

Mitochondrial DNA Evolution in the Genus *Equus*¹

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Employing mitochondrial DNA (mtDNA) restriction-endonuclease maps as the basis of comparison, we have investigated the evolutionary affinities of the seven species generally recognized as the genus *Equus*. Individual species' cleavage maps contained an average of 60 cleavage sites for 16 enzymes, of which 29 were invariant for all species. Based on an average divergence rate of 2%/Myr, the variation between species supports a divergence of extant lineages from a common ancestor ~3.9 Myr before the present. Comparisons of cleavage maps between *Equus przewalskii* (Mongolian wild horse) and *E. caballus* (domestic horse) yielded estimates of nucleotide sequence divergence ranging from 0.27% to 0.41%. This range was due to intraspecific variation, which was noted only for *E. caballus*. For pairwise comparisons within this family, estimates of sequence divergence ranged from 0% (*E. hemionus onager* vs. *E. h. kulan*) to 7.8% (*E. przewalskii* vs. *E. h. onager*). Trees constructed according to the parsimony principle, on the basis of 31 phylogenetically informative restriction sites, indicate that the three extant zebra species represent a monophyletic group with *E. grevyi* and *E. burchelli antiquorum* diverging most recently. The phylogenetic relationships of *E. africanus* and *E. hemionus* remain enigmatic on the basis of the mtDNA analysis, although a recent divergence is unsupported.

Introduction

The horse family, Equidae, comprising a single genus, *Equus*, represents a group with seven closely related species, notable for their rapid rate of chromosomal divergence and recent speciation (Bush et al. 1977; Ryder et al. 1978). The paleontological derivation of this genus is well documented, and its members are believed to have diverged within the past 3–5 Myr (Simpson 1951; Lindsay et al. 1980; M. F. Skinner, personal communication).

Some early studies of the mitochondrial DNA (mtDNA) molecule centered on its mode of transmission (Dawid 1972; Dawid and Blackler 1972). Toward that end, Hutchison et al. (1974) established that inheritance of mtDNA is maternal in *Equus*. Since then, mtDNA has been shown to be a powerful tool for probing relationships among related taxa with recent times of divergence (Avise et al. 1979; Brown et al. 1979; Brown 1980; Giles et al. 1980; Brown and Simpson 1981; Ferris et al. 1981a, 1981b; Cann 1982; George 1982; Densmore et al. 1985).

While the horse family has an abundant fossil record and has often been used to illustrate morphological evolution, controversy still remains regarding the phylogenetic

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relationships within this family, particularly among extant forms. Much of the controversy centers on implications drawn from morphological studies of dental, cranial, and postcranial specimens (Groves 1974; Churcher and Richardson 1978; Dalquest 1978; Bennett 1980; Eisenmann 1980; Harris and Porter 1980; Groves and Wil- loughby 1981).

We provide a molecular analysis of the genus *Equus* using mtDNA as an evolutionary probe. Comparison of mtDNA restriction-endonuclease cleavage maps of the seven extant species provides estimates of the extent of genetic diversity among the different mitochondrial genomes, of the phylogenetic relationships among equid taxa, and of the divergence times among these taxa.

Material and Methods

Tissues

mtDNA was purified from tissue obtained at necropsy, at castration (for *Equus caballus*), or from placentae (table 1). All animals studied, except the domestic horses and donkey, were part of the collection of the Zoological Society of San Diego. Specimens obtained from the Zoological Society of San Diego were all identified as individuals and are traceable to wild-caught animals either through registered studbooks (*E. przewalskii*, *E. africanus somalicus*, *E. hemionus onager*, *E. h. kulan*, and *E. grevyi*) or from the curatorial records of the San Diego Zoo (*E. burchelli antiquorum* and *E. zebra hartmannae*). Photographic records or location of museum voucher specimens are retained by the authors. In most cases the mtDNAs were prepared from frozen samples that had been stored at -70°C .

