

## Review

# Mitochondria and Parkinson's Disease: Clinical, Molecular, and Translational Aspects

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**Abstract.** Mitochondrial dysfunction represents a well-established player in the pathogenesis of both monogenic and idiopathic Parkinson's disease (PD). Initially originating from the observation that mitochondrial toxins cause PD, findings from genetic PD supported a contribution of mitochondrial dysfunction to the disease. Here, proteins encoded by the autosomal recessively inherited PD genes *Parkin*, *PTEN-induced kinase 1 (PINK1)*, and *DJ-1* are involved in mitochondrial pathways. Additional evidence for mitochondrial dysfunction stems from models of autosomal-dominant PD due to mutations in *alpha-synuclein (SNCA)* and *leucine-rich repeat kinase 2 (LRRK2)*. Moreover, patients harboring alterations in mitochondrial *polymerase gamma (POLG)* often exhibit signs of parkinsonism. While some molecular studies suggest that mitochondrial dysfunction is a primary event in PD, others speculate that it is the result of impaired mitochondrial clearance. Most recent research even implicated damage-associated molecular patterns released from non-degraded mitochondria in neuroinflammatory processes in PD. Here, we summarize the manifold literature dealing with mitochondria in the context of PD. Moreover, in light of recent advances in the field of personalized medicine, patient stratification according to the degree of mitochondrial impairment followed by mitochondrial enhancement therapy may hold potential for at least a subset of genetic and idiopathic PD cases. Thus, in the second part of this review, we discuss therapeutic approaches targeting mitochondrial dysfunction with the aim to prevent or delay neurodegeneration in PD.

**Keywords:** Parkinson's disease, mitochondria, mitochondrial dysfunction, *Parkin*, *PINK1*, *DJ-1*, *POLG*, gene-specific therapy, clinical trial

## INTRODUCTION

The prevalence of Parkinson's disease (PD) has more than doubled over the last two decades, making it the fastest growing of all neurological diseases

[1]. Despite significant advances in deciphering the pathophysiology of PD [2], the etiology remains elusive for the majority of cases.

On the cellular level, an involvement of oxidative stress, lysosomal and mitochondrial dysfunction has been implicated in the pathophysiology of PD [3]. The first evidence that alterations in mitochondrial function may play a decisive role in the pathogenesis of PD date back to the 1980s, when mitochondrial toxins were reported to cause dopa-responsive parkinsonism [4]. Subsequently, findings

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39 from PD genetics supported the link between mito- 88  
40 chondria and PD [5]. Here, it has been shown 89  
41 that mutated genes causing monogenic PD encode 90  
42 proteins involved in mitochondrial function and 91  
43 degradation of damaged mitochondria. This review 92  
44 aims to 1) discuss the origin of the link between 93  
45 PD and mitochondria, 2) summarize how pathogenic 94  
46 variants in the PD genes *Parkin*, *PTEN-induced* 95  
47 *kinase 1 (PINK1)* and *DJ-1* as well as parkinsonism- 96  
48 associated mutations in mitochondrial *Polymerase* 97  
49 *gamma (POLG)* cause mitochondrial impairment, 98  
50 and 3) present how oxidative stress leads to mitochon- 99  
51 drial DNA (mtDNA) disintegration in PD. Moreover, 100  
52 4) we illustrate how mitochondrial damage may cause 101  
53 inflammation in the context of PD. Additionally, 5) 102  
54 we summarize the interaction between mitochondrial 103  
55 and lysosomal pathways as well as the endoplasmic 104  
56 reticulum (ER) with a focus on calcium homeosta- 105  
57 sis. Finally, 6) we discuss resulting implications for 106  
58 genetic testing and highlight possible therapeutic 107  
59 approaches arising from a potential mitochondrial 108  
60 subtype of PD. 109

## 61 ORIGINS OF THE LINK BETWEEN 110 62 MITOCHONDRIA AND PD 111

63 First, the so-called “frozen addicts” suggested 112  
64 a contribution of mitochondrial dysfunction to the 113  
65 pathogenesis of PD. In these drug users, living in 114  
66 California in the 1980s, physicians observed that 115  
67 a side product of new synthetic heroin triggered 116  
68 a rapid onset of a distinct form of parkinsonism 117  
69 responsive to levodopa treatment. It turned 118  
70 out that the synthesis process resulted in the 119  
71 unwanted generation of 1-methyl-4-phenyl-1,2,5,6- 120  
72 tetrahydropyridine (MPTP), which led to inhibition 121  
73 of the respiratory chain [4]. Of note, a similar obser- 122  
74 vation was published already four years earlier [6]. 123  
75 MPTP is not toxic itself but lipophilic and thus able 124  
76 to enter brain tissue by crossing the blood brain 125  
77 barrier. In the brain, it is processed by monoamine 126  
78 oxidase B (MAO-B) [7] to the toxic cation 1-methyl- 127  
79 4-phenylpyridinium (MPP+) [8]. MPP+ is selectively 128  
80 taken up by dopaminergic cells [9] and inhibits mul- 129  
81 tiple complexes of the respiratory chain [3, 10]. The 130  
82 notion that mitochondrial dysfunction plays a role 131  
83 in PD pathogenesis was supported shortly after the 132  
84 description of the “frozen addicts” by the observation 133  
85 of a restricted function of respiratory chain com- 134  
86 plexes in postmortem brain sections from PD patients 135  
87 [11]. These early findings significantly stimulated PD 136

research in the following years. For example, even 88  
today, the injection of MPTP is most commonly used 89  
to model PD in mice [12]. However, similar to other 90  
animal models of PD, the clinical and pathological 91  
characteristics simulated by the MPTP model differ 92  
from PD in many ways [13]. 93

Disturbances in respiratory chain complexes are 94  
associated with the generation of reactive oxy- 95  
gen species (ROS) suggesting oxidative stress as a 96  
pathogenic mechanism in PD related to mitochon- 97  
drial dysfunction. Highlighting the role of ROS, 98  
evidence has arisen that oxidative stress is linked 99  
to dopamine metabolism [14]. Later in the present 100  
review, we will particularly focus on the aspect of 101  
oxidative stress and mtDNA disintegration. 102

