This is the peer reviewed version of the following article: Carozza, D. A., Bianchi, D., & Galbraith, E. D. (2019). Metabolic impacts of climate change on marine ecosystems: Implications for fish communities and fisheries. Global Ecology and Biogeography, 28(2), 158-169, which has been published in final form at https:// doi.org/10.1111/geb.12832. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions.

Metabolic impacts of climate change on marine ecosys tems: implications for fish communities and fisheries

⁴ Short running title: Climate and marine ecosystem metabolism

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Acknowledgements: D.A.C was supported by the Social Sciences and Humanities 19 Research Council of Canada through a Joseph-Armand Bombardier Canada Gradu-20 ate Scholarship, by the Marine Environmental Observation Prediction and Response 21 (MEOPAR) Network through a doctoral fellowship and operational support, and the 22 Birks Family Foundation through a doctoral bursary. Computational infrastructure 23 was provided by the Canadian Foundation for Innovation (grant no. 25402). This 24 project has received funding from the European Research Council (ERC) under the 25 European Unions Horizon 2020 research and innovation programme (grant agree-26 ment No 682602). D.B. acknowledges support from NASA grant TunaScape award 27 number 80NSSC17K0290, California Department of Resources - Ocean Protection 28 Council grant C0100400, and a Faculty Research Grant from University of Califor-29 nia, Los Angeles. We thank Elizabeth A. Fulton for comments on an earlier version 30 of this manuscript, and thank Ian A. Hatton for comments and discussions. 31

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Author contributions: E.D.G. supervised the project and all authors contributed equally to the model development and experimental design. D.B. and D.A.C conducted simulations and analyses. D.A.C. and E.D.G. wrote the manuscript and prepared the figures, with input from D.B.

37 Abstract

Aim: Climate change will reshape marine ecosystems over the 21st century through diverse and complex mechanisms that are difficult to quantitatively assess. Here we characterize expectations for how marine community biomass will respond to the energetic consequences of changes in primary production and temperature-dependent metabolic rates, under a range of fishing/conservation scenarios.

43 Location: Global ocean.

⁴⁴ Time period: 1950-2100.

⁴⁵ Major taxa studied: Commercially-harvested marine ectotherms ('fish').

⁴⁶ Methods: We use a size-structured macroecological model of the marine ecosystem, ⁴⁷ coupled with a catch model that allows for calibration with global historical data ⁴⁸ and simulation of fishing. We examine the four energetic mechanisms that, within ⁴⁹ the model framework, determine the community response to climate change: net ⁵⁰ primary production, phytoplankton cell size, and the temperature dependencies of ⁵¹ growth and natural mortality.

Results: Climate change decreases the modeled global fish community biomass by 52 as much as 30% by 2100. This results from a diminished energy supply to upper 53 trophic levels as photosynthesis becomes more nutrient-limited and phytoplankton 54 cells shrink, and from a temperature-driven increase of natural mortality that, to-55 gether, overwhelm the effect of accelerated somatic growth rates. Ocean circulation 56 changes drive regional variations of primary production, producing patterns of win-57 ners and losers that largely compensate each other when averaged globally, whereas 58 decreasing phytoplankton size drives weaker but more uniformly-negative changes. 59

The climate impacts are similar across the range of conservation scenarios, but are slightly amplified in the strong conservation scenarios due to the greater role of natural mortality.

Main conclusions: The spatial pattern of climate impacts is mostly determined by changes in primary production. The overall decline of community biomass is attributed to a temperature-driven increase of natural mortality, alongside an overall decrease in phytoplankton size, despite faster somatic growth. Our results highlight the importance of the competition between accelerated growth and mortality in a warming ocean.

⁶⁹ Keywords

conservation, fisheries, global climate change, marine communities, marine ecosystem
model, metabolic impacts, net primary production, temperature change

72 Introduction

Energy is supplied at the base of the marine ecosystem by Net Primary Produc-73 tion (NPP), generally thought to be dependent on water temperature, sunlight, and 74 the availability of nutrient elements at the ocean surface (Moore et al., 2013). This 75 energy, embodied as organic matter, is then transferred to marine heterotrophic or-76 ganisms, which span many orders of magnitude in size, through feeding relationships. 77 At each trophic step in the ecosystem, some portion of the biomass-energy is used 78 to construct the tissues of the consumer, while the remainder is either ejested or 79 respired. Thus, the fate of the available NPP, as it is distributed through trophic 80 links in the ecosystem and is ultimately respired, determines the abundance and 81 size-distributions of animals in the marine ecosystem. 82

Climate change is now altering both the total NPP and the trophic links in the 83 ecosystem. These alterations are brought about by multiple drivers, including warm-84 ing the water, changing the distribution and composition of phytoplankton, altering 85 habitat, modifying ecosystem structure, reducing dissolved oxygen concentrations, 86 increasing acidification and shifting seasonality (Prtner et al., 2014). Although most 87 of these changes are difficult to predict, water temperature and net primary produc-88 tion (NPP) are routinely projected by the current generation of Earth System Models 89 (Bopp et al., 2013), as emergent properties of physics and biogeochemistry in response 90 to atmospheric forcing. The temperature and NPP changes should have direct im-91 pacts on ecosystem metabolism, since NPP plays a role in limiting whole ecosystem 92 biomass (Ware and Thomson, 2005; Chassot et al., 2010), and the metabolic rates 93 of growth and respiration depend strongly on temperature, as evident both at the 94

