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1 **Biofilm responses to oxidative stress**

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## Abstract

Biofilms constitute the predominant microbial style of life in natural and engineered ecosystems. Facing harsh environmental conditions, microorganisms accumulate reactive oxygen species (ROS), potentially encountering a dangerous condition called oxidative stress. While high levels of oxidative stress are toxic, low levels act as a cue, triggering bacteria to activate effective scavenging mechanisms or to shift metabolic pathways. Although a complex and fragmentary picture results from our current knowledge of pathways activated in response to oxidative stress, three main responses are shown to be central: the existence of common regulators, the production of extracellular polymeric substances and biofilm heterogeneity. An investigation into mechanisms activated by biofilm in response to different oxidative stress levels could have important consequences from ecological and economic points of view, and could be exploited to propose alternative strategies to control microbial virulence and deterioration.

## Keywords

biofilm, oxidative stress, quorum sensing, polysaccharide production, heterogeneity

## 28 **Biofilms**

29 The formation of biofilms - microbial communities embedded in a self-produced polymeric  
30 matrix attached to a surface- is an ancient and universal trait that enables microorganisms to  
31 develop coordinated architectural and survival strategies (Hall-Stoodley et al. 2004; Vlamakis  
32 et al. 2013). It is now largely accepted that biofilms constitute the predominant style of  
33 microbial life in natural and engineered ecosystems (Mc Dougal et al. 2011; Villa &  
34 Cappitelli 2013). Indeed, biofilm cells express specific phenotype traits that confer  
35 [adaptability to environmental change \(Stewart et al. 2008\) and](#) higher resistance to adverse  
36 conditions, [such as](#) limited nutrient availability, desiccation, low pH and predation (Rinaudi &  
37 Giordano 2010). The biofilm structure, its surface adhesion and the polymeric matrix provide  
38 cells with [a high nutrient and water concentration and](#) a suitable environment for signalling  
39 pathways, genetic material exchange, metabolite and enzyme interaction (Davey & O'Toole  
40 2000).

41 Biofilms can colonize both biotic and abiotic [surfaces](#), causing beneficial and/or detrimental  
42 effects to the environment, industry and human health (Costerton et al. 1987). For example,  
43 biofilm features are beneficially exploited in wastewater treatment plants (Nicolella 2000),  
44 bioremediation (Dash et al. 2013; Wu et al. 2015), biomaterial production and plant growth  
45 promotion (Davey & O'Toole 2000; Rudrappa et al. 2008; Rinaudi & Giordano 2010).

46 Biofilms are also important in the marine environment where they can modulate the  
47 metamorphosis and/or settlement of invertebrate larvae and algal spores through diffusible or  
48 contact-mediated signals (Hadfield 2011; Shikuma et al. 2014; Thompson et al. 2015). The  
49 presence of biofilm on a host surface also modulates the host's access to nutrients, light,  
50 oxygen and toxins (Wahl et al. 2012). Nevertheless, biofilm can also be destructive, causing  
51 chronic infection in humans (Bjarnsholt et al. 2013), parasitism in animals and plants  
52 (Rinaudi & Giordano 2010), biodeterioration in engineered systems and artwork (Cappitelli et

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7 53 al. 2006), fouling of food-processing equipment (Villa et al. 2012a; Cappitelli et al. 2014) and  
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9 54 wastewater treatment plants (Polo et al. 2014). In addition, the presence of biofilms on  
10  
11 55 surfaces can modulate the attachment of macrofoulers (like plants and animals) (Clare et al.  
12  
13 56 1992). Indeed, marine organisms that maintain a foul-free surface are the main candidates for  
14  
15 57 natural product antifoulants (Clare et al., 1996). Biofilm removal is usually carried out using  
16  
17 58 either biocides or mechanical methods, but a complete and efficient eradication is often  
18  
19 59 difficult (Bruellhoff et al. 2010; Villa et al. 2012c). Eradication problems arise because cells  
20  
21 60 living in biofilm are less sensitive to antimicrobial agents than planktonic bacteria (Mah et al.  
22  
23 61 2003). In recent years, much effort has been put into addressing the development of  
24  
25 62 preventive strategies that can be used to disarm microorganisms without killing them  
26  
27 63 (Cegelski et al. 2008), eg targeting the early adhesion phase or interfering with cell-to-cell  
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29 64 communication (Villa et al. 2010; Bai & Rai 2011; Villa et al. 2011).

### 30 65 **Reactive oxygen species**

32  
33 66 Reactive oxygen species (ROS) are chemically reactive molecules produced in aerobic  
34  
35 67 conditions as by-products of several metabolic processes. Molecular oxygen ( $O_2$ ) is a small,  
36  
37 68 nonpolar molecule that diffuses easily across biological membranes (Ligeza et al. 1998).  
38  
39 69 Nevertheless,  $O_2$  reacts poorly with cellular biomolecules. Its reactivity derives from the  
40  
41 70 formation of ROS (Gerschman et al. 1954), which results from the addition of consecutive  
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43 71 electrons to  $O_2$ , generating the superoxide ( $O_2^-$ ), hydrogen peroxide ( $H_2O_2$ ), the hydroxyl  
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45 72 radical ( $\bullet OH$ ), and the singlet oxygen ( $^1O_2$ ) (Imlay 2003). Indeed,  $O_2^-$  is not very reactive  
46  
47 73 with biomolecules, but it does react rapidly with another molecule of  $O_2^-$  to form  $H_2O_2$  or  
48  
49 74 with nitric oxide to form a very potent oxidant and reactive nitrogen species, peroxyxynitrite  
50  
51 75 (Pacher et al. 2007).  $H_2O_2$  is stable, but it is a precursor of free radicals as UV radiation  
52  
53 76 causes the cleavage of the oxygen–oxygen bond to form  $\bullet OH$  through the Fenton reaction in  
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55 77 the presence of redox metal ions ( $Fe^{2+}$  or  $Fe^{3+}$  or  $Cu^+$ ). The most reactive and least selective