## 103 MONOGENIC PD AND MITOCHONDRIAL 104 105 DYSFUNCTION 106

Over the past two decades, intensive research 107  
has resulted in significant progress regarding the 108  
elucidation of monogenic causes of PD. After the 109  
initial description of pathogenic variants in the *alpha-* 110  
*synuclein* gene (*SNCA*) as of cause PD in 1997 [15], 111  
several genes have been identified that are associ- 112  
ated with the development of PD signs resembling 113  
those of idiopathic PD. These genetic alterations are 114  
considered as disease-causing or as genetic risk fac- 115  
tors. In particular, the autosomal dominantly inherited 116  
genes *SNCA*, *Leucine-rich repeat kinase 2 (LRRK2)*, 117  
and *Vacuolar protein sorting-associated protein 35* 118  
(*VPS35*) [16] and the autosomal recessively trans- 119  
mitted genes *Parkin*, *PINK1* and *DJ-1* are both well 120  
established and validated to cause PD when mutated 121  
[17]. In addition, a number of genes have been shown 122  
to cause atypical parkinsonism [18]. 123

In the context of autosomal dominantly inherited 124  
PD, several links to mitochondrial dysfunction have 125  
been described in the past decade. For instance, the 126  
protein encoded by the first PD-linked gene *SNCA* 127  
is a component of Lewy bodies [19], which were 128  
recently also identified to contain organelles includ- 129  
ing mitochondria [20]. Alpha-synuclein has been 130  
shown to accumulate in mitochondria, interfering 131  
with complex I function and increasing mitophagy 132  
[21]. Thereby, calcium can trigger alpha-synuclein- 133  
mediated mitochondrial dysfunction [22, 23]. In 134  
keeping with these findings, the N-terminal domain 135  
of alpha-synuclein is associated with respiratory 136  
chain complex I [24]. Moreover, neuroepithelial stem 137  
cells (NESCs) harboring PD-causing *SNCA* muta-

137 tions showed reduced mitochondrial function [25].  
138 In addition, a nonfibrillar, phosphorylated species of  
139 alpha-synuclein has been shown to target mitochondria,  
140 thereby inducing mitochondrial fragmentation,  
141 energy deprivation and mitophagy [26]. The role of  
142 alpha-synuclein at the mitochondria-associated endo-  
143 plasmic membrane (MAM) will be discussed below  
144 in a separate section on inter-organellar crosstalk.

145 There is also evidence for a role of LRRK2 in  
146 the regulation of mitochondrial function. Mutations  
147 in LRRK2 cause the most common and autosomal  
148 dominantly inherited form of monogenic PD  
149 clinically indistinguishable from IPD [27, 28]. As  
150 described later in this review, Parkin and PINK1 play  
151 a well-established role in a common pathway medi-  
152 ating mitophagy, the process of degrading damaged  
153 mitochondria. Similarly, LRRK2 is involved in the  
154 initiation of mitophagy by regulating mitochondrial  
155 motility [3]. Further evidence for an involvement of  
156 LRRK2 in mitochondrial clearance comes from our  
157 own observation of elevated mtDNA deletion lev-  
158 els specifically in affected *LRRK2* mutation carriers,  
159 implicating mtDNA integrity as potential penetrance  
160 marker for LRRK2-linked PD [29]. Concerning  
161 mutations in *VPS35*, another cause of autosomal  
162 dominantly inherited PD [30], there is also evidence  
163 for an association with mitochondrial dysfunction.  
164 For example, *VPS35*-mutant fibroblasts exhibited an  
165 impaired configuration of complex I of the respi-  
166 ratory chain [31]. In dopaminergic neurons, *VPS35*  
167 depletion leads to the accumulation of  $\alpha$ -synuclein  
168 and mitochondrial dysfunction [32]. An additional  
169 mechanistic link between *VPS35* and mitochondria  
170 was demonstrated when the fission factor dynamin-  
171 like protein (DLP) 1 emerged as interactor of *VPS35*  
172 [33].

173 Moreover, the PD-associated protein CHCHD2  
174 [34] has been found to accumulate in mitochondria  
175 under the influence of stress [35]. Further studies  
176 will be needed to shed light on its interaction with  
177 CHCHD10 [36].

178 However, the most compelling evidence for a direct  
179 link between mitochondria and PD has been estab-  
180 lished for the autosomal recessively inherited PD  
181 genes *Parkin*, *PINK1*, and *DJ-1*, as illustrated by  
182 a PubMed search: Combining “Parkinson’s disease  
183 AND mitochondria” with any of these three gene  
184 names results in over 4500 publications in total.  
185 Interestingly, patients with genetic alterations in the  
186 mitochondrial disease-associated gene *POLG* also  
187 exhibit parkinsonism, albeit a clinically more atypical  
form.

## *Parkin-linked PD*

188  
189 Clinically, biallelic mutations in *Parkin* cause  
190 typical levodopa-responsive PD with early disease  
191 onset, slow progression and dystonia as prominent  
192 (initial) symptom, while non-motor features like  
193 olfactory dysfunction, psychiatric symptoms or cog-  
194 nitive impairment are less frequent compared to IPD  
195 [17] (Table 1).

196 In 1997, an unidentified gene mapping to chromo-  
197 some 6q25.2–27 was initially linked to an autosomal  
198 recessive juvenile form of parkinsonism [37]. Shortly  
199 thereafter, the sequence of *Parkin* was unveiled,  
200 with subsequent reports furthering its significance  
201 for the etiology of PD [38]. To date, more than  
202 130 different mutations in *Parkin* have been docu-  
203 mented in about 1000 PD patients [17], making it  
204 the most prevalent autosomal recessive form of PD  
205 [39]. *Parkin* is an E3 ubiquitin ligase with established  
206 neuroprotective activities. Furthermore, *Parkin* has an  
207 extensive array of putative substrates [40], which can  
208 be differentially modified either through mono- or  
209 poly-ubiquitination with different patterns of ubi-  
210 quitin lysine linkage. This results in a complex, yet  
211 insufficiently characterized array of regulatory nodes  
212 associated to this protein. *Parkin* exerts its function  
213 through three independent mechanistic axes [41]:  
214 1) enhanced ubiquitination of toxic substrates to be  
215 degraded by the proteasome, 2) regulation of cell  
216 death pathways through non-degradative ubiquitin  
217 signaling, and 3) regulation of mitochondrial quality  
218 control through mitophagy and vesicular transport.  
219 Although initial reports failed to detect mitochondrial  
220 localization of *Parkin* [42], it is currently established  
221 that this protein is intimately related to the regulation  
222 of mitochondrial homeostasis.

