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Methodology for service life prediction of window frames

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Abstract:

Window frames are an important element of buildings, with an enormous impact on their thermal performance and interior comfort conditions. Knowledge regarding the service life of window frames is extremely relevant, aiding the adoption of adequate solutions in the design and maintenance stages. This study proposes a methodology for the service life prediction of windows frames, based on the visual inspection of 182 case studies, in-use conditions, in which the degradation phenomena and various characteristics of window frames are surveyed. This information is converted into degradation curves, which express the evolution of the degradation of window frames over time, allowing estimating their service life and the influence of their characteristics on their durability. For aluminum and wooden frameworks, estimated service lives of 37.6 and 27.3 years are obtained. These results reveal that the window exposure conditions and the users' behaviors have a substantial impact on the degradation of window frames.

Keywords: Window frames, service life prediction, severity of degradation, degradation models.

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21 **1. Introduction**

22 Windows are one of the most important elements of a building. Window framing is
23 designed to regulate indoor climate, through ventilation, and working as an entry to
24 natural light, whilst preventing the entrance of foreign elements and protecting the living
25 space from adverse conditions (Santos et al. 2017).

26 In the last decades, several studies have been performed, focusing on the influence of
27 different factors on the energy efficiency of window frames, because of the importance
28 of windows in the overall energy consumption of buildings, accounting for between 30-
29 50% of the energy losses by transmission of the building envelope (Gustavsen et al.
30 2011). An adequate design of windows, regarding a correct dimension of its components,
31 can result in between 21-24% of energy savings (Jaber and Ajib 2011). The various
32 developments in this area, either life cycle analysis or the implementation of new
33 materials in the thermal cut, have the same goal, to achieve more efficient systems, i.e. to
34 work towards a sustainable future, in which energy consumption, maintenance and
35 element replacements are minimized.

36 Window frames can be made of distinct materials, of which the most common are
37 aluminum, wood, polyvinyl chloride (PVC) and iron/steel. The different window
38 components play a central role on its performance, which means that the compatibility
39 between the different materials must be ensured and their correct application guaranteed,
40 since they directly affect the durability of the entire system.

41 This study addresses the durability and service life of window frames in real service
42 conditions, through the analysis of different framing materials, specifically aluminum, wood
43 and PVC, with different coatings, and in various exposure conditions. Following the
44 methodology adopted in previous studies (Garrido et al. 2012; Ximenes et al. 2015; Silva et
45 al. 2016; Serralheiro et al. 2017), this study proposes a model for service life prediction of

46 window frames, based on the data collected through the visual inspection of 182 window
47 frames, carried out during an extensive fieldwork, in which the different degradation
48 phenomena and various characteristics of window frames are surveyed. The data is converted
49 into degradation models, defined according to the factors that influence the deterioration of
50 window frames, thus quantifying their impact on the window frames' service life. The results
51 from the degradation models can provide useful information that can be used in the definition
52 and optimization of maintenance plans, and different maintenance and repair plans could be
53 defined according to the window frames' characteristics, thus allowing reducing the costs of
54 these elements during their life cycle.

55 **2. Background**

56 The concept of service life is not unequivocal, and different authors present slightly
57 different approaches to this concept. According to Masters and Brandt (1987), the service
58 life of a building component is equal to the period of time during which all the essential
59 requirements are met or exceeded, assuming there is periodic maintenance. ISO 15686-1:
60 2011, considered the most relevant reference on service life prediction of buildings and its
61 components, defines service life as the period of time, after construction, in which the
62 building and its elements meet or exceed the minimum performance requirements. Whether
63 maintenance actions are implemented or not can play a crucial role in the buildings life
64 cycle, since the performance of a building element over time can be influenced by the
65 occurrence of maintenance actions, changing the values of the elements' service life and
66 the related intervention costs.

67 In the literature, the service life prediction methods are divided in three main groups
68 (Lacasse and Sjöström 2004): deterministic (e.g. factor and graphical method);
69 probabilistic (e.g. Markov chains); and engineering methods (e.g. Failure modes effects
70 analysis - *FMEA*).

71 The deterministic methods are based on the elements' degradation factors and their
72 deterioration mechanisms. To each degradation factor, a relative importance or weight is
73 assigned, which is later incorporated in formulas that express the action of the degradation
74 mechanisms over time. Within deterministic methods, the factor method is the most widely
75 used and recognized method, which was initially proposed by the Architectural Institute of
76 Japan (AIJ 1993), in the guide to life planning of materials and components of buildings.
77 Currently, this method is considered the general framework for service life estimation of
78 building components, and is the methodology prescribed by the international standard for
79 durability (ISO 15686: 2011).

80 This method is usually criticized due to the high dependence on deterministic factors,
81 the great sensitivity to small variations of the data and the lack of instructions for
82 determining the reference service life and the quantification of the modifying factors
83 (Rudbeck 1999; Hovde 2005; Silva et al. 2016).

84 The graphical method is other example of a deterministic model, and it is the model
85 used in this study. This empirical method is based on the definition of degradation curves,
86 which are intended to describe the evolution of degradation of materials and components
87 over time (Shohet and Paciuk 2004; Chai et al. 2014). In general, the quantification of the
88 elements degradation is shown on the vertical axis, and the time since the elements
89 implementation and the inspection date on horizontal axis. The type of curves used to model
90 the building elements' condition varies according to the nature of the degradation
91 phenomenon, and the best possible adjustment should be sought for the dataset present in
92 the degradation graph (Shohet et al. 1999; Chai et al. 2014).

93 Unlike deterministic models, probabilistic or stochastic models include a probabilistic
94 component in service life estimations, thus allowing a better understanding of the physical
95 degradation phenomena. These methods are usually very complex and involve an

96 extensive data collection in order to allow obtaining sufficiently representative samples,
97 resulting in large disbursements of time and monetary cost, and their application is only
98 advisable for large scale projects (Re Cecconi 2002).

99 Engineering methods seek to harmonize the two large groups of methods described,
100 deterministic and probabilistic or stochastic. According to Moser (2004), the factor method
101 can be used as an engineering method, by adopting probability distribution functions for
102 each of the parameters included in the equation to estimate the building components'
103 service life. This approach to the factor method allows implementing a greater detail
104 (complexity) in the definition of the parameters that influence the element's service life.

