# **Microtubules in Dendritic Spine Development**

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## Abstract

It is generally believed that only the actin cytoskeleton resides in dendritic spines and controls spine morphology and plasticity. Here we report that microtubules (MTs) are present in spines and that shRNA knockdown of the MT-plus end binding protein EB3 significantly reduces spine formation. Furthermore, stabilization and inhibition of MTs by low doses of taxol and nocodazole enhance and impair spine formation elicited by BDNF, respectively. Therefore, MTs play an important role in the control and regulation of dendritic spine development and plasticity.

#### Introduction

A majority of excitatory synapses reside on dendritic spines, tiny protrusions that serve as a platform for postsynaptic specializations as exemplified by clustered neurotransmitter receptors at the postsynaptic density (PSD). Changes in postsynaptic structures, particularly spines, are considered a hallmark of synaptic modification (Yuste and Bonhoeffer, 2001; Segal, 2005) that underlies developmental refinement of neural circuitry, learning and memory, aging, and neurodegenerative diseases (Geinisman, 2000; Yuste and Bonhoeffer, 2001; Nimchinsky et al., 2002; Halpain et al., 2005). It is well established that the actin cytoskeleton is the major cytoskeletal structure that controls and regulates spine formation and dynamics (Tada and Sheng, 2006). Live-cell imaging confirms that changes in the size or shape of a spine are linked to changes in its actin cytoskeleton (Fukazawa et al., 2003; Okamoto et al., 2004; Calabrese et al., 2006). Recent studies have also demonstrated in culture and *in vivo* that actin polymerization is coupled to spine enlargement during long term potentiation (Fukazawa et al., 2003; Okamoto et al., 2003; Okamoto et al., 2004), whereas long term depression involves spine shrinkage through actin depolymerization (Okamoto et al., 2004; Zhou et al., 2004).

In contrast, the presence of MTs and associated proteins in spines and their role in spine plasticity remain under-explored. Early electron microscopy studies suggest that a highly labile population of MTs exists within dendritic spines in close proximity to the PSD (Westrum et al., 1980; Chicurel and Harris, 1992). In addition, tubulin mRNA has been found in synaptosomal preparations enriched for dendritic spines (Chicurel et al., 1993), and β-tubulin was found in the PSD by biochemical methods (Kelly and Cotman, 1978; Li et al., 2004) and immunocytochemistry (Caceres et al., 1983). The physical interaction between soluble forms of tubulin, such as tubulin heterodimers, and postsynaptic proteins, such as NMDAR subunits, has also been demonstrated (van Rossum et al., 1999). Other indirect evidence comes from the existence of microtubule associated protein 2 in the postsynaptic region, and that activation of NMDAR can decrease its phosphorylation (Quinlan and Halpain, 1996). Despite these early findings, MTs are not considered to enter spines nor do they play a role in spine development and dynamics. Such a notion, however, might be due to limited imaging techniques for capturing a small number of dynamic MTs entering the tiny actin-rich protrusions. Recent reports show the presence of microtubule structures in spines under certain conditions, such as strong tetanic stimulation to induce LTP (Mitsuyama et al., 2008), or during recovery from slice preparation (Fiala et al., 2003). It is thus conceivable to speculate that transient polymerization of microtubules in spines might contribute to spine regulation during plasticity (van Rossum and Hanisch, 1999). Using live confocal imaging, we show here that MTs are present in dendritic spines. Furthermore, we find that knockdown of the MT plus-end binding protein EB3 impairs spine formation. Finally, manipulation of MT dynamics by specific pharmacological drugs modulates spine development induced by brain derived neurotrophic factor. These results thus indicate an important role for microtubules in the formation and plasticity of dendritic spines.

#### **Materials and Methods**

*Constructs and reagents.* pEGFP-C1, pmOrange, and pEGFP-Tub constructs were from Clontech (Mountain View, CA). The mOrange coding sequence was subcloned into pEGFP-N1 vector (with GFP sequence excised) to allow expression in mammalian cells. Hairpin constructs encoding RNAi to EB3 were inserted into pEGFP-C1 vector (Komarova et al., 2005). Recombinant human BDNF was purchased from Peprotech (Rocky Hill, NJ), and taxol and nocodazole were from Sigma. Neuronal class III β-tubulin antibodies were from Covance.