Preparation and Cleavage Mapping of mtDNA

mtDNA was prepared from tissues as described by Brown et al. (1979) and George (1982). The 17 restriction endonucleases employed, with their single letter codes, are listed in the legend to figure 1. All enzymes were obtained from New England Biolabs (Beverly, Mass.) or Bethesda Research Laboratories (Rockville, Md.) and used according to the supplier's directions. DNA fragments were labeled at the ends with ^{32}P according to the procedures given by Brown (1980). The methods for gel electrophoresis of DNA fragments and for mapping the cleavage fragments have been described (Brown et al. 1979; Brown 1980; George 1982). In most cases the smallest routinely scored fragment was ~ 180 bp long.

Alignment of the Cleavage Maps

The alignment of the cleavage maps was achieved by the use of sites that appear to be homologous among these equids. A large percentage of identical sites is seen in the region between 80 and 100 map units (fig. 3).

Calculation of Sequence Divergence from Map Comparisons

Estimates of the degree of sequence difference between pairs of mtDNAs were obtained by comparison of cleavage maps, employing equations (10) and (16) of Nei and Li (1979).

Phylogenetic Analysis of the Cleavage Maps

Phylogenetically informative endonuclease sites (sites not held in common by all examined taxa) were treated as discrete character states and utilized to produce trees according to the algorithms of Farris (1972) and Ferris et al. (1981b) based on the parsimony principle of minimum mutational length. Especially useful for this

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purpose was the PAUP program of D. Swofford (Illinois Natural History Survey). The PAUP program also utilizes the Wagner distance method as described by Farris (1972). Confirmation of certain Wagner trees was performed manually.

Results

Genome Sizes

The genome sizes of the mtDNAs as determined by agarose gel electrophoresis of restriction-digest fragments are listed in table 1. The genome sizes for *Equus przewalskii* and *E. caballus* were found to be identical, as were the genomes of *E. hemionus onager* and *E. h. kulan*. The overall mean average length for all taxa was $16,560 \pm 150$ bp.

Cleavage-Site Differences among *E. przewalskii* and *E. caballus* Individuals

mtDNA cleavage maps were constructed for three different maternal lineages of *E. przewalskii* and five *E. caballus* individuals (two Arabian horses of different lineages, a quarter horse, a Morgan, and a Peruvian Paso). An average of 60 sites per genome were mapped (fig. 1). No differences were found among the maps of the three *E. przewalskii* maternal lineages, although one lineage represents a direct descendant of the last wild-caught *E. przewalskii* mare. No differences were observed between the quarter horse and the South American Peruvian Paso, nor between the two Arabian horses.

Restriction maps of the domestic horse, *E. caballus*, and the Mongolian wild horse, *E. przewalskii*, were similar but not identical (fig. 1). Two or three restriction-site differences distinguished the cleavage maps of all *E. caballus* cleavage-map individuals examined from the *E. przewalskii* restriction map. The most divergent *E. caballus* mtDNA cleavage maps were those of Arabian horses, thought to be one of the oldest breeds of domestic horses (Simpson 1951).

The percent sequence difference between *E. przewalskii* and *E. caballus* individuals was found to range between 0.27% and 0.41%. The Arabian horses and the Morgan showed the largest difference (0.55%). The tree presented in figure 2 shows the average