105 **3. Description of the field work**

106 **3.1 Description of the sample**

107 The survey of the anomalies observed in window frames allows the definition of the
108 degradation curves of the framework over time, by comparing the state of degradation of
109 the various case studies analyzed. In this sense, a visual inspection is performed,
110 involving the collection of the anomalies and the degradation mechanisms in the window
111 frames, which result from the prolonged exposure of the element to service conditions. In
112 this way, abnormal anomalies resulting from unpredictable phenomena such as
113 vandalism, are excluded, since they cannot be modelled or predicted by a mathematical
114 model. To achieve a better calibration of the model, it is important not only to analyze as
115 many cases as possible, but also to obtain a sample with a wide range of ages and
116 characteristics.

117 The field work was developed in Portugal, in which a total of 182 window frames were
118 analyzed, 112 corresponding to aluminum, 45 to wood and 25 to PVC window frames. The
119 owners of the dwellings were contacted to obtain relevant information regarding the age of
120 the window frames, the dates and types of maintenance actions on the window frames, and

121 the operating and cleaning habits. This information is extremely relevant, since the age of a
122 window frame is defined as the period of time between its application (or the last intervention
123 date) and the inspection date. The aluminum sample presents ages ranging between 1 and 39
124 years, homogeneously distributed. In the case of wood frames, most of the sample is
125 concentrated between 31 and 40 years (54%), since this framing solution has been replaced
126 by PVC or aluminum in more recent applications. A sample of 25 PVC window frames was
127 also analyzed, with ages ranging from 1 to 13 years. The age and size of the PVC window
128 frames sample can be explained by the relatively recent application of this type of window
129 frames in Portugal, which do not allow obtaining unequivocal conclusions regarding the
130 expected service life of these window frames.

131 **3.2 Degradation phenomena in window frames**

132 Due to the variety of materials implemented in each of the different window
133 components, various degradation processes can be observed. There is a great diversity of
134 components, of which the most important are glass, framework, sealings and metal fittings.
135 In this sense, in this study, the anomalies in window frames are divided according to the
136 affected component, meaning that three main anomalies groups can be specified: anomalies
137 affecting the sealings; the material and coating of the framework; and the metal fittings (e.g.
138 hinges, closing mechanisms).

139 Sealings play a crucial role on the windows' water tightness and air permeability,
140 since its durability influence the overall performance of the entire system. Ageing of the
141 sealing materials is characterized by the loss of their physical and chemical
142 characteristics, thus leading to the occurrence of anomalies, which can lead to
143 dimensional variation, loss of adhesion, loss of deformability and loss of material.

144 The degradation of the material and coating of the framework usually start with
145 superficial anomalies in the coating, which, if not repaired, lead to the loss of the coating

146 thickness and, ultimately, to its disappearance. These anomalies lead to the exposure of
147 the framework material, causing an accelerated degradation and compromising its
148 durability and aesthetic appearance. The anomalies that affect the framework depend on
149 its material; however, although with different severities regarding the various framework
150 materials, some anomalies occur in all the materials analyzed, such as: clearances
151 between rim and span or between rim and sheet (excessive or insufficient); deformations;
152 and accumulation of dirt/debris/biological growth.

153 The plastic components exposed to environmental agents show four main degradation
154 mechanisms: photo degradation; thermo-oxidative degradation; hydrolysis degradation;
155 and biological degradation (presence of microorganisms) (Andrady 2011). Plastic
156 degradation manifests itself through the occurrence of surface anomalies such as:
157 ultraviolet induced discoloration; occurrence of scratches; localized corrosion; and
158 erosion.

159 For wooden frameworks, since timber is a putrescible material, it is strongly subjected to
160 deterioration that leads to structural disintegration. There are several agents whose action
161 results in the degradation of the wood, namely: atmospheric agents (e.g. moisture, ultraviolet
162 radiation); and biological agents (e.g. rot fungi, molds and termites) (Sousa et al. 2016).

163 In metallic frameworks, the main mechanism of degradation is corrosion. Its occurrence
164 and intensity in the metallic elements depend on several factors, such as: the constituent
165 material; operating conditions (e.g. atmospheric humidity, rainfall); and the aggressiveness
166 of the environment to which it is exposed (e.g. industrial zone, maritime) (Howard and
167 Burgess 2007).

168 The possible causes for malfunction or damage to metal fittings are: improper
169 handling of moving parts or mechanisms; inadequate choice of profile, materials, and
170 geometry or frame system, as a function of window span; use of inexperienced or

171 unskilled labor; vandalism; and presence of water (enabling corrosion).

172 Although glass represents a large percentage of the area of the window span, due to the
173 good characteristics and durability of glass, this element does not show a great variety of
174 anomalies, the ones more frequently observed are related to condensations on the surface of
175 the glass or its fracture. The occurrence of the first type of anomalies may lead to the
176 degradation of the frame material (corrosion and rotting), development of microorganisms,
177 loss of insulation capacity, as well as negatively affecting the aesthetic aspect of the window
178 frame. In the case of fractures, the consequences are severe as they compromise the water
179 tightness of the system, in addition to the risks associated with the safety of the building
180 occupants.

181 To simplify the process of quantifying the dimensions of the various elements of the
182 window frame, without compromising the accuracy and representativeness of the
183 methodology applied in this study, the following criteria were adopted:

- 184 • The evaluation of the dimensions of the various elements, except for metal
185 fittings and the related anomalies, is carried out only on the exterior of the
186 building, since it is exposed to the various environment agents;
- 187 • The framework and sealings are quantified linearly; this choice is
188 advantageous since one of the dimensions of these elements is substantially
189 bigger; moreover, in this case the calculation of areas requires extraneous
190 rigor, making the process excessively slow and not operative;
- 191 • Metal fittings are unitarily quantified, i.e. the anomalies are accounted in terms
192 of number of mechanisms affected.

193 Concerning the anomalies affecting the sealing (Fig. 1a), in this study, a great
194 percentage of the window frames inspected showed superficial degradation of the
195 sealings, and in the case of wooden frames, deterioration of the putty seal coating (around

196 65%). The wooden frame putty seal is the material with the highest frequency of
197 detachment/discontinuity of the sealing material and absence of sealing material, which
198 promote both air infiltration and water ingress (Howard and Burgess 2007).

199 Regarding the anomalies of the framework material and coating (Fig. 1b), aluminum
200 and PVC window frames show a low incidence of anomalies. Wooden frames show a
201 higher occurrence of anomalies in the coating; 91% of the wooden frames inspected
202 present detachment or absence of the coating, which allows the development of anomalies
203 in the frame material such as the aging and deterioration of wood, which occurs in 38%
204 of the wooden frames inspected. There are also registered 32 (71%) cases of open
205 joints/gaps in wooden frames, which can be considered an anomaly that compromises the
206 watertightness of the window system.