223 Lys-48-polyubiquitinated *Parkin* substrates are  
224 directed to the proteasomal degradation pathway  
225 [43], meaning that *Parkin* deficiency or inactiva-  
226 tion can lead to accumulation of diverse noxious  
227 substrates that are normally targeted for degrada-  
228 tion. A good example of this is PARIS, a repressor  
229 of the master regulator of mitochondrial biogene-  
230 sis and respiration, PGC1- $\alpha$  [44], as will be further  
231 explained below. The first indisputable evidence for  
232 parkin’s involvement in mitochondrial homeostasis  
233 arose from the study of *Drosophila* [45] and mouse  
234 *parkin*<sup>-/-</sup> models. Remarkably, these fly models  
235 exhibited degenerative phenotypes, which consider-  
236 ably overlapped with those reported soon thereafter in  
237 *pink1*<sup>-/-</sup> fly models [47–49], exposing a mechanistic  
238 link between parkin, pink1 and mitochondrial qual-

Table 1

Overview of genes particularly associated with mitochondrial dysfunction in Parkinson's disease and *POLG* as representative of genetic mitochondrial disease with parkinsonian features

Type of PD	Additional reading	Median age of onset (range)	Clinical features	Frequency and type of mutations
<b>PARK-<i>Parkin</i></b> (PARK2)	MDSGene <a href="https://www.mdsgene.org/d/1/g/4">https://www.mdsgene.org/d/1/g/4</a>  GeneReviews <a href="http://www.ncbi.nlm.nih.gov/books/NBK1223/">http://www.ncbi.nlm.nih.gov/books/NBK1223/</a> OMIM 600116	31 (3–81) years*	Slower disease course, frequent dystonia (also as presenting feature), rarely cognitive decline; Usually responsive to levodopa treatment.	Relatively common; most common known cause of early-onset PD. Many private mutations (>100) including >50% deletions and duplications (gene dosage analysis necessary). Autosomal-recessive inheritance, heterozygous mutations possible genetic risk factors for PD.
<b>PARK-<i>PINK1</i></b> (PARK6)	MDSGene <a href="https://www.mdsgene.org/d/1/g/5">https://www.mdsgene.org/d/1/g/5</a>  GeneReviews <a href="http://www.ncbi.nlm.nih.gov/books/NBK1223/">http://www.ncbi.nlm.nih.gov/books/NBK1223/</a> OMIM 605909	32 (9–67) years*	Clinically very similar to PARK- <i>Parkin</i> , commonly with dystonia, rarely cognitive decline but possibly higher rate of psychiatric manifestations. Atypical signs rare. Usually responsive to levodopa treatment.	Relatively rare; second most common known cause of early-onset PD. Private mutations including rare deletions and duplications (gene dosage analysis necessary). Autosomal-recessive inheritance, heterozygous mutations possible genetic risk factors for PD.
<b>PARK-<i>DJ-1</i></b> (PARK7)	MDSGene <a href="https://www.mdsgene.org/d/1/g/3">https://www.mdsgene.org/d/1/g/3</a>  GeneReviews <a href="https://www.ncbi.nlm.nih.gov/books/NBK1223/">https://www.ncbi.nlm.nih.gov/books/NBK1223/</a> OMIM 606324	27 (15–40) years*	Early-onset PD, dystonia as common feature. Usually responsive to levodopa treatment.	Extremely rare, about 30 patients with about 20 different disease-causing variants; most often missense changes, followed by splice-site mutations and structural variants and frameshifts. Autosomal-recessive inheritance.
<b><i>POLG</i></b>	GeneReviews <a href="https://www.ncbi.nlm.nih.gov/books/NBK26471/">https://www.ncbi.nlm.nih.gov/books/NBK26471/</a>  OMIM 203700, 613662, 607459, 157640, 258450	About 40 years, in some families earlier.	Diverse phenotypic spectrum with onset from early infancy to late adulthood; Parkinsonism as the most frequent movement disorder feature associated with <i>POLG</i> mutations; good response to levodopa.	More than 300 pathogenic mutations reported; mtDNA deletions or depletions as consequence of <i>POLG</i> mutations; no direct genotype-phenotype correlation; both autosomal-dominant and -recessive inheritance reported.

\*Taken from [www.mdsgene.org](http://www.mdsgene.org); table according to [17, 144, and 145]; mtDNA, mitochondrial DNA; MDS, Movement Disorder Society; OMIM, Online Mendelian Inheritance in Man; PINK1, PTEN-induced kinase 1; *POLG*, Polymerase gamma.

ity control processes which will be further addressed below.

### *PINK1-linked PD*

Autosomal recessively inherited mutations in *PINK1* cause early-onset PD with similar clinical features as described for PD due to biallelic *Parkin* mutations [17]. However, non-motor symptoms are slightly more frequent in *PINK1*- compared to *Parkin*-linked PD [17] (Table 1).

In 2001, a seminal study identified a novel locus for autosomal recessive early-onset parkinsonism at chromosome 1p35–p36 [50], which would later prove to be *PINK1* [51]. *PINK1* encodes a serine/threonine kinase possessing a mitochondrial translocation sequence, which led to the recognition of the protein's involvement in mitochondrial function [51]. The kinase activity of *PINK1* has been shown to be regulated by autophosphorylation on specific sites within the kinase domain (Ser228, Ser402 and Thr257) [52–54]—a process which is, for example, essential for *Parkin* translocation to the mitochondria upon mitochondrial stress [53] (Fig. 1).