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7 78 species is  $\bullet\text{OH}$ , which reacts with many biomolecules as it diffuses into the cells (Bokare &  
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9 79 Choi 2014).  $^1\text{O}_2$  is a photoexcited form of  $\text{O}_2$ , and is very dangerous as it reacts rapidly with  
10  
11 80 cysteine, histidine, methionine, tyrosine and tryptophan residues, unsaturated lipids and some  
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13 81 nucleic acids (Briviba et al. 1997).  
14  
15 82 Microorganisms routinely generate ROS when they grow in aerobic environments. The  
16  
17 83 accidental autoxidation of flavoenzymes is mainly responsible for  $\text{O}_2^-$  and  $\text{H}_2\text{O}_2$  production  
18  
19 84 (Seaver & Imlay 2004). As microbial life first evolved in a world devoid of  $\text{O}_2$  and rich in  
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21 85 reduced iron, microorganisms evolved strategies to maintain a reducing environment and to  
22  
23 86 prevent damage to essential macromolecules (Anbar 2008). When the balance between ROS  
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25 87 and scavenger systems is disturbed, ROS accumulation within the cells leads to a condition  
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27 88 called oxidative stress (Cabiscol et al. 2000; Green & Paget 2004; Imlay 2013). In this  
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29 89 condition, the ROS concentration is so high that it can lead to protein, DNA, and lipid  
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31 90 damage, an increased rate of mutagenesis, and cell death (Imlay 2013). Bacteria have evolved  
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33 91 sensitive and specific sensors to monitor different redox signals such as the presence or  
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35 92 absence of  $\text{O}_2$ , cellular redox state or ROS. Thus sensing mechanisms can involve redox-  
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37 93 active cofactors, such as heme, flavins, pyridine nucleotides and iron-sulphur clusters, or  
38  
39 94 redox-sensitive amino acid side chains such as cysteine thiols (Green & Paget 2004), and are  
40  
41 95 tightly controlled by a complex network of regulators, including OxyR, SoxRS and RpoS.

### 42 ***Environmental sources of ROS***

43  
44 97 Oxidative stress is generated by both metabolic processes and diverse environmental stress  
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46 98 factors, which are known to be sources of a ROS cascade (Kohanski et al. 2007; Arce  
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48 99 Miranda et al. 2011). It is well established that the exposure of microorganisms to ionizing ( $\gamma$ )  
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50 100 and non-ionizing irradiation (UV) leads to the intracellular formation of ROS **because of** the  
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52 101 ionization of intracellular water (Sies 1997; Matallana-Surget et al. 2009). High temperatures  
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54 102 can result in high oxidative stress, **leading to** damage to proteins, DNA double-strand breaks

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7 103 | and cell death (Davidson et al. 1996; Murata et al. 2011; Chen et al. 2013). In addition, cold  
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9 104 | temperatures cause oxidative stress: cells of the Antarctic bacterium *Pseudomonas*  
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11 105 | *fluorescens*, grown at 4°C, suffer an increasing amount of free radicals and the enhanced  
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13 106 | activity of two antioxidant enzymes (Chattopadhyay et al. 2011).

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15 107 | Another source of oxidative stress, mainly for pathogenic bacteria, is the interaction with the  
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17 108 | host's immune system. In the presence of pathogens, plant and animal immune systems  
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19 109 | rapidly release ROS as a first-line of defence, generating the so-called "oxidative burst" (Apel  
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21 110 | & Hirt 2004). In addition, animal macrophages recognize and import bacteria into  
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23 111 | phagosomes (compartments that mature into phagolysosomes) containing ROS and reactive  
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25 112 | nitrogen species (Garin et al. 2001). Interestingly, analogous mechanisms are present in  
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27 113 | protists, such as *Acanthamoeba*, which express a respiratory burst during phagocytosis that  
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29 114 | kills ingested bacteria (Siddiqui & Khan 2012).

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31 115 | In the rhizosphere, ROS play an important role in the interaction between roots and  
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33 116 | microorganisms (Jamet et al. 2003), including the regulation of symbiosis (Shaw & Long  
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35 117 | 2003; Rubio et al. 2004; Fester & Hause 2005). During the early stages of plant-  
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37 118 | microorganism interactions, the plants subject microorganisms in the rhizosphere to oxidative  
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39 119 | stress, the aim being to prevent pathogen infection and establish advantageous symbiotic  
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41 120 | interactions. In return, microorganisms produce ROS scavenging enzymes in order to  
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43 121 | successfully infect the plant or down-regulate the plant ROS producing systems (Nanda et al.  
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45 122 | 2010).