*Hippocampal cultures, transfection, and imaging.* Hippocampal neurons were prepared from hippocampal tissue of rat embryos (E18) according to the method previously described (Banker and Cowan, 1977). The calcium phosphate method was used to transfect cultured hippocampal neurons (Kohrmann et al., 1999). Images were acquired using a Nikon C1 laser scanning confocal system on a Nikon microscope. Cultured neurons were changed from culture medium to Kreb's-Ringer's saline (150 mM NaCl, 5 mM KCl, 2 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, 10 mM glucose, 10 mM HEPES, pH 7.4) (Bacci et al., 1999) for imaging. The original culture medium was added back into cell culture after each imaging. Neurons were examined daily for up to one week for long-term recording of spine development and dynamics. BDNF and MT-drugs were added to the bath medium after the first day imaging and supplemented to the medium daily afterwards. To image the same neuron over a long period, a low resolution picture of the cell and several high resolution pictures of dendritic regions were taken, and the approximate location in dish was recorded separately, both of which were used to locate the same neuron on the next day.

*Quantitative analysis of spine number and morphology*. Dendritic protrusions were divided into two categories, spines (length  $<3 \mu m$ ) and filopodia (3  $\mu m \sim 10 \mu m$ ) (Tyler and Pozzo-Miller, 2003). Spine numbers were counted manually. The length and width of spines were measured

using ImageJ. For mushroom and thin spines, the maximal width of spine head was measured, while for stubby spines, the width at halfway between the tip and the base of spine was taken. To measure the intensity profile of the spine, we used ImageJ to draw a line across the spine at where the width was measured. Intensity profiles of both channels (red: mOrange; green: GFP-tubulin) were then generated using the ImageJ line profile function. Spine density was calculated by quantifying the number of spines of all types per unit length of parent dendrite, and normalizing to 1 µm of length. For BDNF and MT-drug experiments, the same region of the neuron was repeatedly imaged and compared before and after treatment. At least three rounds of cultures were used in each experiment with over 300 spines measured.

*Immunofluorescent staining*. Neurons were simultaneously fixed and permeabilized for 30 min at 37°C in 4% paraformaldehye in PHEM buffer (60 mM PIPES, 25 mM HEPES, 10 mM EGTA, 2 mM MgCl<sub>2</sub>, 0.12 M sucrose, pH 7.0) supplemented with 0.25% glutaraldehyde, 10 µM taxol, and 0.1% Triton X-100 (Dent and Kalil, 2001) After 3 rinses in PBS, cells were blocked with 10% BSA in PBS, labeled with primary and secondary antibodies. Then a low concentration of rhodamine-phalloidin (Molecular Probes, 1:1000 of the stock) was used to label actin filaments. Images were acquired by the Nikon C1 confocal system.

#### Results

Isolated hippocampal neurons cultured at high density for two weeks were used for the following experiments. These neurons form extensive synaptic connections over two weeks and display numerous dendritic spines. While spines from two-week old cultures vary in morphology, ranging from thin and long filopodia to mushroom-shaped "mature" spines, a large percentage of spines become mushroom-shaped after three weeks in culture. To image spines and microtubules, we co-expressed mOrange and GFP-a-tubulin in hippocampal neurons via transfection of plasmids using the calcium phosphate method. The transfection efficiency was controlled at a low level (1-5%) to allow a close examination of the dendritic spines of one neuron over time, without interference from others. Neurons were transfected 2-3 days before imaging. Frame-channel acquisition of a 3-D stack of the dendritic regions was performed, followed by volume rendering of the dendrites and associated spines, thus minimizing the possibility of missing some parts of the tiny spines from optical sectioning. Over many rounds of imaging, we consistently observed a significant number of dendritic spines that contain microtubules (Figure 1, see also Supplemental Figure 1). This is much more apparent for mushroom-shaped spines, since the GFP-tubulin signals are clearly restricted inside the spine head with an appearance of polymerized MTs (Figure 1b-d, arrows).