In 2006, a series of reports on *pink1*-deficient *Drosophila* models exposed the interaction between *pink1* and *parkin* [47–49]. *Pink1*-deficient male flies were sterile, exhibited marked degeneration of flight muscles and of dopaminergic neurons, and displayed altered mitochondrial ultrastructure that evidenced malfunction [47–49]. Strikingly, these *pink1*-related phenotypes were consistently replicated in *parkin*-deficient flies and could be reversed by overexpression of *parkin* in *pink1*-deficient flies, but not the inverse. These studies set the stage for the elucidation of the molecular regulatory pathway through which *PINK1* and *Parkin* jointly act to warrant mitochondrial quality control. The predominant model suggests that *PINK1* is constitutively expressed and translocated to mitochondria [51], where it functions as a sensor and tag for mitochondrial depolarization and malfunction [55–57]. Under steady-state conditions, *PINK1* is readily imported into mitochondria through the TOM/TIM complex, whereby it is processed by the mitochondrial processing peptidase and cleaved by the PARL protease. The released N-terminal-deleted *PINK1* fragment is ubiquitinated and degraded by the proteasome [56]. However, under dysfunctional conditions, such as loss of the mitochondrial membrane potential, this processing of *PINK1* is inhibited [55, 58], resulting in its stabilization on the outer mitochondrial membrane

where it phosphorylates diverse substrates (Fig. 1). Relevant at this level is the phosphorylation of ubiquitin Ser65 and, particularly, the direct phosphorylation of *Parkin* on Ser65 in its ubiquitin like domain, which has an allosteric effect [43]. This results in the recruitment and activation of *Parkin* and initiates the complex process of selective removal of damaged mitochondria through mitophagy [55], which has been thoroughly explained elsewhere [56]. Of note, mutations in the PD-linked kinase *LRRK2* interfere with *Parkin/PINK1*-mediated mitophagy in a kinase activity-dependent manner [59] (Fig. 1). Further linking *LRRK2* mutations and impaired mitophagy, a recent study demonstrated a *Parkin* and *PINK1*-dependent accumulation of RAB10 [60].

Besides mitophagy, the mitochondrial quality control program encompasses other mechanisms for the specific removal of localized damaged mitochondrial components. This is accomplished by means of mitochondrial-derived vesicles (MDVs), a particular type of vesicular trafficking [61]. MDVs can be generated as a response to stress [62], and can incorporate damaged cargo such as oxidized proteins which might then be eliminated through lysosomal degradation [3, 61]. Here again *PINK1* and *Parkin* seem to serve as instrumental factors for the formation of MDVs [63] (Fig. 1). Moreover, the outer mitochondrial membrane protein Miro1, which links mitochondria to microtubule motor proteins during transport, is also a target of the *Parkin/PINK1* pathway. Miro1 is degraded during the early stages of mitophagy thereby preventing further movement of dysfunctional mitochondria [64] (Fig. 1). In addition, Miro1 was shown to interact with *LRRK2*, a function that is hampered by the presence of pathogenic mutations, leading to reduced mitophagy and neurodegeneration [65].

The mechanisms through which *PINK1* regulates mitochondrial homeostasis are not restricted to the aforementioned quality control process. Under steady-state conditions, *PINK1* patient-derived fibroblasts and neurons display diminished complex I activity. This dysfunction was correlated to a specific loss of phosphorylation of serine-250 in the complex I subunit NdufA10 secondary to *PINK1* deficiency [66] (Fig. 1). This is a good example of the complex and multifaceted regulatory process exerted by *PINK1*, and exposes its diverse range of actions under steady-state and stress conditions.

Although mitophagy represents a well-established mechanism in *Parkin/PINK1*-dependent PD, evidence for its role in PD in general is limited.

