

Microsatellite DNA analysis of population structure in Atlantic herring (*Clupea harengus*), with direct comparison to allozyme and mtDNA RFLP analyses

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Previous attempts to test for small-scale stock structuring within Atlantic herring (*Clupea harengus* L.) with molecular markers have been hampered by uninformative levels of genetic variation. Here we report the first application of microsatellite DNA markers to investigate population subdivision in Atlantic herring from Norwegian waters and the Barents Sea, and also examine microsatellite differentiation between *C. harengus* and Pacific herring (*C. pallasii*). Results from four microsatellite loci indicate high, and informative, variation compared to molecular markers used previously: number of alleles per locus = 18–41; mean expected heterozygosity within samples = 0.90–0.93. Significant genetic differences were detected between almost all samples representing postulated Icelandic summer-spawner, Norwegian spring-spawner and Norwegian fjord stocks, using Fisher's exact test, F_{ST} and R_{ST} values. Levels of allele frequency differentiation between Atlantic and Pacific herring overlapped the range seen among Atlantic herring samples, indicating that microsatellites are poor indicators of the degree of species differentiation. Comparison with allozyme and mitochondrial DNA restriction fragment length polymorphism (RFLP) datasets from the same samples suggests that microsatellites may detect structuring at a finer scale, but are less informative at larger scales of divergence.

Keywords: allozymes, Atlantic herring, microsatellites, mtDNA, pelagic fish, population structure.

Introduction

The specific life-history features of many marine pelagic and demersal fish, such as their high mobility and dispersal capacity, prodigious fecundity and large population sizes, combined with a lack of clear environmental boundaries, have led to doubts concerning the power of established genetic approaches to discriminate stocks or elucidate population structure (Smith *et al.*, 1990; Hedgecock, 1994). Indeed, allozyme and mitochondrial DNA (mtDNA) studies have revealed generally low levels of genetic subdivision when compared with freshwater fish (Hedgecock, 1994). Attention has turned to the development of increasingly polymorphic genetic markers in an attempt to improve discriminatory

power. Variable number of tandem repeat (VNTR) loci within nuclear DNA have been identified as a potential source for markers of genetic variation within and among fish populations, initially using minisatellite DNA loci (e.g. Bentzen *et al.*, 1991), but increasingly the more easily assayed microsatellite DNA loci (García de Leon *et al.*, 1997; Estoup *et al.*, 1998; O'Connell *et al.*, 1998; Ruzzante *et al.*, 1998).

Microsatellite loci characteristically exhibit high levels of length mutation, resulting in extensive allelic variation and levels of heterozygosity in fish ranging from 24% to 90% (O'Connell & Wright, 1997). Such levels of variation make them especially suitable for stock identification in species previously exhibiting low levels of detectable variation using allozymes or mtDNA (Bentzen *et al.*, 1991); for example, Ruzzante *et al.* (1998) found significant heterogeneity at small geographical scales among Atlantic cod populations using microsatellites, in contrast to previous surveys using

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allozymes (Mork *et al.*, 1985) or mtDNA (Carr & Marshall, 1991). Similarly, O'Connell *et al.* (1998) used microsatellite markers to demonstrate significant genetic heterogeneity among Pacific herring populations from the Gulf of Alaska and the Bering Sea previously thought to be genetically homogeneous (Grant & Utter, 1984).

The Atlantic herring (*Clupea harengus* L.) exhibits a mosaic of spatially or temporally separate spawning aggregations throughout the North Atlantic Ocean. Most spawning aggregations have historically been thought to represent separate stocks, based on morphological characters as well as spawning time and location (Svetovidov, 1963; Haegele & Schweigert, 1985). To date however, genetic markers (principally allozymes) have generally shown uniformity across the NW and NE Atlantic (Jørstad *et al.*, 1991), as well as within the offshore waters of the NE Atlantic (Ryman *et al.*, 1984; King *et al.*, 1987), which suggests a lack of locally distinct genetic stocks in contradiction to the morphological and life-history data. Similarly, mtDNA restriction fragment length polymorphism (RFLP) studies have shown genetic homogeneity or low levels of differentiation over large geographical distances (Kornfield & Bogdanowicz, 1987; Dahle & Eriksen, 1990). In contrast to the pattern seen at large geographical scales, discrete localized allozymic differentiation has been detected in Norwegian fjord populations, most notably those within the Balsfjord and Trondheimsfjord (Jørstad *et al.*, 1994; Turan *et al.*, 1998).