Table 1
Source of Material and Mitochondrial DNA Genome Sizes

| Linnean Designation (n) | Common Name | Tissues Used | Base Pair Length* |
|------------------------------------|---------------------------|--------------------------------|-------------------|
| <i>Equus przewalskii</i> (3) | Mongolian wild horse | Placenta, liver, spleen, heart | $16,640 \pm 560$ |
| <i>E. caballus</i> (5) | Domestic horse | Testis, spleen | $16,640 \pm 560$ |
| <i>E. africanus somalicus</i> (1) | Somali wild ass | Spleen | $16,850 \pm 520$ |
| <i>E. hemionus onager</i> (1) | Persian onager | Spleen | $16,420 \pm 600$ |
| <i>E. h. kulan</i> (1) | Transcaspiian kulan | Spleen | $16,420 \pm 600$ |
| <i>E. grevyi</i> (1) | Grevy's zebra | Liver, spleen | $16,480 \pm 460$ |
| <i>E. burchelli antiquorum</i> (2) | Damara zebra | Liver, spleen | $16,450 \pm 260$ |
| <i>E. zebra hartmannae</i> (2) | Hartmann's mountain zebra | Liver, spleen | $16,540 \pm 280$ |

* Size estimates were obtained from agarose gel electrophoresis of single and double restriction-endonuclease digestion of the different mitochondrial genomes. *HincII* fragments of phage ϕ x174 RF DNA (Sanger et al. 1978), *EcoRI* fragments of phage λ DNA (Thomas and Davis 1975), and *HindIII* fragments of phage PM2 DNA (Parker et al. 1977) were used as size standards.

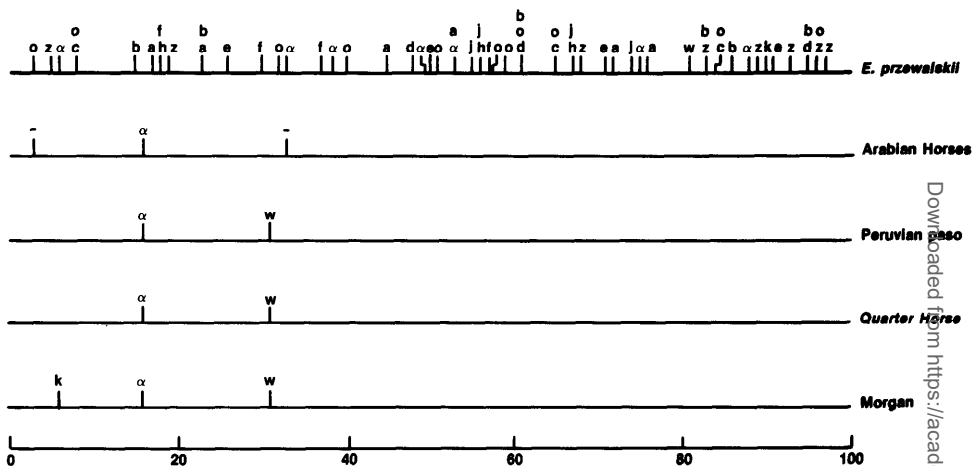


FIG. 1.—Cleavage-site differences among *Equus przewalskii* and *E. caballus* individuals. The following 16 restriction endonucleases were used to construct the cleavage maps: a, *EcoRI*; b, *HindIII*; c, *HpaI*; d, *BglII*; e, *XbaI*; f, *BamHI*; g, *PstI*; h, *PvuII*; j, *SacI* (*SstI*); k, *KpnI*; l, *XhoI*; m, *AvaI*; o, *HincII*; w, *BstEII*; z, *FnuDII* (*ThaI*); and α , *AccI*. *SacII* (*SstII*), designated β , was also used but was not mapped. All the enzymes recognize 6-bp sequences, except *FnuDII* (*ThaI*), which recognizes a 4-bp sequence. The figure shows the complete cleavage map for *E. przewalskii* (61 sites) and only those sites that differ in *E. caballus*. Two Arabian horses of different stock had identical cleavage maps, but, as indicated by the minus sign (–), lacked the *HincII* (o) site at position 3 and *AccI* site (α) at position 33. The Arabian horses also lacked the additional *BstEII* (w) site at position 31, which is found in other domestic horses. The restriction endonucleases *SstI*, *AvaI*, and *XhoI* did not cut these mitochondrial DNAs.

percent sequence differences among *E. przewalskii* and *E. caballus*. Overall, the amount of divergence presented here is small and not much greater than the 0.36% divergence reported for mtDNA differences found among the human racial groups (Brown 1980; Cann et al. 1984).