⁹⁵ physiological level of individual animals (Kooijmann, 2000; Pörtner, 2002; Schulte, ⁹⁶ 2015) and at the level of whole ecosystems (Brown et al., 2004). Here, we quanti-⁹⁷ tatively estimate these metabolic consequences, as driven by the temperature and ⁹⁸ NPP changes predicted by an Earth System Model for the 21st century, through the ⁹⁹ lens of a model of global fish communities.

Earth System Models generally predict that as surface waters are warmed as a 100 result of rising atmospheric carbon dioxide, the nutrient supply to the sunlit surface 101 is reduced, and the rate of net primary production declines (Bopp et al., 2013). In 102 addition, observations have shown that warmer, less nutrient-rich waters tend to host 103 smaller phytoplankton cells (Daufresne et al., 2009; Dutkiewicz et al., 2004), which 104 are preferentially eaten by small zooplankton, leading to longer trophic chains (Ry-105 ther, 1969). Because most of the energy consumed at a given trophic level is lost to 106 respiration, lengthening the trophic chain reduces the fraction of energy from primary 107 production that can be transferred to larger organisms (Ryther, 1969; Woodworth-108 Jefcoats et al., 2012; Stock et al., 2017). Warming of waters also affects ectothermic 109 organisms by increasing their metabolic rates (Gillooly et al., 2001; Clarke and Fraser, 110 2004) and is commonly expected to produce more rapid growth alongside more rapid 111 respiration, activity, and predation (Pepin, 1991). Different species react differently 112 to changes in temperature, a process that can further depend on other physiological, 113 chemical, and ecological variables (Rall et al., 2012; Seebacher et al., 2014; Deutsch 114 et al., 2015). The net impact of temperature on variables such as production and 115 biomass at the species or ecosystem level is therefore difficult to ascertain. 116

The wild-capture fishery offers a perspective on the global marine ecosystem that can help resolve these questions, while simultaneously playing a major role as the

dominant top predator in the oceans (Darimont et al., 2015). Although the high 119 cost of accessing and sampling most of the ocean, compounded by the mobility of 120 many marine organisms, has impeded the development of comprehensive scientific 121 assessments of global marine biomass, marine organisms are intensively sampled by 122 fishers in search of commercially marketable organisms. Fishing vessels are active 123 throughout most of the world ocean (Kroodsma et al., 2018), and the global catch has 124 recently approached, or slightly exceeded, the total production capacity for exploited 125 species (Pauly and Zeller, 2016; Galbraith et al., 2017). Historical fish catch records 126 therefore represent a valuable source of scientific information on the marine ecosystem 127 - but one which is filtered through the economic drivers of fisheries, and which has 128 also altered the marine ecosystem through time. Interpreting the output of this 129 filter requires a framework that can simultaneously take into account both the natural 130 ecosystem dynamics and the behaviour of fishers. At the same time, fisheries reshape 131 the ecosystem directly in a way that will interact with future climate change. 132

Here we apply a number of macroecological principles with broad empirical sup-133 port to better understand some of the interactive impacts that climate change and 134 fishing activities could have on marine ecosystems. Specifically, we present a first-135 order assessment of how changes in water temperature and NPP could affect the 136 global marine fish community through ecosystem metabolism, considering multiple 137 future fisheries regulation scenarios. We use BOATS, a bioenergetically-constrained 138 size-based global model that represents the harvested fraction of the marine ecosys-139 tem with a generalized, low level of ecological detail (Carozza et al., 2016), integrated 140 with a simple prognostic representation of fisheries economics (Carozza et al., 2017). 141 The model does not explicitly resolve individual species, which are certain to migrate 142

and evolve as conditions change (Sunday et al., 2012). Instead, the model implicitly 143 assumes that, on a multi-decadal timescale, migration and evolution will adjust local 144 ecosystems to result in a stationary relationship with a given set of environmental 145 conditions. In other words, the model assumes that as environmental conditions 146 shift, the ecosystem shifts along with them, which is likely to be an optimistic as-147 sumption. Nor do we resolve changes in species assemblage, which are likely to be 148 important additional consequences of both fisheries regulations and climate change 149 (Pecl et al., 2017), but focus instead on the total abundance of fish. 150

We use a model ensemble in which parameters are optimized against historical 151 fish catch and stock assessment data from ecosystems throughout the ocean, ensur-152 ing a realistic rate of fish production as a function of NPP and water temperature 153 (Carozza et al., 2017). We subdivide the simulated effects of climate change into four 154 mechanistic elements, as represented within the model: 1) the total energy available 155 to the community from net primary production, 2) the impact of phytoplankton 156 size on trophic transfer, 3) the temperature dependence of somatic growth, and 4) 157 the temperature dependence of natural fish mortality. Although we use only one 158 model architecture in our ensemble, which contributes to unavoidable uncertainty in 159 the quantitative accuracy of our results, we focus the analysis on general patterns 160 and principles that are likely to apply to the real ocean, while identifying important 161 outstanding uncertainties in need of further investigation. 162