The aim here was to test microsatellite primers developed from Pacific herring (*Clupea pallasii* L.) for their utility in studying genetic variation and population structuring within *C. harengus* among supposedly discrete spawning aggregations within the NE Atlantic. We

also compare levels of genetic divergence indicated by allozyme and mtDNA RFLP data on the same Atlantic herring samples to examine: (i) the utility of the three types of markers for detecting different levels of divergence within a pelagic marine fish; and (ii) the ability of microsatellites to differentiate between *C. harengus* and *C. pallasii*, currently considered separate species on the basis of genetic data (Grant, 1986; Domanico *et al.*, 1996) but previously considered morphologically/ecologically similar enough to be subspecies (Svetovidov, 1963).

Materials and methods

Laboratory procedures

Atlantic herring samples were collected by pelagic trawl from sites (indicated in Fig. 1) in the Norwegian Sea and Barents Sea, comprising putative Icelandic summer-spawners (IC) and Norwegian spring-spawners (NS1 and NS2), and from a Norwegian fjord (Balsfjord, BF). One sample of Pacific herring was collected off Vancouver Island (PC). Further details on samples (sampling depth, gear used, mean lengths, age, etc.) can be found in Turan (1997).

DNA extraction, from ethanol-preserved muscle tissue, followed the protocol of Taggart *et al.* (1992). PCR reactions were performed using primers specific to four Pacific herring microsatellite loci (Cha17, Cha20, Cha63, Cha113) as used by O'Connell *et al.* (1998) under the following conditions: 120 s at 94°C; then 30 cycles of 60 s at 92°C, 60 s at the specific annealing temperature, and 30 s at 72°C. A fifth locus (Cha123) used by O'Connell *et al.* (1998) did not give consistent amplifications, although subsequent optimization has shown that this locus can also be used reliably for

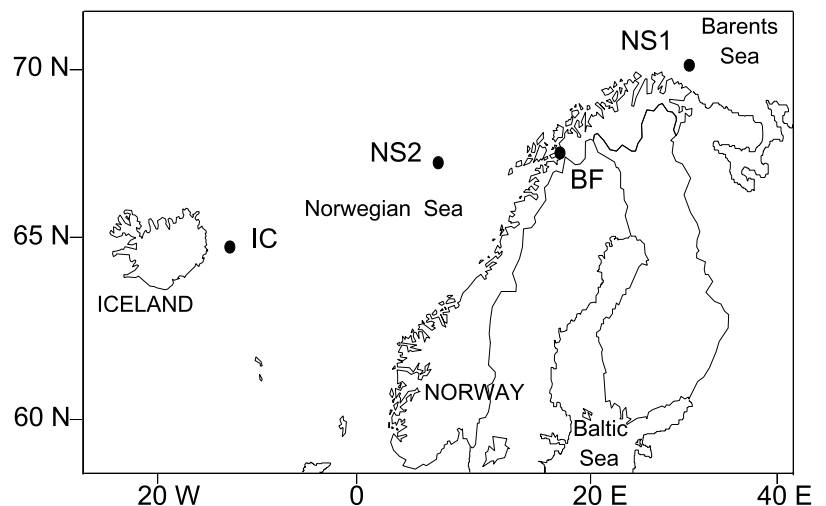


Fig. 1 Map of the NE Atlantic Ocean showing herring sample locations: Icelandic summer-spawners (IC), Norwegian spring-spawners (Barents Sea) (NS1), Norwegian spring-spawners (central Norwegian Sea) (NS2), Balsfjord herring (BF). The Pacific herring sample (PC) was collected in the NE Pacific, near Vancouver Island.

Atlantic herring (P. Bloor, pers. comm.). Reaction mixes contained 20 ng template DNA, 1 mM MgCl₂, 0.2 mM each nucleotide, 0.5 μM of each primer (forward primer 5′ end-labelled with a Cy5 fluorescent dye group), 0.2 U Taq polymerase (Bioline, U.K.) with the manufacturer's supplied 1× buffer, in a final reaction volume of 10 μL. Amplified products were checked for yield on an agarose minigel before loading, along with size standards internal to every lane, onto a 6% denaturing acrylamide gel. Gels were run on an ALFexpress™ (Pharmacia Biotech) automated sequencer. Data collation and sizing of alleles were determined using Fragment Manager v1.2 software (Pharmacia Biotech).