Comparison of the Cleavage Maps

mtDNA was prepared for representatives of the seven extant equid species described in Material and Methods. The cleavage maps are presented in figure 3. The

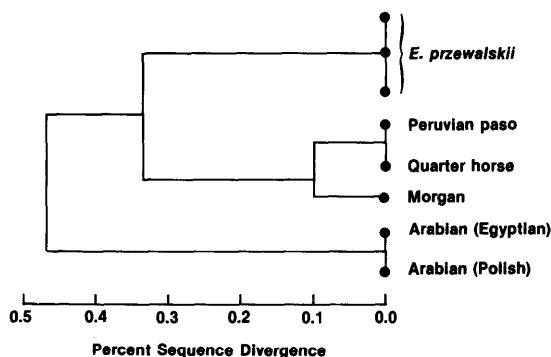


FIG. 2.—Percent sequence divergence among *Equus przewalskii* and *E. caballus*. The percent sequence divergence was determined by using algorithms as described by Nei and Li (1979). The tree shows the average percent sequence differences.

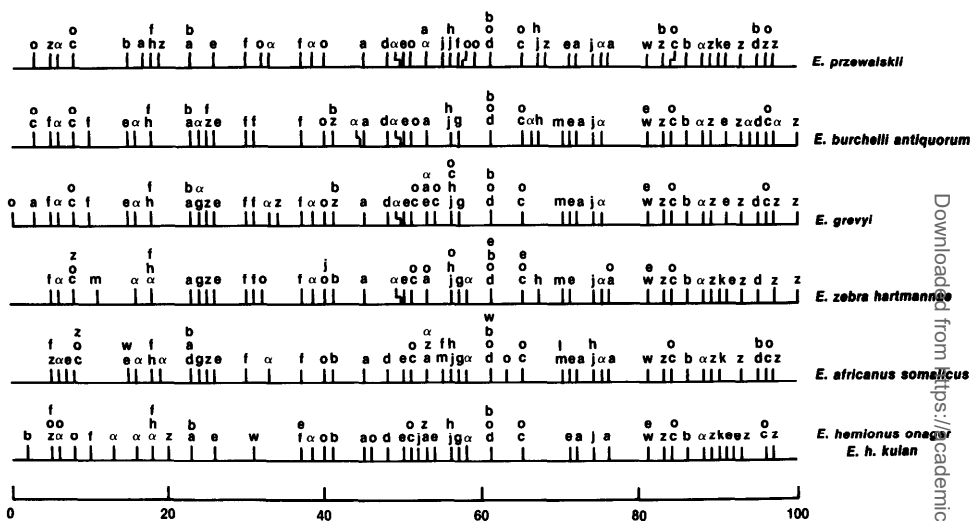


FIG. 3.—Mitochondrial DNA (mtDNA) cleavage maps of the genus *Equus*. The maps contain an average of 60 sites. The restriction endonucleases are designated by a single letter code (see legend to figure 1). *E. przewalskii* is described in figure 1; *E. burchelli antiquorum* and *E. grevyi* mtDNAs are not cut by the restriction endonucleases *Xho*I or *Kpn*I; *E. zebra hartmannae* mtDNA is not cut by *Xho*I; *E. africanus somalicus* mtDNA is cut by all enzymes employed; *E. hemionus onager* and *E. h. kulan* mtDNAs are not cut by *Ava*I or *Xho*I. The 29 invariant sites (position and site) are 6 α ; 8o; 18f and h; 23a; 26e; 37f; 40o; 55a; 50e; 51o; 53a; 56h and j; 61b, o, and d; 65c and o; 71e; 74j; 81w; 83z; 84c and o; 86b; 88 α ; 89z; and 93z. Fourteen highly conserved sites (found in five of the six species) are 5f; 8c; 16; 23b; 30f; 41b; 48d; 57g; 72a; 75 α ; 91e; 95d; 96o; and 97z.