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47 123 | Microorganisms also encounter the release of ROS-producing compounds produced by other  
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49 124 | neighbouring microorganisms. Phenazines, a large group of nitrogen-containing heterocyclic  
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51 125 | compounds, generate ROS accumulation in other microbial cells, assisting the producing  
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53 126 | bacterium in competitive survival (Mavrodi et al. 2010; Pierson LS & Pierson EA 2010). In  
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55 127 | pseudomonads, phenazines serve as an alternate electron acceptor to balance intracellular  
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7 128 redox in the absence of other electron acceptors (Price-Whelan et al. 2006), and have been  
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9 129 proposed as signalling molecules that are involved in quorum sensing (QS) regulated  
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11 130 pathways and various stages of biofilm formation (Pierson LS & Pierson EA 2010).  
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13 131 In addition to natural ROS sources, the soil collects environmental pollutants, such as  
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15 132 xenobiotics, metals and chemicals, which are able to cause oxidative stress in microorganisms  
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17 133 (Kang et al. 2007; Pérez-Pantoja et al. 2013). Titanium oxide and silver nanoparticles are  
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19 134 among emerging soil pollutants that cause oxidative stress in soil microorganisms (Polo et al.  
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21 135 2011; Mirzajani et al. 2013). Other exogenous sources of ROS are disinfectants and cleaning  
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23 136 agents that contain peroxides, chloramines or hypochlorites (Van Houdt & Michiels 2010),  
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25 137 and are increasingly used in a number of medical, food and industrial applications due to their  
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27 138 broad spectrum activities and low cost (Linley et al. 2012). Their use has raised concerns  
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29 139 about increasing resistance among pathogenic bacteria (Van Houdt & Michiels 2010) and  
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31 140 exposing beneficial soil microbial community to oxidative stress (Ortiz de Orué Lucana et al.  
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33 141 2012). Whether antibiotics generate ROS to kill bacteria is an open question. In the last  
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35 142 decade, it has been reported that the generation of ROS contributes to the efficacy of  
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37 143 aminoglycosides, b-lactams and fluoroquinolones (Kohanski et al. 2007; Foti et al. 2012;  
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39 144 Dwyer et al. 2014). However, the difficulty of demonstrating this thesis has highlighted  
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41 145 (Ezraty et al. 2013; Keren et al. 2013). This issue has been dealt with in two recent and  
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43 146 excellent reviews that summarize the data published so far (Dwyer et al. 2015; Imlay 2015).

#### 44 147 ***Hormetic behaviour of ROS***

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46 148 Hormesis is a dose-response phenomenon characterized by low-dose stimulation and high-  
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48 149 dose inhibition; this is represented as an inverted U-shaped dose response (Southam &  
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50 150 Ehrlich 1943; Calabrese et al. 2011). Like many compounds exhibiting hormetic behaviour,  
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52 151 ROS can be either detrimental or beneficial, depending on the concentration (Lewis 2008; Pan  
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54 152 2011). This is because exposure to low levels of the compound, or stress, can induce an



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7 153 | adaptive response that protects the organism (Cap et al. 2012). When this occurs, despite  
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9 154 | lower levels of oxidatively modified biomolecules, it is possible to observe higher  
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11 155 | antioxidant, or associated enzyme, activity (Lushchak 2014).  
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13 156 | The hormetic behavior of ROS in bacteria has important consequences in the sanitary and  
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15 157 | industrial fields because different doses of antimicrobials can either kill or increase their  
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17 158 | resistance to antimicrobials (Marathe et al. 2013). There are possible environmental  
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19 159 | repercussions to water and soil microflora exposed to low (sublethal) concentrations of  
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21 160 | oxidizing agents (Villa et al. 2012b). Though biocides are generally used at high  
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23 161 | concentrations to kill bacteria, there are sub-inhibitory biocide levels downstream from the  
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25 162 | treated area that range from the initial treatment concentration to nil (Gilbert & Mc Bain  
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27 163 | 2003; Mc Cay et al. 2010). Here, if oxidative stress is very high and so persistent as to exceed  
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29 164 | the point of no return, it can lead to cell death. However, if there are only moderate levels of  
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31 165 | stress, protective mechanisms are activated through a complex pathway involving various  
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33 166 | regulators, so that cell death is avoided (Amitai et al. 2004; Zhao & Drlica 2014). An example  
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35 167 | is the *Escherichia coli* MazE/MazF system, which generates ROS as a stress response. In  
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37 168 | response to low levels of stress, this system stimulates the activation of protective pathways,  
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39 169 | including the Cpx envelope protein stress system for the refolding or degradation of  
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41 170 | misfolded proteins in the periplasm, the inhibition of katG mRNA degradation, and MazF-  
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43 171 | mediated •OH accumulation (Pogliano et al. 1997; Raivio & Silhavy 2001; Zhao & Drlica  
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45 172 | 2014). In the case of extreme stress, the same proteins used to trigger ROS scavenging  
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47 173 | systems contribute to a cascade of ROS, and activate a programmed cell death pathway,  
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49 174 | essential to reduce the risk of hypermutation and loss of genetic integrity (Dorsey-Oresto et  
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51 175 | al. 2013). In *Bacillus subtilis*, NdoA plays the same role as the *E. coli* MazE/MazF system  
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53 176 | (Wu et al. 2011).  
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7 177 Bernier & Surette (2012) has recently stated that different concentrations of antibiotics can  
8 178 trigger different biological responses, varying from cell death (acting as a toxin at a high  
9 179 concentration), adaptation (acting as a stress inducer at a medium concentration), and the shift  
10 180 of metabolic pathways (acting as a cue at a low concentration). Given the hormetic behavior  
11 181 of ROS, the above responses are also true for different levels of oxidative stress. Therefore,  
12 182 the effects of oxidative stress may be even more diverse and less predictable in environmental  
13 183 biofilms than in planktonic cells because of their chemical, physical and biological  
14 184 heterogeneity, and the relationships among biofilm members, each interacting with external  
15 185 chemicals in a particular way.