$_{163}$ Methods

¹⁶⁴ Macroecological model

The BiOeconomic mArine Trophic Size-spectrum model (BOATS) model is described 165 in detail by Carozza et al. (2016) and Carozza et al. (2017), which focus on the 166 ecosystem and the parameter optimization procedure, respectively. The model is 167 publicly available for download at https://github.com/davidcarozza/boats0d-review, 168 (see the Data Availability Statement below). Here we provide a general overview of 169 the model, focusing on the most relevant aspects for the current work. Supporting 170 Information Appendix S1 provides a descriptive example for the use of the BOATS. 171 BOATS is designed to run on a 2-dimensional horizontal grid of the ocean, and 172 evolves over time in response to environmental and human factors. It uses the shal-173 low subsurface water temperature (top 75 meters) and vertically-integrated NPP in 174 each grid cell as inputs, which determine the flow of energy through the commu-175 nity and its accumulation as biomass (Figure 1). The simulations here represent 176 all harvested marine ectotherms, which we refer to as fish, within three spectra of 177 size classes, i.e. continuous ranges of logarithmically-spaced size classes from 10 178 g (juveniles) to a spectrum-dependent maximum size. The model employs empiri-179 cal parameterizations to describe phytoplankton community structure, the trophic 180 transfer of primary production from phytoplankton to fish, natural mortality, and 181 recruitment. Avoiding the need to compute feeding relationships simplifies model 182 dynamics and reduces computational expense, allowing extensive global-scale cali-183 bration and ensemble simulations 184

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In BOATS, the total energy input to growth (somatic and reproductive) of an

individual fish is determined by the local primary production and ecosystem trophic 186 transfer efficiency, to an upper limit that is the maximum rate at which a well-fed 187 fish can grow (von Bertalanffy, 1949; Andersen and Beyer, 2015). Water tempera-188 ture modifies the upper limit growth rate through a van't Hoff-Arrhenius tempera-189 ture dependence, which is parameterised with a representative activation energy of 190 metabolism (Gillooly et al., 2001). The fraction of the resulting input energy that is 191 allocated to reproduction, as opposed to somatic growth, increases as fish approach 192 their maximum size (Andersen and Beyer, 2015). The somatic growth rate of a fish 193 within a given size spectrum therefore depends on the local energy source from NPP 194 (i.e. within the local grid cell), the local trophic transfer efficiency, and the local 195 temperature which determines the upper limit. 196

The trophic transfer of NPP to fish depends on the size structure of phytoplank-197 ton, which we estimate using the empirical algorithm of Dunne et al. (2005). This 198 algorithm predicts the fraction of primary production that is generated by large phy-199 toplankton in each grid cell from the in situ NPP and water temperature. We employ 200 this large fraction to estimate the average phytoplankton size. The trophic level of a 201 fish of a given size is then calculated from the mass ratio of that fish to the average 202 phytoplankton, and using an average predator-to-prev mass ratio for the community. 203 The fraction of NPP that can be taken up by fish of a given size is then given by its 204 trophic level and the average trophic efficiency. This simple approach captures the 205 basic size-dependence of energy distribution within the community, while avoiding 206 the complexity of explicit feeding relationships. Implicitly, it assumes that most fish 207 are opportunistic feeders, and that variations in predator-to-prey mass ratios tend 208 to be approximately compensated by opposing changes in trophic efficiency, leading 209

²¹⁰ to constant efficiencies of total energy transfer to fish of a given size.

To represent natural mortality, defined here as all non-harvesting sources of fish 211 mortality and including losses to predation, parasitism, disease, old age, and star-212 vation (Brown et al., 2004), we apply the empirical mortality rate of Gislason et al. 213 (2010). This formulation varies mortality as a function of individual fish mass and 214 asymptotic mass, and depends on temperature through a van't Hoff–Arrhenius re-215 lationship. To capture physiological differences between growth and predation rates 216 (Rall et al., 2012), we employ a different activation energy of metabolism parameter 217 in each of the van't Hoff–Arrhenius temperature dependence relationships for growth 218 and mortality. 219

BOATS simulates fishing activity from simple economic principles, as described 220 in detail by Carozza et al. (2017). In brief, the fish caught in a grid cell is calculated 221 as the product of catchable biomass, effort (the fishing energy exerted per unit area), 222 and a catchability constant that represents the fraction of biomass that is caught for 223 a unit amount of effort. The effort is either imposed at the level that achieves the 224 Maximum Sustainable Yield, or allowed to vary independently in each cell according 225 to an Open Access dynamic. Using one of these two general frameworks for the 226 fishing rate, we consider four fishing scenarios that are described further below and 227 summarized in Table 1. 228

