

Microbial diversity in the deep sea and the underexplored “rare biosphere”

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The evolution of marine microbes over billions of years predicts that the composition of microbial communities should be much greater than the published estimates of a few thousand distinct kinds of microbes per liter of seawater. By adopting a massively parallel tag sequencing strategy, we show that bacterial communities of deep water masses of the North Atlantic and diffuse flow hydrothermal vents are one to two orders of magnitude more complex than previously reported for any microbial environment. A relatively small number of different populations dominate all samples, but thousands of low-abundance populations account for most of the observed phylogenetic diversity. This “rare biosphere” is very ancient and may represent a nearly inexhaustible source of genomic innovation. Members of the rare biosphere are highly divergent from each other and, at different times in earth’s history, may have had a profound impact on shaping planetary processes.

biodiversity | low abundance | marine | microbes | rarefaction

The world’s oceans are teeming with microscopic life forms. Nominal cell counts of $>10^5$ cells per ml in surface sea water (1, 2) predict that the oceans harbor 3.6×10^{29} microbial cells with a total cellular carbon content of $\approx 3 \times 10^{17}$ g (3). Communities of bacteria, archaea, protists, and unicellular fungi account for most of the oceanic biomass. These microscopic factories are responsible for 98% of primary production (3, 4) and mediate all biogeochemical cycles in the oceans (4). Given the enormous number of microbes and their vast metabolic diversity, the accumulation of mutations during the past 3.5 billion years should have led to very high levels of genetic and phenotypic variation.

Direct interrogation of microbial genomes based on comparisons of orthologous gene sequences have shown that, in addition to enormous phylogenetic diversity, the complexity of microbial life (the number of different kinds or “species” of microbes) is at least 100 times greater than estimates based on cultivation-dependent surveys (5). With each new survey, this window on the microbial world increases in size. There have been spectacular discoveries of previously unknown microorganisms, many of which have major impacts on oceanic processes (6–8). At the genomic level, comparisons of chromosomal size sequences from cultivars, marine microbial metagenomic analyses of bacterial artificial chromosomes (9), and shotgun small-insert libraries (10) reveal unanticipated levels of metabolic diversity and extensive horizontal gene transfer. Recurrent discoveries of novel genetic information suggest that cryptic “genetic reservoirs” reshape genomic architecture through lateral gene transfer processes (11). There is evidence of hitherto unrecognized physiological groups among the planktonic microbes (12, 13). Two inescapable conclusions emerge from these phylogenetic, genomic, and metagenomic analyses: (i) microbes account for the majority of genetic and metabolic variation in the oceans and (ii) the genetic diversity, community composition, relative abundance, and distribution of microbes in the sea remain undersampled and essentially uncharted.

Gene sequences, most commonly those encoding rRNAs, provide a basis for estimating microbial phylogenetic diversity (5, 7, 14–18) and generating taxonomic inventories of marine microbial populations (5, 7, 14–18). Evolutionary distances between orthologous sequences (19) or similarities to database entries identified through BLAST (20), FASTA (21), or Bayesian classifiers (22) identify operational taxonomic units (OTUs) that correspond to species or kinds of organisms. A variety of parametric and nonparametric methods extrapolate information from observed frequencies of OTUs or species abundance curves to predict the number of different microbial taxa in a local sample (23–26). Richness estimates of marine microbial communities through comparisons of rRNAs range from a few hundred phylotypes per ml in the water column (19) to as many as 3,000 from marine sediments (27, 28). One of the largest water column surveys (1,000 PCR amplicons) described the presence of only 516 unique sequences and estimated occurrence of $\approx 1,600$ coexisting ribotypes in a coastal bacterioplankton community (29). Using data from metagenomic surveys of the Sargasso Sea, nonparametric treatments of rRNA sequences from marine systems argue that the oceans might contain as many as 10^6 different kinds of microbes (26). Yet, all of these inferences suffer from a paucity of data points (a small number of homologous sequences used to document the presence of individual microbes in a sample) relative to the very large number of organisms (generally 10^5 to 10^6 per ml) in oceanic waters. The detection of organisms that correspond to the most abundant OTUs requires minimal sampling, whereas the recovery of sequences from minor components (those present only a few times in a liter of seawater) demands surveys that are many orders of magnitude larger than those reported in the literature.