## 186 **Biofilm and oxidative stress**

187 The ability to form biofilm is a very ancient and common trait of Archaea and Bacteria, as  
188 evidenced by the observation of fossils dating back to 3.25 billion years ago (Hall-Stoodley et  
189 al. 2004). At that time, oceans and the atmosphere had a low oxygen content, as the first  
190 oxygenation events that changed the redox state of the environment occurred only 2.4 billion  
191 years ago (Anbar 2008). Microorganisms altered their metabolism and their defence strategies  
192 in order to take advantage of the accumulated oxygen and, at the same time, to avoid the  
193 damage caused by oxidative stress (Imlay 2013; Ziegelhoffer & Donohue 2009). Thus, the  
194 microbial biofilm response may have evolved alongside continuous increase of oxygen on  
195 Earth to develop a complex regulation of metabolic pathways, sensitive to the concentration,  
196 quality and durability of ROS. The authors speculate that the integration of ROS into several  
197 different signalling pathways, including the switch between planktonic and sessile forms,  
198 could have been, and still is, fundamental, from the eco-evolutionary point of view, to the  
199 survival of microbial species. We are suggesting three avenues of research for understanding  
200 the link between biofilm and oxidative stress: the existence of common regulators, the  
201 production of extracellular polymeric substances and biofilm heterogeneity (Figure 1).

## 202 *Common regulators and pathways*

203 The first evidence of the tight connection between oxidative stress and biofilm formation is  
204 the involvement, in both processes, of the same regulators of many metabolic pathways.  
205 Through these pathways, ROS deeply influence bacterial physiology in biofilm (Cap et al.  
206 2012), affecting its characteristics, structure and morphology (see examples in Villa et al.  
207 2012b; DePas et al. 2013; Milferstedt et al. 2013 and in Figure 2). This may be understood as  
208 the result of the coevolution of biofilm and oxygen on Earth, which may have integrated ROS  
209 as a versatile and dynamic signal in many cellular pathways, including mechanisms regulating  
210 biofilm formation. In biofilm, cells are able not only to face oxidative stress, but also to  
211 exploit it, using ROS as a signal or cue to prepare to adapt to a changing environment. It is  
212 tempting to speculate that ROS signalling may be a driving force for the dominance of  
213 biofilm in many environmental niches. For instance, genome sequence analyses of deep-sea  
214 sedimentary bacterium *Pseudoalteromonas* sp. SM9913 which live at a very low oxygen  
215 concentration, compared to that of the closely related Antarctic surface sea-water ecotype  
216 *Pseudoalteromonas haloplanktis* TAC125, revealed a higher sensitivity to ROS, but also a  
217 potentially increased ability to form biofilm once exposed to oxygen (Qin et al., 2011).  
218 The oxidative stress response protein **OxyR** senses H<sub>2</sub>O<sub>2</sub> and activates the transcription of  
219 several genes involved in antioxidative defence, eg peroxide scavengers, thiol redox buffers  
220 and enzymes that repair iron-sulfur centres and repress iron uptake genes (Storz & Imlay  
221 1999; Zheng et al. 2011). OxyR is also involved in biofilm formation since *oxyR* mutants in  
222 various bacterial species exhibit increased auto-aggregation and an ability to form biofilms in  
223 minimal medium. In *E. coli* the process is mediated by the de-repression of *agn43*, encoding  
224 for the adhesion protein Ag43 that confers protection against H<sub>2</sub>O<sub>2</sub> and stimulates bacterial  
225 biofilm formation at the microcolony stage (Danese et al. 2000; Schembri et al. 2003).  
226 Similarly, *oxyR* mutants in *Burkholderia pseudomallei* (Loprasert et al. 2000), *P.*

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227 *chlororaphis* (Xie et al. 2013) and *Porphyromonas gingivalis* (Wu et al. 2008) show increased  
228 ability to form biofilm in minimal medium and higher sensitivity to H<sub>2</sub>O<sub>2</sub> and paraquat (a  
229 redox cycling agent, ie a compound able to produce ROS by changing its oxidative state). In  
230 *P. aeruginosa* biofilm exposed to oxidative stress, OxyR promotes the biofilm lifestyle to  
231 reduce metabolism and ROS production but also to encourage the dispersion of stressed  
232 bacteria (Wei et al. 2012). Indeed in *P. aeruginosa*, the oxidized form of OxyR binds both the  
233 promoter region of the bacteriophage Pf4 operon, essential for biofilm formation (Rice et al.  
234 2009), and of *bdIA*, a biofilm dispersion locus (Morgan et al. 2006). However, the opposite  
235 effect has been described in *Serratia marcescens*, *Neisseria gonorrhoeae* and *Tannerella*  
236 *forsythia*, whose *oxyR* mutant strains show an impaired ability to form biofilm (Seib et al.  
237 2007; Shanks et al. 2007; Honma et al. 2009).