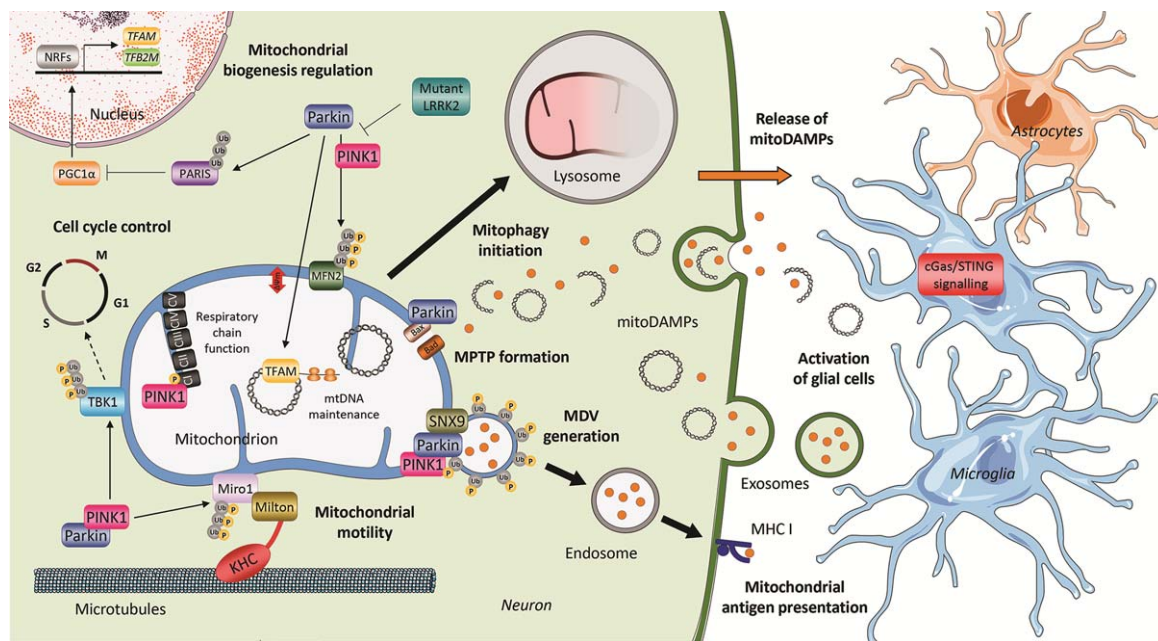


Fig. 1. Involvement of PINK1 and Parkin in mitochondrial processes. The most investigated function of PTEN-induced putative kinase 1 (PINK1) and Parkin is the initiation of mitophagy. A loss in membrane potential triggers the PINK1-mediated recruitment of the E3 ubiquitin ligase Parkin to mitochondria. At the outer mitochondrial membrane, Parkin ubiquitinates proteins thereby tagging dysfunctional mitochondria for lysosomal degradation. This process can be inhibited by mutant LRRK2. In addition, both PINK1 and Parkin, in conjunction with Snx9, are involved in the formation of mitochondria-derived vesicles (MDVs), which can transport cargo such as mitochondrial damage-associated molecular patterns (mitoDAMPs). After engulfment of MDVs by endosomes, mitochondrial antigens are transported to the plasma membrane, where they are presented on histocompatibility complex class I (MHC I) molecules. MitoDAMPs can also be released from mitochondria through the mitochondrial permeability transition pore (MPTP), which is formed under the control of Parkin – an interaction partner of the pro-apoptotic protein BCL2-antagonist/killer (BAK). In a PINK1- or Parkin-deficient environment, mitoDAMPs accumulate extracellularly and trigger cyclic GMP-AMP synthase/stimulator of interferon genes (cGas/STING) inflammatory signaling. However, the exact release mechanisms of mitoDAMPs and their impact on the interplay of neuronal and glial cells remain to be studied in human-derived PD models. In addition to its role in mitophagy, Parkin can modulate mitochondrial biogenesis by ubiquitination of the Peroxisome proliferator-activated receptor gamma coactivator 1-alpha (PGC1- $\alpha$ ) inhibitor PARIS or by direct interaction with the mitochondrial transcription factor A (TFAM) at the mtDNA. Moreover, Parkin influences cell cycle progression via its ubiquitination target TANK-binding kinase 1 (TBK1). By controlling the degradation of the microtubule adaptor protein Miro1, which links kinesin heavy chain (KHC) to mitochondria, PINK1 and Parkin regulate mitochondrial arrest as a prerequisite for mitochondrial clearance. Finally, there is also evidence for a direct interaction between PINK1 and respiratory chain complex I. Accordingly, PINK1 influences the activity of complex I by phosphorylation of its subunit NADH:ubiquinone oxidoreductase subunit A10 (Ndufa10). The online image library Servier Medical Art (<http://smart.servier.com/>) was used to create this Figure, which is partially based on our previous review [3].

341 Decreased mitophagy was demonstrated in IPD  
 342 in a few studies on IPD fibroblasts and induced  
 343 pluripotent stem cell (iPSC)-derived neurons [3];  
 344 however, the majority of results concerning genetic  
 345 PD still stem from overexpression models [67].  
 346 Thus, the endogenous role of Parkin and PINK1  
 347 will require further investigation. Moreover, it is  
 348 currently unknown how the genetic lack of these  
 349 proteins specifically causes dopaminergic neurode-  
 350 generation. Given the ubiquitous expression of Parkin  
 351 and PINK1 throughout the body, the absence of more  
 352 wide-spread pathology also remains puzzling. These  
 353 important research questions should be addressed in  
 354 future studies.

### *DJ-1-linked PD*

355  
 356 Mutations in the gene encoding the protein deg-  
 357 lycase DJ-1 cause autosomal recessive PD [68]  
 358 (Table 1), but are less common than mutations in  
 359 *Parkin* or *PINK1*. Regarding *DJ-1*, several mecha-  
 360 nistic links to impaired mitochondrial function have  
 361 been described. First, the absence of *DJ-1* alters  
 362 mitochondrial morphology [69]. Moreover, in line  
 363 with the already mentioned role as ROS scavenger  
 364 in PD, an association between dopamine oxida-  
 365 tion, mitochondrial, and lysosomal dysfunction was  
 366 demonstrated in iPSC-derived neurons with muta-  
 367 tions or depletion of *DJ-1* in human and mice,

368 respectively [70]. In keeping with this finding, also  
369 alterations in respiratory chain complex integrity  
370 were described in DJ-1-depleted neuronal cells [71].

### 371 *POLG-related parkinsonism*

372 In 2001, a preliminary study reported an asso-  
373 ciation between *POLG* mutations and progressive  
374 external ophthalmoplegia (PEO) in three different  
375 Belgian families [72]. Thereafter, *POLG* mutations  
376 have been linked to an extraordinarily large set of dis-  
377 orders comprising a mitochondrial component, such  
378 as Alpers-Huttenlocher syndrome and, remarkably,  
379 recessively and dominantly inherited parkinsonism  
380 [73–75]. Interestingly, rare polymorphic variants of  
381 *POLG* have been suggested to pose a risk factor  
382 for IPD [76–78]. As discussed in the following,  
383 this hypothesis is supported by the observation of  
384 enhanced somatic variability in the mitochondrial  
385 genome of IPD patients. *POLG* is the only known  
386 mammalian polymerase present in mitochondria,  
387 where it integrates the molecular complex responsi-  
388 ble for mtDNA polymerization [79]. The functional  
389 complex is composed of a catalytic subunit encoded  
390 by the nuclear gene *POLG* and a homodimer acces-  
391 sory protein encoded by the *POLG2* gene [75].  
392 Adding to its polymerase activity, *POLG* additionally  
393 encompasses exonuclease function (which assures  
394 fidelity of mtDNA replication [80]) and 5' deoxyri-  
395 bose phosphate lyase activity. The latter function is  
396 instrumental for the base excision repair process nec-  
397 essary to correct oxidative damage to mtDNA [79,  
398 81]. Overall, the combination of these three enzy-  
399 matic competencies place *POLG* as a key player in  
400 the maintenance of mtDNA homeostasis. Therefore,  
401 it is not surprising that mutations, which compromise  
402 *POLG* function can lead to mitochondria-associated  
403 disorders including parkinsonism. However, it is  
404 worth mentioning that *POLG*-associated Alpers dis-  
405 ease does not represent the only mitochondrial  
406 disorder including parkinsonism in its clinical spec-  
407 trum. For instance, parkinsonism in combination with  
408 PEO has also been reported in patients with mutations  
409 in *TWINK* [82, 83].

