Metal azides under pressure: An emerging class of high energy density materials

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Abstract. Metal azides are well-known for their explosive properties such as detonation or deflagration. As chemically pure sources of nitrogen, alkali metal azides under high pressure have the ability to form polymeric nitrogen, an ultimate green high energy density material with energy density three times greater than that of known high energetic materials. With this motive, in this present work, we try to address the high-pressure behaviour of LiN₃ and KN₃ by means of density functional calculations. All the calculations are performed with the inclusion of van der Waals interactions at semi empirical level, as these materials are typical molecular solids. We found that both LiN₃ and KN₃ are structurally stable up to the studied pressure range of 60 GPa and 16 GPa, respectively. At ambient conditions both the materials are insulators with a gap of 3.48 eV (LiN₃) and 4.08 eV (KN₃) and as pressure increases the band gap decreases and show semiconducting nature at high pressures. We also found that the compressibility of both the crystals is anisotropic which is in good agreement with experiment. Our theoretical study proved that the materials under study may have the ability to form polymeric nitrogen because of the decrease in interazide ion distance and possible overlapping of N atomic orbitals.

Keywords. Energetic materials; density functional theory; band gap; compressibility.

1. Introduction

Inorganic azides are interesting class of energetic compounds exhibiting a wide variety of physical and chemical properties.¹ These materials belong to the category of primary explosives and can be used to detonate the secondary explosives.² Among the inorganic metal azides, monovalent azides such as alkali metal azides are chemically and structurally simple compounds and have wide range of practical importance as explosives, gas generators, and also photographic materials at low temperatures.³ Alkali metal azides are ionic molecular crystals having layered structure with alternating planes of azide ion and metal ions.⁴ Among these alkali metal azides both LiN₃ and NaN₃ crystallize in monoclinic structure with space group of $C2/m^5$ and the remaining azides such as KN₃, RbN₃ and CsN₃ crystallize in tetragonal structure with space group of I4/mcm.⁶ Recently this class of metal azides received much interest from the high energy materials research community because of their ability to form the polymeric networks of single bonded nitrogen, which is considered to be a high energy density material with energy density nearly three times greater than those of conventional high explosive materials known today.^{7,8}

By using sodium azide as starting material, Eremets *et al.*⁹ reported the formation of polymeric nitrogen by combined high pressure X-ray powder diffraction and Raman spectroscopy techniques. Their study concluded that double bonded N atoms of the azide ion become single bonded N atoms at a pressure of 120 GPa. Medvedev *et al.*¹⁰ reported experimentally that LiN_3 , which is iso-structural to NaN₃, has the capability of forming polymeric networks beyond the compression of 60 GPa. Recently the compression experiments on iso-structural heavier alkali metal azides CsN3 and KN3 have been performed.^{11,12} The high pressure experiment on CsN₃ reveals that the system undergoes a sequence of phase transitions starting from ambient tetragonal phase to high pressure trigonal structure.¹¹ In the case of KN₃ the high pressure experiments were carried out up to the pressure of 37 GPa and found that the system may undergo structural phase transition at around 15.5 GPa. However, the high pressure structural phase could not be identified by the authors.¹²

From theoretical perspective, recently we have studied the high pressure behaviour of LiN₃ using density functional theory without including the van der Waals (vdW) interactions. ¹³ The calculated equilibrium volumes are slightly overestimated compared with the experiment, which can be attributed to the lack of inclusion of vdW interactions in the calculations. The study

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also revealed that the monoclinic LiN_3 is stable up to the studied pressure range of 60 GPa, which is in good agreement with experiment. In the case of KN₃, there is no first principles study available in explaining the high pressure behaviour of the system with and without including vdW interactions. Hence in this present work, we aim to study the high pressure behaviour of monoclinic LiN₃ and tetragonal KN₃ using first principles density functional theory calculations including vdW interactions. In particular, we aim to explain the structural properties, electronic band structure and bonding properties of the azides and the changes brought in them by the application of pressure as they are very important in understanding the formation of polymeric nitrogen. The remainder of the paper is organized as follows: the next section deals with the computational details of the present study and in section 3, we have presented the results and discussion. Finally, we have given brief conclusions.