Data analysis

The most suitable means of quantifying microsatellite allele frequency differentiation between samples is still a matter of argument, depending upon the mutational model adopted (Garza *et al.*, 1995; O'Connell *et al.*, 1997), although O'Connell & Wright (1997) have recommended a conservative approach of conventional *F*-statistics for commercial fish species. In view of the continuing debate and for reasons of comparison, we chose to estimate, and thus compare, genetic differentiation among samples by three different methods. First, we tested for simple frequency differentiation between pairs of samples with Fisher's exact test, using GENEPOP v.3 (Raymond & Rousset, 1995). Secondly, we estimated the degree of the total genetic variation apportioned among samples with unbiased *R*_{ST} (which assumes a Stepwise Mutation Model) using the RST-CALC program (Goodman, 1997), which adjusts for differences in sample size and allele size variances among loci, and finally *F*_{ST} (which assumes an Infinite Allele Model), estimated by theta (θ ; Weir & Cockerham, 1984), using F-STAT (Goudet, 1996). Significant departure from genetic homogeneity was tested for in all three cases using permutation tests, contained within the respective software packages. Genotype distributions within samples were tested for conformity to Hardy–Weinberg expectations with exact tests with significance determined by a Markov chain method (GENEPOP v.3). Where multiple tests were involved, significance levels were adjusted according to the sequential Bonferroni procedure (Rice, 1989).

Patterns of genetic distance between samples were displayed using multidimensional scaling techniques (SPSS), a method which avoids clustering of similar samples into groups as in tree building methods, but instead computes co-ordinates for each sample such that the distances between points fit as closely as possible (estimated by r^2) to the measured distances between the respective samples. Nei's (1972) distance (*D*_S) was used,

as IAM-based distance measures are predicted to be more accurate for microsatellites in closely related taxa (Slatkin, 1995), although an SMM-based distance ($\Delta\mu^2$; Slatkin, 1995) was also displayed for comparison.

Results

All four Pacific herring microsatellite loci were highly polymorphic in Atlantic herring, with high allelic diversity and heterozygosity in all samples (Table 1). Total number of alleles detected across all Atlantic herring samples pooled ranged from 18 at Cha63 to 41 at Cha20, and expected mean heterozygosity within samples ranged from 90% to 93%. Allele size ranges, allelic diversity and heterozygosity were comparable between the Atlantic herring and Pacific herring samples (Table 1), except for noticeably fewer alleles at Cha20 in the Pacific herring sample (15 vs. 26–27 in Atlantic samples). Allele and genotype frequencies are available from the authors on request.

Genotypic frequencies conformed to Hardy–Weinberg expectations in all but three single-locus tests (Table 1). Multilocus combinations of single-locus tests within samples, using Fisher's (1954) combined probabilities method, however, indicated that three out of four Atlantic herring samples (IC, NS1 and NS2) exhibited overall departures from out-crossing expectations, and all were caused by deficits of heterozygotes. The sensitivity of the combined probability procedure to single extremely low values should be borne in mind—significance within NS1 and NS2 is primarily caused by results at single loci (Cha20 and Cha113, respectively).

Four-locus combined probabilities of pairwise exact tests between samples indicated that all samples differed significantly in their allele frequencies from all others (all $P < < 0.001$), except NS2 and BF ($P = 0.039$, nonsignificant after Bonferroni correction). Estimates of the among-sample component of genetic variation, whether expressed as *F*_{ST} or *R*_{ST}, indicated significant structuring within the dataset: across all samples (*F*_{ST} = 0.024, $P < 0.001$; *R*_{ST} = 0.151, $P < 0.001$); across all Atlantic herring (*F*_{ST} = 0.020, $P < 0.001$; *R*_{ST} = 0.129, $P < 0.001$); and within Atlantic samples, excluding NS1 (*F*_{ST} = 0.009, $P < 0.001$; *R*_{ST} = 0.051, $P < 0.001$). Values of pairwise *R*_{ST} among samples were consistently much higher (as much as an order of magnitude) than equivalent *F*_{ST} values (Table 2), although both measures indicated the same pattern of relative genetic similarity among samples: the Pacific herring sample was most divergent (means vs. Atlantic: *F*_{ST} = 0.037; *R*_{ST} = 0.257); the Barents Sea spring-spawner sample (NS1) was most divergent among the Atlantic herring (*F*_{ST} = 0.025–0.037; *R*_{ST} = 0.146–0.273); and the Icelandic summer-spawner (IC), Norwegian Sea spring-spawner

(NS2) and the Balsfjord (BF) samples form a closer grouping ($F_{ST} = 0.001\text{--}0.018$; $R_{ST} = 0.022\text{--}0.076$). Permutation testing indicated all pairwise estimates

of F_{ST} and R_{ST} were significantly greater than zero except for NS2 \times BF (F_{ST} and R_{ST}) and NS2 \times IC (R_{ST} only). Paradoxically, the level of differentiation