207 **4. Service life prediction model**

208 The model proposed in this study is based on the methodology established by Gaspar
209 and de Brito (2011), considering the specific characteristics of window frames, and data
210 obtained during the fieldwork. This model expresses the global degradation of a building
211 element through a numerical index, which considers the anomalies detected in the element
212 under analysis and their severity, based on their effect on the element's durability.

213 In this study, the degradation levels are associated with the percentage of the
214 component affected by the anomaly, according to the methodology proposed by Gaspar
215 and de Brito (2011). The classification is made based on five levels of degradation, where
216 level A represents an element with no visible degradation and level E corresponds to a
217 severe degradation condition of the element, in which even minimum levels of water
218 tightness and operability are compromised. Similarly to other studies (Gaspar and de
219 Brito 2011; Silva et al. 2016; Serralheiro et al. 2017), the fourth degradation level,
220 equivalent to level D, is considered as the end of service life of the window frames.

221 Therefore, after reaching the degradation level D or above (which corresponds to a
 222 severity of degradation of 20%), the window frames require an intervention, in order to
 223 re-establish the necessary characteristics to meet the performance requirements. Based on
 224 the literature and through the analysis of the degradation phenomena in window frames,
 225 in this study, the degradation levels are established as shown in Table 1.

226 The estimation of the window frames degradation ($S_{w,wf}$ - the severity of degradation for
 227 window frames) is obtained as shown in Equation (1), which is obtained through the sum of
 228 the ratio between the weighted degraded dimension of the window frame component and the
 229 total dimension of the component with the highest level of degradation.

$$230 \quad S_{w,wf} = \frac{\sum(L_g \times k_n \times k_{a,n})}{L_{T,g} \times k_{max,g}} + \frac{\sum(L_f \times k_n \times k_{a,n})}{L_{T,f} \times k_{max,f}} + \frac{\sum(L_{mf} \times k_n \times k_{a,n})}{L_{T,mf} \times k_{max,mf}} = \frac{E_{w,p}}{k_{max}} \quad (1)$$

231 Where:

232 $S_{w,wf}$ - severity of the window frame degradation, in %;

233 L_g - dimension of the sealing material affected by anomalies, in cm;

234 L_f - dimension of framework material and coating affected by anomalies, in cm;

235 L_{mf} - number of metal fittings affected by anomalies;

236 k_n - multiplication factor for anomaly n , as a function of its degradation level (k varies
 237 between 0 and 4);

238 $k_{a,n}$ - weighting coefficient corresponding to the relative weight of the detected
 239 anomaly; $k_{a,n} \in \mathbb{R}^+$; $k_{a,n} = 1$ if there is no specification;

240 $L_{T,g}$ - overall dimension of the sealing material, in cm;

241 $L_{T,f}$ - overall dimension of the framework, in cm;

242 $L_{T,mf}$ - total number of metal fittings;

243 $k_{max,g}$ - weighting constant, equal to the highest level of degradation possible for
 244 sealing material anomalies (4);

245 $k_{max,f}$ - weighting constant, equal to the highest level of degradation possible for

246 framework material and coating anomalies (4);

247 $k_{max,mf}$ - weighting constant, equal to the highest level of degradation possible for
248 metal fittings anomalies (4);

249 k_{max} - sum of the weighing constants, corresponding to the highest possible level of
250 degradation (4+4+4, sealing material, framework material and coating, and metal fittings
251 anomalies);

252 $E_{w,p}$ - window frame weighted degradation level.

253 **4.1 Relative importance of the anomalies**

254 As proposed by other authors (Shohet and Paciuk 2004; Serralheiro et al. 2017), in this
255 study, a weighting coefficient is adopted to establish a relative importance between
256 anomalies (Table 2). Different anomalies can affect the same extent of the window frames,
257 but each anomaly causes a different damage and presents a different severity for the overall
258 degradation of the window framing system. The weighting coefficients are defined
259 considering: i) how a given anomaly affects the compliance with the minimum
260 requirements of the element; ii) its tendency to cause new anomalies or increase the
261 propagation speed of existing ones; iii) and its repair cost, since this may also influence the
262 service life of the element. The repair costs were determined for a standardized window,
263 considering the prices practiced by some companies and price simulators. In the definition
264 of the weighting coefficients, the following assumptions were adopted:

- 265 • The accumulation of debris has a lower impact on the overall degradation
266 condition of the window frames, and therefore a weighing coefficient of 0.1 is
267 adopted;
- 268 • The color change/superficial deterioration of the seal is a superficial anomaly
269 that indicates the beginning of the chemical alteration of this component;
270 therefore, the repair of this anomaly can be encompassed by the replacement of

271 the seal, with a related cost of €30 per window. However, due to the low
272 influence of this anomaly on the window frames' performance, seals are rarely
273 replaced to simply repair this anomaly, and a weighing coefficient of 0.3 is
274 adopted. In wooden frames, the deterioration of the coating of the putty seal
275 promotes the deterioration of the window frame, compromising its water
276 tightness; in this sense, despite the lower cost of repair (€10 to €15 per window),
277 the value adopted for the weighing coefficient for this anomaly is 0.5;

278 • Biological colonization is usually removed through cleaning actions using
279 biocides or similar treatments. Nevertheless, biological colonization is not
280 easily eliminated and can reappear. In sealing materials and wooden frames, a
281 weighing coefficient of 0.6 is assigned, since these elements are more
282 susceptible to the degradation agents, and for aluminum frameworks a
283 weighing coefficient of 0.4 is established, since these window frames are less
284 affected by the presence of biologic colonization;

285 • The corrosion of the framework material is a specific anomaly of the iron/steel
286 and aluminum window frames, and the repair of this anomaly is a challenging
287 and time-consuming task, only recommended if the affected areas are small.
288 Due to the impact of this anomaly in the aluminum window frames, a weighing
289 coefficient of 0.6 is assigned;

290 • The aging of the framework material occurs in wooden and PVC window
291 frames and corresponds to the deterioration of the framework material, being of
292 comparable importance to corrosion in iron/steel and aluminum frames. This
293 anomaly is mainly identified in wooden window frames. The repair of this
294 anomaly encompasses the treatment of the affected zone and application of a
295 repainting. A weighing coefficient of 0.6 is assigned for PVC window frames

296 and 1 for wooden window frames, thus reflecting the greater influence of this
297 anomaly for the deterioration of wooden window frames;

298 • The deformation of the framework may reflect a high level of degradation of
299 the frame, depending on the extension and the affected element. The repair of
300 this anomaly is difficult and may even include the replacement of the element;
301 in this sense, a value of 0.8 is adopted;

302 • Other anomalies, such as detachment/discontinuity and absence of the sealing
303 material in aluminum, or rot in wooden frames, are extremely harmful
304 anomalies, seriously affecting the framework's durability and its service life,
305 thus presenting the highest weighting values. In these situations, the weighting
306 coefficients are higher than 1, since the repair of these anomalies requires the
307 replacement of the degraded elements.