2. Computational details

All the calculations are performed with plane wave pseudo potential density functional computer code Cambridge Serial Total Energy Package (CASTEP).¹⁴ The generalized gradient approximation (GGA) using Perdew–Burke–Ernzerhof (PBE) parameterization was used for exchange-correlation.¹⁵ As the materials under study are layered structure crystals, where vdW interactions play a major role in crystal binding and the physical and chemical properties, we used semi empirical dispersion correction (DFT+D) proposed by Grimme within PBE parameterization to treat vdW interactions.¹⁶ For electron-ion interactions, we have used Vanderbilt type ultrasoft pseudo potentials.¹⁷ The pseudo potentials of Li 2s¹, N 2s² 2p³ for LiN₃ and K $3s^2 3p^6 4s^1$ are used for the study. The plane wave cut-off energy of 520 eV is used. The k-point mesh is created by Monkhorst-Pack grid scheme¹⁸ using $5 \times 8 \times 5$ for both LiN₃ and KN₃, respectively. The total energy convergence for these parameters was checked and found that the error is less than 1 meV per atom. The selfconsistent convergence of the total energy is found to be 5×10^{-7} eV/atom and the maximum force on the atom is found to be 10^{-4} eV/Å. The experimental crystal structures given by Pringle⁵ for LiN₃ and for KN₃ by Muller⁶ are taken as the starting input for the calculations.

3. Results and discussion

3.1 Structural properties of LiN₃ and KN₃ at ambient pressure

For all theoretical calculations, it is necessary to obtain the equilibrium crystal structure. Therefore to determine the theoretical equilibrium crystal structure, we have performed full structural optimization of monoclinic LiN₃ and tetragonal KN₃ within DFT (PBE) and DFT+D (PBE+G06) methods. The optimized crystal structures and the corresponding reciprocal lattices with the Brillouin zones are shown in figure 1a and b for



Figure 1. (a) The optimized crystal structure (violet ball denotes 'Li' atom and blue ball denotes 'N' atom) and (b) reciprocal lattice of monoclinic LiN₃.



Figure 2. (a) The optimized crystal structure (violet ball denotes 'K' atom and blue ball denotes 'N' atom) and (b) reciprocal lattice of tetragonal KN_3 .

LiN₃ and for KN₃ figure 2a and b. The calculated lattice constants and the atomic fractional co-ordinates of mid and end N atoms are presented in table 1. Our calculated ground state parameters are in good comparison with earlier *ab-initio* studies on LiN₃.^{19,20} For both the compounds, the values obtained through DFT (PBE) are over estimated from that of the experimental values. This trend of over estimation of lattice parameters is quite common for the molecular crystals of the present type where vdW interactions play a dominant role in crystal binding which cannot be dealt with the general DFT-GGA-PBE functional. From table 1, we can also clearly see that the lattice parameters obtained through the DFT+D method using PBE+G06 functional is very close to the experimental data with error less than 1%for both the compounds. In particular the calculated lattice parameter 'a' within DFT+D is in very good agreement with experiment indicating that the vdW interactions are strong along the a-axis in both the compounds over the other lattice axes.

3.2 Structural properties of LiN₃ and KN₃ under high pressure

In order to study the pressure effect on LiN₃ and KN₃ systems, we have taken the ambient optimized crystal structure and applied hydrostatic pressure of 60 GPa on monoclinic LiN₃ system with successive intervals of 5 GPa and 16 GPa to the tetragonal KN₃ system with successive intervals of 2 GPa, respectively. The calculated equation of state (EOS) of both LiN₃ and KN₃ are compared with available experimental data^{10,12} in figure 3a and b. For both the compounds the volume compression upon pressure with DFT+D method is found to be in excellent agreement with experimental volume reduction. In particular, the calculated EOS of KN_3 with DFT+D is in excellent agreement with experiment over the entire studied pressure range. This clearly shows that DFT+D describe the KN₃ system accurately than that of usual DFT. Over the studied pressure range of both compounds, we could not

Table 1. The calculated ground state structural properties of LiN_3 and KN_3 within DFT and DFT+D. For LiN_3 the calculated volume is per primitive cell, whereas for KN_3 it is per unit cell.