To further analyze spines containing MTs, we examined the fluorescent intensity profiles of both mOrange and GFP signals for each spine containing GFP-tubulin signals (Figure 2). Based on their morphologies, spines were categorized into three groups for this analysis: mushroom-shaped, filopodia-like, and stubby. In many mushroom spines, there is a clear difference between intensity profiles of mOrange (red) and GFP-tubulin (green), indicating good separation of two fluorescent channels and the likelihood of polymerized MTs in spines. We have also observed filopodia and thin spines containing strong GFP-tubulin signals, but in most cases, the two fluorescent profiles overlap, making it difficult to determine whether the GFP-

tubulin signals represent soluble tubulin proteins or polymerized MTs. We calculated the percentage of spines containing GFP-tubulin for each spine group and found that filopodia-like spines have a higher percentage containing GFP-tubulin (Figure 2). Since only mushroom spines generated distinct intensity profiles of the spine (depicted by mOrange fluorescence) and MTs (indicated by GFP-tubulin signals), we therefore only counted those mushroom spines containing MTs, which represent 1.6% of all the spines (three groups) examined. Such a small but significant percentage is in part due to our stringent criteria for determining spines containing MTs. It is also likely that the low percentage reflects the transient nature of microtubules entering spines. Nevertheless, our 3-D live imaging data present the clear visual evidence for the presence of MTs in dendritic spines. It should be noted that the observed presence of MTs in spines is unlikely an artifact of GFP-tubulin expression, since our immunostaining using antibodies against endogenous tubulin also revealed the presence of MTs in dendritic spines (Supplemental Figure 2).

Recent studies have shown that the plus ends of MTs are decorated with a variety of proteins including the EB family of the plus-end *t*rack*ing p*roteins (+*TIP*s) that regulate MT dynamics and interactions with other cellular components (Akhmanova and Hoogenraad, 2005). One member of the EB family, EB3, is preferentially expressed in the nervous system (Nakagawa et al., 2000). We found that EB3 is widely expressed in hippocampal neuron cultures, including dendrites and spines (Supplemental Figure 3). To explore the role of MTs in spines, we used shRNA to knock down EB3. This shRNA-EB3 has been used to effectively knock down EB3 in cultured cells (Komarova et al., 2005). To examine the effect of EB3 knockdown on spine development, we transfected two-week old hippocampal cultures and examined spines one week later. Our data show that EB3 knockdown significantly reduced the number of spines in cultured hippocampal neurons (Figure 3a). In comparison to the parallel control group, EB3 knockdown reduced the number of spines to ~60% of the control neurons

(Figure 3b), without significantly altering the spine length and width (Figure 3c). These data indicate the importance of MT-plus end proteins in spine development.

Brain derived neurotrophic factor (BDNF) has been shown to potentiate synaptic connections through its pre- and postsynaptic actions (Lu, 2004). Using live confocal imaging to monitor the same GFP-expressing neurons over time, we examined the effects of BDNF on spine development. High-resolution imaging of GFP-expressing neurons and their dendritic branches and spines was performed daily after transfection. We examined the changes in spine formation in the same dendritic regions at different days after BDNF exposure, thus eliminating potential artifacts from variations among different neurons and different dendritic regions. We found that 24 hr exposure of neurons to BDNF markedly increased the number of spines along the dendritic processes (Figure 4b) in comparison to the control group (Figure 4a). Quantification of spine numbers along dendritic processes indicates that 24 hr treatment with BDNF increased the number of spines to approximately 120% of the control group (Figure 4d). BDNF-promoted spine formation was mostly observed in hippocampal neurons cultured for two weeks, whereas three-week old cultures did not show significant changes (Figure 4d). To examine the functional role of MTs in BDNF function, we applied a low concentration of taxol and nocodazole to hippocampal neurons and examined their effects on BDNF-induced spine formation. Low concentrations of taxol are known to promote and stabilize MTs, whereas low doses of nocodazole impede the dynamic properties of the MT (+) ends without causing disassembly (Buck and Zheng, 2002). Interestingly, we found that the presence of taxol (10 nM) further increased spine formation in the presence of BDNF (Figure 4c). Quantification of spine numbers shows that the presence of taxol further enhanced BDNF-induced spine formation to ~150% of the control group, while 500 nM nocodazole blocked the effect of BDNF (Figure 4e). Moreover, combining taxol with BDNF increased spine width without changing spine length, indicating larger and more "mature" spines. Application of either of these MT-altering drugs alone did not

affect baseline spine formation for a period of 24 hr. Together, these findings provide further evidence that MTs play an important role in spine development.