E. caballus map is shown in figure 1. Again, more than 60 sites per genome have been mapped using 16 different restriction endonucleases. The maps show many sites in common among the different mitochondrial genomes. Of the 118 restriction-endonuclease sites mapped in one or more of the six species shown in figure 3, 29 occur in all six species. These invariant sites also occur in the *E. caballus* cleavage maps (fig. 1). There are 14 highly conserved sites that occur in five of the six species shown in figure 3. The identity and location of these invariant and highly conserved sites are listed in the legend to figure 3. Additionally, 44 sites were found to occur in only one of the six species and 31 were found to be phylogenetically informative. The phylogenetically informative sites will be described more fully in a later section.

Two examined taxa had identical restriction maps: the half-asses *E. h. onager* and *E. h. kulan*. Additionally, the *E. hemionus* map also contains the *Bst*EII (w) site at position 31, which is found only in the domestic horses, excluding the Arabian horses (fig. 1).

Estimates of Percent Sequence Differences and Divergence Times

Pairwise comparisons of sequence differences based on the cleavage maps (Zei and Li 1979) are presented in table 2. Sequence divergence was found to range from 0% (*E. h. onager*–*E. h. kulan*) to 7.8% (*E. przewalskii*–*E. hemionus*). Estimates of times of divergence are based on a pairwise divergence rate of 0.02 nucleotide substitutions/Myr (Brown et al. 1979). The divergence-time data suggest that the common ancestor to all extant forms was present ~ 3.9 Myr before the present (MYBP) and that the speciations leading to the lineages whose surviving members include *E. prze-*

Table 2
Estimation of Percent Sequence Differences and Divergence Time

| | Eb | Eg | Ez | Ea | Eh | Ep |
|---|------|-------|-------|-------|-------|-------|
| A. Restriction-Site Data | | | | | | |
| Eb | (61) | 0.828 | 0.732 | 0.703 | 0.672 | 0.672 |
| Eg | 53 | (67) | 0.775 | 0.746 | 0.703 | 0.688 |
| Ez | 45 | 50 | (62) | 0.729 | 0.683 | 0.666 |
| Ea | 45 | 50 | 47 | (67) | 0.719 | 0.688 |
| Eh | 41 | 45 | 42 | 46 | (61) | 0.656 |
| Ep | 41 | 44 | 41 | 44 | 40 | (61) |
| B. Sequence Difference and Divergence Time | | | | | | |
| Eb | — | 3.3 | 5.6 | 6.4 | 7.3 | 7.3 |
| Eg | 1.6 | — | 4.5 | 5.2 | 6.4 | 6.9 |
| Ez | 2.8 | 2.2 | — | 5.7 | 7.0 | 7.5 |
| Ea | 3.2 | 2.6 | 2.8 | — | 6.0 | 6.9 |
| Eh | 3.6 | 3.2 | 3.5 | 3.0 | — | 7.8 |
| Ep | 3.6 | 3.4 | 3.8 | 3.4 | 3.9 | — |

NOTE.—Panel A shows the fraction of restriction sites in common among the six cleavage maps (numbers above the diagonal) shown in figure 3. The fraction of sites in common was determined by using eq. (10) of Nei and Li (1979). The numbers along the diagonal (in parentheses) represent the total number of restriction sites per map. The numbers below the diagonal show the number of restriction sites in common among the different cleavage maps. Panel B shows the percent sequence difference (above the diagonal) as determined from eq. (16) of Nei and Li (1979). The estimates of divergence times, in Myr (shown below the diagonal) were calculated by assuming a 2% divergence/Myr (Brown et al. 1979). Eb = *Equus burchelli antiquorum*; Eg = *E. grevyi*; Ez = *E. zebra hartmannae*; Ea = *E. africanus somalicus*; Eh = *E. hemionus onager*; and Ep = *E. przewalskii*.

walskii (and *E. caballus*), *E. hemionus*, and *E. africanus* took place within the next 0.5 Myr. The divergence-time estimates also suggest that the common ancestor of zebras was present ~2.8 MYBP and that *E. grevyi* diverged from *E. burchelli* 1.6 MYBP.