Insufficient detail about the relative numbers of individuals that represent both major and minor populations constrains the accuracy of both log-normal distribution and nonparametric estimators of taxonomic richness for microbial communities. This information is necessary for meaningful comparisons between community compositions from different environments. Recognizing the undersampled nature of single-cell organisms in the sea, the International Census of Marine Microbes has mounted an effort to increase the efficiency of molecular-based surveys of microbial taxa in both open ocean waters and the benthos. As an alternative to analyzing sequences of nearly full-length PCR amplicons of homologous genes from environmental DNA samples, sequence tags (30) from hypervariable

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Abbreviation: OTU, operational taxonomic unit.

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Table 1. Environmental DNA samples used for sequence tag analyses (collected from North Atlantic Deep Water and Axial Seamount, Juan de Fuca Ridge)

Sample ID	Site	Lat °N, Long °W	Depth, m	Temperature, °C	Cells per ml of water
53R	Labrador seawater	58.300, -29.133	1,400	3.5	6.4×10^4
55R	Oxygen minimum	58.300, -29.133	500	7.1	1.8×10^5
112R	Lower deep water	50.400, -25.000	4,121	2.3	3.9×10^4
115R	Oxygen minimum	50.400, -25.000	550	7	1.5×10^5
137	Labrador seawater	60.900, -38.516	1,710	3	3.3×10^4
138	Labrador seawater	60.900, -38.516	710	3.5	5.2×10^4
FS312	Bag City	45.916, -129.983	1,529	31.2	1.2×10^5
FS396	Marker 52	45.943, -129.985	1,537	24.4	1.6×10^5

Lat, latitude; Long, longitude.

regions in rRNAs can provide measures of richness and relative abundance for OTUs in microbial communities. This “tag sequencing” strategy is analogous to the Bar Code of Life initiative (31), which relies on evolutionary similarities and differences between mitochondrial-encoded cytochrome oxidases to distinguish closely related genera and species. Nearly unique rRNA tag sequences correspond to individual OTUs. Enumerating the number of different rRNA tags provides a first-order description of the relative occurrence of specific microbes in a sample. The highly variable nature of the tag sequences and paucity of positions do not allow direct inference of phylogenetic frameworks. However, when tag sequences serve as a query against a comprehensive reference database of hypervariable regions within the context of full-length rRNA sequences of known phylotypes, it is possible to extract information about taxonomic identity and previously undocumented microbial diversity.

Results and Discussion

To develop a global, in-depth description of the diversity of microbes and their relative abundance in the sea, we exploited the massively parallel DNA sequencing capacity of 454 Life Sciences (Branford, CT) technology (32) to economically increase the number of sampled PCR amplicons in environmental surveys by orders of magnitude. We sequenced $\approx 118,000$ PCR amplicons that span the V6 hypervariable region of ribosomal RNAs from environmental DNA preparations. We examined bacterial community compositions for six paired samples (sample pairs collected at the same coordinates but from different depths) from the meso- and bathypelagic realms at different locations in the North Atlantic Deep Water loop of the ocean conveyor belt and two samples of basalt-hosted diffuse hydrothermal vent fluids collected from the 1998 eruption zone of

Axial Seamount (latitude, $45^{\circ}58'N$; longitude, $-130^{\circ}00'W$), an active submarine volcano located on the Juan de Fuca Ridge in the northeast Pacific Ocean (Table 1). The number of reads per sample ranged from 6,505 to nearly 23,000 sequences (Table 2). To minimize effects of random sequencing errors, we used a systematic trimming procedure to eliminate sequences with multiple undetermined residues or mismatches to the PCR primers at the beginning of a read. On average, this stringent trimming procedure reduced the size of a data set by 24%. This approach is a conservative one that asserts that sequences with multiple undetermined residues or incorrect primers will display higher random error rates.