Model parameters were optimized using a Monte Carlo Approximate Bayesian Computation approach (Csillry et al., 2010), using the global catch data of the Sea Around Us Project and the RAM Legacy Stock Assessment database as observational constraints (Ricard et al., 2011). Supporting Information Appendix S1 details the parameter optimization approach (Carozza et al., 2017). Importantly, this procedure

includes comparing the modeled fish catches among all Large Marine Ecosystems 234 to those observed, to ensure a realistic production rate of fish biomass under the 235 global range of present-day NPP and water temperatures. From a subset of 100 236 acceptable parameter combinations, we chose a subsample of six different parameter 237 combinations. We refer to the collection of six parameter combinations as the model 238 ensemble, and to each of the individual parameter combinations as an ensemble 239 member. Supporting Information Appendix S2 details the parameter values and 240 global characteristics of the six ensemble members used in this study. 241

Like any model, BOATS provides a simplified representation of reality. The 242 model ignores a multitude of potential stressors, such as the impact of phenology 243 on recruitment (Asch, 2015), explicit inter-species interactions, decreased oxygen 244 concentrations (Cheung et al., 2013; Prtner and Peck, 2010) and ocean acidification 245 (Fabry et al., 2008; Briffa et al., 2012). BOATS also does not resolve movement 246 between oceanic grid cells (Watson et al., 2015), which could be important for the 247 adaptation of large predatory fish to changing food patterns, or changes to ecosystems 248 due to bottom-trawling (Puig et al., 2012). Nonetheless, the structural simplicity of 249 the model is necessary in order to allow the parameter optimization with historical 250 fishing observations, which ensures a well-calibrated response to water temperature 251 and NPP. In addition, the inclusion of prognostic fishing effort allow it to estimate 252 how basic macroecological impacts of long-term climate change could interact with 253 conservation efforts. 254

²⁵⁵ Simulation strategy

To estimate the relative roles of the energetic mechanisms that affect fish communities under changing NPP and water temperature, we conducted six sets of simulations, summarized in Table 1. Each of the first four sets isolates a specific aspect of the macroecological response to climate change, by allowing anthropogenic changes in NPP and/or water temperature to apply only to that aspect. The fifth set allows all elements to change together, and the last represents a constant climate over the period of analysis. In detail, the sets of simulations are:

1. NPP. Isolates the effects of changes in the input of energy to the base of the 263 food web by photosynthesis. In the model, an individual fish of size m is limited 264 by the proportion of NPP that is transferred to all fish of size m through the 265 local food web, divided by the number of fish in that size class. Because this 266 energy is partitioned uniformly among all fish of size m, the individual growth 267 rate will increase (up to a maximum physiological rate) when NPP increases 268 and/or the number of fish decreases. The fraction of NPP that can reach size 269 m depends on the trophic efficiency and the predator to prey mass ratio, both 270 of which are global constants that differ for each ensemble member. Greater 271 NPP also improves larval survival by increasing the flux of biomass from mature 272 individuals that enters the smallest size classes (recruitment). 273

PhytoSize. Isolates the effects of changes in phytoplankton size structure on
 fish growth rates. The transfer of energy from NPP to fish of size m depends
 on phytoplankton cell size, since this contributes to determining the trophic
 distance (Ryther, 1969; Woodworth-Jefcoats et al., 2012; Stock et al., 2017).

In BOATS, the empirical model of Dunne et al. (2005) is used to estimate the fraction of primary production that is attributed to large phytoplankton as a function of temperature and NPP. Higher productivities and lower temperatures favor larger phytoplankton sizes.

- 3. TempGrowth. Isolates the impact of temperature on the maximum physiological growth rate of fish. In the model, individual fish cannot grow faster than a maximum rate that follows the widely-used von Bertalanffy growth formulation (von Bertalanffy, 1949; Hartvig et al., 2011; Andersen and Beyer, 2015) and depends on the individual fish size (relative to its maximum size) as well as temperature, following the van't Hoff–Arrhenius equation. As temperature increases, so does the maximum physiological growth rate.
- 4. **TempMortality**. Isolates the impact of temperature on natural fish mortal-289 ity. BOATS represents the natural (i.e. non-fishing) mortality rate using the 290 empirical formulation of Gislason et al. (2010), as the product of a natural 291 mortality constant, a temperature-dependent term that is based on the van't 292 Hoff–Arrhenius equation, individual mass, and the asymptotic mass (Carozza 293 et al., 2016). Note that temperature in the model affects fish growth and mor-294 tality rates differently, consistent with the distinct physiological and ecological 295 processes controlling somatic growth vs. respiration and predation rates (Gis-296 lason et al., 2010; Rall et al., 2012). The magnitudes of the two activation 297 energies are allowed to vary independently of each other in the Monte Carlo 298 procedure, so that the six-member ensemble includes six different combinations 299 of the activation energies. 300

5. Total. Simulates the overall climate impact by including all four of the above
 mechanisms simultaneously.

6. Constant Climate. Simulates no climate change. Forces the model with
 a constant climate of the monthly averages calculated from the preindustrial
 period of 1851-1900.