#### Discussion

Dendritic spines are known for their high levels of actin and actin-associated proteins, which are believed to control and regulate spine morphology and dynamics associated with neural development and activity-dependent plasticity (Tada and Sheng, 2006). In contrast, MTs have been ignored for playing a role in dendritic spine formation and plasticity due to the fact that no reliable imaging data have shown the presence of MTs in spines. In this study, we used live 3-D confocal imaging to show the presence of MTs in spines, especially in mushroomshaped "mature spines". The small percentage of spines containing MTs may reflect the transient and dynamic nature of these MTs entering spines. Indeed, a large number of spines were found to be explored by MTs, but the time MTs stayed in each spine was limited to a few minutes (Dr. Erik Dent at University of Wisconsin, personal communication). In addition to imaging data, we show that knockdown of the +TIP protein EB3 impairs spine formation, and pharmacological manipulation of MT dynamics affects spine formation elicited by BDNF. It is of particular interest to note that a low concentration of taxol was able to further enhance spine formation induced by BDNF. Previous studies on nerve growth cones have shown that local stabilization of MTs by low doses of taxol promotes actin-based lamellipodial protrusion and growth cone attraction, which might involve MT activation of Rac1 GTPases (Buck and Zheng, 2002). It is plausible that the low doses of taxol promote spine protrusions induced by BDNF through MT stabilization. Alternatively, low doses of taxol may prevent the elimination/shrinkage of some spines, leading to a net increase in spine numbers. It should be noted that, at this moment, we cannot rule out the possibility that both EB3 knockdown and MT drug treatment might grossly affect MTs and their functions (e.g. transport of cargo) in dendritic shafts and/or entire neurons, which would in turn affect spine development and plasticity. Although the low concentrations of MT drugs used here have been shown to mainly affect the dynamic MT tips without grossly disrupting MT structures (Buck and Zheng, 2002), it is plausible that some MT functions in dendritic shafts could be affected, thus influencing spine

development. Future experiments employing spatially-restricted application of MT drugs (e.g. photouncaging) to a selected number of spines would enable one to address this important question. Nonetheless, our results indicate an important role for MTs in spine development and dynamics. Dynamic MTs exploring spines may be responsible for many cellular aspects of spine development, including the conversion of dendritic filopodia to spines, delivery of membranous cargos to spines, and interactions with the actin cytoskeleton for spine dynamics. Future studies are clearly required to elucidate the functions and mechanisms of dynamic MTs in regulating spine development.

### **Figure legends**

Figure 1. Confocal live imaging of dendritic spines and microtubules. Cultured hippocampal neurons were transfected with pmOrange and pEGFP-tubulin. Dual-channel imaging was achieved using the frame-channel acquisition mode to eliminate bleed through of two fluorophores. (a) Representative images of the dendritic processes of a hippocampal neuron at DIV21. The spines are highlighted by mOrange fluorescence (left panel), and microtubules by GFP-tubulin (right panel). The region outlined by dashed rectangles is shown at higher magnification (b). Arrows indicate a mushroom-shaped spine that contains clear microtubules. (c-d) Representative images of spines from different neurons showing the presence of MTs in spines. Scale bar = 5  $\mu$ m.

Figure 2. Intensity profile analyses of spines containing GFP-tubulin signals. Live confocal images of both mOrange and GFP-tubulin were subjected to line profile analyses. Dendritic spines were categorized into three groups: mushroom-shape, filopodia-like, and stubby. A line was drawn across the spine (at the widest part of spine for mushroom and filopodia spines, and at the halfway from dendrite to spine tip for stubby spines), and the intensity profiles of mOrange (red) and GFP (green) signals are shown. Only the mushroom-shaped spines exhibit a clear difference in the intensity profiles between the red and green channels, indicating that they likely contain microtubules rather than diffuse tubulin monomers and dimers. The percentages of each type of spines containing GFP-tubulin signals, together with the raw numbers (number containing GFP-tubulin/total number of spines), are shown. Data were collected from cultured hippocampal neurons (DIV21) from at least three independent groups of experiments.