To assess taxonomic diversity, each trimmed 454 read (tag sequence) served as a query to identify its closest match in a reference database (V6RefDB) of $\approx 40,000$ unique V6 sequences extracted from the nearly 120,000 published rRNA genes for the bacteria domain (22, 33–35). In most cases, the information content of V6 sequences is sufficient to identify phylogenetic affinity with full-length sequences in a reference database. Within the 120,000 published rRNA genes, 99.3% of V6 sequences that occur two or more times correctly identify the major bacterial phyla for each query. Random sampling of 5×10^6 (of a possible 1.44×10^{10}) pairwise distances for V6 and full-length sequences shows that the differences between full-length sequences containing identical V6 hypervariable regions ranges from 0% to 5% for $>90\%$ of the random comparisons. Table 2 shows that there are a very large number of tags from each sampling site that we define as separate OTUs according to their matches to different sequences in the V6RefDB database. Fig. 1 is a rarefaction analysis based on best matches for each tag to sequences in V6RefDB and their frequency of recovery. These rarefaction curves describe unprecedented levels of bacterial complexity for marine samples, yet none has reached the curvilinear or plateau phase. The likelihood that they represent underestimates of the number of different kinds of bacteria in each sample is supported by observations of significant variation among tags with closest matches to the same sequence in V6RefDB. For example, the analysis of sample FS396 identified 4,288 tag sequences that most closely matched the V6 from the *epsilon*-proteobacterium *Wolinella* spp., but multiple alignment of these sequences reveals 45 clusters that are minimally 10% divergent from each other.

As an alternative to defining OTUs by their best matches against V6RefDB, we clustered sequence tags into groups of defined sequence variation that ranged from unique sequences (no variation) to 10% differences by using DOTUR (19). These clusters served as OTUs for generating rarefaction curves and for making calculations with the abundance-based coverage estimator ACE (24, 25) and the Chao1 (23) estimator of species diversity. Table 3 shows that the species diversity estimates obtained with ACE and Chao1 are at least an order of magnitude

Table 2. Data summary and phylotype OTUs

Sample ID	Total reads	Trimmed tags	Unique tags	OTUs
53R	6,505	5,000	2,656	1,184
55R	18,439	13,902	7,187	2,555
112R	12,916	9,282	5,752	2,135
115R	14,731	11,005	5,777	2,049
137	18,137	13,907	6,752	2,480
138	18,451	14,374	7,168	2,550
FS312	6,605	4,835	2,769	1,362
FS396	22,994	17,666	8,699	3,290

Value under trimmed tags are the numbers of reads remaining after the removal of primers and low-quality data. Values under unique tags are the numbers of distinct sequences within a set of trimmed tags. OTUs were calculated by comparing each tag to the V6RefDB database and combining tags with the nearest identical reference.

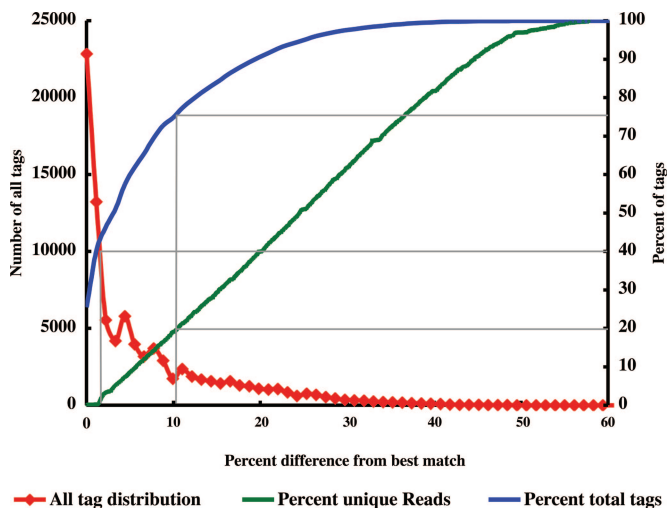


Fig. 3. Similarity of 454 sequence tags from FS396 to the V6RefDB database. "All tag distribution" plots the number of tag sequences for all samples versus the percentage difference from the best-matching sequence in V6RefDB. "Percent unique reads" from all samples shows the percentage difference between each distinct tag sequence and its best match in V6RefDB. "Percent total tags" plots the cumulative percentage of reads in all samples at or below a given percentage difference from best matches in V6RefDB.

only recently cultured from hydrothermal vents, and those isolates characterized are either mesophilic or moderately thermophilic sulfur reducers that grow autotrophically with hydrogen under anaerobic conditions and many use the reverse tricarboxylic acid cycle to fix carbon (36, 39–41). These organisms likely serve as an important member of the microbial community in both the shallow and deep seafloor biosphere. In the deep waters of the North Atlantic, the major populations (*alpha*-, *gamma*-, and *delta*-proteobacteria) are similar but not identical, and these major populations in turn are markedly different from bacterial populations in the diffuse water samples.