238 **RpoS** is a general stress response protein that up-regulates cellular stress-related genes in  
239 response to slow growth, both in the stationary phase and under stress conditions (Hengge-  
240 Aronis 1999). In *E. coli*, RpoS is also activated in response to oxidative stress, collaborating  
241 to scavenge ROS with OxyR and SoxRS and inducing the transcription of genes involved in  
242 the protection from oxidative damage (ie *dspA*, *katE* and *sodC*) (Schellhorn & Stones 1992;  
243 Patten et al. 2004). Moreover, RpoS plays an essential role during biofilm development  
244 because it controls the expression of almost 50% of the genes that specifically induce the  
245 growth of biofilm (Collet et al. 2008). Recent studies highlight a more complex picture adding  
246 that RpoS triggers the production of extracellular structures and biofilm formation only under  
247 conditions of limited nutrient availability (Corona-Izquierdo & Membrillo-Hernandez 2002;  
248 Sheldon et al. 2012). For instance, in *Klebsiella pneumoniae*, RpoS and SoxR trigger the  
249 expression of YjcC, a protein that regulates both the oxidative stress response and biofilm  
250 production by modulating the levels of the second messenger cyclic di-GMP (c-di-GMP)  
251 (Huang et al. 2013). In the food borne pathogen *Campylobacter jejuni*, it is not OxyR and

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7 252 SoxRS that regulate the genes of oxidative stress resistance, it is PerR, Fur and CosR (Atack  
8 & Kelly 2009). Under their control, AhpC, the only alkyl hydroperoxide reductase in this  
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10 254 bacterium, negatively affects biofilm formation, maybe decreasing the oxidative stress levels  
11  
12 255 in cell aggregates (Oh & Jeon 2014).

14 256 **Quorum-sensing** (QS) is a mechanism that enables bacteria to make collective decisions,  
15  
16 257 synchronize with the rest of the population and thus function as multicellular organisms  
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18 258 (Waters & Bassler 2005). In *P. aeruginosa*, QS-deficient mutants (*lasI*, *rhII* and *lasI rhII*) are  
19  
20 259 more likely to suffer from oxidative stress because of the lower expression of *katA* and *sodA*  
21  
22 260 (Hasset et al. 1999). In *P. aeruginosa*, QS enhances the oxidative stress response, triggering  
23  
24 261 the production of scavenging enzymes; cells with an active QS system are more resistant to  
25  
26 262 oxidative damage and will be selected by oxidative stress (García-Contreras et al. 2015). In *B.*  
27  
28 263 *pseudomallei*, DpsA binds DNA and sequesters iron (Martinez & Kolter 1997) to protect  
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30 264 DNA from damage by both acid and oxidative stress (Loprasert et al. 2004). At the same  
31  
32 265 time, *bpsRI* mutants, unable to produce the QS molecules N-octanoylhomoserine lactone and  
33  
34 266 N-(3-oxooctanoyl) homoserine lactone, show a reduced *dpsA* expression, and thus a higher  
35  
36 267 sensitivity to organic hydroperoxides (Lumjiaktase et al. 2006). Lumjiaktase et al. (2006) also  
37  
38 268 hypothesized that the control of the oxidative stress response through QS could be useful in  
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40 269 high-density cultures, eg biofilm or stationary phase cultures, to protect DNA from oxidative  
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42 270 damage. More recently, proteomic analysis of *B. subtilis* biofilm exposed to sublethal doses of  
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44 271 silver nanoparticles, producing ROS, revealed a higher expression of proteins involved in  
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46 272 stress responses (including oxidative stress proteins AhpC, SufD and thioredoxin) and  
47  
48 273 quorum sensing (DegU, OppF, CotE and SrfAB), thus affecting gene expression in *B. subtilis*  
49  
50 274 biofilms (Gambino et al. 2015).

51 275 The production of **phenazines** is another pathway that connects biofilm and oxidative stress.  
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53 276 Phenazines are a large group of nitrogen-containing heterocyclic compounds with different  
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chemical and physical properties depending on the functional groups present (Mavrodi et al. 2010). Mainly studied in pseudomonads, they work as an electron shuttle and are essential for long term survival under anaerobic conditions, eg in the inner part of biofilms, and they generate ROS in other organisms such as *Candida albicans* (Drago 2009). Phenazines are themselves signals capable of altering patterns of gene expression (Dietrich et al. 2008; Pierson LS & Pierson EA 2010). It has been observed in *P. chlororaphis* that mutant strains deficient in phenazine are not able to form biofilm (Maddula et al. 2006). Moreover, *P. chlororaphis* produces different ratios of various phenazine derivatives, depending on the needs of the population, as each derivative has particular characteristics. For example, it has been supposed that 2-hydroxy-phenazine-1-carboxylic acid could facilitate cellular adhesion, whereas phenazine-1-carboxylic acid might allow biofilm growth, by acting as an electron shuttle within the microaerophilic community (Pierson LS & Pierson EA 2010). Phenazine production is also one of the most efficient strategies to acquire iron from the environment, a condition that significantly influences the switch from a planktonic to a sessile lifestyle in *P. aeruginosa* (Cornelis & Dingemans 2013).

Other pathways connecting oxidative stress and biofilm will surely come to the fore in the next few years. For example, *Marinomonas mediterranea*, a component of the microbiota associated with the marine plant *Posidonia oceanica*, expresses an antimicrobial protein with lysine oxidase activity (Molina-Quintero et al. 2010). This protein generates hydrogen peroxide that facilitates the subsequent dispersal of cells from biofilm, but the regulation mechanisms are not yet completely understood (Lucas-Elio et al. 2012).