308 **4.2 Degradation curves**

309 The degradation curves obtained for the 112 aluminum, 45 wooden and 25 PVC
310 window frames inspected, is shown in Fig. 2. The degradation curves are obtained
311 through a simple regression analysis, in which a regression trend is adjusted to the scatter
312 of points that represent the sample under analysis. This regression analysis provides a
313 mathematical equation and a degradation curve that establish the relationship between the
314 dependent variable (the severity of degradation) and the independent variable (the age of
315 the window frames). In this study, different degradation patterns are obtained, as
316 described by Shohet et al. (1999), reflecting the various degradation phenomena observed
317 on the element under analysis. The degradation pattern obtained for aluminum framework
318 is "S" shaped, reflecting an early stage where there is a noticeable presence of anomalies,
319 usually consisting of surface anomalies, followed by a period in which the evolution of
320 the degradation appears to stabilize, and finally, an accelerated degradation phase in

321 which there is an intensification and synergy of the degradation phenomena.

322 For wooden and PVC framework, the degradation curve has a convex shape,
323 specifically a potential curve, which is associated with an initially slow degradation
324 phenomena, but whose effects are cumulative. This degradation pattern reflects the
325 greater susceptibility of wooden frameworks to the degradation agents, since the
326 deterioration of the coating rapidly affects the overall performance of the frame.

327 The square of the Pearson product correlation coefficient (or determination coefficient -
328 R^2) evaluates the proportion of the variation of the dependent variable that can be explained
329 by the model, i.e. the degree to which observed reality can be explained by the regression
330 model (Nagelkerke 1991). This coefficient can vary between 0 (zero correlation) and 1
331 (perfect correlation), where the obtained values of 0.79, 0.89 and 0.72, for aluminum, wood
332 and PVC frames, respectively, reveal a strong correlation between the degradation curve and
333 the sample analyzed. These results also reveal that 79% and 89% of the variability of the
334 window frames degradation, for aluminum and wooden frames, respectively, can be
335 explained by their age (the only variable included in the model).

336 Therefore, some of the variability of the severity of degradation is explained by other
337 characteristics of window frames. In fact, the service life of window frames also depends
338 on the material applied, the environmental exposure conditions and the type and periodicity
339 of the maintenance carried out (Asif et al. 2005). Therefore, to extend the knowledge of the
340 influence of different factors on the degradation of window frames, various degradation
341 curves are defined, according to the characteristics of the window frames analyzed (Fig. 3
342 to 7). However, due to the sample's size, some characteristics appear in few case studies,
343 and thus the results obtained must be analyzed with some caution, regarding their statistical
344 significance. In the case of PVC frames, the ages and size of the sample gathered during
345 the fieldwork do not allow obtaining unequivocal conclusions regarding the evolution of

346 degradation of these window frames over time and according to their characteristics. In this
347 sense, further analyses of the influencing factors for the degradation of window frames are
348 only performed for aluminum and wooden frames.

349 The use of shading devices allows protecting window frames against weather agents,
350 in exchange for the loss of sunlight entering in the interior of the house, which is why
351 they are especially used at night and in hot seasons. The sample is divided according to
352 the number of hours in which the shading devices are closed (protecting the window
353 frames from the weathering agents) (Fig. 3): i) 10 hours or more per day; and ii) less than
354 10 hours per day. As expected, window frames with a higher number of hours of
355 protection, degraded at a slower pace, with higher estimated service lives.

356 Fig. 4 presents the analysis of the evolution of degradation of window frames according
357 to periodicity of the cleaning actions performed. The sample is divided in two categories: i)
358 window frames that are subjected to cleaning actions every week (or even more frequently);
359 and ii) window frames with a less frequent cleaning actions. The absence or a low frequency
360 of the cleaning actions contribute to the occurrence of various anomalies, thus leading to a
361 faster degradation of the window frames (Santos et al. 2017). In our sample, wooden and
362 aluminum frames with a lower frequency of maintenance actions tend to deteriorate faster,
363 reaching sooner the end of their service life. For aluminum frames, although the curve of
364 less regular maintenance actions (> weekly) showed an initially faster degradation, as
365 expected, the two curves eventually converged. This can be explained, since some
366 anomalies, which eventually occur over time due to environmental degradation, cannot be
367 repaired or mitigated with simple cleaning actions. In this sense, cleaning operations have
368 no significant impact on the degradation of aluminum window frames in the long term (>
369 30 years).

370 Regarding exposure conditions (Fig. 5), the span is classified as exposed or protected,

371 where in the second case the window can benefit from greater protection against wind
372 and solar radiation exposure. As expected, window frames classified as exposed reach the
373 end of their service life earlier. In the case of protected wooden frames, the lower value
374 of the determination coefficient may be explained by the lack of case studies with ages
375 under 21 years.

376 Figure 6 presents the degradation curves obtained according to window frames'
377 orientation. In Portugal, the North and West orientations present greater exposure to the
378 actions of wind and rain and, therefore, humidity. On the other hand, the southern-
379 oriented window frames are exposed to a greater amount of solar radiation, leading to a
380 greater thermal gradient (Gaspar and de Brito 2008).

381 For aluminum frames (Fig. 6a), the northern orientation has the fastest degradation of
382 the window frames, while wooden frames (Fig. 6b) facing West and South reach first the
383 end of their service lives. Although high determination coefficients are obtained for
384 wooden frames, the North orientation curve is based on 4 points only, and therefore does
385 not allow obtaining unequivocal conclusions.

386 To evaluate the influence of the distance from the sea on window frames' degradation
387 (Fig. 7), two intervals are considered: i) more than 5 km from the sea; and ii) less than 5
388 km from the sea. By analyzing the curves, window frames located less than 5 km from
389 the sea present a faster degradation path. Nevertheless, when the sample is divided
390 according to the distance from the sea, the ages are not uniformly distributed along the
391 degradation curve, and therefore, the results must be analyzed with some caution.

392 **5 Discussion of the results**

393 The service life of window frames is evaluated by determining the instant after which
394 these elements reach a degradation condition, considered as inadmissible. In this study,
395 the end of the service life of the element under analysis corresponds to a severity of

396 degradation of 20%. In Fig. 8, an aluminum window frame with a $S_{w,wf}$ equal to 20.7%,
397 and a wooden window frame with a $S_{w,wf}$ equal to 21.5% are shown, illustrating the overall
398 degradation that portrays the end of service life of window frames. Therefore, the
399 estimated service life of the window frames is thus calculated through the intersection of
400 this limit with the overall degradation curve.