Table 1 Levels of genetic variation observed at four microsatellite DNA loci within four Atlantic herring samples, across all Atlantic herring pooled, and within one Pacific herring sample: sample size (n); number and size range, in base pairs, of alleles detected at each locus; observed (H_o) and expected (H_e) heterozygosity within samples. Significant deviations from Hardy–Weinberg expectations for genotype frequencies within samples are indicated: $**P < 0.01$, $***P < 0.001$, after Bonferroni correction (Rice, 1989). Sample locations: Icelandic summer-spawners (IC), Norwegian spring-spawners (Barents Sea) (NS1), Norwegian spring-spawners (central Norwegian Sea) (NS2), Balsfjord herring (BF). The Pacific herring sample (PC) was collected in the NE Pacific, near Vancouver Island

Locus	Sample					
	IC ($n = 48$)	NS1 ($n = 50$)	NS2 ($n = 46$)	BF ($n = 50$)	Total Atlantic ($n = 194$)	PC ($n = 30$)
Cha17						
No. of alleles	22	22	21	24	28	21
Allele size	92–140	90–152	92–136	90–156	90–156	96–154
H_o	0.94	0.94	0.94	0.98	0.95	0.93
H_e	0.95	0.93	0.94	0.94	0.94	0.94
Cha20						
No. of alleles	27	27	26	27	41	15
Allele size	92–178	96–158	102–174	96–180	92–180	108–180
H_o	0.88	0.72***	0.96	0.86	0.86	0.77
H_e	0.94	0.92	0.94	0.93	0.93	0.90
Cha63						
No. of alleles	14	13	16	15	18	17
Allele size	128–156	126–156	126–158	126–162	126–162	128–166
H_o	0.79	0.84	0.87	0.74	0.81	0.77
H_e	0.91	0.87	0.92	0.88	0.90	0.94
Cha113						
No. of alleles	16	16	18	16	23	18
Allele size	90–124	104–134	96–134	94–132	90–134	100–150
H_o	0.81	0.77***	0.65***	0.90	0.78	0.87
H_e	0.92	0.89	0.89	0.85	0.89	0.94
Mean H_o	0.86**	0.82***	0.85***	0.87	0.85	0.83
Mean H_e	0.93	0.90	0.92	0.90	0.91	0.93

Table 2 Pairwise estimates of genetic differentiation detected between herring samples, using unbiased R_{ST} (below diagonal) and F_{ST} values (above diagonal). Probabilities of R_{ST} or $F_{ST} > 0$, determined by permutation tests, are indicated: $**P < 0.01$; $***P < 0.001$; $^aP < 0.05$ (but nonsignificant when corrected by sequential Bonferroni procedure). Sample locations: Icelandic summer-spawners (IC), Norwegian spring-spawners (Barents Sea) (NS1), Norwegian spring-spawners (central Norwegian Sea) (NS2), Balsfjord herring (BF). The Pacific herring sample (PC) was collected in the NE Pacific, near Vancouver Island

Sample	F_{ST}					
	IC	NS1	NS2	BF	PC	
R_{ST}						
IC	—	0.029***	0.008**	0.018***	0.027***	
NS1	0.273***	—	0.025***	0.037***	0.037***	
NS2	0.029 ^a	0.146***	—	0.001	0.031***	
BF	0.076***	0.153***	0.022 ^a	—	0.043***	
PC	0.220***	0.237***	0.220***	0.279***	—	

indicated between the Pacific herring and the Atlantic herring samples overlaps that observed within Atlantic herring.

Other than the obvious difference in magnitude between estimates of F_{ST} and R_{ST} there was no apparent difference between the two statistics in the pattern of genetic differentiation exhibited. Although the data presented here are not extensive enough for a conclusive test of relationship between the two measures, several observations from the data are of potential interest. First, a linear regression of pairwise microsatellite F_{ST} on R_{ST} was significant at $P < 0.001$, i.e. F_{ST} and R_{ST} appear to show a linear correlation over the range of values observed here. Secondly, if plotted against pairwise values of F_{ST} estimated from allozyme data (see Discussion), both measures appear to approach an asymptote in the region of comparisons between PC/NS1 and the others (data not shown).

The patterns of genetic differences among the samples described above from F_{ST} and R_{ST} are reproduced by the multidimensional scaling (MDS) plot (Fig. 2a) of pairwise genetic distances (Nei's D_S): the IC/NS2/BF grouping is clearly separate from NS1 within the Atlantic herring, with NS2 being genetically most similar to all three other samples than they are to each other (i.e. being intermediate between the three). The plot of pairwise $\Delta\mu^2$ (Fig. 2b) indicated essentially the same pattern, but with distances within the IC/NS2/BF grouping more pronounced relative to distances between this group and NS1.