The presence of common regulators and pathways between biofilm and oxidative stress could be exploited, as a novel biocide-free strategy, for biofilm control. Villa et al. (2012c) found that *E. coli* cells exposed to sublethal concentrations of zosteric acid, a natural compound from *Zostera marina*, accumulate ROS, activate scavenging mechanisms and induce a

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7 302 hypermotile phenotype, which inhibits the formation of biofilm. More recently, it has been  
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9 303 hypothesized that this anti-biofilm compound could increase ROS accumulation by inhibiting  
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11 304 the oxidoreductase activity of WrbA, a NADH:quinone reductase, interfering with the QS  
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13 305 system and biofilm formation (Cattò et al. 2015). Therefore, zosteric acid seems to act as an  
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15 306 environmental cue, warning microorganisms about environmental changes and to prepare for  
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17 307 adversity (Villa et al. 2012c).

### 18 19 308 Extracellular polymeric substances (EPS) production

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21 309 The EPS production pathway is inevitably connected to environmental stress sensors and is  
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23 310 activated in accordance with external conditions.

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25 311 Among EPS, extracellular polysaccharides are often involved in the oxidative stress response.

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27 312 For example, increased production of polysaccharides was observed in the *Azotobacter*  
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29 313 *vinelandii* (Villa et al. 2012b) (Figure 3) and *B. subtilis* biofilm matrices (Gambino et al.  
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31 314 2015), when exposed to sources of oxidative stress. Alginate, an extracellular polysaccharide  
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33 315 produced by pseudomonads and *A. vinelandii*, among others, is able to scavenge hydroxyl  
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35 316 radicals ( $\bullet\text{OH}$ ), in order to inhibit lipid and protein peroxidation (Tomida et al. 2010).

36  
37 317 Alginate is also used by *P. aeruginosa* to scavenge the  $\text{H}_2\text{O}_2$  released to kill pathogens by  
38  
39 318 macrophages, neutrophils and the hypersensitive response-plant-defence system (Mathee et  
40  
41 319 al. 1999; Hay et al. 2014). The network regulating alginate production is controlled through  
42  
43 320 the cross-talk of different regulators, but it the mechanisms behind the specific environmental  
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45 321 cues that induce alginate production are unclear (Hay et al. 2014). Another example is the  
46  
47 322 production of colanic acid by *E. coli* biofilm, promoted by the GGDEF protein YddV, under  
48  
49 323 the regulation of *rpoS*. In addition to promoting cell aggregation and colanic acid production  
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51 324 via diguanylate cyclase activity (Méndez-Ortiz et al. 2006), YddV also induces genes in  
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53 325 response to oxidative and nutritional stresses (Landini 2009).

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7 326 However, EPS may be produced as a response to exogenous oxidative stress not to scavenge  
8 ROS directly but as part of the cells effort to decrease their metabolism to limit its own ROS  
9 327  
10 328 production. This is the case of the *B. pseudomallei* succinyl-coA:3-ketoacid-coenzyme A  
11  
12 329 transferase enzyme, which is down-regulated upon oxidative stress to avoid ROS production  
13  
14 330 and leads to the accumulation of poly-hydroxybutyrate within cells as storage molecules  
15  
16 331 (Chutoam et al. 2013).  
17  
18 332 EPS is a physical and chemical barrier for biocidal compounds and the attack of predators  
19  
20 333 (Costerton & Lewandoski 1995), both of which produce ROS (see ‘Environmental sources of  
21  
22 334 ROS’ section). Although reducing diffusion through the biofilm matrix only provides a short-  
23  
24 335 term protective effect against many ROS producing compounds (Walters et al. 2003), it could  
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26 336 be enough for sessile cells to rapidly adapt and scavenge different forms of ROS, enabling  
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28 337 dynamic changes in ROS levels.