401 The most severe anomalies, with higher impact for establishing the end of service life
402 of window frames, are: i) for aluminum frames, the deterioration of sealings and
403 mechanisms; and ii) for wooden frames, the absence of the putty seal in the lower rim,
404 where there is more accumulation of rainwater, the aging of the wood also in the lower
405 rim and the existence of clearances between the movable and fixed rim.

406 In a simplified approach, the standard for the durability and the different European EPD
407 operators (PCR 2011) refer that window frames must present an estimated service life of at
408 least 30 years. However, the service life of the window frames varies significantly according
409 to the type of the material used. Brown et al. (1999) proposed an estimated service life of 45
410 years for aluminum-coated timber, 40 years for aluminum, 35 for timber and 22.5 for PVC.
411 Citherlet et al. (2000) proposed an estimated service life of 45 years for aluminum and wood
412 frames, and 30 years for PVC. A survey performed by Asif et al. (2005) indicates an estimated
413 service life for window frames of 43.6 years for aluminum, 39.6 for wood and 24.1 for PVC.

414 In this study, for aluminum frameworks, an estimated service life (ESL) of 37.6 years
415 is obtained, which agrees with the results obtained by Re Cecconi et al. (2017), where the
416 ESL values for this type of framework varies between 30 and 42 years, and with the
417 HAMP (Housing Association Property Mutual Ltd.) manual, where the ESL for
418 aluminum and wood frameworks is 35 years, when properly and regularly maintained.

419 Concerning wooden frameworks, an ESL of 27.3 years is obtained, which is lower than
420 values proposed in other works. This can be justified by the fact that the inspected window

421 frames are not subjected to regular repairs or interventions. According to a survey performed
422 by Asif et al. (2002), wooden frameworks are the type of framework that requires the highest
423 number of such actions during their life cycle, which means that the absence of regular
424 maintenance actions strongly reduces the service life of these window frames.

425 Fig. 9 presents the estimated service life (ESL) of window frames, according to their
426 various characteristics. The durability and service life of window frames are strongly
427 conditioned by their exposure to environmental and surrounding conditions:

- 428 • The most substantial differences in the ESL are obtained in the window span
429 orientation, for both aluminum and wooden frameworks;
- 430 • Aluminum frames are more negatively affected by the northern orientation,
431 indicating that these window frames are more susceptible to degradation
432 mechanisms related with the presence of humidity;
- 433 • Wooden frames are more negatively affected by the southern and west
434 orientations, which reveal that these frames are adversely affected by high
435 thermal gradients and the presence of moisture, which is in accordance with
436 the evaluation of the degradation factors of the wooden window frames made
437 by de Brito et al. (2006);
- 438 • Concerning the distance from the sea, aluminum frames are more affected by
439 the exposure to sea salts spray than wood frames, since aluminum windows
440 have a tendency to corrode under marine environments, while wooden frames
441 tend to remain unaltered;
- 442 • These results are in accordance with other studies and accelerated tests
443 performed to aluminum and wood frames (Asif et al. 2005; Howard and
444 Burgess 2007), which reveal that aluminum frames are more prone to
445 degradation due to presence of humidity and sea salts, while wood frames are

446 more susceptible to ultraviolet radiation, humidity and the presence of
447 biological agents.

448 Moreover, the users' behaviors, namely related with the use of shading devices, and the
449 frequency of cleaning actions also have a substantial impact on the degradation window
450 frames. The combination of more favorable conditions, to increase the window frames'
451 service life, corresponds to the use of shading devices for at least 10 hours per day and
452 the adoption of a weekly cleaning frequency.

453 **6 Conclusions**

454 In this study, a methodology to estimate the service life of window frames is proposed,
455 based on the evaluation of the degradation condition of 182 window frames, under real
456 conditions of use and environmental exposure. The degradation condition of window
457 frames, observed during a fieldwork survey, is translated into a numerical index, which
458 considers the anomalies that occur in window frames, their extent and severity. This
459 numerical index is used to establish graphical degradation models, which represent the
460 evolution of the degradation condition of window frames over time.

461 Different materials face different degradation mechanisms over their service life due to
462 the environmental exposure conditions. In this sense, in this study, the service life of
463 window frames is evaluated through the analysis of the influence of different characteristics
464 on their degradation phenomena. The results obtained reveal that wood window frames
465 seem to be adversely affected by high thermal gradients and the presence of moisture, being
466 more susceptible to chemical and biological degradation. In the case of aluminum frames,
467 window frames facing North and closer to sea present a faster degradation pace, due to the
468 presence of moisture and sea salts, which promotes the occurrence of corrosion in this
469 window frames' material. Use and maintenance conditions also influence the degradation
470 of aluminum and wood window frames, revealing that the adequate use of shading devices

471 and periodic cleaning actions can prolong the service life of window frames.

472 The knowledge regarding the durability and service life of window frames is
473 extremely relevant for a better evaluation of the overall costs of these elements during the
474 buildings' life cycle. Moreover, the results of the estimated service life of aluminum and
475 wooden window frames under real conditions can be useful for designers and
476 stakeholders, to compare different technical solutions and determine the ideal periods for
477 maintenance and repair operations.

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567

568

FIGURE CAPTIONS

- 569 Fig. 1. Anomalies observed during the fieldwork: (a) affecting the sealing; (b) in the metal fittings; (c)
570 degradation of the material and coating of the framework
- 571 Fig. 2. Degradation curves obtained from the total of 182 window frames inspected in the fieldwork
- 572 Fig. 3. Degradation curves according to the use of shading devices: (a) aluminum; (b) wood
- 573 Fig. 4. Degradation curves according to the frequency of cleaning actions: (a) aluminum; (b) wood
- 574 Fig. 5. Degradation curves according the window span exposure: (a) aluminum; (b) wood
- 575 Fig. 6. Degradation curves according to window span orientation: (a) aluminum; (b) wood
- 576 Fig. 7. Degradation curves according to distance from the sea: (a) aluminum; (b) wood
- 577 Fig. 8. Illustrative example of window frames near the end of their service life (a) aluminum framework,
578 (b) wooden framework