### 410 **OXIDATIVE STRESS AND MTDNA** 411 **DISINTEGRATION IN PD**

412 As summarized in the previous sections, multiple  
413 lines of evidence point towards a role of oxidative  
414 stress in the pathogenesis of PD. In addition to toxin-  
415 induced or primary respiratory chain dysfunction, the

416 auto-oxidation of dopamine can generate free radi-  
417 cals and active quinones [84]. These ROS have the  
418 capacity to damage the mitochondrial genome, caus-  
419 ing single- and double-strand breaks [85]. The 16,569  
420 bp-long circular mtDNA codes for few but critical  
421 subunits of the respiratory chain complexes I, III,  
422 IV, and V. When nicks in the mtDNA are repaired  
423 inefficiently, mtDNA point and deletion mutations  
424 develop [86]. To protect the mtDNA from oxidative  
425 insults, it is packaged in nucleoids by the mitochon-  
426 drial transcription factor A (TFAM) [87]. By contrast,  
427 in dopaminergic neurons from IPD patients, TFAM  
428 deficiency has been observed [88, 89], suggesting an  
429 enhanced exposure of the mitochondrial genome to  
430 ROS.

431 Transmitochondrial cytoplasmic hybrid (or short  
432 cybrid) studies first implicated mtDNA alterations  
433 in the pathogenesis of PD. In these experiments,  
434 cybrids were created by fusing mature platelets  
435 (which naturally lack nuclei) from PD patients with  
436 mtDNA-depleted control cells. Introducing patient  
437 mtDNA into a control nuclear background sufficed  
438 to recapitulate PD-associated mitochondrial pheno-  
439 types in the receiving cells [3]. While there is  
440 currently no evidence to suggest a role for inher-  
441 ited mtDNA mutations in PD [3], somatic alterations  
442 in the mitochondrial genome are likely part of the  
443 disease process [90]. Investigating the mitochondrial  
444 genome in single postmortem substantia nigra neu-  
445 rons revealed mtDNA copy number depletion and an  
446 accumulation of major arc deletions in IPD patients  
447 [88, 91, 92]. Moreover, polygenic risk score analyses  
448 of whole exome sequences from large IPD cohorts  
449 showed increased genetic variation in the mtDNA  
450 maintenance pathway [93].

451 With regard to genetic PD, an additional area of  
452 action of Parkin, besides the regulation of mitophagy,  
453 lies in the control of mitochondrial biogenesis. A  
454 series of studies in mice, *drosophila* and cell lines  
455 showed that the degradation of PARIS, a repressor  
456 of *PPARGC1A* expression, is mediated by Parkin.  
457 In this manner, Parkin controls the PGC-1 $\alpha$ -induced  
458 transcription of nuclear-encoded mitochondrial pro-  
459 teins [44, 94, 95]. However, this finding still awaits  
460 confirmation in endogenous PD patient-derived cells.  
461 In addition, there is evidence that Parkin's mito-  
462 chondrial biogenesis-modulating effect extends to the  
463 mitochondrial genome. As PGC-1 $\alpha$  was identified  
464 as an interactor of the mitochondrial transcription  
465 factor A (TFAM) [96], Parkin could convey its  
466 action on the mitochondrial genome in an indirect  
467 fashion. In addition, *in vivo* and *in vitro* immunopre-

468 cipation analyses identified a direct association of  
469 Parkin with the mitochondrial genome and TFAM  
470 [97, 98]. By binding to the transcription factor in  
471 the mitochondrial D-loop region, Parkin may catalyze  
472 (multiple) mono-ubiquitylation [99] of TFAM  
473 thereby modulating mtDNA gene expression. Further  
474 supporting an involvement of Parkin in mtDNA  
475 maintenance, crossing *parkin* knockout mice with  
476 “mutator” mice that harbor a proof reading-deficient  
477 version of mitochondrial *polg* revealed 1) an increase  
478 in pathogenic mtDNA mutations, 2) enhanced loss  
479 of nigral tyrosine hydroxylase-positive neurons, and  
480 3) motor deficits in the double-mutant animals  
481 [100]. These results highlight the protective action  
482 of Parkin against mtDNA mutagenic stress — a  
483 role which is likely intertwined with the protein’s  
484 newly identified function in inflammatory signaling.  
485 Inflammation triggered by mitochondrial damage  
486 associated molecular patterns (DAMPs) as emerging  
487 topic in PD research will be discussed in more detail  
488 in the following section.

## 489 MITOCHONDRIAL DAMAGE-INDUCED 490 INFLAMMATION IN PD

491 First results suggesting a link between TFAM  
492 shedding, mtDNA release and inflammation came  
493 from fundamental studies outside of PD research.  
494 In mouse embryonic fibroblasts (MEFs), a heterozygous  
495 *tfam* knockout was employed to genetically induce  
496 mtDNA stress [101]. Aberrant packaging of the  
497 mitochondrial genome due to *tfam* deficiency led to  
498 the escape of mtDNA from the mitochondria. In the  
499 cytosol, mtDNA can act as DAMP promoting  
500 cGAS/STING inflammatory signaling [101]. During  
501 apoptosis, mitochondrial DAMPs can be released  
502 through the mitochondrial permeability transition  
503 pore. The formation of BAK/BAX [102] or VDAC  
504 macropores [103] at the outer mitochondrial  
505 membrane has been shown to facilitate  
506 mitochondrial herniation and subsequent mtDNA  
507 efflux. Interestingly, the PD protein Parkin can  
508 ubiquitinate BAK thereby suppressing pore formation  
509 [104], cytochrome c release and consequent  
510 apoptosis induction [105, 106] to ensure efficient  
511 clearance of damaged mitochondria, which could  
512 otherwise trigger inflammation. A specific role  
513 for Parkin and PINK1 in mitochondrial damage-  
514 induced inflammation was further supported by a  
515 recent study in the above-mentioned *parkin* knockout  
516 “mutator” mouse model. The accumulation of mtDNA alter-