Method	$a(\text{\AA})$	$b(\text{\AA})$	$c(\mathring{A})$	$\beta(^0)$	$V({\rm \AA}^3)$	Mid N	End N
		LiN ₃					
DFT (GGA-PBE) ^a	5.7611	3.376	5.094	108.6	46.9	0.1005	0.7490
DFT+D(GGA-PBE+G06)	5.6857	3.219	4.8844	102.7	43.6	0.1044	0.7448
Expt ^b	5.627	3.319	4.979	107.4	44.4	0.1048	0.7357
L		KN ₃					
DFT (GGA-PBE)	6.205	6.205	7.207		277.5	0.1388	0.6388
DFT+D(GGA-PBE+G06)	6.1015	6.1015	6.9615		259.2	0.1411	0.6411
Expt ^c	6.113	6.113	7.094		265.1	0.1358	0.6358

^aRef¹³, ^bRef⁵, ^cRef⁶



Figure 3. Pressure dependence of volume of (a) LiN₃ and (b) KN₃.

observe any discontinuity in the calculated EOS indicating that both the systems are structurally stable with no phase transition. In figure 4a we have shown the pressure dependence of lattice parameters of LiN₃. Qualitatively our calculations reproduce the trend of reduction of lattice parameters upon pressure. The deviation from the experimental data was found to be more for the c-axis with both the DFT and DFT+D methods. The reason for this behaviour might be due to the fact that error in our ambient pressure value of 'c' lattice parameter is more compared to that of the other axis. The pressure dependence of lattice parameter 'b' is found to be very good agreement with our calculations using DFT+D with error less than 0.5% over the entire pressure range. In figure 4b we have shown the pressure dependence of monoclinic angle β in comparison with experiment. We can see that the error is quite lower with DFT than that of DFT+D. Overall, our high pressure study on LiN₃ suggests that the compressibility



Figure 4. (a) Pressure dependence of lattice parameters of LiN_3 and (b) pressure dependence of monoclinic angle (β) of LiN_3 .

of LiN₃ is anisotropic as the lattice parameters have different compression behaviour with pressure, which is also consistent with experiment.¹⁰ The pressure dependence of lattice parameters of KN₃ along with experimental data is shown in figure 5. Clearly the lattice parameter 'a' calculated with DFT+D is found to be in close agreement with experiment when compared to c-axis. The reduction in lattice parameters shows that the compressibility of KN₃ crystal is also anisotropic as expected in experiment.¹²

3.3 Electronic structure of LiN₃ and KN₃

The knowledge of band structure is quite important in understanding the electronic properties of the materials. In this section, we explain the calculated electronic



Figure 5. Pressure dependence of lattice parameters of KN_3 .



band structures of the azides LiN₃ and KN₃ using both the methods DFT and DFT+D. At ambient pressure, the calculated band structures of LiN₃ and KN₃ along the high symmetry points in the Brillouin zone are shown in figure 6a and b where we compare the results of DFT and DFT+D. Clearly, both the compounds are having indirect band gap with valence band maximum (VBM) located at Z and conduction band minimum (CBM) located at Z in LiN₃ and for KN₃ it is between the Γ (VBM) - Γ (CBM). The band gap values of LiN₃ is 3.32 eV within DFT and 3.48 eV using DFT+D, whereas for KN_3 the values are 4.06 eV (DFT) and 4.08 eV (DFT+D), respectively indicating both the compounds to be direct band gap insulators. The calculated band gaps within DFT+D are slightly larger than that of DFT values. However, we expect that our calculated band gaps might be underestimated from the experimental data, which is a common feature in all DFT and DFT+D calculations.²¹ Unfortunately, there



Figure 6. (a) Electronic band structure of LiN_3 calculated at ambient pressure and (b) electronic band structure of KN_3 at ambient pressure calculated using DFT (solid lines) and DFT+D (circles).