### 30 338 ***Biofilm heterogeneity***

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33 339 Biofilm represents a very heterogeneous environment both spatially and temporally, enclosing  
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35 340 many microenvironments with different characteristics in a continuous flux of chemical  
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37 341 gradients, which are influenced by the metabolism of resident bacteria, transport limitations  
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39 342 (Teal et al. 2006) and the aging of the biofilm (Saint-Ruf et al. 2014). Every single cell  
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41 343 forming a biofilm responds to environmental changes in an individual and unique way  
42  
43 344 (Monds & O’Toole 2009). In every microenvironment within the biofilm, the local conditions  
44  
45 345 trigger a differential response in bacteria, and select for more favorable phenotype variants.  
46  
47 346 Thus, phenotype variants arise from both stochastic gene expression and genetic variation  
48  
49 347 (mutation and genetic rearrangements) (Stewart & Franklin 2008). Oxidative stress is one of  
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51 348 the main sources of heterogeneity in many bacterial biofilms (Saint-Ruf et al. 2014). In  
52  
53 349 biofilm, each individual cell is exposed differentially to the surrounding environment, senses  
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7 350 ROS at different levels, and activates its own ROS scavenging mechanisms, creating  
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9 351 gradients of different ROS forms and increasing the variance of phenotypes.  
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11 352 | In *E. coli*, exposure to iron causes ROS accumulation, and triggers the development of rugose  
12  
13 353 biofilm composed of two different sub-populations, matrix- and non-matrix encased (DePas et  
14  
15 354 al. 2013). Furthermore, the incubation of *E. coli* cells with paraquat induces SoxRS, which in  
16  
17 355 turn determines the occurrence of several phenotypic variants able to survive fluoroquinolone  
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19 356 antibiotics (Wu et al. 2012).  
20  
21 357 | In addition, staphylococcal biofilms submitted to oxidative stress exhibit an increase in basal  
22  
23 358 mutation frequency (Ryder et al. 2012). Exposure of *Staphylococcus aureus* to sub-lethal  
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25 359 concentrations of hydrogen peroxide leads to oxidative stress adaptation of a sub-population  
26  
27 360 of small-colony variants with enhanced catalase production via a mutagenic DNA repair  
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29 361 pathway that includes a DNA double-strand break (DSBs) repair system (Painter et al. 2015).  
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31 362 In *P. aeruginosa* biofilm, oxidative stress triggers the activation of the DNA repair system,  
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33 363 including mutagenic DSBs, that result in higher phenotypic diversity (Boles & Singh 2008).  
34  
35 364 Thus, the presence of distinct phenotypes of subpopulations within a bacterial community  
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37 365 appears to be a common occurrence and might even be considered as an evolutionary strategy  
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39 366 to withstand environmental stresses. This process has a high clinical relevance as it worsens  
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41 367 the problem of antibiotic resistance (Ryder et al. 2012). Many physical, physiological and  
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43 368 adaptive tolerance mechanisms allow biofilm subpopulations to survive and are responsible  
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45 369 for the well-known tolerance of biofilm to antimicrobials (Bjarnsholt et al. 2013). Antibiotic  
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47 370 resistance is also correlated with mutations and horizontal gene transfer (Martinez 2009).  
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49 371 | Both mechanisms are more frequent in biofilm because of its increased heterogeneity and the  
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51 372 presence of a matrix that facilitates social behaviour. The presence of a matrix allows  
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53 373 microorganisms to benefit from proximity to cells with resistance to antimicrobials because  
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55 374 they detoxify the local biofilm environment (Conlin et al. 2014). As stated above, ROS  
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7 375 enhance heterogeneity and matrix production in biofilm, increasing the number of persistent  
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9 376 cells (Wu et al. 2012) and playing an important role in the higher tolerance of biofilm to  
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11 377 antimicrobials. Furthermore, the resistance to antimicrobials that can arise in a biofilm is not  
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13 378 necessarily contained to the biofilm. Any change in environmental conditions can lead to the  
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15 379 dispersion of biofilm cells that colonize new niches with the antibiotic resistance they have  
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17 380 already acquired.

### 19 381 **Conclusion**

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21 382 The role of oxidative stress in bacterial biofilms is a topic of outstanding importance because  
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23 383 it is relevant to the sanitary, industrial and environmental fields. The development of  
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25 384 antimicrobial resistance in biofilms demand attention because ROS may trigger adaptive  
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27 385 mechanisms that are more effective in biofilms than in planktonic bacteria. In this review,  
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29 386 three avenues of research have been highlighted for further investigations into the biofilm  
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31 387 response to oxidative stress, but others may arise with further research in the field.  
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33 388 Unravelling the different interactions that tie biofilm response to oxidative stress will be a  
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35 389 challenge for many years to come. Understanding the mechanisms regulating biofilm in  
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37 390 response to different levels of ROS may shed light on both the environmental determinants  
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39 391 for the bacterial colonization of hostile habitats and the molecular strategies used to sense  
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41 392 environmental cues and adapt accordingly. An explanation of these pathways could be the key  
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43 393 to identify which mechanisms lead to the colonization of habitats of ecological and economic  
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45 394 interest. In the near future, it may also be possible to use oxidative stress in a controlled way  
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47 395 to trigger biofilm formation and dispersal.

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### 50 397 **Disclosure**

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53 398 The authors report no conflicts of interest in this work.

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8 774 **Figure captions**

9 775 | Figure 1. Emerging [avenues of research to investigate](#) biofilm [response to](#) oxidative stress.

10 776 | Figure 2. Exposure to sub-lethal doses of the ROS producer caused a change in the  
11 morphology of the colony biofilm. a) Effect of hydrogen peroxide on *Burkholderia*  
12 *thailandensis*, b) Effect of phenazine methosulphate (PMS) on *Azotobacter vinelandii*.