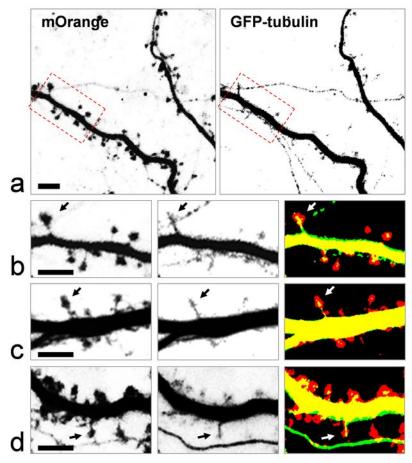
Figure 3. Knockdown of EB3 by shRNA reduces the number of dendritic spines. (a) Representative images of dendritic processes and spines in a control neuron expressing GFP and a neuron co-expressing shRNA-EB3 and GFP. Scale bar = 20  $\mu$ m. (b) Quantification of the spine numbers for the control and shRNA-EB3 expressing neurons. Asterisk indicates a statistical significance (p<0.05, Student's *t*-test). (c) Measurements of the spine size (width and length).

Figure 4. BDNF-elicited spine formation is enhanced by taxol. (a) Representative images of dendritic processes and spines in a GFP-expressing neuron at DIV14 and DIV15. A portion of the dendrite (dotted rectangles) is enlarged to show spines (bottom row). (b) Representative images showing the dendritic processes and spines of a neuron before and after 24h exposure to BDNF. (c) Representative images showing the dendritic processes and spines of a neuron before and after 24h exposure to BDNF. (c) Representative images showing the dendritic processes and spines of a neuron before and after 24h exposure to BDNF. (c) Representative images showing the dendritic processes and spines of a neuron before and after 24h exposure to BDNF (50 ng/ml) and taxol (10 nM). Scale bars in *a*-*c* = 10  $\mu$ m. (d) Quantitative analysis of the increase in spine numbers in control and BDNF-treated groups from DIV14 and DIV21 cultures. The asterisk indicates statistical significance when comparing the BDNF group to the control group (p<0.05, Student's *t*-test). (e) Quantitative analysis of spine number, width, and length of neurons exposed to BDNF together with different MT-altering drugs. Nocodazole (Noco) was at 500 nM. Asterisks indicate statistical significance when compared to the control group (p<0.05, Student's *t*-test).

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#### Filopodia

Stubby



GFP-Tub



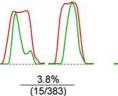














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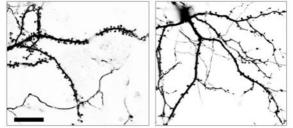


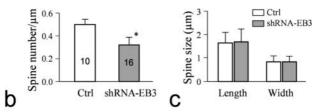


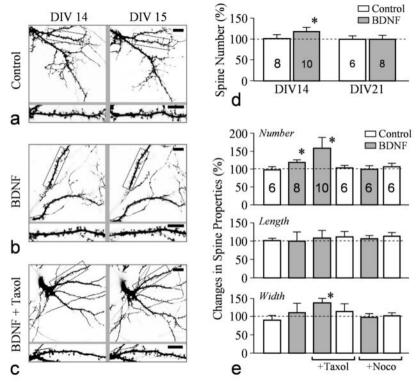


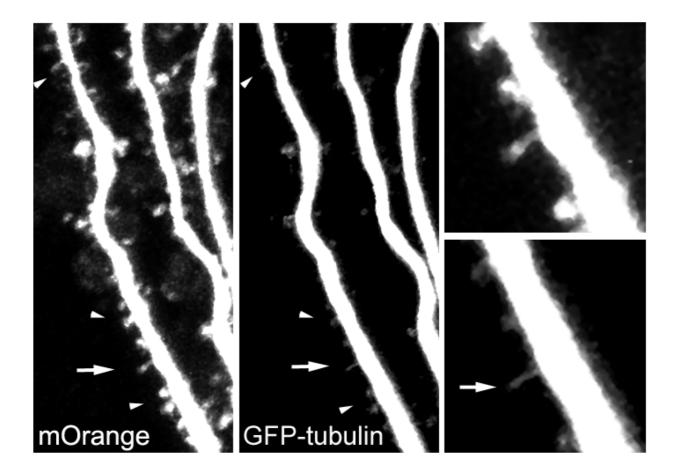
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shRNA-EB3