Comparison of Evolutionary Trees

As previously mentioned, 31 phylogenetically informative restriction-enzyme sites were found among the six cleavage maps presented in figure 3. The 31 phylogenetically informative sites are listed in table 3. These sites served as the basis for the generation of trees a and b shown in figure 4. These sites were also used to provide a phylogenetic analysis of other published trees on equid evolution that are not based on mtDNA data (trees c–f, fig. 4). Table 3 also lists the minimum number and the most probable kinds of mutational events (loss or gain) required to account for the variation seen at each site for trees a and b of figure 4.

Trees a and b (of fig. 4) each require a minimum of 55 mutational events. The two trees differ in the relative placement of African and Asian wild asses (*E. africanus* and *E. hemionus*, respectively) with the wild horse, *E. przewalskii*, and the three zebra species. Thus, the mtDNA mapping data fail to discriminate the evolutionary branching order of the ancestors of *E. africanus* and *E. hemionus* from the ancestor of true horses, i.e., *E. przewalskii* and *E. caballus*.

Despite the discrepancy shown in trees a and b, tree a must be slightly favored over tree b. This favoritism is based on the following observations: As shown in table 3, tree a has more single mutational events than does tree b (10 vs. 9, respectively);

Table 3
Thirty-one Phylogenetically Informative Sites in *Equus* mtDNA

| POSITION AND SITE ^a | CHARACTER STATE ^b | | | | | | EVENTS PER TREE ^c | |
|--------------------------------|------------------------------|----|----|----|----|----|------------------------------|-----|
| | Eb | Eg | Ez | Ea | Eh | Ep | a | b |
| 76a | | | + | + | + | + | L | L |
| 95b | | | | + | | + | LL | LL |
| 51c | | + | + | + | + | | LL | LL |
| 96c | + | + | | + | + | | LL | LL |
| 15e | + | + | | + | | | GL | GL |
| 53e | | + | | | + | | GG | GG |
| 81e | + | + | + | | + | | LL | LL |
| 10f | + | + | | | + | | GG | GL |
| 31f | + | + | + | | | | G | G |
| 24g | | + | + | + | | | GL | GL |
| 67h | + | | + | | | + | LLL | LLL |
| 90k | | | + | + | + | + | L | L |
| 70m | + | + | + | + | | | G | LL |
| 3o | + | | | | | + | LG | LG |
| 32o | | | + | | | + | GG | GG |
| 54o | | + | + | | | | GL | GL |
| 56o | | + | + | | | | GL | GL |
| 5z | | | | + | + | + | L | L |
| 8z | | | + | + | | | GL | GG |
| 20z | | | | | + | + | L | L |
| 25z | + | + | + | + | | | G | L |
| 41z | + | + | | | | | G | G |
| 53z | | | | + | + | | LL | L |
| 100z | + | + | + | | | | G | G |
| 18a | | | + | + | + | | LL | L |
| 24a | + | + | | | | | G | G |
| 33a | | + | | + | | + | LLL | LG |
| 38.5a | | + | + | | + | + | LL | LL |
| 49.5a | + | + | + | | | + | LL | L |
| 53a | | + | | + | | + | LLL | LG |
| 58a | | | + | + | + | | LL | L |
| Total mutations | | | | | | | 55 | 55 |

NOTE.—L = loss; G = gain. Species abbreviations are as given in table 2.

^a Restriction-endonuclease sites are represented by a single letter code given in the legend to fig. 1.

^b Plus symbol (+) indicates the presence of a site.

^c Minimum number of mutations and probable nature of the events (see Templeton 1983) for the two best trees presented in fig. 4.