306 Simulation design

We force the six optimal model ensemble members described above with net pri-307 mary production and temperature output from the Institut Pierre Simon Laplace 308 IPSL-CM5A-LR global climate model (Dufresne, et al., 2013), which employs the 309 PISCES biogeochemical model (Aumont and Bopp, 2006), under the business as 310 usual RCP8.5 scenario (Moss, et al., 2010). Figure 2a,b show the preindustrial water 311 temperature and NPP (average of years 1851-1900) used for our constant climate 312 scenario, respectively, of the IPSL-CM5A-LR model output, while Figure 2c,d show 313 the change in water temperature and NPP between 1851-1900 and 2081-2100. Simi-314 larly, Supporting Information Appendix S3 presents the preindustrial phytoplankton 315 size and its change compared to 2081-2100, respectively, estimated with the method 316 of Dunne et al. (2005). 317

For each of the six ensemble members, we conduct simulations under four idealized regulation scenarios that span a broad range of possible futures (Table 1) following Galbraith et al. (2017). These scenarios are intended to illustrate the bounds of possibility, rather than being detailed attempts at future predictions. The base scenario has no fishing effort, which we call the 'Perfect Conservation' case, while a second scenario allows fishing effort at the level 'Optimized for Human Food' production, commonly known as the Maximum Sustainable Yield (MSY) fishing rate. The remaining two scenarios do not include regulations, but simulate profitdriven fishing effort following the open-access principle (Carozza et al., 2017) under the assumption of either a near-future stabilization (No Conservation scenario), or a continuing intensification of fishing (Intense Overfishing scenario). Supporting Information Appendix S1 further details the simulation protocol.

330 **Results**

331 Global changes of total biomass

Under Perfect Conservation, climate change reduces the globally-integrated marine 332 biomass by 32 % (lower estimate -33, upper estimate -29 %) by 2100 (Figure 3a; 333 Figure 4). The increase in the natural mortality rate (simulation TempMortality) 334 brought on by a warming ocean has the single greatest negative impact on biomass, 335 resulting in a decrease of 43 % (-46, -33 %) by 2100. Net primary production (simula-336 tion NPP) has a minor negative or negligible impact on globally-integrated biomass, 337 reducing it by only 3 % (-5, 1 %), whereas the shift to smaller phytoplankton cells 338 (simulation PhytoSize) accounts for a more significant biomass reduction of 13 % (-339 15, -9 %). Warming waters have a positive impact on biomass through their impact 340 on the growth rate upper limit (simulation TempGrowth), raising biomass by 18 % 341 (16, 28 %).342

The impact of climate change on fish biomass in the Optimized for Human Food scenario is similar to the Perfect Conservation scenario (Figure 3b; Figure 4), with

an overall decline of 32 % (-35, -29 %). As in the case with Perfect Conservation, 345 this decline is mostly driven by increasing mortality under warming, reinforced by 346 declines in productivity and phytoplankton size, which are only partially offset by 347 faster growth rates. Interestingly, the range of variability among ensemble members 348 is much larger for the TempMortality and TempGrowth simulations (Figure 4), re-349 vealing a large sensitivity to the uncertain parameters. However, this sensitivity is 350 greatly reduced in the Total simulations, indicating that the temperature sensitivity 351 of growth is correlated with the temperature sensitivity of mortality in any given 352 ensemble member. This correlation is consistent with the constraint identified in 353 Carozza et al. (2017) that, for realistic global harvests to arise from the mdel param-354 eters, temperature-driven increases in growth must be balanced by parallel increases 355 in mortality. 356

Under the No Conservation scenario (Figure 3c; Figure 4), the negative impacts 357 of climate change are significantly damped relative to the Perfect Conservation and 358 Optimized for Human Food cases. Here, climate change only results in a loss of 15 359 % (-20, -12 %) of biomass by 2100. The reduced climate impact is mainly driven 360 by a weakened negative impact of the mortality rate; because fishing and natural 361 mortality both act to reduce fish abundance. Intense Overfishing reduces the rela-362 tive importance of natural mortality. Biomass changes due to primary production 363 (simulation NPP) and temperature-dependent growth (simulation TempGrowth) are 364 similar to those without fishing, but the impact of phytoplankton size (simulation 365 PhytoSize) is significantly damped, since when the number of fish is reduced, growth 366 rates are determined by the size-dependent physiological upper limit rather than by 367 primary production (Carozza et al., 2016). 368

In the extreme Intense Overfishing scenario, the impacts of the NPP and Phyto-369 Size mechanisms disappear almost entirely, and the overall impact of climate change 370 to year 2100 is equivocal (Figure 4). The extremely intense fishing rate further damps 371 the negative impact of TempMortality, which causes a biomass fall of only 7 % (-37, 372 1%), which is then entirely compensated by the more rapid growth rates. However, 373 we caution that this effect only occurs in the model under extreme, and likely un-374 realistic values of harvesting technologies, at which point ecosystems are decimated 375 and the average global fish catch is very small (Supporting Information Appendix 376 S4g). 377

378 Spatial patterns of change

As shown in Figure 5a, the net reduction of global biomass under climate change does not reflect a uniform global decrease, but a patchwork of increases and decreases that largely compensate each other in the global sum. Reductions over the tropics and mid- to high-latitudes are partially counteracted by increases in subtropical (e.g. South Pacific and South Atlantic gyres) and polar regions (in particular the Southern Ocean), and over eastern boundary upwelling systems (California, Chile, and Canary Islands).