13 777  
14 778  
15 779 | Figure 3. Sublethal doses of PMS trigger the accumulation of exopolysaccharides in the  
16 matrix of the colony biofilm of *Azotobacter vinelandii*. Cryosectioning images of untreated  
17 (a) and treated (b) mature biofilms: in green, live cells were stained green with Syto9; in red,  
18 the polysaccharide component of the EPS matrix was stained with Texas Red-labelled  
19 Concanavalin. Scale bar: 100 µm.  
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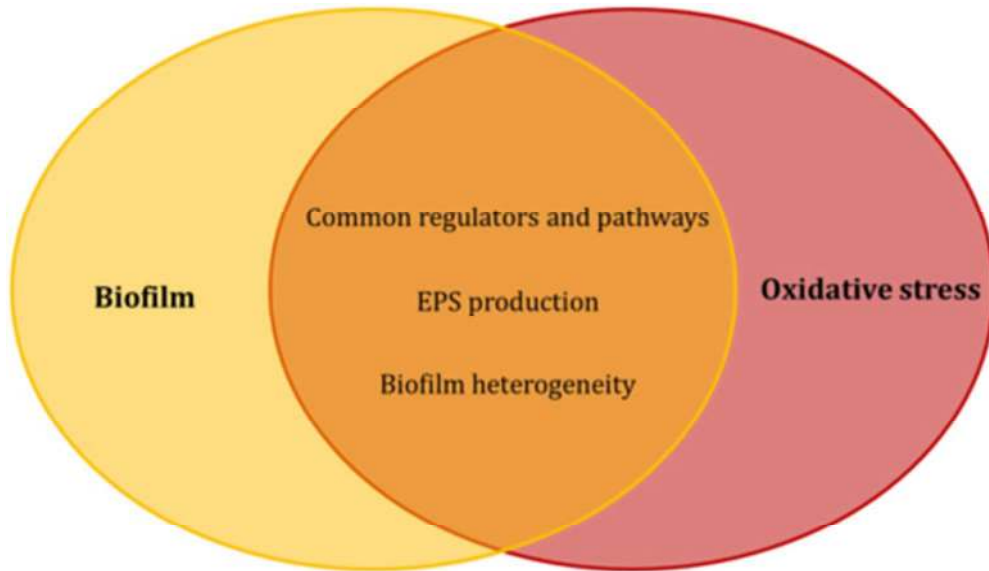
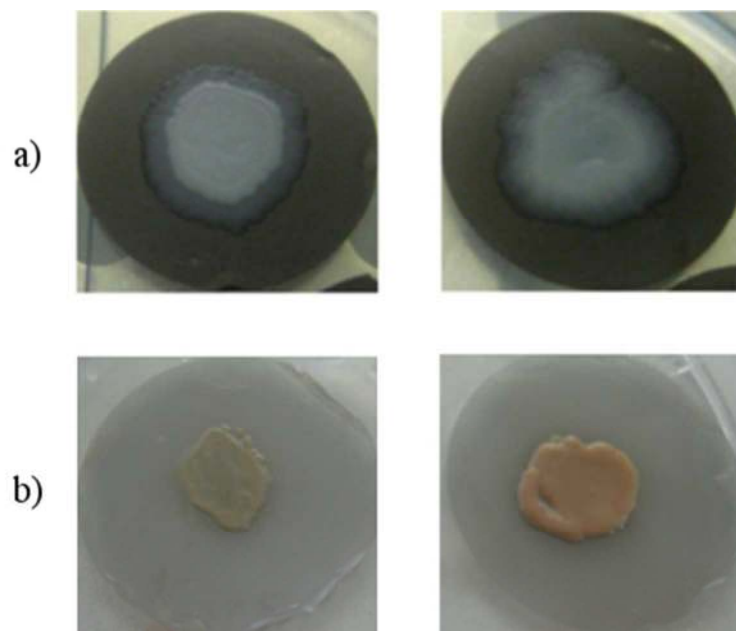
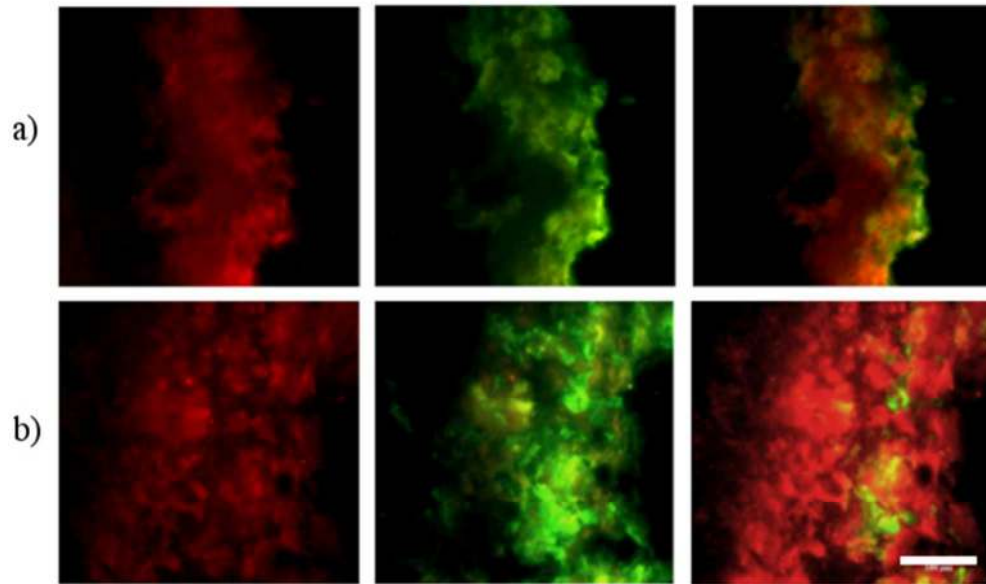


Figure 1. Emerging avenues of research to investigate biofilm response to oxidative stress.  
225x141mm (72 x 72 DPI)

Review Only



28 Figure 2. Exposure to sub-lethal doses of the ROS producer caused a change in the morphology of the  
29 colony biofilm. a) Effect of hydrogen peroxide on *Burkholderia thailandensis*, b) Effect of phenazine  
30 methosulphate (PMS) on *Azotobacter vinelandii*.  
31 209x131mm (72 x 72 DPI)



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Figure 3. Sublethal doses of PMS trigger the accumulation of exopolysaccharides in the matrix of the colony biofilm of *Azotobacter vinelandii*. Cryosectioning images of untreated (a) and treated (b) mature biofilms: in green, live cells were stained green with Syto9; in red, the polysaccharide component of the EPS matrix was stained with Texas Red-labelled Concanavalin. Scale bar: 100  $\mu\text{m}$ .  
225x141mm (72 x 72 DPI)