# 548 3.5.Fermentation of hemicellulosic hydrolysate by the engineered SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> 549 strain

550 Following successful cultivation in a simulated hemicellulose hydrolysate, strain 551 SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> was cultivated under micro-aerobic conditions in a YP medium 552 supplemented with an authentic XOS-rich hemicellulosic hydrolysate (Brenelli et al., 2020) (Fig. 553 6A and 6B), which mimics the context of a lignocellulosic biorefinery, which makes full use of 554 hemicellulose. The breakdown of hemicellulose, which is acetylated (Kłosowski and Mikulski, 555 2021) releases highly toxic acetate, reducing the fermentative performance of S. cerevisiae 556 (Bellissimi et al., 2009; Li et al., 2015). SR8A6S3 was previously engineered through an optimized 557 expression of AADH and ACS in the acetate reduction pathway, enabling acetate conversion into 558 ethanol by the optimized strain (Zhang et al., 2016). We, therefore, tested whether the acetate 559 reduction pathway could operate simultaneously with XOS fermentation, as a means to augment 560 ethanol yield from the lignocellulosic hydrolysate.

561 Under this condition, we observed that xylose, X2, and X3 presented similar consumption 562 profiles in the XOS-consuming strain cultivation. These carbon sources were primarily consumed 563 before 24 h of cultivation. The latest engineered strain consumed  $6.57 \pm 0.28$  g L<sup>-1</sup> of xylose, which 564 represents 92% of the initial xylose concentration, and  $7.57 \pm 0.08$  g L<sup>-1</sup> of X2, which represents

565 97% of the initial X2 concentration, and 2.76  $\pm$  0.14 g L<sup>-1</sup> of X3 (69% of the initial X3 566 concentration). Conversely, during the same period, the parent strain consumed only  $3.73 \pm 0.94$ 567 g L<sup>-1</sup> of xylose (55% of the initial xylose concentration), and 16% and 15.5% of the initial X2 and 568 X3 concentrations, respectively. It is worth pointing out that, as abovementioned, SR8A6S3 did 569 not express either heterologous xylanolytic enzymes or an XOS-transporter, the uptake of XOS 570 probably occurs through the action of membrane transporters that carry out disaccharides transport. Although we observed a decrease in X2 and X3 amounts in the cultivations with the control 571 572 strain, only in cultivations with the XOS-consuming strain (SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>) ethanol 573 accumulation was consistent with X2 fermentation, i.e., conversion of X2 into ethanol (Fig. 6A). 574 SR8A6S3-CDT<sub>2</sub>-GH34<sub>2/7</sub> and SR8A6S3-CDT<sub>2</sub> achieved the highest ethanol concentration at 24 h of cultivation,  $3.78 \pm 0.53$  g L<sup>-1</sup> and  $1.23 \pm 0.10$  g L<sup>-1</sup>, respectively. In other terms, the newest 575 576 engineered strain achieved an ethanol yield of  $0.50 \pm 0.03$  g g<sub>consumed xylose</sub><sup>-1</sup> and for the control strain ethanol yield was  $0.33 \pm 0.08$  g g<sub>consumed xylose</sub><sup>-1</sup> (**Table 6**). Interestingly, the ethanol peach 577 578 did not follow xylose exhaustion in the control cultivation (Fig. 6B), as happened to the engineered 579 strain cultivation (Fig. 6A).

580 Acetate consumption was not observed in both SR8A6S3 and SR8A6S3-CDT<sub>2</sub>-GH34<sub>2/7</sub> 581 cultivations within the first 24 h of cultivation. These results might indicate that transportation of 582 X2 and X3 might result in changes of the balance of NADH / NAD<sup>+</sup> and ATP which impaired 583 acetate consumption profile. In a previous study, Zhang et al. (2016) highlighted that three major 584 factors might limit the metabolic fluxes of the acetate reduction pathway, which include the 585 intracellular ATP levels, NADH levels, and the activities of key enzymes (ACS and AADH), being 586 the last the major limiting factor among them. In this study, the expression of key enzymes was 587 not modified through genetic interventions. In 24 - 96 h of cultivation, acetate was reduced by

588 25% and 53% for the XOS-consuming and control strains, respectively. The acetate profile seems 589 to be a combination of acetate consumption and acetate production, resulting from ethanol oxidation (Xu et al., 2022). The lesser change in acetate consumption profile for SR8A6S3-CDT<sub>2</sub>-590 591 GH34<sub>2/7</sub> than SR8A6S3 might have resulted from the higher amount of ethanol produced by this 592 strain, which could be converted into acetate after exhaustion of the sugars (Xu et al., 2022). 593 Hence, it appears to use less acetate. Regarding xylitol production, the SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> 594 strain produced a lower xylitol yield,  $0.041 \pm 0.01$  g g<sub>consumed xylose</sub><sup>-1</sup>, than the control cultivation, in 595 which the yield was  $0.083 \pm 0.01$  g g<sub>consumed xylose</sub><sup>-1</sup>.





**Fig. 6.** Fermentation profiles of SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub> (A) and SR8A6S3 (B) during batch cultivation in YPXAH (YP medium containing xylose, acetate, and hydrolysed hemicellulose). Cultivations were performed at 30 °C and 100 rpm with an initial OD<sub>600</sub> of 1. Data are presented as mean values and standard deviations of two independent biological replicates.