Table 2B shows that the average estimated percent sequence divergence for *E. africanus* versus the three zebra species is 5.8% while the average for *E. hemionus* versus the zebras is 6.9%; and an unpublished observation (M. George, Jr., and O. A. Ryder) shows that the *SstII* (*SacII*) restriction-endonuclease digest pattern (or morph) for the zebras and *E. africanus* are identical, while the *E. hemionus* and *E. przewalskii*-*E. caballus* *SstII* patterns are distinct from both each other and the zebra-*E. africanus* pattern.

When trees c-f of figure 4 were analyzed using the parsimony method, again using the 31 sites listed in table 3, they were found to be less parsimonious than trees a and b. They all required additional mutational events, and the number of events

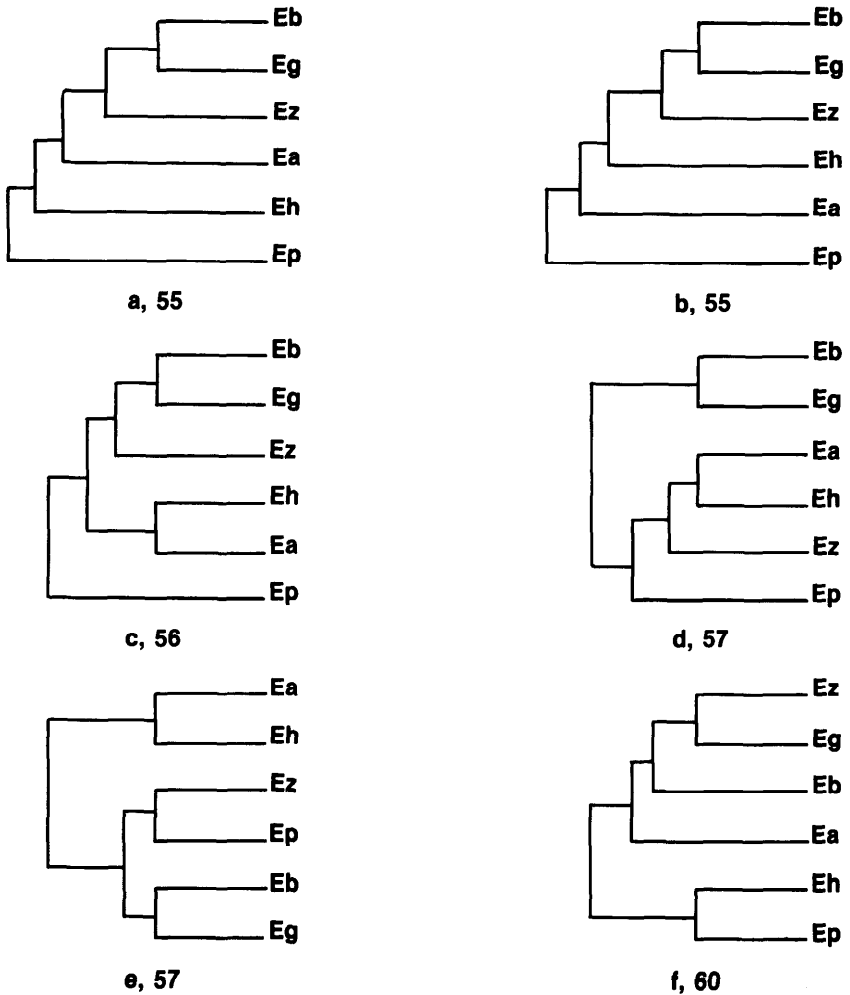


FIG. 4.—Six alternative trees relating mitochondrial DNA cleavage maps of six *Equus* species. Mitochondrial DNA cleavage-map data were used to produce the a and b trees. The protein and chromosomal work of Ryder et al. (1978, 1979) were used to produce the c tree. Morphological studies of dental, cranial, and postcranial material by Bennett (1980), Eisenmann (1980), and Harris and Porter (1980) were used to generate the respective trees designated d, e, and f. The minimum number of cleavage-site changes required to fit these topologies is also given. The species' abbreviations are given in the legend to table 2.

ranged from 56 to 60 (fig. 4). However, the three best trees (a–c) associate the zebras as a monophyletic unit. Data from trees a–c also suggest that there are at least three major clades in equid evolution, one that groups the zebras, a second that groups *E. africanus* and *E. hemionus*, and a third that associates the true horses, *E. przewalskii* and *E. caballus* as a unit. However, as stated previously, the *E. africanus*–*E. hemionus* clade remains enigmatic.