Figure 7. (a) Electronic band structure of LiN_3 calculated at pressure of 60 GPa and (b) electronic band structure of KN₃ at pressure of 16 GPa using DFT (solid lines) and DFT+D (circles).

are no experimental studies available to estimate the percentage of deviation regarding the calculated band gap values. We hope our calculations will stimulate experimentalists in this direction.

If we look at the calculated band structure of LiN₃ with DFT and DFT+D as shown in figure 6a, the magnitude of the DFT+D corrections brought to the band energies varies for different bands. In particular, the inclusion of the dispersion corrections to the band energies in the valence band are more for N-p bands that are farther from the Fermi level, which is the VBM. The corrections are even more for N-p bands that are situated at the energies greater than 5 eV in the conduction band. Overall, the inclusion of dispersion corrections improves significantly the band energies both in valence and conduction bands. In the case of electronic band structure of KN₃ as shown in figure 6b, we also observe the same features. In figure 7a and b, we have shown the band structures of LiN₃ and KN₃ at 60 GPa and



Figure 8. (a) The pressure dependence of electronic band gap of LiN_3 and (b) the pressure dependence of electronic band gap of KN_3 .

16 GPa, respectively. Clearly, under pressure the band energies calculated from DFT and DFT+D are found to be same in both the compounds. This is may be due to the fact that as pressure increases the overlapping of N-p orbitals increases which in turn diminishes the dispersion forces between the azide ion layers. The pressure dependence of the band gap values of LiN₃ and KN₃ calculated through the DFT and DFT+D are presented in figure 8a and b. The magnitude of the band gap is decreasing monotonically as pressure increases in both the compounds implying the possibility of these compounds becoming semiconductors at high pressures. This is a significant feature in achieving the polymeric nitrogen which is found to be semiconductor in nature.⁸

3.4 Total and partial density of states (DOS) of LiN_3 and KN_3

In figure 9a and b we have shown the calculated total and partial DOS of LiN_3 and KN_3 within the DFT+D



Figure 9. (a) The total and partial density of states of LiN_3 and (b) the total and partial density of states of KN_3 calculated at ambient pressure.

method. In both the compounds, the states in valence band including the bands at the Fermi level are entirely due to the p-states of N atoms and those in conduction band are from the s-states of metal atom and p-states of N atoms, respectively. In detail, the states at the Fermi level are due to p-states of end N atom whereas the states lying at -5 eV are due to the p-states of mid N atom. The metal atom states do not play much role in the valence band indicating that in both the compounds ionic bonding is dominating, which is a well-known feature of the materials having a positive cation and a negative anion similar to that of known alkali halides, a well-known ionic compounds. As pressure increases, the states of end N and mid N are delocalizing as shown in figure 10a and b. One can also notice that the width of the energy interval of p-states increases in the valence band. This is due to the fact that as pressure increases the intra molecular interactions between the N atoms of the azide ion enhances and therefore the states become broadened. A significant feature of the calculated DOS under pressure is that the contribution of metal atom states in the conduction band increases. Over all, from the study of DOS of LiN₃ and KN₃ we came to the



Figure 10. (a) The total and partial density of states of LiN_3 calculated at 60 GPa and (b) the total and partial density of states of KN₃ calculated at pressure of 16 GPa.

conclusion that the azide ion plays a major role in bonding properties of the alkali metal azides.

4. Conclusions

In conclusion, we have studied the high pressure behaviour of monoclinic LiN₃ and tetragonal KN₃ molecular crystals using density functional theory including van der Waals interactions. The calculated ground state properties are greatly improved by the DFT+D method over the usual DFT results. The calculated P-V relation for both the compounds is wellreproduced by calculations with the DFT+D method. Our calculations clearly show that the compressibility of the materials is anisotropic which is also consistent with experiment. The calculated electronic band structure of LiN₃ and KN₃ clearly shows that both the compounds are direct band gap insulators. As pressure increases, the band gap decreases implying the possibility to become semiconductor which is a precursor for the formation of polymeric nitrogen. The total and partial density of states of LiN₃ and KN₃ are also supporting this fact. As pressure increases, the states become broadened, implying the delocalization of the azide ion states which is also a witness towards the formation of polymeric nitrogen. We also found that at high pressures, the dispersion interactions do not play much role in these compounds.

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