517 ations in the *parkin* null background, was shown  
518 to increase the serum levels of circulating cell-free  
519 mtDNA (ccf mtDNA) and of various cytokines. By  
520 contrast, depleting stimulator of interferon genes  
521 (STING), which regulates the activation of the DNA  
522 inflammasome, sufficed to rescue the degeneration  
523 of dopaminergic neurons and a motor impairment  
524 previously observed in these animals, suggesting that  
525 these phenotypes are the result of inflammatory  
526 processes [107]. In a trial experiment as part of this  
527 study, we could also show upregulated inflammatory  
528 profiles in a small number of PD patients with  
529 *Parkin* mutations [107]. Moreover, *Parkin/PINK1* have  
530 been shown to modulate cell cycle progression via  
531 the downstream target of the cyclic GMP-AMP  
532 synthase (cGAS)/STING pathway, TANK-binding  
533 kinase 1 (TBK1), at damaged mitochondria. Mitochondrially  
534 localized TBK1 is sequestered by *Parkin/PINK1* during  
535 mitophagy, leading to a block in mitosis. By  
536 contrast, loss of *Parkin* or *PINK1* accelerated cellular  
537 proliferation in mice [108]. While also NOD-, LRR-  
538 and pyrin domain-containing protein 3 (NLRP3)  
539 has been identified as a target of cGAS/STING  
540 signaling [109], the inflammasome can equally  
541 be activated directly by mitochondrial dysfunction  
542 and elevated ROS [110]. Treatment of lipopolysac-  
543 charide (LPS)-primed mouse microglia with the  
544 mitochondrial complex I inhibitor rotenone induced  
545 NLRP3 activation, ASC (apoptosis-associated speck-  
546 like protein containing a CARD domain) speck  
547 formation and pro-interleukin-1 $\beta$  processing in a  
548 concentration-dependent manner [111]. Moreover,  
549 enhanced *Parkin*-mediated ER-mitochondrial tethering  
550 and subsequent mitochondrial calcium overload  
551 [112] as well as blockage of mitophagy [113] have  
552 been reported to trigger NLRP3 inflammasome  
553 activation.

554 In addition to their role in innate immunity, *Parkin*  
555 and *PINK1* may also be involved in the control  
556 of the adaptive immune response. In mice lacking  
557 *parkin* or *pink1*, treatment with the bacteria-derived  
558 endotoxin LPS [114] or an intestinal infection with  
559 gram-negative bacteria [115] induced the formation  
560 of MDVs [63], which transport mitochondrial  
561 antigens to the plasma membrane, where they are  
562 presented on major histocompatibility complex class  
563 I (MHC I) molecules [114, 115]. Both processes,  
564 MDV induction and mitochondrial antigen presentation  
565 (mitAP), are depending on Sorting nexin 9  
566 (Snx9), the cellular abundance of which is regulated  
567 by *Parkin* in a proteasome-dependent manner [114].  
568 Taken together, these findings suggest that *Parkin* and



PINK1 are critically involved in the orchestration of mitophagy induction, immune surveillance and cell cycle control in the context of PD.

### CROSSTALK BETWEEN MITOCHONDRIA, LYSOSOMES AND ER AND ITS IMPACT ON CALCIUM HOMEOSTASIS

Multiple lines of evidence suggest that impaired lysosomal degradation causes an accumulation of dysfunctional mitochondria in PD [3]. Mutations in LRRK2 [116] and SNCA [117] have been demonstrated to interfere with lysosomal pathways. Furthermore, in DJ-1-mutant iPSC-derived neurons, mitochondrial stress was shown to trigger oxidized dopamine accumulation, which in turn led to lysosomal dysfunction, and eventually the accumulation of alpha-synuclein [70].

In addition to the crosstalk between lysosomes and mitochondria, the ER is involved in the inter-organelle communication in PD. Alterations of the MAM have been described in different PD models [118]. Exemplarily, alpha-synuclein can be found at the MAM, and pathogenic mutations in SNCA lead to increased mitochondrial fragmentation [119].

Furthermore, calcium homeostasis depends on a well-orchestrated signalling between mitochondria, the lysosome and the ER. In SNCA overexpression models and patient-derived neurons with a triplication mutation, a reduced connection between ER and mitochondria leads to a calcium-dependent decrease in ATP production [120]. However, also Parkin [121], PINK1 and LRRK2 [122], as well as DJ-1 [123] may function in calcium-related pathways.

Emphasizing the role of calcium homeostasis in PD, research demonstrated that isradipine, a calcium channel antagonist, protects dopaminergic neurons [124] by lowering mitochondrial oxidative stress and by reducing mitochondrial turn over and mass [125].

### IMPLICATIONS FOR GENETIC TESTING AND POTENTIAL THERAPEUTIC OPTIONS TO AMELIORATE MITOCHONDRIAL FUNCTION IN PD

Currently, only genetic testing allows identifying patients with probable mitochondrial dysfunction by detection of variants in genes associated with mitochondrial pathways. Nevertheless, at present, only a minority of PD patients undergo genetic testing.

A variety of drugs are used in clinical practice to treat PD, mostly by increasing dopamine levels in the midbrain [126]. However, these approaches only allow for symptomatic treatment, and no neuro-protective effect has been demonstrated with any of the drugs approved to date. Such disease-modifying treatment options are urgently needed as neurodegeneration progresses during the disease course, and symptomatic treatment is not able to prevent severe disability and a significant decrease in the quality of life in later disease stages [127].

Various therapeutic approaches focus on a possible mitochondrial etiology of PD: First, several approaches target the presence of ROS. Although positive effects were observed with various substances *in vitro* and *in vivo* in animal models, only the antioxidant substance MitoQ that was reported to protect dopaminergic neurons in 6-OHDA-treated mice [128] reached the testing in clinical trials. Unfortunately, there was no evidence for neuroprotection in PD patients [129].