Underlying the major populations are broad distributions of distinct bacterial taxa that represent extraordinary diversity. Fig. 3 plots the percentage difference of "total tags" and "unique tags" to their best match in V6RefDB. For the collection of total tags, 25% are identical to a sequence in our V6 reference database, 40% are no more than 3% different, and $\approx 75\%$ are no more than 10% different from a sequence in the reference database. On the other hand, analyses of "unique reads" reveal that 80% are $>10\%$ different from a closest match in V6RefDB, and, as indicated by the distribution of "all tags," the most divergent tag sequences represent very low-abundance OTUs. The range of sequence variation between the low-abundance OTUs is comparable to their percentage differences with V6RefDB. Based on their apparent low levels of sequence similarity, these tags most likely represent microbial lineages that have been evolving over extended evolutionary time scales. The detection and enumeration of these low-abundance OTUs requires the sampling of many more PCR amplicons than can be economically achieved by using conventional cloning and capillary sequencing technology. At the same time, the 454 tag sequence strategy circumvents potential bias that cloning procedures might introduce. It is extendable to archaea and protists, offering the microbial oceanography community an opportunity to capture data about the numbers and kinds of microbes in all provinces of the oceans.

Microbial diversity in the oceans (and likely elsewhere) is much greater than previous estimates that are based on conventional molecular techniques. In traditional molecular studies, dominant populations have masked the detection of low-

abundance OTUs, their overwhelming genetic diversity, and their individual distribution patterns in marine environments. The large number of highly diverse, low-abundance OTUs constitutes a "rare biosphere" that is largely unexplored. Some of its members might serve as keystone species within complex consortia; others might simply be the products of historical ecological change with the potential to become dominant in response to shifts in environmental conditions (e.g., when local or global change favors their growth). Because we know so little about the global distribution of members of the rare biosphere, it is not yet possible to know whether they represent specific biogeographical distributions of bacterial taxa, functional selection by particular marine environments, or cosmopolitan distribution of all microbial taxa (the "everything is everywhere" hypothesis).

The rare biosphere has temporal and spatial dimensions that impact our perceptions of known microbial diversity. Low-abundance populations at a sample site might eventually become dominant in response to environmental change. On the other hand, at a single point in time, dominant populations at one site can correspond to low-abundance populations at a second site. The large diversity of low-abundance taxa relative to V6RefDB (Fig. 3) reflects the sparse distribution in nature of microbial populations that constitute the rare biosphere. For distinct environmental conditions (the North Atlantic Deep Waters versus diffuse flows), new dominant populations emerge from the rare biosphere. Differences in major populations under dissimilar biogeochemical regimes are hardly unexpected, but the idea that underrepresented populations define such enormous diversity with potential to take over a particular ecological niche has profound implications. Several ecological models that account for frequency-dependent mechanisms predict a survival advantage for rare species, which are less prone to predation and direct competition with dominant community members. The concept of a rare biosphere forces us to rethink the potential feedback mechanisms between shifts in extremely complex microbial populations and how the genomes of their constituents change over evolutionary time scales. The rare biosphere may serve as a potentially inexhaustible reservoir of genomic innovation, which could explain how microbial communities recover from environmental catastrophe and why every previously uncharacterized microbial genome offers so much genetic novelty even when compared with closely related taxa.

By necessity, microbial oceanographers have focused their efforts on dominant components of microbial communities that mediate biogeochemical processes. What they have not tackled are very low-abundance members of microbial populations. The extreme phylogenetic diversity of the rare biosphere suggests these minor populations have persisted over geological time scales and that they may episodically reshape planetary processes.

Methods

Study Sites and Sample Collection. The TRANSAT-1 and TRANSAT-2 cruises (TRANSAT-1, September 2002 to October 2002; TRANSAT-2, May 2003 to June 2003) collected a total of 344 samples from different depths (80–4,500 m) and locations (latitude, 63°N to 35°N) in the oceanic conveyor belt following the western and eastern branches of the North Atlantic Deep Water from near its source of formation in the Greenland–Iceland Norwegian Sea to the Azores and Bermuda and deep water masses of the North Atlantic. Samples were collected by filtering 1 liter of water onto 0.2- μ m HCl-rinsed polycarbonate filters, shock-freezing in liquid nitrogen, and storing at -80°C until processing in the laboratory.

The National Oceanic and Atmospheric Administration New Millennium Observatory Program collected basalt-hosted diffuse hydrothermal vent fluids from the 1998 eruption zone of

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