Discussion

Cross-species utility of herring primers

This is the first report of a microsatellite analysis of population structure in Atlantic herring. Four out of five primer sets designed originally from Pacific herring (*C. pallasii*) DNA sequences (see O'Connell *et al.*, 1998) work well in *C. harengus* (and the fifth locus has been successfully optimized subsequent to the present study; P. Bloor, pers. comm.), which indicates a high degree of conservation of primer sites between these two species. Conservation of priming sites has been reported previously among closely related species of fish (Brooker *et al.*, 1994), indicating a promising trans-specific utility of microsatellite primers. One note of caution, however, may be highlighted by the present data: significant deficits of heterozygotes within samples, both at single loci and overall, may indicate a significant presence of nonamplifying null alleles.

All four loci tested were highly polymorphic in *C. harengus*, with 18–41 alleles per locus over all samples, and mean expected heterozygosities (H_e) within

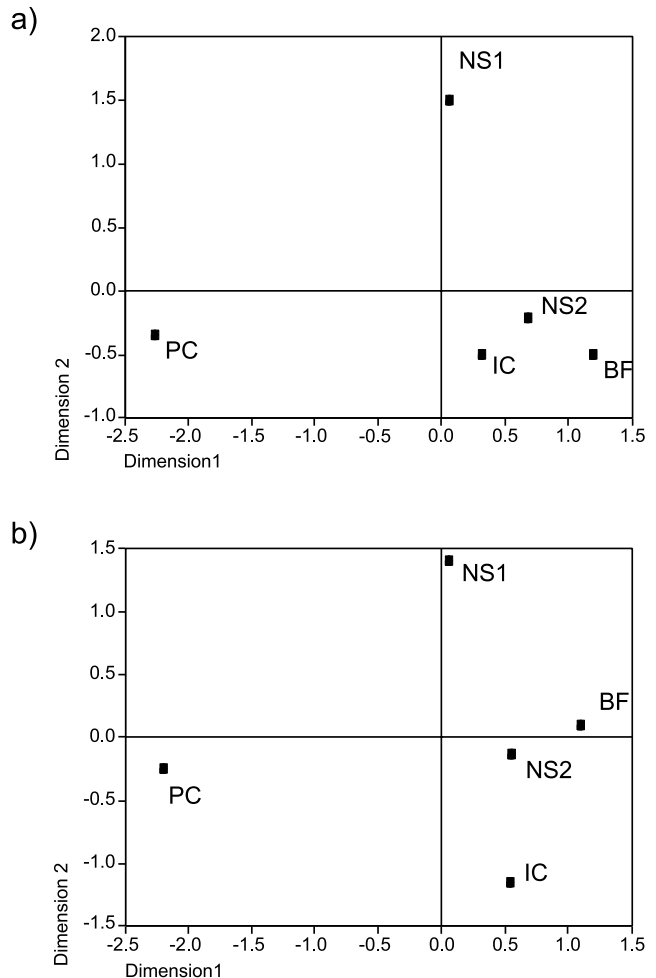


Fig. 2 Multidimensional scaling plots of (a) pairwise D_S (Nei, 1972), and (b) $\Delta\mu^2$ (Slatkin, 1995) values between samples of Atlantic herring and Pacific herring (PC). Sample locations: Icelandic summer-spawners (IC), Norwegian spring-spawners (Barents Sea) (NS1), Norwegian spring-spawners (central Norwegian Sea) (NS2), Balsfjord herring (BF). The Pacific herring sample (PC) was collected in the NE Pacific, near Vancouver Island. (MDS plot $r^2 = 0.999$ and 0.989 , respectively).

samples ranging from 0.90 to 0.93 (mean = 0.91). Allele size ranges and levels of polymorphism at all four loci within the Atlantic herring samples are very similar to those observed in the Pacific herring sample, and also to those reported previously for Pacific herring from the Gulf of Alaska and the Bering Sea by O'Connell *et al.* (1998). The few other studies of marine fish to date have shown similar levels of microsatellite polymorphism in Atlantic cod ($H_e = 0.85$; Brooker *et al.*, 1994) and European sea bass ($H_e = 0.79$; García de Leon *et al.*, 1997).

Genetic structure of the Atlantic herring population

The most important finding was the degree of genetic structuring indicated within the Atlantic herring population of the Norwegian Sea–Barents Sea area. All tests showed these samples to be genetically differentiated to a degree which suggests restricted gene flow. This finding supports earlier suggestions, based on morphological characters and spawning time/location, of a complex stock structure in Atlantic herring (Svetovidov, 1963; Haegele & Schweigert, 1985). The apparent conflict with earlier allozyme and mtDNA data, which found large-scale genetic homogeneity (Ryman *et al.*, 1984; King *et al.*, 1987; Kornfield & Bagdanowicz, 1987; Dahle & Eriksen, 1990), may arise from the greater information content (polymorphism) of microsatellites. It has been proposed that higher rates of mutation (and therefore polymorphism) of DNA markers results in enhanced power for testing population differentiation (Rousset & Raymond, 1995; Goudet *et al.*, 1996). Estoup *et al.* (1998) demonstrated within brown trout populations that microsatellites indicated more pronounced differentiation at small geographical scales (within rivers) than allozymes, although both sets of markers gave similar estimates of F_{ST} at larger scales (within drainages). Similarly, Shaw *et al.* (1999) have shown that microsatellites uncover genetic structuring in squid populations at a scale that previous allozyme and mtDNA studies did not detect.