The pattern of net change closely resembles the responses driven by primary production (simulation NPP, Figure 5b), and to a lesser extent by phytoplankton size structure (PhytoSize, Figure 5c). However, the latter are generally shifted toward more negative values due to the effect of warming, which tends to decrease phytoplankton size everywhere. Thus, whereas NPP changes result in regional patterns that largely cancel each other out, phytoplankton size changes produce weaker ³⁹² regional contrasts but a more significant negative global impact.

The uniquely temperature-dependent impacts on growth and mortality (simula-393 tions TempGrowth and TempMortality, Figure 5d,e) are more spatially homogeneous 394 than those driven by net primary production, due to the homogeneous distribution of 395 warming (Figure 2) and have opposite and nearly compensating effects on biomass. 396 The activation energy of mortality is more sensitive to temperature than that of 397 growth in all but one of our ensemble members (Supporting Information Appendix 398 S2). Increases of biomass relative to the constant climate scenario only occur in re-399 gions where NPP increases enough to overcome the combined effect of enhanced mor-400 tality and shrinking phytoplankton cells. The simulated changes in fisheries catches 401 (harvest) are qualitatively similar to the simulated biomass changes, as shown in 402 Supporting Information Appendix S7. 403

404 Discussion

Our results show a large negative impact of climate change on marine fish commu-405 nities from metabolic effects, when summed at the global scale. The main ecological 406 mechanisms driving this decrease are the temperature-sensitivity of natural mortal-407 ity, which reflects enhanced dissipation of biomass by respiration in warmer water, 408 and a decrease in phytoplankton size, which reduces the energy available to fish by 409 trophic transfer for a given rate of NPP. These deleterious effects are opposed by the 410 increase of growth rates at higher temperatures, but this is insufficient to compensate 411 for the negative effects in any of our six ensemble members. Under intense levels of 412 overfishing, the negative impacts of climate are lessened because of a reduced impor-413

tance of natural mortality, a diminished competition for resources, and an increased
importance of growth when fish populations are greatly impoverished.

We find significant regional variability in the impacts of climate change, mostly 416 driven by the spatial patterns of NPP changes simulated by the Earth System Model. 417 While most of the tropics and mid-latitudes show a decline in fish biomass, some re-418 gions actually show an increase of these quantities, particularly in the Southern 419 Ocean, South Pacific and South Atlantic gyres, and some Eastern Boundary Up-420 welling Systems. The current generation of Earth System models indicates a sub-421 stantial degree of uncertainty in projections for NPP (Bopp et al., 2013) and the 422 details of this mosaic of winners and losers should therefore be viewed with caution. 423 Nonetheless, the spatial heterogeneity of NPP changes typically simulated by mod-424 els, compared to the much more homogeneous warming, suggests that the dominance 425 of NPP in determining spatial patterns is a robust result. 426

Our results in the Perfect Conservation set of simulations are generally consistent 427 with those simulated by Lefort et al. (2014), despite important structural differences 428 between the models employed. Simulated biomass in BOATS falls nonlinearly with 429 decreases in the fraction of large phytoplankton, with a global spatially-weighted 430 average decrease of 3% in the large fraction (Supporting Information Appendix S3) 431 resulting in a median biomass decrease of 13%. This is a much greater sensitiv-432 ity to the phytoplankton size than that presented by Blanchard et al. (2012) and 433 Woodworth-Jefcoats et al. (2012), in which fish biomass varied linearly with phy-434 toplankton size, and points to the important uncertainty regarding the response of 435 trophic efficiency to climate change. 436

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Our simulations also agree with Cheung et al. (2010) in many parts of the world,

but whereas those authors estimated that fish would increase in high northern lati-438 tudes, we find decreases over much of the high northern latitudes by 2100, in agree-439 ment with Lefort et al. (2014). This contrast likely reflects the fact that the biocli-440 mate envelope approach applied by Cheung et al. (2010) is designed to estimate catch 441 potential for particular species based on environmental factors such as temperature, 442 but does not explicitly simulate the metabolic effect of temperature on growth and 443 mortality. Given that these aspects produce the largest climate impact on BOATS, 444 it is not surprising that Cheung et al. (2010) simulate a different spatial pattern of 445 change, as well as a weaker globally-averaged response to climate. 446

One potentially-surprising aspect of the simulations is a reduction in the negative 447 impact of climate change in the total absence of fishery regulation, as fishing pressure 448 increases to extremely high levels. This reduction is mainly due to a reduction of 449 the temperature impact on natural mortality, with further important contributions 450 from temperature-dependent growth and phytoplankton size effects (Figure 4). In a 451 hypothetical future with Perfect Conservation, biomass is large and growth is there-452 fore significantly limited by NPP, while increasing temperature tends to have a net 453 negative effect since the natural mortality effect is larger than the growth rate effect. 454 Essentially, if primary production is the limiting factor for growth of the overall com-455 munity, then NPP changes are important for determining total biomass. Similarly, 456 if biomass production is balanced only by natural mortality, then the temperature 457 effect on mortality is important. In contrast, under Intense Overfishing, the reduc-458 tion of fish biomass results in more energy availability per individual fish, and so 459 somatic growth becomes less dependent on NPP and the phytoplankton community 460 size structure. 461