Second, approaches with mitochondrial enhancers, i.e., substances that generally improve the function of mitochondria, were investigated. Particularly noteworthy in this context are studies in which PD patients were treated with coenzyme Q10 in randomized double-blinded trials [130]. However, no effect of coenzyme Q10 administration on neuroprotection was demonstrated in genetically non-stratified patients. Thus, current approaches are based on the assumption that only a subset of PD patients, namely such suffering from a “mitochondrial form of PD”, may benefit from therapy with coenzyme Q10. For this, patients with autosomal recessively inherited PD due to mutations in *Parkin* and *PINK1* could serve as “positive controls”. A current clinical investigator-initiated study based on this principle divides IPD patients using a genomic approach into patients with high and low probability of mitochondrial dysfunction due to the presence of a polygenic risk score composed of mitochondrially associated single nucleotide polymorphisms (SNPs) [131]. Another potential mitochondrial enhancer is vitamin K2. This substance represents, as well as Coenzyme Q10, a dietary supplement. In *Drosophila*, vitamin K2 has a strong effect on rescuing motor disturbances in *pink1* knockout flies [132]. However, studies failed to demonstrate a role for this compound as an electron carrier in mammalian cells [133, 134].

Besides the mentioned established “mitochondrial enhancers”, there are novel compounds that have the potential to ameliorate mitochondrial function in PD

668 patients. For example, a study testing the potential of  
669 the neo-substrate kinetin triphosphate (KTP) demon-  
670 strated an increase in the kinase activity of mutant  
671 PINK1 in cell culture experiments [135], warranting  
672 further tests in PINK1 animal models.

673 Third, selective MAO-B inhibitors like selegiline  
674 and rasagiline represent a group of drugs approved  
675 for PD treatment, which show possible evidence  
676 for a neuroprotective effect. As described earlier,  
677 MAO-B is responsible for the processing of MPTP  
678 to MPP+, and, therefore, inhibition of this enzyme  
679 might reduce oxidative stress. Early after the descrip-  
680 tion of selegiline, findings from animal models  
681 suggested a neuroprotective effect [7, 136] and a  
682 clinical trial was initiated investigating the effects  
683 of selegiline as well as of tocopherol (vitamin E).  
684 Here, the so-called DATATOP study suggested a  
685 disease-modifying effect of selegiline but not of toco-  
686 pherol in early stages of PD [137]. However, as  
687 selegiline also exhibited symptomatic effects increas-  
688 ing levodopa levels, its neuroprotective effect was  
689 questioned. Later, the ADAGIO trial investigated the  
690 newer MAO-B inhibitor rasagiline and suggested  
691 neuroprotective features in low-dose administration.  
692 Surprisingly, this effect was absent at a higher  
693 dose [138]. Together, the disease-modifying effect  
694 of selective MAO-B inhibitors remains controversial  
695 [139]. Furthermore, targeting the interplay between  
696 mitochondrial pathways and calcium homeostasis,  
697 a clinical trial investigated the calcium channel  
698 antagonist isradipine. However, no beneficial effects  
699 on motor and non-motor features of PD could be  
700 observed [140].

701 In the context of monogenic PD, the function  
702 of the encoded proteins provides a potential start-  
703 ing point for gene-specific therapies [141]. Finally,  
704 new treatment options might result from the cur-  
705 rently discovered mechanistic relationship between  
706 (monogenic) PD and inflammation [107]. In keep-  
707 ing with this notion, the intake of ibuprofen was  
708 found to reduce the risk of developing PD [142, 143].  
709 However, further clarification is needed whether  
710 inflammation contributes to neurodegeneration in  
711 PD, or is instead a consequence of neuronal loss.

## 712 CONCLUSION AND OUTLOOK

713 Mitochondrial dysfunction represents a well-  
714 established mechanism in the pathogenesis of both  
715 idiopathic as well as monogenic PD. In recent years,  
716 investigating monogenic PD has decisively con-

717 tributed to the clarification of impaired mitochondrial  
718 pathways in the sporadic disease. In light of the mani-  
719 fold literature on this topic, it is tempting to speculate  
720 that several of the above-mentioned PD proteins form  
721 a pathophysiological network surrounding mitochon-  
722 dria. Alterations at any point of this network may  
723 contribute to the disease, although the exact mech-  
724 anisms orchestrating this interplay are still not fully  
725 understood.

726 Despite our advances in basic PD research, clin-  
727 ical trials targeting mitochondrial dysfunction and  
728 oxidative stress have not demonstrated significant  
729 beneficial effects to date. Of note, however, patients  
730 have not yet been stratified according to the etiolo-  
731 gy of disease in previous trials. In the meantime,  
732 different etiologic subtypes of PD have emerged.  
733 Stratification approaches, according to such specific  
734 subtypes of the disease, are currently being developed  
735 and incorporated into trial designs [131].

736 Most recently, a link between immunologic alter-  
737 ations and mitochondrial dysfunction in autosomal  
738 recessively inherited monogenic PD has been demon-  
739 strated [107]. However, evidence that inflammation  
740 causes neurodegeneration is limited thus far, and  
741 the role of immunity in PD needs further eluci-  
742 dation. Regarding monogenic PD in general, first  
743 gene-specific therapies allowing personalized treat-  
744 ment are already undergoing clinical trials. Together,  
745 further in-depth investigation along with biomarker  
746 establishment of a “mitochondrial subtype” of PD  
747 represents a promising approach to arrive at a more  
748 individualized treatment even of IPD patients. In the  
749 future, continuous efforts in both basic and clinical  
750 research with a fast translation of new insights into  
751 clinical practice have the potential to lead to new  
752 therapeutic approaches in “mitochondrial PD”.

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## 761 CONFLICT OF INTEREST

762 CK serves as medical advisor for genetic test-  
763 ing reports in the fields of movement disorders and

dementia, excluding Parkinson's disease, for Centogene. MB, SLR and AG have no competing interests to declare.

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