Is Parallelism High in *Equus* mtDNA?

An examination of the extent of parallelism and back mutations in *Equus* mtDNA shows that the 31 phylogenetically informative sites listed in table 3 require 55 mutational events to generate trees a and b of figure 4. The extent of parallelism was

The more recent molecular studies have centered on the placement of the extinct "zebra" species, *E. quagga*, within the Equidae (Higuchi et al. 1984; Lowenstein and Ryder 1985; Miller 1985; A. Higuchi, personal communication). Though the quagga has been extinct for >100 years, Higuchi et al. (1984) were able to isolate and clone two small DNA fragments (a total of 229 bp) from a dried quagga skin sample. The DNA fragments were identified as being of mitochondrial origin. The quagga mtDNA fragments and homologous mtDNA fragments from *E. zebra* and *E. burchelli* were sequenced. Their sequencing data showed that, of the 229 bp compared, the quagga differed from *E. zebra* by 12 bp, but only 1 bp difference was found when the quagga mtDNA was compared with that of *E. burchelli*. The extremely close relationship seen between the quagga and *E. burchelli* was also supported by the radioimmunoassay work of Lowenstein and Ryder (1985), who, using serum proteins isolated from similar quagga skin sample, showed that the quagga is six times more closely related to *E. burchelli* than it is to either *E. zebra* or *E. grevyi*. Thus, *E. quagga* appears to be a "variant" of *E. burchelli*.

A Consensus Phylogeny?

By combining the cleavage-map data presented here and the data generated from other studies of the Equidae, a "consensus" phylogeny now seems possible. That phylogeny is best represented by tree a of figure 4. The molecular evidence presented by Higuchi et al. (1984) and Lowenstein and Ryder (1985) indicate that *E. quagga* is a zebroid most similar to *E. burchelli*. The morphological studies of Eisenmann (1980) and Harris and Porter (1980) also support this pairing. There has been little to no dispute over the close relationship that exists between *E. przewalskii* and *E. caballus*; thus the addition of *E. caballus* to the *E. przewalskii* branch should be easily accepted.

Our data also indicate that the three extant zebra species represent a monophyletic group. Additional support for this observation is derived from protein studies (Ryder et al. 1978; Kaminski 1979) and morphological studies (Harris and Porter 1980). The work of Harris and Porter (1980), however, suggests a close relationship between *E. zebra* and *E. grevyi*, while most other studies show a close affinity between *E. burchelli* and *E. grevyi* (see fig. 4).

The principal enigma in this consensus tree concerns the relative placement of *E. hemionus* and *E. africanus*. None of the studies to date, including our own, can clearly define their branching order. Identification of the correct phylogeny will probably require DNA sequencing studies. However, the data presented here support tree a of figure 4.

Although these molecular studies failed to strongly discriminate the branching order of *E. africanus* and *E. hemionus*, much has been confirmed and gained. We now have additional genetic markers and another measure of the genetic diversity found in this family. The estimated time since the extant Equidae diverged from a common ancestor, as derived from the mtDNA mapping data (i.e., ~3.9 MYBP), agrees well with fossil evidence and thus serves to reinforce the conclusions of other studies suggesting that mtDNA initially accumulates 2% nucleotide substitutions/Myr. These estimates of divergence time should also stimulate those who are interested in the speciation events that gave rise to this diverse family.

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