In addition, as fishing becomes a major loss term for biomass, it reduces the impact of natural mortality relative to the case without harvest. Instead, the importance shifts to the rate at which fish can grow from juveniles to adulthood, which limits the replacement rate of harvested fish. As a result, the positive impact of warmer temperatures on growth rates becomes increasingly significant as fishing intensifies, counterbalancing the negative impacts of NPP and natural mortality.

The real world outcomes would undoubtedly be more nuanced than in this simple 468 model framework, but we hypothesize that the general weakening of climate-driven 469 bioenergetic impacts under intense fishing is likely to be a robust feature of marine 470 ecosystems. If true, this metabolic effect would be expected to reduce the relative 471 impact of climate change on biomass in heavily exploited ecosystems, all else being 472 equal. At the same time, it may offset some gains to be made from future conservation 473 efforts, as accelerated natural mortality may consume a significant portion of the 474 biomass saved from fishing. We would caution that this implied trade-off refers only 475 to the biomass, and does not consider the impacts on other aspects of the community 476 such as species diversity. In addition, the model does not include other impacts of 477 climate change, such as ocean deoxygenation, which may interact differently with 478 fishing pressure. 479

At the same time, it is important to emphasize that overfishing could have a significantly more deleterious impact on the evolution of 21st century biomass than climate change (Galbraith et al., 2017). In the Intense Overfishing scenario, biomass is reduced by > 90 % by relative to that of Perfect Conservation (Supporting Information Appendix S4), as opposed to an average climate-change-induced reduction of 30 % (Figure 3a). Thus, although the metabolic impacts of climate change may be ⁴⁸⁶ stronger for an ecosystem protected by effective conservation, this effect is dwarfed by
⁴⁸⁷ the much larger overall benefits to be achieved through conservation. It is also pos⁴⁸⁸ sible that additional ecosystem resilience may be provided by conservation measures
⁴⁸⁹ (Loreau et al., 2001), which could counteract the metabolic trade-off.

Finally we point out that, within our observationally-calibrated macroecological 490 model, the dominant effects of temperature on ecosystem metabolism are via growth 491 and natural mortality. Thus, if other unresolved temperature-dependences affect ma-492 rine fish communities, we expect they would have biased the parameter selection by 493 masquerading as the temperature sensitivities of growth and mortality. For example, 494 it has been suggested that trophic efficiency varies with temperature (Stock et al., 495 2017), which would cause harvests to vary with temperature in a way not explic-496 itly simulated by the model. Our parameter selection would implicitly 'correct' for 497 this by including the trophic efficiency contribution in one of the other temperature 498 dependences. Similarly, 'natural mortality' is a simplification of a complex web of 499 processes that ultimately results in the removal of biomass from the spectrum of up-500 per trophic level organisms; explicit representation of these processes could modify 501 their environmental sensitivities to some degree. These are important uncertainties 502 that could be addressed in future work. 503

In summary, our model predicts that climate change will reduce the total supply of energy to upper trophic levels, and will accelerate the rate at which energy flows through ecosystems. These changes result in a large decrease of total fish abundance under the strong warming of the RCP8.5 emissions scenario. The overall negative impact reflects the net outcome of opposed, nearly-compensating accelerations of mortality and growth rates under warming, coupled with a shrinking of phytoplank-

ton cells that lengthens trophic chains. Meanwhile, changes in primary production 510 determine the spatial patterns of simulated climate impacts but have relatively little 511 effect on globally-integrated responses, particularly under Intense Overfishing. Fur-512 ther work should focus on improving the quantitative, mechanistic understanding 513 of the ecological processes behind this response, particularly the poorly-constrained 514 variations in natural mortality due to rising temperatures, and the impacts of com-515 munity structure on the transfer of energy from producers to consumers. Our results 516 also emphasize the importance of preventing overfishing through effective regula-517 tions, with or without climate change (Worm, B et al., 2009; Galbraith et al., 2017), 518 if further loss of wild fish abundance is to be prevented. 519

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671 Data Availability Statement

The BOATS model was written in MATLAB version R2012a and was also tested in version R2010b. BOATS for a single patch of ocean, which includes the model run script, required functions, and forcing data, is archived and available for download under the reference Carozza, David A, Bianchi, Daniele, & Galbraith, Eric D. (2015). 0-D BiOeconomic mArine Trophic Size-spectrum (BOATS) model (Version

v1.0) [Data set]. Zenodo. http://doi.org/10.5281/zenodo.27700, and is additionally 677 available at https://github.com/davidcarozza/boats0d-review. Supporting Informa-678 tion Appendix S1 provides a descriptive example for the use of the model. The 679 model output and all MATLAB scripts required to reproduce the figures in this ar-680 ticle have been archived and are available for download under the reference Carozza, 681 David A, Bianchi, Daniele, and Galbraith, Eric D. (2017). Metabolic impacts of 682 climate change on marine fish communities and fisheries - Dataset and figure plot 683 script [Data set]. Zenodo. http://doi.org/10.5281/zenodo.495487. 684