602

603To evaluate the improvement obtained by the introduction of the XOS-consumption604pathway in the SR8A6S3 strain, ethanol yield based on grams of consumed xylose was calculated

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605	for each condition (Table 6). The ethanol yield of the SR8A6S3-CDT <sub>2</sub> -GH43 <sub>2/7</sub> strain increased
606	substantially as compared to the SR8A6S3 strain. This substantial yield increase is very likely due
607	to the conversion of XOS to ethanol.
608	

- **Table 6**. Ethanol yield of SR8A6S3-CDT<sub>2</sub> and SR8A6S3 under micro-aerobic cultivation at 30
- 610 °C, in YP medium, supplemented with a mix of hemicellulosic hydrolysed plus xylose and acetate
- 611 (YPXAH), hydrolysed xylan (YPXyl) or a mix of hydrolysed xylan plus acetate (YPAXyl) with
- 612 varied initial OD<sub>600</sub>.

	Cultivation medium	Initial OD <sub>600</sub>	$Y_{\text{Ethanol}}$
SR8A6S3-CDT2-GH432/7	ҮРХАН	1	$0.50\pm0.03$
	YPXAH	20	$0.58\pm0.08$
	YPXyl	10	$1.24\pm0.04$
	YPAXyl	10	$1.43\pm0.05$
SR8A6S3	YPXAH	1	$0.33\pm0.08$
	YPXyl	10	$0.31\pm0.00$
	YPAXyl	10	$0.08\pm0.00$

- 613 Parameters:  $Y_{Ethanol}$ , ethanol yield (g g<sub>consumed xylose</sub><sup>-1</sup>).
- 614

Lignocellulose-derived ethanol provides environmental and economic benefits besides being a promising industry in the expected transition from fossil fuels to renewable energy (Kłosowski and Mikulski, 2021). Hemicellulosic-derived sugar comprises 15-35% of lignocellulosic biomass, representing a large source of renewable material that is available at a low cost (Dahlman et al., 2003; Gírio et al., 2010; Kłosowski and Mikulski, 2021). Engineered strains able to consume XOS derived from hemicellulose via intracellular hydrolysis represent a potential benefit for bioethanol production since these strains would have a competitive advantage concerning other microorganisms, such as contaminating bacteria and wild *Saccharomyces* and non-*Saccharomyces* species that are expected to be unable to utilize XOS as a carbon source (Amorim et al., 2011; Procópio et al., 2022).

625

### 626 4. CONCLUSIONS

627 Xylose metabolism to ethanol in *S cerevisiae* SR8A6S3 is metabolically inefficient due to 628 the production of xylitol. In this study we have integrated genes necessary to create a XOS-629 consumption pathway into two xylitol-production-related genes, SOR1 and GRE3. The resulting 630 strains, SR8A6S3-CDT<sub>2</sub> and SR8A6S3-CDT<sub>2</sub>-GH43<sub>2/7</sub>, which are sor  $I\Delta$  and sor  $I\Delta$ , gre  $3\Delta$ , 631 respectively, showed a reduction in xylitol production and improvement in ethanol yield when 632 compared with their parental strain SR8A6S3 in YPDXA cultivations under both micro-aerobic 633 and anaerobic conditions. However, this coincided with a reduced rate of xylose metabolism, 634 implying that there is scope for improvement in overall flux from xylose to ethanol. SR8A6S3-635 CDT<sub>2</sub>-GH43<sub>2/7</sub> was able to ferment X2 and X3 efficiently for ethanol production and achieved the 636 highest apparent ethanol yield (based only on the content of monomeric xylose) of  $1.43 \pm 0.05$  g 637 g<sub>consumed xylose</sub><sup>-1</sup> (64% higher than theoretical ethanol yield) in YP supplemented with hydrolysed 638 xylan and acetate. When grown on a medium containing hemicellulose hydrolysate with low 639 monomeric xylose content, fermentation of X2 and X3 was poor, but this was dramatically 640 improved by the addition of monomeric xylose. This, and other evidence which shows that X2 and 641 X3 metabolism slows down once the monomeric carbohydrates have been depleted, suggests that 642 the latter are required to provide the energy demands of the former (e.g. for enzyme biosynthesis). 643 While there is clearly room for further improvement, this demonstrates that a XOS fraction

644 generated by simple hydrothermal/steam explosion pre-treatment of lignocellulosic agricultural 645 residues, without any subsequent enzymatic hydrolysis, is a potential resource for renewable 646 biofuel production using a XOS-utilising yeast.

647

## 648 **5. CREDIT AUTHORSHIP CONTRIBUTION STATEMENT**

649 Dielle P. Procópio: Methodology, Investigation, Formal analysis, Data curation, Validation,
650 Writing – original draft, preparation. Jae W. Lee: Methodology. Jonghyeok Shin: Methodology.

651 Robson Tramontina: Methodology. Fabio Squina: Formal analysis André Damasio: Formal

- 652 analysis, Resources, Writing review & editing. Lívia P. Brenelli: Methodology and Formal
- 653 analysis. Sarita C. Rabelo: Formal analysis. Rosana Goldbeck: Methodology and Formal

654 analysis. Telma T. Franco: Resources and Formal analysis. David Leak: Formal analysis,

655 Writing – review & editing. Yong-Su Jin: Supervision, Formal analysis, Project administration.

656 Thiago O. Basso: Supervision, Formal analysis, Resources, Project administration, Writing –
657 review & editing.

658

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662

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