The significant genetic differences observed here between Icelandic summer-spawners and Norwegian spring-spawners support the discrete character of these stocks described on morphological and physiological grounds (Fridriksson, 1958; Turan, 1997). Interestingly, the sample of Norwegian spring-spawners from the Barents Sea (NS1) is the most genetically distinct among the NE Atlantic herring surveyed, including the other Norwegian spring-spawners (NS2). This is also consistent with morphological differences, observed between northern and southern fish (Debarros & Holst, 1995; Stenevik *et al.*, 1996), and indicates that Norwegian spring-spawners should not be treated as a single stock. Balsfjord herring have previously been shown by the use of allozymes and mtDNA to be genetically differentiated from coastal stocks (Jørstad *et al.*, 1994). In contrast to these reports the present study found no evidence for such differentiation between the Balsfjord sample and the southern Norwegian spring-spawner sample (NS2). Studies using allozyme and mtDNA markers on the same samples also did not show significant genetic differences between the BF and NS2 samples (Turan *et al.*, 1998; see Table 3 and discussion below). One possibility is that migratory Norwegian spring-spawners

may have been sampled instead of, or together with, resident Balsfjord fish: Norwegian spring-spawners migrate into fjords to feed in the upper water layers, before leaving to spawn on offshore banks (Jørstad *et al.*, 1994). The time (September) and depth (15–20 m) at which our sample was collected raise the possibility that it contained a mix of the two populations with relatively few Balsfjord resident fish. In fact the absence of significant deficits of heterozygotes within this sample, as would be expected from mixing of differentiated populations, suggests that it may contain only migratory fish. It is therefore of obvious importance in future studies to consider localized migratory behaviour and depth distribution when collecting herring from these waters.

Although our results indicate significant genetic differences among populations of Atlantic herring, the proportion of total genetic variation occurring among populations (F_{ST}) is relatively small: approximately 1–3%, although much higher (2–27%) if R_{ST} is accepted as being a more representative measure. Such levels of differentiation are common for marine fish, estimated from microsatellites or allozymes, and are generally thought to result from levels of migration high enough to prevent genetic isolation of populations, but insufficient to prevent short-term drift in allele frequencies and/or localized adaptation. What factors could cause a pattern of restricted gene flow without reproductive isolation of populations of pelagic marine fish? Four possibilities are considered here: (i) sampling error introducing ‘noise’ into such low estimates (see Waples, 1998); (ii) isolation-by-distance effects; (iii) hydrographic effects; and (iv) behavioural effects. The relationship between sample sizes, large number of alleles per locus and statistical rigour is of some concern to studies employing microsatellites. Ruzzante (1998) has demonstrated empirically that the numbers of individuals and loci screened can substantially affect variance in estimates of a number of genetic distance and structuring measures, but that variance in F_{ST} and R_{ST} increased substantially only for sample sizes below 40–50 individuals. We consider that the sample sizes employed in the current study (all but one between 45 and 50) give acceptable precision for the statistics employed and for the levels of variability observed. In fact binning of allelic classes to reduce total number of alleles per locus to 10–13 and pooling rare classes results in an increase in pairwise F_{ST} values (as would be expected, R_{ST} is reduced slightly), so the raw data presented here represent a conservative estimate of among-sample differentiation. Patterns of genetic differentiation among samples may reflect the geographical separation of populations sampled, in that restrictions to gene flow arising from the limited dispersal of individuals results in between-population allele frequency variation

increasing with geographical distance, as suggested for Atlantic cod populations (Mork *et al.*, 1985). In the present study Mantel tests (GENEPOP, 2000 replications) indicated no significant relationship between geographical distance and pairwise values of R_{ST} ($P = 0.248$) or F_{ST} ($P = 0.386$), although there is perhaps a qualitative suggestion of a relationship between genetic distance and geographical location (see Figs 1 and 2). Topographic and hydrographic factors, as suggested to contribute to population differentiation in cod (Ruzzante *et al.*, 1998), may be more important in structuring genetic variation among herring populations; for example, differences in water temperature between the Barents Sea and more southerly regions, and the particular characteristics of fjords, may result in differently adapted or physically isolated populations. Finally, behavioural effects, such as adults returning to their natal sites to spawn as suggested for Pacific herring (Hourston, 1982), will promote and maintain genetic differences among populations. McQuinn (1997) has recently argued that patterns of dispersal and spawning behaviour in marine teleosts such as *C. harengus* that inhabit unstable northern waters are unlikely to arise entirely from hydrographic factors. He argues that the phenotypic differentiation of sympatric spawning groups arises and is maintained not necessarily by natal spawning behaviour, but by social transmission of spatial learning between adults and pre-spawning individuals, resulting in repeated returns of spawning groups to specific nursery areas. Such an 'adopted-migrant' hypothesis forms the underlying mechanism for a postulated metapopulation structuring in herring, where a balance between the high return rates of adults (Wheeler & Winters, 1984) and occasional straying among seasonally sympatric groups results in a mosaic