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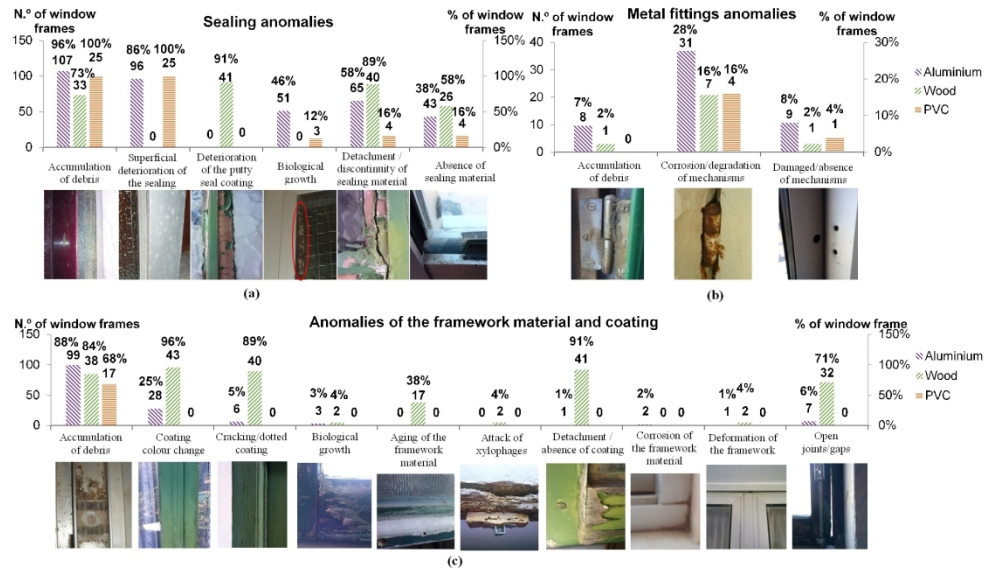


Fig. 1. Anomalies observed during the fieldwork: (a) affecting the sealing; (b) in the metal fittings; (c) degradation of the material and coating of the framework

Fig. 1. Anomalies observed during the fieldwork: (a) affecting the sealing; (b) in the metal fittings; (c) degradation of the material and coating of the framework

176x109mm (300 x 300 DPI)

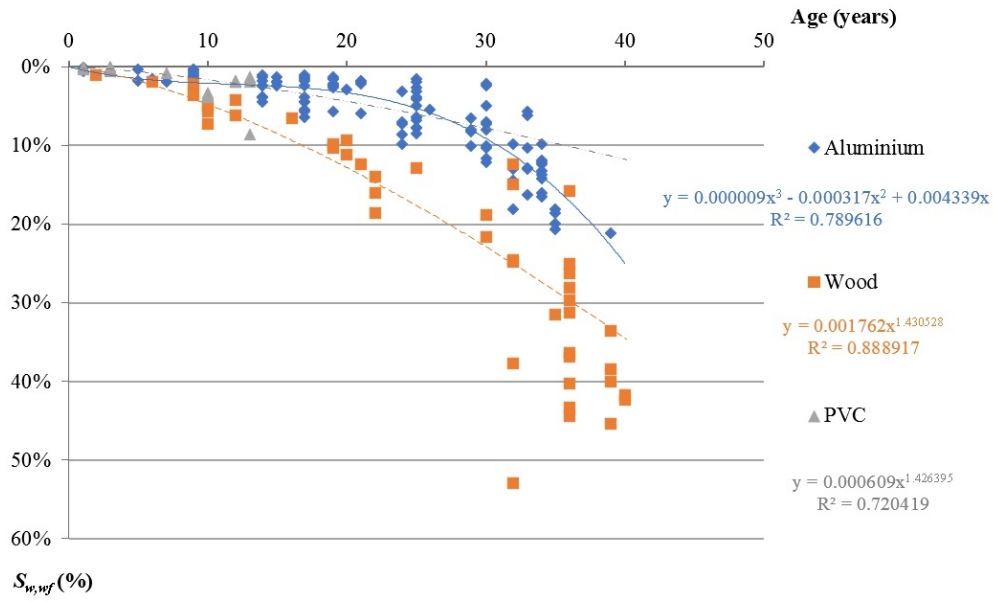


Fig. 2. Degradation curves obtained from the total of 182 window frames inspected in the fieldwork

Fig. 2. Degradation curves obtained from the total of 182 window frames inspected in the fieldwork

88x64mm (300 x 300 DPI)

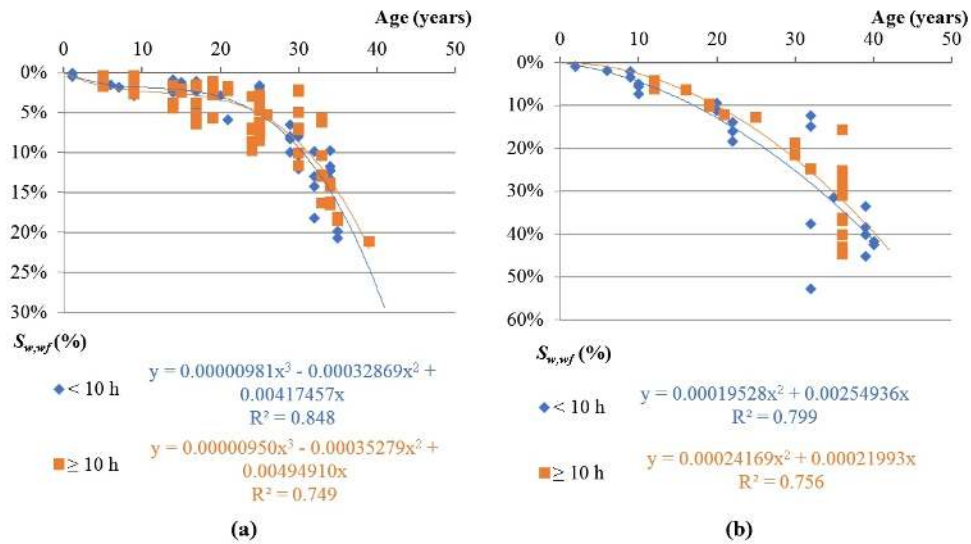


Fig. 3. Degradation curves according to the use of shading devices: (a) aluminum; (b) wood

Fig. 3. Degradation curves according to the use of shading devices: (a) aluminum; (b) wood
101x64mm (300 x 300 DPI)