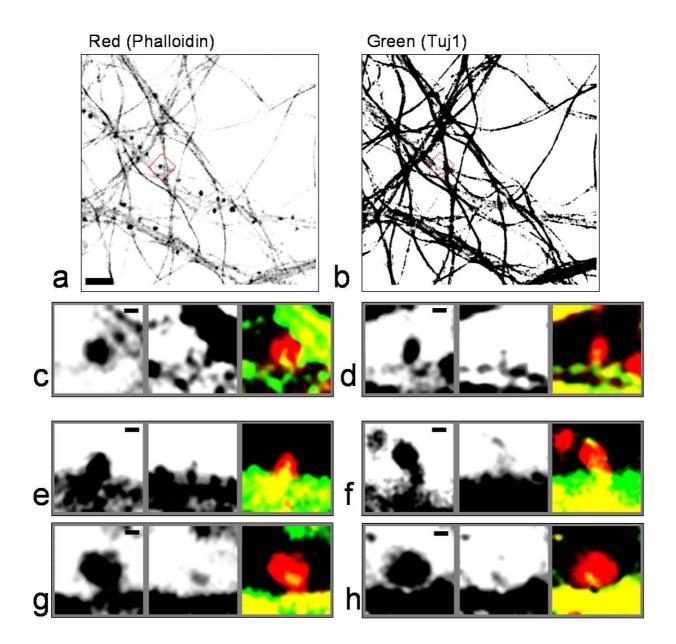




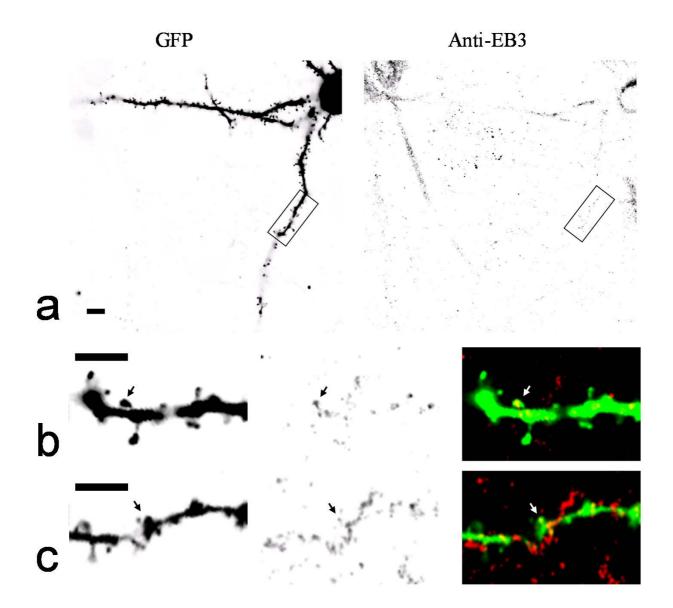




**Supplemental Figure 1.** Live confocal imaging showing the presence of MTs in dendritic spines. Hippocampal neurons at DIV21 expressing mOrange and GFP- $\alpha$ -tubulin were examined using a Nikon C1 confocal. One of the spines was found to contain a polymerized microtubule (arrows), which is also shown in the magnified panels on the right (2X). There are several spines that also appear to contain microtubules (arrowheads), but not in as distinct a form as the one indicated by the arrows.



**Supplemental Figure 2**. Immunostaining of microtubules in spines. Cultured hippocampal neurons were labeled with anti-class III  $\beta$ -tubulin (Tuj1, green in overlay image) and phalloidin (labeling actin filaments, red in overlay image) (a-b) Representative images of DIV21 hippocampal neurons stained by rhodamine-phalloidin (a) and immunostaining of MTs (b). The low concentration of phalloidin staining highlights spines along dendritic processes. The region outlined by red rectangles is shown at higher magnification in (c). Arrows indicate a mushroom-shaped spine that contains microtubules. (d-h) Images of spines from different neurons showing MTs in spines. Scale bar in (a-b) = 5  $\mu$ m. Scale bars in (c-h) = 1  $\mu$ m.



**Supplemental Figure 3.** Immunostaining of EB3 in hippocampal neurons. Cultured hippocampal neurons were transfected with GFP at DIV14 and labeled with rabbit anti-EB3 antisera at DIV 21. (a) Representative images of hippocampal neurons. Dendritic spines on one neuron are highlighted by GFP fluorescence. The region outlined by rectangles is shown at higher magnification in (b). Numerous EB3 signals (red in overlay image) exist along dendrites, as wells as in spines. Arrows indicate EB3 signals (red) in one spine. (c) Images from different neurons showing EB3 signal in spines. Scale bar = 5  $\mu$ m.