of locally persistent populations with occasional gene flow. The levels of differentiation reported in the current study are not inconsistent with such an 'adopted-migrant' hypothesis, although further work involving temporal sampling will be essential to determine persistence of spatial structuring.

Comparison of microsatellite, allozyme and mtDNA RFLP data

Table 3 presents pairwise genetic differentiation (F_{ST}) among the same set of samples estimated from allozyme and mtDNA datasets (data from Turan, 1997 and Turan *et al.*, 1998; brief details on number of loci, and gene region/number of restriction enzymes used given in Table 3). Comparison of pairwise F_{ST} values from the three different markers (F_{ST} is used as R_{ST} has no equivalent statistic for allozyme data) suggests that broad patterns and levels of differentiation indicated are comparable among the three datasets, although the mtDNA data are generally less informative. The allozyme data support present patterns revealed by microsatellites in suggesting several levels of divergence among the Atlantic herring: F_{ST} values are low among IC1, NS2 and BF (but larger, and significant, differences are indicated by microsatellites); the NS1 sample stands out as the most divergent among the Atlantic samples, with F_{ST} values from allozymes 2–3 times higher than those from microsatellites. The mtDNA results indicate no significant structuring among the Atlantic samples, but do show consistently higher F_{ST} between NS1 and the rest, and confirm that the Pacific herring sample is the most divergent. Estimates of genetic differentiation between Atlantic and Pacific herring show the most discordant comparisons between the three marker types.

Table 3 Pairwise estimates of genetic differentiation detected between herring samples, using F_{ST} values estimated from allozyme data (above diagonal) and F_{ST} values estimated from mtDNA ND5/6 RFLP data (below diagonal), recalculated from Turan *et al.* (1998) or Turan (1997). Probabilities of $F_{ST} > 0$, determined by permutation tests, are indicated: *** $P < 0.001$. Sample locations: Icelandic summer-spawners (IC), Norwegian spring-spawners (Barents Sea) (NS1), Norwegian spring-spawners (central Norwegian Sea) (NS2), Balsfjord herring (BF). The Pacific herring sample (PC) was collected in the NE Pacific, near Vancouver Island

	Sample	F_{ST} – Allozymes†				
		IC	NS1	NS2	BF	PC
F_{ST} -mtDNA‡	IC	—	0.1589***	0.0006	-0.0017	0.7414***
	NS1	0.0116	—	0.1376***	0.1765***	0.7811***
	NS2	-0.0020	0.0034	—	0.0009	0.7411***
	BF	0.0026	-0.0016	-0.0003	—	0.7672***
	PC	0.1209***	0.1310***	0.1119***	0.1306***	—

† $F_{ST}(\theta)$ and significance calculated using FSTAT (Goudet, 1996), based on allele frequencies at 27 loci.

‡ $F_{ST}(\theta)$ and significance calculated using WINAMOVA (Excoffier *et al.*, 1992), based on composite haplotype frequencies produced by restriction of a 2.5 kb region of ND5/6 with six 4-base cutters.

Microsatellites indicate that the level of differentiation between Pacific herring and the Atlantic samples ($F_{ST} = 0.027\text{--}0.043$, mean = 0.037) overlaps with that observed within NE Atlantic herring in comparisons involving the Barents Sea spring spawner sample (NS1, $F_{ST} = 0.025\text{--}0.037$, mean = 0.032). By contrast, allozyme and mtDNA data both indicate Pacific herring are far more differentiated from any Atlantic samples (allozymes mean $F_{ST} = 0.743$, mtDNA mean $F_{ST} = 0.124$) than seen within Atlantic herring (allozymes mean $F_{ST} = 0.074$, mtDNA mean $F_{ST} = 0.003$).