685 Biosketch

David A. Carozza has a background in mathematics, economics and climate science, 686 and led the development of the BOATS model as a PhD student. He is currently 687 a Postdoctoral Fellow at the University of Quebec at Montreal studying economic 688 vulnerability and climate change. Daniele Bianchi is an Assistant Professor at the 689 University of California, Los Angeles, where he studies ocean biogeochemistry and 690 marine ecosystems. Eric Galbraith is an ICREA Research Professor based at the 691 Autonomous University of Barcelona, where he is focused on improving the large-692 scale understanding of coupling between global ecosystems and human activity. 693

⁶⁹⁴ Supporting Information

Appendix S1: Simulation methods detailing parameter optimization, experimental
 design; choice of IPSL model; simulation protocol; analyses; BOATS example use
 instructions.

698 Appendix S2: Ensemble member characteristics.

699 Appendix S3: Maps of preindustrial values of the large phytoplankton fraction

⁷⁰⁰ (average of 1851-1900) and its change between 2081-2100 and 1851-1900.

⁷⁰¹ Appendix S4: Globally-integrated nonnormalized biomass and fish catch.

Appendix S5: Maps of median normalized change in biomass due to the impact of
climate change on metabolism for the Perfect Conservation scenario.

⁷⁰⁴ Appendix S6: Maps of median normalized change in biomass due to the impact of

⁷⁰⁵ climate change on metabolism for the No Conservation scenario.

706 Appendix S7: Globally-integrated fish catch change, relative to the constant cli-

⁷⁰⁷ mate simulation.

Table 1: Metabolic simulations and conservation scenarios. Each metabolic simulation was conducted with all conservation scenarios, for each of the six model ensemble members, leading to a total of 144 simulations.

Metabolic Simulation	Input(s) from warming scenario	Resulting impacts on fish
NPP	NPP	Trophic growth limit, recruitment
PhytoSize	NPP and water temperature	Trophic growth limit, recruitment
TempGrowth	Water temperature	Physiological growth limit
TempMortality	Water temperature	Natural mortality rate
Total	NPP and Water temperature	All
Clim	None	None
Conservation Scenario	Characteristics	
Perfect Conservation	Zero fishing effort everywhere	
Optimized for Human Food	Maximum stable fish catch everywhere	
No Conservation	Open access, stabilizing by 2036	
Intense Overfishing	Open access, increasing continuously	



Figure 1: Schematic overview of the BOATS model. The red, green, and black arrows indicate dependencies of model components on external forcings (left panel). The top-right panel indicates the energetic limits of growth as a function of fish size, whereas the bottom-right panel illustrates the size spectra of fish groups, their internal dynamics, and link to economics via fish catch and the interactive effort.



Figure 2: Preindustrial climate forcing variables and change in those variables due to climate change in the IPSL-CM5A-LR global climate model used to force BOATS. (a) Preindustrial water temperature. (b) Preindustrial net primary production (NPP). (c) Water temperature change. (d) Net primary production change. Water temperature is averaged over the upper 75 meters of the ocean, while NPP is verticallyintegrated. Preindustrial climate variables are the average over 1851-1900, whereas change is measured as the average over 2081-2100 less the average over 1851-1900. The constant climate scenario employs the preindustrial climate variables.



Figure 3: Globally-integrated fish biomass change, relative to the constant climate simulation. Each panel shows the six metabolic simulations (colour-coded), for one conservation scenario. Normalized quantities for each simulation are expressed in terms of the % change relative to the constant climate biomass for that simulation by taking the mean over the 6 ensemble members. Grey vertical triangles at years 2006 and 2036 in (c) represent years where the increase in fishing technology begins to slow and stops, respectively.



Figure 4: Globally-integrated fish biomass change relative to the constant climate scenario from 2081 to 2100. Circles represent the median over the 6 ensemble members, whereas the lower and upper bars represent the 5th and 95th percentiles over the 6 ensemble members, respectively.



Figure 5: Maps of median normalized change in biomass due to the impacts of climate change on the metabolic model components for the Optimized for Human Food scenario over 2081-2100. (a) All combined effects (Total). (b) Growth rate dependence on net primary production (NPP). (c) Growth rate dependence on phytoplankton size structure (PhytoSize). (d) Growth rate upper limit temperature dependence (Temp-Growth). (e) Natural mortality rate temperature dependence (TempMortality). For each metabolic simulation and set of ensemble members, changes are calculated relative to the constant climate forcing scenario. For each scenario, we calculate the median change over the 6 ensemble members of the temporal average of the normalized biomass over 2081-2100. Biomass change in the Perfect Conservation scenario is presented in Supporting Information Appendix S5, whereas biomass change in the No Conservation scenario is detailed in Supporting Information Appendix S6.