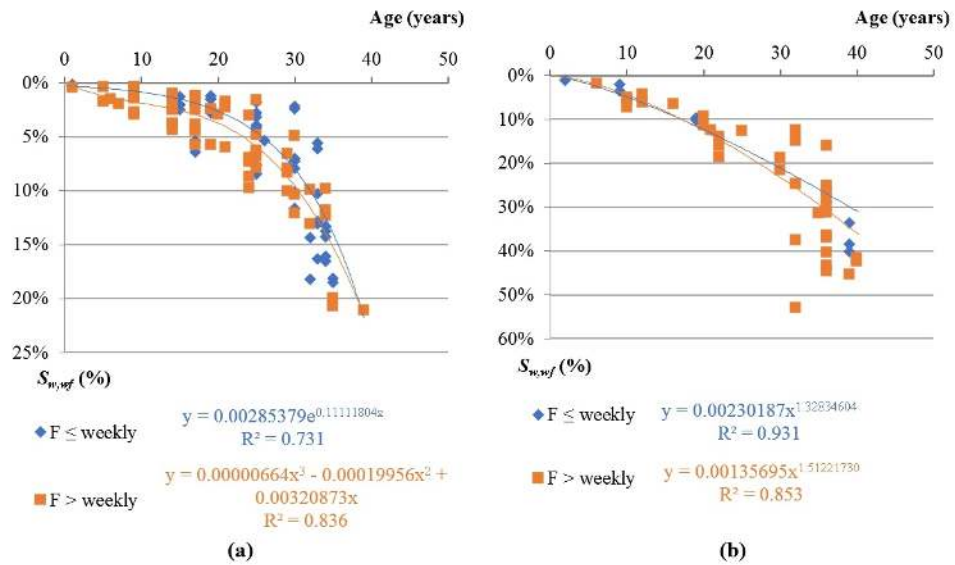


Fig. 4. Degradation curves according to the frequency of cleaning actions: (a) aluminum; (b) wood

Fig. 4. Degradation curves according to the frequency of cleaning actions: (a) aluminum; (b) wood

103x64mm (300 x 300 DPI)

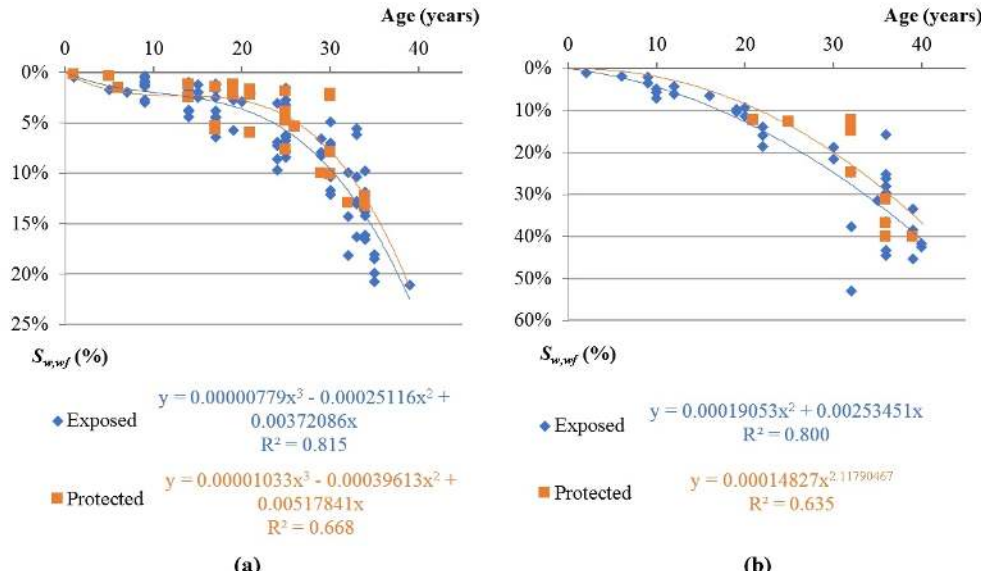


Fig. 5. Degradation curves according the window span exposure: (a) aluminum; (b) wood

Fig. 5. Degradation curves according the window span exposure: (a) aluminum; (b) wood

100x64mm (300 x 300 DPI)

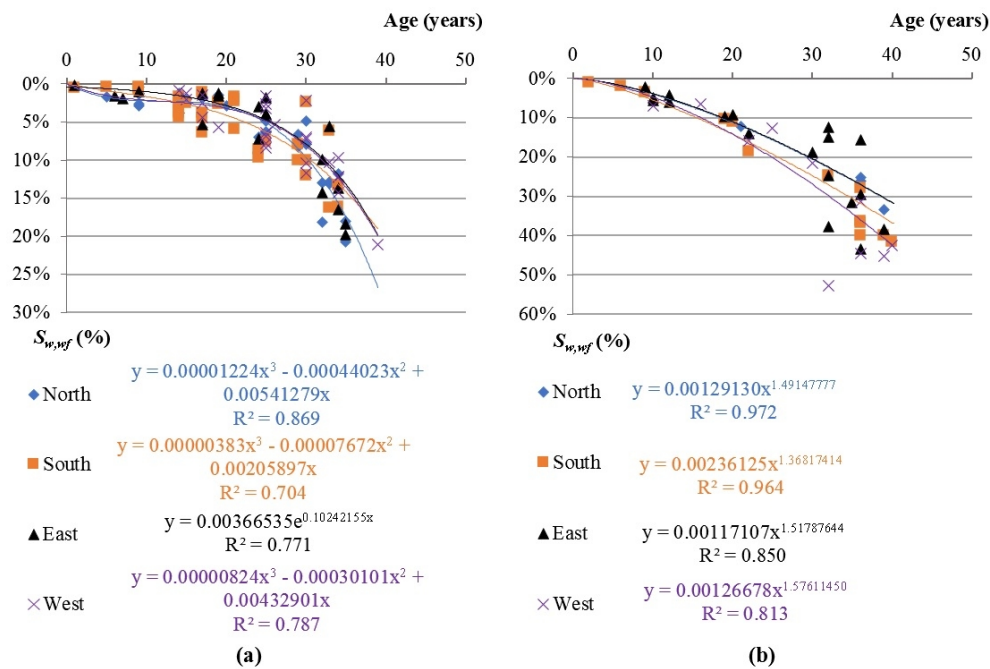


Fig. 6. Degradation curves according to window span orientation: (a) aluminum; (b) wood

Fig. 6. Degradation curves according to window span orientation: (a) aluminum; (b) wood

94x66mm (300 x 300 DPI)