What factors might contribute to the observed disparities in divergence indicated by microsatellites, allozymes and mtDNA RFLP? First, the lack of resolution of the mtDNA analysis below interspecific comparisons (where allozymes and microsatellites detected widespread differentiation) most probably results from statistical problems with the extremely high haplotypic diversity observed, with 96 different haplotypes (71 of them unique) in 196 fish screened (Turan *et al.*, 1998). This problem has been noted for mtDNA RFLP data in several pelagic fish species (Hauser & Ward, 1998); replacement of RFLP by direct sequencing of mtDNA has been demonstrated to provide far more informative data at the intraspecific level in some fish (Carr & Marshall, 1991; Grant *et al.*, 1998). The detection of values of F_{ST} significantly different from zero among the IC1/NS2/BF group by microsatellites, where allozymes indicated no significant divergence, probably results from the increased power of the microsatellite analysis resulting from higher variability (but with fewer alleles per locus, and more even distribution of frequencies, than the mtDNA). Several other studies (e.g. Estoup *et al.*, 1998; Shaw *et al.*, 1999) have indicated similar patterns, and microsatellite studies have now shown that whiting (Rico *et al.*, 1997), cod (Ruzzante *et al.*, 1998) and Pacific herring (O'Connell *et al.*, 1998) all exhibit small but significant genetic differentiation within populations previously thought to be uniform over regional geographical ranges based on allozyme and mtDNA data. Above this subtle level of population differentiation, divergence (F_{ST}) as indicated by allozymes appears to increase more rapidly than by equivalent estimates from microsatellites. The lower microsatellite value probably results from greater homoplasy in this dataset, resulting from the much higher rates of mutation observed for microsatellite loci and allele size range constraints, which is expected to reduce F_{ST} values (Goldstein *et al.*, 1995; Slatkin, 1995), and the stepwise mutational process assumed for the type of perfect repeat arrays used in this study (Valdes *et al.*, 1993). A similar result has been found in the squid *Loligo forbesi*, where the isolated Azores population is extremely divergent from other populations (based on

mtDNA sequence divergence): F_{ST} estimated from microsatellites is half that estimated from allozymes (0.245 vs. 0.536; Shaw *et al.*, 1999). In contrast, Estoup *et al.* (1998) found F_{ST} values from allozymes and microsatellites in brown trout largely comparable over a wide range of divergence (up to mean F_{ST} of 0.279 across drainages). The apparent contradiction here might be explained by differences in population sizes: large effective sizes in herring and squid would promote microsatellite homoplasy by increasing the effect of mutation relative to drift (large number of new, possibly convergent, mutants per generation with low probabilities of loss by random drift) greatly reducing the effects of genetic drift on rate of loss of new mutations; small effective population sizes in trout would promote the opposite. Anecdotal support for these effects may be apparent in the widely differing numbers of alleles detected per locus in herring and brown trout (39 and 3.6, respectively), although differences in repeat type and structure may also be resulting in differences in allelic diversity.

From a similar perspective the level of differentiation implied for the Pacific herring sample, overlapping that observed within Atlantic herring samples, indicates that microsatellites may not be useful for estimating separation and divergence between these species. Studies with mammals and turtles have likewise reported a poor phylogenetic capability of microsatellites, because of small genetic distances observed between species relative to those within species (Garza *et al.*, 1995; Jarne & Lagoda, 1996). Estimates of genetic divergence from microsatellites have been predicted to depart from linearity with increasing time since divergence of taxa, and nonstepwise (IAM-based) estimators are predicted to lose linearity earlier than stepwise (SMM-based) estimators, because of homoplasy resulting from range constraints and mutation rates (Goldstein *et al.*, 1995). Although the data presented here are certainly not extensive enough for a test of such ideas in a pelagic fish, they show some interesting patterns that could be further tested. If allozymes are assumed to give a more representative estimate of true divergence between the samples examined here (particularly between the more differentiated samples), then it appears that both F_{ST} and R_{ST} estimated from microsatellites similarly lose linearity in the region from moderately divergent populations (NS1 vs. the rest) to closely related species (Pacific vs. Atlantic herring).

In summary, the data obtained from the analysis of four polymorphic microsatellite loci in NE Atlantic herring demonstrate the existence of genetically distinct populations, and indicate the utility of microsatellite markers in detecting genetic differentiation in a highly mobile, pelagic teleost. Moreover, microsatellite DNA

markers provide a new perspective on previous estimates of the low levels of genetic differentiation detected in this species using allozyme and mtDNA data. Although genetic differences between populations are small, levels of gene flow (effective migration) indicated would suggest that depletion or extinction of any one of these populations may not be balanced by recruitment from others, at least at a rate likely to offset harvesting pressures, and might result in an irreversible loss of genetic diversity from the gene pool. Thus, in combination with earlier studies (Jørstad *et al.*, 1994; Turan *et al.*, 1998) the present study supports the current status of Icelandic summer-spawners and Barents Sea Norwegian spring-spawners as distinct management units.

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