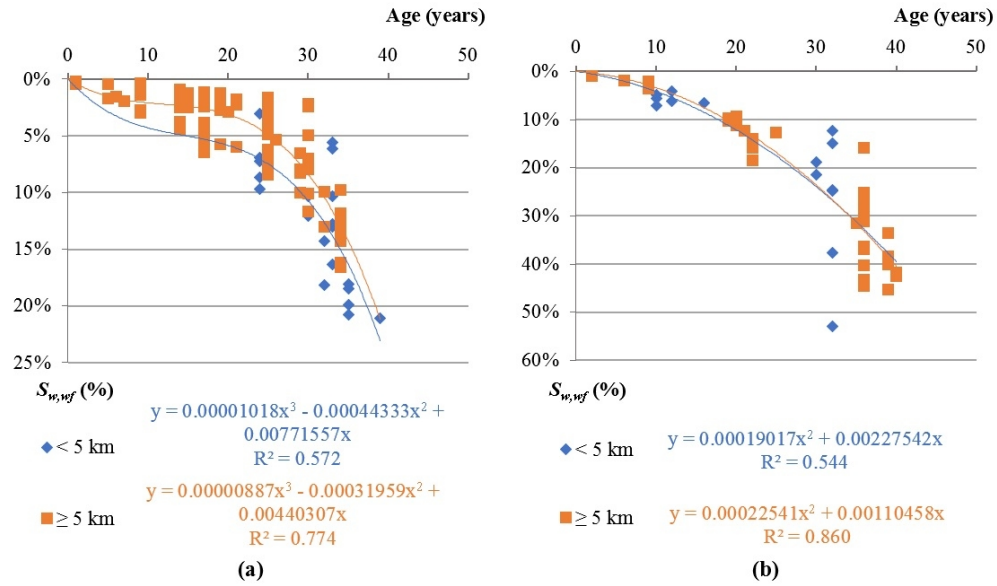


Fig. 7. Degradation curves according to distance from the sea: (a) aluminum; (b) wood

Fig. 7. Degradation curves according to distance from the sea: (a) aluminum; (b) wood

94x64mm (300 x 300 DPI)



Fig. 8. Illustrative example of window frames near the end of their service life (a) aluminum framework, (b) wooden framework

Fig. 8. Illustrative example of window frames near the end of their service life (a) aluminum frame-work, (b) wooden framework

96x64mm (300 x 300 DPI)

Table 1 - Proposed degradation levels for window frames

Degradation level	Anomalies group	Anomalies description	% of component affected
Level A (Very good) $S_{w, wf} \leq 1\%$	-	No visible degradation	-
Level B (Good) $1\% < S_{w, wf} \leq 10\%$	Sealings	Accumulation of debris	> 20%
		Color change/superficial deterioration of the sealing [I/S] [A] [PVC]	$\leq 10\%$
	Material and coating of the framework	Accumulation of debris	> 20%
		Coating color change	$\leq 10\%$
		Cracking/dotted coating	$\leq 10\%$
		Detachment/absence of coating	$\leq 10\%$
Metal fittings	Accumulation of debris	> 20%	
Level C (Slight degradation) $10\% < S_{w, wf} \leq 20\%$	Sealings	Color change/superficial deterioration of the sealing [I/S] [A] [PVC]	> 10% to $\leq 50\%$
		Deterioration of the coating of the putty seal [W]	> 10% to $\leq 20\%$
	Material and coating of the framework	Biological growth	$\leq 15\%$
		Coating color change	> 10% to $\leq 50\%$
		Cracking/dotted coating	> 10% to $\leq 50\%$
		Detachment/absence of coating	> 10% to $\leq 50\%$
		Biological growth	$\leq 15\%$
	Metal fittings	-	-
Level D (Moderate degradation) $20\% < S_{w, wf} \leq 40\%$	Sealings	Color change/superficial deterioration of the sealing [I/S] [A] [PVC]	> 50%
		Deterioration of the coating of the putty seal [W]	> 20% to $\leq 40\%$
		Biological growth	> 15% to $\leq 30\%$
		Detachment/discontinuity of sealing material *	> 10% to $\leq 30\%$
	Material and coating of the framework	Coating color change	> 50%
		Cracking/dotted coating	> 50%
		Biological growth	> 15% to $\leq 30\%$
		Detachment/absence of coating	> 50%
		Corrosion of the framework material [I/S] [A] *	> 10% to $\leq 20\%$
		Attack of xylophages [W] *	$\leq 10\%$
		Attack of rot fungi/mold [W] *	$\leq 10\%$
		Aging of the framework material [W] [PVC]	> 10% to $\leq 20\%$
	Deformation of the framework *	> 10% to $\leq 30\%$	
	Metal fittings	Open joints/gaps *	> 10% to $\leq 30\%$
Corrosion/degradation of mechanisms *		> 20% to $\leq 40\%$	
Level E (Severe degradation) $S_{w, wf} > 40\%$	Sealings	Damaged/absence of mechanisms *	> 20% to $\leq 40\%$
		Deterioration of the coating of the putty seal [W]	> 40%
		Biological growth	> 30%
		Detachment/discontinuity of sealing material	> 30%
	Material and coating of the framework	Absence of sealing material	> 10%
		Biological growth	> 30%
		Corrosion of the framework material [I/S] [A]	> 20%
		Attack of xylophages [W] *	> 10%
		Attack of rot fungi/mold [W] *	> 10%
		Aging of the framework material [W] [PVC]	> 20%
		Deformation of the framework *	> 30%
		Open joints/gaps *	> 30%
	Metal fittings	Corrosion/degradation of mechanisms *	> 40%
		Damaged/absence of mechanisms *	> 40%

[A] - Applicable to aluminum framework; [I/S] - Applicable to iron/steel framework; [W] - Applicable to wooden framework; [PVC] - Applicable to PVC framework

*If the anomaly results in insufficient sealing (compromising water tightness or air permeability) or in operation problems of the frame, the degradation level is increased by one.

Table 2 - Weighting coefficients according to the type of anomaly

Anomalies in the sealing material						
Accumulation of debris	Color changes/superficial deterioration of sealing material	Deterioration of the coating of the putty seal	Biological growth		Detachment/discontinuity of sealing material	Absence of sealing material
0.1	0.3	0.5	0.6		1.5	2.0
Framework and coating anomalies						
Accumulation of debris	Coating color change	Cracking/dotted coating	Detachment/absence of coating		Biological growth	
0.1	0.2	0.3	0.5 _{[I/S] [A] [PVC]}	1.0 _[W]	0.4 _{[I/S] [A] [PVC]}	0.6 _[W]
Attack of xylophages	Attack of rot fungi/mold	Aging of the framework material	Deformation of the framework		Open joints/gaps	
1.5	1.5	1.0 _[W]	0.6 _[PVC]	0.8	1.0 _{[I/S] [A] [PVC]}	1.2 _[W]
Metal fittings anomalies						
Accumulation of debris		Corrosion/degradation of mechanisms			Damaged/absence of mechanisms	
0.1		0.3			1.0	
[A] - Applicable to aluminum framework; [I/S] - Applicable to iron/steel framework; [W] - Applicable to wooden framework; [PVC] - Applicable to PVC framework						

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